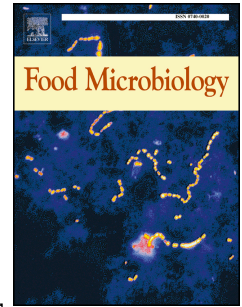


# Journal Pre-proof



Microbial composition and dynamics in environmental samples from a ready-to-eat food production facility with a long-term colonisation of *Listeria monocytogenes*

Maria Diaz, Heather Aird, Thanh Le Viet, Ana Victoria Gutiérrez, Nasmille Larke-Mejia, Oleksii Omelchenko, Lluís Moragues-Solanas, Joachim Fritscher, Nicolle Som, Jim McLauchlin, Falk Hildebrand, Frieda Jørgensen, Matthew Gilmour

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1 Microbial composition and dynamics in environmental samples from a ready-to-eat food production  
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3

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## 23 ABSTRACT

24 *Listeria monocytogenes* is a foodborne pathogen of significant concern for the food industry due to  
25 its remarkable ability to persist through safety control efforts, posing a subsequent health threat to  
26 consumers. Understanding the microbial communities coexisting with *L. monocytogenes* in food  
27 processing environments provides insights into its persistence mechanisms. We investigated the  
28 microbial communities on non-food contact surfaces in a facility producing ready-to-eat foods,  
29 known to harbour a ST121 *L. monocytogenes* strain over multiple years. A 10-week sampling period  
30 was coordinated with the company and public health authorities. Metagenomic analysis revealed a  
31 stable microbial composition dominated by *Pseudomonas fluorescens*. While highly related  
32 populations were present in high-care production zones, distinctive taxa characteristic of specific  
33 areas were observed (e.g., *Sphingomonas aerolata*). Although *Listeria* spp. were not detected in  
34 metagenomes, they were detected in cultured samples, suggesting low relative abundance in factory  
35 settings. The findings suggest that a stable resident microbiota, with distinct adaptations to different  
36 areas within the factory, was selected for by their collective ability to survive control efforts in this  
37 environment. *Listeria* spp. was a member of this microbial community, albeit at low abundance, and  
38 may likewise benefit from the mutualism of the overall microbial community.

39

40 Keywords: *Listeria monocytogenes*, food processing environments, microbial ecology,  
41 metagenomics, food safety

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## 47 1. INTRODUCTION

48 Material surfaces in food production environments (FPEs) can be colonized by communities of  
49 microbes that persist over time despite the multitude of approaches used to control microbial  
50 hazards, such as rigorous cleaning and disinfection routines and the use of controlled temperature in  
51 the facilities. These microbial communities, or resident microbiota, consist mainly of non-pathogenic  
52 microorganisms but can also contain pathogenic bacteria which are usually in very low abundance  
53 [1, 2]. Amongst foodborne pathogens, *Listeria monocytogenes* is a significant concern for food  
54 businesses and health and food safety authorities. *L. monocytogenes* causes invasive listeriosis, a  
55 relatively low-incidence foodborne infection, where for example, England and Wales respectively  
56 reported 0.39 and 0.16 cases of listeriosis per 100,000 population in 2021 [3]. However, *L.*  
57 *monocytogenes* ranks among the top three causes of foodborne diseases resulting in hospital  
58 admissions in Western countries [4], and has an alarmingly high case fatality rate of, for example,  
59 17.5% in England and Wales in 2021 [3].

60 Controlling *L. monocytogenes* poses challenges to food businesses, as it is a highly resilient  
61 microorganism with distinctive physiological characteristics. Notably, this pathogen thrives at low  
62 temperatures, tolerates low pH levels and high salt concentrations, and can become tolerant to  
63 biocides [5]. These characteristics contribute to its survival and persistence in food environments,  
64 particularly in humid and low-temperature areas, even after regular cleaning and disinfection [5, 6].  
65 The persistent presence of this pathogen in FPEs poses a significant risk for the contamination of  
66 food products, as *L. monocytogenes* may transmit from the environment into foods, or may already  
67 be present in food ingredients and then remain in finished food products.

68 This issue of controlling *L. monocytogenes* becomes particularly important in ready-to-eat (RTE) food  
69 manufacturing settings because products are consumed without further cooking and are often  
70 stored at refrigeration temperatures in which *L. monocytogenes* can reproduce. Significant  
71 foodborne outbreaks can occur when contaminated RTE foods are served widely to vulnerable

72 populations [7], with notable examples occurring in Canada in 2008 [8] and the UK in 2019 [9].  
73 Similarly, pre-packed sandwiches served in hospitals have been identified as the most common food  
74 vehicle leading to listeriosis in England and Wales [10]. Importantly, different *L. monocytogenes*  
75 subtypes have been assessed at varying levels of food safety risk; for instance the most virulent  
76 strains have a more full complement of virulence genes such as LIPI-1 and *inlA* (as commonly seen  
77 with sequence types (ST) ST1, ST4 and ST87), whereas those strains with a truncated *inlA* (as  
78 commonly seen with ST9 and ST121) are also associated with food environments and can be  
79 assessed as lower risk for causing illness [11].

80 The resident microbiota that coexists with *L. monocytogenes* in environmental settings may have a  
81 role in the survival of this pathogen. For example, a protective effect of *Pseudomonas* biofilms on *L.*  
82 *monocytogenes* has been observed, which suggested that control of *Pseudomonas* in the FPEs could  
83 reduce contamination of *L. monocytogenes* [12, 13]. Studies using amplicon sequencing or  
84 metagenomics alongside culture enrichments have explored the resident microbiota coexisting with  
85 *L. monocytogenes* in various FPEs [2], including fish and meat processing settings, dairy factories [14]  
86 and fruit packing facilities [15]. Despite the well-known health risks associated with *L.*  
87 *monocytogenes*-contaminated RTE foods for human health [16], there has been limited research on  
88 the microbial communities coexisting with *L. monocytogenes* in RTE-food production facilities [17].

89 Culture-based isolation followed by subtyping using whole genome sequencing (WGS) are  
90 increasingly being used to support surveillance, source attribution and outbreak response activities  
91 [18]. For example, in the UK a case of listeriosis was reported in 2017 where a hospitalised patient  
92 consumed contaminated sandwiches and developed listeriosis [19]. Subsequent investigation by  
93 UKHSA using WGS revealed that the ST121 *L. monocytogenes* strain isolated from the patient was  
94 genetically indistinguishable to isolates found in food products and environmental samples  
95 originating from a UK-based company (hereafter, 'Company X'). Furthermore, the presence of the  
96 ST121 *L. monocytogenes* and other *Listeria* species within the FPE of Company X were revealed over

97 2017-2020 [19], indicating a persistent colonization of the factory premises and subsequent  
98 contamination of equipment, food contact surfaces, and food products. Following the investigation,  
99 the company implemented corrective measures, including a thorough assessment of the factory  
100 cleaning procedures and layout, which led to a substantial reduction in food product contamination  
101 [19]. Despite efforts in eliminating this pathogen, the ST121 strain was still detected on non-food  
102 contact surfaces of the factory in 2021 during follow-up surveillance activities (results not shown),  
103 presenting an opportunity to study and understand the microbial factors related to its persistence.

104 In this study, we used shotgun metagenomics to investigate the resident microbiota in Company X, a  
105 RTE-food factory with persistent *L. monocytogenes* contamination. Sampling was coordinated over a  
106 10-week period with the company and with public health authorities, and changes to the microbiota  
107 resulting from cleaning and disinfection were evaluated. Additionally, we assessed the feasibility of  
108 applying a quasimetagenomics approach (involving bacterial culture enrichments prior to  
109 sequencing) for source tracking of *Listeria* spp. and *L. monocytogenes* in FPE environmental samples.

110 An understanding of the microbial composition and dynamics in food production environments using  
111 innovative sequencing techniques can contribute to novel and improved strategies for preventing  
112 and managing *L. monocytogenes* contamination.

113

## 114 2. MATERIALS AND METHODS

115

### 116 2.1. Sample collection and preparation

117 Environmental samples were collected by UKHSA officers from areas of a RTE-factory that had  
118 shown recurrent contamination with *L. monocytogenes*.

