

1 **Consideration-of-concept of EvolvRehab Body for upper limb virtual rehabilitation at**
2 **home for people late after stroke**

3 Ellis F, Hancock N, Kennedy N, Clark A, Wells, J, Chandler E, Payne D, Pomeroy VM

4 **Fiona Ellis, PhD:** School of Health Sciences, University of East Anglia, UK. ORCID: 0000-
5 0003-2670-0104.

6 **Nicola Hancock, PhD:** School of Health Sciences, University of East Anglia, UK. ORCID:
7 0000-0003-4850-3152. Twitter: @NicolaJHancock.

8 **Niamh C Kennedy, PhD:** School of Psychology, University of Ulster, UK. ORCID: 0000-
9 0001-7492-0828. Twitter: @dr_niamh

10 **Allan Clark, PhD:** Norwich Medical School, University of East Anglia UK. ORCID: 0000-
11 0003-2965-8941

12 **Jacob Wells, BSc:** School of Health Sciences, University of East Anglia, UK.

13 **Elizabeth Chandler, MSc:** School of Health Sciences, University of East Anglia, UK.
14 ORCID: 0000-0003-1405-0343

15 **David Payne, BSc:** School of Health Sciences, University of East Anglia, UK

16 **Valerie M Pomeroy, PhD:** School of Health Sciences, University of East Anglia, UK, and,
17 National Institute of Health Research Brain Injury MedTech Cooperative, UK. ORCID:
18 0000-0003-4487-823X

19 **Corresponding author:** Professor Valerie M Pomeroy, Queen's Building, University of East
20 Anglia, Norwich Research Park, Norwich, NR4 & TJ, UK; v.pomeroy@uea.ac.uk; 44(0)1603
21 591923.

22 **Abstract:** 247

23 **Word Count:** 3572

24 **Number of tables:** 5

25 **Number of figures:** 2

26 **Consideration-of-concept of EvolvRehab-Body for upper limb virtual rehabilitation**
27 **at home for people late after stroke**

28 **Abstract**

29 **Objective:** EvolvRehab-Body is a non-immersive virtual rehabilitation system that could
30 provide high-dose, exercise-based upper limb therapy after stroke. This consideration-of-
31 concept study investigated: adherence rate to prescribed repetitions; viability of repeated
32 measures in preparation for a dose-articulation study; and preliminary signal of potential
33 benefit. **Methods:** pre-post and repeated measures with people at least six months after
34 stroke. Twelve-week intervention: exercise-based therapy via EvolvRehab-Body. Pre-post-
35 intervention measures: Wolf Motor Function Test (WMFT); hand grip force. Repeated-
36 during-intervention measures: Motricity Index (MI) and Action Research Arm Test (ARAT).
37 Analysis: adherence rate (%) to set repetitions; percentage of total possible measures
38 collected; pre-to-post-intervention change estimated in relation to published minimally
39 detectable changes of WMFT and hand grip force; and slope of plotted data for MI and
40 ARAT (linear regression). **Results:** Eight of twelve participants completed the 12-week
41 intervention phase. Adherence: 87.5% (1710 to 9377 repetitions performed). Viability
42 repeated measures: 88 of 96 (91.7%) ARAT and MI scores collected. Preliminary signal of
43 potential benefit was observed in five participants but not always for the same measures.
44 Three participants improved WMFT-time (-7.9 to -27.2 seconds/item), four improved
45 WMFT-function (0.2 to 1.1 points/item), and nobody changed grip force. Slope of plotted
46 data over the 12-week intervention ranged from: -1.42 (p=0.26) to 1.36 (p=0.24) points-per-
47 week for MI and -0.30 (p=0.40) to 1.71 (p<0.001) points-per-week for ARAT. **Conclusion:**
48 Findings of good adherence rate in home settings and preliminary signal of benefit for some
49 participants gives support to proceed to a dose-articulation study. These findings cannot
50 inform clinical practice.

51 **Contribution of the article**

- 52 ▪ Adherence to prescribed exercise plan was 87.5% (1710 to 9377 repetitions) performed over a 12-
53 week intervention period
- 54 ▪ A dose-articulation study of EvolvRehab-Body is now required
- 55 ▪ Findings of this study cannot be used in clinical practice as this is early phase research

56

57 **Keywords:** Virtual Rehabilitation, Virtual Reality, User-led design, Stroke.

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77 **Introduction**

78 Further improvements in motor recovery (reduction in motor impairment) after stroke
79 could be achieved with higher doses of evidenced-based therapy [1,2]. Delivery of higher
80 doses is often not realised in routine practice or efficacy trials [3,4] but could be achieved
81 using virtual reality technology as an adjunct to in-person therapy [5–7] to reduce motor
82 impairment [8].

83 Notably, meta-analysis indicates that VR systems specifically developed for stroke
84 rehabilitation have greater benefit than commercially available systems designed for the
85 general population such as the Wii device [7]. This may be because VR systems specifically
86 designed for rehabilitation utilise more of the principles of stroke rehabilitation [2] than
87 ‘general population’ systems [7]. In particular, the capacity to deliver evidenced-based
88 rehabilitation that is meaningful, repetitive and with relevant feedback [9]. But of key
89 importance is the need to use VR systems in peoples’ homes where most rehabilitation takes
90 place. Many VR systems are tested in laboratory environments, e.g., [10,11], and findings
91 may not be transferable to home settings. For example, in laboratory environments there is
92 precise control of lighting, exclusion of objects except for the participant from the field of
93 view and expert assistance available for resolution of technical challenges. Essentially, home-
94 based VR systems need: to be specifically developed for stroke rehabilitation; to provide
95 evidenced based rehabilitation; provide relevant feedback; and to be useable by people with
96 stroke in their own homes when expert assistance is not physically present.

97 These requirements for home-based VR rehabilitation systems are met by the non-
98 immersive EvolvRehab-Body, a class 1 CE marked medical device that delivers upper limb
99 exercise-based therapy (Evolve Rehabilitation Technologies, Spain; figure 1). The version of
100 EvolvRehab-Body investigated in this study consisted of a laptop computer connected to a
101 Microsoft Xbox Kinect V2 (Microsoft Corporation, USA) and a LEAP hand motion device

102 (LEAP motion inc, USA). Users' movements were detected by the Kinect and replicated, in
103 real-time, by an on-screen avatar. The software consisted of assessments, exercises, and
104 exergames that the developer reports were designed with advice from clinical therapists (see
105 https://evolvrehab.com/evolvrehab/evolvrehab_body/). Personalised exercise-based
106 rehabilitation prescriptions were creatable and updatable using a 'therapy editor' to ensure
107 continued challenge in adherence to the principles of stroke rehabilitation [2].

