

Scenario-based flood adaption of a fast-developing delta city: modeling the extreme compound flood adaptations for Shanghai

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Abstract

The heavy Zhengzhou "7·20" rainstorm, partially caused by Typhoon In-fa in 2021, poured an unprecedented rainfall of 201.9 mm/h, leading to severe flooding and damage. Although many studies in various Chinese cities have preliminarily assessed the potential flood losses under "7·20" rainstorm, much research has focused on the contribution of climate change, limited attention has been paid to the potential impacts of urbanization development, which is crucial for designing flood adaptation strategies. Using high-resolution ocean-land coupled numerical model, we focus on Shanghai, a fast-developing delta city, to evaluate the potential impact of "7·20" rainstorm associated with local coastal storm hazards for flood adaptation planning under future urbanization scenarios. Our findings reveal that rapid urbanization in Shanghai can significantly amplify flood risks caused by events equivalent to "7·20" rainstorm. By 2050, the projected increases in exposed assets and losses can be up to 8 and 5 times, respectively, if such events occur. The adaptation measure of heightening seawalls and dikes provides robust protection against compound fluvial-coastal flooding events, but is costly and less effective against pluvial flooding. In contrast, low-impact development measures of increasing green area may not offer the highest asset exposure reduction but have low initial costs and provide significant ecological benefits. Lowering green space offers the greatest reduction in exposed assets and losses from pluvial flooding, but it's also costly and may alter the urban landscape. A combination of these measures, where applicable, is recommended to optimize flood resilience and promote sustainable development in rapidly urbanizing delta cities.

Keywords: Zhengzhou "7·20" rainstorm; Coastal adaptation; Rapidly urbanization; Numerical modeling; Delta city

1. Introduction

Fast developing delta cities at low and mid-altitudes are increasingly vulnerable to compound flooding risks driven by rapid urbanization and climate change (Dixon et al., 2006; Tessler et al., 2015). Currently, approximately 500 million people reside in river deltas or nearby areas, where major cities with high population density and significant wealth have developed due to coastal migration (Hugo, 2011) and rapid economic growth (Hallegatte et al., 2013), known as coastal

45 urbanization (Syvitski and Saito, 2007; Chen et al., 2019; Wu et al., 2024). Urgent adaptation actions
46 are needed to protect these cities from escalating compound coastal, fluvial, and pluvial flooding
47 (Jongman, 2018). Slow changes such as sea level rise in the future further exacerbate the
48 vulnerabilities of these low-lying, densely populated coastal areas (Chen et al., 2017; Hanson et al.,
49 2010; Dixon et al., 2006; Syvitski et al., 2009), especially when combined with short-term,
50 devastating events like tropical cyclones and cyclone-induced heavy rainfall (Jongman, 2018;
51 Maymandi et al., 2022). Therefore, it is crucial to investigate the formation and amplification
52 mechanisms of compound flood risk in rapidly developing delta cities to develop effective adaptive
53 measures.

54 A substantial body of researches have focused on coastal flooding (Hallegatte et al., 2013;
55 Tessler et al., 2015; Vousdoukas et al., 2018; Fang et al., 2020), much of the researches focuses on
56 the hazard drivers, particularly the impacts of future climate change, sea-level rise, and changes in
57 wind and wave intensity (Chen et al., 2017; Hanson et al., 2010; Woodruff et al., 2013; Vitousek et
58 al., 2017). However, studies on the evolving characteristics of disaster-prone environments and the
59 vulnerability of assets that contribute to flood risks remain limited. Recently, there has been
60 increasing attention on land subsidence and its role in exacerbating flood risks (Syvitski et al., 2009;
61 Du et al., 2020; Nicholls et al., 2021; Shirzaei and Bürgmann, 2018). In contrast, research on how
62 land use changes, driven by rapid urbanization, amplify flood risks is still insufficient. Rapid
63 urbanization potentially increases delta flooding risks by altering natural hydrology process and
64 amplifying storm surge damages (Chen et al., 2019; Wu et al., 2024). As cities expand along
65 coastlines, urbanization transforms natural landscapes into impermeable surfaces and disrupts
66 nature water drainage systems (Yang et al., 2022). Additionally, urban sprawl concentrates
67 population and infrastructure, raising the likelihood of extensive damage and human casualties,
68 posing significant challenges for urban planning and disaster risk management (Rimal et al., 2018;
69 Wu et al., 2024).

70 Data on China's coastal zones indicate that coastal urbanization and population growth amplify
71 flood disaster losses compared to the natural evolutions of flood risks (Chen et al., 2019; Zhang et
72 al., 2021). Moreover, current research on urban flooding in delta city primarily examines flood risk
73 under existing economic conditions (Du et al., 2020; Wang et al., 2019; Chen, 2022). While some
74 studies consider hazard evolution due to climate change, they often overlook the complex
75 amplification of flood risks due to future land-use changes (Wahl et al., 2015; Yang et al., 2022;
76 Wang et al., 2023). Even rarer are studies on modeling flood adaptations for future rapid
77 urbanization (Yang et al., 2022). Flood adaptations for mitigating flood risks under various long-
78 term projections have been explored (Aerts et al., 2014; Du et al., 2020; de Ruig et al., 2019;
79 Yamamoto et al., 2021). However, these studies generally rely on statistical models or basic flooding
80 simulations, lacking the integration of bespoke adaptation solutions into high-precision numerical
81 hydrodynamic models considering urban development. Therefore, an objective model-investigation
82 of detailed flood adaptation designing is significant for reducing compound flood risks in rapidly
83 urbanizing delta cities.

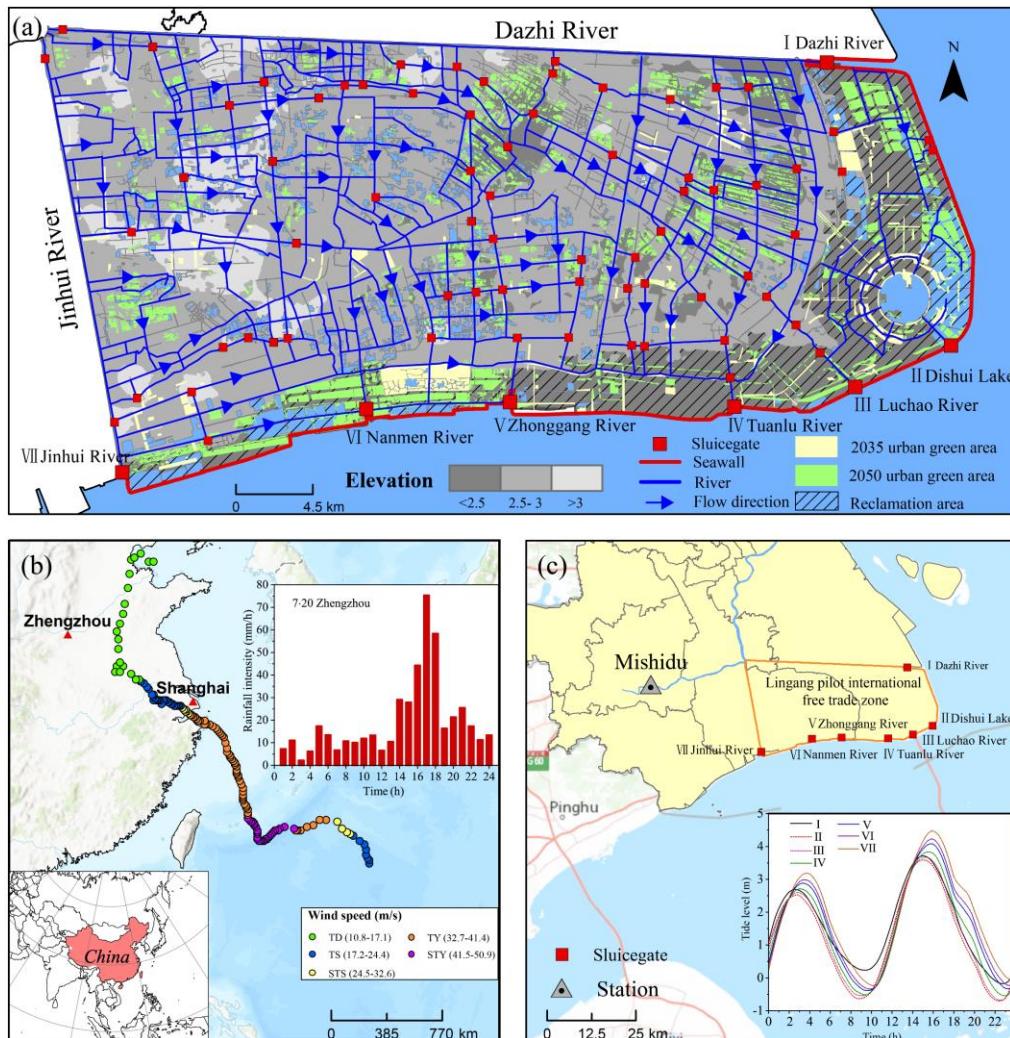
84 Based on history observations, the intensity of storm-induced rainfall events have increased in
85 recent years (Kossin, 2018; Wang et al., 2019; Chen, 2022). Typhoon rainfalls coinciding with storm
86 surges at coastal areas known as coastal compound flooding significantly exacerbates flooding risks
87 (Wahl et al., 2015; Lin et al., 2010; Du et al., 2020). For example, Hurricane Harvey struck Houston,
88 Texas, in 2017, making landfall three times (Wang et al., 2018). The prolonged cyclone resulted in

89 an accumulated rainfall of 1,318 mm, coupled with multiple high tides that hindered the drainage
90 of inland floodwaters. This severe flooding killed at least 73 people, destroyed 9,000 houses, and
91 resulted in economic losses of \$90~160 billion (Wang et al., 2018). Moreover, the combination of
92 basin flooding in river deltas can cause even more significant losses. For example, during the 1997
93 Typhoon Winnie, heavy rainfall and storm surges combined with major floods from the Yangtze
94 River basin caused the largest compound flooding event in Shanghai since 1949 (Wang et al., 2019).
95 Here, we studied Shanghai, a large delta city built on low-lying estuary, experiencing flooding
96 primarily due to heavy rainfall and levee overtopping from tidal penetration. The global studies by
97 Hallegatte et al. (2013) and Balica et al. (2012) identify the city of Shanghai as one of the world
98 most vulnerable coastal cities to increased flood risk due to climate change and urban development.

