

1 **Personal attitudes and beliefs and willingness to pay to reduce marine plastic pollution in**
2 **Indonesia**

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7

8 **Abstract**

9 Marine Plastic Pollution (MPP) is one of the most pressing issues especially for fast-growing economies
10 in the Global South where addressing it involves both government and personal actions to achieve
11 effective waste management policies. Alternative modelling strategies accounting for personal traits
12 and beliefs (latent attitudes) which are unobservable characteristics are frequently overlooked in
13 policy assessment studies. This study combines contingent valuation and latent traits questions to
14 derive the willingness of Indonesian respondents to support MPP mitigation initiatives. One and two-
15 step models are compared to test the sensitivity of results to modelling assumptions. Latent traits help
16 to understand the willingness to pay (WTP) for MPP and one and two-step approaches produce
17 comparable results. On average respondents are willing to pay £15, per person, per year to reduce
18 MPP, or 2% of the average monthly salary. Local and international organizations should consider
19 motivations and latent traits when designing MPP mitigation strategies.

20 **Keywords:**

21 Marine Plastic pollution; contingent valuation; principal component analysis; structural equation
22 modelling; New Ecological Paradigm; behavioural norms;

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29

30 1. Introduction

31 Coastal areas suffer from multiple environmental impacts (e.g. urbanization) but solid waste pollution
32 is currently receiving a lot of attention. Solid waste pollution affects rivers and ends up in oceans and
33 of that waste, plastics represents the majority of litter in oceans (Moore, 2008) and the post-pandemic
34 world might see a significant worsening of this trend (Klemeš et al., 2020, Vanapalli et al., 2021).

35 Marine plastic pollution (MPP) is a rising issue across the world as 300 million tons of plastics are
36 produced each year and it is estimated, that about 150 million tons of plastics are currently in oceans
37 (Gourmelon, 2015). From the 1960 to 2000 plastics in seas and oceans have increased by a factor of
38 25 and now represent between 60-80% of all waste in oceans (Moore, 2008), while accounting for up
39 to 100% of floating debris (Galgani et al., 2015). It is estimated that between 1.15 to 2.41 million
40 tonnes of plastics are annually dumped into oceans. Rivers, that flow through areas where 36% of the
41 global population lives, are mainly responsible for this pollution (Lebreton et al., 2017). On their way
42 to oceans, plastics also accumulate in riverbanks, primarily in river mouths and downwind sides
43 (Gasperi et al., 2014, Rech et al., 2014). Once plastics reach the ocean, ocean currents and tides
44 transport them either back to shores or towards ocean current systems where they form systems
45 known as gyres which sometimes increase debris accumulation or accentuate their dispersal
46 (Ingraham and Ebbesmeyer, 2001).

47 The reduction of MPP requires society-wide changes such as promoting recycling and discouraging
48 consumption and production of products with low recyclability and increasing the efficiency in
49 disposal of waste (Abbott and Sumaila, 2019). At the same time, national, regional and local
50 governments might promote targeted initiatives to influence perceptions and culture of littering
51 (Hartley et al., 2018) and promote beach and seabed clean-ups (Moore, 2008); bans of carrier bags
52 (Xanthos and Walker, 2017); industry reuse of plastic materials (Moore et al., 2005) and individual and
53 collective voluntary actions (Löhr et al., 2017). Such actions can be financially supported either by
54 individual donations (Shah et al., 2017) or by changes in consumer behaviour (Zahedi et al., 2019). To
55 capture such changes in behaviour in monetary terms, the use of the Willingness to Pay (WTP)
56 approach has been employed considerably in studies valuing environmental protection (Mitchell and
57 Carson, 1989) and particularly in studies examining pollution abatement (Tyllianakis and Skuras,
58 2016). This study contributes to the willingness to pay literature for MPP, reporting the results of a
59 valuation study conducted in Indonesia and estimated with two alternative approaches to verify the
60 impact of individual unobservable characteristics.

61 Asian rivers represent the world's top-polluting waterways and carry more than 90% of plastics into
62 the oceans (Lebreton et al., 2017). The two most polluting countries are Indonesia and China (Shuker
63 et al., 2018). However, since 2008 China has introduced a fee on plastic bags while Indonesia is still
64 developing nationwide interventions¹. Indonesia is the second-largest global emitter of plastics in the
65 oceans (Lebreton et al., 2017). Shuker et al. (2018) report that the coastal population in Indonesia
66 generates annually 3.22 million tons of waste, mainly comprising of plastics (buoyant or sinking), that
67 are not currently adequately managed, resulting in 0.48 – 1.29 million metric tons of MPP. Lebreton
68 et al (2017) report that just four rivers in Indonesia emit annually roughly 200,000 tonnes of plastics

¹ In 2020 a plastic bags fee was introduced in Indonesian major cities as Balikpapan and Bali. Source:
<https://www.thejakartapost.com/news/2020/07/01/jakarta-begins-new-chapter-in-plastic-waste-reduction.html>

69 in the ocean, which is 14.2% of the global plastic pollution. Waste mismanagement and weather
70 events are identified as the drivers of exacerbating MPP in Indonesia (Lebreton et al 2017).

71 To address MPP and lacking waste management practices, the Government of Indonesia has pledged
72 in its Long-Term Urban Development Plan (2015-2045) to provide access to sanitation practices to all,
73 including solid waste. Currently the level of recycling represents only 15% of the total country waste
74 and, currently, the recycling strategy is not formally regulated (Shuker et al., 2018). Shuker et al report
75 that the waste management annual spending amounts to US\$ 5-6 per person, per year which is not
76 enough to cover the needs of waste collection either land-based or waterborne (international
77 averages consist of US\$ 15-20 per person, per year). Shuker et al also highlight that Indonesia lacks
78 operational funding to cope with the waste generated by its growing population, as well as
79 urbanisation (Mitchell, 1994) and tourism (Syakti et al., 2017). The lack of a clearly defined
80 governmental department responsible for country-wide waste management also further impedes
81 reduction of waste (Shuker et al., 2018). Recently, Indonesia was one of the signatory countries of an
82 ocean sustainability initiative that pledged to reduce MPP by moving to a circular economy, within the
83 next 10 years (Stuchey et al., 2020). To meet such goals, a combination of government and private
84 initiatives are urgently required and few private initiatives to incentivise waste collection at the
85 neighbourhood level are already in place (Wijayanti and Suryani, 2015).

