

# Topological-Charge-Dependent Dichroism and Birefringence of Optical Vortices

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Material anisotropy and chirality produce polarization-dependent light-matter interactions. Absorption leads to linear and circular dichroism, whereas elastic forward scattering produces linear and circular birefringence. Here a form of dichroism and birefringence is highlighted whereby generic anisotropic media display locally different absorption and scattering of a focused vortex beam that depends upon the sign of the topological charge  $\ell$ . The light-matter interactions described in this work manifest purely through dominant electric-dipole coupling mechanisms and depend on the paraxial parameter to first-order. Previous topological-charge-dependent light-matter interactions require the significantly weaker higher-order multipole moments and are proportional to the paraxial parameter to second-order. The result represents a method of probing the nano-optics of advanced materials and the topological properties of structured light.

More recent applications include engendering spin-orbit interactions of light<sup>[3]</sup> in liquid crystals and metamaterials. Distinct, but also polarization-dependent is optical activity.<sup>[1]</sup> Chiral materials exhibit polarization-dependent absorption and refraction of circularly polarized light (CPL) through circular dichroism and circular birefringence (optical rotation), respectively.

Light-matter interactions in anisotropic materials are generally subject to input light propagating in the paraxial regime: the electric field is polarized transverse ( $xy$  plane) to the direction of propagation ( $z$ ) of the beam. This form of light is well-described by the standard Stokes vector, being polarized

in two-dimensions (2D polarized light). However, due to the finite spatial confinement of light sources, all electromagnetic fields possess polarized components in the direction of propagation: longitudinal fields. These longitudinal field components (also referred to as non-paraxial), which make the light polarized in 3D,<sup>[4]</sup> are responsible for a remarkable number of extraordinary properties of light in nano-optics.<sup>[3,5,6]</sup> Crucially, the magnitude of longitudinal components is dependent on the degree of spatial confinement of the field. Strongly confined fields - evanescent waves and tightly focused laser beams, for example - possess longitudinal components that can produce interactions with materials that have observable consequences, whereas free space plane waves and well-collimated beams do not.

Assuming propagation along  $z$ , the longitudinal  $z$ -polarized electric and magnetic fields of spatially confined light are in general  $\pi/2$  out-of-phase with their respective transverse  $xy$ -polarized field components. This leads to a cyclic rotation (or spinning) of the electromagnetic field transverse to the direction of propagation, producing transverse spin angular momentum (SAM) of light and the so-called photonic wheels,<sup>[7-10]</sup> for example. Optical vortices are orbital angular momentum (OAM) carrying modes of light due to their azimuthal phase  $e^{i\ell\phi}$ , where  $\ell \in \mathbb{Z}$ , leading to an OAM of  $\ell\hbar$  per photon. The sign of  $\ell$  determines the handedness (geometrical chirality) of the twisted wavefront of vortex beams:  $\ell > 0$  are left-handed,  $\ell < 0$  are right-handed. Beyond established widespread applications,<sup>[11-13]</sup> optical vortices have recently been shown to exhibit extraordinary optical activity and optical chirality properties due to their longitudinal electromagnetic fields.<sup>[14-16]</sup> Optical vortex modes, in addition to the ubiquitous  $\pi/2$  out-of-phase longitudinal component of electromagnetic fields, possess an additional in-phase longitudinal component which is significantly strengthened due to

## 1. Introduction

Light-matter interactions in anisotropic media depend strongly on the relative orientation of the polarization vector of light with respect to the charge and current displacements. Optical anisotropy, for example, is responsible for linear birefringence (elastic forward scattering) and dichroism (absorption) of light.<sup>[1]</sup> The physical origin of these phenomena is intuitive: in anisotropic materials, the ability for the electric field of light to cause oscillations in charge and current distributions strongly depends on the orientation of the material with respect to the polarization state of the incident electromagnetic field. Optical anisotropy has long been exploited to produce optical elements that transform the degrees of freedom of light, e.g. waveplates.<sup>[2]</sup>

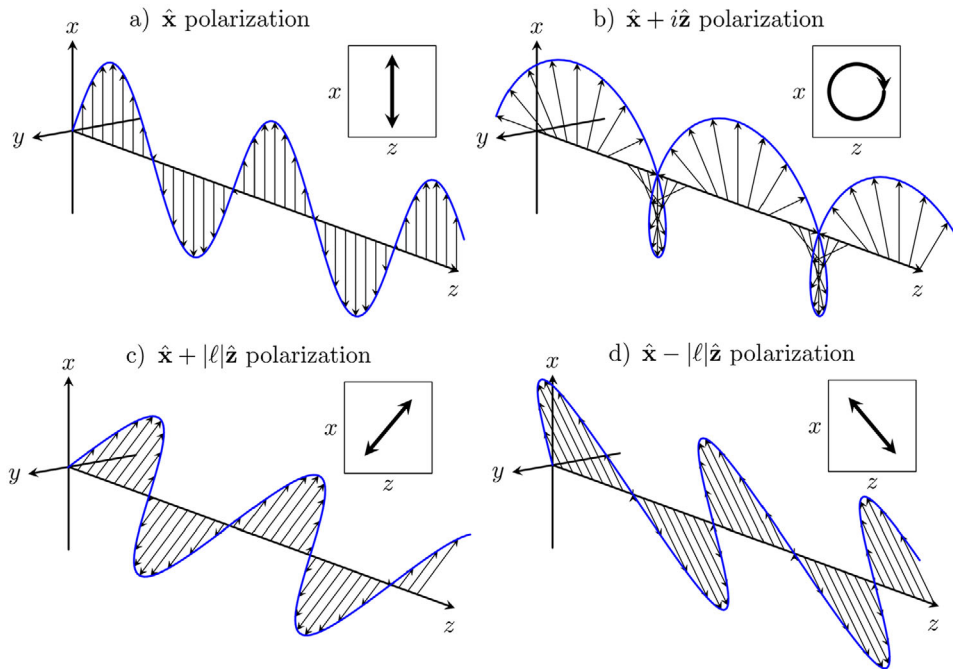
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**Figure 1.** a) 2D x-polarized electric field vector for a z-propagating beam  $e^{ikz}$ ; b) 2D x-polarized light with  $\pi/2$  out-of-phase longitudinal component leading to an elliptical polarization vector in the xz-plane. It is this spinning transverse electric field vector which is responsible for the transverse spin angular momentum of light; c) 2D x-polarized optical vortex light with an in-phase longitudinal field component for positive values of  $\ell$ . The polarization vector is tilted in the positive z-direction in the xz-plane; d) same as (c) but for negative values of  $\ell$ . In this case, the polarization vector is tilted toward the negative z-direction. As is clear from Equation (1), analogous results would manifest for other 2D states of polarization.

the topological properties of the beam. Here we highlight that this in-phase longitudinal field component leads to the manifestation of a local dichroism and birefringence of focused vortex light which depends on the sign of the topological charge  $\ell$ : topological-charge-dependent dichroism and birefringence.