99 In this research, we developed multi-adaptive measures considering local drainage,
100 infrastructure setting, and compound flood characteristics of Shanghai, in light of the rapid
101 urbanizing projection of the delta city. Lingang district (LGZ), designated as an International Pilot
102 Free Trade Zone in Shanghai, is projected to experience rapid urban development in the future (Yin
103 et al., 2019). We investigated both current and future projected socio-economic scenarios based on
104 a land-use change CLUMondo model. Traditional large-scale coastal flooding models often
105 overlook river overtopping process, resulting in inaccurate calculations of fluvial flooding. To
106 address this, we employed a high-resolution ocean-land coupled numerical model that includes all
107 river networks in LGZ. Significant scenarios like the recent Zhengzhou “7·20” rainstorm was
108 assumed to compound with local coastal storms. Notably, our study integrated three flood adaptation
109 measures—heightening seawall and dike (HSD), increasing green area (IGA), and lowering green
110 space (LGS)—into the numerical model to evaluate their flood mitigation effects. The latter two
111 measures are considered low-impact development (LID) strategies. We assessed current and future
112 flooding losses under rapid urbanization by predicting land-use changes and tested the effectiveness
113 of these flood adaptation solutions for future urban planning. Our findings are directly applicable to
114 inform LGZ urban planning in Shanghai and provide valuable insights for constructing flood-
115 resilient cities in estuarine delta regions worldwide.

116 2. Study area

117 Shanghai, the largest city in China in terms of economy and population, is located at the mouth
118 of the Yangtze River. This delta city, bordered by the sea on three sides and Taihu Lake on the fourth
119 (Fig. 1), faces one of the highest coastal flood risks among global mega-delta cities (Du et al., 2020;
120 Hu et al., 2019; Wang et al., 2019). The Lingang district (LGZ), designated as an international free
121 trade zone, is rapidly developing and is crucial to Shanghai's socio-economic growth (Yin et al.,
122 2019). Situated in southeastern Shanghai and bounded by the Dazhi and Jinhui Rivers, LGZ covers
123 approximately 819 km² and is highly susceptible to compound flooding. The terrain is
124 predominantly low and flat, with an average elevation of only 2~4 m. The total length of all rivers
125 within the LGZ is about 3,150 km, resulting in a river density of approximately 72.4 km/km². All
126 rivers in LGZ are protected by sluice gates at their sea entrances (Fig. 1). Historically, this region
127 has been frequently impacted by tropical typhoons, particularly during the summer flood season
128 when storm surge coincides with basin flood, leading to potential compound flooding (Yin et al.,
129 2019). The flood defense system in LGZ has been repeatedly reinforced over the past decades,
130 especially after Typhoon Winnie in 1998 (Yin et al., 2019). However, the current flood defense
131 standards have not fully kept pace with rapid urban development, necessitating enhanced flood



135 Fig. 1. Study area of Lingang Pilot Free Trade Zone in Shanghai: (a) Geographic map displaying the network of
 136 rivers and canals, and key infrastructure of inland sluice gates (small red squares) and tidal sluice gates (big red
 137 squares) within the region, (b) Path of Typhoon In-fa in 2021, including rainfall intensity data for Zhengzhou on 20th,
 138 July, (c) Recorded tidal levels at tidal sluice gates (I-VII) at the entrance of Dazhi River, Luchao River, Tuanlu River,
 139 Zhonggang River, Nanmen River, and Jinhui River during the landfall of Typhoon In-fa in Shanghai on 26th, July.

141 3. Data and Methods

142 3.1 Data sources

143 Reliable flood modeling and evaluation of adaptation measures depends on accurate geometric
 144 and hydraulic data. We produced a high precision 20m x 20m digital terrain model (DTM) with the
 145 vertical error declared to be less than 0.01 m. The DTM was constructed based on LiDAR aerial
 146 photogrammetry and field measurement, depicting building base elevations, by combining RTK
 147 measurements with data of topographic map obtained from the Shanghai Institute of Surveying and
 148 Mapping (<https://www.webmap.cn/>). Seawall heights were determined through field measurements
 149 conducted in 2019. Information on the urban river network, riverbank elevations, and river profiles
 150 was obtained from the Shanghai Municipal Water Affairs Bureau and the Shanghai Municipal Flood

151 Control Center (<https://swj.sh.gov.cn/>). Data on the distribution and structure of sluice gates were
152 collected through field measurements in 2019. Tide data during Typhoon In-fa in 2021 was provided
153 by the Shanghai Municipal Water Affairs Bureau (Fig. 1). Hourly precipitation data for the
154 Zhengzhou "7·20" rainstorm event from July 20, 2021, 9:00 AM to July 21, 2021, 8:00 AM, was
155 obtained from the Meteorological Center of the China Meteorological Administration (Fig. 1).
156 Historical land use data for 2013 and 2020, used in the urban-development model, were acquired
157 from the Third National Land Census (<https://www.gov.cn/>). Additional factors critical for urban-
158 development modeling, such as slope, aspect, railway distribution, and socio-economic data
159 including GDP and population, were sourced from the Geospatial Data Cloud
160 (<https://www.gscloud.cn/>) and the Seventh Population Census (<https://www.stats.gov.cn/>).

161 3.2 Model configurations

162 3.2.1 Land-use change urban-development model

163 The CLUMondo model, recognized for accurate predicting of Land Use and Land Cover
164 (LULC) spatial evolution, was employed to forecast future urban development in Shanghai
165 (Domingo et al., 2021; Yang et al., 2022). This model offers detailed spatial outputs and supports
166 scenario analysis by integrating socio-economic and geography drivers, allowing adaption to
167 different economic, environmental, and social conditions (Stehfest et al., 2019). Its adaptability and
168 customizability make it suitable for various land-use dynamics studies (Van and Verburg, 2013;
169 Eitelberg et al., 2015; Domingo et al., 2021; Yang et al., 2022). Integrating local knowledge,
170 fieldwork, and insights from similar studies, we categorize LULC into six types: residential,
171 industrial, agricultural, roads, commercial, and water in this research (Jiang et al., 2021). The urban
172 expansion modeling was driven by LULC demand, which is determined using algorithms and
173 driving factors to meet GDP growth projections (Liao et al., 2022; Stehfest et al., 2019). Given
174 geographical and socio-economic factors are primary drivers of LULC change, this study identifies
175 seven driving factors—DEM, slope, aspect, GDP, population, distance from railway, and distance
176 from river—based on the 2020 land use distribution to project changes for 2035 and 2050.

177 Essentially, the CLUMondo model operates with a non-spatial demand module and a spatial
178 allocation module (Wolff et al., 2018; Yang et al., 2022). Key parameters include land-use demand,
179 conversion resistance, and a conversion matrix. Initially, CLUMondo facilitates spatial allocation
180 through empirical spatial analysis and dynamic land-use modeling. The primary driver of land-use
181 change is the demand for regional socio-economic factors and services, such as crops, livelihoods,
182 and construction (Hasan et al., 2020). Additionally, external resource demand generates competition
183 among different land-use types (Smith et al., 2010). In this study, the area of built-up land (e.g.,
184 residential, industrial, roads, and commercial) is used to represent regional land-use demand. Then,
185 the conversion resistance, referring to the reversibility of LULC changes, is configured by transfer-
186 out costs and the reversibility of land-use types, ranging from 0 (easy) to 1 (difficult). Finally, the
187 conversion matrix specifies whether conversions between different land classes are permitted, with
188 values of 0 (conversion not allowed) and 1 (conversion allowed). This structured approach enables
189 accurate forecasting of urban development patterns and supports effective planning for future land
190 use changes in Shanghai.

191 3.2.2 Hydrodynamic compound flood model

192 The hydrodynamic compound flood model used in this study is based on the DHI MIKE
193 modeling suite, which includes modules for simulating ocean, coastal, and urban flood processes
194 (Du et al., 2020; Wang et al., 2019). The performance of MIKE modeling suite is widely recognized

195 for its robustness in simulating complex hydraulic and hydrological processes in coastal, fluvial,
196 and pluvial conditions (DHI MIKE, 2011; Jiang et al., 2021; Wang et al., 2019). Firstly, the MIKE11
197 fluvial model is developed using a one-dimensional grid to ensure efficient large-scale basin river
198 computation. High-resolution LGZ river network, covering approximately 992 km, is discretized
199 into 758 sections with profiles derived from field surveys. River profile positions and riverbank
200 heights are interpolated from upstream and downstream cross-sections and defined at key nodes
201 along the river network. Boundary conditions such as sluice gates and open boundaries are applied
202 to the lower (ocean) and upper (basin) reaches of the river, respectively. Secondly, a two-
203 dimensional finite element coastal and pluvial model, MIKE21, is implemented with a grid
204 comprising 4 million cells to numerically solve the complete 2D Saint-Venant equations (DHI
205 MIKE, 2011; DHI, 2016). The rectangular grid is optimal for urban landscape flood simulation, with
206 the Manning coefficient assigned reflecting different land-use types according to CLUMondo model
207 in 2020, 2035, and 2050 (Du et al., 2020; Wang et al., 2019). Processes such as rainfall-runoff and
208 evaporation-infiltration are also integrated into the MIKE21 model.

209 To implement compound coastal, fluvial, and pluvial flood simulations, a MIKE FLOOD
210 module has been developed, integrating MIKE11 and MIKE21 into a comprehensive flood
211 simulation system, allowing for the nesting of small-scale urban flood model within the large-scale
212 coastal and basin-river flood models (Du et al., 2020; Wang et al., 2019). The module facilitates
213 seamless interaction between MIKE11 and MIKE21, enabling the transfer of driving forces through
214 standardized data input and output formats (Wang et al., 2019). The connection between MIKE11
215 fluvial and MIKE21 coastal flood models is established through lateral coupling across
216 computational grids on both sides of the riverbanks through a two-way coupling method. The
217 CELLTOCELL (weir flow formula) approach links dike heights in the MIKE11 river channel with
218 the MIKE21 floodplain grid, effectively managing dynamic boundary conditions with a dry-wet
219 grid method (DHI, 2016; Wang et al., 2019). This approach, determining water flow direction based
220 on the difference between water level and dike height, is particularly effective for simulating
221 scenarios involving river overtopping (DHI, 2016; Wang et al., 2019).