86 Economic impacts of MPP in Indonesia have been limited studied with few examples on beach surveys
87 either in large population centres such as the Kuta beach in Bali (Husrin et al., 2017), Jakarta Bay
88 (Willoughby et al., 1997) or in unmanaged islands in the Pulau Seribu Archipelago (with MPP located
89 there originating though from large population centres such as the city of Jakarta according to
90 Unepetty and Evans, 1997). Hermawan et al (2017) estimated that in 2016 the commercial cost of
91 floating plastic debris in the South Sulawesi province caused annual damages to fishing vessels of 193
92 million Indonesian rupiahs (IDR) and 156 million IDR damages to fishing gear (£10 thousand and £8
93 thousand, accordingly).

94 Despite the growing literature on the economic impact of MPP on the economy, few studies focus on
95 the global south and individuals' interest and attitudes towards MPP. Studies from the Global North
96 on MPP exist but they limit the attention to the monetary estimates of the willingness to pay and not
97 on the intrinsic motivation that drive citizens' choices for plastic (e.g., Loomis and Santiago 2013,
98 Brouwer et al 2017). The relevance of behavioural norms in explaining willingness to pay has been
99 proved for other public goods (e.g., Cooper et al 2004; Oleja and Loureiro, 2007) but relatively less for
100 MPP. Abate et al. (2020) provide monetary measures to reduce MPP in Norway and include attitudinal
101 questions to stress the importance to accommodate latent traits into economic valuation estimates.

102 Our paper focuses on the Global South and, similarly to Abate et al (2020), aims to study the
103 willingness to pay and the behavioural attitudes of Indonesian respondents for policies that mitigate
104 macro-plastic pollution. Our study focused on visible macro plastics defined by the UNEP (2009) as
105 "fishing nets, consumer goods, such as plastic bags, plastic bottles, plastic packaging, [..]; nappies;
106 smoking-related items, such as cigarette butts, lighters and cigar tips". Differently from Abate et al
107 (2020) our paper compares different empirical approaches to accommodate latent traits in stated
108 preference studies.

109 The paper is organized in a brief literature review (Section 2), a presentation of the different modelling
110 approaches used and data collected (Section 3) while results and conclusions are presented in the
111 subsequent sections.

112 2. Literature review

113 The literature on the economic values people place on MPP mitigation is limited and primarily focused
114 on Western countries. By the nature of the research question (“how much is MPP mitigation worth to
115 you?”) the literature has been employing Stated Preference (SP) methods such as the Contingent
116 Valuation Method (CVM) and the Discrete Choice Experiment (DCE). These methods are based on
117 surveys where hypothetical markets or scenarios can be described and via a set of well-designed
118 questions, researchers can infer respondents’ preferences and values (Johnston et al., 2017).
119 Nevertheless, SP methods are sensitive to the quality of the survey design, survey scope and
120 dissemination as well as case study-specific cultural and institutional limitations, however guidelines
121 and best practice exist to produce valid and robust results (Johnston et al., 2017). SP findings have
122 been used during litigation proceedings (Bishop et al., 2017), policy decision making and research since
123 the early 90s (Stevens, 2005; Carson, 2012).

124 The literature on SP surveys on MPP reduction has been limited but growing in the last few years. For
125 example, Loomis and Santiago (2013) adopt both CVM and DCE to calculate how much beach goers of
126 five beaches in Puerto Rico are, on average, willing to pay (WTP) to reduce MPP. The average per day
127 spent on a beach is around 100 USD (CVM produced 103 USD and DCE 98 USD in 2011 price levels).
128 Recently, Brouwer et al. (2017) measured the WTP of beach visitors for removing plastic litter from
129 beaches in three European countries (Greece, Bulgaria and the Netherlands) using a DCE. Findings
130 show that people are willing to pay between EUR 0.67 (Greek sample) and EUR 8.25 (Bulgarian sample)
131 per beach visitor, per year to remove plastic litter washed ashore in beaches from the sea and plastic-
132 containing cigarette butts. Choi and Lee (2018) adopted a CVM to determine that the WTP for
133 removing microplastics in Seoul in South Korea is USD 2.59 per person, per year. Finally, the two most
134 recent studies used also a CVM to measure WTP of respondents for mitigating MPP. The first is Abate
135 et al. (2020) where Norwegian participants are reported to be willing to pay, on average, NOK 5,485
136 (USD 642) per person, per year to mitigate MPP in the archipelago of Svalbard in the Barents Sea while
137 Zambrano-Monserrate and Ruano (2020) find a median WTP of USD7.65, per person, per year of
138 Ecuadorians to reduce plastic pollution in the Galapagos Islands. Börger et al., (2020) developed a DCE
139 to assess the relevance of different coastal and marine problems in Vietnam and they derive that the
140 WTP for plastic waste collection is the most valuable coastal management service. They model
141 respondents’ heterogeneity but they do not formally include latent traits. Available monetary
142 estimates in this literature are very diverse and span from a dollar to over 500 USD and the evidence
143 from the global South most polluting countries is minimal, with Loomis and Santiago (2013) and
144 Zambrano-Monserrate and Ruano (2020) being the only Global South studies. The variability in the
145 range of values and the absence of studies in countries with heavily polluted waterways therefore
146 merit examination.

147 Contrary, in the tradition of understanding the motivations behind decisions related to the provision
148 of public good, combining latent traits (individual attitudes and beliefs that are unobserved to the
149 researcher but can be approximated with a series of variables, usually pertaining to attitudinal and
150 behavioural characteristics) and WTP is quite well developed (Kotchen and Reiling, 2000, Cooper et

151 al., 2004, Oleja and Loureiro, 2007, Liebe et al., 2011). Different sets of values such as biospheric
152 values (demonstrating keen concern on the state of the environment) and altruism (putting the
153 interests of others above their own and being genuinely concerned about others' well-being) (Steg et
154 al., 2014) can influence people perceptions, behavioural norms and WTP.

155 Several theories exist on how individual's attitudes and beliefs predict behaviour such as the Value-
156 Belief-Norm theory (Stern, 2000) and the Theory of Planned Behaviour (TPB) (Ajzen, 1991). The Value-
157 Belief-Norm theory of environmentalism suggests that the "activation" of norms precedes behavioural
158 actions (Stern et al., 1999). TPB assumes that there are three types of beliefs that explain human
159 behaviour: behavioural beliefs (behaviour that leads to an outcome); normative beliefs (behaviour
160 due to what other people think); and control beliefs (behaviour based on beliefs that respondents
161 possess enough knowledge and skill to behave in a certain way) (Brown et al., 2010). Regarding
162 environmental beliefs and attitudes, scales of attitudinal questions such as the New Ecological
163 Paradigm (NEP) (Dunlap et al., 2000) have been extensively used. The NEP scale, in particular,
164 measures the endorsement of an ecological worldview (captured by statements encompassing the
165 existence of ecological limits and human growth, the importance of a balance existing between nature
166 and humans, and statements rejecting the view that nature exists primarily for human use and it is
167 valuable only if it has any human use) (Dunlap, 2008).