119 An initial trial sampling event was performed in November 2021 (T0) to test the performance of the  
120 swabs for metagenomic analysis. Samples were obtained by swabbing 10 x 10 cm areas according to

121 UKHSA environmental surface sampling microbiological guidelines [20, 21] using 3M™ Sponge-Stick  
122 embedded in 10 mL neutralizing buffer as sampling media. In addition, maximum recovery media  
123 (MRM) and neutralizing buffer collected from sterile swabs were inoculated with  $10^8$ ,  $10^7$  and  $10^6$   
124 CFU/mL of *L. monocytogenes* serovar 1/2a strain 396044 and used as control to test DNA extraction  
125 and sequencing procedures. Based on these trials, the dry Sponge-Stick embedded in MRM  
126 demonstrated adequate performance in the DNA extraction and sequencing workflows, and this  
127 method was selected for continued sampling.

128 The samples were obtained from non-food contact surfaces located in 10 different sites  
129 (Supplementary\_Site\_description) in the high care zone of the factory (designated area of the  
130 factory where products are handled under controlled environment to minimize the risk of  
131 contamination), which is divided in two areas: preparation (where the food ingredients are stored  
132 and kept at a controlled temperature of 4 °C) and production (where the ingredients are combined  
133 and packed, kept at a controlled temperature of 10 °C) (Supplementary Fig. 1). A drain (located at  
134 the production area of the factory) and 9 floor surfaces (4 located in the preparation area, 5 located  
135 in the production area of the factory) were sampled and sent under refrigeration to the Quadram  
136 Institute (Norwich, United Kingdom) in accordance with the Food Standards Agency Food Law Code  
137 of Practice [22] for further processing.

138 Samples for characterization of the environmental microbial populations were collected over 10  
139 weeks: T1 occurred in February 2022 during normal operation of the factory; T2 and T3 occurred  
140 two months later with T2 samples collected in the morning during operation of the factory and T3  
141 samples collected in the afternoon on the same day after routine cleaning had occurred; the final  
142 samples (T4) were collected one week following T2/T3 (Supplementary\_Sample\_metadata). Samples  
143 were obtained as described above, using the 3M™ dry Sponge-Stick embedded in 10 mL MRM as  
144 sampling buffer.

145 Upon receipt at the laboratory, all swab samples were homogenised for 1 min in a stomacher (400  
146 Circulator Lab Blender, Seward) and the buffer was aseptically collected and divided into aliquots for  
147 further processing. For each sample, a 800 µL aliquot was stored at -20 °C and used for total DNA  
148 extraction and a 2 mL aliquot was stored in 20% glycerol at -80 °C for further microbiological testing.

149

## 150 2.2. *Listeria* enrichment and isolation

151 *Listeria* enrichments were performed according to UKHSA guidelines [23] with some modifications.  
152 Briefly, a primary enrichment was prepared by inoculating 1 mL of sample stored in glycerol in half  
153 Fraser broth (Thermo Fisher Scientific) at 1 in 10 proportion. After 24 h incubation at 30 °C, a  
154 secondary enrichment was prepared by inoculating 300 µL of the primary enrichment into 30 mL of  
155 Full Fraser broth (Thermo Fisher Scientific). The theoretical limit of detection of the modified  
156 method is 10 CFU per swab instead of 1 CFU per swab. A positive and negative control, consisting of  
157 media inoculated with *L. monocytogenes* serovar 1/2a strain 396044 [19] and uninoculated media  
158 respectively, were used and treated as the rest of the samples. Both, primary and secondary  
159 enrichments were incubated for 48 h each. Using a 10 µL loop, both enrichments were sub-cultured  
160 after 6, 24 and 48 h incubation to *Listeria* chromogenic (ALOA) agar (Thermo Fisher Scientific).  
161 Presumptive *Listeria* spp. and presumptive *L. monocytogenes* colonies were selected from the ALOA  
162 plates, which were subsequently re-streaked to new ALOA plates to obtain pure colonies, and  
163 subsequently preserved in 20% glycerol at -80 °C. Aliquots (800 µL) were collected from primary and  
164 secondary enrichments after 6, 20, 22, 24, 26, 28, 30 and 48 h incubation and stored at -20 °C for  
165 subsequent DNA extraction.

166

## 167 2.3. DNA extraction

168 Total microbial DNA was obtained from 800 µL of sampling buffer homogenates for the direct  
169 metagenomic sequencing, from 800 µL of *Listeria* enrichment cultures for the quasimetagenomics



170 approach, and from 800 µL of overnight pure cultures of *Listeria* for WGS of the isolates. In all  
171 instances, DNA was extracted using the Maxwell® RSC PureFood Pathogen Kit on a Maxwell® RSC 48  
172 automated extraction system (Promega) according to manufacturer's instructions. A negative  
173 control consisting of buffer obtained from sterile swabs or from uninoculated Half-Fraser and Fraser  
174 broth was included on each DNA extraction batch. DNA concentration was measured using the  
175 QuantiFluor® dsDNA kit on a GloMax® Explorer plate reader (Promega).

176

#### 177 *2.4. Sequencing*

178 The environmental samples were analysed by direct metagenomic analysis to characterise the total  
179 environmental microbial population and/or by quasimetagenomics to identify and subtype *Listeria*  
180 genomes. The total DNA extracted either directly from environmental sampling buffer homogenates,  
181 from *Listeria* enrichments, or from *Listeria* isolates was sequenced at the Quadram Institute  
182 (Norwich, United Kingdom). DNA was normalised and used to prepare 150 bp paired-end sequencing  
183 libraries with the Illumina DNA Prep kit (Illumina Inc, CA, USA). Sequencing was performed on an  
184 Illumina Nextseq500 instrument using a Mid Output Flowcell and the NSQ® 500 Mid Output KT v2  
185 (300 cycle) following the Illumina recommendations.

186 DNA from selected *Listeria* enrichment timepoints was also sequenced using Oxford Nanopore long-  
187 read sequencing technology. Native barcoded libraries were generated using the Nanopore  
188 Sequencing Ligation kit (SQK-LSK109, Oxford Nanopore Technologies) with the Native Barcoding  
189 Expansion 96 kit (EXP-NBD196, Oxford Nanopore Technologies). Libraries were sequenced on a  
190 PromethION instrument using R9.4.1 flow cells and raw sequencing data was collected with ONT  
191 MinKNOW software (v4.0.5). Subsequently, base calling and de-multiplexing was carried out using  
192 Guppy v6.06 (Oxford Nanopore Technologies)

193

#### 194 *2.5. Bioinformatic analysis*

195 2.5.1. Read quality filtering and taxonomic classification.

196 Illumina raw reads derived from direct sequencing of the environmental samples were quality  
197 filtered and contaminating chicken and human reads were removed, using the genomes *Gallus*  
198 *gallus* GRCg6a and *Homo sapiens* hg38 as reference and the pipeline KneadData v 0.10.0 [24].  
199 Illumina raw reads derived from *Listeria* enrichments and pure cultures were quality filtered with  
200 fastp [25]. Filtered reads were taxonomically classified with MetaPhlAn4 (v 4.0.3) [26] using default  
201 parameters.

202 Nanopore reads were processed with Porechop [27] to remove adapters; the trimmed reads were  
203 then quality filtered with Filtlong [28] to keep the 95% of reads with best quality. Read quality was  
204 assessed with NanoStat [29]. Taxonomic classification of the filtered nanopore reads was performed  
205 with the online BugSeq pipeline [30]. To ensure comparability with MetaPhlAn4 analysis, unclassified  
206 reads were not taken into consideration when calculating relative abundances.

207

208 2.5.2. Direct mapping of metagenomic reads against reference genomes.

209 To assess the potential detection of *Listeria* spp. genomes within our study, unfiltered metagenomic  
210 reads were mapped against the draft genomes of the identified *Listeria* species and subsequently  
211 genome coverage was calculated using MATAFILER [31], mapping was performed using bowtie2 and  
212 alignments post-processed as described in [32]. The genome was considered to be present in the  
213 metagenomes if the median coverage of the contigs within the N90 was >2.

214

215 2.5.3. Genome, Metagenome-assembled genomes (MAGs) and binning.