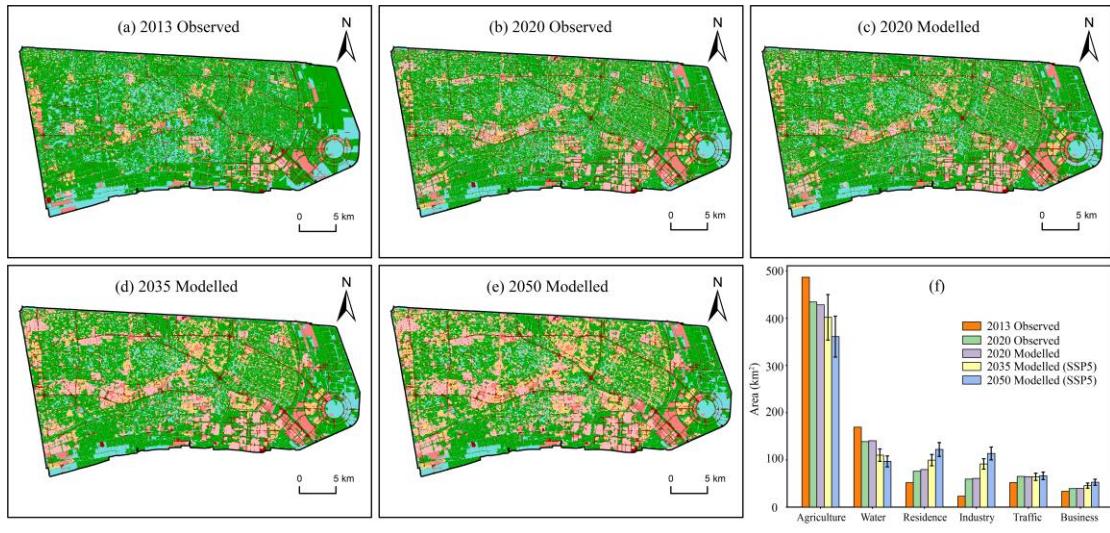
222 3.3 Model calibration and validation

223 3.3.1 Urban-development model validation

224 Urban-development CLUMondo model, starting with the 2013 land-use data, was used to
225 predict future urban expansion until 2050 and validation by comparing to actual land-use
226 distribution in 2020. The 2013 LULC data, along with dominant driving factors as discussed above,
227 were input into the CLUMondo model. Then, the model ran iteratively using customized conversion
228 resistance matrix and LULC demand parameters to predict the 2020 distribution. The process
229 continued until the model achieved an AUC (Area Under the Curve) value reaching between 0.6
230 and 0.82, indicating a moderate to good performance (Yang et al., 2022). The selection of LULC
231 demand algorithms was based on historical trends (2013-2020), extrapolated to forecast future
232 demand according to the growth rate of built-up land, including industrial, residential, roads, and
233 commercial areas (Liao et al., 2022; Yang et al., 2022). The conversion matrix and resistance values
234 were initially based on historical land-use calibration and were then adjusted for current and future
235 conditions based on updated LULC demand.

236 To validate the model, the predicted 2020 land use was compared with the actual 2020 land-
237 use map using Map Comparison Kit 3.0 (Visser and de Nijs, 2006). Initially, conversion resistance
238 was set to 0, prohibiting conversion for residential, industrial, roads, and commercial areas, while

other LULC types were set to 1, allowing conversion. After calibration, the conversion resistance values were modified to 0.76 for residential, 0.6 for industrial, 0.4 for agricultural, 0.92 for roads, 0.93 for commercial, 0.79 for green space, and 0.7 for water. The comparison yielded a Kappa coefficient of 0.85 and a FOM (Figure of Merit) index of 0.08, indicating high model accuracy. Such careful calibration and validation ensured that the CLUMondo model could accurately predict land-use changes, supporting effective flood propagation model and damage investigation in the rapidly developing delta city of Shanghai.



246
247 Fig. 2. Land use distribution map: (a-b) Observed land use distribution for 2013 and 2020, (c-e) Modeled land use
248 distribution for 2020, 2035 (SSP5 scenario), and 2050 (SSP5 scenario). (f) Land use area statistics for each year,
249 with the upper and lower boundaries representing the SSP1 and explosive growth scenarios, respectively.

250 3.3.2 Hydrodynamic model validation

251 The hydrodynamic flood propagation models were calibrated and validated using measured
252 river water levels and flood areas during Typhoon In-fa in 2021. The typhoon, analyzed as a case
253 study, caused storm surges, heavy rainfall, and upstream fluvial flooding, raising water levels in the
254 middle reaches of the Huangpu River. Hourly water levels measured at the Mishidu Hydrological
255 Station (Fig. 1c) were used to calibrate and validate the one-dimensional MIKE11 model (fluvial
256 flood model). Result show that the model accurately captured key fluctuations in water levels,
257 achieving a RMSE (root mean square error) below 0.01, indicating minimal discrepancies between
258 simulated and observed water levels (supplementary Fig. S1). To validate the compound coastal
259 flooding model, we used reported urban flooding area during Typhoon In-fa. Flood areas reported
260 by 110 emergency alarms, news reports, and newspapers were compared with the model's results
261 (supplementary Fig. S2). The simulated flooding areas closely matched the reported waterlogging
262 regions located 1 to 6 in LGZ during the typhoon, confirming the reliable compound flooding
263 simulation.

264 3.4 Scenario simulation

265 3.4.1 Single and compound flood scenarios

266 Typhoon In-fa in 2021 resulted in 283.8 mm of cumulative precipitation in Shanghai from July
267 23 to 27. Additionally, Typhoon In-fa's storm surge coincided with local astronomical high tide,
268 raising the compound storm water level to 5.49 m at the Huangpu Park station, equivalent to 200-
269 year return level periods (SMOB, 2021). The residual circulation of Typhoon In-fa and Cempaka

270 indirectly affected the heavy rainfall in Chinese Zhengzhou, Henan Province through water vapor
271 transport, causing a historically rare heavy rainstorm in Zhengzhou, with the maximum hourly
272 rainfall intensity reaching 201.9 mm, equivalent to 500-year return level periods (ZBNRP, 2023),
273 resulting in 398 deaths, affecting approximately 15 million people, and direct economic losses of
274 \$17.4 billion RMB, known as the Zhengzhou "7·20" rainstorm disaster (Ministry of Emergency
275 Management, PRC).

276 Based on Zhengzhou "7·20" rainstorm event, two flood scenarios were designed to test the
277 effectiveness of flood adaptation measures in Shanghai (see Table in Fig. 3): (a) **Single Heavy**
278 **Rainfall Scenario (SHR Scenario):** This scenario uses rainfall data from the Zhengzhou "7·20"
279 rainstorm event as the primary flood-driving factor (see Fig. 1b). The evaluation considers the
280 impact of heavy rainfall while maintaining the current dike heights and keeping the river channel
281 sluice gate opened. (b) **Compound Storm Surge and Rainstorm Scenario (Compound Scenario):**
282 This scenario combines the storm surge from Typhoon In-fa (see Fig. 1c) with the rainfall data from
283 the Zhengzhou "7·20" rainstorm event. The assessment considers the impact with the current dike
284 heights and the river channel sluice gate open. This configuration allows the storm surge to penetrate
285 tidal rivers, including the Dazhi River, Dishui Lake, Luchao River, Tuanlu River, Zhonggang River,
286 Nanmen River, and Jinhui River (see Fig. 1a). Additionally, the upstream boundaries of the Dazhi
287 and Jinhui Rivers, which are connected to the Huangpu River, are set as open discharge boundaries
288 using measured time-series river flow during the event.

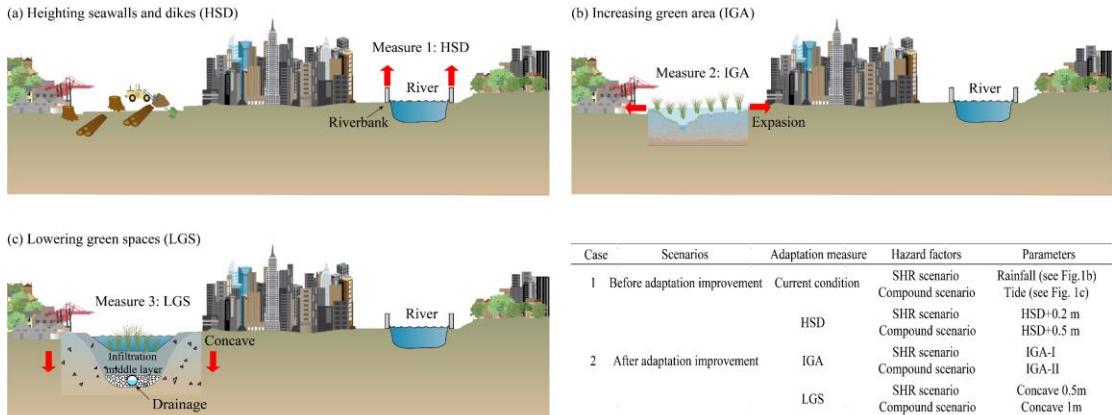
289 3.4.2 Flood simulation with adaptation

290 The designed flood adaptation measures include both soft and hard engineering strategies,
291 specifically: heightening seawalls and dikes (HSD), increasing green area (IGA), and lowering
292 green space (LGS) (refer to Fig. 3 for the conceptual model diagram). HSD represents a traditional
293 hard engineering method, while IGA and LGS are classified as Low Impact Development (LID)
294 measures under the sponge city concept (Hu et al., 2019). The specific configurations are detailed
295 below:

296 (a) **HSD:** This involves increasing the current dike height by 0.2 m ~ 0.5 m to evaluate the
297 impact on mitigating SHR and compound floods.

298 (b) **IGA:** Based on the 2035 LGZ urban planning map (IGA-I) and 2050 CLUMondo urban-
299 development modeling (IGA-II), public green spaces and farmland in severely inundated areas were
300 converted to green spaces with enhanced infiltration capacity. After implementing IGA, the soil
301 infiltration rate for the selected green spaces was set to 10 mm/h, a significant improvement
302 compared to the low permeability of urban areas affected by human activities.