168 The assumed relationship between attitudes, beliefs and WTP is that when a respondent states their
169 WTP they are also detailing a behavioural intention (Choi and Fielding, 2013) and that latent traits play
170 a role on WTP (Spash et al., 2009, Meyerhoff, 2006), although findings provided a mixed message
171 (Spash et al., 2009, Kahneman and Knetsch, 1992, Cooper et al., 2004). The divergence in the literature
172 has been attributed to the existence of both use and non-use values in public goods/services such as
173 biodiversity protection and water improvements (Tab. 1). In terms of pollution abatement and
174 mitigation there is scant evidence on how latent traits and WTP relate, especially when the
175 management of MPP is under scrutiny. We claim that this information is crucial to design effective
176 waste management policies in Asia.

177 Latent traits cannot be directly included in standard WTP regressions as they induce endogeneity
178 issues (Czajkowski et al., 2017) but if not accounted for the WTP results might be biased and of limited
179 public use (Hess and Beharry-Borg, 2012). Therefore, in the literature what prevails in modelling latent
180 traits and WTP responses is what can be called the "two-step approach". These approaches employ
181 either Principal Components Analysis (PCA) or Factorial Analysis (FA) and incorporate attitudinal
182 variables scores directly in the WTP regression analysis (e.g., Cooper et al., 2004; Halkos and Matsiori,
183 2018; Grilli et al., 2021).

184 What is not prevalent in the literature are what we call "one-step approaches" where, by the use of
185 Structural Equation Models (SEM) latent traits are estimated jointly in the WTP regression. Of the only
186 examples in the relevant literature, Meyerhoff (2006) estimates how much latent attitudinal traits
187 moderate WTP. Abate et al (2020) also present a SEM although they called it as Integrated Choice and
188 Latent Variable model.

189 The literature in Table 1 focuses on CVM studies alone and not CE studies and mainly features the
190 use of TPB theory and the NEP scale.

191

192 *Table 1 Review of contingent valuation studies that include latent traits*

Study	Country	Public good	One-step	Method description	Scale used
Halkos and Matsiori (2018)	Greece	Coastal zone improvements	No	PCA	NEP (15 items)
Abate et al., (2020)	Norway	MPP reduction	Yes	SEM	Study-specific scales for CONCERN and EFFECT,
Cooper et al (2004)	UK	Water quality improvements	No	FA	NEP (15 items) and altruism scale (6 items)
Oleja and Loureiro (2007)	Spain	Biodiversity protection	No	FA	General Awareness of Consequences scale (GAC, 9 items)
Spash et al (2009)	Scotland	Biodiversity restoration	No	FA	TPB (13 items)
Aldrich et al. (2007)	US	Biodiversity protection	No	CA	NEP (15 items)
Kotchen and Reiling (2000)	US	Biodiversity protection	No	FA	NEP (15 items)
Liebe et al (2011)	Germany	Forest biodiversity increase	No	FA	TPB (6 items) and scales measuring the <i>Theory of Public Goods, Environmental Concern, Norm-activation model</i> and <i>Altruistic/moral Behaviour.</i>
Meyerhoff (2006)	Germany	Riparian ecosystem protection	Yes	SEM	NEP (8 items) and TPB (13 items)

Note: PCA=Principal Component Analysis, SEM=Structural Equation Modelling, FA=Factorial analysis

193

194 The literature review reveals that most previous studies utilise the two-step method but statistically
195 this is not a superior model to incorporate latent traits into WTP. In this study we apply systematically
196 the one and two-step approach to consider whether estimates remain stable. The environmental
197 attitudes of respondents are captured through the well-established revised NEP scale (Dunlap et al.,
198 2000) while personal attitudes and awareness of consequences from plastic pollution are expressed
199 through a novel scale called PLASTIC which incorporates statements from Hartley et al (2018) and
200 elements of Shuker et al., (2018) to determine respondents' motivations and awareness with respect
201 to WTP for reducing MPP.

202 3. Materials and Methods

203 The questionnaire was designed to capture Indonesian attitudes and willingness to pay through the
204 CVM. WTP can encompass use and non-use values for cleaned beaches and riverbanks and a new
205 waste management plan was the service of interest (Basili et al. 2007). CVM can produce valid and
206 reliable WTP estimates when bundles of goods and services are under consideration and in this case
207 environmental direct and indirect use and non-use benefits were included (Bateman et al., 2008). CVM
208 surveys are traditionally designed following Mitchell and Carson (1989) and lately Johnston et al
209 (2017)'s guidelines. The method prescribes that one hypothetical scenario is presented to respondents
210 with detailed information about the changes from the current situation (the *status quo*). In our case
211 the new plastic collection and management strategy (W) is presented as alternative to the current –
212 do-nothing situation. The survey participant *i*, faces two options supporting the plan new W (W_1) or
213 preferring the status quo (W_0). The preference for W_1 implies paying for the waste fee ($b - this is a$

214 *vector of fee prices*). The respondent's unobservable utility for the two alternatives (j) is characterized
 215 as:

$$216 \quad U_i = U_i(X_i, W_j) \quad (1)$$

217 where X_i is a vector of respondent-specific characteristics and traits. The respondent assigns a utility
 218 level to the two options ($j= W_1, W_0$) and reveals her preference. The analyst cannot observe the
 219 respondents' utility (U) but just a function of observable characteristics (V_{ij}) and the error term u_{ij} .
 220 The probability of supporting W_1 is:

$$221 \quad \Pr(\text{Yes}/b) = \Pr[V_{W_1}(X_i, b) + u_{iW_1} > V_{W_0}(X_i) + u_{iW_0}] \quad (2)$$

222 where $V_{i|W_1}$ is the indirect utility respondent i enjoys under the new waste management plan and
 223 paying b to get it while $V_{i|W_0}$ is their indirect utility when respondent i prefers the status quo and
 224 rejects the bid amount. Assuming that error terms are (u_{ij}) independent and identically distributed
 225 (*i.i.d.*) and follows a normal distribution, the probability of accepting the bid amount for respondent i
 226 can be written as a binary probit model:

$$227 \quad \Pr(\text{yes}|X_i, b) = \Phi\left(\frac{\beta X_i}{\sigma} - \frac{\delta}{\sigma} b\right) \quad (3)$$

228 with Φ denoting the cumulative standard normal distribution, σ the standard deviation from the mean
 229 and β and δ being parameters to be estimated. In a standard CVM approach, the vector X is
 230 characterized by only observable variables (e.g., income, age, etc.). However, to explicitly account for
 231 the latent traits, the researcher can disentangle the vector X in observable traits (OT) (e.g. age, income
 232 etc.) and latent traits (LT) which can be measured by attitudinal questions and different behavioral
 233 models (e.g., NEP).

