| 1 | Octylamine Modified Cellulose Nanocrystal |
|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2 | Enhanced Stabilization of Pickering Emulsions for |
| 3 | Self-Healing Composite Coatings |
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| 10 | ABSTRACT Linseed oil-in-water Pickering emulsions are stabilised by both sulfated CNCs |
| 11 | (sCNCs) and octylamine-modified CNCs (oCNCs). oCNCs with hydrophobic moieties grafted on |
| 12 | the surfaces of otherwise intact nanocrystals provided emulsions exhibiting stronger resistance to |
| 13 | creaming of oil droplets, compared with unmodified sCNCs. sCNCs were not able to completely |
| 14 | stabilise linseed oil in water at low CNC concentrations while oCNCs provided emulsions with no |
| 15 | un-emulsified oil residue at the same concentrations. Oil droplets in oCNC emulsions were smaller |
| 16 | than those in samples stabilized by sCNCs, corresponding with an increased hydrophobicity of |
| 17 | oCNCs. Cryo-SEM imaging of stabilized droplets demonstrated the formation of a CNC network |

18 at the oil-water interface, protecting the oil droplets from coalescence even after compaction under 19 centrifugal force. These oil droplets, protected by a stabilised CNC network, were dispersed in a 20 water-based commercial varnish, to generate a composite coating. Scratches made on these 21 coatings self-healed as a result of the reaction of the linseed oil bled from the damaged droplets 22 with oxygen. The leakage and drying of the linseed oil at the scratches happened without 23 intervention and was accelerated by the application of heat.

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25 KEYWORDS (Word Style "BG_Keywords"). Cellulose nanocrystals, octylamine, linseed oil,

- 26 Pickering emulsion, self-healing, coatings
- 27

28 Introduction

29 Emulsions are mixtures of multiple immiscible liquids. In an emulsion, one liquid is separated into 30 small droplets and dispersed in another continuous liquid phase with stabilizers at the interfaces. In 1907, Pickering¹ showed that the coalescence of oil droplets in water was prevented when they 31 32 were encapsulated with paraffin-insoluble solid particles. Since this pioneering work, emulsions 33 stabilized by solid particles are generally called 'Pickering emulsions'. It has been demonstrated 34 that the adsorption of particles with contact angles close to 90° (partial wetting condition) at the oil-water interface can be considered irreversible, resulting in very stable Pickering emulsions.^{2, 3} 35 36 Particles with a hydrophilic surface form oil in water (O/W) emulsions while those with a hydrophobic surface form water in oil (W/O) emulsions.³ The average droplet size and emulsion 37 stability have been demonstrated to vary with the size⁴ and surface wettability of the particles.³ 38

40 Various types of particles satisfying the partial wetting condition have been used to stabilize different kinds of oil-water interfaces. Both organic and inorganic particles like latexes⁴⁻⁶, silica⁷, 41 ⁸, layered silicates such as bentonite and laponite clays^{9, 10}, carbon nanotubes¹¹, graphene oxide¹², 42 ¹³ and magnetic particles^{14, 15} have been reported to assist in forming stable Pickering emulsions. 43 44 Biocompatible and biodegradable emulsions have also been investigated using block copolymer micelles¹⁶, spore particles¹⁷, protein particles^{18, 19}, and cellulose nanomaterials^{5, 20-24} as stabilizers. 45 The particle wettability in oil and water can be tuned by the adsorption of surfactants^{7, 25, 26} or by 46 chemical surface modification.^{5, 21, 27} Chemical modification is thought to be more reliable than 47 48 adsorption as a result of chemical bonds between the particle's surface and the grafted molecules, while adsorption can be disrupted by solvent conditions.² 49

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51 Cellulose is a biopolymer that is readily functionalized, is abundant in biomass, and has many 52 industrial applications. Cellulose nanomaterials (CNMs), with highly ordered crystalline 53 structures, nanoscale sizes, and high aspect ratios, can be extracted from biomass via either mechanical and/or chemical processing.²⁸ CNMs are inherently hydrophilic, but their 54 55 hydrophilicity can be moderated by surface modification to maintain contact angles at interfaces of ~90° for stable adsorption.²⁹ CNMs have been used to stabilize oil phase polymers in water and 56 form microscale oil droplets, providing stable Pickering emulsions.^{20-23, 30-34} These resulting 57 58 Pickering emulsions can then be used to create microcapsules or microparticles by interfacial chemical reactions, in-situ polymerization or by simple drying.^{5, 20, 22, 24, 30} Kolanowski et al.²⁴ used 59 60 methyl cellulose (MC) and hydroxypropyl methyl cellulose (HPMC), soluble forms of derivatized 61 cellulose, combined with low-viscosity maltodextrin, and soy lecithin as an additional emulsifiers 62 to prepare fish oil emulsions in water. These emulsions were then spray-dried to form fish oil

microcapsules with MC or HPMC walls.²⁴ The oil encapsulating level reached 98.5% for a sample 63 with 400.0 g/Kg fish oil, the highest among all samples, and 10% higher than common fish oil 64 powders.²⁴ However, a co-emulsifier of soy lecithin was used because MC alone cannot ensure the 65 stability of fish oil droplets during the spray-drying process. Kalashinikova et al.²³ used 66 67 hydrochloric acid hydrolysed bacterial cellulose nanocrystals (BCNs) as the sole stabilizer, and 68 created a hexadecane in water Pickering emulsion. The emulsion stability was tested by 69 centrifugation and quantification of the cellulose amount released in the aqueous subphase after centrifugation was carried out.²³ The emulsion was demonstrated to be stable, with no droplet size 70 71 variation after centrifugation, and long-time storage at low temperature or when being kept at 80°C for 2 hours.²³ No BCNs were found in the separate aqueous phase after centrifugation, proving the 72 irreversibility of the adsorption process.²³ The percentage of encapsulated hexadecane increased 73 to a plateau at ~ 70 % with a BCN concentration higher than 1.4 g/L. Such oil phase content is 74 close to the "theoretical close packing condition of 0.74 for monodispersed spheres".²³ The ability 75 of cellulose nanocrystals (CNCs) to emulsify paraffin droplets in water was tested by Han et al.²⁰ 76 77 and compared with samples with additional cationic surfactants. With zeta potentials ranging from 78 -52.1 to -30.5 mV, under different pH values, CNCs were found to stabilize O/W emulsions after 79 sonification but 'creamed' because of inter-cellulose interactions and the low density of CNCs 80 covering the oil droplets. Creaming is a migration of the dispersed phase in an emulsion under the 81 influence of buoyancy. After 12 hours, the Pickering emulsion, without the presence of additional 82 surfactants, separated into two layers, with lighter paraffin droplets close packing with each other in the upper layer and water being excluded in the lower layer.²⁰ This creaming phenomenon did 83 84 not occur in the samples with additional surfactants and the average droplet size decreased owing

to their presence. Han *et al.*²⁰, therefore, stated that CNCs alone were not suitable for emulsifying
paraffin in water-based Pickering emulsions.