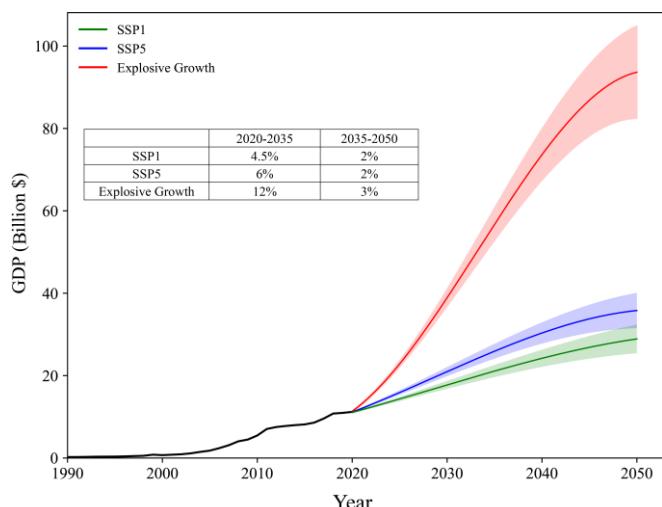
303 (c) **LGS:** In addition to the functions of IGA, the green areas were lowered by 0.5 m ~ 1 m as
304 a flood storage and retaining area to test the sensitivity of reduced land elevation on flood mitigation.



305
306 Fig. 3. Conceptual model of the tested adaptation measures. HSD represents the strategy of heightening seawalls
307 and dikes to prevent coastal and river overtopping. IGA involves increasing green area to enhance soil infiltration,
308 as illustrated by the projected urban green area for 2035 and 2050 shown in Fig. 1c. LGS refers to lowering green
309 space by creating concave landscapes to retain the flooding water.

310 3.4.3 Future socio-economic scenario

311 In this section, we use the Shared Socioeconomic Pathways (SSPs) framework and GDP
312 growth rates to estimate future asset values in Shanghai (Jiang et al., 2021). We calibrated key
313 socioeconomic parameters—such as labor input, total productivity factor, and capital stock—using
314 the Cobb-Douglas economic forecasting model, drawing on data from Shanghai's population
315 censuses and economic statistical yearbooks to project potential economic trends and their
316 implications for asset valuations. Based on historical GDP data (1990-2020) for LGZ (SMBS, 2023),
317 we projected future asset values under three socio-economic scenarios (Fig. 4): sustainable
318 development (SSP1), conventional development (SSP5), and explosive development for 2035 and
319 extending to 2050 with different growing rate (Jiang et al., 2018a). We then estimated the spatial
320 distribution of asset values in LGZ for 2035 and 2050 under these scenarios using the CLUMondo
321 model (Fig. 2). This approach allows to understand how different development pathways could
322 impact the distribution and value of social assets in Shanghai over the coming decades.



323
324 Fig. 4. Scenario-based GDP forecast in Shanghai Lingang Pilot Free Trade Zone for the period 2020-2050. The
325 figure illustrates GDP projections under three different scenarios: SSP1, SSP5, and explosive growth. The solid lines
326 represent the median GDP values, while the shaded areas indicate the 95% confidence interval. The accompanying

327 table shows the average annual growth rates for two sub-periods, 2020-2035 and 2035-2050, highlighting the
328 differences between each scenario.

329 3.5 Asset exposure and loss calculations

330 Asset exposure to flooding is assessed by identifying grid cells with a flood depth of 0.3 m
331 (about 1 foot) or more, based on practical considerations of damage to structures and vehicles as
332 well as human safety, following Flood Risk Mapping Standards of the People's Republic of China
333 (Guidelines for Flood Risk Mapping, 2017). This evaluation includes assets in residential, industrial,
334 agricultural, roads, and commercial sectors, while excluding green spaces and water bodies. Using
335 ArcGIS software, we performed raster calculations to determine both current and future asset
336 exposure under present and adaptation conditions. For asset loss analysis, we evaluated damage
337 based on vulnerability curves specific to various land-use types, as outlined by Yin et al. (2012).
338 The simulated flood inundation extent was overlaid with the spatial asset distribution map. By
339 multiplying the inundation depth with the asset distribution, we calculated direct economic losses,
340 employing the vulnerability curves for each land-use category (supplementary Fig. S3).

$$341 E = G \cap H \quad (1)$$

342 where, E is the total exposed asset, G is the asset spatial distribution, and H is the flooding area.

343 The asset losses for each land-use type are assessed by integrating the exposed assets and
344 vulnerability curves considering flooding depth.

$$345 d(x) = \int_0^x v_{(x)} E dx \quad (2)$$

346 where, $v_{(x)}$ is the vulnerability curve of various land use types, and x is inundation depth. This
347 approach ensures a comprehensive assessment of potential flood impacts on different sectors within
348 Shanghai.

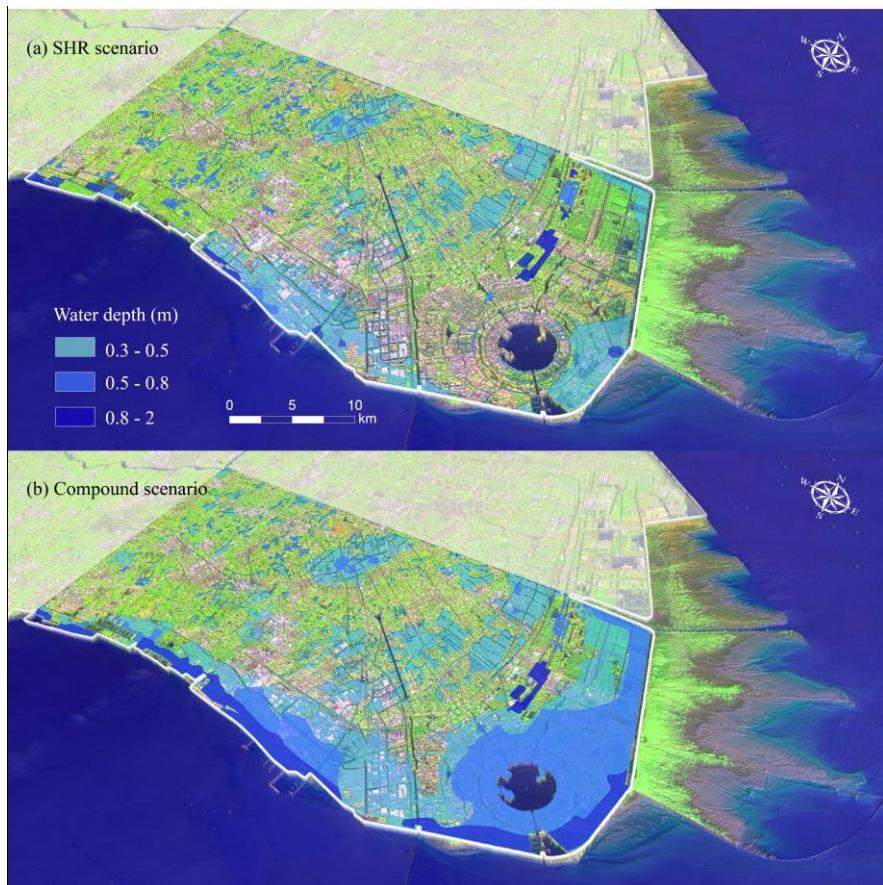
349 4. Results

350 4.1 Compound flooding in Shanghai

352 Modeling results reveal that an extreme rainstorm, similar to the Zhengzhou "7·20" rainstorm
353 event, could lead to severe urban flooding in Shanghai. In such SHR scenario, 219 km² (27%) of
354 LGZ would be submerged, with water depths exceeding 0.3 m (see supplementary Table S1).
355 Flooding would be more severe in the eastern and southern coastal areas compared to the western
356 and northern inland regions, primarily due to topographical constraints. Severely inundated areas,
357 mainly in coastal regions and inland areas, would experience water depths of 0.3 to 0.5 m, covering
358 103.7 km². Areas with water depths exceeding 0.5 m are relatively limited, representing 6% of the
359 flooded region. These areas are primarily located in towns with dense river networks and low
360 elevations, where fluvial floods accumulate and cause significant river overtopping. Most areas
361 experiencing severe flooding depths exceeding 1 m consist of low-lying farmland. In contrast,
362 buildings and towns typically face shallower flooding, generally not exceeding 0.5 m.

363 The compound flood modeling reveals a significant increase in inundation area deeper than 0.3
364 m, reaching 349 km², which is 16% greater than the area affected under the SHR scenario (see
365 supplementary Table S1). Severe flooding, with depths exceeding 0.5 m, expanded by an additional
366 94.5 km² in the coastal zone. Moreover, the joint zone between the coastal and inner regions, with
367 flood depths exceeding 0.5 m, expanded to cover 130 km². Flood source tracking analysis indicates
368 that seawall overtopping is minimal, underscoring the critical role of coastal embankments in flood
369 mitigation. Although coastal areas are protected by seawalls, there are seven river sluice gates

370 evenly distributed along the LGZ coast, which serve as the main channels for ocean tide intrusion.
 371 The storm surge from Typhoon In-fa transformed into high tides, leading to a considerable seawater
 372 influx into tidal rivers. This influx, combined with basin floodwater from the Huangpu River,
 373 resulted in the overtopping of riverbanks and rapid expansion of flooded areas. Therefore, coastal
 374 flooding notably exacerbates fluvial and pluvial flooding through tidal penetration and backwater
 375 effects via tidal rivers. Flood propagation analysis shows that the polder effect amplified the
 376 compound flooding, where waterlogging is obstructed by the seawall around the Yangtze River
 377 Delta.