216 Genome assembly of *Listeria* isolates was performed on filtered reads with Shovill [33] using Spades  
217 [34] as assembler. Hybrid metagenome-assembled genomes were obtained from the *Listeria*  
218 enrichments using OPERA-MS v.0.9.0 [35] on the filtered reads using default parameters. The

219 resulting assemblies were binned with metaWRAP v1.3.0 [36], which includes MaxBin2 and  
220 CONCOCT as binning tools and a binning refinement module. The quality of the WGS and bins was  
221 assessed with CheckM [37] and QUAST [38] and taxonomic classification was performed with GTDB-  
222 tk [39] with the GTDB database (Release 95).

223

#### 224 2.5.4. MLST classification

225 MLST classification of Illumina raw reads derived from the *Listeria* enrichments was performed with  
226 MetaMLST v1.2.2 [40] while nanopore reads were classified with krocus v1.0.1. [41]. Metagenome-  
227 assembled genomes derived from enrichments and genomes derived from *Listeria* isolates were  
228 typed with MLST v2.16.1 [42] against the PubMLST database [43].

229

#### 230 2.5.5. SNP distance calculation

231 The genomes of the *L. monocytogenes* isolates obtained in this study were compared with those of  
232 the 4 *L. monocytogenes* isolates obtained from the environment of the same factory in 2017 [19].  
233 SNPs differentiating reference isolates and study isolates were detected using Snippy [44] and the  
234 outputs were combined into a core SNP alignment using the snippy-core function and counted with  
235 snp-dist [45].

236

#### 237 2.6. Statistical analysis

238 The following analysis was performed for data derived from direct sequencing: species richness and  
239 the alpha diversity indexes Shannon and Simpson were estimated using the *diversity* function within  
240 the R package *vegan* (version 2.6-4) [46] and compared with the *wilcox.test* and *kruskal.test*  
241 functions on the R package *stats* (version 4.2.2) [47]. Differences in microbiome composition were

242 evaluated by Principal Coordinate Analysis (PCoA) of the beta diversity Bray-Curtis distance,  
243 calculated with the *pco* function and the *vegdist* function within the R packages *ecodist* [48] and  
244 *vegan* respectively. The PCoA was plotted with *ggplot* and data ellipses were drawn based on the  
245 factory area with the *stat\_ellipse* function, both contained in the R package *ggplot2* [49].  
246 Metagenome dissimilarities between area of the factory or time points of collection were tested by  
247 Permutational Multivariate Analysis of Variance Using Distance Matrices (PERMANOVA) with  
248 the *adonis* function in the R package *vegan*.  
249 To analyse associations between taxa, relative abundances were transformed to centered log ratios  
250 using the *clr* function [50] and correlation test was performed with the *corr.test* and the Spearman  
251 method within the *psych* package [51].  
252 Relative abundance at genus or species level was plotted using *ggplot*. Differences in relative  
253 abundance were calculated using the ANCOMBC R package and considered significant when the  
254 adjusted p-value (q-value) was less than 0.01 [52]. The 15 most abundant taxa were extracted using  
255 the *top\_taxa* function within the R package *microbiome* [53]. All R analyses were performed with R  
256 version 4.2.2.

257

### 258 3. RESULTS

#### 259 3.1. Selection of swabs with maximum recovery media for direct metagenomic analysis

260 To assess the viability of a direct sequencing workflow for profiling of the resident microbiota in a  
261 RTE-food factory, trial samples were collected from 10 non-food contact surfaces located within the  
262 high-care zone of the factory that had previously exhibited recurring culture positive findings for *L.*  
263 *monocytogenes*.

264 For the initial sampling event (T0), 10 environmental samples were collected using swabs immersed  
265 in neutralizing buffer, matching routine microbiological sampling approaches [20, 21]. The efficiency

266 of DNA extraction and library preparation methods were tested on these samples. Additionally,  
267 mock samples were created by spiking  $10^8$ ,  $10^7$  and  $10^6$  CFU of *L. monocytogenes* serovar 1/2a strain  
268 396044 into two types of sterile sampling medium (neutralizing buffer and MRM). Notably, after  
269 extraction, all environmental swabs and mock samples yielded quantifiable DNA (0.66-5.98 ng/ $\mu$ L  
270 and 0.12-3.06 ng/ $\mu$ L respectively; Supplementary\_Swab\_testing). No statistical differences were  
271 observed in the DNA yielded from neutralizing and MRM swabs (p-value = 0.437). However,  
272 differences were observed in the number of sequencing reads: T0 swab samples did not yield any  
273 reads, while mock samples made with neutralising buffer yielded 94-136 reads and mock samples  
274 made with MRM yielded 17,752-149,752 reads (p-value = 0.0216). None of the reads from the  
275 neutralising buffer samples were classified as *Listeria*, whereas 2,800-68,709 (47.06-99.96%) reads  
276 were assigned to *Listeria* genus from the MRM samples (p-value = 0.0336)  
277 (Supplementary\_Swab\_testing). Based on these trial results where neutralisation buffer inhibited  
278 direct sequencing efforts, in all subsequent sampling events, dry swabs immersed in MRM were  
279 utilised.

280

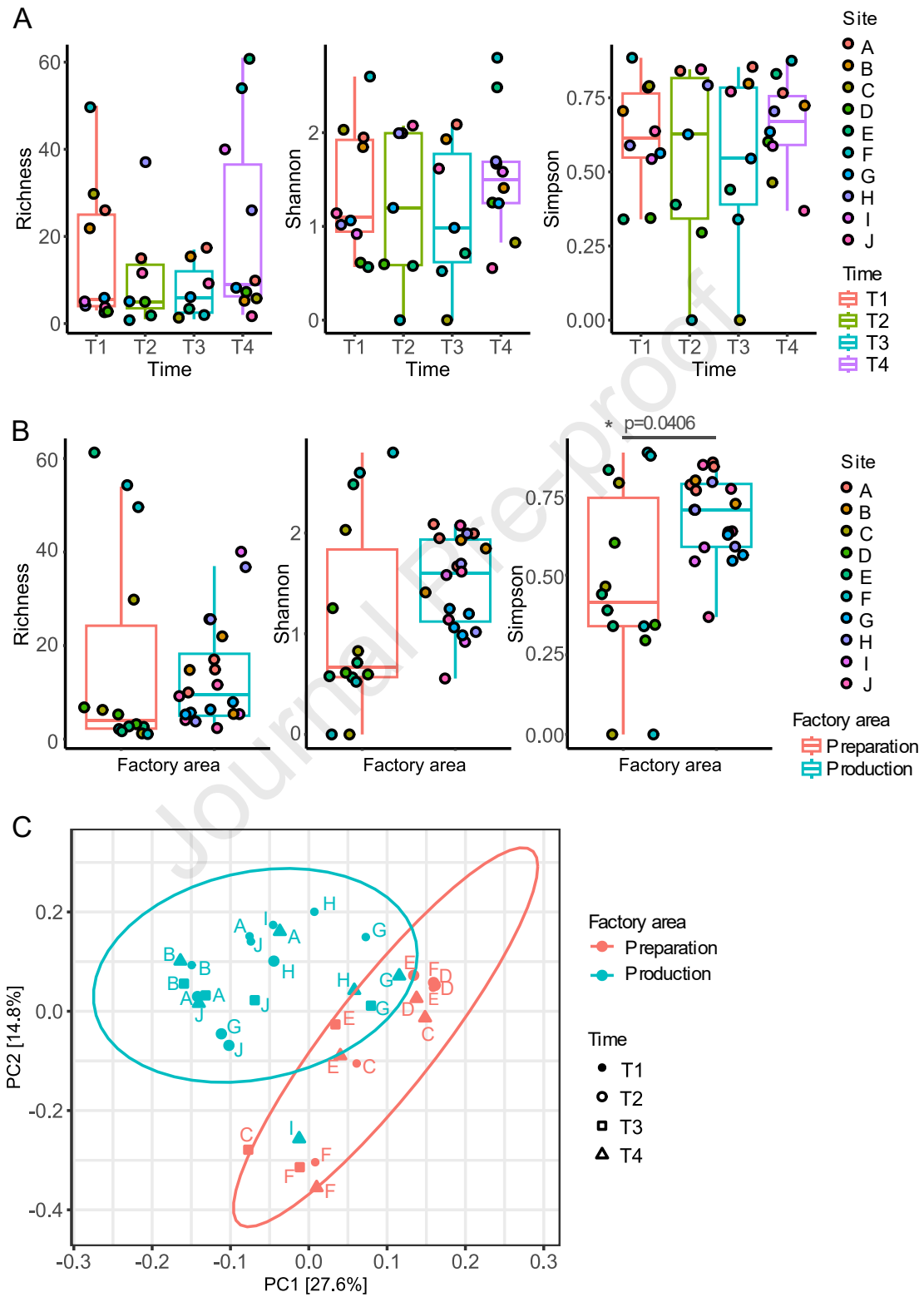
281 *3.2. Microbial composition of the factory environment remains stable at standard operation and*  
282 *post-cleaning phases, but differs between areas of the factory*