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88 Covalent surface modifications have been used to solve the flocculation problem of nanocellulose 89 stabilized Pickering emulsions, which was caused by the abundant hydroxyl groups on unmodified cellulose structures. ^{21, 31-33} Acetylation has been used to chemically modify cellulose nanofibers 90 (CNF), reducing their surface energy, hydrophilicity by partially replacing the hydroxyl groups.²¹ 91 92 Acetylated CNFs (AcCNFs) were found to provide a higher paraffin encapsulation efficiency since they are found to be more compatible with this liquid.²¹ The AcCNFs successfully emulsified 93 94 paraffin in water at 80°C, and the droplets solidified into microparticles with AcCNF networks adsorbed on the particle surface after cooling.²¹ Thermo-responsive poly(NIPAM) brushes were 95 96 grafted on CNCs by Zoppe et al. and the heptane-in-water emulsions using these materials were reported to be stable for 4 months.³¹ Tang et al. prepared pH and temperature sensitive heptane-97 98 in-water and toluene-in-water emulsion systems using polyelectrolyte, poly[2(dimethylamino)ethyl methacrylate] (PDMAEMA) modified CNCs.³² These emulsions 99 responded to pH owing to a changing chain conformation of PDMAEMA.³² Chen et al. increased 100 the surface hydrophobicity of CNCs by modifying CNCs with octenyl succinic anhydride (OSA) 101 102 and obtained gel-like Pickering emulsion systems.³³

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104 Noncovalent functionalization of CNCs can also be used to achieve higher surface activity through 105 the adsorption of polymers.^{22, 34} Kedzior *et al.*²² bound MC on sulfuric acid hydrolyzed CNCs, and 106 used these modified materials to make methyl methacrylate (MMA) in water emulsions, which 107 were then made into a PMMA particle suspension through in-situ polymerization. The MCs were reversibly adsorbed onto the CNC surface upon mixing and both MC and MC-coated CNC emulsified MMA in water. Therefore, a double morphology appeared in the MMA capsules and subsequently the polymerized PMMA particles. Cationic surfactants didecyldimethylammonium bromide (DMAB) and cetyltrimethylammonium bromide (CTAB) have both been adsorbed onto the anionic CNC surface.³⁴ However, the surfactants CTAM and DMAB dominated the emulsification process at high surfactant concentrations, with only small amounts of CNCs being adsorbed to the oil-water interfaces.³⁴

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Nigmatullin et al.^{35, 36} modified sulfated CNCs (sCNCs) with hydrophobic alkylamines of different 116 117 chain lengths, hexylamine (C6–CNCs), octylamine (C8–CNCs), and dodecylamine (C12–CNCs). 118 This modification was based on a reductive amination and accompanied with the reduction in the number of sulfate half-ester groups on the surface of the CNCs by ~50%.^{35, 36} The incorporated 119 alkyl groups promoted the formation of a robust self-associated CNC network.^{35, 36} The sol-gel 120 121 transitions of hydrophobized CNCs were reported to happen at lower concentrations than parent 122 sCNCs and the resulted hydrogels with alkylamine groups were extremely strong because of the supramolecular hydrophobic interactions.³⁵ Although the number of sulfate half-ester groups was 123 124 halved, the zeta potential of modified CNCs only decreased by a small amount, and the water contact angle increased from $\sim 40^{\circ}$ to just over 60° .³⁶ Accompanied with this modest increase in 125 126 water contact angle, the surface tension of modified CNC aqueous suspensions decreased as well, indicating the modified CNCs have higher surface activity.³⁶ Nevertheless, these CNCs were still 127 dispersible in water.³⁶ The binding of hydrophobic groups on the CNC surface decreased the 128 129 hydrophilicity of the particle's surface, giving an amphiphilicity to the materials. These modified

130 CNCs, therefore, show promise in stabilizing oil-water interfaces without the need for additional131 surfactants.

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According to Nigmatullin *et al.*³⁶, CNCs grafted with octylamine (oCNC) have a moderate water contact angle of \sim 63° and the surface tension of oCNC aqueous suspensions decreased to \sim 51 mN m⁻¹. In the present work, oCNCs were produced and used to stabilize linseed oil in water emulsions. These were compared with emulsions stabilized by unmodified sCNCs.

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138 Linseed oil is a natural oil with a high content of glycerol esters of linolenic acid, in which the 139 unsaturated bonds are oxidized when exposed to air. During oxidation, polyunsaturated fatty acids 140 form a three-dimensional network, and the linseed oil gradually dries, exhibiting hardening 141 properties. Because of the drying property, linseed oil has been used in house paints, wood treatments and for the manufacture of various coatings.³⁷ Recently, some research has investigated 142 using linseed oil as a healing agent in self-healing composite materials.^{12, 38-49} In these works, 143 144 linseed oil was first made into oil-in-water emulsions and then mixed with resins before polymerization or simply drying. ^{12, 38-48} Solid particle graphene oxides have been used to stabilize 145 146 linseed oil in water emulsions by Li et al. before mixing the subsequent microcapsule system with 147 a waterborne polyurethane matrix and drying.^{12, 38} In situ polymerization of urea-formaldehyde 148 (UF) resin has been used in a series of works for making stable and durable microcapsules which can be embedded in epoxy resin coatings.³⁹⁻⁴⁸ These UF-linseed oil microcapsule systems 149 150 presented both self-healing and anticorrosive properties with healing times varying from 2 days to 30 days and healing temperatures from room temperature to 80 °C.^{41-45, 48} A self-healing hydrogel 151

152 containing CNC (extracted by ethanedioic acid hydrolysis) and linseed oil has also been recently
 published.⁴⁹

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155 However, no CNMs have been investigated with their efficacy to emulsify linseed oil, nor the 156 fabrication of sustainable self-healing coatings using these materials. Combining linseed oil and 157 CNCs, is a promising approach for making a completely biodegradable and biocompatible self-158 healing system without the need for chemical synthesis. In this work, we used different 159 concentrations of sCNCs and oCNCs to stabilise linseed oil in water emulsions. After comparing 160 the stability and average oil droplet size of these Pickering emulsions, we picked an emulsion 161 stabilised with 10% oCNCs for manufacturing self-healing coatings. A commercial water-based 162 varnish was readily combined with the emulsion and fabricated into a self-healing coating.

