

1 Acoustic data-driven framework for structural defect reconstruction: A manifold
2 learning perspective

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10
11 **Abstract:**

12 Data-driven quantitative defect reconstruction using ultrasonic guided waves has recently
13 demonstrated great potential in the area of non-destructive testing (NDT) and structural health
14 monitoring (SHM). In this paper, a novel deep learning-based framework, called Deep-guide, has
15 been proposed to convert the inverse guided wave scattering problem into a data-driven manifold
16 learning progress for defect reconstruction. The architecture of Deep-guide network consists of the
17 efficient encoder-projection-decoder blocks to automatically realize the end-to-end mapping of
18 noisy guided wave reflection coefficients in the wavenumber domain to defect profiles in the
19 spatial domain by the manifold distribution principle and intelligent learning. Towards this, results
20 by the modified boundary element method for efficient calculations of scattering fields of guided
21 waves have been generated as acoustic emission signals of the Deep-guide to facilitate the training
22 and extract the features homeomorphically. The correctness, robustness and efficiency of the
23 proposed framework have been demonstrated throughout several examples and experimental tests
24 of circular defects. It has been noted that Deep-guide has the ability to achieve the high-quality
25 defect reconstructions and provides valuable insights into the development of effective data-driven
26 techniques for structural health monitoring and complex defect reconstructions.

27
28 **Keywords:** Manifold learning, Acoustic Data-driven, Guided wave, Defect reconstruction

29
30 **1.Introduction**

31 Ultrasonic guided waves (UGW) have been widely used in non-destructive testing (NDT), due to
32 their superb inspection sensitivity and capability of traveling large distances without much
33 attenuation^[1-4]. Applying the mode control and frequency tuning techniques, researchers have
34 enabled UGW to achieve the high-precision and long-distance detection with just 1 or 2 probes in
35 a variety of unusual circumstances such as inspections under fluids, coatings, and insulation^[5]. In
36 general, the use of UGW to reconstruct structural defects can be attributed to an inverse scattering
37 problem. Solutions to such problem have focused on the development of knowledge-driven or
38 physical-analytical methods, which are based on the guided wave scattering theory to realize the
39 mapping between characteristics of the scattered waves and the defect profiles.

40 For the detection of defects in plate-like structures, Rose et al^[6] deduced a dyadic Green's
41 function for a point moment/force in a plate using the Mindlin plate model. Employing the Born
42 approximation, a relationship between the far-field scattering amplitude and the spatial Fourier
43 transform was found to reconstruct the weak flexural inhomogeneity^[7]. Subsequently, the far-field

44 approximation-based approaches to the quantitative reconstruction of plate thinnings in solving
45 the inverse problems were developed using SH-waves^[8] and Lamb waves^[9], respectively. In 2011,
46 a two-dimensional finite element (FE)-based inverse scheme was proposed to size strip-like
47 defects in plates using guided Lamb wave modes for the known defect position along the plate
48 guide^[10]. This technique was also applied for the determination of a cracked zone, which was
49 representative of a uniform and linear impact damage inside a composite plate^[11]. In the field of
50 defect detection in pipelines, Da et al^[12] proposed a novel method (QDFT) for the quantitative
51 reconstruction of pipeline defects using ultrasonic guided SH-waves. This method started from the
52 boundary integral equation and derived the Fourier transform pair of the defect shape function and
53 reflection coefficients using the Born approximation. Finally, the unknown defect was
54 reconstructed throughout the reference model.

55 Despite the successful realization of quantitative defect reconstructions, there are some
56 limitations for such knowledge-driven approaches. First, the inverse model is usually an
57 approximate description of the reality, and extending it might be challenging due to the
58 multi-mode and dispersive properties of guide waves^[13]. Relatively accurate analytical models,
59 such as those based on the iterative optimization, hardly demonstrated the real-time capabilities
60 due to the computational complexity; Second, as the scattered signals often contain noise, the
61 signal processing has to be conducted to solve such the ill-posed inverse scattering problem using
62 the knowledge-driven mode^[12].

63 Taking into account these facts, the data-driven method has been introduced to solve the
64 guided waves scattering problem and also has widespread impacts on the strategies of problem
65 solving in many diverse fields, including inverse reconstructions^[14-17]. For example, Feng et al^[18]
66 proposed a general end-to-end deep learning-based 3D reconstruction framework, which
67 stochastically reconstructed a three-dimensional (3D) structure of porous media from a given
68 two-dimensional (2D) image. In^[19], the potential of carrying out inverse problems with linear and
69 non-linear behaviour using deep learning methods was investigated. Besides, Florent et al^[20]
70 addressed the inverse identification of apparent elastic properties of random heterogeneous
71 materials using machine learning based on artificial neural networks. For the image reconstruction,
72 Chen et al^[21] combined the autoencoder, deconvolution network and shortcut connections into the
73 residual encoder-decoder convolutional neural network (RED-CNN) for low-dose X-ray
74 computed tomography (CT). In^[22], a direct deep learning image reconstruction method, called
75 AUTOMAP (automated transform by manifold approximation), was proposed. Good results were
76 reported for variously undersampled magnetic resonance imaging (MRI). For positron emission
77 tomography (PET), a novel end-to-end PET image reconstruction technique, called DeepPET, was
78 proposed to take the PET sinogram data as the input for quickly outputs of high quality,
79 quantitative PET images^[23]. Recently, Gao et al^[24] has developed a generative adversarial network
80 (GAN)-based deep-learning model for low-quality defect image reconstructions. The experimental
81 results show that the proposed method has achieved great performances under different masks and
82 noises. In the field of non-destructive testing (NDT), Piao et al^[25] fused the rational Bezier curve
83 (RBC) model with the least-square support vector machine (LS-SVM) for fast reconstruction of

84 three-dimensional (3-D) defect profiles from three-axis magnetic flux leakage (MFL) signals.
 85 Zhang^[26] et al proposed a semi-supervised probability imaging algorithm to present the damage
 86 state in aluminium plate and composite plate in the absence of damage samples. Since the limited
 87 size of datasets as the input is the major bottleneck in use of machine learning algorithms for
 88 engineering applications, a new model^[27] to augment training data has been developed to estimate
 89 the size of local wall thinnings.

90 Inspired by the successful applications of machine learning algorithms in engineering, a
 91 novel data-driven robust framework, called Deep-guide, has been proposed for the defect
 92 reconstruction in this paper. To generate datasets for training the proposed deep learning network,
 93 a modified boundary element method (MBEM) has been developed to efficiently calculate stress
 94 and displacement fields of the scattered waves for reflection coefficients, which are used as the
 95 input signals for manifold learning to realize the end to end mapping of the transformed features
 96 to defect profiles. The proposed Deep-guide has enabled the automated learning of defect profiles
 97 throughout the homeomorphic manifold analysis and facilitate the defect representation in the
 98 spatial domain from feature extractions of reflection coefficients in the wavenumber domain with
 99 high levels of accuracy and efficiency.

100 101 **2. Method**

102 **2.1 Knowledge-driven guided wave analysis for solving the inverse problem**

103 Defect reconstruction in structures using guided waves belongs to solving an inverse problem
 104 in non-destructive testing, which can be formalized as

$$105 \hat{\mathbf{y}} = \mathcal{H}(\mathbf{x}) + \mathbf{e} \quad (1)$$

106 where $\mathcal{H}(\mathbf{x})$ is the mapping function to be constructed for describing the unknown defect profile
 107 \mathbf{x} in use of the noisy signals $\hat{\mathbf{y}}$. The mapping $\mathcal{H}: \mathbf{x} \in \mathbb{R}^D \rightarrow \hat{\mathbf{y}} \in \mathbb{R}^M$ is the forward operator
 108 that represents the guided wave scattering system in M dimension from the D -dimension space.
 109 \mathbf{e} denotes the signal noise in M -dimension space and it reflects a random source of corruptions in
 110 the data $\hat{\mathbf{y}}$.

111 When the wave scattering effect is weak, \mathcal{H} can be approximately formulated as a linear
 112 operator $\mathcal{H} \in \mathbb{R}^{M \times D}$, and the corresponding inverse operator can be determined by

$$113 \mathbf{x} = \mathcal{H}^{\text{inv}}(\mathbf{y}) \quad (2)$$

114 where \mathcal{H}^{inv} defines the mapping from M to D dimension space. It is evident that one of linear
 115 guided wave defect reconstruction methods such as wavenumber-spatial domain transform^[8] has
 116 successfully achieved good quality reconstructions of \mathbf{x} from \mathbf{y} by a linear mapping (e.g.,
 117 Fourier transform). However, the scattering would be strong in practice and problems defined in
 118 Eq.1 are often ill-posed. Taking into account these facts, the standard approach for solving such
 119 problems is to reconstruct the defects by the formulation of an iterative modelling technique, such
 120 as Quantitative detection of Fourier transform (QDFT)^[12]. The above observations inspire a
 121 knowledge-driven approach, where the forward and inverse operators are determined by physical
 122 principles of the defect reconstruction process.

124 **2.2 Manifold learning for guided wave-based defect reconstruction technique**

125 The proposed data-driven approach in this paper, called Deep-guide, is formulated as follows:

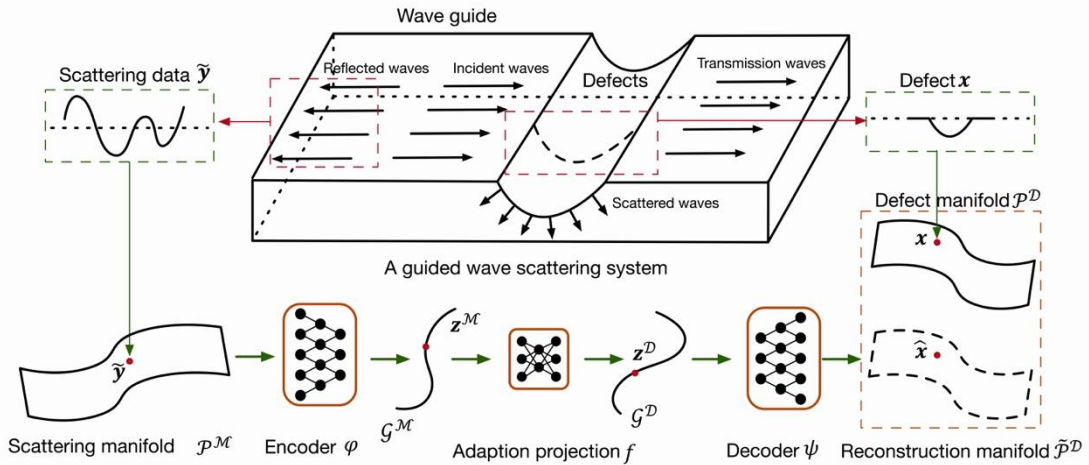
$$126 \mathbf{x} = \mathcal{H}^{\text{net}}(\tilde{\mathbf{y}}; \hat{\theta}) \quad (3)$$

127 where the operator \mathcal{H}^{Net} represents a deep neural network and realizes the end-to-end mapping
 128 of the noisy scattering signal $\tilde{\mathbf{y}}$ to the unknown defect \mathbf{x} . \mathcal{H}^{Net} is modelled by a vector of
 129 optimal parameters $\hat{\boldsymbol{\theta}}$, which is optimized for the minimization of a loss function and also
 130 determined as follows:

$$131 \quad \hat{\boldsymbol{\theta}} = \underset{\boldsymbol{\theta}}{\operatorname{argmin}} \sum_{n=1}^N L_{\text{net}}(\mathcal{H}^{\text{net}}(\tilde{\mathbf{y}}_n; \boldsymbol{\theta}); \mathbf{x}_n) \quad (4)$$

132 where $\tilde{\mathbf{y}}_n$ is the vector encompassing the noisy inputs and it is paired with the vector \mathbf{x}_n of the
 133 desired outputs. It is noted that the role of the loss function L_{net} for the network is to examine the
 134 total discrepancy between the training dataset pairs for the minimization. It is also necessary that
 135 the space represented by the training data should sufficiently cover the domain of potential future
 136 inputs. Obviously, when the training session for the neural network generation is complete, the
 137 network has the capability to map a new supplied input $\tilde{\mathbf{y}}$ using \mathcal{H}^{net} for the correct prediction
 138 of the unknown ground truth \mathbf{x} .

139 The schematic diagram of Deep-guide is shown in Fig. 1 for the description of manifold
 140 learning-based structural defect reconstruction using the fusion of an arbitrary guided wave
 141 scattering analysis and a paired class of defects $\mathbf{x} \in \mathbb{R}^D$ and noisy scattering signals $\tilde{\mathbf{y}} \in \mathbb{R}^M$.
 142 Based on the manifold distribution principle^[28] and the geometric interpretation of deep
 143 learning^[29], there are two assumptions as follows: 1) Scattering signals $\tilde{\mathbf{y}}$ and defects \mathbf{x} are able
 144 to be concentrated on the low-dimensional manifold \mathcal{P}^M and \mathcal{P}^D , respectively. \mathcal{P}^M is embedded
 145 in the input space $\mathcal{M} \in \mathbb{R}^M$ and \mathcal{P}^D is a subset of the output space $\mathcal{D} \in \mathbb{R}^D$; 2) The operator
 146 \mathcal{H}^{net} can realize a smooth and homeomorphic mapping function between the scattering manifold
 147 \mathcal{P}^M and the reconstruction manifold $\tilde{\mathcal{P}}^D$, where $\tilde{\mathcal{P}}^D$ represents the approximation of \mathcal{P}^D ^[24].