234 Once latent traits are available, we can adopt a two-step approach as described by the majority of
 235 studies in Tab.1 (e.g. Halkos and Matsiori, 2018; Cooper et al 2004). Therefore Equation 3 becomes an
 236 expanded probit model as:

$$237 \quad \Pr(\text{yes}|OT_i, LT_i, b) = \Phi\left(\frac{\beta}{\sigma} OT_i + \frac{\gamma}{\sigma} LT_i - \frac{\delta}{\sigma} b\right) \quad (4)$$

238 where each element (k) of the LT vector, which was measured by a set of Likert scale attitudinal/beliefs
 239 questions, can be measured through indicators:

$$240 \quad I_k^m = \zeta_k^m l t_i + \varepsilon_k \quad (5)$$

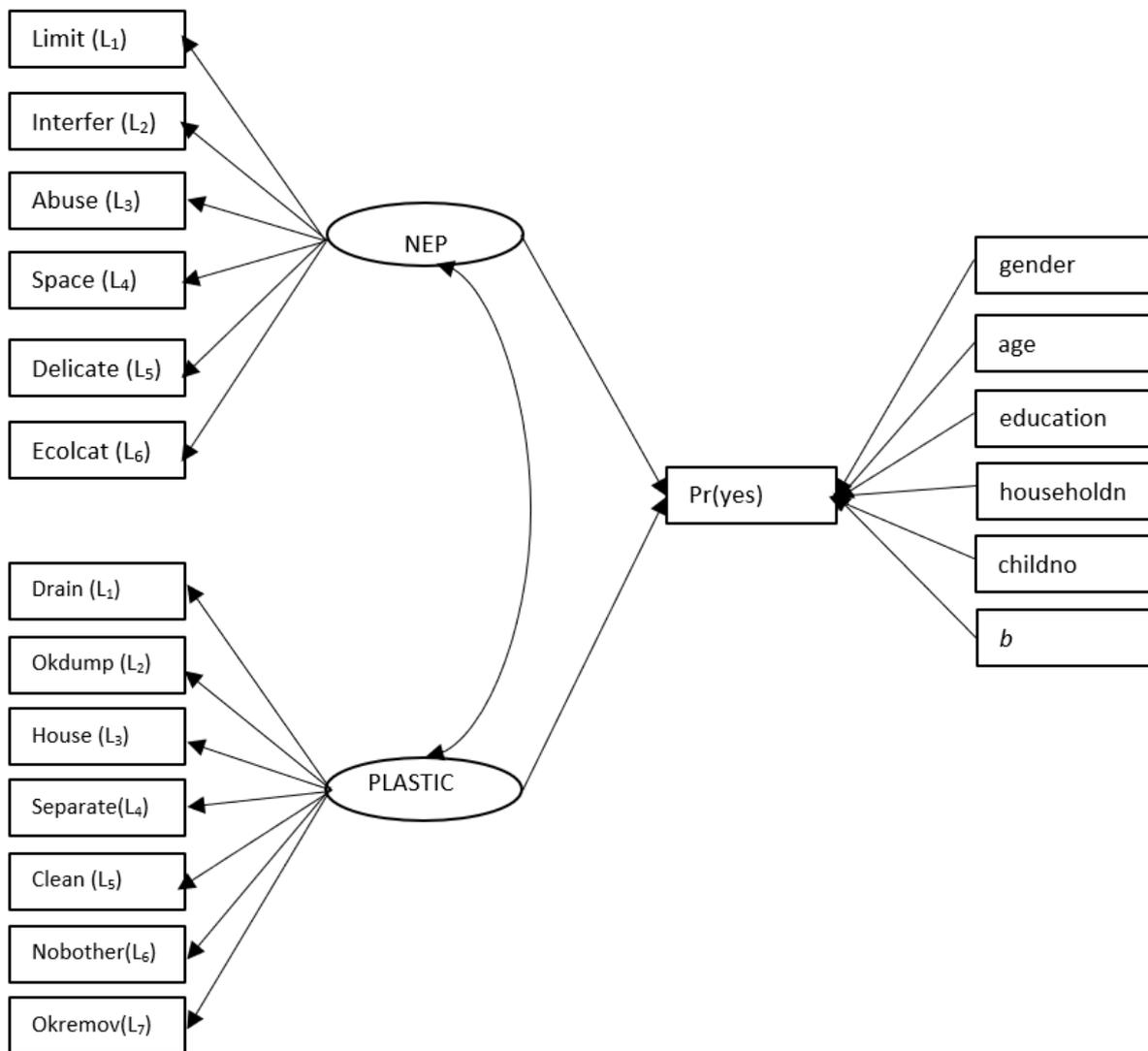
241 with ζ_k^m being the coefficient specific to latent variable k and the behavioural indicator m , and ε_k
 242 denoting the error term. These I_k^m are typically analyzed using multivariate techniques which reduce
 243 the $k=1, \dots, K$ latent traits into scores (either a factorial analysis or a principal component analysis) which
 244 can be directly included in Eq.4. In this approach, initially we model the indicators and then these
 245 measured are included in the expanded probit model estimation. Coefficients of Eq 3 and 4 are
 246 estimated with the standard likelihood function estimator.

247 Contrary, the one-step approach (e.g., Abate et al. 2020) follows the Structural Equation Modelling
 248 (SEM) approach where the latent traits are jointly modelled with the WTP responses:

249

$$\left\{ \begin{array}{l} I_k^m = \zeta l t_i + \varepsilon_k \\ \Pr(\text{yes} | OT, b) = \Phi \left(\frac{\beta}{\sigma} OT_i - \frac{\delta}{\sigma} b \right) \end{array} \right. \quad (5)$$

251 The one step model adopted in this paper is outlined in Fig. 1. SEM models appear more efficient in
 252 statistical terms to estimate WTP but the majority of studies in environmental economics (see Table
 253 1) have been choosing the two-step approach. Our research question therefore becomes: is this
 254 assumed efficiency significant and worthy of consideration?



255

256 Figure 1: Conceptual path diagram to explain the influence of unobserved attitudes in willingness to
 257 pay to mitigate marine plastic pollution.

258 To capture the environmental attitudes of participants, 6 items from the revised 8-item NEP scale
 259 (Dunlap et al., 2000) were used. Similar to Hultman et al. (2015) and Grilli et al. (2021), two statements
 260 were chosen to represent each of the NEP topics directly influenced by MPP, namely the reaching

261 ecological limits, balance between humans and nature and the treat of an ecological catastrophe.
262 Personal norms and awareness of consequences from plastic pollution, following the approach of
263 Jakovcevic and Steg (2013) were also assessed. The statements used were adapted from the MPP-
264 specific questions used in the Indonesia-wide study of Shuker et al (2018). Statements included from
265 Hartley et al (2018) focused on behavioural intentions while the statements influenced by the survey
266 of Shuker et al. (2018) intended on capturing problem awareness and concern. The list of statements
267 and their analysis appear in the following section.

