

1 **Title:**

2 **Realist research to inform pharmacy practice and policy**

3

4 **Abstract:** Theory-driven implementation and evaluation of pharmacy services can enhance
5 their contribution to overall healthcare. As complex interventions most pharmacy practice
6 programmes and services will be adopted and modified during their implementation into
7 various healthcare contexts and systems. Realist approaches to theory-driven evaluation
8 consider these variations in programmes, interventions and the contexts of their
9 implementation and establish theories on how they work best, for whom and why. This paper
10 illustrates the practical application of the realist philosophy of science to pharmacy practice
11 relevant areas of healthcare using two case studies, a realist synthesis of existing literature on
12 medication reviews and a realist review and evaluation related to medicines management.
13 Applying realist logic establishes causative explanations of what could be essential factors in
14 the success of programmes, enabling policy makers in their decision-making and pharmacy
15 practice researchers as well as practitioners in optimising service design.

16

17 **Key words:** realist, research, pharmacy, healthcare, methods

18

19 **Introduction**

20 The design and introduction of pharmacy services and programmes is increasingly framed by
21 implementation science and its theory-based approaches.^{1,2} Theories, models and
22 frameworks informing the development of health care services are also utilised by pharmacy
23 researchers and practitioners to facilitate the translation of best evidence into practice.^{3,4}
24 Even when theory-driven design and implementation processes lead to initial success, the
25 long-term sustainability of programmes is not guaranteed and may rely on balancing fidelity

26 with adaptability.^{5, 6} The majority of programmes aimed at improving pharmacy practice,
27 health care and health outcomes are complex interventions, considering the quantity and
28 interlinkage of components within the experimental interventions, the difficulty and range of
29 behaviours required by those delivering or participating in the intervention, the organisational
30 levels they target and the range and variability of outcomes. Once programmes are adopted
31 more widely into practice the context of their implementation starts to vary and a significant
32 degree of flexibility or tailoring to context is inevitable.⁷ The triple threat of complex
33 pharmacy programmes, their reliance on participants with varying motivations and capacities,
34 playing out in the complex, adaptive system of overall healthcare confounds our
35 understanding of how and why their effectiveness in routine day-to-day practice can be
36 achieved. This may be related to the evidence which is selected in informing the design and
37 implementation of programmes. Experimental or quasi-experimental designs of pharmacy
38 programmes evaluations are necessary to establish effectiveness of interventions,⁸ but will
39 inevitably have to put aside the complexity of most pharmacy practice programmes and
40 consequent service models. Effect size can tell us whether a programme achieved its intended
41 or desired benefits, or caused inadvertent harm, in the context and at the time it was
42 delivered, but still leaves policy makers and health care funders guessing how similar
43 programmes will affect different people in different contexts.⁹

44 Closing the loop between evidence informed, theory-based implementation and evaluation
45 calls for evidence syntheses and evaluation research which develops theory by analysing and
46 incorporating complexity rather than ignoring it, informing future programme and
47 implementation design. Implementation design and evaluations which pay attention to the
48 multiple interacting influences which contribute to a particular outcome increase the chances
49 of recognising and eliciting which parts of a programme and its implementation process are
50 pivotal to its success, which external factors influence the way it works, who will benefit

51 most from it and under which circumstances.¹⁰ A focus on emergent causality will potentially
52 answer many questions which experimental studies designed with a linear, cause-and-effect
53 model in mind leave unanswered.¹¹

54 Realist research offers particularly useful approaches to inform theory-driven evaluation and
55 implementation. Realist syntheses of existing evidence and literature can guide the design
56 and implementation of a programme by developing models of causality and theories which
57 explain why it may show effect, particularly when effect relies on social contingency as in the
58 case of healthcare programmes.¹² Realist evaluations of programmes as primary research then
59 assist in testing or refining these programme theories, establishing new ones and creating
60 further causal links to observed outcomes.

61 The aim of this article is to provide a very short introduction to realism in health services
62 research, demonstrate some of the methodological approaches, introduce and illustrate realist
63 terminology. Two case studies, one of a realist synthesis and one of a realist evaluation, will
64 provide the basis and practical examples on how its principles can be applied, integrating
65 theory with practice.