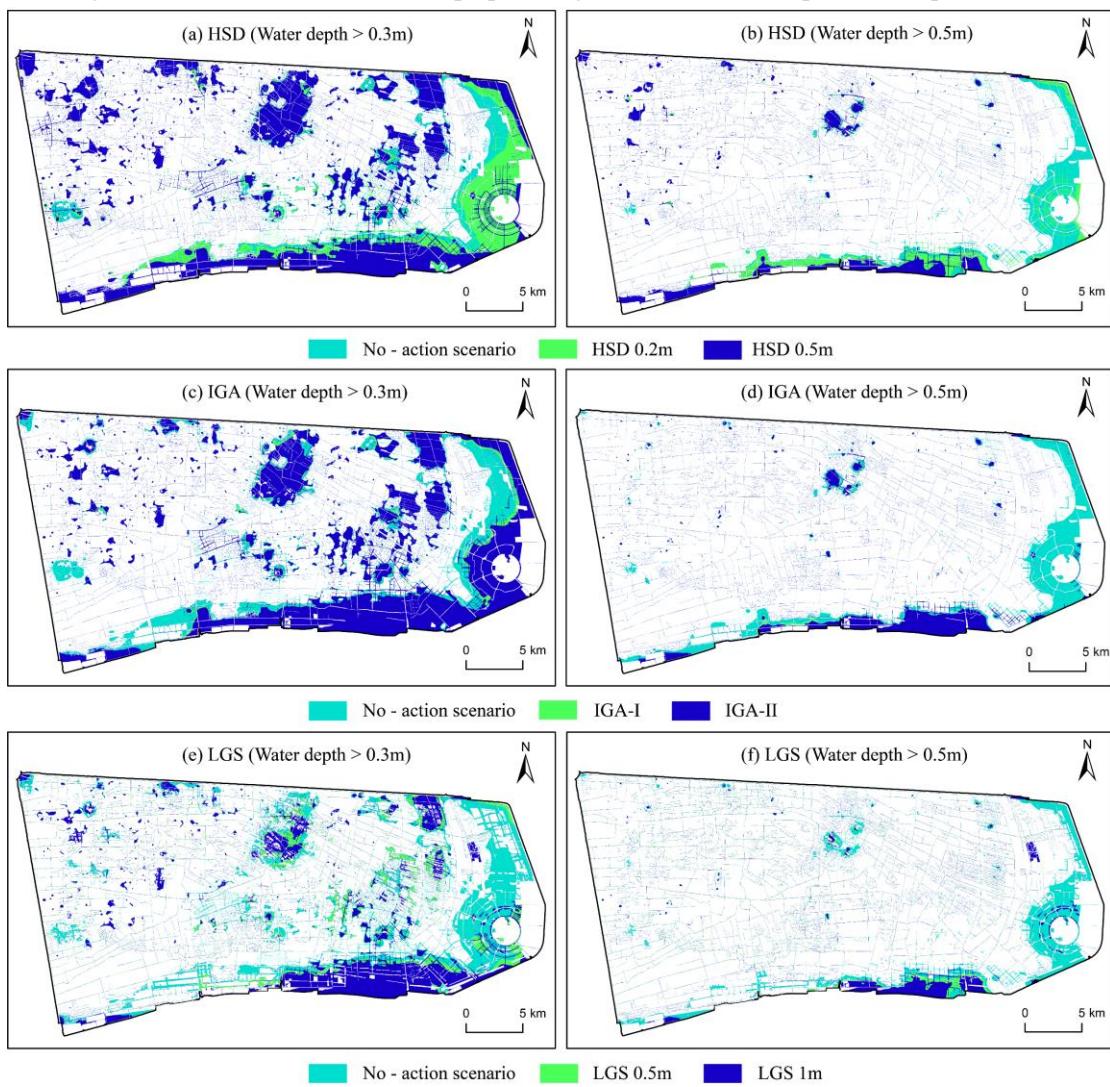
378
 379 Fig. 5. Three-dimensional view of flooding distribution in the Shanghai Lingang Pilot Free Trade Zone under (a)
 380 Single Heavy Rainfall Scenario (SHR scenario) and (b) compound scenario.

381 4.2 Flood adaptation measures

382 Three adaptation solutions were assessed for their efficacy in mitigating SHR and compound
 383 flooding in LGZ. The first solution, HSD effectively reduces fluvial flooding by preventing river
 384 overtopping. A 0.5 m increase in HSD height reduces the flooding area in LGZ by 24% compared
 385 to a do-nothing scenario, equivalent to 83 km². This reduction is particularly notable in coastal and
 386 western inland areas where flooding is most significant. The second solution, LID strategies,
 387 includes IGA and LGS. IGA-I enhances rainwater infiltration and aquifer retention, reducing the
 388 flooding area by 15% and IGA-II further reduced flooding area by 20%. This strategy significantly
 389 decreases flooding around Dishui Lake but has less impact on other coastal areas. Conversely, LGS
 390 is highly effective in reducing waterlogging. Lowering LGS by 0.5 m reduces flooding near Dishui
 391 Lake to less than 0.3 m. Further lowering LGS to 1 m decreases the flooding area by 21% (see
 392 supplementary Table S1). Thus, LGS 1 m proves more effective in waterlogging removal compared

393 to IGA-II and HSD 0.5 m (see Fig. 6).

394 Although the three adaptation solutions exhibit similar flood mitigation functions in coastal
395 areas, their effectiveness varies for the inland areas (see Fig. 6). Modeling results show that the most
396 effective measures for flood risk reduction are LGS 1 m, HSD 0.5 m, and IGA-II, reducing
397 compound scenario flooding by 51%, 24%, and 20%, respectively. Thus, LGS emerges as the most
398 effective solution for reducing flooded areas. While HSD is less effective in reducing pluvial
399 waterlogging may also require additional pumping stations for optimal regional water removal, it
400 effectively prevents river overtopping from tidal penetration-induced fluvial flooding. Conversely,
401 IGA and LGS primarily mitigate waterlogging from rainfall-runoff. Although these low-impact
402 development measures cannot prevent flooding from entering the city, they retain water in aquifers
403 and concave low-lying spaces, reducing urban waterlogging by redirecting flooding via roads and
404 squares to surrounding green areas. Considering the multifunctional flood mitigation functions of
405 LID measures on coastal, fluvial, and pluvial flooding, LGS and IGA shows significant potential
406 for integration into future urban landscape planning focused on low-impact development.



407
408 Fig. 6. Comparison of flooding depth distribution in Shanghai Lingang Pilot Free Trade Zone for the adaptation
409 measures of (a, b) heightening seawall and dike (HSD) by 0.2~0.5 m, (c, d) increasing green area (IGA), and (e, f)
410 lowering green spaces (LGS) by 0.5~1 m illustrated in Fig. 3. The maps illustrate water depth distributions for flood
411 depths greater than 0.3 m and 0.5 m under no-action scenarios and the corresponding adaptation measures,

412 highlighting their effectiveness in reducing flood risk.

413 4.3 Asset exposure amplification and adaptation

414 Numerical model calculation indicates that assets valued at \$4 billion are currently exposed to
415 the SHR scenario in the LGZ area. In the compound flooding, this exposure could potentially nearly
416 double, increasing to \$7 billion. As urbanization continues under Shared Socioeconomic Pathways
417 (SSP1 to SSP5) scenarios and particularly under the potential explosive growth scenarios, asset
418 exposure is projected to significantly increase in the future (Fig. 7). Specifically, for compound
419 flooding, exposure is expected to rise by 360% by 2035 and further by up to 820 % by 2050,
420 reflecting the intensified risk with ongoing urban expansion. Modeling on asset exposure across
421 different land-uses indicates that, in 2020, the land-use types most exposed to flood risks were
422 industry and agriculture, accounting for 24% and 27% of the total exposure in LGZ. As urbanization
423 progresses, in the future the exposure profile is anticipated to shift, with industry and residence
424 becoming the most exposed, increasing to around 45% and 22% by 2050. Conversely, the proportion
425 of agriculture exposure is expected to decline and become the smallest, accounting for only 5% in
426 2050, reflecting the ongoing conversion of agricultural land to other uses. Notably, the magnitude
427 of increase in exposure for land-use of industry or residence is 18 or 8 times the decrease in
428 agriculture's exposure, highlighting a disproportionate acceleration amplification in asset exposure
429 across different land-uses.

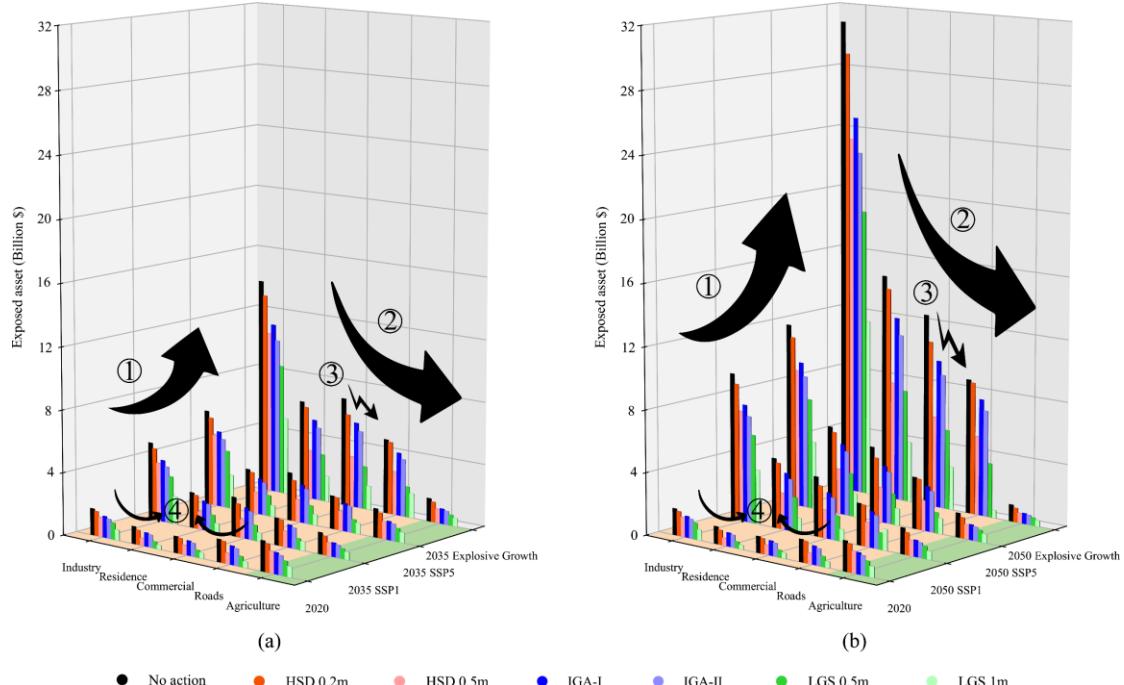
430 Our analysis of mitigation measures on asset exposure across different land-uses shows that
431 adaptation measure of LGS outperforms HSD and IGA in flood mitigation. However, the
432 effectiveness is highly dependent on specific parameter configurations. Measures with higher HSD,
433 larger IGA, and lower LGS provide better flood mitigation but are constrained by construction costs,
434 space availability, and urban landscape design considerations (Fig. 8). For example, in 2020,
435 implementing HSD measures at different heights (0.2 m~0.5 m) could reduce compound flooding
436 exposure by 7%~39%. IGA of different scale (18.1km² ~ 61km²) could reduce exposure by
437 19%~28%, while LGS measures at 0.5m~1m could reduce exposure by 50%~67%, making LGS at
438 1 m the most effective in reducing current asset exposure (Table 1). Looking forward, under SSP1,
439 SSP5, and explosive growth scenarios, the effectiveness of these adaptation measures intensifies.
440 For example, compared to 2020, HSD at 0.5 m could reduce exposed assets increased by 4.5 times
441 by 2035 and by 8.8 times by 2050 (Table 1). IGA-II and LGS 1 m measures also show significant
442 potential to reduce asset exposure (Table 1), particularly for industry assets (Fig. 7). Specifically,
443 LGS at 1 m could reduce industry asset exposure by \$1 billion in 2020 and by \$6 billion, \$7 billion,
444 and \$19 billion under different scenarios by 2050. To ensure the safety of future industrial
445 development and support the harmonious growth of a green economy, we recommend integrating
446 LGS measures with urban park planning as part of a long-term sustainable development strategy.