268 3.1. Questionnaire design

269 The questionnaire was designed for online survey dissemination and was organized in five sections.
270 The first section included a short description of the current state of plastic waste in rivers and oceans
271 in the country along with current practices that aim to address the issue of MPP.

272 The valuation section came next where the scenario presented to the participants was that an
273 independent organization is set up to support local governments in collecting and disposing plastic
274 waste from beaches and riverbanks. Trash racks (installed by local authorities in waterways that
275 screen buoyant waste) and waste banks (a voluntary, neighborhood-level means of waste
276 management) are the two mechanisms to reduce MPP². The questionnaire then proceeded asking the
277 financial support for the new organization through an annual donation. The choice of a voluntary
278 donation was preferred over that of an increase in waste collection fees as waste collection is not
279 available across the country (Shuker et al., 2018) and the trust of respondents to governmental
280 organizations is quite diverse across the country. Finally, due to the presidential elections taking place
281 during the survey period, the use of waste fees increase as a payment vehicle could have increased
282 protest responses. The respondents' WTP was captured with single-bounded dichotomous choice³.
283 Payment bids were equally distributed in five bids, with the country's average monthly waste
284 collection fee used as the mean value. Bids were £5, £8, £11, £14 and £16⁴. A set of data control
285 questions were asked to identify protesters following Johnston et al. (2017) and using the specific
286 questions provided by McFadden and Train (2017).

287 In the last section, the questionnaire presented with the six NEP statements capturing the
288 environmental orientation of Indonesians and how they perceive the natural environment following
289 Dunlap et al. (2000). Seven statements on personal norms and awareness of consequences from
290 plastic pollution were also presented. Finally, the questionnaire concluded with a series of socio-
291 demographic questions.

292 3.2. Data collection strategy

293 A balanced sample in terms of gender of nearly 1000 Indonesians was secured via the online survey
294 company [Qualtrics](#). Internet-based samples are getting a prominent role in low-middle income

² Honingh (2018) presents trash racks as the largest scale of available means of waste management in Indonesia, although trash racks are also linked to blockage of waterways, sedimentation and eventually, increased frequency of flooding due to them over-accumulating of waste. In turn, Wijayanti and Suryani (2015) note that waste banks achieved a reduction in landfill waste in Surabaya, the second-largest city in Indonesia of up to 7,14 tons per week between 2008 and 2013.

³ This format is considered incentive compatible (Carson and Grooves, 2007) and recommended by recent guidelines and adopted by eminent experts (e.g., Bishop et al., 2017).

⁴ All payment bids were presented in Indonesian rupiah, here converted to British pounds. During the time of the survey, £1 = 0.000054 rupiah.

295 country research and McFadden and Train (2017, p. 166) state that “professional” subjects who
296 receive compensation and incentives due to their participation in online panels are more likely to give
297 consistent responses and pay attention to the research questions. The quota sample collected
298 mimicked the representativeness in terms of gender and age across the country, in accordance with
299 the latest available country Census (2010). The questionnaire was translated by a native speaker and
300 made available both in Bahasa Indonesian (the official language) and English. The questionnaire was
301 pretested with 96 participants in January 2018 which confirmed the appropriateness of the payment
302 vehicle and allowed for improving the framing of the attitudinal questions in the last section.
303 Statements were phrased in more direct ways as is deemed appropriate in other studies in the country
304 (e.g., Fossati, 2019). The final online survey returned 822 complete responses and the major response
305 areas can be seen in Figure 2.

306 As MPP is a shared problem, all actors of a society are expected to act in support of its mitigation.
307 Accounting for those that were not willing to support MPP mitigation options was imperative. Genuine
308 zero bidders were retained and protesters were removed from the analysis, since the latter responses’
309 truthfulness and validity is questionable (McFadden and Train, 2017). Protestors were identified as
310 those who stated “No” in the WTP question and offered one of the following reasons for doing so:
311 “There are enough things I pay money for, I have no interest/use of paying extra money”, “Cleaning
312 the environment is the responsibility of local authorities and they should pay for it, not me” and “I am
313 not interested in paying anything about the natural environment”. Most the respondents were willing
314 to pay for the new MPP management service (16% of the sample was against this scenario and the
315 main reasons were: not having enough to pay, they pay enough already and that it is the government’s
316 job to pay for MPP reduction were the prevailing responses). The quality of responses was also
317 assessed by identifying “speeders” (those taking have the median time to complete the survey) and
318 those taking more than the 4-times the sample’s median time to go through the survey as well as if
319 their geo-IP came from another country. This resulted in 751 valid responses being retained for
320 analysis. Respondents from 33 Indonesian provinces are captured in this analysis (Figure 2).

321



322

323 Figure 2: Areas where online responses originated from, according to their geoIP

324 **4. Results**

325 Main respondents' characteristics are in Tab. 2 and, overall, they mimic the latest published census
 326 statistics (2010) with respect to gender (50.5% to 49.5% male to female split) and age (measured in
 327 economically active individuals, as those are expected to be able to contribute financially in the
 328 survey). In terms of age, the 18-24 group in the sample is 17% over 14% in the 2010 census, the 25-34
 329 group is 20.2% in the sample compared to 28% in the 2010 census while the over 55 age group is
 330 18.75% in the sample over 14% in the census. The sizes of rest of the age classes (35-44 and 45-54)
 331 are identical with those reported in the 2010 census, leading to an average participant age of 38.9
 332 while that of the adult population reported in the 2010 census data can be approximated to an
 333 average of 35. Several responses came from large population centres in East Indonesia where
 334 population tends to be younger and Internet penetration is higher than in rural areas (Sujarwoto and
 335 Tampubolon, 2016) as can be seen in Figure 2, which can also explain the high number of university
 336 degree holders in the sample, along with the high internet penetration. Overall, despite the lack of
 337 equal access to internet, the sample closely resembled the 2010 census distribution as the survey
 338 company can always guarantee quota samples as they hold a large number of respondents to invite.