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164 **Experimental Methods**

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Materials. Linseed oil (yellow liquid, flash point 113°C, density 0.93 g/cm³ at 25°C) was bought 166 167 from Merck Life Science UK Ltd (Dorset, UK). 1-Octylamine 99% (molecular formula C₈H₁₉N, 168 boiling point 179°C) and extra pure ethylene glycol 99+% were purchased from Thermo Fisher 169 Scientific (Lancashire, UK). Sodium dodecyl sulfate was purchased from Sigma Aldrich (Dorset, 170 UK). Oxidizing solid potassium periodate 99.8% (230.00 g/mol) was bought from Merck Life 171 Science UK Ltd (Dorset, UK). Sodium cyanotrihydridoborate 95% was purchased from Alfa Aesar 172 (Lancashire, UK). As it decomposes slowly on exposure to water it was stored carefully in an 173 airtight bottle enclosed with absorbent wool. Freeze-dried CNCs (sodium form) with a 0.94 wt% 174 sulfur content were provided by the Process Development Center, University of Maine (Maine, 175 USA). Dowex Marathon C hydrogen form strong acid cation (SAC) exchange resin was bought

176 from Merck Life Science UK Ltd (Dorset, UK). Water-based varnish (gloss) was purchased from
177 the Littlefair's store (Bristol, UK).

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179 Chemical modification of CNCs. Freeze-dried sulfated CNCs were modified with octylamine following the procedure by Nigmatullin et al.³⁵. In brief, sulfated CNCs were suspended in DI 180 181 water (1.6 wt.%) and reacted with 1.68 mmol of sodium periodate per 1 g of CNCs. After reacting 182 for 48 hours, the suspension was dialyzed against DI water overnight. Following dialysis, 183 octylamine was added in the proportion of 7.7 mmol per 1 g of CNCs and left to react at 45 °C for 184 3 h and another 21 h after addition of sodium cyanotrihydridoborate (40 mM) at room temperature. 185 After this reaction, the product was purified by washing with 2 wt.% NaCl in an isopropanol/water 186 mixture (50/50/v/v) solution and dialyzed against DI water. The concentration of the oCNC 187 aqueous suspension was increased through water evaporation in dialysis tubing until gelation 188 occurred. The final concentration of the oCNC gel was 4.8 wt.%.

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190 Preparation of Pickering emulsions: Pickering emulsions were prepared by adding 1 g of linseed 191 oil into 15 g of either oCNC or unmodified sCNC suspensions of different concentrations. A range 192 of CNC/oil ratios was targeted; namely 10%, 15%, 20%, 25%, 30%, 35% of CNCs, with respect 193 to oil. No surfactant was added in the emulsion system and all the emulsions were prepared by 194 sonication using a sonic probe (Branson Digital Sonifer) for 3 min at a 30% amplitude, alternating 195 5 s of sonication and 5 s rest to prevent boiling of the samples. Comparator groups of samples was 196 also produced to better understand the CNCs' ability to stabilize linseed oil in water. In these 197 samples, a standard surfactant (sodium dodecyl sulfate) with no emulsifier was used to stabilize 198 the O/W emulsions. In the third group, samples were made by adding 1 g of linseed oil into 15 g

of DI water and mixing using ultrasonication for the same time and power. In the fourth group,
sodium dodecyl sulfate was also used to emulsify linseed oil in water. The resultant emulsions
were compared with Pickering emulsions stabilised with sCNCs and oCNCs.

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Fourier Transform Infrared (FTIR) spectroscopy: FTIR spectroscopy was used to distinguish oCNC and sCNC. The same method was used by Nigmatullin *et al.*³⁵ to detect the presence of additional octyl chains on the oCNC surface. A small portion of oCNC gel was dried in a vacuum oven to get the required solid samples. The absorbance of the IR was normalized to a value of a band located at ~1030 cm⁻¹ for both sCNC and oCNC crystalline samples.

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¹H-¹³C Cross-Polarization Magic Angle Spinning (CP/MAS) NMR Spectroscopy: Solid-state
NMR experiments were performed on a Bruker Avance III NMR spectrometer, equipped with a
4-mm triple resonance probe operating at frequencies of 300.13 MHz (¹H) and 75.48 MHz (¹³C).
oCNC and sulfated CNC powder samples were packed tightly into an 80-µL rotor and spun at a
MAS rate of 12 kHz. A ¹H-¹³C CP/MAS NMR spectrum was acquired at 20 °C using 12k scans,
a recycle delay of 10 s, and a contact time of 2 ms. Spectral deconvolution was performed via
global spectral deconvolution algorithm using the MestreLab MNova (v14.2) software package.

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Transmission Electron Microscope (TEM): TEM was used to characterize and measure the lengths and widths of hydrophobized oCNCs. Both FEI Tecnai 12 (120 kV) and FEI Tecnai 20 (200 kV) instruments were used to get high-resolution images. oCNC aqueous suspensions with a concentration of 1 mg/ml were drop-casted onto a carbon-coated electron microscope copper grid and negatively stained with a 2 wt.% uranyl acetate solution. These stained samples were dried inan oven overnight before imaging.