108 The commercially available EvolvRehab-Body requires testing for clinical efficacy.
109 But, before clinical efficacy of EvolvRehab-Body can be evaluated in a randomised
110 controlled trial it is important to identify the optimal therapeutic dose [8,12] using
111 pharmaceutical study designs for dose-articulation [13,14]. And then, even before dose-
112 articulation can be conducted it is important to investigate consideration-of-concept [12,15]
113 of EvolvRehab-Body for intended use in the homes of people with stroke. Investigation of
114 consideration-of -concept of EvolvRehab-Body as the first step of research evaluation
115 adheres to the stroke recovery trial development framework [12]. Stroke rehabilitation
116 research also requires investigation of the relative contributions of motor recovery (reduction
117 of motor impairment) and behavioural substitution (compensation for loss of neuromotor
118 function) [16]. Consequently, it is important to measure motor impairment objectively with
119 surface electromyography (sEMG) to identify appropriate muscle activation [17] in addition
120 to clinical scales that are more subjective [18]. Therefore, as part of this consideration-of-
121 concept study, it is important to assess the feasibility of using sEMG for people with stroke in
122 their own homes.

123 The objectives of this study were to:

- 124 1. find if people with stroke adhere to 'prescribed' use of EvolvRehab-Body over a 12-week
125 period.

- 126 2. assess the viability of making repeated measures of motor impairment and functional
127 capacity during the intervention period to inform design of a subsequent dose-finding
128 study.
- 129 3. provide preliminary information on the possibility that EvolvRehab-Body could, in a
130 subsequent study, reduce motor impairment and increase functional capacity.
- 131 4. explore the feasibility of using surface electromyography (sEMG) for measures of muscle
132 activity impairment in a subsequent dose-finding study based in the homes of people with
133 stroke.

134 **Materials and methods**

135 *Design and ethics*

136 This study used a repeated measures design with a randomised duration (one to four
137 weeks) of a baseline period. Outcome measures were made at the end of a 12-week
138 intervention period (objectives 1-4). This design was used to explore whether a subsequent
139 dose-articulation study would be able to use a randomisation to multiple baselines of different
140 time durations, combined with, repeated measures during an intervention period.

141 Ethical approval was received from the UK National Research Ethics Service (ref
142 233548 18/LO/0562) who then placed a summary of the protocol on the Health Research
143 Authority (HRA) website ([https://www.hra.nhs.uk/planning-and-improving-research/application-summaries/research-](https://www.hra.nhs.uk/planning-and-improving-research/application-summaries/research-summaries/upper-limb-stroke-rehabilitation-via-the-virtualrehab-platform-v1/)
144 [summaries/upper-limb-stroke-rehabilitation-via-the-virtualrehab-platform-v1/](https://www.hra.nhs.uk/planning-and-improving-research/application-summaries/research-summaries/upper-limb-stroke-rehabilitation-via-the-virtualrehab-platform-v1/)). Upon a later request from the HRA the
145 study was registered on ClinicalTrials.gov (NCT04517812). All participants provided
146 informed consent.

147

148 *Participants*

149 Participants were people with stroke recruited through their general practitioner
150 practice and a convenience sample of ten adults with no neurological damage (healthy adults)

151 recruited through posters. People with stroke were adults (18+ years) six months or more
152 after a stroke. All were able, with their more paretic upper limb to score at least 19/33 on the
153 elbow/shoulder section of the Motricity Index [19] but unable to complete the Nine Hole Peg
154 Test [20] in 50 seconds or less. This was to ensure that participants had ability to produce
155 voluntary contraction of paretic muscle and had potential for improvement. Prior to the
156 stroke, they could use the more paretic upper limb to pick up a cup and drink from it. They
157 had space in their home for EvolvRehab-Body sensors to detect movement i.e., able to set up
158 the Kinect 150-250cm in front of the participant on a flat stable surface 80-120cm above the
159 floor without interference from vibration (e.g., speakers) or light (e.g., mirror reflection). All
160 were able to follow instructions for this intervention (could play the 'boxing game' with their
161 less paretic upper limb) and were fit to participate in the exercise-based intervention as
162 assessed by a resting heart rate of no more than 90 beats-a-minute and a systolic blood
163 pressure of 140mmHG or less.

164 Healthy adults (18+ years) reported that they had no clinical diagnosis of stroke,
165 epilepsy or another neurological pathology. These participants acted as a preliminary
166 reference group for the sEMG measures.

167 *Procedure*

168 The duration of the baseline period was allocated via a randomised sequence
169 generated before the study began by a researcher independent of the study team. After a
170 participant had completed baseline-one measures an administrator opened the next sealed
171 opaque envelope in the numerical sequence to reveal the duration of the baseline period
172 during which participants did not use EvolvRehab-Body. At the end of the baseline period
173 the measures were repeated (baseline-two). Participants then used EvolvRehab-Body in their
174 own homes during the 12-week intervention phase and undertook progress measures weekly.
175 At the end of the intervention phase the outcome measures were conducted.

176 Healthy adults completed sEMG measures once in a movement analysis laboratory, to
177 provide a preliminary estimate of reference values. They did not use EvolvRehab-Body.

178 *Intervention*

179 At the beginning of the intervention phase EvolvRehab-Body was set up in stroke
180 participants' homes. Training was provided by the first author (Researcher) to ensure that
181 participants could use EvolvRehab-Body. Participants were given the Researcher's contact
182 details.

183 A personalised exercise programme was created for each participant by the Researcher
184 in consultation with a member of the study team who was an academic registered
185 physiotherapist. The personalised training programme consisted of a combination of the
186 exercises and exergames that addressed participants' identified movement challenges. All
187 participants were allocated the 'rowing' and the 'boxing' game as a standard element.

188 In the first week of the intervention period, participants were advised to use
189 EvolvRehab-Body for 10-minutes on six days. Thereafter they were asked to undertake their
190 training programme for up to one hour a day, six days a week. Adherence to prescription was
191 recorded by EvolvRehab-Body (number of days used, exercises/exergames completed,
192 number of repetitions performed). This information and participants' views were provided
193 regularly to the study physiotherapist to enable appropriate adjustments to the prescription.

194 At the end of the first week, and each subsequent week, the Researcher visited the
195 participant to ensure the participant had no problems using EvolvRehab Body, and undertake
196 progress measures.

197 *Outcome measures (baseline 1, baseline 2, outcome)*

198 Ability to contract paretic upper limb muscles (motor impairment) was measured
199 through hand grip force with the Jamar hand dynamometer (JLW Instruments, Chicago)
200 placed in a purpose-made stand placed on a stable surface. A participant's paretic upper limb

201 was positioned on the surface with elbow at 90⁰ and hand around the bars. Participants were
202 instructed “squeeze as hard as you can” [3]. Three hand grips were performed with each upper
203 limb. The mean of the three trials was used for analysis.