## 2. Topological-Charge-Dependent Polarization

The electric field for an arbitrarily polarized z-propagating monochromatic Laguerre–Gaussian (LG) beam in cylindrical coordinates  $(r, \phi, z)$  which includes terms up to first order in the paraxial parameter  $1/kw$ ,<sup>[17]</sup> where  $k$  is the wavenumber and  $w$  the beam waist, is<sup>[18]</sup>

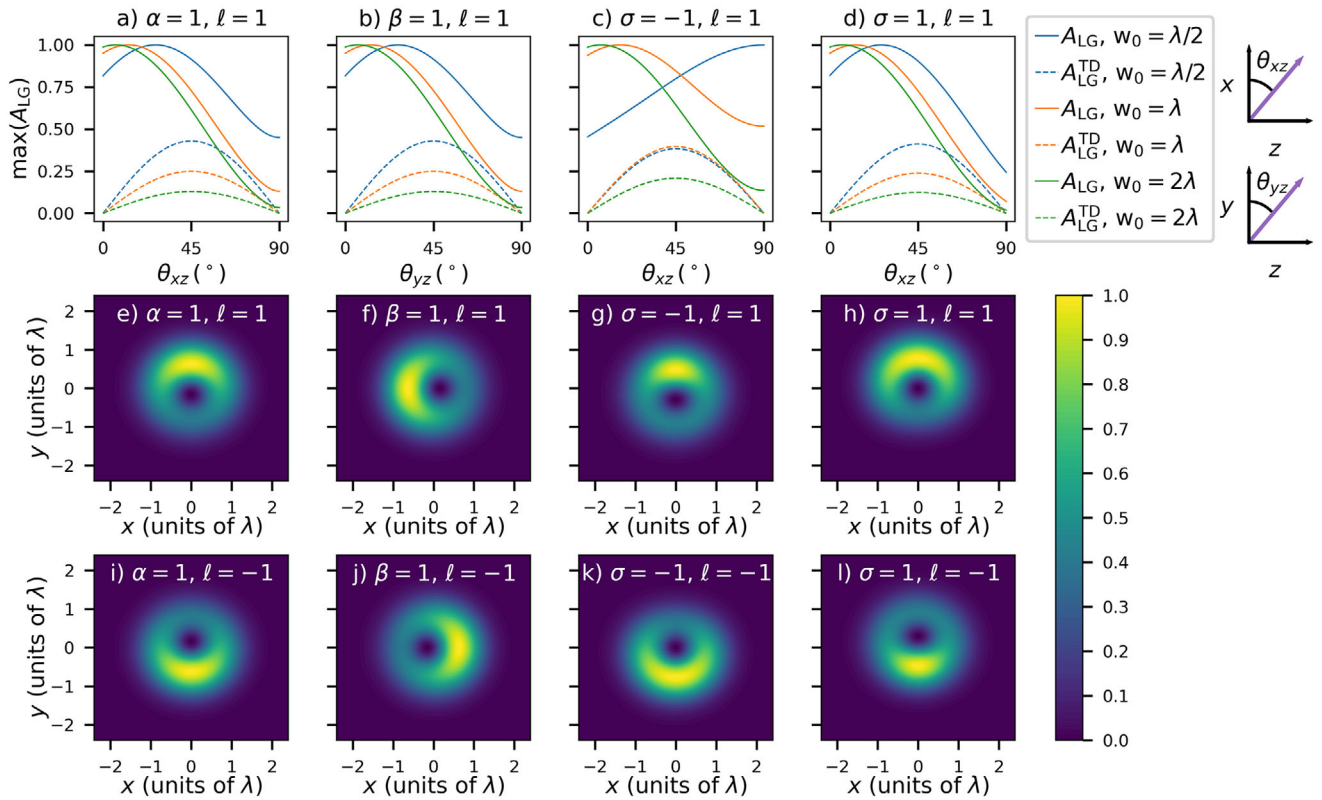
$$\mathbf{E} = [\alpha \hat{x} + \beta \hat{y} + \frac{i}{k} \hat{z} \{ \alpha (\gamma \cos \phi - \frac{i\ell}{r} \sin \phi) + \beta (\gamma \sin \phi + \frac{i\ell}{r} \cos \phi) \}] u_{\ell,p}^{\text{LG}}(r, \phi, z) \quad (1)$$

where  $\gamma = \frac{|\ell|}{r} - \frac{2r}{w^2} - \frac{4rL_p^{|\ell|+1}}{w^2 L_p^{|\ell|}}$ ;  $L_p^{|\ell|}$  is the generalized Laguerre polynomial;  $\alpha, \beta$  are the Jones vector coefficients:  $|\alpha|^2 + |\beta|^2 = 1$ ;  $\ell \in \mathbb{Z}$  and  $p \in \mathbb{Z}^+$  are the topological charge and radial index, respectively;  $u_{\ell,p}^{\text{LG}}(r, \phi, z)$  is the well-known amplitude distribution for LG beams (see ref. [18]), which includes the all-important azimuthal phase  $e^{i\ell\phi}$ .