Light Microscopy: Pickering emulsions, using both oCNCs or sCNCs as the stabilizers with a set of CNC/oil ratios, were visualized using optical microscopy. For each sample, 1 ml of the emulsions was diluted with 10 ml of DI water and sonicated using a sonic probe with a 15% amplitude for 1 min to ensure proper mixing. One drop of the diluted emulsion sample was then deposited into a cavity glass slide and visualized with a Zeiss microscope. The sizes of the oil droplets were measured by particle analysis using Image J software and the size distribution was represented graphically by MATLAB software.

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Stability test: The stability of oil droplets covered by oCNCs was tested during an extended storage period and compared with those stabilized by sCNCs. Photographs of vials were taken over a time period. The thicknesses of the creaming layers were measured using a digital slide calliper. The stability of oCNC emulsions was also tested by centrifugation for 8 min at 6000 rpm. The centrifuged emulsion samples were then visualized by cryo-SEM to obtain the detailed structure of oil droplets. An optical microscope was used to detect the remaining oil droplets in the separated water phase for both sCNC and oCNC samples.

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Cryo-SEM: High-resolution electron cryo-microscopy was applied to the oCNC Pickering emulsion sample. A Quanta 200 - FEI FEG-SEM, sample preparation equipment, and a data analysis suite were used for these measurements. Emulsions were first rapidly vitrified at a temperature of \sim -140 °C and then fractured to provide fracture surfaces on which both droplet surfaces and their contents were visible. A short sublimation process at \sim -90 °C was required to

enhance the presence of the oil droplets at the fracture surface. A thin layer of Au-Pd was coated on the nonconductive fracture surface to prevent charging. The fractured sample was then maintained in liquid nitrogen at \sim -120 °C during the viewing process. The emulsion structure in its native, hydrated state was thereby obtained.

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Self-healing coating preparation: Pickering emulsions with different CNC types and concentrations were mixed with a water-based varnish (weight ratio 1:1). The mixture was then stirred with a magnetic stirrer at room temperature for 30 minutes. This mixture was then deposited onto a glass slide and coated with a bar coater. Samples were then dried in a vacuum oven at a temperature of 80 °C for 45 minutes. A vacuum oven was used to prevent the linseed oil from oxidising during the drying of the coating.

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Self-healing test of the coating: Scratches were made on the surface of the coating with the tip of a metal screw and a scalpel. The coating was then put into an oven at a temperature of 95 °C for 6 hours. The air in the oven was kept connected with the outside environment to provide plenty of oxygen. The coating was viewed under both Olympus microscope and SEM before and after the healing process to detect any physical changes occurring in the region of the scratch.

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262 **Results and discussion**

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OCNC Preparation and Characterization. The oCNCs were modified in aqueous suspension and stored in a bottle after gelation to maintain them in a never-dried state. The gelation process was conducted in dialysis tubing at a moderate temperature (~45 °C) for more than 48h. The much lower temperature relative to the boiling point of water protected the modified CNCs from 268 dehydration and the dialysis tubing, which allowed water vapour to pass through, providing 269 sufficient surface area for water evaporation. No precipitation of the oCNCs was found on the 270 inner wall of the dialysis tubing during the suspension shrinkage process. Conductometric titration 271 tests for both oCNC and sCNC were carried out (see Supplementary Information). According to 272 the titration test, the content of -OSO₃H was 172.2 ± 5.1 mmol kg⁻¹ for the oCNC samples and 273 245.2 ± 10.4 mmol kg⁻¹ for sCNC samples (see Supplementary Information).

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The ¹H-¹³C CP/MAS NMR spectra of oCNC and sCNC demonstrated the presence of octylamine 275 276 groups on the oCNC surface (Figure S1). The degree of surface functionalization (DSF) of the CNCs was found to be relatively low, in alignment with a previous study³⁵. This was found to be 277 278 3%, as detected by nuclear magnetic resonance (NMR); the ratio of the sum of the deconvoluted 279 areas of all octyl peaks (2.5) and the area of the C4 and C6 surface peaks (10.4) was 3% (Figure 280 S2). The presence of grafted octylamine groups was also detected by comparing the normalized 281 absorbance intensity of sCNC and oCNC under Fourier transform infrared spectra (FTIR) as 282 shown in Figure 1. To get clear IR spectra, dehydrated samples were used for both sCNCs and 283 oCNCs. The sCNCs were freeze-dried, as provided by the University of Maine, while the oCNCs 284 were stored in gel form after modification. Oven drying was applied to dehydrate 5 g of the oCNC 285 gel in a vacuum oven at 95 °C for over 2 hours and a thin layer oCNC was peeled off after the drying process. The infrared absorbance was normalized at 1030 cm⁻¹ for both oCNCs and sCNCs. 286 The maximum intensity of a band at a wavenumber position of 2893 cm⁻¹ for sCNCs compared to 287 288 a position of 2895 cm⁻¹ for the oCNCs, which indicated an increase in sp^3 C-H



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stretching. This increase in the position and change in intensity (from 0.15 for sCNCs to 0.18 for
oCNCs) of this band is thought to be induced by the presence of grafted octylamine groups.

TEM images of CNCs deposited from the 1 mg/ml suspensions showed that the hydrophobized CNCs have a tendency to aggregate because of van der Waal forces and the hydrophobic interactions between octylamine groups in water.⁵⁰ Analysis of the TEM images showed that the oCNCs have an average length of 168.2 ± 61.6 nm and an average width of 7.2 ± 2.3 nm. The height of the oCNCs was measured to be ~4 nm in a previous study by atomic force microscopy (AFM)



Figure 2. Typical morphology of CNCs imaged using TEM of negative stained CNCs deposited

302 from dilute CNC aqueous suspensions; (a) sCNCs, and (b) oCNCs.

303 studies.³⁵ The modification process did not affect the morphology of the CNCs.

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Emulsion Preparation and Stability Comparison. After the 3-minute sonication process, linseed oil was dispersed into separate droplets of various sizes and CNCs were anticipated to adsorb to the oil-water interface. The colour of linseed oil under sunlight is yellow and well-emulsified oil droplets with or without CNCs on the surface are white (Figure 3 and S1 in Supplementary Information). The colour difference among the oil-in-water emulsions is a visual representation of the diverse oil droplet sizes.