204 Functional capacity of the upper limb was measured using the Wolf Motor Function
205 Test (WMFT) [21]. The 15-item test is scored as time (seconds) to complete each item
206 (WMFT-time) with ‘quality’ of movement scored from 0 to 5 (WMFT-function).

207 *Weekly progress measures*

208 To minimise possibility of a learning effect from undertaking repeated outcome
209 measures, different weekly progress measures were used. Motor impairment was measured
210 with the Motricity Index upper limb section [22,23]. Upper limb functional capacity was
211 measured with the Action Research Arm Test (ARAT) [24].

212 The probe measure used to test the feasibility of using sEMG (TrignoTM, Delsys Inc)
213 was the percentage of a standardised reach-grasp-retrieve task at which peak muscle
214 activation occurred. Sensors were placed in accordance with the SENIAM guidelines
215 (<http://www.seniam.org>) on the skin over the more and less affected: Deltoid, Biceps Brachii,
216 and Triceps. A sensor was placed over Flexor Carpi Radialis to collect accelerometer data that
217 marked phases of the task. Details of the task and data processing are provided in Box 1 of
218 the online supplement.

219 *Analysis*

220 To assess adherence to prescription (objective 1) the percentage of days that
221 EvolvRehab-Body was used by a participant was calculated (duration [25]). Also calculated
222 was the number of repetitions performed as a percentage of those prescribed. The number of
223 repetitions performed by each participant each week was analysed using linear regression
224 (Graph Pad Prism 9, GraphPad Software, San Diego) to summarise slope over time for each
225 individual [26,27].

226 To assess viability of undertaking repeated measures (objective 2) the percentage of
227 the total possible measures obtained during the intervention phase was calculated per
228 measure.

229 To obtain initial information about possible benefits, signal of proof-of-concept,
230 (objective 3) change of WMFT and grip force scores from baseline one and from baseline two
231 were interpreted in relation to published minimal detectable change scores (MDC). The
232 MDCs used were: 5.7s per item for WMFT-time [28,29], 0.2 points per item for WMFT-
233 function [28,29], and 7.8Kg for grip force [30]. Also, to describe how progressive change
234 over the intervention phase might occur in a subsequent study, the data for ARAT and MI
235 were plotted (Graph Pad Prism 9). Then linear regression was used to provide preliminary
236 estimates of (measurement) slope over the intervention period [26,27,31,32]. Statistical
237 inference was not used to assess benefit or otherwise. However, a p-value of ≤ 0.05 was used
238 to support visual interpretation of whether the slope for an individual could differ from zero.
239 Potential stability of the slope was estimated with the R-squared value [31].

240 The feasibility of using sEMG to make measures of muscle activity in the homes of
241 people with stroke (objective 4) was assessed in two ways. The number of weeks sEMG data
242 was collected for each participant was calculated as a percentage of the 12 possible measures
243 per upper limb. The number of weeks when data was of sufficient quality to derive a value
244 for the probe measure was calculated as a percentage of the 12 possible measures per upper
245 limb. Acceptable level of data collection was set as 75%.

246 **Results**

247 *Participants and baseline*

248 The characteristics of participants are provided in table 1 so only a summary is
249 provided here. The ages of people with stroke (n=12) ranged from 56 to 93 years and time

250 since stroke ranged from 10 to 71 months. Healthy adults (n=10) had a mean (SD, range) age
251 of 36.9 (13.5, 26 to 64) years.

252 There were four participants who did not complete the study. VR01 withdrew after
253 baseline because of challenges with EvolvRehab-Body. A company representative eliminated
254 the challenges before the next participant was recruited. VR03 became ill after baseline one
255 and therefore withdrew. Two participants were withdrawn by the research team because of
256 inability to contact after providing informed consent (VR08) and repeatedly declining
257 measurement appointments (VR11).

258 The baseline period ranged from 29 to 75 days (table 2). None of the nine
259 participants who completed both measures on both baselines were able to keep to the
260 allocated length of time because of holidays and other commitments.

261 *Adherence to prescribed use (objective 1)*

262 Three of the eight participants who completed the intervention phase used
263 EvolvRehab-Body on all 72 days of the intervention period (table 3). Indeed, two participants
264 exceeded 72 days. Over the intervention period, participants performed between 1,710 and
265 9,377 repetitions of their prescribed exercises (Table 3). The adherence rates ranged from
266 46% to 121% (mean of 88%). One participant (VR06) requested that a large number of
267 repetitions were set but only achieved a 46% adherence rate (9,377 of 20,517). Only one
268 participant (VR04) showed a decrease in repetitions over time (p=0.03). All other
269 participants increased number of repetitions over time (Table 3, supplementary Fig 1).

270 *Viability of repeated measures in a subsequent dose-finding study (objective 2)*

271 Eight participants completed the intervention phase. Four participants completed
272 ARAT and MI measures on 10 weeks and four on all 12 weeks (supplementary Figs 2 and 3).
273 Therefore, for the ARAT and MI scores 88 of the possible total of 96 (92%) were collected.

274 *Initial information about possible benefits (objective 3)*

275 *Change from baseline to outcome (table 3)*

276 Three of seven participants showed change of at least the MDC for WMFT-time. For
277 WMFT-function four of the seven participants showed improvement of at least the MDC.
278 However, no participant showed change of at least the MDC for grip force.

279 *Progressive change over the 12-week intervention period*

280 The actual values obtained for the MI and ARAT scores are provided in graphical
281 form in the online supplement (supplementary figs, 2 and 3). Table 4 provides the synthesis
282 of the slopes and stability of MI and ARAT scores over the 12-week intervention period.

283 For repeated measures of the ARAT the slope (p-value), ranged from -0.30 (p=0.397))
284 points per week for VR10 to 1.71 (p<0.0001) points per week for VR02 (table 4).

285 The slope of repeated MI scores ranged from -1.42 (p=0.262) points per week for
286 VR09 to 1.36 (p=0.24)) points per week for VR07 (table 4).

287 *Feasibility of sEMG to measure muscle activity (objective 4)*

288 The number (percentage) of weeks sEMG data was collected for each participant is
289 provided in Table 5. In summary, the sEMG data collected was 91% of that possible during
290 the intervention phase. This was above the 75% acceptability level. Also provided in Table 5
291 is the number (percentage) of weeks when data was of sufficient quality to derive the probe
292 measure of percentage of task when peak sEMG occurred. For the less affected upper limb
293 79% of the total possible measures were produced but only 35% for the more affected upper
294 limb. This was because it was not always possible to identify the inflection points in the
295 accelerometer signal for the more affected upper limb (figure 2).

296 The actual values collected from healthy volunteer participants (control) for
297 percentage of task at which peak sEMG occurred are provided in supplemental Table 5. The
298 data for stroke participants was plotted in the context of the mean control values \pm 1SD for
299 the healthy adults dominant and non-dominant upper limbs (supplementary figures 4-11).