447 Table 1. Current and future asset exposure (billion \$) across various socio-economic development scenarios and
448 adaptation measures (HSD, IGA, and LGS) with parentheses show 95% confidence interval.

Exposure asset (billion \$)	2020		2035		2050		
	SSP1	SSP5	Explosive growth	SSP1	SSP5	Explosive growth	
SHR scenario	4	6 (4~9)	8 (5~11)	16 (11~23)	11 (7~16)	13 (8~19)	33 (20~48)
Compound scenario	7	13 (8~18)	16 (10~22)	33 (22~46)	22 (13~31)	27 (16~38)	66 (41~97)
HSD 0.2m	7	12 (8~16)	15 (9~20)	31 (20~43)	20 (12~29)	25 (15~35)	61 (38~90)
HSD 0.5m	4	8 (5~11)	10 (6~14)	21 (13~28)	14 (8~20)	17 (10~24)	42 (26~61)
IGA-I	6	10 (7~14)	13 (8~17)	27 (17~37)	17 (11~25)	21 (13~30)	53 (33~78)

IGA-II	5	9 (6~13)	11 (7~16)	24 (16~34)	16 (10~23)	19 (12~28)	48 (30~71)
LGS 0.5m	4	6 (4~9)	8 (5~11)	17 (11~23)	11 (7~16)	14 (8~20)	34 (21~50)
LGS 1m	2	4 (3~5)	5 (3~7)	10 (7~14)	7 (4~10)	8 (5~12)	19 (12~27)

449



450

451 Fig. 7. Comparison of current and future asset exposures in the Shanghai Lingang Pilot Free Trade Zone under
 452 various adaptation measures versus a no-action scenario. The charts illustrate the estimated exposed asset (in billions
 453 \$) across different sectors (industry, commercial, roads, residence, agriculture) for two future timeframes (2035 and
 454 2050) under scenarios such as HSD 0.2m, HSD 0.5m, IGA-I, IGA-II, LGS 0.5m, LGS 1m, and the no-action scenario.
 455 The left chart shows projections for 2035, while the right chart depicts projections for 2050, both considering SSP1,
 456 SSP5, and explosive growth conditions. Arrows indicate ① trends of increasing exposure with urbanization
 457 acceleration, ② the impact across different sectors, ③ differences in effectiveness between adaptation measures,
 458 and ④ exposure across different sectors in 2020.

459 4.4 Asset losses amplification and adaptation

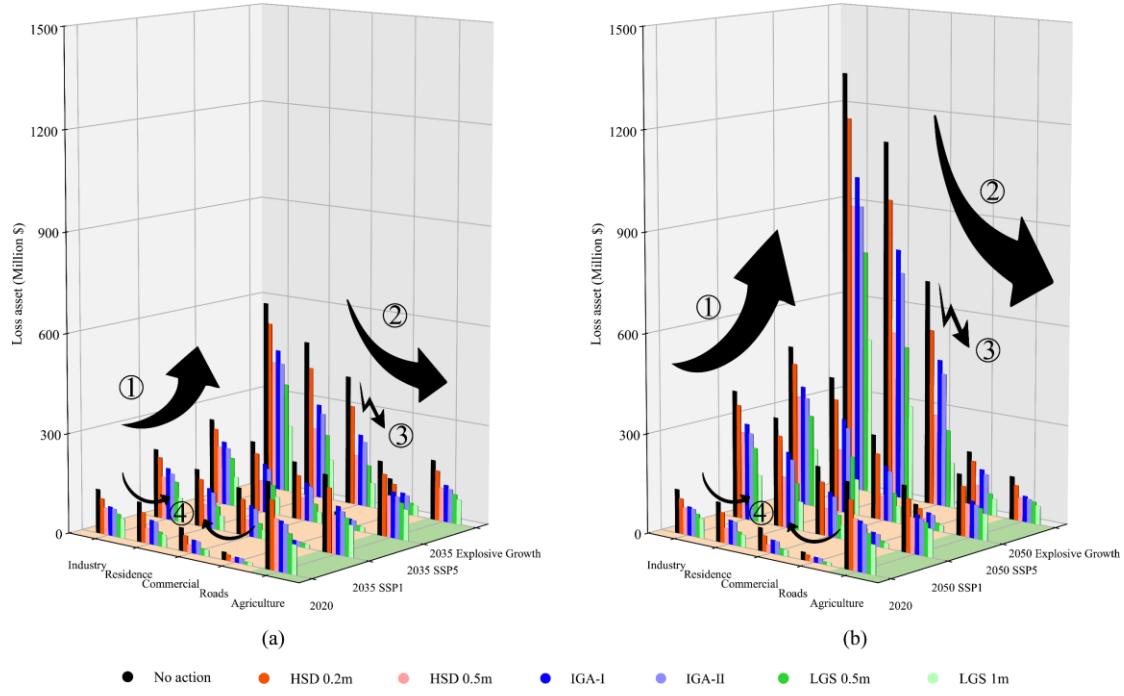
460 Unlike asset exposure, which only considers the presence of assets in flood-prone areas, asset
 461 loss evaluates the damage based on specific water depths. According to the inundation loss curve,
 462 losses tend to increase linearly with greater water depths. Therefore, assessing asset loss requires
 463 focusing on the distribution and types of assets in areas experiencing significant inundation. Under
 464 compound flood scenarios, regions with water depths exceeding 0.5 m are primarily found along
 465 the northern coast of Hangzhou Bay (Fig. 6). This region, the previous mudflats, was reclaimed and
 466 initially converted into farmlands (Fig. 1a). With the establishment of the Pilot Free Trade Zone in
 467 2019, the new city's development has transformed the area into high-tech development zones with
 468 industrial parks, commercial, and residential areas (Fig. 2). These new districts, unlike older urban
 469 areas, are characterized by high technology and significant asset value, making them especially
 470 vulnerable to flooding, particularly deep-water inundation. For instance, the Ocean New City—
 471 Lingang Dishui Lake area is among the five major new cities prioritized for development in
 472 Shanghai; The LGZ on the northern coast of Hangzhou Bay establishes the Tesla Gigafactory
 473 Shanghai completed in 2019. This region also includes critical infrastructure such as sewage

474 treatment plants, petrochemical facilities, and key hazardous chemical enterprises.

475 Future asset losses in Shanghai are projected to increase significantly, particularly under
476 scenarios of explosive economic growth (see Table 2, Fig. 8). By 2035, losses could rise by 42%,
477 65%, and 214% under Shared Socioeconomic Pathways (SSP1 to SSP5) and especially for
478 explosive growth scenario. By 2050, these figures could further escalate by 74% ~ 292%,
479 representing 0.3~0.4% of GDP, indicating a substantial rise in risk associated with continued urban
480 expansion. Analysis of different land uses in 2020 reveals that agriculture and industry were the
481 most vulnerable to flood risks, contributing to 43% and 22% of the total potential losses in LGZ
482 (Fig. 8). As urbanization continues, the most affected land-uses are predicted to shift, with
483 agricultural asset losses decrease significantly from \$233 million in 2020 to \$179 million in 2035
484 and \$128 in 2050. By 2035, industry and residential areas are expected to become the most
485 vulnerable, increasing their share to 34% and 28%, and by 2050, these figures could reach 38% and
486 32%, respectively. In various scenarios, road asset losses remain minimal. Based on the adaptation
487 measures of HSD, IGA, and LGS for proactive asset explore protection analysis as discussed above,
488 it is evident that asset loss mitigation shows a similar effect across these measures. Therefore, in
489 addition to conventional hard engineering measures like HSD, effective LID measures such as IGA
490 and LGS can be implemented around industrial, agricultural, and commercial areas to mitigate asset
491 loss.

492 Table 1. Current and future asset loss (million \$) across various socio-economic development scenarios and
493 adaptation measures (HSD, IGA, and LGS) with parentheses show 95% confidence interval.

Loss asset (million \$)	2020		2035		2050	
	SSP1	SSP5	Explosive growth	SSP1	SSP5	Explosive growth
SHR scenario	241	324 (210~450)	374 (243~519)	714 (463~988)	493 (303~715)	599 (368~869)
Compound scenario	546	777 (505~1077)	899 (584~1248)	1715 (1111~2374)	1171 (720~1689)	1424 (876~2064)
HSD 0.2 m	469	653 (424~907)	756 (491~1050)	1444 (936~1999)	988 (608~1439)	1203 (740~1745)
HSD 0.5 m	312	415 (269~443)	478 (311~664)	903 (585~1251)	633 (390~918)	738 (454~1070)
IGA-I	382	525 (341~728)	622 (404~863)	1209 (783~1376)	815 (501~1182)	997 (613~1446)
IGA-II	347	477 (310~662)	565 (367~785)	1099 (712~1251)	741 (456~1075)	906 (557~1314)
LGS 0.5 m	260	360 (234~500)	415 (270~576)	781 (506~1082)	556 (342~806)	673 (414~977)
LGS 1 m	211	249 (162~345)	282 (183~392)	506 (328~702)	371 (228~539)	445 (273~645)
	494					992 (613~1449)