66

67 **Methods**

68 The role of realism

69 Many authors have discussed the provenance of realism which contemporarily is applied in
70 health care and social policy evaluation, and we are pointing readers to a number of readings,
71 for a teaser,^{13, 14} overview,¹⁵⁻¹⁷ or in depth discussion.^{12, 18, 19}

72 Realist research can employ a wide range of approaches, methodologies and methods to
73 collect and analyse the data needed in an evaluation of complex healthcare services or social
74 phenomena.¹¹ Rather than regarding realist approaches to research as simply another tool in
75 the toolbox of methods useful to health service or pharmacy researchers an understanding of

76 realism as a philosophy of science is a prerequisite to their successful application. This
77 introduction on how to conduct realist research and how it can contribute to pharmacy
78 practice evaluations and policy design focuses on scientific realism in evaluation research as
79 described and applied by Pawson and Tilley.^{12, 20, 21} Like other ‘schools’ of realism their
80 approach draws on the work of Roy Bhaskar and the critical realist philosophy of science, but
81 its grounding in scientific realism allows pragmatic testing of retroductively constructed
82 programme theories against best available evidence. Pawson outlines the philosophical
83 foundations of their approach to evaluation research, which also shows the various influences
84 of other realists.^{18, 21}

85 The most commonly engaged realist research approaches in evaluation science will be
86 demonstrated by the discussion of two case studies. They are outlining the practical process
87 of applied realist research. The case studies illustrate a realist synthesis of existing literature
88 and a realist evaluation of qualitative data related to medicines management.

89

90 **Results**

91 The following case studies will now introduce strategies and common terms used in realist
92 research and illustrate how to integrate different data and methods for the development of
93 theory about when, why and how programmes work.

94 Case study 1

95 A realist synthesis of pharmacist-conducted medication reviews in primary care after leaving
96 hospital: what works for whom and why?

97 The first case study introduces a realist review and synthesis, applying realist logic to the
98 synthesis of secondary data.

99 Overview

100 We conducted a realist synthesis to establish for whom, under which circumstances, how and
101 why a pharmacist-conducted medication review (MR) may be of benefit for people who
102 return to primary care after a hospital admission.²² Systematic reviews regularly attest to the
103 heterogeneity between studied MR programmes, interventions and the outcomes they achieve
104 and a realist synthesis potentially explains some of the ambiguity. The aim was to add to the
105 significant body of work in this area by examining what leads to observed outcomes (whether
106 desired or undesired by programme designers and implementers). Making sense of how an
107 MR functions as a healthcare intervention in a given context, which mechanisms it activates
108 in order to produce context-sensitive outcomes facilitates the identification of components or
109 aspects which may be essential in achieving benefits for patients, healthcare professionals
110 and the system in which it is implemented. Identification of underlying, generative
111 mechanisms which are triggered by particular aspects of an intervention or programme, in
112 this case an MR, in specific contexts, lies at the centre of realist enquiry.²³ Explanations of
113 common units of analysis within realist research, for example, the programme theory, which
114 is often expressed as context-mechanism-outcome-configurations (CMOC), are provided in
115 table 1, with pointers to readings which will provide more in depth insights.

116

117 Table 1. Definitions of realist terms and how they have been understood in the case studies

Programme theory

From the ‘Realist Synthesis: RAMESES Training Materials’²⁴

The programme theory specifies what is supposed to be done in a policy or programme (theory of action) and how and why that is expected to work (theory of change).

CMOC

From a realist review discussing definitions of realist terms.²⁵

CMO configuring is a heuristic used to generate causative explanations pertaining to the data. The process draws out and reflects on the relationship of context, mechanism, and outcome of interest in a particular programme. A CMO configuration may pertain to either the whole programme or only certain aspects. One CMO may be embedded in another or configured in a series (in which the outcome of one CMO becomes the context for the next in the chain of implementation steps). Configuring CMOs is a basis for generating and/or refining the theory that becomes the final product of the review.

Context (C)

From the RAMESES II project ‘Why nothing works everywhere or for everyone’

http://www.ramesesproject.org/media/RAMESES_II_Context.pdf

For policies and programmes, context describes those features of the situations into which programmes are introduced that affect the operation of programme mechanisms. The settings into which programmes are introduced do not, in and of themselves, constitute context in the realist sense. However, things about the way those settings operate can.

Mechanism (M):

The cited articles provide in depth explorations of mechanisms in realism.^{23, 26}

‘...mechanisms are underlying entities, processes or structures which operate in particular contexts to generate outcomes of interest’.²⁶ and ‘...mechanisms are usually hidden, sensitive to variations in context and generate outcomes.’²³

Outcome (O):

From Pawson and Tilley’s ‘Realistic evaluation’¹²

Outcomes are a result of a programme firing multiple mechanisms which have different effects on different subjects in different situations, and so produce multiple outcomes. Realist evaluators examine outcome patterns in a theory testing role. Outcomes are analysed to discover if conjectured mechanism/context theories are confirmed.