148
 149 Fig. 1. The overall schematic architecture of the proposed Deep-guide for structural defect reconstruction. The
 150 deep-learning network including the encoder φ , the adaption projection f and the decoder ψ , realizes an
 151 end-to-end mapping between the scattering noise data $\tilde{\mathbf{y}}$ and the approximate profile of defect $\hat{\mathbf{x}}$. In the process
 152 of intelligent learning, the Deep-guide framework implicitly connects a scattering manifold \mathcal{P}^M from the
 153 scattering data $\tilde{\mathbf{y}}$ with the approximate defect manifold $\tilde{\mathcal{P}}^D$ by realizing the reconstruction function $\hat{\mathbf{x}} = \psi \cdot f \cdot$
 154 $\varphi(\tilde{\mathbf{y}})$.

155
 156 The neural network \mathcal{H}^{net} developed in Deep-guide consists of three parts: the encoder, the
 157 latent projection and the decoder. The decomposition of the mapping function $\mathbf{x} = \mathcal{H}^{\text{net}}(\tilde{\mathbf{y}}; \hat{\boldsymbol{\theta}})$
 158 during the reconstruction process is shown in Fig. 2. First, the encoder operator φ takes a sample

159 $\tilde{\mathbf{y}} \in \mathcal{M}$ and maps it to $\mathbf{z}^{\mathcal{M}} \in \mathcal{F}^{\mathcal{M}}$, $\mathbf{z}^{\mathcal{M}} = \varphi(\tilde{\mathbf{y}})$, where $\mathbf{z}^{\mathcal{M}}$ is the latent representation of the
 160 scattering data $\tilde{\mathbf{y}}$. The encoder is mathematically formulated as follows:

$$161 \quad \{(\mathcal{M}, \tilde{\mathbf{y}}), \mathcal{P}^{\mathcal{M}}\} \xrightarrow{\varphi} \{(\mathcal{F}^{\mathcal{M}}, \mathbf{z}^{\mathcal{M}}), \mathcal{G}^{\mathcal{M}}\} \quad (5)$$

162 It is noted that the role of the encoder $\varphi : \mathcal{M} \rightarrow \mathcal{F}^{\mathcal{M}}$ maps the scattering manifold $\mathcal{P}^{\mathcal{M}}$ to its
 163 latent representation $\mathcal{G}^{\mathcal{M}} = \varphi(\mathcal{P}^{\mathcal{M}})$ homeomorphically. This enables the feature extraction of
 164 the scattering data in a comparatively low-dimensional space and well capture of the main
 165 variations in the data.

166 Then, the adaption projection $f : \mathcal{F}^{\mathcal{M}} \rightarrow \mathcal{F}^{\mathcal{D}}$ maps $\mathbf{z}^{\mathcal{M}}$ to $\mathbf{z}^{\mathcal{D}}$ and the reduced scattering
 167 manifold $\mathcal{G}^{\mathcal{M}}$ to the adjustable manifold $\mathcal{G}^{\mathcal{D}}$, where $\mathcal{G}^{\mathcal{D}}$ is the latent representation of defect
 168 manifold $\mathcal{P}^{\mathcal{D}}$. The adaption projection realizes the inter-manifold projection and can be expressed
 169 by

$$170 \quad \{(\mathcal{F}^{\mathcal{M}}, \mathbf{z}^{\mathcal{M}}), \mathcal{G}^{\mathcal{M}}\} \xrightarrow{f} \{(\mathcal{F}^{\mathcal{D}}, \mathbf{z}^{\mathcal{D}}), \mathcal{G}^{\mathcal{D}}\} \quad (6)$$

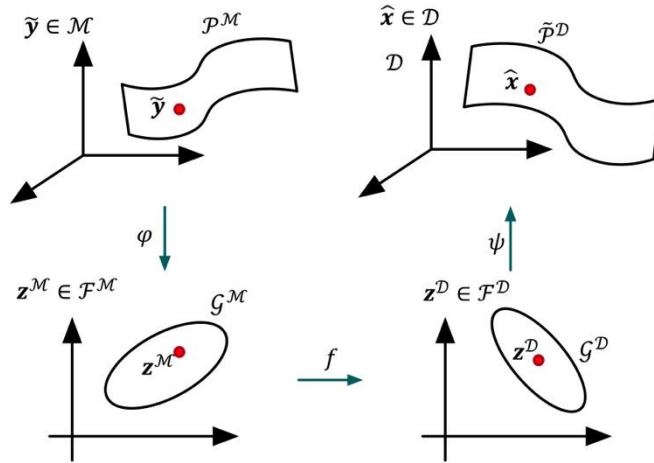
171 Following the encoder and adaption projection processes, the decoder $\psi : \mathcal{F}^{\mathcal{D}} \rightarrow \mathcal{D}$ maps
 172 $\mathbf{z}^{\mathcal{D}}$ to the reconstruction defect $\hat{\mathbf{x}}$, and creates a local parametric representation $\tilde{\mathcal{P}}^{\mathcal{D}}$ of the
 173 adjustable manifold $\mathcal{G}^{\mathcal{D}}$. $\tilde{\mathcal{P}}^{\mathcal{D}}$ approximates to the defect manifold $\mathcal{P}^{\mathcal{D}}$ and $\hat{\mathbf{x}}$ is similar to the
 174 ground truth \mathbf{x} . The decoder is given by

$$175 \quad \{(\mathcal{F}^{\mathcal{D}}, \mathbf{z}^{\mathcal{D}}), \mathcal{G}^{\mathcal{D}}\} \xrightarrow{\psi} \{(\mathcal{D}, \hat{\mathbf{x}}), \tilde{\mathcal{P}}^{\mathcal{D}}\} \quad (7)$$

176 Summarily, the inverse process $\hat{\mathbf{x}} = \psi \cdot f \cdot \varphi(\tilde{\mathbf{y}})$ of guided wave defect reconstruction is
 177 achieved with the mathematical representation shown in Eq (8)

$$178 \quad \{(\mathcal{M}, \tilde{\mathbf{y}}), \mathcal{P}^{\mathcal{M}}\} \xrightarrow{\varphi} \{(\mathcal{F}^{\mathcal{M}}, \mathbf{z}^{\mathcal{M}}), \mathcal{G}^{\mathcal{M}}\} \xrightarrow{f} \{(\mathcal{F}^{\mathcal{D}}, \mathbf{z}^{\mathcal{D}}), \mathcal{G}^{\mathcal{D}}\} \xrightarrow{\psi} \{(\mathcal{D}, \hat{\mathbf{x}}), \tilde{\mathcal{P}}^{\mathcal{D}}\} \quad (8)$$

179



180

181 Fig. 2. The reconstruction process is decomposed into an encoding map φ , an adaption projection f and a
 182 decoding map ψ .

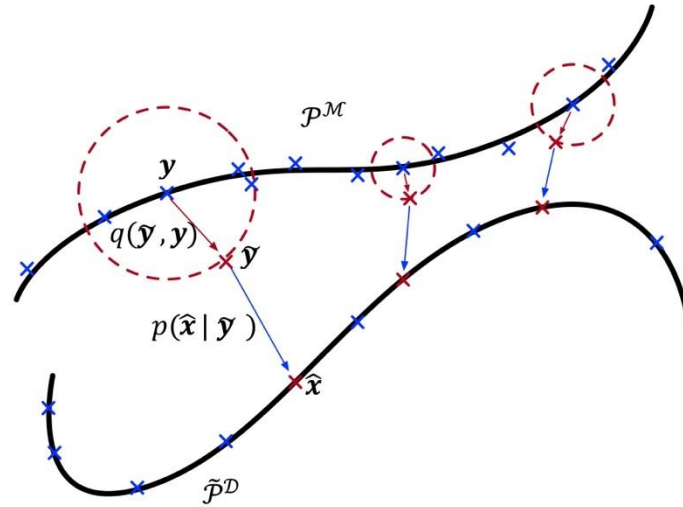
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184 It is worthy of noting that the input data $\tilde{\mathbf{y}}$ is usually corrupted by noise and as described in
 185 denoising autoencoder^[30], reconstruction of a defect using the contaminated scattering data can be
 186 inferred from the perspective of manifold learning and this indicates that the reconstruction
 187 operator \mathcal{H}^{net} in Deep-guide is robust to noise. As shown in Fig. 3, suppose that a special class
 188 of clean scattering data \mathbf{y} is represented by a manifold $\mathcal{P}^{\mathcal{M}}$ in a low-dimensional space.

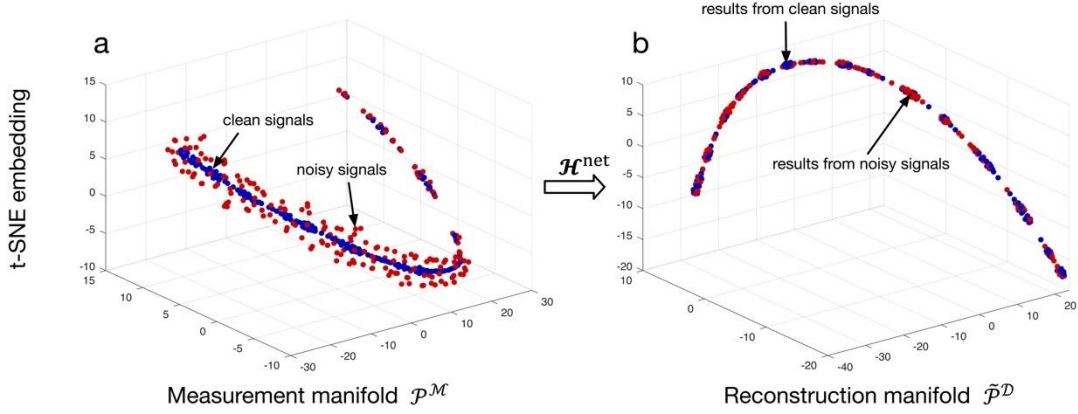
189 Obviously, the sample $\tilde{\mathbf{y}}$ with noisy contamination obtained by applying corruption process
 190 $q(\tilde{\mathbf{y}} | \mathbf{y})$ will locate its position away from the manifold and the crosses marked in red indicate
 191 this information. Throughout the learning process, the training stage aims at the determination of a
 192 stochastic mapping operator $p(\hat{\mathbf{x}} | \tilde{\mathbf{y}})$ that projects $\tilde{\mathbf{y}}$ onto the clean reconstruction manifold
 193 $\tilde{\mathcal{P}}^{\mathcal{D}}$, which is similar to truth defect manifold $\mathcal{P}^{\mathcal{D}}$. Fig. 4 shows that the manifold structure of a
 194 scattering data set contains 200 clean signals and 200 noisy signals (with 15 dB white
 195 Gaussian noise). Each signal has the dimension of 300×1 , and is treated as a point in the input
 196 space $\mathcal{M} \in \mathbb{R}^{300}$.

197 In order to perform nonlinear dimensionality reduction on high-dimensional scattering
 198 signals, this study utilizes the t-Distributed Stochastic Neighbor Embedding (t-SNE) algorithm^[31]
 199 to map scattering data onto a three-dimensional space, and each sample is represented as a single
 200 point in the reduced space of manifold. As compared with other manifold learning algorithms such
 201 as LLE^[32], and Isomap^[33], t-SNE has advantages including its remarkable effectiveness in
 202 preserving local structure, high-quality visualization for data exploration and analysis, and
 203 computational efficiency and scalability for large-scale datasets due to the implementation of
 204 stochastic gradient descent^[22].

205 In Fig. 4a, the clean scattering signals are depicted as the symbol ‘circles’ marked in blue and
 206 the noise signals are marked in red. Fig. 4b shows the manifold structure of reconstruction results
 207 generated by the operator \mathcal{H}^{net} using 400 samples of defects $\hat{\mathbf{x}}$ with the dimension of
 208 144×1 . Results demonstrate that the proposed operator \mathcal{H}^{net} in Deep-guide has the ability to
 209 remove the noise from the corrupted signals and reconstruct a clean manifold.