300 These data are provided as supplementary files for completeness of reporting only. No
301 inferences about efficacy of EvolvRehab-Body can be made from these data not least because
302 the reference values are imprecise because of the small number of healthy adult participants.

303 **Discussion**

304 The findings of this study provide sufficient signal of potential benefit in some
305 individuals. Thus, supporting continuing research into use of EvolvRehab-Body in the
306 homes of people with stroke to enhance delivery of evidenced-based exercise-based therapy.
307 The mean adherence rate of people with stroke to the prescribed exercise programme was
308 87.5% and they performed between 1,710 and 9,377 repetitions (objective 2). Repeated
309 measures of motor impairment and functional capacity were found to be viable for use in a
310 subsequent dose-finding study (objective 3) and preliminary information has been provided to
311 support the possibility of benefit in a subsequent study (objective 4). Finally, collection of
312 sEMG data is feasible in the homes of people with stroke and derivation of the probe
313 measure is acceptable for the less affected upper limb (objective 5). However, derivation of
314 the probe measure did not reach the acceptable level for the more affected upper limb because
315 identification of the inflection points in the accelerometer signal was challenging (objective
316 5). These findings strengthen the potential for robust evaluation of the delivery of stroke
317 rehabilitation via EvolvRehab Body, within the homes of people with stroke [33,34].

318 Interestingly, seven of eight stroke participants in this study increased the number of
319 repetitions completed over a 12-week intervention phase. Notable is the number of
320 repetitions of prescribed exercise that were performed by participants. This is higher than has
321 been reported for routine therapy [3,4,35] and supports earlier findings that VR has the
322 potential to increase intensity of therapy [32,36]. Whether this range of repetitions is the
323 optimal therapeutic dose requires further study especially as it cannot be assumed that
324 higher doses always produce better outcomes [37] although intensity is needed to drive

325 neoplastic changes [1]. Subsequent dose articulation studies need to be conducted to identify
326 the optimum therapeutic dose using methodologies already developed for use in stroke
327 rehabilitation research [13,37]. Then the optimum therapeutic dose needs to be evaluated for
328 efficacy in a randomised controlled trial.

329 The study reported here is not the first investigation of use of a VR rehabilitation
330 device in the homes of people in the chronic phase after stroke (for example [38–40]).
331 However, these earlier studies have used shorter training durations of three weeks [38], four
332 weeks [40] and six weeks [39]. It is notable that this study was able to deliver a 12-week
333 intervention. Consequently, this study indicates that a subsequent dose-articulation study will
334 be able to investigate the optimal duration of training for best effect.

335 Of key importance is that this study has shown that it is possible to collect sEMG data
336 in the homes of people with stroke and derive a probe measure of muscle activity as required
337 to quantify sensorimotor recovery [41]. Although earlier studies of VR systems have made
338 measures of neuromotor function, they are often made in laboratory settings [10,11] and
339 maybe on only a subset of participants [38]. However, the probe measure derived in this
340 study, percentage of a reach-grasp-retrieve task at which peak sEMG occurred, does not
341 appear suitable for the more affected upper limb. The movement patterns used by people with
342 stroke meant that the inflection points in accelerometer data were not clearly discernible for
343 the more paretic upper limb. Further work is required to identify an accurate means of
344 detecting the onset and offset of task phases.

345 The limitations of this study are acknowledged. Measures were not undertaken by an
346 assessor masked (blinded) to the study purpose, a control intervention was not used, and
347 participants were aware of the intervention they undertook. In a clinical efficacy trial, all
348 these omissions would increase risk of bias. However, this was a consideration-of-concept
349 study and therefore not designed to provide evidence for use in clinical practice. Rather the

350 purpose was to find whether there was sufficient signal of potential benefit to continue
351 research into EvolvRehab-Body and to explore whether a subsequent dose-articulation study
352 would be able to use a randomisation to multiple baselines of different time durations,
353 combined with, repeated measures during an intervention period. The findings of the present
354 study cannot be used to inform clinical practice but will be useful for subsequent research.

355 Another potential limitation to this study was that Microsoft has withdrawn support
356 for the Kinect V2. However, the developer has incorporated the new Microsoft Azure Kinect
357 into EvolvRehab-Body. The Azure Kinect is: half the size of the old Kinect; can be plugged
358 straight into a desktop or laptop computer; and uses state-of-the-art computer vision, speech
359 models and artificial intelligence sensors. Thus, the updated EvolvRehab-Body meets
360 participants' request from Workstream One for a lighter, more portable, design.

361 A key strength of this study is that it investigated proof-of-concept of EvolvRehab-
362 Body which is an important step in the research pathway to dose-articulation studies and
363 eventually adequately powered efficacy trials [12,15]. This study has provided important
364 information to progress evaluation of EvolvRehab-Body with stroke participants with a mean
365 age close to the UK average and a sample size similar to previous early phase studies of other
366 VR systems [11] and larger than some others [10,32,34] . Importantly, this study also
367 highlights the EvolvRehab-Body's potential for delivering stroke rehabilitation within the
368 home setting where the majority of stroke rehabilitation takes place at an intensity level
369 commensurate with driving beneficial neuroplasticity [1]. Especially as EvolvRehab-Body
370 worked reliably on 95% of intervention days.

371 **Conclusion**

372 Findings of good adherence rate in home settings to the set exercise and preliminary signal of
373 benefit for some participants gives signal of consideration-of-concept for EvolvRehab-Body
374 to proceed to a dose-articulation study. No inferences about efficacy of EvolvRehab-Body

375 can be made from these data not least because the preliminary reference values are imprecise
376 because of the small number of healthy adult participants.

377

378 **Ethical approval**

379 Ethical approval was provided by the National Research Ethics Services (ref 233548
380 18/LO/0562). All participants provided written informed consent.

381

382 **References**

383 [1] Nudo RJ. Recovery after brain injury: mechanisms and principles. *Front Human*
384 *Neurosci* 2013;7:1–14. <https://doi.org/10.3389/fnhum.2013.00887>.

385 [2] Kleim JA, Jones TA. Principles of Experience-Dependent Neural Plasticity:
386 Implications for Rehabilitation After Brain Damage. *J Speech, Lang Hear Res*
387 2008;51:S225–39.

388 [3] Hunter SM, Johansen-Berg H, Ward N, Kennedy NC, Chandler E, Weir CJ, et al.
389 Functional strength Training and movement performance therapy for upper limb
390 recovery early poststroke—efficacy, neural correlates, predictive markers, and cost-
391 effectiveness: FAST-INdiCATE Trial. *Front Neurol* 2018;8:1–24.
392 <https://doi.org/10.3389/fneur.2017.00733>.