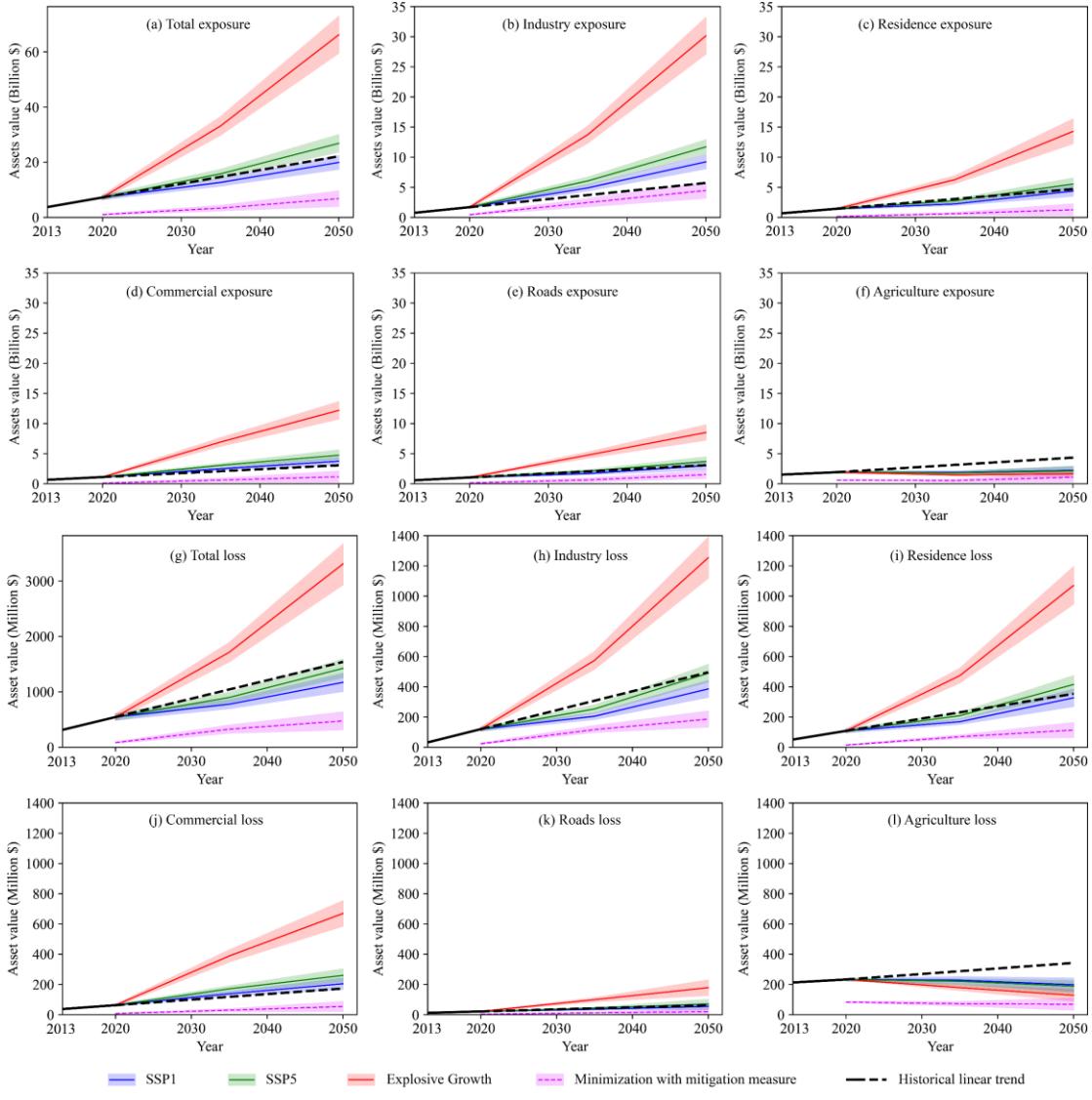


495

496 Fig. 8. Comparison of current and future asset loss in the Shanghai Lingang Pilot Free Trade Zone under various
497 adaptation measures versus a no-action scenario. The charts illustrate the estimated loss assets (in million \$) across
498 different sectors (industry, commercial, roads, residence, agriculture) for two future timeframes (2035 and 2050)
499 under scenarios such as HSD 0.2m, HSD 0.5m, IGA-I, IGA-II, LGS 0.5m, LGS 1m, and the no-action scenario. The
500 left chart shows projections for 2035, while the right chart depicts projections for 2050, both considering SSP1,
501 SSP5, and explosive growth conditions. Arrows indicate ① trends of increasing loss over urbanization acceleration,
502 ② the impact across different sectors, ③ differences in effectiveness between adaptation measures, and ④ loss
503 across different sectors in 2020.

504 4.5 Flood risk amplification mechanism

505 The analysis of flood risk amplification mechanisms reveals that under rapid urbanization in
506 the LGZ, particularly after 2035, both flood exposures and losses increase are expected to accelerate
507 (Fig. 9a, g). The projected economic growth in the LGZ, driven primarily by international free trade
508 potential, will be accompanied by industrial development and a rapid GDP increase (Fig. 2, 4). This
509 growth is leading to a significant expansion in industrial, residential, and commercial areas, which
510 have increased by 48% of the area in LGZ. The conversion of low-valued agricultural land to high-
511 valued industry and residence land-uses is a key factor for the increase in the assets at risk.
512 Specifically, compared to 2020, the assets exposed and lost in industrial and residential areas are
513 projected to be more than triple by 2035 and increase tenfold by 2050. These two land-use types are
514 anticipated to account for over two-thirds of the total flood risk in the LGZ, with industry
515 contributing 36% and residential areas 30%. In contrast, agricultural losses are initially high,
516 reaching 43%, but show a slow increase followed by a rapid decrease to only 4% in 2050 (refer to
517 Fig. 9l). However, the future of the LGZ as an urban economic zone focused primarily on high-
518 valued industry and residential service suggests that the reduction in low-valued agricultural losses
519 will not significantly impact the overall amplification trend in exposure and losses in the LGZ area.
520



521

522

523 Fig. 9. Historical trends (2013-2020) and projected asset exposures (a-f) and asset losses (g-l) across various sectors
524 (industry, residence, commercial, roads, agriculture) from 2020 to 2050 under different socioeconomic growth
525 scenarios and the mitigation measure. The shaded area represents the 95% confidence interval.

526 4.6 Urban flood adaptation planning

527 Adopting integrated approaches to urban planning is essential to address both the immediate
528 and long-term flooding challenges posed by urbanization. The flooding challenges faced by
529 Shanghai highlight the need for robust flood management strategies that combine traditional
530 engineering solutions with green infrastructure. We proved that the implementation of green spaces,
531 permeable surfaces, and LID solutions, such as IGA and LGS, can significantly reduce flood risks.
532 Based on the Land and Space Master Plan for the International Pilot Free Trade Zone of Shanghai
533 (2019-2035), the urban parks and green spaces are mainly located around developed areas (pink
534 area in Fig. 10). Our research shows that increasing these green spaces in the highlighted red zones
535 significantly reduces the pluvial flooding risks. Therefore, we recommend expanding green spaces
536 in these areas to enhance flood mitigation and ecological functions. Additionally, strengthening the
537 endangered riverbanks of the highlighted blue sections is essential to prevent fluvial overtopping
538 (Fig. 10). By a combination of these measures, the flooding to LGZ could be significantly reduced.
539 The benefits of these flood adaptation measures go beyond reducing asset exposure and economic

540 losses; they also improve the ecological environment and support sustainable urban development.
541 By integrating innovative urban flood control planning and prioritizing ecological sustainability, the
542 urban center of Shanghai can be better protected in the context of rapid urbanization.



543
544 Fig. 10. Suggested improvement for the Land and Space Master Plan of the International Pilot Free Trade Zone
545 (2019-2035) based on optimizing flood adaptation capacity. The map illustrates enhanced resilience strategies to
546 address potential future compound flooding loss, including 2035 planned urban green areas (pink), suggested new
547 urban green areas (red), and suggested riverbank enhancements (blue).

548 5. Discussion

549 Despite the trillions of dollars in assets located in coastal flood-prone delta areas (Aerts et al.,
550 2014), investments in flood protection have often been insufficient (Jiang et al., 2018b). This is due
551 to factors such as short-term economic considerations (de Ruig et al., 2019), a lack of consensus on
552 the effectiveness of protection measures (Du et al., 2020), and concerns about making irreversible
553 decisions that may become suboptimal over time (Aerts et al., 2014)). In fast-developing delta cities
554 like Shanghai, rapidly urbanization causes future landscapes and infrastructure to change quickly,
555 making effective flood control planning and decision-making increasingly difficult to predict. To
556 help inform policy decisions, we have developed a detailed modeling approach to evaluate plausible
557 flood management strategies. In this study, we present three measures of HSD, IGA, and LGS aimed
558 at reducing flooding using a systematic model study and evaluation. We applied flood depth-
559 damage curves to estimate potential loss of buildings and infrastructure at the census block level. A
560 conceptual framework was proposed to define the roles of physical scientists, practitioners, and
561 knowledge brokers to better inform decision-makers in managing flood risk for building resilient
562 delta cities.

563 5.1 Increasing flood risks due to rapid urbanization of delta city

564 Cities in delta regions are particularly vulnerable to flood risks, including aggravated storm
565 surges, fluvial flooding, and rainfall runoffs (Tessler et al., 2015; Jongman, 2018; Maymandi et al.,
566 2022). Moreover, rapid urbanization deteriorates the ecosystem functions on flood mitigation (Chen
567 et al., 2019; Wu et al., 2024). These challenges are significant obstacles to urbanizing deltas
568 worldwide (Aerts et al., 2014; Dixon et al., 2006; Jongman, 2018; Tessler et al., 2015). Shanghai,
569 situated in the Yangtze River Delta, is one of the world's largest estuarine delta cities and historically
570 susceptible to compound flooding due to its low-lying topography and estuarine features (Balica et
571 al., 2012; Hallegatte et al., 2013). Our study demonstrated the potential severe impact of the

573 Zhengzhou "7·20" rainstorm flood event in Shanghai under both single and compound flood risks.
574 The rainstorm alone resulted in flooding over 219 km² (27% of the total area), causing an economic
575 loss of \$241 million. When combined with a storm surge, the flooded area expanded by 16% to 349
576 km², and economic losses increased by 1.3-fold to \$546 million. This highlights the urgent need for
577 enhanced adaption strategies to address the unique flooding challenges faced by Shanghai.

578 The establishment of the China Pilot Free Trade Zone in Shanghai in 2019 is expected to
579 increase vulnerability to flood-related disasters due to rapid urbanization (Yin et al., 2019).
580 Explosive economic growth will transform 68 km² of agricultural land into industrial and residential
581 land by 2050. Consequently, assets exposed to single and compound flooding are projected to
582 increase fourfold and eightfold by 2035 and 2050, respectively, with asset losses expected to rise
583 twofold and fivefold due to continued urban development. The rapid GDP growth driven by
584 urbanization in LGZ significantly amplifies the impacts of extreme flooding. The increase in
585 impermeable surfaces, including the removal of vegetation and soil, contributes to the amplification
586 of flooding, particularly for pluvial flood risks (Chen et al., 2019; Wang et al., 2020; Wu et al., 2024).
587 The expansion of urban areas and impervious surfaces, especially in industrial and residential areas,
588 further exacerbates flood losses (Wahl et al., 2015; Yang et al., 2022; Zhang et al., 2018). Given the
589 anticipated rapid urbanization, comprehensive flood management strategies and the development of
590 low-impact urban flood control facilities are essential for mitigating escalating flood risks in rapidly
591 developing Shanghai and similar coastal cities.