283 To evaluate the microbial populations in the factory environment, the metagenomes of 40 non-food  
284 contact surfaces in the high-care zone of the factory were compared between sampling timepoints  
285 (T1, T2, T3 and T4; with 10 samples per timepoint) and between areas of the factory (16 samples  
286 correlated to the preparation area and 24 samples to the production area). DNA concentrations in  
287 the samples extracted from environmental swabs varied from 0.02 to 9.24 ng/ $\mu$ L and no DNA was  
288 detected in blank samples. Shotgun sequencing of the DNA from the 40 environmental swab  
289 samples resulted in 75 million reads across all samples (average of 1,741,932 per sample,  
290 Supplementary\_Direct\_Sequencing). After reads were quality filtered, 9 samples resulted in less than

291 3K reads (Supplementary\_Direct\_Sequencing) suggesting failure during sequencing. The 9 failed  
292 samples included the 3 negative controls and 3 samples from each of timepoint T2 and T3; and  
293 within each timepoint of T2 and T3, 2 samples were from the production area and 1 from the  
294 preparation area. Samples with less than 3K sequences failed taxonomic classification with  
295 MetaPhlAn4 and were not included for further metagenomic analysis. In the remaining 34  
296 experimental samples, variation in microbial composition at different timepoints and between the  
297 two main areas of the high-care zone of the factory were analysed for alpha diversity indexes  
298 (richness, Shannon and Simpson). There were no significant differences for alpha diversity over the  
299 sample collection time course (Fig. 1A; Supplementary\_stats\_a-diversity). Between different areas of  
300 the factory, similar medians were also observed for  $\alpha$ -indexes although statistically significant  
301 differences were observed for the Simpson index (p-value = 0.0406) (Fig. 1B).

302 To visualise differences in the microbial composition between groups (e.g. preparation vs.  
303 production areas or samples taken at the same site at different time points), Bray-Curtis similarity  
304 distances were compared using PCoA (Fig. 1C). The first and second principal components explained  
305 42.4% of the differences in microbial composition. Samples collected from the same sites tended to  
306 cluster together independently of the time in which they were collected (adonis p-value = 0.175)  
307 whereas the microbial taxa in samples collected from the two areas of the factory were related but  
308 clustered into separate groups with a significant difference (adonis p-value = 0.001).

309



310

311 **Figure 1. Changes in bacterial diversity in the different areas of the factory over ten weeks.** A and  
312 B) Alpha diversity evaluated with richness, Shannon and Simpson indexes depending on A) time and  
313 B) area of the factory. Each boxplot represents the interquartile range (IQR) of the alpha diversity  
314 indexes values and the whiskers represent the minimum and maximum values. C) Principal  
315 Coordinate Analysis of the Bray-Curtis distance level. The ellipses represent normal data ellipses for  
316 the samples in the preparation and production areas of the factory. Statistical comparisons with the  
317 A) Kruskal-Wallis, B) Wilcoxon and C) Adonis tests are presented in supplementary materials.  
318 Significant differences for alpha diversity ( $p$ -value  $< 0.05$ ) are indicated in the graphs with an asterisk  
319 (\*).

320

321 *3.3. Pseudomonas was the most abundant and stable genus on floor surfaces while other*  
322 *taxonomic groups were differentially abundant between areas of the factory.*

323 The most prevalent and abundant genus observed in the sampling period was *Pseudomonas*, with  
324 *Psychrobacter*, *Sphingomonas*, and *Chryseobacterium* also being highly represented, particularly in  
325 the production area (Fig. 2A). When sequences were classified to the species level, *Pseudomonas*  
326 *fluorescens* was most abundant, with *Pseudomonas caeni*, *Pseudomonas viridiflava*, and  
327 *Pseudomonas rhizosphaerae* also being observed in fewer samples and at less abundance (Fig. 2B).  
328 For other genera, *Sphingomonas aerolata*, *Chryseobacterium* spp, *Psychrobacter faecalis*,  
329 *Psychrobacter* sp. 1501 2011, *Streptococcus thermophilus* and *Galactobacter caseinivorans* were  
330 observed in multiple samples (Fig. 2B). Amongst these taxa, only *P. fluorescens* was consistently  
331 present in at least 90% of the samples, accounting for a relative abundance of  $\geq 1\%$ . Further, the  
332 relative abundance of *P. fluorescens* remained remarkably consistent between both the production  
333 and preparation areas of the factory, with no significant differences observed  
334 (Supplementary\_ANCOMBC). In addition, the relative abundance of this genus was stable over time

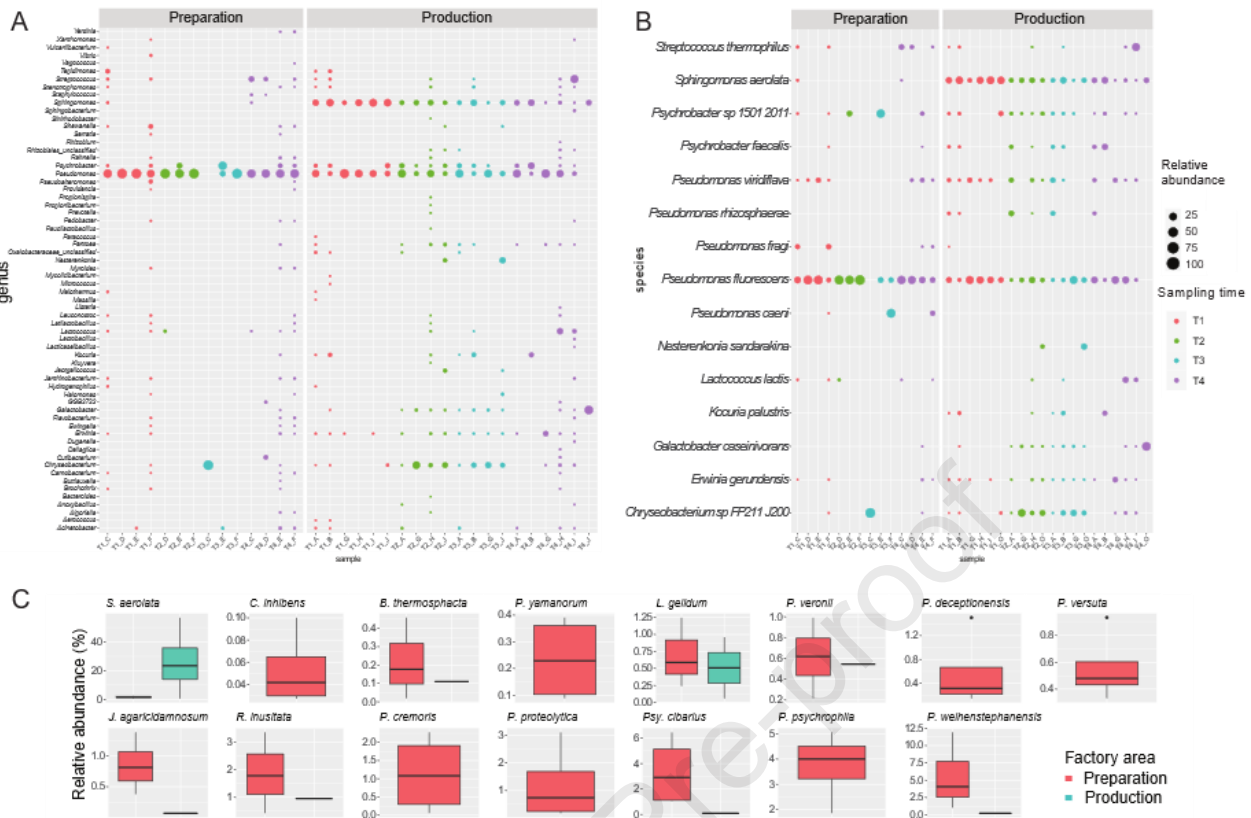


335 as indicated by the absence of significant differences between timepoints  
336 (Supplementary\_ANCOMBC).