210
 211 Fig. 3. From the perspective of manifold learning: Schematics of the adaptive denoising capability in defect
 212 reconstruction.
 213



214

215 Fig. 4. Visualization of the adaptive denoising in the process of defect reconstruction using t-SNE algorithm.

216

216 Results of (a) the scattering dataset and (b) the reconstructed defects in three-dimensional space.

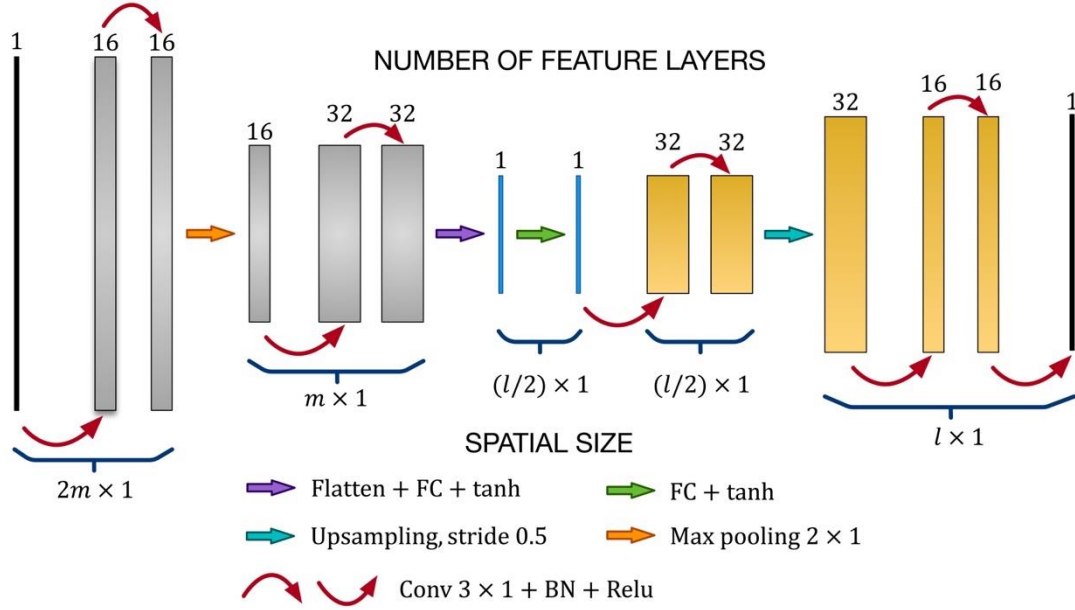
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218 **2.3 Deep-guide network architecture**

219

219 To realize the manifold learning-assisted structural defect reconstruction in Section 2.2, the
 220 proposed Deep-guide network architecture is designed to extract main features from the noisy
 221 scattering signals using three components: an encoder φ , an adaption projection f and a decoder
 222 ψ . The input data $\tilde{\mathbf{y}}$ to the Deep-guide network is a $2m \times 1$ real-valued vector reshaped from a
 223 $m \times 1$ complex-valued coefficients vector in frequency domain and the output $\hat{\mathbf{x}}$ in spatial
 224 domain is of the size $l \times 1$ ($l = 144$ in this study). As shown in Fig 5, the encoder and the
 225 decoder consist of sequential blocks of convolutional layers and the adaption projection is
 226 composed of fully connected layers. The structure of the convolutional blocks includes the
 227 convolutional filters of 3×1 with stride 1, the batch normalization (BN) and the activation
 228 function of a rectified linear unit (ReLU). The encoder contracts the input data by a max pooling
 229 layer with stride 2 and outputs 32 features in dimension of $m \times 1$. Each feature is achieved by
 230 applying a non-linear function to the input scattering data $\tilde{\mathbf{y}}$ and contains the useful information
 231 about the reconstruction defects. This is inspired by the mechanism of the homeomorphic
 232 mapping $\mathcal{G}^{\mathcal{M}} = \varphi(\mathcal{P}^{\mathcal{M}})$ in the manifold learning process as aforementioned. The first hidden
 233 layer in adaption projection with $l/2$ neurons is fully connected to the output layer of the encoder
 234 and activated by the hyperbolic tangent function. Then, this hidden layer is duplicated and four
 235 convolutional layers with the same parameter setting as the layers in the encoder are repeated in
 236 the decoding process. After setting upsamples, the contracted adaption representation $\mathbf{z}^{\mathcal{D}}$
 237 is projected by the decoder into reconstruction defects $\hat{\mathbf{x}}$.

238



239

240 Fig. 5. The Deep-guide architecture is composed of a convolutional encoder (using a max pooling layer with stride
 241 2 for dimensionality reduction), a two-layer fully connected adaption projection and a convolutional upsampling
 242 decoder (using fractional stride of 0.5 for upsampling by a factor of 2).

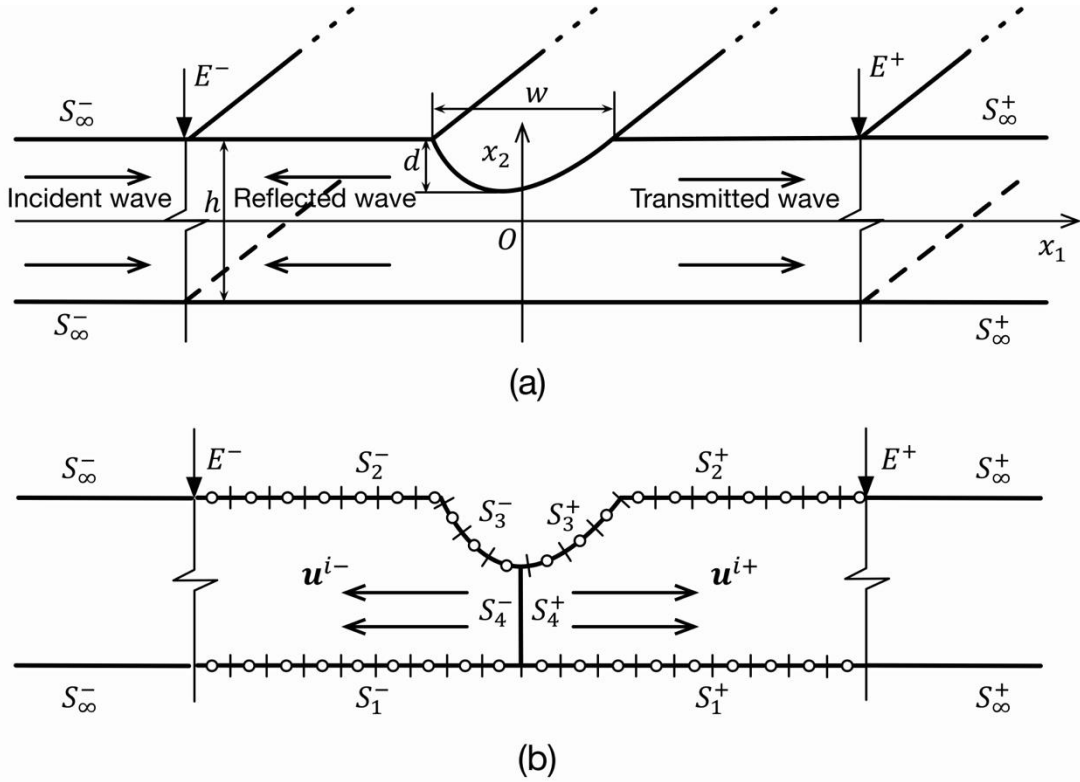
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244 **2.4 Dataset generation by guided wave analysis for solving the forward problem**

245 In this paper, reconstruction of surface thinning flaws in a 2-dimensional steel plate using guided
 246 waves is performed, with the aid of the proposed Deep-guide framework, which is capable of
 247 quantitative defect profile sizing using different types of incident guided waves, such as SH-waves
 248 and Lamb waves.

249 The problem configuration is set as following: a thinning defect is localized on the upper
 250 surface of a two-dimensional plate as shown in Fig 6a, where h represents the plate thickness, w
 251 and d the width and depth of the defect, respectively. In order to simplify the problem, the plate
 252 is assumed to be infinitely large to suppress the edge reflections in modelling process. As shown
 253 by Fig. 6, S_{∞}^- and S_{∞}^+ are intact plate surfaces at left and right sides of the flaw, tending to minus
 254 and plus infinity of x_1 -axis, respectively. E^- and E^+ are points where scattered waves are
 255 observed, assumed to be located on S_{∞}^- and S_{∞}^+ , respectively, which are far enough from the
 256 defects. As an example, the guided Lamb wave of the n th mode is selected as the incident wave,
 257 propagating from the left side to right, and then scattered by the thinning part and the reflected and
 258 transmitted waves are observed at the far field.

259



260
261 Fig. 6. Illustration of the forward analysis of the guided wave scattering problem. (a) Iso view of the guided wave
262 scattering by a plate thinning. (b) Schematic diagram for the modified boundary element method.
263

264 According to the far-field assumption^[8], the reflected and transmitted wave fields at the far
265 field can be expressed as the summation of a series of guided Lamb wave modes:

$$266 \quad \mathbf{u}^{\text{ref}}(\mathbf{x}, \omega) \approx R_1^- \mathbf{u}^{1-}(\mathbf{x}, \omega) + R_2^- \mathbf{u}^{2-}(\mathbf{x}, \omega) + \dots + R_n^- \mathbf{u}^{n-}(\mathbf{x}, \omega) \quad \text{where } \mathbf{x} \in S_\infty^- \quad (9)$$

$$267 \quad \mathbf{u}^{\text{tra}}(\mathbf{x}, \omega) \approx R_1^+ \mathbf{u}^{1+}(\mathbf{x}, \omega) + R_2^+ \mathbf{u}^{2+}(\mathbf{x}, \omega) + \dots + R_n^+ \mathbf{u}^{n+}(\mathbf{x}, \omega) \quad \text{where } \mathbf{x} \in S_\infty^+ \quad (10)$$

268 where the coordinate vector \mathbf{x} is in the form of (x_1, x_2) , ω is the circular frequency.
269 $\mathbf{u}^{i\pm}(\mathbf{x}, \omega)$ ($i = 1 \dots n$) is the unit wave structure of the i^{th} Lamb mode propagating towards
270 positive or negative x_1 directions, respectively. $R_i^\pm(\omega)$ are the corresponding complex
271 amplitudes and termed as transmission and reflection coefficients, respectively.

272 The transmission and reflection coefficients are in frequency domain, and can be obtained by FFT
273 from time-domain data in practice^[34]. To reconstruct the plate surface thinning defect, the matrix
274 \mathbf{R}^{ref} representing multifrequency reflection coefficients is taken as the input of Deep-guide
275 framework to reconstruct the plate's surface thinning defect. The Deep-guide neural network is
276 mathematically formulated as

$$277 \quad \mathbf{x} = \mathcal{H}^{\text{net}}(\mathbf{R}^{\text{ref}}; \hat{\boldsymbol{\theta}}) \quad (11)$$

278 where

$$279 \quad \mathbf{R}^{\text{ref}} = \begin{bmatrix} R_1^-(\omega_1) & \dots & R_n^-(\omega_1) \\ \vdots & \ddots & \vdots \\ R_1^-(\omega_m) & \dots & R_n^-(\omega_m) \end{bmatrix} \quad (12)$$

280 \mathcal{H}^{Net} and $\hat{\boldsymbol{\theta}}$ are defined in Eq. 3.

281 In order to efficiently generate sufficient data for the powerful data-mining capability of
282 Deep-guide framework, the modified boundary element method (MBEM)^[35-36] has been applied to
283 simulate and predict reflection coefficients of guided waves propagating through thinning defects.
284 The role of MBEM in this research not only provides the theoretical basis, but an insight to the

285 fusion of numerical analysis and data-driven learning method for quantitative reconstruction of
 286 defects using ultrasonic guided waves in the field of nondestructive evaluation. As shown in Fig
 287 6b, S_3 is the defect region. According to reciprocal theorem^[37], the integral equation for solving
 288 the two-dimensional elastic wave scattering problem can be expressed as

$$289 \quad \int_S [u_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega) t_{\alpha}^{\text{sca}}(\mathbf{x}, \omega) - t_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega) u_{\alpha}^{\text{sca}}(\mathbf{x}, \omega)] dS(\mathbf{x}) = \frac{1}{2} u_{\alpha}^{\text{sca}}(\mathbf{X}, \omega) \quad \alpha, \beta = 1, 2 \quad \mathbf{X} \in S_1 \cup S_2 \cup S_3 \quad (13)$$

290 where \mathbf{X} and \mathbf{x} are the source and field points, respectively. ω is the circular frequency; S_3
 291 defines the flaw region; S_1 and S_2 are free-traction surfaces. $u_{\alpha}^{\text{sca}}(\mathbf{X}, \omega)$ and $t_{\alpha}^{\text{sca}}(\mathbf{x}, \omega)$
 292 denote displacements and stresses of the scattering wave. $u_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega)$ and $t_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega)$
 293 represent the full-space Green's function of displacements and stresses. Since $u_{\alpha}^{\text{sca}}(\mathbf{x}, \omega)$ at the
 294 infinite boundary can be expressed in the form of Eqs. 9 and 10, the integral term at the infinite
 295 boundary in Eq. 13 can be reformulated as follows:

$$296 \quad \int_{S_{\infty}^{\pm}} t_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega) u_{\alpha}(\mathbf{x}, \omega) dS(\mathbf{x}) = \sum_{i=1}^n R_i^{\pm}(\omega) A_i^{\pm}(\mathbf{X}) = \sum_{i=1}^n R_i^{\pm}(\omega) \int_{S_{\infty}^{\pm}} t_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega) u_{\alpha}^{\pm}(\mathbf{x}, \omega) dS(\mathbf{x}) \quad (14)$$

297 where $R_i^{\pm}(\omega)$ is the scattering coefficient, $A_i^{\pm}(\mathbf{X})$ is defined as the modified item. Traditional
 298 boundary element method ignores the integral term at the infinite boundary, which leads to the
 299 spurious perturbation by reflected waves at the artificially truncated sections. In order to eliminate
 300 such influence and calculate the integral term, a fictitious boundary S_4 is introduced to divide the
 301 whole boundaries into two regions shown in Fig. 6b. Applying a reciprocal identity method
 302 between a unit Lamb mode and the Green's function with the source at \mathbf{X} to the half infinite plate
 303 bounded by S_{∞}^{\pm} , the modified item $A_i^{\pm}(\mathbf{X})$ can also be expressed as

$$304 \quad A_i^{\pm}(\mathbf{X}) = -\frac{1}{2} u_{\alpha}^{\text{inc}}(\mathbf{X}, \omega) - \int_{S_1^{\pm} + S_2^{\pm} + S_3^{\pm} + S_4^{\pm}} t_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega) u_{\alpha}^{\pm}(\mathbf{x}, \omega) dS(\mathbf{x}) \\
 + \int_{S_1^{\pm} + S_2^{\pm} + S_3^{\pm} + S_4^{\pm}} u_{\alpha\beta}^*(\mathbf{X}, \mathbf{x}, \omega) t_{\alpha}^{\pm}(\mathbf{x}, \omega) dS(\mathbf{x}) \quad \alpha, \beta = 1, 2 \quad \mathbf{X} \in S_1 \cup S_2 \cup S_3 \quad \mathbf{x} \in S_1 \cup S_2 \cup S_3 \cup S_4 \quad (15)$$