The  $x$  and  $y$  components of Equation (1) are the transverse electric field (with respect to the direction of propagation) and

account for the 2D polarization state, described using the standard theory of paraxial optics and a  $2 \times 2$  polarization matrix.<sup>[5]</sup> The  $z$  component is the longitudinal field. The full field, including the non-paraxial longitudinal part, is referred to as 3D polarized and requires a  $3 \times 3$  polarization matrix to be described.<sup>[4]</sup>

To most clearly elucidate the topological-charge-dependent polarization we concentrate on 2D linearly polarized light in this Section ( $\alpha$  and  $\beta$  are real). Note, however, that 2D circularly polarized light ( $\beta = i\sigma/\sqrt{2}$ ) contributes a small in-phase transverse-longitudinal contribution proportional to the helicity  $\sigma$ , but is not topologically dependent.<sup>[18]</sup> The imaginary longitudinal field terms in Equation (1) dependent on  $\gamma$  are  $\frac{\pi}{2}$  out of phase with the transverse components due to the  $i$  prefactor of the  $z$ -components (as discussed in the Introduction). In calculating the (electric) cycle-averaged spin angular momentum density of the field Equation (1) using  $\bar{\mathbf{s}}_{\text{E}} = \text{Im}(\mathbf{E}^* \times \mathbf{E})$  it is simple to show the imaginary longitudinal components lead to a non-zero transverse spin.<sup>[8]</sup> However, the real longitudinal terms in Equation (1), which depend on  $\ell$ , are in-phase with the transverse components. Being dependent on  $\ell$  means that this phenomenon of in-phase longitudinal and transverse field components for real  $\alpha$  and  $\beta$  is unique to optical vortex modes, and does not manifest in fields where  $\ell = 0$ , e.g. Gaussian beams or evanescent waves (note this is not the case for 2D polarization with non-zero helicity, see ref. [18]). Crucially, the sign of  $\ell$  determines the orientation of the polarization state in  $xz$  (see Figure 1) or  $yz$  planes. It is important to note an analogous behavior is observed for the magnetic field, however, due to the electric-biased nature of



**Figure 2.** a–d) Dipole orientation dependence of Equation (3) for (solid lines) maximum total absorption,  $A_{LG}$ , individually normalized, (dashed lines) maximum TD contribution,  $A_{LG}^{TD}$ , normalized to the maximum of the solid line of the same color;  $\theta_{xz}$  and  $\theta_{yz}$  denote dipole orientation angle defined in the axes top right of figure. e–l) Focal plane spatial distributions of Equation (3) with  $w_0 = \lambda$ ,  $\theta = 45^\circ$ , and dipole orientation matching the corresponding (a–d) of the same column.  $|\ell| = 1$ ,  $p = 0$  in all cases, the magnitude of all dipoles  $|\mu_i| = 1$ , the beam intensity is fixed, and  $\lambda = 729$  nm.

most dielectric materials the optical magnetic light-matter interaction is significantly weaker than the electric-dipole coupling we study herein.

This property of  $\ell$  dependent 3D polarization orientation for vortex modes means, in a rather generic sense, that any observable of a light-matter interaction which depends on the polarization vector of the beam (with respect to the material orientation) is modified by the topological structure of generic vortex modes (LG, Bessel, etc.). For example, the most fundamental mechanisms of absorption and scattering of optical vortex light by materials will exhibit this  $\ell$  dependence under suitable circumstances. We now determine the conditions this  $\ell$  dependent orientation of the polarization state influences absorption and forward elastic scattering of these beams.

### 3. Topological-Charge-Dependent Dichroism (TD)

The absorption of light by matter to leading order is described by the interaction Hamiltonian truncated to electric-dipole approximation:  $H_{\text{int}} = -\mu_i E_i$  (repeated subscript indices imply Einstein summation convention). The Fermi golden rule tells us the rate of absorption  $W_{I \rightarrow F}$  from the initial state  $I$  to the final state  $F$ , i.e. the rate of transfer of energy from the electromagnetic field to the system is  $W_{I \rightarrow F} = \frac{2\pi}{\hbar} |\langle F | H_{\text{int}} | I \rangle|^2 \rho(E_{FI})$ .<sup>[19]</sup> The density of states  $\rho(E_{FI})$  is specific to the given light-matter system. Using the electric field given by Equation (1) in the electric-dipole interaction

Hamiltonian, the amplitude for absorption is:

$$\langle F | H_{\text{int}} | I \rangle = [\alpha \hat{x}_i + \beta \hat{y}_i + \frac{i}{k} \hat{z}_i \{ \alpha (\gamma \cos \phi - \frac{i\ell}{r} \sin \phi) + \beta (\gamma \sin \phi + \frac{i\ell}{r} \cos \phi) \}] \mu_i u_{\ell,p}^{LG} \quad (2)$$

where  $\langle \psi_F | \mu_i | \psi_I \rangle \equiv \mu_i^{FI} \equiv \mu_i$  and for notational brevity we utilize suffix notation from here on (e.g.  $\hat{x}_i \mu_i = \hat{\mathbf{x}} \cdot \boldsymbol{\mu}$ ). Undertaking the absolute square of the amplitude as required in the Fermi rule we have for the absorption probability (after being time-averaged over one period of oscillation):

$$\begin{aligned} |\langle F | H_{\text{int}} | I \rangle|^2 &= \mu_i \mu_j [ |\alpha|^2 \hat{x}_i \hat{x}_j + |\beta|^2 \hat{y}_i \hat{y}_j + 2\Re \alpha \beta^* \hat{x}_i \hat{y}_j \\ &+ 2\hat{x}_i \hat{z}_j \frac{1}{k} \{ (|\alpha|^2 \frac{\ell}{r} - \gamma \Im \alpha^* \beta) \sin \phi - \frac{\ell}{r} \Re \alpha \beta^* \cos \phi \} \\ &+ 2\hat{y}_i \hat{z}_j \frac{1}{k} \{ (-\gamma \Im \alpha \beta^* - \frac{\ell}{r} |\beta|^2) \cos \phi + \frac{\ell}{r} \Re \alpha \beta^* \sin \phi \} \\ &+ \frac{1}{k^2} \hat{z}_i \hat{z}_j \{ |\alpha|^2 (\gamma^2 \cos^2 \phi + \frac{\ell^2}{r^2} \sin^2 \phi) + |\beta|^2 (\gamma^2 \sin^2 \phi \\ &+ \frac{\ell^2}{r^2} \cos^2 \phi) + 2\Re [\alpha \beta^* (\gamma^2 \cos \phi \sin \phi - \frac{\ell^2}{r^2} \cos \phi \sin \phi \\ &- \frac{i\ell}{r} \gamma)] \} ] |u_{\ell,p}^{LG}|^2 \quad (3) \end{aligned}$$