337 Conversely, significant differences in the abundance of other taxonomic groups were found between  
338 the two areas of the factory (Fig. 2C and Supplementary Fig. 2). For instance, *S. aerolata* was one of  
339 the most prevalent microorganisms in the production area, exhibiting a higher abundance there  
340 compared to the preparation area. Within the preparation area, multiple species of *Pseudomonas*  
341 were more abundant (Fig. 2C). No differences in the relative abundance of any of the taxonomic  
342 groups were identified from the metagenomes among any of the timepoints, even when comparing  
343 T2 and T3, which corresponded to periods before and after cleaning (Supplementary\_ANCOMBC).

344 Considering the metagenome from all sampling points, there was a strong positive correlation  
345 between certain individual species of *Pseudomonas* with other species within the same genus, and  
346 also with species within the genus *Psychrobacter*, *Flavobacter* and *Serratia* (Supplementary Fig. 3  
347 and Supplementary\_Significant\_correlations). Additionally, a strong positive correlation between  
348 species of *Pseudomonas* and *Brochothrix thermosphacta* and species within the genus *Rahnella* and  
349 *Providencia* were observed (Supplementary Fig. 3 and Supplementary\_Significant\_correlations).

350 Notably, *Listeria* spp. was not detected in any of the metagenomes using MetaPhlAn4 or by direct  
351 mapping against genomes of *L. monocytogenes* and *L. seeligeri* isolated in this study. If present in the  
352 samples, *Listeria* was at very low abundance and below the threshold of detection with the direct  
353 metagenomic sequencing approach.



354 **Figure 2. Relative abundance of the environmental samples.** Bubble plots representing the relative  
 355 abundance of A) genus and B) the 15 most abundant species identified in the factory environment.  
 356 Each column represents a sample and samples have been grouped by area of the factory and  
 357 coloured by time of collection of the sample. C) Comparison of the relative abundance at production  
 358 and preparation areas for species exhibiting significant differences in abundance, as determined by  
 359 ANCOM-BC analysis. Each boxplot represents the interquartile range (IQR) of the relative abundance  
 360 and the whiskers represent the minimum and maximum values.

361

### 362 3.4. Culture enrichments reveal the persisting ST121 strain of *Listeria monocytogenes* and 363 coexisting *Listeria seeligerii*

364 In the absence of detectable *Listeria* in the metagenomes of environmental samples taken from  
 365 areas recently confirmed to harbour *Listeria* spp., culture enrichments were performed for all 50  
 366 environmental samples to identify and type *Listeria* spp. To note, although samples from T0

367 containing neutralizing buffer were not suitable for direct metagenomic analysis, they remained  
368 appropriate for culture enrichments. *L. seeligeri* was isolated from 17 of 50 samples, and notably, *L.*  
369 *monocytogenes* was also isolated from 3 of these samples (Sites H and I at T0 and Site E at T4)  
370 (Supplementary – *Listeria\_detection\_standard*), one of them (Site E at T4) being part of the samples  
371 included in the microbial composition analysis. All *L. monocytogenes* isolates were classified by MLST  
372 as ST121 (Supplementary\_WGS\_isolates) with 9 or less SNPs observed between genomes of  
373 environmental isolates recovered from the same factory in 2017 (Supplementary\_SNP\_distance).  
374 The lineage of *L. seeligeri* isolates could not be traced back to strains identified in earlier years at the  
375 facility since genomes of this species from this factory had not been previously subjected to  
376 sequencing.

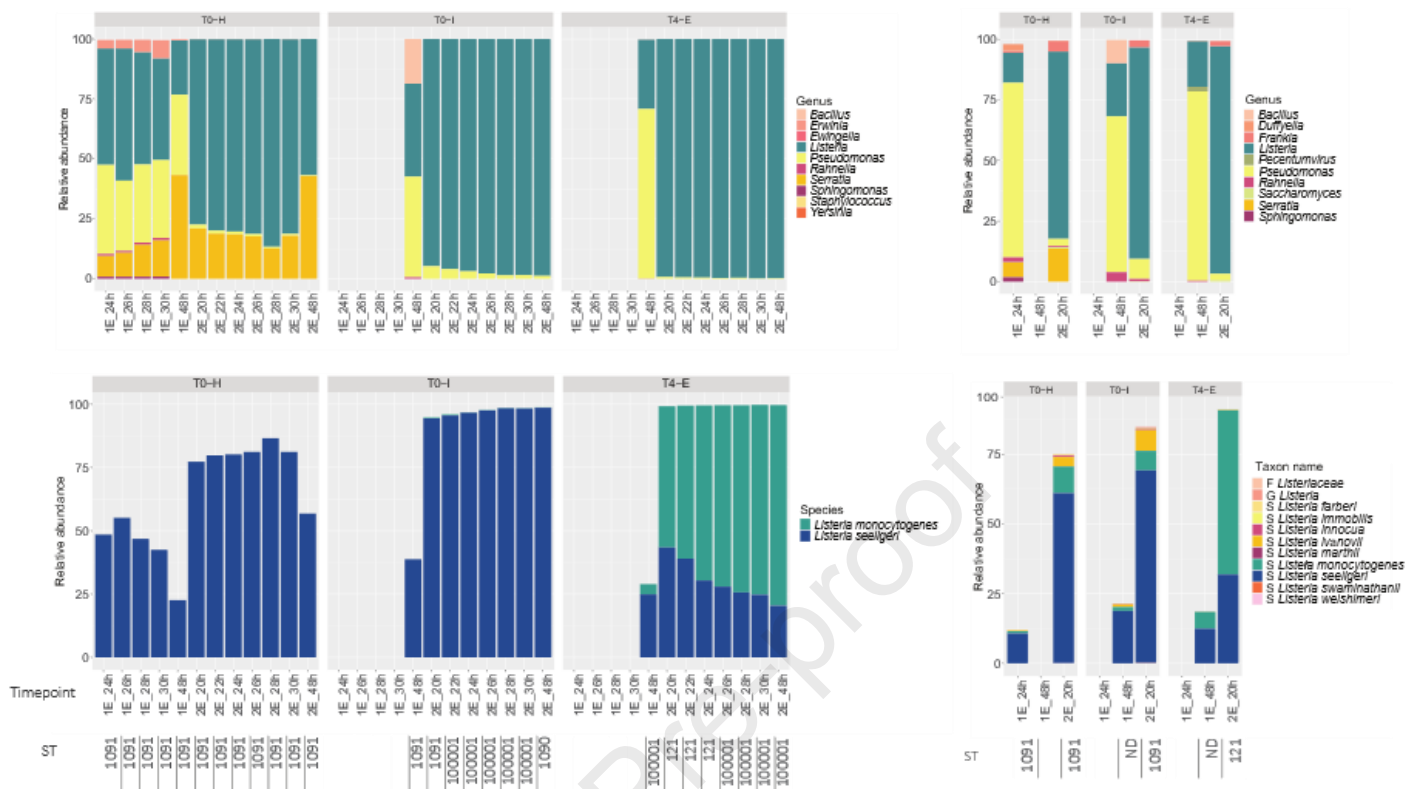
377 To investigate if the presence of *Listeria* spp. correlated with differences in the microbial  
378 composition of the direct metagenomes of environmental samples, alpha diversity indexes (richness,  
379 Shannon and Simpson) and Bray-Curtis similarity distances were compared using PCoA  
380 (Supplementary Fig. 4). The alpha diversity indexes were similar in all samples, whether *Listeria* was  
381 detected or not, although slightly higher richness was observed in *Listeria* positive samples (p-value  
382 = 0.0447) (Supplementary\_stats\_a-diversity and Supplementary Fig. 4A). The overall microbial  
383 composition of the samples was also independent of the presence of *Listeria* spp. (adonis p-value =  
384 0.062) (Supplementary\_stats\_b-diversity and Supplementary Fig. 4B).