393 [4] Pomeroy VM, Rowe P, Clark A, Walker A, Kerr A, Chandler E, et al. A randomized
394 controlled evaluation of the efficacy of an ankle-foot cast on walking recovery early
395 after stroke: SWIFT Cast Trial. *Neurorehabil Neural Repair* 2016;30:40–8.
396 <https://doi.org/10.1177/1545968315583724>.

397 [5] Levin MF. What is the potential of virtual reality for post-stroke sensorimotor
398 rehabilitation? *Expert Rev Neurother* 2020;doi.org/10.
399 <https://doi.org/10.1080/14737175.2020.1727741>.

- 400 [6] Nguyen AV, Ong YLA, Luo CX, Thuraisingam T, Rubino M, Levin MF, et al. Virtual
401 reality exergaming as adjunctive therapy in a sub-acute stroke rehabilitation setting:
402 facilitators and barriers. *Disabil Rehabil Assist Technol* 2019;14:317–24.
403 <https://doi.org/10.1080/17483107.2018.1447608>.
- 404 [7] Maier M, Rubio Ballester B, Duff A, Duarte Oller E, Verschure PFMJ. Effect of
405 Specific Over Nonspecific VR-Based Rehabilitation on Poststroke Motor Recovery: A
406 Systematic Meta-analysis. *Neurorehabil Neural Repair* 2019;33:112–29.
407 <https://doi.org/10.1177/1545968318820169>.
- 408 [8] Laver KE, Lange B, George S, Deutsch JE, Saposnik G, Crotty M. Virtual reality for
409 stroke rehabilitation. *Cochrane Database Syst Rev* 2017:Art. No.: CD008349.
410 <https://doi.org/10.1002/14651858.CD008349.pub4>.
- 411 [9] Levin MF, Weiss PL, Keshner EA. Emergence of virtual reality as a tool for upper
412 limb rehabilitation: incorporation of motor control and motor learning principles. *Phys*
413 *Ther* 2015;95:415–25. <https://doi.org/10.2522/ptj.20130579> [doi].
- 414 [10] Dhiman A, Solanki D, Bgasin A, Das A, Lahire U. An intelligent, adaptive
415 performance-sensitive, and virtual reality-aided gaming platform for the upper limb.
416 *Comput Animat Virtual Worlds* 2018;29:e1800. <https://doi.org/10.1002/cav>.
- 417 [11] Cameirão MS, Badia SBI, Oller ED, Verschure PFMJ. Neurorehabilitation using the
418 virtual reality based Rehabilitation Gaming System: Methodology, design,
419 psychometrics, usability and validation. *J Neuroeng Rehabil* 2010;7:48.
420 <https://doi.org/10.1186/1743-0003-7-48>.
- 421 [12] Bernhardt J, Hayward KS, Dancause N, Lannin NA, Ward NS, Nudo RJ, et al. A
422 stroke recovery trial development framework: Consensus-based core recommendations
423 from the Second Stroke Recovery and Rehabilitation Roundtable. *Int J Stroke*
424 2019;14:792–802. <https://doi.org/10.1177/1747493019879657>.

- 425 [13] Colucci E, Clark A, Lang CE, Pomeroy VM. A rule-based, dose-finding design for use
426 in stroke rehabilitation research: methodological development. *Physiotherapy*
427 2017;103:414–22. <https://doi.org/10.1016/j.physio.2016.10.393>.
- 428 [14] Hayward KS, Churilov L, Dalton EJ, Brodtmann A, Campbell BCV, Copland D, et al.
429 Advancing stroke recovery through improved articulation of nonpharmacological
430 intervention dose. *Stroke* 2021;52:761–9.
431 <https://doi.org/10.1161/STROKEAHA.120.032496>.
- 432 [15] Dobkin BH. Progressive Staging of Pilot Studies to Improve Phase III Trials for Motor
433 Interventions. *Neurorehabil Neural Repair* 2009;23:197–206.
- 434 [16] Bernhardt J, Hayward KS, Kwakkel G, Ward NS, Wolf SL, Borschmann K, et al.
435 Agreed definitions and a shared vision for new standards in stroke recovery research :
436 The Stroke Recovery and Rehabilitation Roundtable taskforce. *Int J Stroke*
437 2017;12:444–50. <https://doi.org/10.1177/1747493017711816>.
- 438 [17] Negro F, Hu X, Yao J. Editorial: Understanding altered muscle activation after central
439 or peripheral neuromuscular injuries. *Front Neurol Neurorehabilitation*
440 2021;12:642207. <https://doi.org/10.3389/fneur.2021.642207>.
- 441 [18] Campanini I, Disselhorst-Klug C, Rymer WZ, Merletti R. Surface EMG in Clinical
442 Assessment and Neurorehabilitation: Barriers Limiting Its Use. *Front Neurol*
443 2020;11:1–22. <https://doi.org/10.3389/fneur.2020.00934>.
- 444 [19] Demeurisse G, Demol O, Robaye E. Motor evaluation in vascular hemiplegia. *Eur*
445 *Neurol* 1980;19:382–9.
- 446 [20] Oxford Grice K, Vogel K a, Le V, Mitchell A, Muniz S, Vollmer MA. Adult norms for
447 a commercially available Nine Hole Peg Test for finger dexterity. *Am J Occup Ther*
448 2003;57:570–3.
- 449 [21] Wolf SL, Catlin PA, Ellis M, Archer AL, Morgan B, Piacentino A. Assessing Wolf

- 450 Motor Function Test as outcome measure for research in patients after stroke. *Stroke*
451 2001;32:1635–9.
- 452 [22] Cameron D, Bohannon RW. Criterion validity of lower extremity Motricity Index
453 scores. *Clin Rehabil* 2000;14:208–11. <https://doi.org/10.1191/026921500675786655>.
- 454 [23] Fayazi M, Dehkordi SN, Dadgoo M, Salehi M. Test-retest reliability of Motricity Index
455 strength assessments for lower extremity in post stroke hemiparesis. *Med J Islam*
456 *Repub Iran* 2012;26:27–30.
- 457 [24] Yozbatiran N, Der-Yerghiaian L, Cramer S. A standardized approach to performing the
458 Action Research Arm Test. *Neurorehabil Neural Repair* 2008;22:78–90.
- 459 [25] Hawley-Hague H, Horne M, Skelton DA, Todd C. Review of how we should define
460 (and measure) adherence in studies examining older adults' participation in exercise
461 classes. *BMJ Open* 2016;6. <https://doi.org/10.1136/bmjopen-2016-011560>.
- 462 [26] Frison LJ, Pocock SJ. Linearly divergent treatment effects in clinical trials with
463 repeated measures: Efficient analysis using summary statistics'. *Stat Med*
464 1997;16:2855–72. [https://doi.org/10.1002/\(SICI\)1097-](https://doi.org/10.1002/(SICI)1097-0258(19971230)16:24<2855::AID-SIM749>3.0.CO;2-Y)
465 [0258\(19971230\)16:24<2855::AID-SIM749>3.0.CO;2-Y](https://doi.org/10.1002/(SICI)1097-0258(19971230)16:24<2855::AID-SIM749>3.0.CO;2-Y).
- 466 [27] Senn S, Stevens L, Chaturvedi N. Repeated measures in clinical trials: simple strategies
467 for analysis using summary measures. *Stat Med* 2000;19:861–77.
- 468 [28] Lin K, Hsieh Y, Wu C, Chen C, Jang Y, Liu J. Minimal Detectable Change and
469 Clinically Important Difference of the Wolf Motor Function Test in Stroke Patients.
470 *Neurorehabil Neural Repair* 2009;23:429–34.
471 <https://doi.org/10.1177/1545968308331144>.
- 472 [29] Fritz SL, Blanton S, Uswatte G, Taub E, Wolf SL. Minimal Detectable Change Scores
473 for the Wolf Motor Function Test. *Neurorehabil Neural Repair* 2009;23:662–7.
474 <https://doi.org/10.1177/1545968309335975>.