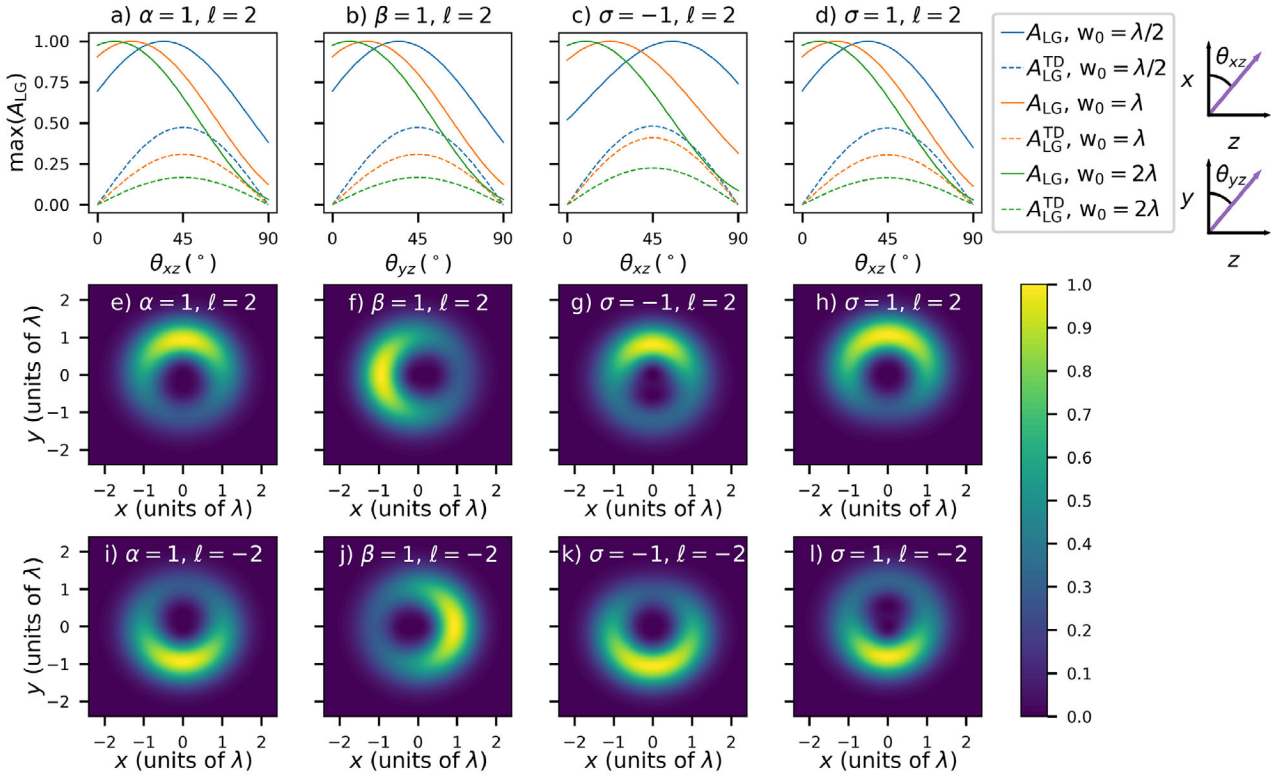


Figure 3. Same as Figure 2 but for  $|\ell| = 2$ .

The first three terms in Equation (3), zeroth-order with respect to the paraxial parameter  $1/kw$ , are the well-known contributions to the absorption of light under paraxial conditions;<sup>[1,19]</sup> the remaining terms describe the influence that a focused vortex beam has on absorption, i.e. the influence of the longitudinal field component on absorption. There are two distinct contributions involving longitudinal fields: a) the interference between the 2D polarized transverse field components and the first-order longitudinal component, proportional to the paraxial parameter to first-order  $\propto 1/kw$ , and importantly are linearly dependent on  $\ell$ , i.e. the vortex wavefront handedness; b) the pure longitudinal terms proportional to second-order in the paraxial parameter, i.e.  $\propto 1/(kw)^2$ .

There are two important limits of Equation (3) we can distinguish: whether the input beam is 2D linearly polarized (in which case  $\alpha$  and  $\beta$  are real); or whether the input beam is 2D circularly polarized:  $\alpha = 1/\sqrt{2}$ ,  $\beta = i\sigma/\sqrt{2}$ , where the helicity is  $\sigma = \pm 1$ , the upper-sign corresponding to left-handed CPL and the bottom right-handed CPL. For the 2D linear case Equation (3) becomes

$$\begin{aligned}
 \langle F | H_{\text{int}} | I \rangle^2 &= \mu_i \mu_j [|\alpha|^2 \hat{x}_i \hat{x}_j + |\beta|^2 \hat{y}_i \hat{y}_j \\
 &+ 2\Re\alpha\beta^* \hat{x}_i \hat{y}_j + \frac{2\ell}{kr} (\hat{x}_i \hat{z}_j \{|\alpha|^2 \sin\phi - \Re\alpha\beta^* \cos\phi\} \\
 &+ \hat{y}_i \hat{z}_j \{\Re\alpha\beta^* \sin\phi - |\beta|^2 \cos\phi\}) \\
 &+ \frac{1}{k^2} \hat{z}_i \hat{z}_j \{|\alpha|^2 (\gamma^2 \cos^2\phi + \frac{\ell^2}{r^2} \sin^2\phi) + |\beta|^2 (\gamma^2 \sin^2\phi \\
 &+ \frac{\ell^2}{r^2} \cos^2\phi) + \Re\alpha\beta^* \sin 2\phi (\gamma^2 - \frac{\ell^2}{r^2})\} ] |u_{\ell,p}^{\text{LG}}|^2 \quad (4)
 \end{aligned}$$