385

386 3.5. Co-occurring genera and *Listeria* species limited the quasimetagenomic classification of  
387 *Listeria monocytogenes* sequence types.

388 As an alternative method for the detection of *Listeria* in the environmental samples, we assessed the  
389 performance of a quasimetagenomics approach (sequencing of *Listeria* culture enrichments) in  
390 terms of ST identification. Aliquots of the *Listeria* enrichments were collected at timepoints during  
391 culture and sequenced with Illumina and nanopore platforms. For the 3 *L. monocytogenes* positive

392 samples, all 16 enrichment timepoints collected during the primary and secondary enrichments  
393 were sequenced using Illumina technology to observe the effects of culture enrichment on the  
394 quasimetagenome. Furthermore, 2 selected enrichment timepoints per sample were sequenced  
395 with nanopore technology to observe any methodological attributes of long versus short read  
396 sequencing during enrichment. Notably, for the *L. monocytogenes* positive samples, library  
397 preparation was successfully achieved only after a minimum of 24 h of enrichment (Fig. 3 and  
398 Supplementary\_Quasimetagenomics). Sequencing of the enrichments resulted in 1.9 – 10.4 million  
399 Illumina reads and 19.32 – 57.94 thousand nanopore reads per sample (Fig. 3 and  
400 Supplementary\_Quasimetagenomics). For the 14 *L. seeligeri* positive samples, selected enrichment  
401 timepoints (primary enrichment after 20, 24, 28 and 48h and secondary enrichment after 20 and 48h  
402 of incubation) were sequenced with Illumina technology (Supplementary Fig. 5 and  
403 Supplementary\_Quasimetagenomics). None of the primary enrichments after 20 and 24h produced  
404 successful libraries, while the successful ones resulted in a range of 1.85 – 12.15 million Illumina  
405 reads for this set of samples.



406 **Figure 3. Microbial dynamics of *Listeria* enrichments in samples positive for *L. monocytogenes* and**  
 407 ***L. seeligeri*.** Barplots show the relative abundance of A) and B) the 10 most abundant genera and C)  
 408 and D) the 10 most abundant *Listeria* species identified in the enrichment using A) C) Illumina or B)  
 409 D) nanopore sequencing. Timepoints 1E and 2E indicate primary and secondary enrichments  
 410 respectively. The tables in panels C) and D) show the ST identified from raw reads (100001 refers to  
 411 new loci-sequences or new STs [40]).

412

413 As anticipated, the proportion of *Listeria* reads amongst all microbial reads varied as the  
 414 enrichments progressed, increasing in the secondary enrichments (0.75 – 61.57 % *Listeria* short  
 415 reads in primary enrichments; 15.12 – 99.87 % *Listeria* short reads in secondary enrichments) (Fig. 3  
 416 and Supplementary Fig. 5). Additionally, the proportion of *Listeria* reads and the composition of the  
 417 enrichments varied between samples (Fig. 3A and Supplementary Fig. 5). Similar to the findings in  
 418 the direct metagenomes obtained without culture, *Pseudomonas* reads were the most abundant in

419 the primary enrichments, having higher relative abundance than *Listeria* (12.84 - 99.2 %  
420 *Pseudomonas* reads in primary enrichments) and were then greatly reduced in the secondary  
421 enrichments (0 - 9.08 % *Pseudomonas* reads in the secondary enrichments). Other taxa such as  
422 *Serratia*, *Erwinia*, *Rahnella*, *Erwingella*, *Yersinia*, *Bacillus* and *Staphylococcus* were also detected in  
423 the primary and secondary enrichments in at least one sample at minimum 1% relative abundance  
424 (Fig. 3A and Supplementary Fig. 5).

425 When sub-classifying *Listeria* sequence reads to the species level, *L. seeligeri* was the most abundant  
426 in all samples except T4-E (0.3 – 33.2 % *L. seeligeri* reads in primary enrichments; 11.1 – 90.4 % *L.*  
427 *seeligeri* reads in secondary enrichments). In the secondary enrichment of sample T4-E, one of the  
428 three samples from which *L. monocytogenes* ST121 was isolated, sequence reads for *L.*  
429 *monocytogenes* were most abundant (1.9 – 68.8 %) (Fig. 3C). In this sample, *L. monocytogenes* was  
430 first detected in the first enrichment after 48 h of incubation. Surprisingly, in the other two *L.*  
431 *monocytogenes* positive samples (T0-H and T0-I) the percentage of *L. monocytogenes* reads  
432 observed was below 0.00437 % (Fig 3C).

433 For the 3 samples from which *L. monocytogenes* ST121 was isolated using culture enrichment,  
434 classification of *Listeria* sequence reads from quasimetagenomes to the ST level was attempted by  
435 analysing raw Illumina reads and raw nanopore reads from sequences obtained at different  
436 timepoints during the primary and secondary enrichments. ST classification was also attempted  
437 using hybrid metagenome-assembled genomes (MAGs) that were prepared by combining reads from  
438 the short and long-read technologies. For two samples (T0-H and T0-I) the *Listeria* reads were  
439 dominated by *L. seeligeri*, and accordingly, *L. seeligeri* STs were classified as either ST1090 or ST1091  
440 (Fig. 3C). Similarly, *L. monocytogenes* was not detected in these two samples by direct mapping  
441 against the genome of *L. monocytogenes* isolated in this study. Accordingly, with less than 9000 *L.*  
442 *monocytogenes* reads observed at any timepoint with any sequencing technology, in these same two  
443 samples ST classification for *L. monocytogenes* was not achieved. Alternatively, short and long read

444 datasets from sample T4-E were identified as ST121, and a hybrid assembly was possible that  
445 covered 84.76% of the *L. monocytogenes* genome (Supplementary\_Quasimetagenomics and  
446 Supplementary\_Quasimetagenomics\_MAGs\_QC).

447

## 448 DISCUSSION

449 Previous studies have described the microbiome of FPEs such as abattoirs and meat  
450 processing plants [54-59], fermented-products production facilities [14, 54, 59-63] and fruit and  
451 vegetables processing plants [15, 64, 65], and identified distinctive microbiomes depending on the  
452 type of commodities [59, 66]. However, very few studies describe the FPE of RTE meals [67], despite  
453 the importance of this type of food in the contamination with foodborne pathogens during  
454 processing [16]. The primary objective of this study was to gain insight into the resident microbial  
455 populations coexisting with *L. monocytogenes* in a FPE where this pathogen has been persistently  
456 contaminating non-food contact surfaces. The study aimed to assess the composition of these  
457 populations in various areas within a factory setting and to evaluate the impact of cleaning and  
458 disinfection procedures on the overall microbial population. It is noteworthy that multiple areas of  
459 this factory have been monitored by UKHSA for several years, including food contact and non-food  
460 contact surfaces, and for the purpose of this study, only areas that have shown persistent  
461 contamination with *L. monocytogenes* and other *Listeria* spp. have been included. While *L.*  
462 *monocytogenes* is known to endure in FPEs by concealing in difficult-to-clean areas such as slicers,  
463 wheels or cracks on the materials or areas with standing water [68], the floor surfaces included in  
464 this study do not exhibit apparent challenging to clean areas that would account for *L.*  
465 *monocytogenes* evading the cleaning process.

466

467 A 10-week sampling period was coordinated with the company and with public health  
468 authorities to advance the understanding of a persisting food safety risk. Using metagenomics, we  
469 identified a resident microbiota in the high-care zone of the facility that was dominated by  
470 *Pseudomonas*, *Sphingomonas* and *Psychrobacter*. In particular, the species *P. fluorescens* was the  
471 most abundant in all but two of the factory sites. *Pseudomonas* spp. and *Psychrobacter* spp. are  
472 frequently the dominant taxa in various food processing environments, such as abattoirs, meat-  
473 processing, dairy, and fruit-processing factories [55, 58, 59, 64], due to their tolerance to low  
474 temperatures [69] and their ability to form biofilms [70, 71], which often results in contamination of  
475 food products [72, 73]. To our knowledge, the presence of *Sphingomonas* spp. has not been  
476 described in FPEs, although some members of this genus have been isolated from other anthropized  
477 environments [74-76]. Additionally, certain *Sphingomonas* species have been described as  
478 psychrotolerant [74], which may explain their ability to survive in RTE food processing environments.