305 Substituting Eq. 15 into Eq. 14, the discretized Eq. 13 can be rewritten as

$$306 \quad \sum_{e \in S_1 \cup S_2 \cup S_3} \sum_{\eta=1}^{N_e} \mathbf{T}_{\gamma\eta} \cdot \mathbf{u}(\mathbf{x}_{\eta}, \omega) + \sum_{i=1}^n [R_i^- A_i^-(\mathbf{X}_{\gamma}) + R_i^+ A_i^+(\mathbf{X}_{\gamma}, \omega)] = \sum_{e \in S_1 \cup S_2} \sum_{\eta=1}^{N_e} \mathbf{G}_{\gamma\eta} \cdot \mathbf{t}(\mathbf{x}_{\eta}, \omega) \quad (16)$$

307 where N_e is the number of the discrete elements; $\mathbf{T}_{\gamma\eta}$ and $\mathbf{G}_{\gamma\eta}$ are the fundamental solutions
 308 matrixes of the local element. After assembling all element matrixes, the global equilibrium
 309 equation can be established as follows:

$$310 \quad \mathbf{H} \cdot \mathbf{U} + \mathbf{A} \cdot \mathbf{R} = \mathbf{G} \cdot \mathbf{T} \quad (17)$$

311 where global matrixes \mathbf{H} , \mathbf{G} , \mathbf{U} , \mathbf{T} , \mathbf{A} and \mathbf{R} are obtained by assembling $\mathbf{T}_{\gamma\eta}$, $\mathbf{G}_{\gamma\eta}$, the node
 312 displacement $\mathbf{u}(\mathbf{x}_{\eta}, \omega)$, the node traction $\mathbf{t}(\mathbf{x}_{\eta}, \omega)$, the correction $A_i^{\pm}(\mathbf{X})$ and the scattering
 313 coefficients R_i^{\pm} , respectively.

314 Then, the acoustic signals of scattering coefficients are obtained by solving the Eq. 17. Based
 315 on the information for defect reconstruction using manifold learning described in Sections 2.2-2.4,
 316 the framework of the proposed Deep-guide can be illustrated in Algorithms 1 below.

317

318 **Algorithm 1:** The manifold-learning assisted Deep-guide framework

input :

- \mathbf{x} : The original ground truth defect datasets
- \mathbf{R}^{uk} : The reflection coefficients of an unknown defects
- h, E, ν, ρ : The parameters of structure : thickness, Young modulus, Poisson coefficient, density
- $d^{\text{grid}}, d^{\text{tru}}$ The parameters of numerical calculation: size of the element, distance of truncation
- $\alpha, \epsilon, t, m_0, v_0, \beta_1, \beta_2$: The parameters of stochastic optimization:

output:

- $\hat{\mathbf{x}}$ The reconstructed defects

```

1 ▷ Build a waveguide model
2 Model  $\leftarrow h, E, \nu, \rho$ 
3 for  $\mathbf{x}_i$  in  $[\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N]$  do
4   ▷ Use MBEM to calculate the reflection coefficients  $\mathbf{R}_i^{\text{ref}}$  of the defect  $\mathbf{x}_i$ 
5    $\mathbf{R}_i^{\text{ref}} \leftarrow \text{MBEM}(\mathbf{x}_i, \text{Model}, d^{\text{grid}}, d^{\text{tru}})$ 
6   ▷ Corrupt the reflection coefficients  $\hat{\mathbf{R}}_i^{\text{ref}}$  by an additive noise
7    $\hat{\mathbf{R}}_i^{\text{ref}} \sim q(\hat{\mathbf{R}}_i^{\text{ref}} | \mathbf{R}_i^{\text{ref}})$ 
8 end
9 ▷ Divide the data into training sets and test sets
10  $\hat{\mathbf{R}}^{\text{tra}}, \hat{\mathbf{R}}^{\text{test}} \leftarrow \text{Divide } \hat{\mathbf{R}}^{\text{ref}}$ 
11  $\mathbf{x}^{\text{tra}}, \mathbf{x}^{\text{test}} \leftarrow \text{Divide } \mathbf{x}$ 
12 while Low-performance on the test set do
13   ▷ Update the hyper-parameters of the Encoder  $\phi$ , adaption projection  $f$  and the
     decoder  $\psi$ 
14    $\phi, f, \psi \leftarrow \text{Update the hyper-parameters}$ 
15   ▷ Construct and initialize the neural network  $H_{\theta_0}^{\text{net}}$ 
16    $H_{\theta_0}^{\text{net}} \leftarrow \psi \cdot f \cdot \phi$ 
17   ▷ Network training
18   while  $\theta_t$  not converged do
19      $t \leftarrow t + 1$ 
20     ▷ Get gradients w.r.t. stochastic objective at timestep  $t$ 
21      $g_t \leftarrow \nabla_{\theta} L_{\text{net}}(H_{\theta_{t-1}}^{\text{net}}(\hat{\mathbf{R}}^{\text{tra}}); \mathbf{x}^{\text{tra}})$ 
22     ▷ Compute bias-corrected first moment estimate
23      $\hat{m}_t \leftarrow (\beta_1 \cdot m_{t-1} + (1 - \beta_1) \cdot g_t) / (1 - \beta_1^t)$ 
24     ▷ Compute bias-corrected second raw moment estimate
25      $\hat{v}_t \leftarrow (\beta_2 \cdot v_{t-1} + (1 - \beta_2) \cdot g_t^2) / (1 - \beta_2^t)$ 
26     ▷ Update parameters
27      $\theta_t \leftarrow \theta_{t-1} - \alpha \cdot \hat{m}_t / (\sqrt{\hat{v}_t} + \epsilon)$ 
28   end
29   ▷ Validate the neural network on the test set
30    $\mathbf{x}^{\text{test}} \longleftrightarrow H_{\theta_t}^{\text{net}}(\hat{\mathbf{R}}^{\text{test}})$ 
31 end
32 ▷ Reconstruct the unknown defects 1
33  $\hat{\mathbf{x}} \leftarrow H_{\theta_t}^{\text{net}}(\hat{\mathbf{R}}^{\text{uk}})$ 

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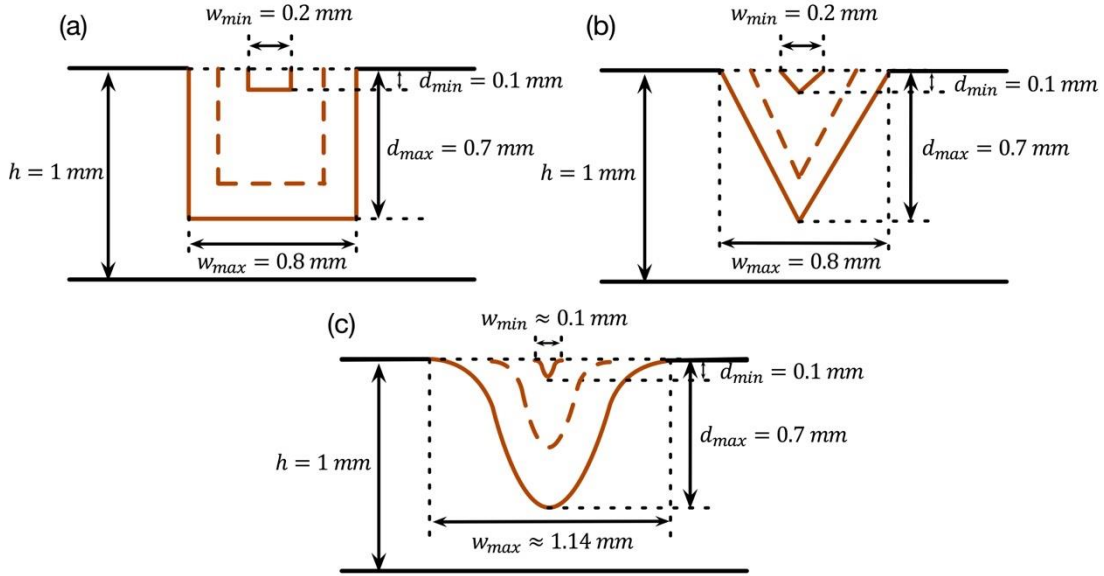
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In the following study, material properties of the steel plate include Young modulus $E = 207.18$ Gpa, Poisson coefficient $\nu = 0.2949$, the density $\rho = 7800$ kg/m³ and the plate thickness of 1 mm. The distance between two observation points E^- and E^+ is 8 mm and the size of element is 0.02 mm, which ensures the results of MBEM with a high level of accuracy. A dataset of 4096 noisy scattering signals from three common shapes of plate surface defects, i.e. rectangular, V-notch and Gaussian-curved flaws, have been obtained by MBEM. The original defect parameters and profiles are shown in Table 1 and Fig 7. The plate thickness in all cases is $h = 1$ mm.

330 Table 1 Parameters for three types of defects

	Maximum width w_{max} (mm)	Minimum width w_{min} (mm)	Maximum depth d_{max} (mm)	Minimum depth d_{min} (mm)
Rectangular defects	0.8	0.2	0.7	0.1
V-notch defects	0.8	0.2	0.7	0.1
Gaussian-curved defects	1.14	0.1	0.7	0.1

331



332

333 Fig. 7. Illustration of three types of defect profiles: (a) Rectangular defects, (b) V-notch defects, (c)
334 Gaussian-curved defects (maximum variance $v_{max} = 0.2$, minimum variance $v_{min} = 0.02$).

335

336 The simulated 4096 signals have been calculated for the inputs of reflection coefficients
337 regarding each defect. To demonstrate the robustness of the proposed Deep-guide network, all
338 simulation results are corrupted by white Gaussian noise with the signal-to-noise ratio (SNR)
339 randomly distributed between 5 dB and 20 dB. Also, the original 4096 plate thinning defects
340 have been treated as the ground truth.

341 Among 4096 signals, 1024 samplings were obtained from the scattering analysis of three
342 aforementioned types of defects using the incident S_0 Lamb wave mode. For each defect, the
343 circular frequency ω of the incident wave is ranged from 0.1 MHz to 4.0 MHz with the
344 increment of 0.1, a total of 40 frequency samples. The amplitude coefficients of first seven
345 Lamb wave modes have been used for the calculations at each frequency sample. Thus, the
346 reflection coefficients of Lamb waves \mathbf{R}^{Lamb} can be expressed as follows:

$$347 \quad \mathbf{R}^{\text{Lamb}} = \begin{bmatrix} R_1^-(\omega_1) & \cdots & R_7^-(\omega_1) \\ \vdots & \ddots & \vdots \\ R_1^-(\omega_{40}) & \cdots & R_7^-(\omega_{40}) \end{bmatrix} \quad (18)$$

348 The remaining 3072 signals were obtained from the analysis using the incident 0th
349 SH-mode. The circular frequency ω in the range of 0.1 MHz to 15.0 MHz with the increment
350 of 0.1, includes a total of 150 frequency samples. The amplitude coefficients of the first ten
351 SH-wave modes have been used for the calculations at each frequency sample. Therefore, the
352 reflection coefficients of SH-waves \mathbf{R}^{SH} can be expressed as follows:

353
$$\mathbf{R}^{\text{SH}} = \begin{bmatrix} R_1^-(\omega_1) & \cdots & R_{10}^-(\omega_1) \\ \vdots & \ddots & \vdots \\ R_1^-(\omega_{150}) & \cdots & R_{10}^-(\omega_{150}) \end{bmatrix} \quad (19)$$

354 It is worth nothing that when Deep-guide is used for defect reconstruction, only a small
 355 number of frequency samples are required for the high-quality reconstruction. Numerical
 356 validations below will provide a reference to the number of frequency samples (F^{ref}) for practical
 357 applications of Deep-guide.

358

359 **2.5 Defect quality evaluation**

360 To quantitatively evaluate the quality of the reconstructed defects, two metrics have been used.
 361 The first criterion is the root mean square error (RMSE) formulated as:

362
$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^N (x_i - \hat{x}_i)^2}{N}} \quad (20)$$

363 where N is the number of sampling points to represent defects, x_i is ground value of the truth
 364 defect and \hat{x}_i is prediction value of the reconstructed defect.

365 The second metrics used for the defect quality evaluation is the peak signal-to-noise ratio (PSNR)
 366 as follows:

367
$$\text{PSNR} = 20 \cdot \log_{10} \left(\frac{x_{\max}}{\text{RMSE}} \right) \quad (21)$$

368 where x_{\max} is the maximal value of the ground truth defects \mathbf{x} . A higher value of PSNR
 369 represents the better defect quality.

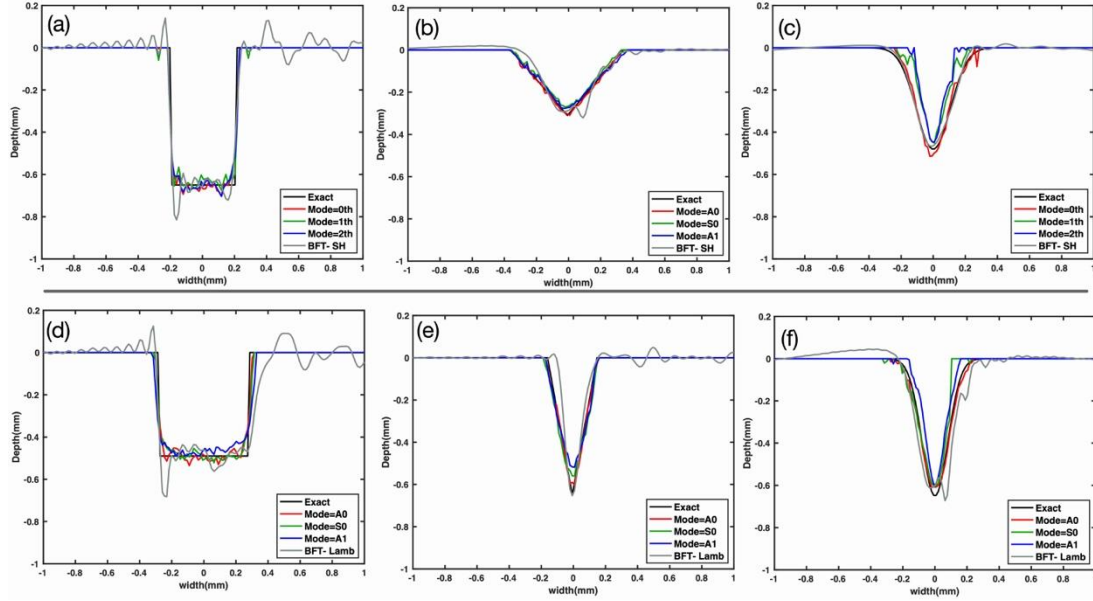
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371 **3. Numerical Validation**

372 **3.1 Validation of the proposed Deep-guide framework**

373 To develop the Deep-guide framework with better generality for efficiently solving the inverse
 374 problem of defect reconstructions, the deep neural network model \mathcal{H}^{net} has been trained using
 375 the first three modes of SH-waves and Lamb waves scattering signals, respectively. Following that,
 376 the unknown defects in the test set have been reconstructed. It is worth noting that the same
 377 network architecture and hyperparameters have been kept intact during the process of defect
 378 reconstructions whilst using two different input and output signals to evaluate the generality of the
 379 develop network. Reconstructions of defects with three types of profiles (Rectangular, V-notch
 380 and Gaussian-curved defects) using different modes of SH-waves and Lamb waves have been
 381 shown in Fig.8. The number of test samples ($N = 450$) in this research has been used. The
 382 state-of-art conventional knowledge-driven reconstruction method, which is called Born
 383 approximation-based Fourier transform (BFT)^[8, 9] has been compared against the proposed
 384 method. The BFT is used to reconstruct defects using SH0 and S0 mode. It is noted that the
 385 Deep-guide framework takes less than 0.1 seconds for defect reconstruction as it only requires
 386 one pass to execute calculations. It can be observed that main features of the defects have been
 387 successfully reconstructed in all cases, where the remarkable capability of Deep-guide for defect
 388 reconstruction using different guided waves have been demonstrated.

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Fig.8. Reconstruction results of plate surface defects using Deep-guide framework. Plate thickness $h = 1mm$, w and d are the width and depth of the defects, respectively. Each model has been trained by 1024 sampling data with 40 circular frequency samples. Reconstructed defects with various widths and depths using (a-c) SH-wave modes and (d-f) Lamb wave modes. The yellow lines represent the reconstruction results using BFT with SH0 and S0, respectively.

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Furthermore, the quantitative evaluations on the qualities of reconstruction, i.e., average RMSE and PSNR in the test set have been provided in Tables 2 and 3. For defect reconstructions by the $0th$ mode of SH-waves, the average RMSE is 0.0257, which is the lowest value as compared with results by the other two modes and BFT. Employing the developed Deep-guide framework for defect reconstruction, the result quality obtained by the $0th$ mode of SH-waves has been improved by 7% from 0.0275 of the first mode, 16.34% from 0.0299 of the second mode and 69.7% from 0.0435 of the BFT, respectively. The same conclusion can be drawn by the average PSNR - the best result is 25.3999 dB by the $0th$ mode, whilst 24.6997 dB is observed for the first mode, 23.6665 dB for the second mode and 21.2297 dB for the BFT. Overall, the best precision of defect reconstructions using the Deep-guide framework can be achieved by the $0th$ mode in first two cases. For Case 3, the result by the BFT is slightly better than defect reconstruction by the Deep-guide, this might owe to the fact that the Fourier method is more suitable for reconstructing smooth circular defects. It has been anticipated that the $0th$ mode would have the ability to reconstruct defects with complex profiles when the number of training data is increased. For the simple defect profile in Case 2, the highest accuracy of reconstruction of V-notch defects has been indicated by the RMSE value of 0.0133 obtained by the $0th$ mode of SH-waves. It has been observed that the average reconstruction performances using SH-waves can be evaluated by RMSE and PSNR over the entire test set with the values of 0.0277 and 24.5887 dB, respectively.