The spatial distribution of Equation (4) and dipole orientation dependence in the focal plane for 2D  $x$ -polarized and 2D  $y$ -polarized input beams are displayed in Figure 2a, e, i and b, f, j, respectively. The azimuth of the 2D polarization state acts to rotate the spatial distribution of absorption, e.g. compare Figure 2e to Figure 2f. More importantly, it is clear that the sign of the topological charge (wavefront handedness) leads to a differential absorption of the light by the material:  $W_{I \rightarrow E}^{\ell} \neq W_{I \rightarrow E}^{-\ell}$ . Note this phenomena stems from the contribution  $A_{\text{LG}}^{\text{TD}}$  that the interference terms between the 2D polarized (zeroth-order) transverse field and the first-order longitudinal components make to the overall rate of absorption  $A_{\text{LG}}$ , made clear by the linear  $\ell$ -dependence in Equation (4), and are proportional to the paraxial parameter to first order  $\propto 1/kw$ . The differential absorption we have highlighted is analogous to linear and circular dichroism, but the differential effect stems from the sign (and magnitude) of the topological charge of the vortex beam: topological-charge-dependent dichroism. In the case of 2D CPL Equation (3) becomes

$$\begin{aligned}
 \langle F | H_{\text{int}} | I \rangle^2 &= \mu_i \mu_j \left[ \frac{1}{2} \hat{x}_i \hat{x}_j + \frac{1}{2} \hat{y}_i \hat{y}_j + \hat{x}_i \hat{z}_j \frac{1}{k} \left\{ \frac{\ell}{r} - \gamma\sigma \right\} \sin\phi \right. \\
 &+ \hat{y}_i \hat{z}_j \frac{1}{k} \left\{ \gamma\sigma - \frac{\ell}{r} \right\} \cos\phi + \frac{1}{2k^2} \hat{z}_i \hat{z}_j \left\{ \gamma^2 \right. \\
 &+ \left. \frac{\ell^2}{r^2} - \frac{2\ell\sigma}{r} \gamma \right\} ] |u_{\ell,p}^{\text{LG}}|^2 \quad (5)
 \end{aligned}$$

The spatial distribution of Equation (5) and dipole orientation dependence in the focal plane for 2D right and left circularly polarized input beams are displayed in Figure 2c, g, k and d, h, l,

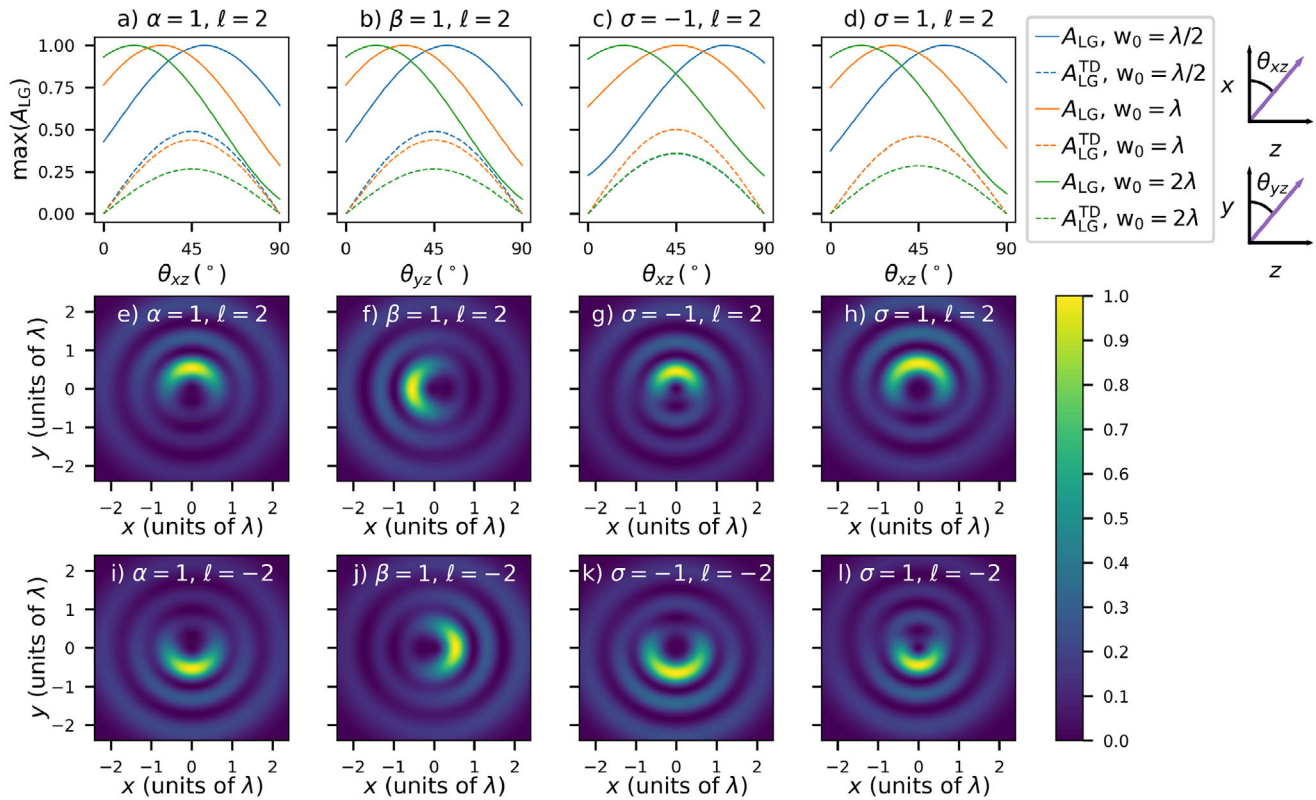


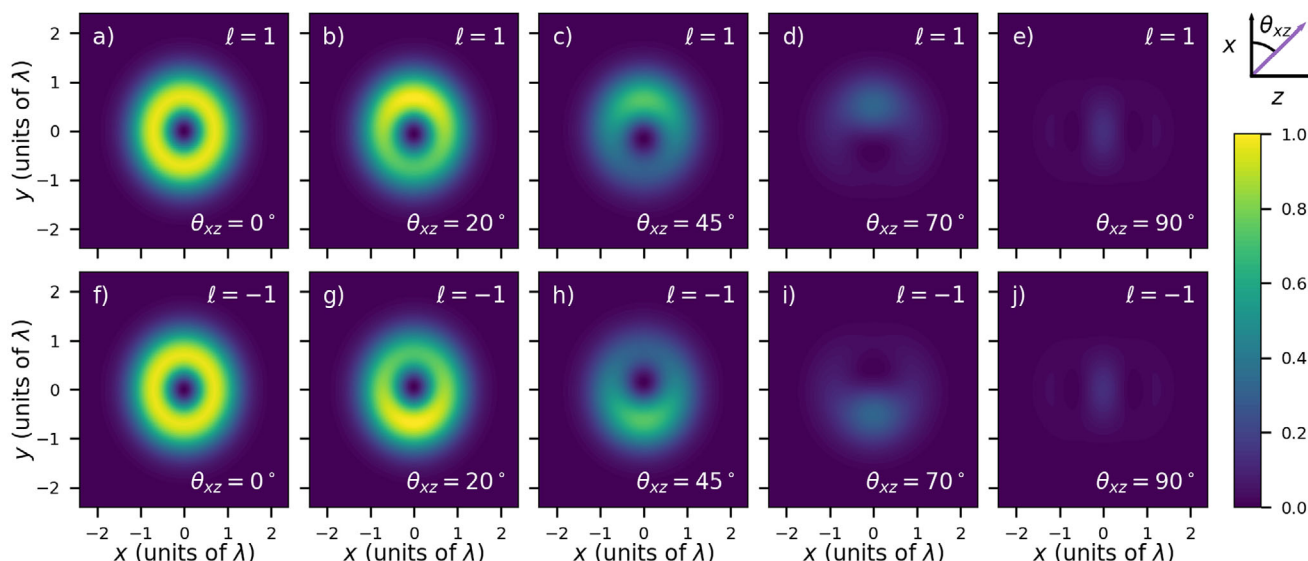
Figure 4. Same as Figure 2 but for  $p = 2$  and  $|\ell| = 2$ .