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For sCNCs, when the CNC/oil ratio is less than 20%, the linseed oil was not completely emulsified after a 3-minute ultrasonication. The resulting emulsion was faint yellow in colour and an oil residue was found to be floating on the surface (Figure 3a). After increasing the CNC/oil ratio



Figure 3. Photograph of Pickering emulsions; (a) sCNC stabilised emulsions, CNC/oil ratio 10%~35%, (b) oCNC stabilised emulsions, CNC/oil ratio 10%~35%, (c) linseed oil and water mixture without emulsifiers, before and after sonication.

320 above 20%, the linseed oil was completely emulsified into a milky emulsion and no oil residue 321 was seen on the surface. This change of emulsion colour corresponds with the average oil droplet 322 size measurement results obtained from optical microscopy. A large decrease in oil droplet size 323 appeared after the sCNC/oil ratio increased above 20% (Figure 4a, b). As for the oCNCs, after the 324 same ultrasonic processing, the linseed oil was completely emulsified into milky O/W emulsions 325 (Figure 3b) under all studied CNC/oil ratios. No variance in colour was seen for the emulsions 326 stabilized with oCNCs when the CNC/oil ratio was altered, and no obvious oil residue was found 327 on the liquid surface. Therefore, oCNCs were more able to stabilize the emulsions than sCNCs at 328 low CNC/oil ratios. In the comparator group, in which no emulsifiers were used, the continuous 329 oil phase was disrupted by strong ultrasonication and a portion of the oil was dispersed in the water 330 phase. The lower water phase was faint yellow (Figure 3c) with a small portion of oil remaining 331 undispersed into the water phase and floating on the surface. Without emulsifiers, the dispersion 332 of oil in water by ultrasonication is non-uniform and the final mixture does not resemble an 333 emulsion. The oil-water mixtures and the emulsions stabilized with 10% of sCNCs and oCNCs 334 were observed by optical microscopy following sonication (Figure 4c-e). The different dispersions 335 of oil droplets with different emulsifiers (no emulsifier, oCNCs or sCNCs) demonstrated the 336 stabilizing ability of oCNCs and sCNCs. At low concentrations (10 wt% CNC/oil), oCNCs fully



Figure 4. Typical optical microscope images of linseed oil/water emulsions; (a) optical microscope image (left) and droplet diameter histogram of a 20% sCNC stabilized Pickering emulsion (right) (b) optical microscope image (left) and droplet diameter histogram of a 25% sCNC stabilized Pickering emulsion (right) (c) Linseed oil/water mixture, (d) 10% sCNC stabilized Pickering emulsion, and (e) optical microscope of a 10% oCNC stabilized Pickering emulsion.



10 µm

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Figure 5. Typical images of the stability test performed on sCNC and oCNC stabilized emulsions;
photographs of (a) linseed oil and water mixture stored for 24 h (left), and over 72 h (right), (b) 10
- 35% sCNC stabilized emulsions stored for 1 h, (c) 10 - 35% oCNC stabilized emulsions stored
for 24 h, (d) a typical optical microscope image of post-centrifugation Pickering emulsion (25%
oCNC), and (e) a typical cryo-SEM image of a post-centrifugation Pickering emulsion (25%
oCNC).

stabilized the linseed oil droplets while the sCNCs were insufficient as emulsifiers in this respect.
A significant oil residue still existed in the emulsion stabilized with 10% of sCNCs, which
presented itself as large irregular shaped droplets (Figure 4d) in contrast to small, dark CNCstabilized oil droplets. No continuous oil phase was observed for the oCNC emulsion, and small
oil droplets were uniformly dispersed in the water phase (Figure 4e).

Emulsion stability can be tested using various methods, including long time storage, centrifugation, and low-intensity ultrasonic vibration or heating.²³ Emulsions are regarded as stable if the droplets formed can resist physical changes during these tests. To compare the stability between sCNC and oCNC stabilized emulsions, long time storage at room temperature and centrifugation at a speed of 6000 rpm was applied. The samples were characterized by taking photographs, by optical microscopy, and by cryo-SEM (Figure 5).

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369 The emulsion samples were all stored at room temperature after ultrasonication. Photographs were 370 taken immediately after ultrasonication, 1 hour after, and 24 hours after, if no obvious changes 371 were observed during the 1-hour storage. The oil droplets created by ultrasonication alone were 372 unstable at room temperature. Without the emulsifying effect of CNCs, no creaming of oil droplets 373 was seen in the oil-water mixture, and they coalesced directly into a continuous oil phase and were 374 separated from the continuous water phase. A clear layer of linseed oil was found on the top surface 375 of the mixture after 24 hours (Figure 5a). An obvious creaming process was observed for linseed 376 oil droplets in sCNC stabilized samples, 1 hour after sonication (Figure 5b). For all the sCNC 377 samples, with CNC/oil ratios ranging from 10 to 35%, the oil droplets concentrated into a creaming 378 layer and floated to the upper regions of the vials (Figure 5b). The creaming was caused by the 379 low density of CNCs covering linseed oil droplets. The presence of CNCs protected the oil droplets 380 from coalescence. However, from the optical microscopy and the cryo-SEM images of the post-381 centrifugation sample (Figure 5d, e), no coalescence of oil droplets was observed for emulsions 382 stabilized with oCNCs. The thickness of the creaming layer increased gradually when the sCNC

ratio was increased from 10% to 35% (Figure 6), while the volume of the linseed oil remained
unchanged. During room temperature storage, the thickness of the creaming layers did not change



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Figure 6. Thickness of the creaming layer for sCNC stabilised emulsions stored 1 hour at room
 temperature for different CNC/oil ratios.

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389 while they became increasingly distinguishable from the lower water phase (Figure S4b, d, f, 390 Supplementary Information). Similar but faster creaming processes were observed in the emulsion 391 prepared with sodium dodecyl sulfate, in which the creaming layers were visible on the top of the 392 emulsions within 10 minutes after ultrasonication (Figure S4g). When the surfactant/oil ratio 393 increased from 20% to 35%, the thicknesses of the creaming layers were always ~3.5 mm, similar 394 to the Pickering emulsion stabilised with 10% sCNC. Increasing the concentration of sCNCs is 395 thought to be effective in impeding the close aggregation of oil droplets in the creaming layer. The 396 lower water phase, below the creaming layer, remained opaque and a few oil droplets were 397 detected by optical microscopy (Figure S5, Supplementary Information). A fast creaming process

has also been reported for bacterial CNC stabilized hexadecane/water emulsions²³, however, the
 emulsions' resistance to creaming was not discussed.