339 *Table 2 Main descriptive statistics of the sample*

Variable	Description	Mean (st.dev)[%]
<i>gender</i>	Gender of the respondent, 1= male, 0=female	0.50 (0.50)
<i>age</i>	Age of respondent	39 (14)
<i>education</i>	Education level: Elementary school Middle School High School Associates Degree University first stage University second stage University third stage	0.35 1.98 24.94 10.26 53.96 6.99 1.52
<i>Yearly income (in £)</i>	Annual income of the respondent, continuous *	4062.40 (2188.44)
<i>household_members</i>	Number of household members	4.05 (1.32)
<i>number_of_children</i>	Number of children under 18 living in the house	2.48 (1.10)
*All bid amounts are reported in pounds, but were collected in Indonesian rupiah		

340

341 **4.1. Environmental attitudes:**

342 In almost all statements in the NEP scale, respondents showed strong concerns for the ecological state
 343 of the environment, apart from believing that the planetary resource boundaries are being pushed.
 344 No differences between women and men regarding high-scoring responses (those selecting
 345 consistently “Describes me a little” and “Describes me a lot” in all statements) in the scale existed in
 346 the sample, with the two-sample Kolmogorov-Smirnov tests rejecting this hypothesis.

347 *Table 3 Responses in the New Ecological Paradigm scale questions*

NEP statements	Does not describe be at all	Does not describe me	Neither does or does not describe me	Describes me a little	Describes me a lot
We are approaching the limit of the number of people the earth can support	2%	12%	18%	37%	31%

When humans interfere with nature it often produces disastrous consequences	1%	1%	3%	18%	77%
Humans are severely abusing the environment	2%	4%	7%	34%	54%
The earth is like a spaceship with very limited room and resources	2%	3%	8%	31%	56%
The balance of nature is very delicate and easy to upset	1%	2%	8%	30%	59%
If things continue on their present course, we will soon experience a major ecological catastrophe	1%	2%	5%	23%	70%

348

349 **4.2. Behaviour in relation to MPP:**

350 In these questions respondents demonstrated heightened levels of awareness and understanding of
351 how the issue of MPP is unfolding. Respondents showed good understanding of the origins of MPP
352 and its persistency (e.g., second statement). As with the NEP scale, no differences between women
353 and men were found in the sample for those who demonstrate increased understanding of the issue
354 of plastic pollution (those selecting consistently “Doesn’t describe me at all” and “Doesn’t describe
355 me” in all 7 statements), with the two-sample Kolmogorov-Smirnov tests rejecting this hypothesis.
356 Nevertheless, respondents appear to not entirely understand that MPP is a problem deeply rooted in
357 the way modern societies operate, as recycling or plastic waste removal is not the ultimate solution,
358 as seen by the varied responses in the final statement. This generates some questions on how the
359 advanced the understanding of the intricacies of the MPP issue is in the Indonesian public.

360 *Table 4: Responses in the PLASTICS scale*

Behaviour in relation to dealing and managing plastics (PLASTIC)	Doesn't describe be at all	Doesn't describe me	Neither does or doesn't describes me	Describes me a little	Describes me a lot
Since waste operators do not come regularly where I live, I have no option than dumping waste in the drain	84%	10%	2%	2%	1%
Waste thrown indirectly in the ocean through the rivers or directly into the ocean is not a problem as trash is taken away by the sea	86%	9%	2%	1%	2%
It is very difficult to keep the area outside my house clean and I have to throw some waste in the drain	86%	9%	2%	1%	2%
Separating waste (for example, plastics from metal) is a waste of time as the bins are always full	59%	23%	10%	5%	3%
It is more important to have a house clean of waste than rivers and oceans free of waste	58%	22%	9%	6%	5%
I am not bothered by plastics in rivers, oceans or on beaches and riverbeds	78%	12%	4%	3%	2%

By removing the plastics from rivers and oceans the problem of waste is solved permanently	19%	18%	24%	20%	19%
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361

362 The 13 NEP and behavioural and awareness MPP latent traits were jointly included in a PCA (with the
 363 Kaiser-Meyer-Olkin (KMO) measure of sampling adequacy being “very good” with an 0.84 average for
 364 all statements while no statement had a KMO lower than 0.80, showing good sampling adequacy).
 365 The first statement from NEP (*We are approaching the limit of the number of people the earth can*
 366 *support*) and the last statement of the suggested PLASTIC scale (*By removing the plastics from rivers*
 367 *and oceans the problem of waste is solved permanently*) were removed as during exploratory analysis
 368 of the data they showed low construct validity. The 11 remaining scoring coefficients can be seen in
 369 Table 5. From the results, the statements from NEP clearly are represented in the second component
 370 while the PLASTIC statements are grouped in the first component and are named accordingly.

371

Table 5: Factor loadings from the Principal Component Analysis of the 11 statements, with a varimax rotation.

Statements	PLASTIC	NEP
When humans interfere with nature it often produces disastrous consequences	0.01	0.43
Humans are severely abusing the environment	-0.03	0.45
The earth is like a spaceship with very limited room and resources	-0.02	0.39
The balance of nature is very delicate and easy to upset	-0.02	0.46
If things continue on their present course, we will soon experience a major ecological catastrophe	0.04	0.49
Since waste operators do not come regularly where I live, I have no option than dumping waste in the drain	0.43	0.01
Waste thrown indirectly in the ocean through the rivers or directly into the ocean is not a problem as trash is taken away by the sea	0.44	-0.02
It is very difficult to keep the area outside my house clean and I have to throw some waste in the drain	0.45	-0.02
Separating waste (for example, plastics from metal) is a waste of time as the bins are always full	0.36	-0.01
It is more important to have a house clean of waste than rivers and oceans free of waste	0.40	0.03
I am not bothered by plastics in rivers, oceans or on beaches and riverbeds	0.36	0.02

372

373 The dichotomous choice responses and behavioural and awareness statements were modelled in
 374 three ways:

- 375 • the standard WTP model including OT variables (only socio-demographic characteristics) but
 376 ignore latent traits, using Eq.4.
- 377 • two-step approach including OT variables and LT measured by PCA scores, using Eq. 4
- 378 • one-step approach jointly including OT and LT as in Figure 1 and using Eq.5.

379 Table 6 reports the results for the three models. The estimated coefficients have the expected signs
 380 for all variables and, apart from household size and number of children, all other variables are highly
 381 significant. The bid variable has the expected negative sign in all 3 models, aligned with economic
 382 theory, showing that respondents experience decreases in utility by paying higher amounts for MPP
 383 mitigation. The constant in all models is also positive and significant, indicating participants'
 384 willingness to move away from the status quo and secure more clean beaches and riverbeds. Younger
 385 participants are more willing to pay, as are men in the sample, while higher education also increases
 386 WTP. Focusing our analysis on the latent scores with the LT component being added to the OT for the
 387 two-step model yielded similar results. The sign of the coefficients is the same as in the standard
 388 regression model and the same variables remain significant. Including LT in the model renders only
 389 the NEP component significant which has, as expected, a positive influence on WTP. The PLASTIC
 390 component, although not statistically significant, also has a positive impact on WTP. Finally, the one-
 391 step model which jointly models CVM responses and latent traits was estimated. Coefficients' signs
 392 are very similar to the previous models, with all 11 variables for the two constructs (NEP and PLASTIC)
 393 being statistically significant and having a positive effect on a respondent choosing to pay the bid to
 394 mitigate MPP.