- 475 [30] Schreuders TAR, Roebroek ME, Goumans J, Van Nieuwenhuijzen JF, Stijnen TH,
476 Stam HJ. Measurement error in grip and pinch force measurements in patients with
477 hand injuries. *Phys Ther* 2003;83:806–15. <https://doi.org/10.1093/ptj/83.9.806>.
- 478 [31] Lobo MA, Moeyart M, Cunha AB, Babik I. Single-case design, analysis, and quality
479 assessment for intervention research. *J Neurol Phys Ther* 2017;41:187–97.
480 <https://doi.org/10.1016/j.physbeh.2017.03.040>.
- 481 [32] Schuster-Amft C, Henneke A, Hartog-Keisker B, Holper L, Siekierka E, Chevrier E, et
482 al. Intensive virtual reality-based training for upper limb motor function in chronic
483 stroke: A feasibility study using a single case experimental design and fMRI. *Disabil*
484 *Rehabil Assist Technol* 2015;10:385–92.
485 <https://doi.org/10.3109/17483107.2014.908963>.
- 486 [33] Hung YX, Huang PC, Chen KT, Chu WC. What do stroke patients look for in game-
487 based rehabilitation: A survey study. *Medicine (Baltimore)* 2016;95:1–10.
488 <https://doi.org/10.1097/MD.0000000000003032>.
- 489 [34] Demers M, Chan Chun Kong D, Levin MF. Feasibility of incorporating functionally
490 relevant virtual rehabilitation in sub-acute stroke care: perception of patients and
491 clinicians. *Disabil Rehabil Assist Technol* 2019;14:361–7.
492 <https://doi.org/10.1080/17483107.2018.1449019>.
- 493 [35] Broderick M, Almedom L, Burdet E, Burridge J, Bentley P. Self-directed exergaming
494 for stroke upper limb impairment increases exercise dose compared to standard care.
495 *Neurorehabil Neural Repair* 2021:pre-print.
496 <https://doi.org/10.1177/15459683211041313>.
- 497 [36] Brunner I, Skouen JS, Hofstad H, Aßmuss J, Becker F, Pallesen H, et al. Is upper limb
498 virtual reality training more intensive than conventional training for patients in the
499 subacute phase after stroke? An analysis of treatment intensity and content. *BMC*

- 500 Neurol 2016;16:1–7. <https://doi.org/10.1186/s12883-016-0740-y>.
- 501 [37] Lang CE, Strube MJ, Bland MD, Waddell KJ, Cherry-Allen KM, Nudo RJ, et al. Dose-
502 response of task-specific upper limb training in people at least 6 months post-stroke: a
503 phase II, single-blind, randomized, controlled trial. *Ann Neurol* 2016;80:342–54.
- 504 [38] Ballester BR, Nirme J, Camacho I, Duarte E, Rodríguez S, Cuxart A, et al. Domiciliary
505 VR-Based Therapy for Functional Recovery and Cortical Reorganization: Randomized
506 Controlled Trial in Participants at the Chronic Stage Post Stroke. *JMIR Serious Games*
507 2017;5:e15. <https://doi.org/10.2196/games.6773>.
- 508 [39] Wittmann F, Held JP, Lamercy O, Starkey ML, Curt A, Höver R, et al. Self-directed
509 arm therapy at home after stroke with a sensor-based virtual reality training system. *J*
510 *Neuroeng Rehabil* 2016;13:1–10. <https://doi.org/10.1186/s12984-016-0182-1>.
- 511 [40] Piron L, Turolla A, Agostini M, Zucconi C, Cortese F, Zampolini M, et al. Exercises
512 for paretic upper limb after stroke: A combined virtual-reality and telemedicine
513 approach. *J Rehabil Med* 2009;41:1016–20. <https://doi.org/10.2340/16501977-0459>.
- 514 [41] Kwakkel G, Lannin N, Borschmann K, English C, Ali M, Churilov L, et al.
515 Standardised measurement of sensorimotor recovery in stroke trials: consensus-based
516 core recommendations from the Stroke Recovery and Rehabilitation Roundtable
517 (SRRR). *Int J Stroke* 2017;12:451–61. <https://doi.org/10.1177/1747493017711813>.

518

519
520
521
522
523
524
525
526
527
528
529
530

531
532

Table 1. Participant characteristics

People with stroke (n = 12)*	
Age in years: mean (SD), min-max	67.5 (12.6), 53-93
Sex: number (%) male	6 (50.0)
Months since stroke: median (IQR), min-max	20.0 (13.6-63.4), 10.0-70.6
More affected side: number (%) left	5 (41.6)
WMFT seconds/item more paretic: mean (SD), min-max	41.4 (31.9), 5.2-82.4
Grip force (Kg) more paretic (n = 10): mean (SD), min-max	4.3 (7.4), 0.0-25.0
Healthy adults (n = 10)	
Age in years: mean (SD), min-max	36.9 (13.5), 26-64

533 * one participant withdrew before baseline

Table 2. Baseline length plus more affected Wolf Motor Function Test (WMFT) and Grip Force scores at baseline 1 (B1), baseline 2 (B2) and outcome (Out)

Participant	Days B1 to B2	Mean WMFT-time (seconds per item)			Mean WMFT-function (points per item)			Mean Grip Force (Kg)		
		B1	B2	Out	B1	B2	Out	B1	B2	Out
VR01	NA	10.8			2.3			#		
VR02	49	59.5	58.7	51.6 ^{x,§}	2.1	2.1	2.2	0.0	0.0	0.0
VR03	NA	46.4			2.3			5.0		
VR04	30	21.8	13.1		2.9	3.1		0.1	#	
VR05	35	91.3	88.7	64.1 ^{x,§}	1.4	1.3	1.1 ^{x,§}	1.5	2.2	0.4
VR06	30	13.6	6.3	8.0	3.3	3.3	3.4	25.0	31.3	26.7
VR07	75	42.8	53.1	40.9 [§]	2.3	2.0	2.4 [§]	2.7	3.0	5.0
VR09	36	74.5	69.8	69.7	1.5	1.7	1.7 ^x	0.5	1.0	2.0
VR10	37	7.7	7.5	3.8	3.9	3.3	4.4 ^{x,§}	3.0	3.6	5.0
VR11	37	82.4	83.0		1.1	1.2		2.0	1.3	
VR12	29	5.2	5.7	5.5	3.2	3.2	3.3	3.0	5.3	7.3

VR08 withdrew before baseline 1; VR01 and VR03 withdrew before baseline 2; and VR11 withdrew before intervention phase
= participant fatigued; ^x = change of MDC or more from baseline 1; [§] = change of MDC or more from baseline 2.