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401 All the emulsions formed using oCNCs retained stable oil droplets which were uniformly dispersed 402 in the water phase over a relatively short period of time (1 h). This dispersion of oil droplets 403 remained unchanged for another 24 hours in samples with oCNC/oil ratios greater than 10%, while 404 a creaming layer was formed in the emulsion with the lowest oCNCs content (10%) (Figure 5c, 405 S4e, Supplementary Information). The creaming layer of oCNC stabilized emulsions was ~16 mm, 406 which was much thicker than those stabilized with sCNCs (~4 mm for a 10% sCNC stabilised 407 emulsion), while the excess water phase below the creaming layer was only ~9 mm high. The 408 thickness or volume of the creaming layer illustrated the extent of the compaction of the oil 409 droplets; a thin creaming layer indicates a close packing of the droplets and vice versa. Enhanced 410 resistance to creaming both in time and physical scales was apparent for the oCNC stabilized 411 emulsions. The presence of octylamine groups is thought to delay the creaming process by 412 increasing the viscosity of the continuous phase³ and by resisting the aggregation of oil droplets through osmotic repulsive forces between CNCs⁵⁰. As measured in previous studies^{35, 36}, oCNCs 413 414 have a degree of surface functionalization (DSF) of only $4 \pm 0.1\%$, and the zeta potential remained 415 almost unchanged compared with sCNCs, so that the enhanced resistance to creaming is not 416 thought to be caused by electrostatic interactions among CNCs. In contrast, the steady flow 417 viscosity of unmodified CNC aqueous suspensions has been shown to be much lower than hydrophobized oCNC aqueous suspensions.³⁶ The amount of CNCs added in the emulsification 418 419 process exceeded the amount actually covering the oil-water interfaces, and it is thought that this 420 excess, dispersed in the continuous water phase, helped to retard the creaming process. The

421 dramatic reduction in the creaming rate and its extent shown in oCNC stabilized emulsions is 422 thought to be mostly on account of the enhanced viscosity of the oCNC aqueous suspensions, as 423 has previously been suggested.^{3, 4}

424

425 Centrifugation was conducted on the oCNC stabilized emulsions to further examine their ability 426 to resist creaming at different CNC/oil ratios. The speed of centrifugation was 6000 rpm and the 427 time set to 8 min. For all the emulsions, a small portion of oil droplets was found to be attached to 428 the inner wall of the centrifuge tubes because they were mounted inclined to the centre of the 429 instrument. During the centrifugation, the oil droplets were pressed to the wall by water which is 430 larger in mass and inertia. After centrifugation, the tubes were inverted when creaming and 431 separation between excess water and creaming layers occurred in the emulsions with CNC/oil 432 ratios less than 25%. Compared with the long-time-storage group, the excess water phase in the 433 centrifuge tubes was more transparent and the thicknesses of the creaming layers were thinner 434 (Figure S6, Supplementary Information). It was revealed that the aggregation of oil droplets under 435 high-speed centrifugation was more intense. However, for the emulsions with oCNC/oil ratios of 436 more than 25%, centrifugation at 6000 rpm was still not strong enough to outweigh the stabilizing 437 effect provided by the octylamine groups on the CNC surfaces. In these samples, there was no 438 creaming layer and a water phase separation was not observed.

439

440 Cryo-SEM was used to characterize the detailed physical changes in the morphology of oil droplets
441 during centrifugation (Figure 7). In the post-centrifugation sample, oil droplets were found to have
442 compacted closely with each other. At some of the interfaces between contacting droplets, there

- 443 was no notable coalescence, and the oil droplets maintained their spherical shape (Figure 7a).
- 444 White floccules seen in the SEM images are most likely to be frost induced in the temperature



446 Figure 7. Typical cryo-SEM images of the O/W Pickering emulsions stabilized by oCNCs (a)
447 vitrified oil droplets (b) broken droplets at the fracture surface and cavities remaining.

decrease-raise-decrease process, as has been previously reported.⁵¹ Faint images of oCNC network structures were observed on the outer surfaces of the oil droplets (Figure 7a). It is thought that individual CNCs are not visible in the hydrated state of the Pickering emulsions because of the poor contrast between CNCs and the background linseed oil and water, the small dimensions of 453

454 oCNCs/sCNCs and the resolution limit of the microscope. Clear BCN or CNC network structures 455 have been observed on the surfaces of polymerized latex particles produced from BCN or CNC 456 stabilized Pickering emulsions.^{23, 27} In these previous studies, the average length of the BCNs 457 presented on polymerized latex particles was 855 nm²³ which is much longer than the 458 oCNCs/sCNCs used in this work and therefore easier to observe. The average length of the CNCs 459 used by Zhang *et al.* was about 300 nm and the resolution of the SEM they used was much higher.²⁷ In both studies, the network structure of CNMs was observed with dried and solidified latex beads as the background. It is also worth pointing out that cryo-SEM is different to standard electron microscopy, and previous work has also shown that even individual cellulose chains at the droplet surface are not visible in non-polymerised particles using the same technique.⁵²

464

At the fracture surface of the vitrified emulsions, both unbroken and broken oil droplets were
observed. For broken oil droplets, the linseed oil had sublimated, and empty cavities therefore
remained (Figure 7b).

468

469 Droplet Characterization. The size of oil droplets was measured from optical microscope images 470 (see Figure 4). The emulsions stabilized with either sCNC or oCNC were diluted with DI water 471 before viewing, after which the distances between droplets were increased. Interval graphs of the 472 average oil droplet diameters and its reciprocal versus the CNC/oil ratio were plotted (Figure 8). 473 For each data point, more than 200 oil droplets' sizes were measured.