479 Notably, the application of the cleaning and disinfection procedures during the study period,  
480 which included among other procedures, scrubbing with a degreaser followed by the application of a  
481 troclosene sodium-based disinfectant, did not result in major shifts in the composition of the  
482 microbial populations observed by metagenomic analysis. Although this study was not designed to  
483 assess the efficacy of the cleaning and disinfection procedures, as the methodology does not  
484 differentiate between viable and non-viable microorganisms nor was sampling statistically powered,  
485 a strikingly stable population was observed. *Pseudomonas* has been described as one of the most  
486 abundant taxa detected on surfaces after cleaning and disinfection procedures in facilities that  
487 process meat [77] and minimally processed vegetables [65], as detected by culture-dependant and  
488 culture-independent approaches respectively. Similarly, studies using RNA-based amplicon  
489 sequencing coupled with plate counts have shown that cleaning and sanitizing procedures are less  
490 efficient at removing psychrotrophic bacteria, including *Pseudomonas*, while subsequent ozonation  
491 reduced the viable bacteria within this group [56]. In studies that monitored FPEs over longer  
492 periods of time, shifts in microbial populations were observed. For example, the microbiome of a



493 newly opened meat processing plant was disrupted once the meat-cutting activities started [55] and  
494 changes in the microbiome of a fruit processing facility over seasons were hypothesised to be caused  
495 by changes in: the natural environment where the fruit is produced, the water used within the  
496 facilities, or the personnel working in the facilities [15]. The absence of substantial changes in the  
497 microbial community over time in the facility manufacturing RTE-meals could be explained by a low  
498 inflow of foreign microbiota coupled with the high adaptation of the microbiota to the environment.  
499 Unlike other FPEs where a large influx of raw materials carrying a high microbial load occurs,  
500 facilities manufacturing RTE-meals introduce pre-cooked or pre-processed ingredients, potentially  
501 limiting the entry of external microbiota into the facility. It is also likely that as microbes are  
502 naturally introduced into FPEs, a rapid selection occurs for those capable of surviving and persisting  
503 through the control measures such as cleaning and disinfection. Simultaneously, these microbes  
504 must compete with the resident microbiota that have already established successful niches within  
505 the environment. For example, in conjunction with the persistent ST121 clone observed in Company  
506 X, multiple non-ST121 *L. monocytogenes* strains were transiently observed in the facility between  
507 2017 and 2020 but were not detected during our sampling time-points in 2021 and 2022. This  
508 suggests that these strains were susceptible to the cleaning and disinfection methods and did not  
509 become persistent [19].

510         Although the presence of some taxa was consistent across the factory, there was a clear  
511 distinction between the two areas within the high-care zone, reflecting the adaptation of the  
512 populations to different environments. Although there was movement of personnel between them,  
513 potentially allowing for the transmission of taxa, both areas were kept at different temperatures and  
514 this factor has likely contributed to the differential selection between microbial populations.  
515 *Sphingomonas aerolata*, one of the most abundant members of the resident microbiota of this  
516 factory, was significantly more prevalent in the production area of the facility (detected in 20  
517 samples in the production area, with relative abundances ranging from 0.67 to 56.94 % compared to  
518 only two samples from the preparation area, where its relative abundance ranged from 0.89% to

519 2.51%). *S. aerolata* is a psychrotolerant bacteria firstly isolated from the air of a building [74] and to  
520 our knowledge, this is the first time that has been detected in food processing environments,  
521 although it has been detected by 16S rRNA amplicon sequencing in fruits [78]. Other taxa, mainly  
522 within the *Pseudomonas* genus, showed higher abundance in the preparation area, although these  
523 species represented a minor proportion of the whole community (<0.125%). Adaptation of the  
524 microbial communities to different types of food processing environments [59] and different  
525 environments within food production facilities [14, 55] have been described before, highlighting the  
526 importance of monitoring individual ecological niches within the same facilities to identify the key  
527 microbial taxa characteristic of FPEs.

528         There is evidence that biofilms present in FPEs could harbour foodborne pathogens  
529 (potentially at low abundance) and support their survival against cleaning and disinfection  
530 treatments [77, 79]. In our study, the sampled sites were selected based on the recurrent detection  
531 of *Listeria* spp. and *L. monocytogenes* through culture enrichment during an extended period of  
532 enhanced surveillance [19]. Although these taxa could not be detected from the metagenomes,  
533 culture-dependent methods revealed the presence of *Listeria* spp. in all sites, except for two, and of  
534 *L. monocytogenes* in three of the sites, in at least one occasion. *L. monocytogenes* and most other  
535 pathogens are present in very low abundances in food and food processing environments compared  
536 to resident microflora and the only way to detect pathogens in these sample types is by culture  
537 enrichment [14, 54]. Notably, only the partial volume of the swabs was used to obtain metagenomic  
538 DNA, further increasing the limit of detection. A wide range of taxa have been described to coexist  
539 with *L. monocytogenes* and *Listeria* spp. In meat processing plants, known biofilm-producers such as  
540 *Pseudomonas*, *Acinetobacter* and *Janthinobacterium* were associated with the presence of *Listeria*  
541 spp. [58] while *Psychromonas*, *Shewanella*, *Lactococcus*, *Lactobacillus* or *Yersinia* were associated  
542 with *Listeria* in fish, meat and dairy plants [54]. *Pseudomonas*, *Stenotrophomonas* and  
543 *Microbacterium* were identified as potential indicators of *L. monocytogenes* presence in fruit packing  
544 facilities (Rolon 2023). In this study we have identified *P. fluorescens* as the most abundant and

545 prevalent taxa in the sites monitored. The interactions between *L. monocytogenes* and  
546 *Pseudomonas* spp. within biofilms have been studied in laboratory models [12, 13, 80, 81],  
547 highlighting the protective effect of *Pseudomonas* spp., particularly *P. fluorescens*, towards *L.*  
548 *monocytogenes*. The effect of other members of the resident microbiota in FPEs, such as  
549 *Xantomonadaceae* or *Flavobacteriaceae*, can either enhance or inhibit the survival of *L.*  
550 *monocytogenes*, respectively [82, 83]. All these studies indicate the importance of the background  
551 microbiota in FPEs in the survival of *L. monocytogenes* and our results contribute to building the  
552 knowledge into understanding these communities.

553 There is a growing usage case for monitoring and characterising *L. monocytogenes* in FPEs using  
554 whole-genome sequences from bacterial isolates, which offers advantages such as the high level of  
555 discrimination between strains and the possibility to detect antimicrobial and biocide resistance and  
556 virulence genes. However, this is a time-consuming process, as recovering the pathogen from a food  
557 or environmental sample can take between 2 and 4 days [23]. To accelerate the process of obtaining  
558 *Listeria* genomes, the use of quasimetagenomic sequencing has been proposed [84]. The use of a  
559 quasimetagenomic approach is not only an opportunity to speed the source tracking of *L.*  
560 *monocytogenes*, but also to capture the diversity of *Listeria* strains in a sample [85], although there  
561 is debate whether the enrichment could select for specific *Listeria* strains [85-87]. Previous studies  
562 have successfully used quasimetagenomic sequencing to obtain and subtype *L. monocytogenes*  
563 MAGs from environmental swabs, with the proportion of *L. monocytogenes* reads ranging from  
564 0.02% to 91.82%. In some cases, *Pseudomonas* or *Enterococcus* dominated the quasimetagenomes  
565 and the *L. monocytogenes* genome coverage was insufficient to perform SNP analysis [66]. In our  
566 study, quasimetagenomics from liquid culture enrichments was trialled as an additional means to  
567 identify and then sequence type *Listeria* spp. that were known to be present in samples (via routine  
568 culture) but where *Listeria* spp. were not detectable using direct metagenomics. In the majority of  
569 samples containing *L. seeligeri*, the corresponding STs were observed using quasimetagenomics.  
570 However, *L. monocytogenes* STs were classified in only a single sample, either by taxonomic

571 classification or by direct mapping against reference genomes, despite sufficient sequencing depth  
572 (equivocal to 90x coverage, but with a limited number of reads classified as *L. monocytogenes*, Fig.  
573 3). Even with enrichment, with a quasimetagenomic dataset there may be diminished detectable  
574 sequence yields for a given pathogen when the originating amounts of pathogen were low [85].  
575 During enrichment *L. monocytogenes* can be overgrown by other *Listeria* species present in the  
576 original culture [88]. It is likely that *L. seeligeri* was the predominant species in the original sample  
577 and this species has retained the higher proportion during the enrichment culture. The limited  
578 amount of sample did not allow enumeration of the *Listeria* colony forming units present in the  
579 original samples. Moreover, certain genera, notably *Pseudomonas*, are only partially inhibited by  
580 Half Fraser broth [89], which further challenges the isolation and subtyping of *L. monocytogenes*  
581 from primary enrichments.