Table 3. Participants' adherence to 'prescription' of repetitions (reps) during the 12-week (72 day) intervention phase and number of days on which EvolvRehab-Body did not work

	Adherence to prescription (repetitions)			Number of repetitions performed each week												Trend over time: slope (p- value) [§]	Days did not work	
	Number (%) of days platform used	Number reps prescribed	Number (%) reps performed	1	2	3	4	5	6	7	8	9	10	11	12			
VR02	75 (104)	2447	2478 (101)	40	195	294	316	266	228	223	152	170	196	140	258	0.5	(0.94)	n = 7
VR04	60 (83)	2573	2150 (84)	189	195	234	195	195	195	234	169	99	165	175	105	-7.4	(0.03)	n = 2
VR05	70 (97)	4205	3905 (93)	105	105	270	270	320	350	360	370	480	425	425	425	30.4	(<0.01)	n = 4
VR06	33 (46)	20517*	9377 (46)	577	714	476	906	618	927	927	618	927	927	618	1142	30.1	(0.07)	n = 2
VR07	56 (78)	5606	4404 (79)	225	365	312	425	255	352	448	272	287	415	498	550	17.3	(0.03)	n= 5
VR09	72 (100)	2618	1710 (65)	0	60	0	260	280	172	258	129	129	86	192	144	8.2	(0.33)	n = 2
VR10	47 (65)	2013	2442 (121)	228	289	251	164	123	82	164	164	184	244	244	305	2.4	(0.69)	n = 3
VR12	78 (108)	4146	4616 (111)	78	243	245	378	336	401	413	436	515	530	474	567	36.5	(<0.01)	n = 2

Note: VR08 withdrew before baseline 1; VR01 and VR03 withdrew before baseline 2; and VR11 withdrew before intervention phase

* = Participant requested a large number of repetitions; § = linear regression

Table 4. People with stroke: more paretic Action Research Arm (ARAT) and Motricity

Index (MI) scores progressively over the 12-week intervention phase

	Number of the 12 possible measures	Maximum possible score	Mean (SD ^a) score over the 12 weeks	Slope of scores over 12 weeks (p-value) ^{b, c}	Variation from slope (R- squared)
ARAT					
VR02	12	57	20.3 (6.9)	1.71 (0.001)	0.80
VR04	10		32.1 (3.5)	0.66 (0.015)	0.54
VR05	12		4.0 (0.4)	0.03 (0.459)	0.06
VR06	12		31.6 (3.6)	0.42 (0.164)	0.18
VR07	12		9.0 (2.6)	0.21 (0.358)	0.09
VR09	10		9.4 (2.1)	0.29 (0.200)	0.20
VR10	10		35.5 (3.9)	-0.30 (0.397)	0.09
VR12	10		36.5 (1.1)	0.07 (0.538)	0.05
MI					
VR02	12	100	69.8 (6.6)	-1.00 (0.065)	0.30
VR04	10		79.2 (6.1)	0.51 (0.358)	0.11
VR05	12		40.3 (7.2)	-0.57 (0.369)	0.08
VR06	12		80.3 (6.8)	-0.17 (0.776)	0.01
VR07	12		56.4 (7.6)	1.36 (0.024)	0.42
VR09	10		59.2 (11.6)	-1.42 (0.262)	0.15
VR10	10		77.0 (3.7)	-0.53 (0.098)	0.31
VR12	10		74.1 (4.4)	0.13 (0.781)	0.01

^a Standard deviation; ^b Linear regression, slope with p-value; ^c Statistical inference not made about efficacy of EvolvRehab, but p-values used to support interpretation of change over time

Table 5. Number (%) of the total 12-week intervention phase that sEMG data was collected and the measure could be derived (percentage of task at which peak sEMG occurred).

		Number (%) of total possible 12 weeks			
		sEMG data collected		Probe measure derived	
		Less affected	More affected	Less affected	More affected
VR02	Deltoid	12 (100%)	12 (100%)	10 (83%)	10 (83%)
	Biceps	12 (100%)	12 (100%)	11 (92%)	10 (83%)
	Triceps	12 (100%)	12 (100%)	8 (67%)	10 (83%)
VR04	Deltoid	10 (83%)	10 (83%)	6 (50%)	3 (25%)
	Biceps	10 (83%)	10 (83%)	6 (50%)	3 (25%)
	Triceps	10 (83%)	10 (83%)	6 (50%)	2 (17%)
VR05	Deltoid	12 (100%)	12 (100%)	8 (67%)	0 (0%)
	Biceps	12 (100%)	12 (100%)	9 (75%)	0 (0%)
	Triceps	12 (100%)	12 (100%)	10 (83%)	0 (0%)
VR06	Deltoid	10 (83%)	10 (83%)	8 (67%)	2 (17%)
	Biceps	10 (83%)	10 (83%)	8 (67%)	2 (17%)
	Triceps	10 (83%)	10 (83%)	8 (67%)	2 (17%)
VR07	Deltoid	11 (92%)	11 (92%)	9 (75%)	3 (25%)
	Biceps	11 (92%)	11 (92%)	8 (67%)	3 (25%)
	Triceps	11 (92%)	11 (92%)	7 (58%)	3 (25%)
VR09	Deltoid	10 (83%)	10 (83%)	9 (75%)	1 (8%)
	Biceps	10 (83%)	10 (83%)	9 (75%)	1 (8%)
	Triceps	10 (83%)	10 (83%)	9 (75%)	1 (8%)
VR10	Deltoid	11 (92%)	11 (92%)	10 (83%)	7 (58%)
	Biceps	11 (92%)	11 (92%)	10 (83%)	7 (58%)
	Triceps	11 (92%)	11 (92%)	8 (67%)	7 (58%)
VR12	Deltoid	11 (92%)	11 (92%)	11 (92%)	5 (42%)
	Biceps	11 (92%)	11 (92%)	11 (92%)	5 (42%)
	Triceps	11 (92%)	11 (92%)	11 (92%)	5 (42%)
Totals		261 (91%)	261 (91%)	228 (79%)	102 (35%)