respectively. We readily see an interplay of terms depending on  $\sigma$  and  $\ell$ . Namely, the case of parallel SAM and OAM ( $\text{sgn}\ell = \text{sgn}\sigma$ ) differs from the anti-parallel SAM and OAM ( $\text{sgn}\ell \neq \text{sgn}\sigma$ ): the spatial distribution is more drastically altered by altering the wavefront handedness than the 2D circular polarization handedness, e.g. compare Figure 2g and k to g and h. This is because the topological charge influences the 3D polarization state significantly more than the 2D polarization helicity.<sup>[18]</sup> Furthermore, comparing Figure 2c to Figure 2d highlights how the TD mechanism is significantly larger for the anti-parallel case compared to the parallel case: e.g. for  $w_0 = \lambda$  the ratio of the TD contribution to absorption  $A_{LG}^{TD}$  versus the standard paraxial term is 84%, whereas for the parallel case, it is 50%. This behavior mirrors that which is known for properties (e.g. intensity) of vortex beams due to longitudinal field components.<sup>[3]</sup>

It is important to appreciate the significant magnitude of the TD mechanism. The intensity of a tightly focused vortex beam, proportional to  $\mathbf{E} \cdot \mathbf{E}^*$ , consists of the inner product of the dominant 2D transverse fields producing a contribution which is zeroth-order in the paraxial parameter and the inner product of the first-order longitudinal components which yield a contribution that is second-order, i.e.  $\propto 1/(kw)^2$ . Compared to the 84% ratio for anti-parallel discussed above, the second-order contribution to the intensity proportional to  $1/(kw)^2$  is  $\approx 10\%$  in optimal conditions for  $w_0 = \lambda$  relative to the zeroth-order fields<sup>[20]</sup> (though readily observed<sup>[21]</sup>). This highlights the significantly larger TD effect, proportional to first-order in the paraxial parameter  $1/(kw)$ .

Like linear dichroism, TD requires material anisotropy and does not manifest in isotropic media (freely tumbling fluids and gases). This is readily seen by rotational averaging Equation (3) using the well-known second-rank tensor average: e.g.  $\hat{x}_i \hat{z}_j \langle \mu_i \mu_j \rangle = \delta_{ij} \hat{x}_i \hat{z}_j |\mu|^2 / 3 = 0$ . One important application of TD would therefore be as a sensitive measure of partial or local order.

Laguerre–Gaussian modes are described by both  $\ell$  and the radial index  $p$ . We have observed how the sign of  $\ell$  influences TD; here we study the role of the magnitude of  $\ell$  and  $p$ . Figure 3 highlights the linear dependence on the magnitude of  $\ell$  for TD as we see compared to the  $\ell = 1$  case of Figure 2, for  $\ell = 2$  the relative contribution of the TD effect increases with increasing  $\ell$ . Figures 4 and 5 highlight the fact that increasing the radial order  $p$  also yields larger relative TD contributions. This is because the magnitude of  $p$  controls the  $p + 1$  concentric rings in the spatial distribution of Laguerre-Gaussian modes. Thus, increasing  $p$  increases the transverse gradients of the beam and we can see from Equation S1 (Supporting Information) in ref. [18] that increasing the transverse gradient increases the magnitude of the first-order longitudinal field component. The TD effect stems from the interference between the transverse and longitudinal field, and thus increasing  $p$  increases  $E_z$  which in turn makes the TD larger for increasing values of  $p$ . It is interesting to also see that increasing  $p$  increases TD more significantly relative to increasing  $\ell$ . Finally, Figures S2–S3 (Supporting Information) in ref. [18] display the results of the absorption by  $\ell = 0$  beams (i.e. non-vortex Gaussian). Interestingly, a tightly-focused 2D circularly-polarized beam does possess an in-phase relation-