582 Metagenomics supported exploration of the microbiological dynamics within the facility, identifying  
583 dominant organisms that have been selected for and have endured in that environment, while low  
584 abundance organisms like *Listeria* required culture efforts to detect, but likewise have been  
585 successful in enduring through the food safety control efforts imposed over many years. In this  
586 stable microbial population, there may be mutualism between the resident members that has likely  
587 supported adaptation to the specific conditions of this industrial niche.

588 The mechanisms of this mutualism and the impact of environmental conditions on creating resilient  
589 populations can be investigated using models that mimic these complex populations, with the goal  
590 of informing food safety interventions on persisting microbial populations.

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626 methodology, project administration, validation, visualization, original draft, review & editing; HA:  
627 data curation, resources; TLV: formal analysis; AVG: conceptualization, investigation, data curation,  
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635

636

#### 637 DATA AVAILABILITY

638 The datasets supporting the conclusions of this article are available in the NCBI repository, under the  
639 BioProjects PRJNA1060911 (<https://www.ncbi.nlm.nih.gov/bioproject/PRJNA1060911>) and  
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## 952 FIGURE LEGENDS

953 **Figure 1. Changes in bacterial diversity in the different areas of the factory over ten weeks.** A and  
954 B) Alpha diversity evaluated with richness, Shannon and Simpson indexes depending on A) time and  
955 B) area of the factory. Each boxplot represents the interquartile range (IQR) of the alpha diversity  
956 indexes values and the whiskers represent the minimum and maximum values. C) Principal  
957 Coordinate Analysis of the Bray-Curtis distance level. The ellipses represent normal data ellipses for  
958 the samples in the preparation and production areas of the factory. Statistical comparisons with the  
959 A) Kruskal-Wallis, B) Wilcoxon and C) Adonis tests are presented in supplementary materials.  
960 Significant differences for alpha diversity ( $p$ -value < 0.05) are indicated in the graphs with an asterisk  
961 (\*).

962 **Figure 2. Relative abundance of the environmental samples.** Bubble plots representing the relative  
963 abundance of A) genus and B) the 15 most abundant species identified in the factory environment.  
964 Each column represents a sample and samples have been grouped by area of the factory and  
965 coloured by time of collection of the sample. C) Comparison of the relative abundance at production  
966 and preparation areas for species exhibiting significant differences in abundance, as determined by  
967 ANCOM-BC analysis. Each boxplot represents the interquartile range (IQR) of the relative abundance  
968 and the whiskers represent the minimum and maximum values.

969 **Figure 3. Microbial dynamics of *Listeria* enrichments in samples positive for *L. monocytogenes* and**  
970 ***L. seeligeri*.** Barplots show the relative abundance of A) and B) the 10 most abundant genera and C)

971 and D) the 10 most abundant *Listeria* species identified in the enrichment using A) C) Illumina or B)  
972 D) nanopore sequencing. Timepoints 1E and 2E indicate primary and secondary enrichments  
973 respectively. The tables in panels C) and D) show the ST identified from raw reads (100001 refers to  
974 new loci-sequences or new STs [40]).

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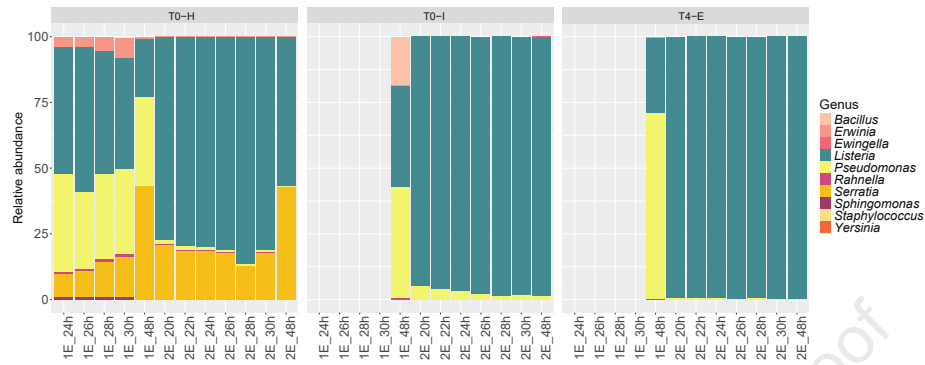
976 **Supplementary materials**

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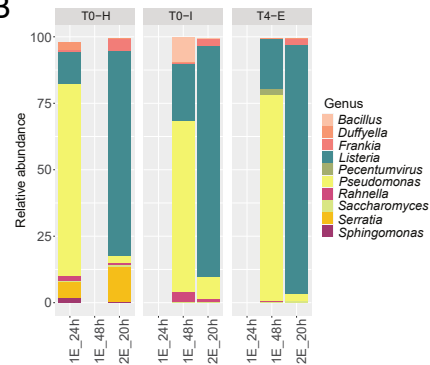
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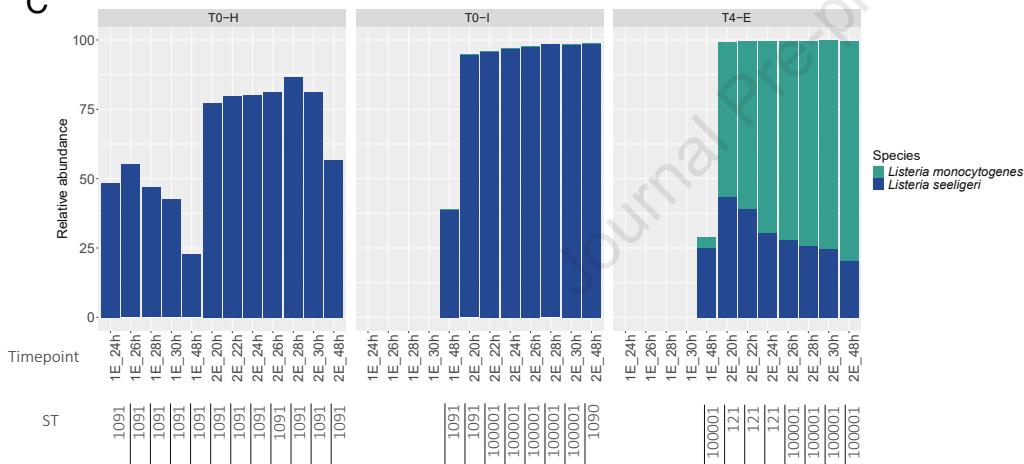
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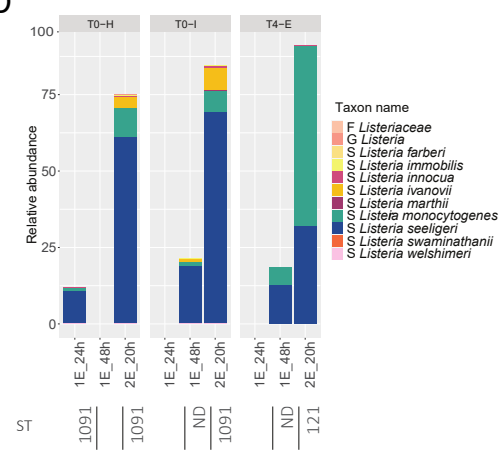
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D



## Highlights

- Microbiota on non-food contact surfaces remains stable in factory environment.
- Distinctive microbiota observed in different factory areas.
- Microbiota adapted to environmental conditions within factory.
- *Listeria monocytogenes* and *Listeria* spp. are present on surfaces at low abundance.

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Declarations of interest: none

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