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Furthermore, the same Deep-guide architecture has been applied for reconstruction of defects using Lamb waves. In Table 3, the smallest average RMSE (0.0262) of reconstructions by A0 Lamb wave mode in three cases has been observed, as compared with 0.0333 by the S0 mode (27.1% higher), 0.0442 by A1 mode (68.7% higher) and 0.0444 by BFT (69.5% higher).

420 Similarly, the quality of defect reconstructions evaluated by the average PSNR value has been also
 421 provided to demonstrate the more accuracy of results by the A0 Lamb wave mode than those by
 422 the other two modes. Again, V-notch defect reconstruction using Lamb waves has the best
 423 precision with the average RMSE (0.027), which is improved by 31.85% and 52.22% from
 424 0.0356 and 0.0411 in Case 3 and 1, respectively. It is worth noting that the largest PSNR value
 425 of Gaussian-curved defect reconstructions by three Lamb wave modes is 25.0783 dB (A0
 426 mode), which indicates that the developed Deep-guide framework has the capability to reconstruct
 427 the defect with a complex profile. This has well agreed with the observation from the
 428 aforementioned reconstruction by SH-waves. Also, the average RMSE over the entire test set
 429 using Lamb waves is 0.0346, which is increased by 24.91% from 0.0277 in Table 2 using
 430 SH-waves, and PSNR is decreased from 24.5887 dB to 23.6607 dB, accordingly.

431 In summary, the quantitative evaluation on the quality of defect reconstructions by the
 432 proposed Deep-guide framework shows that: 1) Based on the results from entire test samples, the
 433 reconstruction accuracy of the Deep-guide method is higher than that of BFT. Moreover, the
 434 results of Deep-guide have noise-free waveform in non-defective regions, which is more favorable
 435 for defect localization. 2) The reconstruction by the lower order modes performs better using either
 436 SH-waves or Lamb waves; 2) Constructing different types of defects has different reconstruction
 437 precisions in terms of RMSE and PSNR. Also, the highest reconstruction precision can be
 438 observed for V-notch defect construction by SH-waves and Lamb waves. This can be interpreted
 439 from the perspective of manifold structure illustrated in Section 3) The precision of defect
 440 reconstruction using SH-waves (24.5887 dB) is improved by 0.928 dB from the result
 441 (23.6607 dB) using Lamb waves.

442

443 Table 2 RMSE and PSNR of reconstructed defect shapes using SH-waves

		0th Mode	First Mode	Second Mode	Average	BFT
Case 1	RMSE	0.0255	0.0257	0.036	0.029	0.0566
Rectangular defect	PSNR(dB)	23.3692	22.9625	20.3295	22.2204	19.1993
Case 2	RMSE	0.0133	0.0136	0.0158	0.0142	0.0532
V-notch defect	PSNR(dB)	29.677	29.2967	28.0829	29.0189	19.7635
Case 3	RMSE	0.0384	0.0432	0.0379	0.0398	0.0207
Gaussian-curved defect	PSNR(dB)	23.1535	21.8398	22.5871	22.5268	24.7263
Average	RMSE	0.0257	0.0275	0.0299	0.0277	0.0435
	PSNR(dB)	25.3999	24.6997	23.6665	24.5887	21.2297

444

445 Table 3 RMSE and PSNR of reconstructed defect shapes using Lamb waves

		Mode = A0	Mode = S0	Mode = A1	Average	BFT
Case 1	RMSE	0.0345	0.0366	0.0522	0.0411	0.0586
Rectangular defect	PSNR(dB)	23.0504	22.5365	19.4565	21.6811	18.8543
Case 2	RMSE	0.0176	0.0254	0.0379	0.027	0.0492
V-notch defect	PSNR(dB)	27.0774	25.0693	22.7761	24.9743	21.6754
Case 3	RMSE	0.0266	0.0379	0.0424	0.0356	0.0245
Gaussian-curved defect	PSNR(dB)	25.0783	24.2307	23.6713	24.3268	24.8053

	RMSE	0.0262	0.0333	0.0442	0.0346	0.0444
Average	PSNR(dB)	25.0687	23.9455	21.968	23.6607	21.7783

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3.2 Verification of defect localization of the Deep-guide framework

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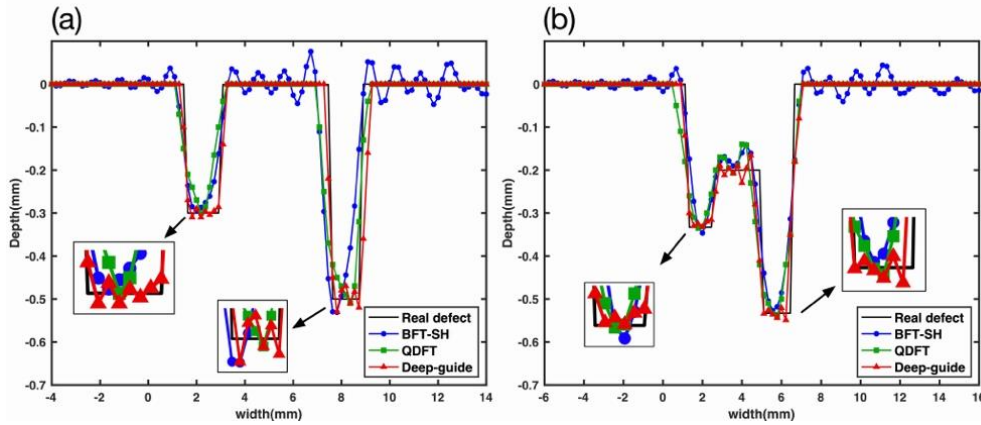
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As the reflection coefficients (the input of the Deep guide framework) are complex numbers in nature, their phase information actually reflects the defect's position extracted by Deep guide network for defect localization. Fig. 9 has shown the reconstruction results by some representative methods such as QDFT^[38] and BFT-SH^[8] for double rectangular defects located at different positions along the width direction, demonstrating that the Deep-guide method has achieved the highest accuracy of defect localization. It has been noted that due to the periodicity of the wave field, defect localization can only be conducted in the vicinity of the defect area. Therefore, in practical inspection defect localization technique needs to consider the reception time of the wave signal: First, the defect's area is estimated based on the arrival time of the reflected wave and the wave speed, and then the precise localization is achieved by leveraging the phase information.



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Fig. 9. Reconstruction results of two rectangular defects located at different positions.

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3.3 Verification of 3D defect reconstruction of the Deep-guide framework

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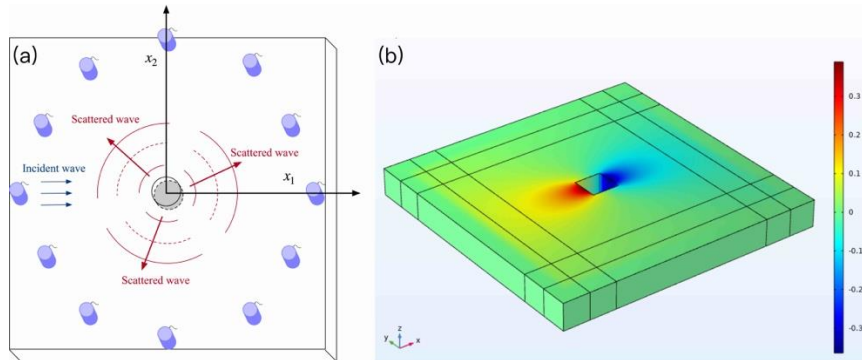
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To validate the feasibility of the proposed method in solving 3D defect reconstructions, numerical experiments have been conducted to demonstrate the advantages of Deep-guide method. Technically, the 2D convolutional layer has been adopted to output the depth information of the

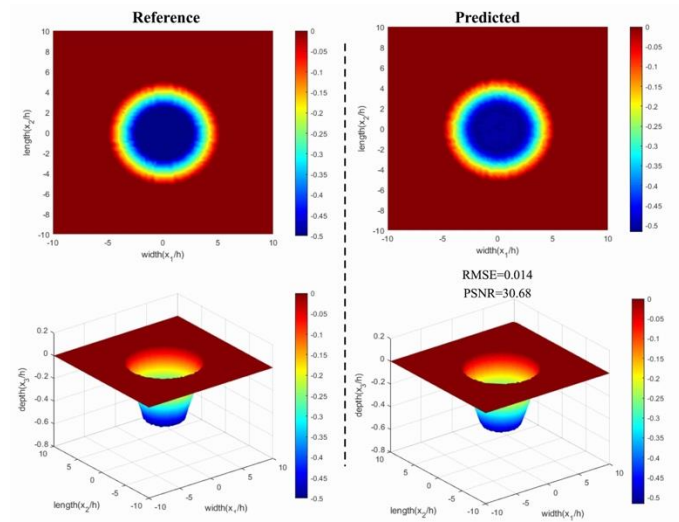
479 defect in the decoder module. Furthermore, to characterize the defect dimensions including the
480 length, width and depth within the structure, the 3D convolutional layer enables the point cloud
481 outputs to represent the complete defect information. With these implementations, the trained
482 Deep-guide framework by 3D guided wave scattering data has the ability to reconstruct 3D
483 defects including the cross-section and length information.

484 Using the dimensionless parameters defined in Section 2.4, a surface defect in an infinitely
485 plate with a thickness of $2h$ in Fig.10(a) has been studied. The incident S0 mode of Lamb waves
486 along the x_1 direction has been exerted and further scattered upon encountering the defect to
487 form a scattered wave field. 30 receivers around the defect have been placed in a circle to record
488 the scattered wave signals. Three types of defects including the frustum, rectangular prism, and
489 circular-rectangle combinations have been considered for reconstruction. 50 sample data for
490 each type of defect have been used for defect reconstructions. The dimensionless frequency of
491 scattered wave has been uniformly sampled in the range of 0.05 to 0.1 with an increment of 0.01.
492 In this numerical experiment, FEM has been used to simulate the scattered wave field of the
493 defects shown in Fig. 10(b). The Deep guide framework with the implementation of a 2D
494 convolutional layer as the Decoder has been adopted for defect depth reconstruction. The contour
495 values by the neural network have represented the depth of the defect at each of 50 sampling
496 points. Reconstruction results have been shown in Figs. 11-13 to represent three types of defects,
497 respectively. It has been observed that the Deep-guide method has successfully realized
498 three-dimensional defect reconstructions, simultaneously characterizing the length, width, and
499 depth of the defects with high accuracy as compared with the ground values.



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Fig. 10. (a) Scattering of incident Lamb wave on defect, received by array sensors (b) Scattering wave field simulated by FEM.

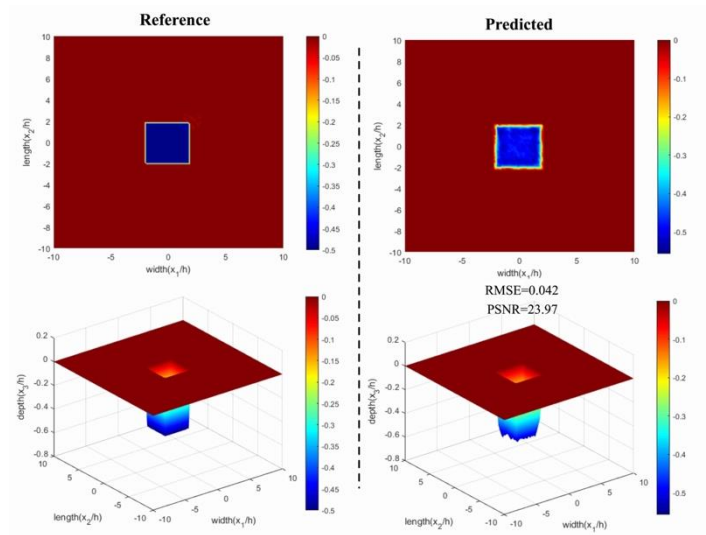


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Fig. 11. Reconstruction results of a conical-shaped defect, presented in top view and 3D Iso-view in the xy plane.

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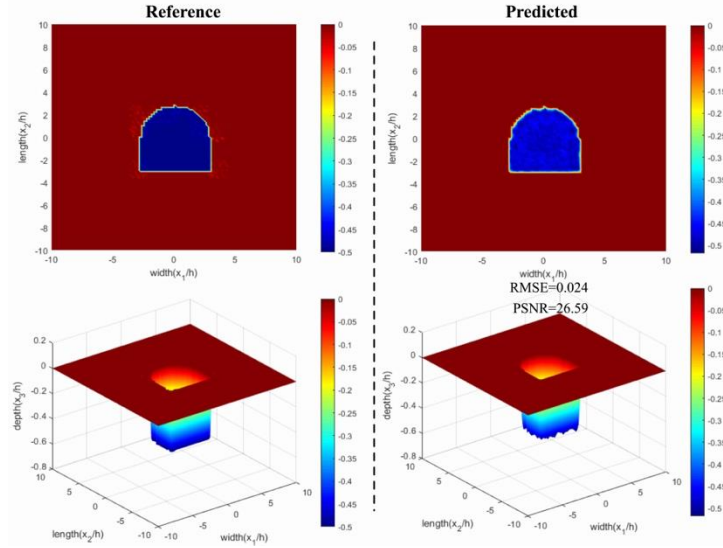
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Fig. 12. Reconstruction results of a rectangular-shaped defect, shown in top view and 3D Iso-view in the xy plane.

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513 Fig. 13. Reconstruction results of a combined defect with circular and rectangular shapes, presented in top view
 514 and 3D Iso-view in the xy plane.

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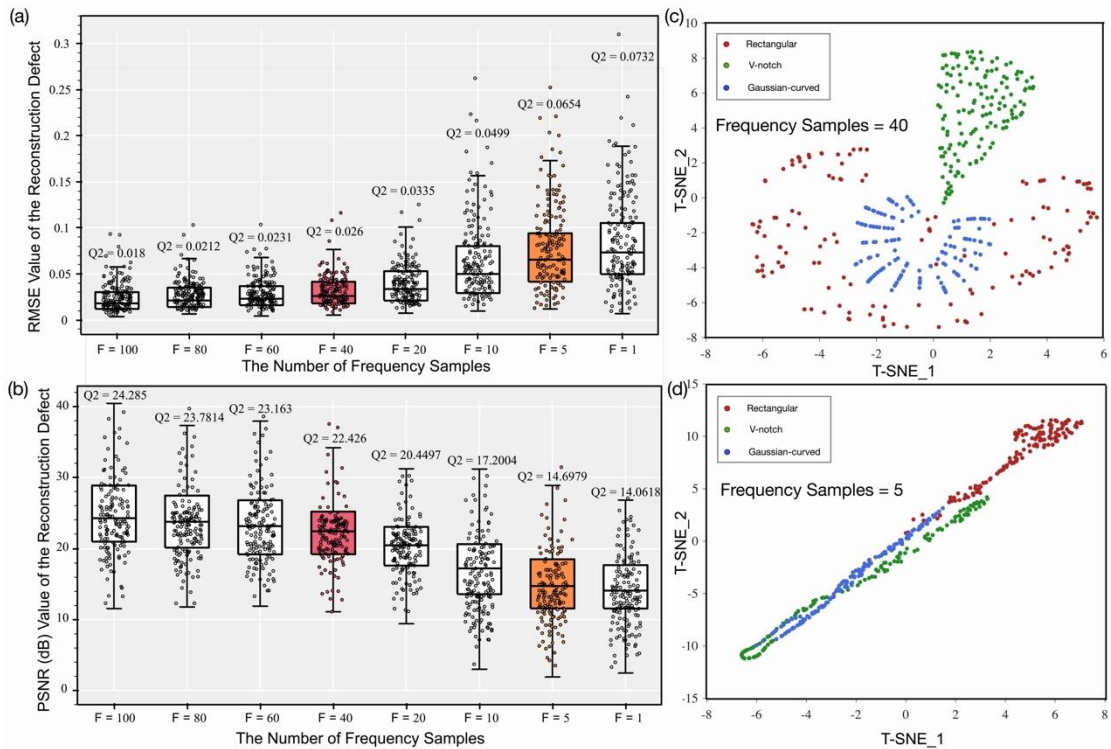
516 **3.4 Effect of the number of frequency samples on the accuracy of reconstruction**

517 As described in Section 2.4, each item in the matrix of reflection coefficients \mathbf{R}^{ref} used to
 518 reconstruct defects represents the complex amplitude of the wave mode at different circular
 519 frequency. In practice, the process of defect reconstruction by fewer frequency samples means less
 520 computational and experimental cost. However, quantitatively defect reconstruction using existing
 521 knowledge-driven methods such as the wavenumber-spatial domain transform requires at least
 522 150 frequency samples^[8]. Taking into account this situation, the effects of the number of
 523 frequency samples on the accuracy and efficiency of defect reconstruction using the 0th mode of
 524 SH-waves have been investigated in this section. To demonstrate the more superior performance
 525 of the proposed Deep-guide framework over the traditional methods for defect reconstruction, the
 526 maximal number of frequency samples used for defect reconstruction has been set to 100.

527 **A General Case Study**

528 First, the Deep-guide models have been trained using reflection coefficients \mathbf{R}^{ref} with
 529 different numbers of frequency samples. For a general scenario, a 450-sample dataset including
 530 three types of defects (Rectangular, V-notch and Gaussian-curved defects) has been applied to
 531 evaluate the capability of Deep-guide models for reconstructions of unknown defects in term of
 532 the accuracy. The test results show that in this general case, models generated with more
 533 frequency samples achieve better reconstruction performance, which is indicated by a relatively
 534 lower and narrower distribution of RMSE over the test dataset in Fig. 14a, whereas models trained
 535 by fewer frequency samples have poor predictions on defect reconstruction with a higher and
 536 wider range of RMSE. Also, it has been observed that the median value (0.018) of RMSE and the