474

475 For the linseed oil droplets stabilized by both sCNCs or oCNCs, the average diameter decreases 476 with an increase in the CNC/oil ratio. For oCNC stabilized oil droplets, the diameter decreased to 477 a plateau of $1.6 \pm 0.02 \,\mu\text{m}$ for all the samples with CNC/oil ratios higher than 25%. A similar 478 plateau of average diameter at 2.0 \pm 0.08 μ m was found for sCNC stabilized emulsions with 479 CNC/oil ratios higher than 30%. A decrease in the average droplet area is thought to be due to the 480 lowest free energy principle, which has been previously interpreted by the "limited coalescence process".^{4, 23} During the sonication process, a much larger oil-water interface than the CNCs could 481 482 possibly cover is produced, and the area of this interface decreases in the form of a coalescence of

- 483 droplets to reduce the total free energy when the sonication is ended. Since the CNCs are adsorbed
- 484 to the interface they reduce the strong interfacial tension between the oil and the water phase⁵³.





Figure 8. (a) The average size of linseed oil droplets as a function of the CNC/linseed oil ratio in
Pickering emulsions, (b) droplet size distributions of 10% sCNC, 10% oCNC, 35% sCNC and 35%
oCNC stabilised emulsions. Data shown for both sulphated (sCNC) and octylamine (OCNC)
modified cellulose nanocrystals (CNC). Error bars are standard errors from the mean.

The shrinking of this interface and the coalescence of droplets ends when all the remaining interfaces are sufficiently covered with CNCs. Increasing the CNC concentration eventually provides more interfacial area that can be covered, and therefore fewer droplets coalesced after sonication.

496

497 The lower limit of the droplet size is thought to be predicated by the size and flexibility of solid particles²³, in this case CNCs. Without sufficient CNC particles in the continuous phase, these 498 499 small oil droplets rapidly coalesce into larger droplets and once the CNC concentration reaches a 500 critical point, enough CNC particles are adsorbed to the small oil droplets' interfaces, thereby 501 inducing an decrease in the average droplet size. For both sCNCs and oCNCs, the sudden drop in 502 droplet size happened at a CNC/oil ratio of 25%, and the lower limits of droplet size stabilized for 503 both circumstances were close, and consistent with the theoretically similar shape and size of two 504 kinds of CNCs. However, the difference in CNC surface groups may also influence the lower limit 505 of droplet size, and cause a small gap of approximately 0.5 µm between the plateau values.

506

At all the studied CNC concentrations, the average size of oCNC stabilised oil droplets was smaller than the sCNC stabilised ones. The difference between the average droplet size stabilized with sCNCs and oCNCs decreased with an increase in the CNC/oil ratio. The difference in droplet sizes is in accordance with the conclusions from previous studies^{7, 53} that particles with intermediate wettability can provide stabler emulsions with smaller oil droplets. The octylamine modified CNCs have been found to be more hydrophobic than sCNCs³⁶, and accordingly they therefore produce smaller O/W emulsion droplets.

515 The error bars (standard errors from the mean) in Figure 8a are so small that they cannot be seen 516 in the figure, especially for the oCNC stabilised samples and the samples with a high concentration 517 of sCNCs. A decreasing tendency of the standard errors from the means (SEs) is presented for 518 sCNC samples as the sCNC concentration increases. Four oil droplet diameter histograms for the 519 samples with 10% and 35% sCNC (or oCNC) / oil ratio are presented in Figure 8b demonstrated 520 the SEs, standard deviations (SDs) and mean values at each condition. Both the SEs and SDs 521 decrease with the increase in the CNC:oil ratio for either sCNC or oCNC. And oCNCs are able to 522 provide a narrower oil droplet size distribution (smaller SEs and SDs for each CNC/oil ratio) 523 compared with sCNCs, which corresponds with the size of the error bars in Figure 8a.

524

A simple estimation of the theoretical coverage of CNCs on the droplet surface can be expressedusing the equation

527

528

$$C = \frac{m_{\rm p}D}{6h\rho V_{\rm oil}} \tag{1}$$

529

where m_p is the mass of CNCs adsorbed to the interface, *D* is the average oil droplet size, *h* is the CNC thickness, ρ is the CNC bulk density, and V_{oil} is the volume of oil included in the emulsion.^{23,} The estimation was made based on several assumptions, including that all the CNCs added to the aqueous suspension were adsorbed to the water-oil interface, and the volume of the oil included in the emulsion is equal to the volume of the creaming layer after centrifugation, or to the total volume of oil added at the beginning of the experiment. However, these assumptions were not satisfied in our sCNC and oCNC stabilized samples. As shown in Figure 5b & c the volume of the 537 creaming layer was affected by the concentration and surface functional groups of CNCs, and not 538 all the linseed oil was emulsified in the low-concentration sCNC samples. The volume of oil 539 included in the emulsions with an sCNC/oil ratio of 10% or 15% was hard to estimate. More 540 importantly, our investigated CNC/oil ratio ranges from 10% to 35% by weight, much higher than the CNC/oil ratios previously investigated^{23, 27}, where the CNC coverage was estimated by 541 542 equation (1). Their calculated coverage exceeded 100% when the CNC concentration was higher 543 than 9 mg per 1 ml of oil, while the CNC concentration in this study is always higher than 93 mg 544 per 1 ml of oil (calculated from the lowest CNC/oil weight ratio: 10%, and the density of linseed 545 oil: 0.93 g/ml). Excess CNCs were dispersed in the continuous phase resisting the creaming of oil 546 droplets, therefore, the adsorbed CNC mass was hard to calculate. However, with CNC 547 concentrations higher than 93 mg per 1 ml of oil, we can estimate that the coverage of CNC at all 548 the emulsified oil droplet interfaces remained unchanged at a theoretically high value. Equation 549 (1) can be transformed to

550

551
$$\frac{1}{D} = \frac{m_p}{6h\rho V_{oil}C}$$
(2)

552

where the thickness of CNCs *h* and the density of CNC ρ are constant. Assuming the volume of oil included in the emulsion V_{oil} and CNC coverage *C* remain unchanged in all the samples, equation (2) can be simplified to the relationship

556

$$\frac{1}{D} \propto m_p \tag{3}$$

According to the reciprocal of average diameter of oil droplets, we compare the mass of CNCs adsorbed to the oil-water interface in all the samples, as shown inFigure S4.