592 5.2 Comparison of flood adaptation measures

593 Following traditional urban planning principles, flood control in developing delta cities
594 typically relies on hard engineering solutions such as dikes to prevent river overflow, seawalls to
595 block seawater overtopping, and drainage systems to manage rainfall-induced waterlogging (Sun et
596 al., 2021). However, as in many cities, these large-scale engineering measures have been criticized
597 because they are costly or may harm the environment (Du et al., 2020; Dunlop et al., 2023; van
598 Slobbe et al., 2013). This research evaluated the hard engineering of HSD for fluvial and coastal
599 flood prevention compare to the green engineering of IGA and LGS for pluvial flood prevention
600 based on numerical simulations. Results indicate that raising dikes by 0.2~0.5 m effectively reduces
601 flooding in large parts of the city. However, implementing HSD is challenging due to foundation
602 stability issues and potential urban landscape disruption (Yi, 2018). Furthermore, HSD is less
603 effective for pluvial flooding, necessitating regional pumps and sluice gate joint operations. In
604 contrast, green engineering of LID measures present promising alternatives. While IGA may not
605 provide the greatest reduction in asset exposure, it significantly benefits the ecological environment
606 and sustainable city development. Moreover, by lowering the green area, LGS provides the greatest
607 reduction in exposed assets and losses. However, either LGS or IGA does not prevent flood waters
608 from entering the city and they alter the urban landscape and require strategic alignment with urban
609 planning. These results highlight the importance of integrated adaptation strategies in urban
610 planning to optimize compound flood resilience.

611 High investment costs can hinder the implementation of flood adaptation measures. According
612 to dike construction standards (Du et al., 2020), raising the 992 km dike in LGZ by 0.5 m is
613 estimated to cost \$660 million in 2020. Assuming an annual maintenance cost of 1% of the initial
614 cost, total costs of HSD by 2035 and 2050 will be approximately \$759 million and \$858 million,
615 respectively. For IGA, using sponge city construction costs as a reference (Hu et al., 2019), the
616 initial cost for the planned green area of 64 km² in LGZ is \$500 million in 2020. Including

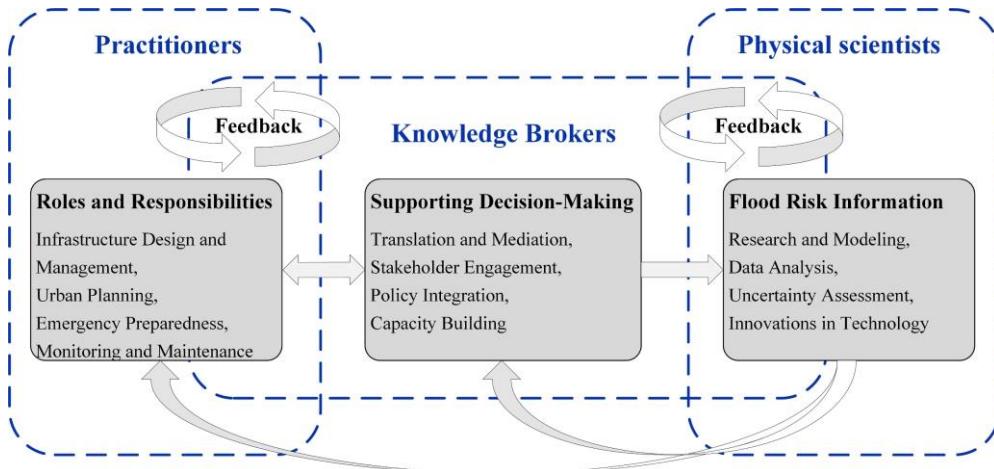
617 maintenance, total costs by 2035 and 2050 will be \$650 million and \$750 million, respectively. LGS
618 involves land excavation in addition to IGA. The construction cost for 1 m of LGS is \$2 billion in
619 2020, with total costs by 2035 and 2050 reaching \$2.2 billion and \$2.5 billion, respectively,
620 including maintenance. A comprehensive analysis reveals that while hard HSD strategies offer
621 robust protection against coastal and fluvial flooding with immediate effect, LID strategies of IGA
622 and LGS provide additional benefits in mitigating pluvial flooding and enhancing ecological
623 resilience. Among these, LGS provides the most effective overall flood mitigation, while IGA has
624 the best benefit/cost ratio, although they require significant land and has limited efficacy against
625 coastal flooding. Managing compound flooding is complex and requires consideration of regional
626 drainage systems, pumping, and underground pipelines. Therefore, a combination of drainage
627 enhancement, green area expansion, and even deep tunnels is recommended (Hu et al., 2019). A
628 cost-benefit analysis of these adaptation measures should be designed according to the specific flood
629 risk characteristics of each city, which vary among coastal cities.

630 5.3 Implications for building resilient delta cities

631 Floods account for the largest portion of insured losses among global catastrophes (Aerts et
632 al., 2014). Adopting integrated approaches to urban planning is essential to address both the
633 immediate and long-term flooding challenges posed by climate change and rapid urbanization
634 (Aerts et al., 2014; Du et al., 2020; de Ruig et al., 2019). The flooding challenges faced by Shanghai
635 highlight the need for comprehensive flood management strategies that combine traditional
636 engineering solutions with green infrastructure. We proved that building resilient cities must
637 prioritize the integration of green infrastructure and LID solutions alongside traditional engineering
638 methods. The methodology developed in this study is transferable to other delta cities and coastal
639 communities worldwide, where flood resilience plans are under active discussion. The effective
640 flood protection strategies often evolve through long-term, iterative processes (de Ruig et al., 2019;
641 Du et al., 2020; Dunlop et al., 2023; Green et al., 2021). Notable examples include large-scale flood
642 protection measures implemented in the Netherlands (van Slobbe et al., 2013), New Orleans, USA
643 (Hallegatte, 2006), and the Wadden Sea region in Germany (Markus-Michalczyk, 2023), which have
644 delivered significant economic and environmental benefits. These international cases provide
645 valuable insights and inspiration for developing effective flood resilience policies in other
646 vulnerable delta cities.

647 In order to better inform decision-makers about managing flood risks in vulnerable delta cities,
648 collaboration is required among physical scientists, practitioners, and knowledge brokers to translate
649 scientific knowledge into actionable strategies (Fig. 11). Physical scientists are responsible for
650 generating and validating scientific knowledge about flood risks, including hydrological,
651 meteorological, and geomorphological processes. Practitioners are on-the-ground professionals who
652 implement strategies and manage infrastructure to reduce flood risk. Knowledge brokers act as
653 intermediaries between scientists, practitioners, and decision-makers, ensuring that scientific
654 findings are effectively communicated and integrated into policy and practice. For decision-makers
655 to build resilient delta cities, *i*) physical scientists need to provide reliable, cutting-edge knowledge
656 of flood risks and adaptation solutions; *ii*) practitioners have to apply this knowledge in designing
657 and implementing practical measures; *iii*) knowledge brokers must ensure that the scientific and
658 practical insights are communicated effectively, leading to informed and coordinated decisions.
659 Together, these roles form a comprehensive approach to flood risk management, delta cities
660 worldwide can better protect their populations, economies, and ecosystems from the adverse effects

661 of climate change and urbanization.



662

663 Fig. 11. A conceptual framework illustrating the role of knowledge brokers in connecting practitioners and physical
664 scientists facilitating informed decision-making for building resilient delta cities.

665 5.4 Limitations and directions for future research

666 This study highlights how rapid urbanization and GDP growth drive land use changes that
667 amplify flood risks in delta cities. However, the role of socio-economic dynamics should be assessed
668 alongside critical hazard factors, such as long-term climate change, sea-level rise, and land
669 subsidence, which are essential for future flood risk projections. While the high-resolution ocean-
670 land coupled numerical model effectively simulates compound flood processes, its reliance on
671 specific historical events, such as the Zhengzhou "7·20" rainstorm and Typhoon In-fa, may limit the
672 generalizability of the findings to other delta cities with different hydrological and urban
673 characteristics. Future research should explore integrated multi-scenario and multi-hazard
674 frameworks, incorporating long-term climate projections, socio-economic dynamics, and diverse
675 hazard return levels to enhance flood risk assessments. Additionally, expanding the model to include
676 real-time flood forecasting with advanced machine learning techniques could enhance its
677 applicability for rapid urban flood rescue and emergency management in other delta cities
678 worldwide.

679

680 6. Conclusions

681 Rapid urbanization significantly increases flooding risks in delta cities such as Shanghai. This
682 study evaluates flood risks and adaptation measures for LGZ, a rapidly developing estuary region,
683 considering both current and future urbanization scenarios. High-resolution ocean-land coupled
684 numerical model reveal that rapid urbanization exacerbates flooding risks, potentially increasing
685 exposed assets and losses by up to eight and five times, respectively, by 2050. The transformation
686 of land use from agricultural to industrial and residential areas results in more impermeable surfaces,
687 intensifying pluvial flood risks. Business as a service industry is also expected to contribute
688 significantly in future flood risks. To manage and mitigate the impact of rapid urbanization on urban
689 flood risks, effective flood defense measures must be implemented, particularly concerning the
690 future urban planning of industrial, residential, and business areas. The adaptation measure of HSD
691 provides robust protection against fluvial and coastal flooding, though it faces challenges such as
692 high costs and limited effectiveness against pluvial flooding. In addition, we proved that the
693 implementation of green spaces, permeable surfaces, and LID solutions, such as IGA and LGS, can

significantly reduce pluvial flooding. Our findings, consistent with recent studies on flood adaptation, advocate for the integration of gray and hybrid green-gray measures, emphasizing "infiltration" and "storage" functions to effectively mitigate waterlogging (Du et al., 2020; Hu et al., 2019; Sun et al., 2021). Overall, building resilient cities requires a comprehensive approach that addresses immediate flood risks while promoting long-term environmental sustainability (Aerts et al, 2014; Du et al., 2020; Hu et al., 2019; Liao, 2012). We recommend a combination of these measures, where applicable, to optimize flood resilience and promote sustainable development in rapidly urbanizing regions like LGZ. These findings offer valuable insights for developing flood-resilient cities in estuarine delta regions globally.

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