395 The average WTP and its corresponding 95% confidence intervals are obtained with the delta method
 396 and are very similar across models: £13.50, per person, per year for the simple regression model, rising
 397 to £15 for the models accounting for LT. In the one-step model average WTP is also £15.

398 *Table 6. Modelling results for WTP responses and behavioural traits*

	Standard model		2-step model for WTP and latent traits (PCA)		One-step model for WTP and latent traits (SEM)	
	Coef.	St.error	Coef.	St. error	Coef.	St. error
<i>Observable characteristics</i>						
bid	-0.063 ***	0.014	-0.060***	0.014	-0.060***	0.014
gender	0.291 ***	0.107	0.285***	0.108	0.282***	0.105
age	-0.168 ***	0.042	-0.173***	0.042	-0.173***	0.042
education	0.104 *	0.53	0.097*	0.054	0.099*	0.055
Yearly income (in £)	0.000 ***	0.000	0.000***	0.000	0.001***	0.000
household_members	-0.032	0.048	-0.039	0.049	-0.039	0.051
number_of_children	0.054	0.058	0.059	0.058	0.061	0.063
plastic	-	-	0.014	0.029	0.098	0.097
nep	-	-	0.078***	0.030	1	constrained
constant	0.846 ***	0.330	0.904***	0.334	0.892***	0.346
<i>Latent characteristics</i>						
Interfere ← NEP					3.03***	1.275
Abuse ← NEP					3.81***	1.599
Space ← NEP					3.11***	1.318
Delicate ← NEP					3.66***	1.523
Ecolcat ← NEP					4.13***	1.706
Drain ← PLASTIC					1	(constrained)
Okdump ← PLASTIC					0.92***	0.073

House ← PLASTIC			1.01***	0.070
Separate ← PLASTIC			0.99***	0.120
Clean ← PLASTIC			1.21***	0.123
Nobother ← PLASTIC			0.84***	0.102
LL	-384	-381.18059	-10202.71	
Pseudo R2	0.080	0.089	-	
WTP [CI]	£13.50[4-23]	£15 [5-25]	£15 [5-25]	
Numb. of observations	751	751	751	
*** denotes statistical significance at the 1% level, ** at the 5% level, *at the 10% level				

399

5. Discussion

400

401 The different models confirm that preferences for MPP mitigation strategies are influence by personal
402 characteristics and traits. Across the three models, estimates of the explanatory variables had the
403 same sign. Men (“gender” coefficient) are generally more willing to pay for MPP reduction than
404 women, similar to other studies in the literature (e.g., Abate et al., 2020). Age has a negative and
405 significant effect on WTP. This implies that, the older are respondents the lower is their willingness to
406 pay for newer management strategies, following the findings of similar studies (e.g., Oleja and
407 Loureiro, 2007). Education has the expected positive impact on WTP, similar to the literature (e.g.,
408 Brouwer et al., 2017). Household size and number of children were statistically insignificant in all
409 models. Income has a positive but very small impact on WTP with the income elasticity of WTP (the
410 percentage of change in WTP if income increases by 1%) being positive (0,18) but very close to 0,
411 meaning that policies that aim to reduce MPP in Indonesia will benefit neither high or low-income
412 groups more than the other, making MPP mitigation a “normal good” (Tyllianakis and Skuras, 2016).
413 Income elasticity for pollution control, such as MPP, being positive and below 1 is also consistent with
414 the relevant literature (e.g., Barbier et al., 2017).

415 Despite 77 percent of responses coming from urban areas in East Indonesia (only 50% of the country
416 lives in urban areas according to the 2010 Census) that have been documented to have higher rates
417 of MPP (Shuker et al., 2018), their mean WTP was not statistically significant different from those
418 coming from rural areas, indicating to a lack of self-selection biasness. Nevertheless, studies using
419 online panels to elicit preferences have found online participants to be prone to inconsistencies and
420 lack of engagement (Jiang et al., 2020) while samples can also suffer from lack of representativeness
421 (Szolnoki and Hoffmann, 2013), especially as they tend to attract younger and more educated
422 participants (Olsen et al., 2009). Despite that, online surveys have been found to yield similar value
423 estimates with face-to-face (Windle and Rolfe, 2011; Mulhern et al., 2013). Finally, all survey modes
424 have been found prone to lack of representativeness (e.g., Szolnoki and Hoffmann, 2013) but such
425 findings tend to come from Global North surveys while studies measuring such discrepancies are
426 virtually non-existent in Global South contexts.

427 Respondents scored generally high (i.e., selecting statements of “Strongly agree” and ‘Does not
428 describe me at all”) in most questions dealing with plastics in their everyday lives, the importance of
429 the environment and how they perceive themselves in relation to their use of plastics (see Tables 3
430 and 4). The revised NEP statements that were included were assumed to be directly affecting beliefs

431 around the issue of MPP while PLASTIC statements capturing awareness of consequences of plastic
432 pollution and behavioural norms. The high ecological concern demonstrated by most participants in
433 the NEP statements was not necessarily matched by high levels of awareness and behavioural
434 practices that can effectively mitigate MPP (i.e. the mixed responses in the fourth and fifth statements
435 in the PLASTIC scale). This might point to a salient lack of education and awareness-raising for the
436 long-term impacts of MPP and its direct relation to everyday human welfare (Phelan et al., 2020).
437 However, when latent traits are jointly modelled with WTP responses were mixed.

438 The two-step model in Table 6 is a better fit than the basic model (LR test significant at 1%). The NEP
439 variable had a positive impact on WTP in both the two-step and one-step models showing that
440 respondents who are sensitive to environmental issues are keener to support MPP mitigation
441 programmes. This does not confirm some previous findings that found that environmental scales such
442 as the NEP perform differently in non-Western contexts (Chatterjee, 2008). Similar to Cooper et al.
443 (2004), who focused on a Western context, and Choi and Fielding (2013) based in a non-Western
444 context, we find that high ecological concern does translate into a slightly positive effect on WTP to
445 tackle MPP, but only marginally. This result can also indicate conviction on behalf of respondents that
446 the proposed measures (beach clean-ups and trash racks carried out by an independent organisation)
447 will be effective in reducing MPP. This is not a surprising finding, given the lack of clarity around which
448 authority is responsible for the cleaning of rivers and beaches in Indonesia, which results in waste
449 mismanagement (World Bank, 2018). Voluntary donations and charitable giving are deeply ingrained
450 to Indonesians (Nelson et al., 2018) so we cannot assume any issue with the suitability of payment
451 vehicle occurred which further re-enforces the findings. Nevertheless, socio-economic factors have
452 the biggest impact on WTP and the inclusion of attitudinal and behavioural variables only marginally
453 improved model fit between the basic and the two-step model (see Table 6). Such a finding should be
454 tested in future primary valuation exercises that combine such questions and statements in a Global
455 South context.