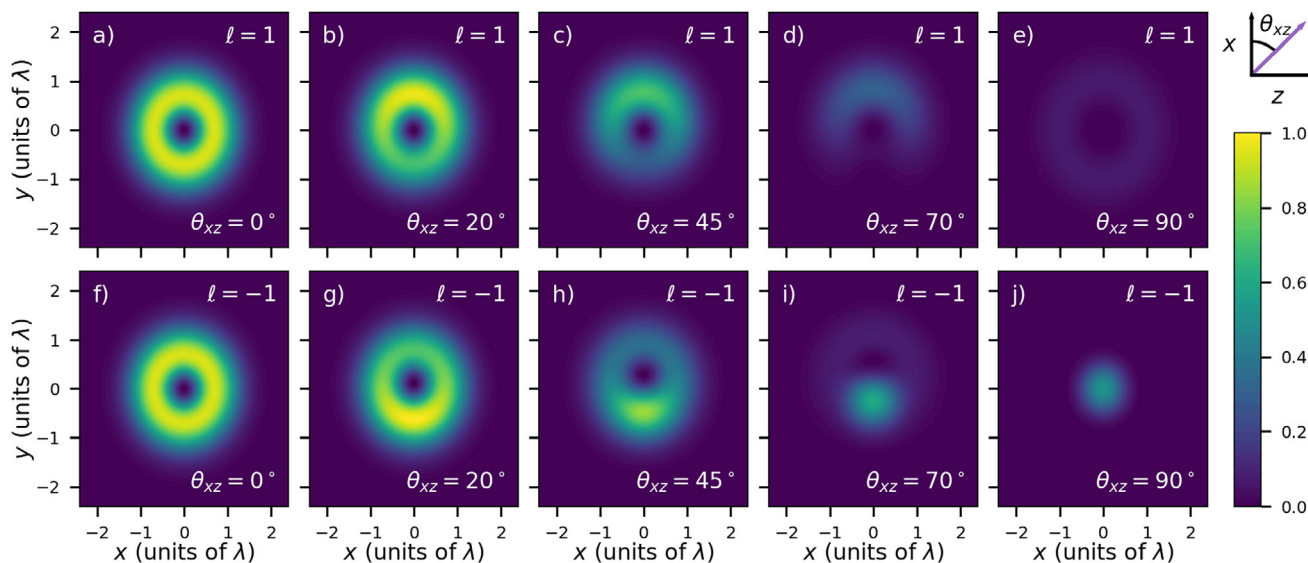


**Figure 5.** Spatial distributions of absorption showing topological-charge-dependent dichroism of a focused 2D  $x$ -linearly polarized input beam at different values of  $\theta_{xz}$  for a–e)  $\ell = 1$  and f–j)  $\ell = -1$ . For all plots  $\omega_0 = \lambda$ ,  $\lambda = 729$  nm, the beam intensity is fixed, and each plot is normalized to the peak value which occurs at  $\theta_{xz} = 20^\circ$ .

ship between transverse and longitudinal components, but the effect is significantly smaller than the effects due to the topological charge of vortex beams, and of course for 2D linear states, there are no in-phase relationships between transverse and longitudinal components for non-vortex modes.

The magnitude of TD with respect to the standard dichroic absorption mechanism increases with a tighter focus (smaller  $\omega_0$ , see top rows of Figures 2–4 and also ref. [18] for further details); with the value of OAM through a larger  $\ell$ ; using the anti-parallel combination of  $\ell$  and  $\sigma$ ; increasing the radial order  $p$  and manipulating the ratio of  $\hat{z}_i \mu_i / \hat{x}_j \mu_j$ , which can be achieved by inherent material structure and/or orientation of the absorbing particle/structure. This dependence on dipole orientation with re-

spect to the polarization vector can be found in the top row of Figures 2–4. Further physical insight is revealed by plotting the spatial distribution of TD for a range of relative dipole orientation angles. Figures 5 and 6 show how the spatial distribution of TD varies with the dipole orientation angle relative to the input polarization vector. We see that in Figure 5 a–e,  $\theta_{xz} = 0$  and  $\pi/2$ , respectively, there is no dependence on the sign of  $\ell$  as the TD contribution in Equation 5 is zero in these cases (due to  $\hat{z} \cdot \boldsymbol{\mu} = 0$  for  $\theta_{xz} = 0$  and  $\hat{x} \cdot \boldsymbol{\mu} = 0$  for  $\theta_{xz} = \pi/2$ ). In Figure 6 the spin-orbit interaction clearly begins to manifest as the coupling to the longitudinal fields increases as  $\theta_{xz}$  becomes more aligned with the  $z$ -direction. This strong sensitivity on the relative orientation between electric field vector (itself determined by  $\ell$  per Equation (1), Figure 1



**Figure 6.** Same as Figure 5 but for an input left circularly polarized beam ( $\sigma = 1$ ).

and transition dipole highlights how a given anisotropic medium would produce its own TD ‘fingerprint’. 1D and 2D nanostructures are exemplary structures to exhibit  $\ell$ -dependent absorption, with the long-axis oriented parallel to the direction of beam propagation. This class of structure is already extremely well-utilized in applications in photonics and opto-electronics. Examples of these advanced materials which can exhibit TD include liquid crystals, carbon nanotubes and nanoribbons, metamaterials (e.g. hyperbolic plasmonic nanorods<sup>[22]</sup>), etc. Furthermore, the diverse range of monolayer graphene derivatives and transition metal dichalcogenides,<sup>[23]</sup> including van der Waals heterostructures,<sup>[24,25]</sup> also constitute suitable materials to exhibit TD. Clearly, due to its local nature, TD lends itself to nano-optical probing methods. For example, TD will lead to a local loss of intensity and changing polarization azimuth in the planes orthogonal to  $z$ . It therefore may be quantified by spatially resolved transmission and 3D Stokes polarimetry techniques. Alternatively, imaging of oriented fluorescent dipole emitters<sup>[26]</sup> (or photoluminescence in more general materials) would highlight this effect, and have the distinct advantage of a well-defined linearly oriented absorption dipole moment.

#### 4. Topological-Charge-Dependent Refraction

Alongside absorptive (dichroism) interactions, in transparent regions of materials there still manifests differential responses to the scattering of electromagnetic waves. One must be careful with the terminology here: scattering is more generally associated with extinction and a depleted transmission of the input light. However, both linear and circular birefringence at the microscopic level are in fact consequences of elastic scattering in the forward direction, i.e. coherent scattering (as is all refraction).<sup>[1,27]</sup> To elucidate the qualitative principle of topologically dependent refraction we use the simplest model of a ‘dilute’ system (low number density of non-polar molecules, neglecting local field effects, etc.). The amplitude for elastic forward scattering, which produces the dynamic Stark shift  $\Delta E$  and is also responsible for optical trapping,<sup>[28]</sup> can be related to the refractive index of the molecular medium.<sup>[1,29]</sup> The forward scattering amplitude of Equation (1) for a number density  $N$  of scattering centers is readily calculated using second-order perturbation theory (see ref. [18] for further information):