 537 median value (24.285 dB) of PSNR have demonstrated that the model created by Deep-guide
 538 architecture with the input of 100 frequency samples has best prediction accuracy and
 539 superiority than other models, for example, the model by 40 frequency samples for defect
 540 reconstruction with RMSE of 0.026 (44.44% higher) and PSNR of 22.426 dB (1.859 dB
 541 lower). It has been noted that the model trained with only one frequency sample is still able to
 542 predict the defect reconstruction, however, the qualify is an issue due to results of the highest

543 RMSE (0.0732) and the lowest PSNR (14.0618 dB). Also, the boxplots show that when the
544 number of frequency samples is more than 20, the reconstruction performance is relatively
545 superior and stable as the number of frequency samples increases, while the reconstruction
546 precision decreases rapidly when the number of frequency samples is less than 20. Therefore, the
547 reference number of frequency samples ($F^{\text{ref}} = 20$) is suggested in such condition. Furthermore,
548 to demonstrate the effect of the number of frequency samples on the accuracy of defect
549 reconstruction from manifold space point of view, manifold structures for input datasets with 40
550 frequency samples and 5 frequency samples have been visualized by t-SNE, respectively. As
551 shown in Figs. 14c and d, the manifold structure of the 40 frequency samples dataset appears
552 highly separable, as compared to the result by 5 frequency samples dataset. Indeed, the model
553 trained with 40 frequency samples dataset performs better. Besides, it is worth noting that the
554 green dots in Fig. 14c representing the manifold of the V-notch defects show higher separable, as
555 compare with the manifolds of the other two types of defects. This interprets why the
556 reconstruction of the V-notch defects can achieve the better accuracy shown in Section 3.1.



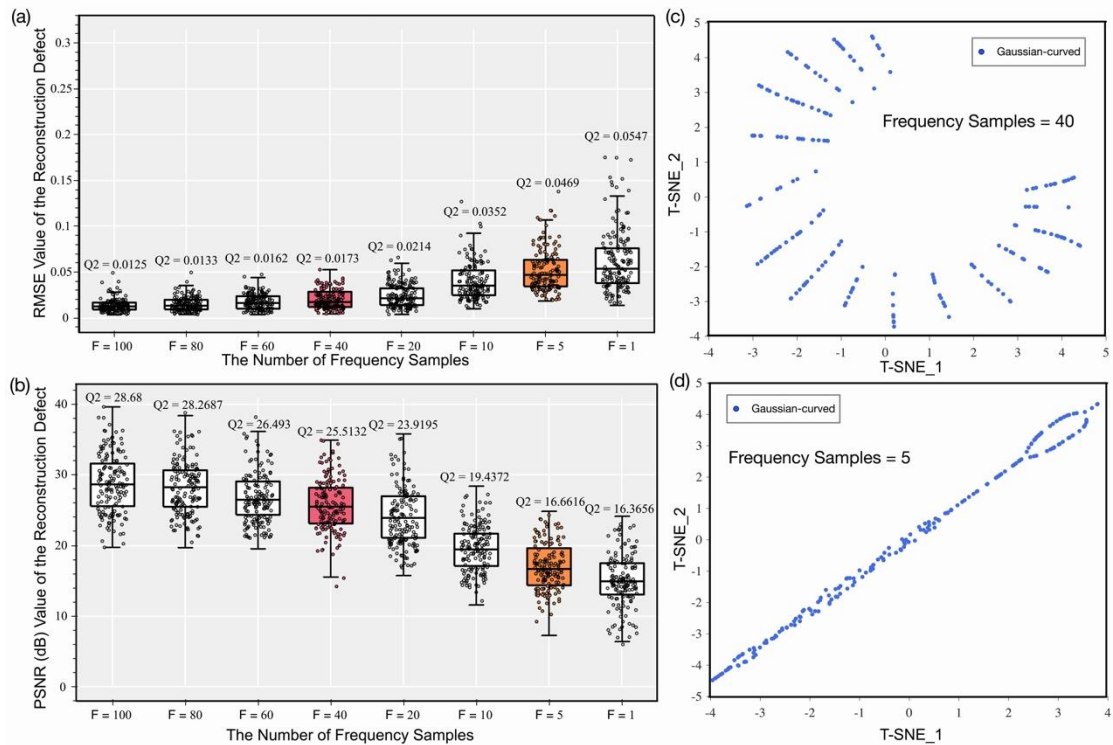
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558 Fig. 14. Analysis of defect reconstruction with different numbers of frequency samples. (a) Quantitative
559 evaluations on the quality of reconstruction with RMSE over the entire 450 test data. The x axis represents the
560 number of frequency samples used for training the Deep-guide models. The y axis denotes the values of RMSE
561 between the reconstructed defects and the ground truth. Each box shows the interquartile range (IQR between Q1
562 and Q3) of the training data. The central mark (the horizontal line in each box) shows the median value. The upper
563 whisker extends from the hinge to the largest value no further than $Q3 + 1.5 \times IQR$ and the lower whisker extends
564 from the hinge to the smallest value at most $Q1 - 1.5 \times IQR$. For each box, 150 values randomly selected from the
565 450 test results are shown as dots. (b) Quantitative evaluations on the quality of reconstruction with PSNR over the
566 entire 450 test set. (c) Visualization of manifold structures of the input dataset with 40 frequency samples and (d) 5
567 frequency samples, respectively.

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569 **A Special Case Study**

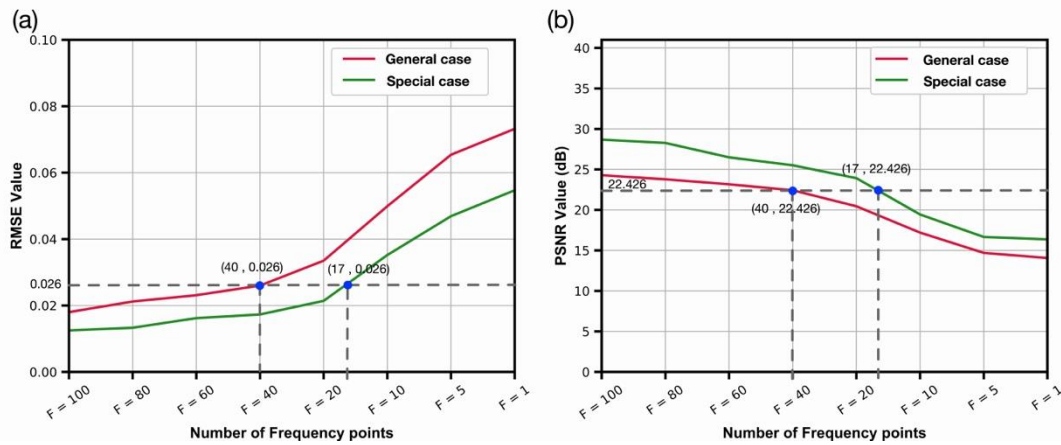
570 Usually, a high-accuracy detection and reconstruction for a particular flaw or defect is required in
 571 some areas such as railway transportation, oil pipelines and aerospace so that structural integrity
 572 can be quantitatively evaluated and assessed for the prediction of its remaining service life. Take
 573 into account this situation, a specific case for defect reconstruction has been investigated in this
 574 section. As the methodology applied to the above general scenario, 350 unknown
 575 Gaussian-curved defects have been used as training data to reconstruct this representative defect.
 576 The quantitative evaluations on the qualities of reconstruction, i.e., boxplots of the RMSE and
 577 PSNR over the entire test set have been provided in Figs. 15a and b. As the number of frequency
 578 samples increases, the trained neural network model has better predictions on defect
 579 reconstruction with a lower and narrower distribution of RMSE. It can be observed that the model
 580 trained with 100 data of frequency samples achieves superior performance with the lowest
 581 median value (0.0125) of RMSE and the highest median value (28.68 dB) of PSNR, while the
 582 model trained with only one frequency sample has poor prediction as the highest median RMSE
 583 value of 0.0547 (increased by 337.6%) and the lowest median PSNR value of 16.3656dB
 584 (decreased by 12.3144dB) can be identified. The similar conclusion can be drawn from Figs. 15c
 585 and d that the manifold structure by 40 frequency samples appears highly separable as compared
 586 with the manifold by 5 frequency samples, and therefore the reconstruction using 40 frequency
 587 samples has more powerful learning ability to discriminate one type of defect from others.
 588



589 Fig. 15. Analysis of defect reconstruction for specific defects (Gaussian-curved defects) with different number of
 590 frequency samples. Boxplots of (a) RMSE and (b) PSNR values for each test set by models trained with different
 591 number of frequency samples; (c) Manifold structure of the input data set with (c) 40 and (d) 5 frequency samples.
 592
 593

594 Furthermore, it can be observed from the manifold structures in the aforementioned two
 595 cases that the manifold in the specific case has simpler and highly separable structure, thus it is

596 simpler to empower the learning to realize higher reconstruction precision. A more direct
 597 quantitative comparison of the reconstruction performance of the trained general and specific
 598 models has been shown in Fig. 16. It is worth noting that the Deep-guide model trained for
 599 reconstructing specific defects can realize high precision just using comparatively fewer frequency
 600 samples. For example, it can be observed from Fig. 16 that the general model needs 40
 601 frequency samples to reach the RMSE value of 0.026 or PSNR value of 22.426 dB, while only
 602 about 15 frequency samples for specific model are required. Overall, the superior robustness of
 603 the proposed Deep-guide framework has been demonstrated throughout two case studies. Also,
 604 defect reconstruction in the general case has the quality evaluated by the average RMSE value of
 605 0.0388 and PSNR value of 20.0082 dB, whilst the values of RMSE and PSNR are much
 606 improved to 0.0272 by 42.65% and 23.1672 dB by 3.159 dB in specific case, respectively.



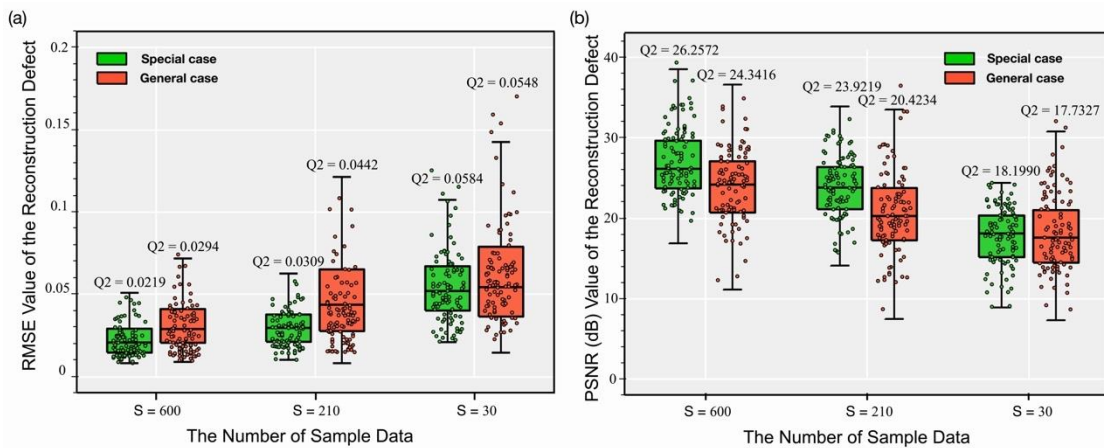
607
 608 Fig. 16. (a) Comparison of the media RMSE on the entire test set from models trained with different number of
 609 frequency samples under two cases. (b) Comparison of the media PSNR on the entire test set from models trained
 610 with different number of frequency samples under two cases.
 611

612 3.5 Effect of training data size on the accuracy of reconstruction

613 The major bottleneck for the application of deep learning to engineering is the limited size of
 614 available datasets. In non-destructive testing, the size of training data for data-driven model will
 615 directly affect the accuracy of defect detection and reconstruction. Taking into account this
 616 situation, it is necessary to investigate the impact of the size of the sample data on the
 617 reconstruction accuracy of the Deep-guide model, especially in the presence of small size samples.

618 First, the different size of sample data (data size $S = 600, 210, 30$) has been considered for
 619 constructing the Deep-guide models. To obtain the input data, 40 reflection coefficients in each
 620 defect reconstruction problem have been obtained by the wave analysis using $0th$ SH-waves
 621 mode. After the generation of the intelligent models, 450 unknown defects in test set have been
 622 examined using the trained models. Quantitative evaluations on test results in two case studies
 623 have been illustrated by boxplots shown in Fig. 17. As the size of training samples decreases, the
 624 reconstruction accuracy evaluated by RMSE or PSNR becomes poorer due to the limited learning
 625 information for training the Deep-guide network. For example, for defect reconstruction in general
 626 case, the model trained with 600 sampling data has the best performance with the lowest median
 627 value (0.0294) of RMSE, as compared with 0.0442 by the model trained with 210 sampling
 628 data (50.94% higher) or 0.0548 by the model 30 sampling data (86.39% higher). The similar
 629 conclusion can be drawn on the quality of the Deep-guide model assessed by the median value of

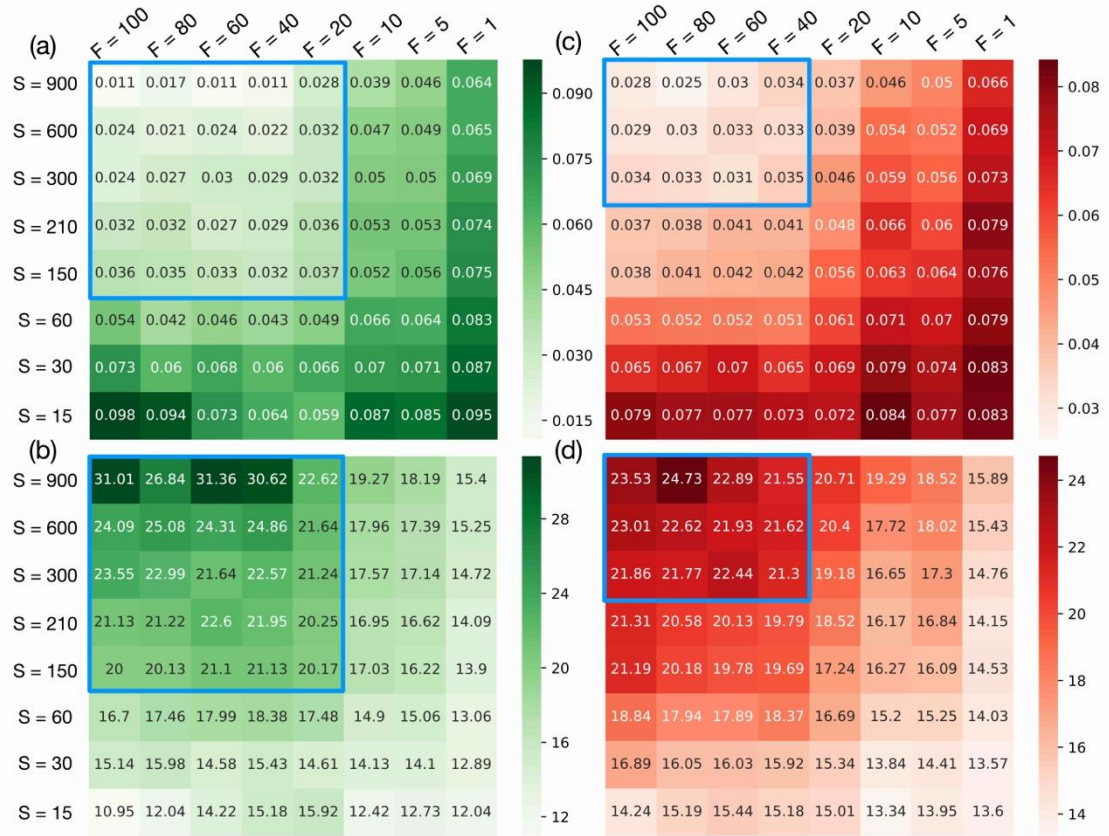
630 PSNR shown in Fig. 17b – the best result is 24.3416 dB by the model trained with 600 sample
 631 data, whilst 20.4234 dB with the net value of 3.9182 dB and 17.7327 dB with the net value
 632 of 6.6089 dB by the trained models using 210 and 30 sampling data, respectively. Moreover,
 633 it is evident that the Deep-guide model for reconstructing the specific defects shows better
 634 reconstruction performance, which is evaluated by a relatively lower and narrower distribution of
 635 RMSR or a relatively higher and narrower range of PSNR over the test dataset in Fig. 17. In
 636 summary, to reconstruct specific defects, a comparatively high-accuracy reconstruction can be
 637 achieved by the model with even few training data, which provide a useful insight into the
 638 development of data-driven techniques for engineering applications with small size of the training
 639 samples.
 640



641
 642 Fig. 17. Analysis the influence of the training data size on reconstruction performance in two cases. Boxplots of (a)
 643 RMSE values and (b) PSNR values for models trained by the different size of sampling data.
 644

645 Furthermore, the correlation between the number of frequency samples and the size of
 646 sampling data has been investigated through the matrix view shown in Fig. 18. Influences of the
 647 number of frequency samples and the size of sampling data on the reconstruction accuracy of the
 648 Deep-guide framework has been indicated by the heatmap, which represents the RMSE or PSNR
 649 value of the item in the matrix. Deep-guide models have been trained by the different size of
 650 reflection coefficients, which have been obtained by the wave analysis using *0th* SH-waves mode.
 651 Also, the number of circular frequency samples affecting the quality of defect reconstructs in