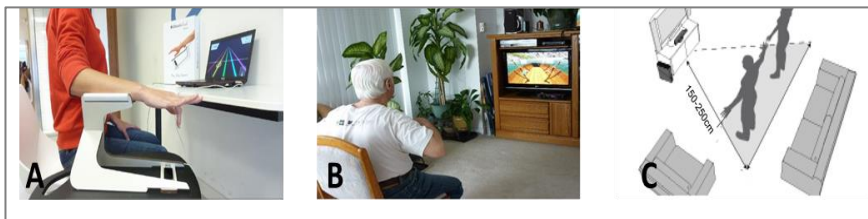
Fig 1. Illustration of EvolvRehab-Body

The screenshot displays the EvolvRehab software interface. At the top, a navigation bar shows 'Patients > Alexa Yash's sessions > Session #5 Demo Body Exergames Session Stats'. Below this, a red circle highlights the 'EXERGAMES SESSION' header and the session title 'Demo Body Exergames Session'. The main area features a calendar on the left showing dates from May to July 2017, with a red circle around the entry for 5/8/2017 at 11:36 AM. To the right of the calendar is a grid of exercise cards, each with a thumbnail, title, duration, target, and score. The exercises include: Bullseyes and Barriers (1 mins 37 secs, Targets: 18/21, Score: 81), Shadow Match (0 mins 52 secs, Targets: 15/15, Score: 99), Balloon Reach (0 mins 44 secs, Targets: 11/11, Score: 98), Shoulder Smack (0 mins 26 secs, Targets: 8/8, Score: 100), Stay afloat (0 mins 45 secs, Targets: 11/11, Score: 97), Rowing (0 mins 20 secs, Targets: 20/20, Score: 97), Water pump (0 mins 27 secs, Targets: 20/20, Score: 95), Mirror mirror (0 mins 43 secs, Targets: 10/10, Score: 98), Weightlifting (0 mins 36 secs, Targets: 10/10, Score: 99), Sit-Stand, In the Kitchen (0 mins 41 secs, Targets: 10/10, Score: 92), and Push It (0 mins 59 secs, Targets: 10/10, Score: 99). Red arrows point from the session title to text A, from the exercise grid to text B, and from the calendar entry to text C.

A. A series of tailored exercises/exergames are used to create a 'therapy session'.

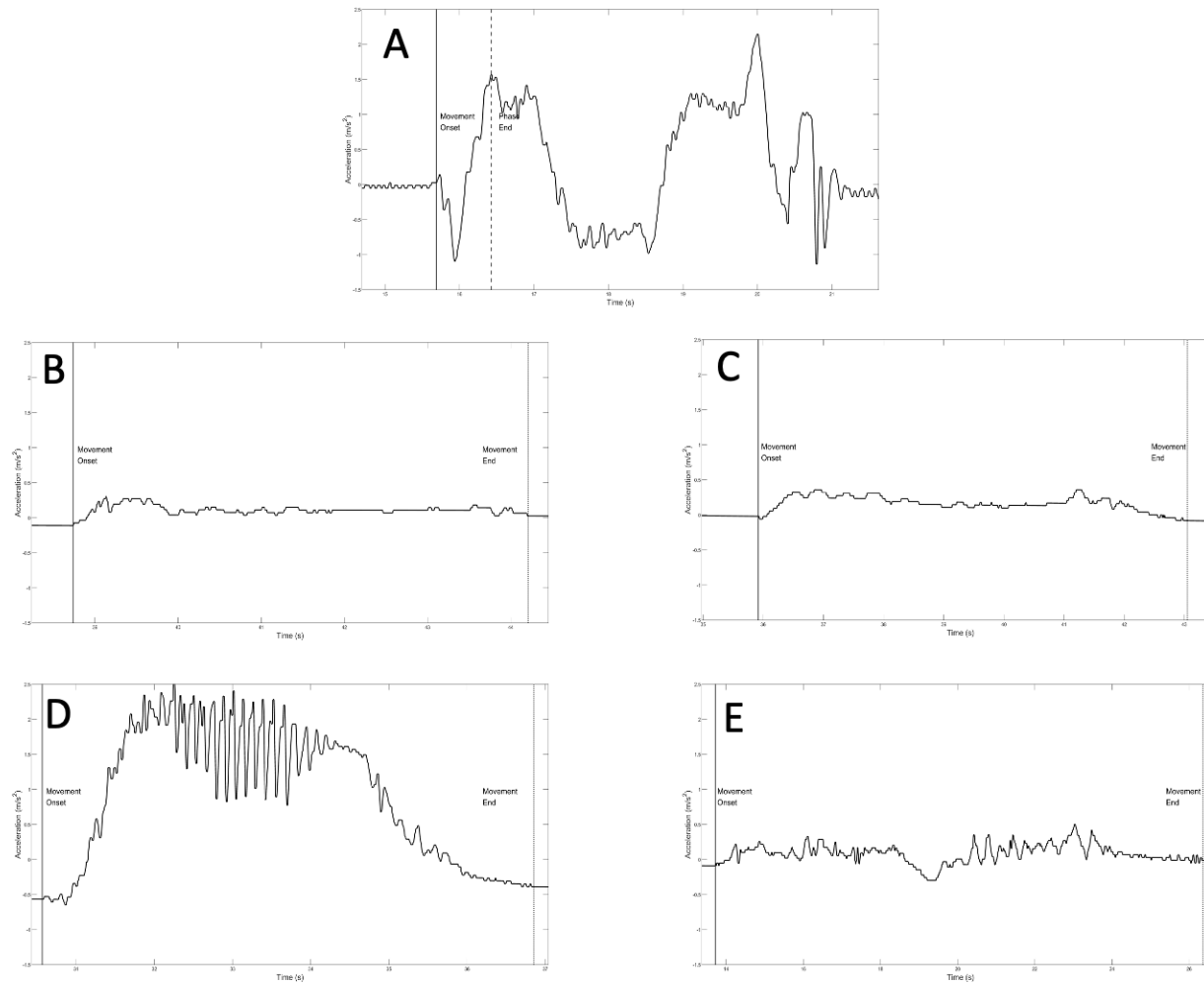
B. Each tailored exercise or exergame is shown in this window, where the order they appear can be decided or random.

C. A record of sessions are displayed here indicating the day and time of completion.



A =LEAP motion and arm bracket;
B = EvolvRehab in use;
C = space required

Fig 2. Illustrative examples of accelerometer signals obtained from less affected and more affected upper limbs of people with stroke performing the reach-grasp-retrieve task



Note: A = example obtained from less affected upper limb, B-E = examples obtained from more affected upper limb