$$\begin{aligned} \Delta E = & -\frac{NI_{LG}(r, z)}{2c\epsilon_0} [|\alpha|^2 \hat{x}_i \hat{x}_j + |\beta|^2 \hat{y}_i \hat{y}_j + 2\Re\alpha\beta^* \hat{x}_i \hat{y}_j + 2\hat{x}_i \hat{z}_j \frac{1}{k} \{ (|\alpha|^2 \frac{\ell}{r} \\ & - \gamma \Im\alpha^* \beta) \sin \phi - \frac{\ell}{r} \Re\alpha\beta^* \cos \phi \} + 2\hat{y}_i \hat{z}_j \frac{1}{k} \{ (-\gamma \Im\alpha\beta^* \\ & - \frac{\ell}{r} |\beta|^2) \cos \phi + \frac{\ell}{r} \Re\alpha\beta^* \sin \phi \} + \frac{1}{k^2} \hat{z}_i \hat{z}_j \{ |\alpha|^2 (\gamma^2 \cos^2 \phi + \frac{\ell^2}{r^2} \sin^2 \phi) \\ & + |\beta|^2 (\gamma^2 \sin^2 \phi + \frac{\ell^2}{r^2} \cos^2 \phi) + 2\Re[\alpha\beta^* (\gamma^2 \cos \phi \sin \phi \\ & - \frac{\ell^2}{r^2} \cos \phi \sin \phi - \frac{i\ell}{r} \gamma) \} ] \alpha_{ij}(\omega) \end{aligned} \quad (6)$$

where  $\alpha_{ij}(\omega)$  is the polarizability of the scattering centre and  $I_{LG}(r, z)$  is the intensity of the LG beam. Accounting for energy conservation between the energy density of the field in vacuum

and the energy shift experienced by the material, Equation (6) leads to the following refractive index (see ref. [18]):

$$\begin{aligned} n = & 1 + \frac{N}{2\epsilon_0} [|\alpha|^2 \hat{x}_i \hat{x}_j + |\beta|^2 \hat{y}_i \hat{y}_j + 2\Re\alpha\beta^* \hat{x}_i \hat{y}_j + 2\hat{x}_i \hat{z}_j \frac{1}{k} \{ (|\alpha|^2 \frac{\ell}{r} \\ & - \gamma \Im\alpha^* \beta) \sin \phi - \frac{\ell}{r} \Re\alpha\beta^* \cos \phi \} + 2\hat{y}_i \hat{z}_j \frac{1}{k} \{ (-\gamma \Im\alpha\beta^* \\ & - \frac{\ell}{r} |\beta|^2) \cos \phi + \frac{\ell}{r} \Re\alpha\beta^* \sin \phi \} + \frac{1}{k^2} \hat{z}_i \hat{z}_j \{ |\alpha|^2 (\gamma^2 \cos^2 \phi \\ & + \frac{\ell^2}{r^2} \sin^2 \phi) + |\beta|^2 (\gamma^2 \sin^2 \phi + \frac{\ell^2}{r^2} \cos^2 \phi) \\ & + 2\Re[\alpha\beta^* (\gamma^2 \cos \phi \sin \phi - \frac{\ell^2}{r^2} \cos \phi \sin \phi - \frac{i\ell}{r} \gamma) \} ] \alpha_{ij}(\omega) \end{aligned} \quad (7)$$

The refractive index Equation (7) clearly exhibits birefringence with respect to the sign of the topological charge, i.e.  $n_\ell \neq n_{-\ell}$ . A simple indicative calculation of the change in local refractive index due to topological charge birefringence using optimal conditions (see Figure 2a,  $w_0 = \lambda$ ) for 2D x-polarized light gives  $\Delta n = n_\ell - n_{-\ell} = 0.6$ , which is similar in magnitude to well-known linear birefringence of anisotropic crystals. The Stark shift Equation (6) exhibits the same spatial distribution as TD (see Figures 2–5). Thus, in addition to TD, there also manifests  $\ell$  dependent forward elastic scattering (topological-charge-dependent birefringence) of focused vortex beams at transparent frequencies in anisotropic materials.

#### 5. Conclusion

There has recently been a significant interest in light-matter interactions which are dependent on the wavefront handedness of an optical vortex through the sign of  $\ell$ .<sup>[14,15,30–43]</sup> Such phenomena have primarily been concerned with chiral media and the optical chirality of vortex beams, manifesting through the interference of electric dipole coupling with the higher-order magnetic dipole and electric quadrupole interactions. These chiral effects - more generally referred to as optical activity - are proportional to the second-order paraxial parameter  $1/(kw)^2$  and require multipolar transition moments which are  $\approx 1/137$  times smaller than electric dipole coupling.<sup>[1,19,44]</sup> Nonetheless, such effects have been experimentally observed<sup>[45–47]</sup> and yield enhanced signals and sensitivity with respect to traditional plane-wave sources. Here we have highlighted absorption and forward elastic scattering (refraction) of light by anisotropic media which depends upon the sign of the topological charge of the input structured optical vortex beam through purely electric dipole interactions (i.e. not requiring chiral materials nor small multipolar couplings). Indeed, just as circular dichroism and birefringence are the chiral analogs to linear dichroism and birefringence,<sup>[1]</sup> the previously studied vortex dichroism (helical dichroism) is the chiral analog to the topological-charge-dependent dichroism we have highlighted in this work. The mechanisms we have discussed in this study are first-order in the paraxial parameter  $1/(kw)$  and should therefore be readily observable, indeed they are of the same order of paraxial parameter as the transverse SAM density of light which is a well-established experimental phenomenon.<sup>[9,10]</sup> Compared to existing methods which exploit

the handedness associated with the topological charge of vortex beams in chiral media, techniques based on the phenomena described in this work should be more broadly applicable due to manifesting through purely electric-dipole couplings, thus representing potentially useful methods in the rapidly expanding toolkit of twisted light-matter interactions.<sup>[34,39,40,48,49]</sup>

The mechanisms we have highlighted have their origins in the fundamental observation that focused optical vortices possess a polarization state which is dependent on the topological properties of the beam, specifically the value of  $\ell$ . As such, the topologically dependent absorption (topological-charge-dependent dichroism, TD) and forward elastic scattering (topological-charge-dependent birefringence) are generic light-matter interactions for anisotropic media, and thus open the door for a whole array of specific applications in a wide range of advanced materials. Such quantitative studies represent a rich vein of future research. Finally, the phenomena highlighted in this work belong to the realm of linear optics: future efforts will look to discover analogs phenomena in nonlinear optical media through multiphoton dichroism and intensity-dependent refraction.

## Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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## Conflict of Interest

The authors declare no conflict of interest.

## Data Availability Statement

Data sharing is not applicable to this article as no new data were created or analyzed in this study.

## Keywords

advanced materials, light-matter interactions, nano-optics, optical vortices, structured light

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