 652 general and specific cases has been studied. It has been noted that the larger the size of sampling
 653 data is used, the more the frequency samples are selected, the better the reconstruction quality is
 654 achieved. In practice, the amount of the available training data is usually small, and the defect
 655 reconstruction by fewer frequency samples takes benefits from less computational and
 656 experimental costs. Therefore, it is necessary to use as few training data and frequency samples as
 657 possible while meeting the reconstruction accuracy requirements. To better demonstrate the
 658 superiority of the proposed Deep-guide framework with an example, suppose that the defect
 659 reconstruction in Fig. 8 with the RMSE value less than 0.037 or the PSNR greater than 20 dB
 660 are deemed as the trustworthy quality within the acceptable tolerance. It can be observed in Fig.
 661 18 that to reconstruct a defect in the specific case, at least 150 training defects and 20
 662 frequency samples are required for the network training to meet the accuracy requirement, while
 663 to reconstruct a defect in the general case, at least 300 training defects and 40 frequency

664 samples need to be satisfied for the qualified model construction. Thus, the Deep-guide model has
 665 the ability to solve the specific defect reconstruction problem with a high level of accuracy using
 666 small training samples and a small amount of frequency samples. Moreover, to achieve a certain
 667 level of reconstruction accuracy, either the increase of the number of training samples or more
 668 frequency samples can be adopted as a solution to the problem, and the decision-making depends
 669 on the types of resources available.



670
 671 Fig. 18. A matrix view of the defect reconstruction accuracy against the different number of frequency samples and
 672 the different size of training data. Heatmap shows the distribution of reconstruction performance under different
 673 conditions. The blue box is the reconstruction accuracy that meets the accuracy criterion defined (RMSE ≤ 0.037
 674 or PSNR ≥ 20dB). (a) Average RMSE and (b) PSNR of the entire test set (N=350) by models trained under
 675 different conditions in the specific case. (c) Average RMSE and (d) PSNR of the entire general test set (N=450) by
 676 models trained under different conditions in the general case.

677

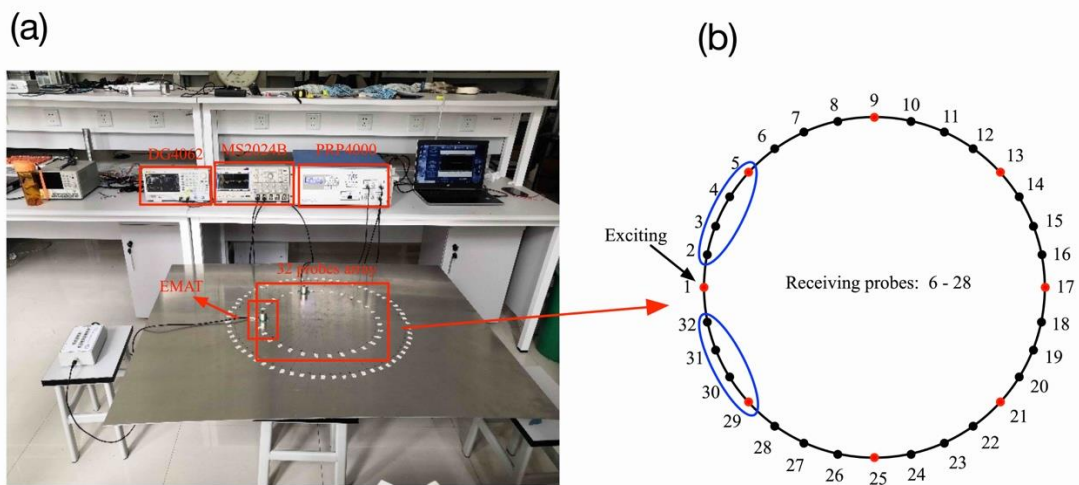
678 4. Experimental Validation

679 4.1 Experimental setup for ultrasonic measurements

680 To validate the feasibility of the proposed reconstruction method, a circular array consisting of 32
 681 Electromagnetic Acoustic Transducers (EMATs) has been designed in this research to perform
 682 experimental tests for defect reconstruction. Two aluminum plates with the dimension of
 683 1200 mm × 1200 mm × 3 mm have been manufactured and an artificial circular defect has
 684 been intentionally created on the surface of each plate. The diameter and depth of the defect are
 685 set to 50 mm and 1 mm, respectively. One defect is located at the center of the plate
 686 with the coordinate (0,0) mm, while the other is eccentrically placed with the coordinate
 687 (100,0) mm. The transducer parameters, including coil numbers and distances, have been

688 carefully pre-adjusted to ensure the excitation creation of a relatively pure Lamb A0 mode with a
 689 central frequency of 250 kHz. Both the receiving and emitting probes have been manufactured
 690 using the advanced system, which comprises a signal generator (DG4062), power amplifier
 691 (RPR-4000), and oscilloscope (MS2024B) shown in Fig. 19a. A radial distance of 200 mm for
 692 the circular array has been deliberately used. During the process of experimental tests, the signal
 693 excitation has been generated at eight positions (Labels 1-5 and 29-32), as highlighted by the red
 694 dots in Fig. 19b. The signals have been then received by 32 probes (Labels 6-28). It should be
 695 noted that the data obtained from the receiving transducers in close proximity to the emitting
 696 points have been noticeably affected by unavoidable electromagnetic interference, leading to some
 697 inconsistent experimental data. Therefore, only data from the receiving transducers with Labels
 698 6-28 have been deemed reliable. Overall, the final dataset has comprised 8 (emission signals) \times
 699 23 (receiving signals) matrix data.

700 In this study, the processing of the received signals has consisted of the following steps: First,
 701 the arrival time of the wave packet has been determined by the point with the highest energy flux
 702 density in the wavelet transform spectrum. Then, a three-period window centered around the
 703 arrival time has been selected to preserve the signal, while noise and unwanted reflected signals
 704 from other regions have been eliminated by setting to zeros. Following that, the truncated signal
 705 has been performed by fast Fourier transformation (FFT) to extract the signal value at 250 kHz in
 706 the frequency domain. This value has corresponded with the reflection coefficient mentioned in
 707 Eq. 12 and served as an input for subsequent reconstruction of defects using the proposed in the
 708 Deep-guide framework.



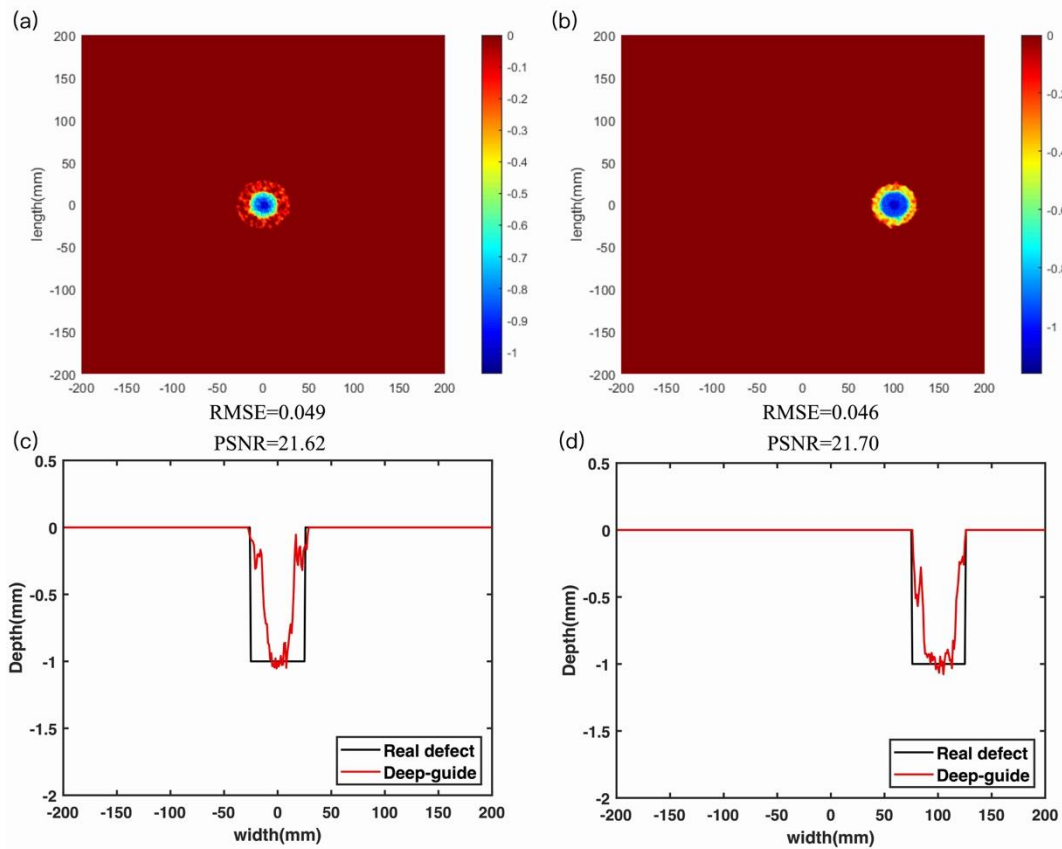
709
 710 Fig. 19. (a) Experimental platform for electromagnetic ultrasonic non-destructive testing system. (b) Schematic
 711 diagram of EMATs array.

712

713 4.2 Experimental results

714 In this section, the neural network model has been trained using the simulation data from Section
 715 3.3, which consists of 49 instances of circular defects with various sizes and positions. As a
 716 demonstration of, the experimental data obtained in Section 4.1 as the input to the network for
 717 reconstruction of circular defects has been used to verify the proposed Deep-guide. The output of
 718 the neural network has been formatted in a form of a matrix with the dimension of 400×400 ,
 719 containing a total number of 160,000 pixel values. Fig. 20 has illustrated the reconstructed

720 results using the experimental data by the Deep-guide framework. It has been noted that
721 Deep-guide has the ability to accurately predict the location of defects and the circular shape of
722 the defects. In Fig.20 (c and d), the reconstruction by Deep-guide using the experimental data has
723 not the same quality as that by the simulated data in Fig.8, for example, the RMSE of the
724 experimental results (0.049) has increased by 87.02% and the PSNR (21.62dB) has been
725 reduced to 3.25dB as compared to the results of A0 mode (RMSE=0.0262, PSNR=25.07dB)
726 shown in Table 3. The main reasons can be explained as follows: 1) The experimental data
727 contains environmental noise and human errors, which can affect the accuracy of the model
728 trained by the simulation data; 2) Due to the electromagnetic interference, signals near the
729 excitation probes cannot be reliably utilized, leading to a reduction in defect information provided
730 to Deep-guide for reconstruction and thereby, decreasing the reconstruction accuracy; 3) In the
731 experimental tests, only single-frequency scattered wave signals (250 KHz) have been used to
732 improve the practicality of the experimental detection. However, as observed from the analysis
733 results in Section 3.4, such signals have inevitably reduced the accuracy of the reconstruction.
734 Overall, the experimental results have demonstrated that Deep-guide has the capability of
735 extracting the accurate mapping relationship between defects and guided wave scattering signals
736 through the training process on simulation data and its correctness has been also validated by the
737 aforementioned experimental tests. The gained knowledge throughout this study has provided the
738 opportunities to efficiently analyze and predict real-world measurements, enabling accurate
739 reconstruction of defect positions and profiles.



740
741 Fig. 20. Experimental results of defect reconstruction. Top view (a and b) and cross-sectional view (c and d) of the
742 reconstructed results for central and eccentric defects, respectively.
743

744 **5. Conclusions**

745 Deep-guide, a novel data-driven structural defect reconstruction framework, has been proposed in
746 this paper to automatically realize the end-end mapping between the transformed features of
747 acoustic scattering signals and defect profiles with high levels of accuracy and efficiency. Based
748 on the manifold distribution principle, the architecture of Deep-guide comprising the
749 encoder-projection-decoder blocks has been designed and trained with the data generated by the
750 developed modified boundary element method. To demonstrate the correctness, generality and
751 efficiency of Deep-guide, numerical and experimental validations have been performed with the
752 main conclusions as follows:

- 753 (1) Defect reconstructions using acoustic data generated by different modes of SH-waves and
754 Lamb waves have demonstrated that Deep-guide has high levels of the accuracy,
755 efficiency and generality.
- 756 (2) The manifold structure of the scattering data affects the reconstruction performance, that
757 is to say, Deep-guide has the more powerful learning ability for data manifold being a
758 simpler, highly separable structure, leading to the higher reconstruction accuracy.
- 759 (3) Through data training, a stochastic mapping that has the capability of adaptively
760 denoising the scattering signals has been successfully learned, which indicates that
761 Deep-guide has remarkable robustness and is able to effectively regularize the
762 ill-posedness of the inverse guided wave scattering problem.
- 763 (4) As compared with traditional knowledge-driven reconstruction approaches, Deep-guide
764 can effectively reconstruct the defects with fewer frequency samples, especially for the
765 specific defect type in engineering. Deep-guide model enables the problem solving with a
766 high level of accuracy under the presence of small-size training samples and provides a
767 useful insight into the development of effective data-driven techniques for structural
768 health monitoring and complex defect reconstructions.

769 **Declaration of Competing Interest**

770
771
772 None.

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788 **Availability of data and materials**

789 The data that support the findings of this study are available on request from the
790 corresponding author.

791

792

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