561

562 With an increase in the CNC concentration, more CNCs are adsorbed to the oil-water interfaces 563 during the sonification process, which was predicted by the "limited coalescence process". The 564 increasing rate of adsorbed CNCs remains almost constant in the CNC/oil ratio range $10\% \sim 20\%$, 565 and suddenly there is an decrease in the droplet size at a critical point of ~25% for both sCNCs 566 and oCNCs. This sudden change is consistent with the sharply decreased droplet size shown in 567 optical microscope images (Figure 4a, b). For both CNCs, the adsorbed CNC mass should reach a plateau which is limited by the flexibility and length of the rod-like particles.²³ Similar critical 568 569 points for both CNCs is indirect confirmation that chemical modification did not affect aspect ratio 570 of CNCs. A clear plateau appeared for CNC/oil ratios above 30% for both CNC samples.

571

572 Characterisation of a Self-healing Coating

The water-based varnish was mixed with a 10% oCNC stabilised Pickering emulsion. These varnishes are typically used to protect materials like wood from degradation, and so a self-healing coating could add further functionality to this. The emulsion can resist creaming for over 1 hour (Figure 5) and the oil droplets inside are the largest among all the oCNC stabilised samples (Figure 8), which should readily fracture when a scratch is formed. The mixture formed a uniform coating on the glass slides, where all the oil droplets were well dispersed in the coating and no aggregation of oil droplets was observed under the optical microscope (Figure 9).







585 From the optical microscope images, the principle of the self-healing system is demonstrated. 586 When the coating was scratched, the oil droplets fracture allowing linseed oil to leak into the gap 587 (Figure 9a). The leaked linseed oil refracts the light which presents itself in a colour change in the 588 optical microscope. This alone indicates the fracture of oil droplets and exposure of liquid oil at 589 the scratch surface. After exposure to the air for 6 hours at a temperature of 95 °C, the linseed oil 590 oxidized and dried within the scratch, filling the gap and healing the scratch (Figure 9b). Because 591 the material at the healed scratch (oxidised linseed oil) is different from the one in the surrounding 592 areas (polyurethane varnish), the healed scratch is still visible under the optical microscope 593 because of the different optical performance of the materials. SEM was also used to detect the self-594 healing of the coating. A scalpel was used instead of a metal screw to cut the coating as it can 595 provide a regular and uniform scratch, suitable for imaging in the SEM. A conductive layer of 596 coating is needed for the imaging in the SEM, which may interfere with the leakage and oxidization 597 of the linseed oil. Therefore, the self-healing sample was only viewed under the SEM after healing 598 and another control group without any linseed oil was also scratched and used as a control. 599 Scratches were made with the same scalpel for both groups, and the control group (pure 600 polyurethane varnish on glass slide) was also put into the oven together with the self-healed 601 samples for 6 hours.





Figure 10 Typical SEM images of (a) a scratch on the pure varnish coating and (b) a scratch on
the self-healed coating after heating. The brightness of the images has been adjusted for clarity.

607 For the pure varnish coating, the width of the scalpel-made scratch is $\sim 12 \ \mu m$ (Figure 10a) and 608 both the edges and gap of the scratch are clearly visible. In comparison, the scratch can hardly be

seen on the coating with linseed-CNC droplets (Figure 10b) after healing and the existence of microscale oil droplets are also visible. The healing effect presented by SEM images concur with Wang and Zhou's study, in which an epoxy coating with polyurea-formaldehyde (PUF) capsulated linseed oil was healed at room temperature for 5 days followed by heating in an oven for 4 hours at 80 °C.⁴⁸ Our study has shown that healing can occur at similar temperatures, but with much shorter curing times.

615

616 **Conclusions**

617

618 Both sCNCs and oCNCs have been demonstrated to be able to stabilise linseed oil in a continuous 619 water phase. The grafted octylamine groups on the oCNC surfaces improved the oil droplets' 620 resistance to creaming and decreased the average size of the oil droplets. By increasing the CNC 621 concentration in the continuous water phase, the oil droplets stabilised by both sCNCs and oCNCs 622 became smaller. The decrease in size reached a plateau when the CNC/oil ratio exceeded 30%. A 623 CNC network around the oil droplets acted as a protector that prevented the oil droplets from 624 coalescing or collapsing during long-time storage and high-speed centrifugation. It was 625 demonstrated that such Pickering emulsions can be further mixed with water-based formulations 626 without inducing oil droplets coalescence. Emulsions stabilised with 10% oCNC were combined 627 with a commercial water-base varnish resulting in coatings, which exhibited ability to heal various 628 types of scratches at 95 ° in a short period of time. Thus, the approach based on Pickering emulsions 629 stabilized with hydrophobized CNCs provides an autocatalytic self-healing coating that could be 630 applied to a range of materials that need oxidative protection e.g. wood, metals etc. Given its applicability to a low-density oil like linseed, there is potential also to extend this work to otheroils.

633

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637 Author Contributions

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645 Supplementary Information

- 646 This material is available free of charge via the Internet at http://pubs.acs.org.
- 647 (Figure S1) ¹H-¹³C CP/MAS NMR spectral of CNCs; (Figure S2) NMR spectral deconvolution
- of oCNC; (Figure S3) conductivity titration results; (Figure S4) photographs of emulsions;
- 649 (Figure S5) optical microscope of oil droplet residues in a separated water phase; (Figure S6)
- 650 photographs of oCNC emulsions after centrifugation (Figure S7) reciprocal of droplet average
- 651 diameter vs CNC/oil ratio.

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654 nanomaterials in Pickering emulsions.

655

656 ABBREVIATIONS

- 657 Abbreviation: O/W, oil in water; CNM, cellulose nanomaterial; MC, methyl cellulose; HPMC,
- 658 hydroxypropyl methyl cellulose; BCN, bacterial nanocrystal; CNC, cellulose nanocrystal;
- 659 AcCNF, acetylated cellulose nanofibril; MMA, methyl methacrylate; sCNC, sulfated cellulose
- 660 nanocrystal; oCNC, octylamine cellulose nanocrystal; DBSA, dodecylbenzenesulphonic acid; DI
- 661 water, deionized water; FTIR, Fourier transform infrared; TEM, transmission electron
- 662 microscope; SEM, scanning electron microscope; DSF, degree of surface functionalization;
- 663 NMR, nuclear magnetic resonance; AFM, atomic force microscopy.

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