456 Contrary, the PLASTIC scale is not significant in the two-step approach or the one-step one. This can
457 be attributed to the nature of the scale as it was devised for this study and has not been applied before
458 in any latent traits model. Nevertheless, the PLASTIC construct was supported by the PCA results (KMO
459 being close to 1) showing that its statements can be considered for studies measuring motivations and
460 awareness with respect to MPP. The disparity between high scores to behavioural and attitudinal
461 scales and the mixed effect on WTP can be explained by respondents' understanding of MPP
462 consequences. When respondents were asked where plastics in rivers end up to, several respondents
463 revealed an "out-of-sight, out-of-mind" approach, showing some lack of understanding concerning
464 the persistency that MPP has and that it simply "does not get washed away by the water". Similar
465 findings around plastic pollution have also been recently reported in fishing villages in Eastern
466 Indonesia (Phelan et al., 2020) which signals that Indonesians still struggle to understand the
467 magnitude of the MPP problem and its impacts to their welfare.

468 The WTP findings (£13.5- £15), are approximately 2% of the average monthly salary, and are highly
469 similar across models, showing robustness of preferences. Regarding the payment levels, they suggest
470 that respondents would be willing to support initiatives from independent organisations that can end
471 up covering the funding gap for waste collection, when compared with the international levels of costs
472 for waste management of US\$15-20, per person, per year, as detailed by Shuker et al., (2018). The
473 WTP estimates in Table 6 are more than double the average cost of waste collection across Indonesia

474 and this can signal the interest and level of monetary support of Indonesians for future waste
475 management initiatives. WTP levels are also comparable with previous findings (e.g. Brouwer et al.,
476 2017; Choi and Lee, 2018; Zambrano-Monserrate and Ruano, 2020) although in some cases, our
477 estimates were higher than those of previous studies (e.g., Brouwer et al.'s estimate for the Greek
478 sample) which might be understandable given that MPP is a much more prevalent issue in Indonesia.
479 Nevertheless, our estimates are much smaller than the £462 estimate of Abate et al. (2020) which is
480 derived from Norwegian participants, even if we consider the differences in income between the two
481 countries (Norwegian income is, approximately, 10-times higher than that of Indonesia).

482 The interpretation of our results requires caution. For example, the combination of unmanaged waste
483 and seasonal influxes of MPP on beaches and riverbeds during the monsoon season has been assumed
484 to discourage any responsible individual behaviour towards MPP (Phelan et al., 2020), therefore
485 contributing monetarily towards mitigating such a recurring issue might appear useless. Nevertheless,
486 our study was conducted at the end of the monsoon season that sees large amounts of MPP being
487 washed ashore from the ocean and despite this, most respondents stated they would pay. Disparity
488 between intended and actual behaviour has been reported by other studies in Indonesia (Parker et
489 al., 2018) and this can translate into a lack of engagement in future real-life waste management
490 initiatives.

491 Our valuation scenario presented a future with active participation of citizens to fund beach and river
492 clean-ups through voluntary donations and this can translate in considering the government as
493 detached from the management of MPP. This would not be ideal as multiple actors are required to
494 improve the sustainable management of ocean resources. The MPP management options presented
495 in our study focused only on activities reducing pollution in beaches and riverbeds such as trash racks
496 and waste banks but did not address the issue of handling such waste. Given that recycling is not
497 widespread or formalised in Indonesia, such a future scenario might perpetuate an "out-of-sight, out-
498 of-mind" approach.

499 6. Conclusions

500 Addressing plastic pollution in the marine environment has become a pressing issue given the
501 accumulation of waste, a phenomenon that coastal states are increasingly subject to. Countries in the
502 global South that suffer disproportionately from MPP have seen an increase in litter and opinion
503 surveys to better understand its impact and potential means of MPP mitigation. This study uses survey
504 data on attitudinal, beliefs and socio-demographic characteristics to explain levels of financial support
505 residents of Indonesia, a global South country, have for MPP mitigation. By doing that it fills a gap in
506 primary valuation literature for mitigating MPP where evidence from the global South is scant.
507 Furthermore, this study investigates whether unobserved awareness and attitudinal characteristics of
508 respondents might offer better insights than more conventional modelling of human behaviour in
509 non-market valuation. Although, the sample just resembles the basic sociodemographic census
510 characteristics and is drawn from an online panel, results are in line with previous findings and
511 confirms respondents' interest to alternative options to manage MPP.

512 The findings are, in principle, encouraging regarding the future of MPP abatement in global South
513 countries. Indonesians appear concerned with the issue of MPP and demonstrate high environmental
514 concerns. This translates into them being willing to pay to increase clean-up activities, with the
515 average WTP amounts being driven up by young, educated and environmentally-conscious

516 participants. Nevertheless, our study reveals some lack of participants' knowledge on the true impact
517 of MPP and improper plastic waste management. This highlights the need for further education
518 campaigns for MPP initiatives and management needs. Nevertheless, having the public financially
519 support MPP mitigation is shown to be equally benefiting high and low-income households.

520 In terms of modelling, results support more elaborate models as they can better explain latent
521 characteristics of citizens, however simpler models also offer valuable insights. The robustness of
522 findings from simpler models is quite encouraging for contexts where complex modelling and
523 computational capacity are scarce. However, further studies in the Global South are needed to
524 compare online and in-person surveys and to better characterized the willingness to pay for
525 management strategies.

526 Finally, our findings suggest that country-wide actions and reforms are needed to effectively address
527 the issue of MPP such as non-governmental initiatives as well as the government-funded practices.
528 Overall, it appears that beginning to even consider solving the MPP issue in Indonesia and other
529 countries with similar characteristics would require a holistic approach. No single solution can be
530 found; instead MPP literacy, investment in waste management, incentivising personal actions and
531 responsibility involving beach and river clean-ups and changes in everyday behaviour regarding use of
532 plastics is required, if the goal of turning to a circular economy is to be realised. Recent pledges from
533 the European Union of 9 million Euros to combat MPP in Southeast Asia (European Commission, 2018)
534 can also be a source of financial support to support local and global pledges to reduce MPP.

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