118

119

120 The realist research process - realist review of the literature

121 We adapted a stepwise approach to the realist review which was iteratively expanded during
122 theory development as conceptualised by Rycroft et al..²⁷ An initial programme theory was
123 developed, supported by a Pubmed search, experience and discussions by the authors, and
124 framed by the steps patients and healthcare professionals take in completing an MR. Mapping
125 their journeys and points of contact and interaction, eliciting how and why they chose to
126 engage with invitations to participate in an MR, using guidance from realist training materials
127 and literature then provided the structure for the extraction of comprehensive data supporting
128 the refinement into a final programme theory.²⁴

129 One of the main differences to other reviews conducted in this area was the systematic
130 retrieval of a broad range of documents.¹⁸ These included trial protocols, which often make
131 underlying assumptions of why an MR should have a positive effect explicit, conference
132 abstracts of mainly qualitative studies, which provided stakeholder experiences and opinions,
133 programme evaluation reports, which yielded fine-grained detail supporting the inference of
134 mechanisms, and PhD theses, granting insight into why interventions were not as successful
135 as anticipated. This was in addition to studies customarily included in systematic reviews,
136 which usually investigated existing service models or adaptations in the post-hospital-
137 discharge setting. These, however, often provided little detail regarding the exact nature of
138 the intervention or programme, how patients and healthcare professionals engaged with the
139 MR and each other. The inclusion of other types of literature and policy documents allowed
140 the research team to fill gaps and compare intention with actual implementation in the
141 process of generating programme theory.

142 Instead of appraising the quality of documents under consideration through application of
143 standard criteria, their inclusion into the realist synthesis was predicated on their relevance to
144 the development of theory, with relevance shifting during different developmental phases.

145 Although even poorly designed studies can yield information which adds to or supports

146 theory,²⁸ rigour in intervention or study design and implementation was assessed by
147 examining whether methods used to generate data were appropriate to answer the research
148 questions, were employed with reliability and consistency and could credibly generate
149 reported findings. In addition, the trustworthiness of selected data was considered by
150 ascertaining whether they had been obtained empirically and through a cross-examination of
151 outcomes of similar studies conducted on MR in general.¹⁸ When documents seemed highly
152 relevant but lacked depth of information, authors were contacted to obtain additional or
153 missing detail to enable judgements of trustworthiness and rigour.

154 Programme theory development – literature synthesis

155 Once data relating to contexts (C), intervention (I), outcomes (O) and potential mechanisms
156 (M) were extracted they were iteratively linked into CMO configurations (CMOCs). This
157 process is central to realist logic as it is not only the identification of relevant CMOs but their
158 linkage and configuration which establishes generative causation and underpins programme
159 theory development as to what works for whom, under which circumstances and why. At this
160 stage everyone involved in the synthesis had to be prepared and familiar with the literature
161 under investigation and realist philosophy of science to engage in the stimulating academic
162 endeavour of discussing and arguing over how interventions influence context, contexts,
163 mechanisms and outcomes link together, when and how a mechanism becomes context in a
164 different CMOC, and which of the many CMOCs to finally abstract into programme theory.
165 This high level of engagement may differ from approaching research meetings where one
166 person reports and others agree or tweak. The composition and size of a research group
167 undertaking a realist synthesis will be determined by the research questions, the methods
168 employed and the expertise necessary to develop theory. At times realist expertise external to
169 the discipline of pharmacy could have been of benefit, to arbitrate when it was difficult to

170 come to an agreement or challenge potential bias when the small research group created an
171 echo chamber of similar voices.

172 The final programme theory based on the synthesis of sixty-six documents points to
173 components which seem essential for the success of an MR performed by pharmacists for
174 patients in the community after they have been discharged from hospital. The realist synthesis
175 allowed the identification of contextual and programme mechanisms as causal factors which
176 make an MR work. Based on the available documentation and literature, it describes the
177 structures which ideally are put in place to maximise review benefits for people who left
178 hospital but also their agency and choices within the MR process. Many outcome differences
179 were accounted for through consideration of nuances in medication review programme
180 activities and implementation, but also differences in the contexts of their implementation.

181 Implications

182 The programme theory, described here as a diagram of interlinked CMOCs (figure 1) could
183 be applicable to most health systems in which pharmacists, patients and doctors navigate the
184 transition from hospital to community.

185 [Insert figure 1 here]

186

187 A number of key messages based on the programme theory are of relevance to future MR
188 programme design, implementation and policy development.

189

190 Box 1. Key messages for medication reviews after hospital discharge:

- Ensure stakeholders have awareness of and perceive a benefit from the medication review.

- Accommodate patients' preferences, needs and capabilities in terms of timing and location.
- Coordinate the medication review process.
- Ensure pharmacists performing the medication review have access to relevant patient information.
- Encourage or enable pharmacists to establish collaboration with other healthcare professionals involved in the medication review and to take responsibility for outcomes.

191

192 Case study 2

193 MEMORABLE

194 The second case study illustrates how adding a realist evaluation to a synthesis drives theory
195 development further by exploring choices, forced or unforced, people make about their health
196 behaviours and the resources they are offered by health services.

197 Overview

198 MEMORABLE (MEdication Management in Older people: Realist Approaches Based on
199 Literature and Evaluation) took a realist approach to synthesising literature and the personal
200 accounts by older people living in the community, their families (informal carers) and
201 practitioners of their behaviours managing medicines, relationships with and support by
202 others at multiple layers of health and social care.^{29, 30} An understanding of how older people
203 and their carers manage complex medication regimens then provided the basis for a
204 framework outlining medication management as a complex process and recommendations for
205 interventions and improvements.

206 MEMORABLE was supported by many stakeholders, though working groups providing
207 governance and management support, of which two were instrumental in taking a realist
208 approach:

209 1. A multi-disciplinary research team providing oversight and expertise, including older
210 people, practitioners, academics with expertise in realist and information management
211 methodologies and experience in patient and public involvement and engagement (PPIE).

212 2. A stakeholder group of practitioners, older people and their family (informal) carers. They
213 provided advice and feedback to the research group on the veracity of emerging evidence,
214 programme theories and proposed interventions, ensuring recommendations were
215 appropriate, practicable and potentially making a difference for everyone involved.

216 Both groups advised on the dissemination strategy, which was proactive from the start of the
217 project and added to its credibility. It included a web-site, registering the study protocol on
218 PROSPERO and its publication in a peer reviewed journal,³¹ which enabled the principal
219 investigator to utilise publicly available documents and establish credibility when discussing
220 MEMORABLE with stakeholders and potential participants.

221

222 The realist research process

223 Developing the research protocol and early informal theorising by stakeholders assisted in
224 establishing an initial programme theory about how medication management might work for
225 older people. This guided an initial systematic search and review of literature. Potential
226 explanatory factors were extracted and used to develop context, mechanism and outcome
227 configurations (CMOCs) related to the research questions. Searches were then extended
228 iteratively, informed by initial findings and consequently established contexts and
229 mechanisms, which, for example, included burden and shared decision making, and a subset
230 of articles from the initial search containing causal accounts related to medication

231 management was later included. Review of the literature led to refinement of CMOCs and
232 mapping a tentative medication management process, supporting the development of a
233 number of candidate programme theories. Although several substantive theories of interest
234 were considered at this stage none could be sufficiently evidenced from the literature to
235 support the complex process model which had been developed.

236

237 Realist evaluation

238 A realist evaluation exploring mechanisms and driving programme theory development
239 further was then added by conducting and analysing fifty realist informed interviews with
240 older people, family carers and practitioners. This added key strengths and innovation to
241 MEMORABLE and encouraged stakeholders to directly articulate their “real world”
242 challenges and capture the burden associated with medication management from their
243 perspective. Realist interviews facilitate gleaning programme theories in the early stages of
244 development and later invite interviewees to comment on developing programme theories,
245 allowing researchers to refine and consolidate them.^{32, 33} These interviews substantially offset
246 the limitations of the literature on the subject and allowed particular lines of enquiry to be
247 followed up in more detail. However, they did increase the duration (and therefore cost of the
248 project), due to the ethical approval processes and additional researcher time needed. Both
249 data sources (literature synthesis and interviews) were then combined to establish theoretical
250 understandings of medication management by older people.

251

252 Programme theory development

253 Medication management, as an implementation process, was abstracted into a five stage
254 model (table 1), breaking down the complexity of medication management processes,
255 highlighting decision-making, behaviours and process loops.

256
257
258
259

Table 1: Five stages of medication management

Stage	Stage 1 Identifying problem	Stage 2 Getting diagnosis and/or medications	Stage 3 Starting, changing or stopping medications	Stage 4 Continuing to take medications	Stage 5 Reviewing / reconciling medications
Who / Doing what	Older person identifies that something is wrong.	Older person and practitioner agree on the problem and how to treat it. A prescription is issued and dispensed.	Older person adjusts daily medication routine to include new medication and/or adjusts or omits current medication.	Older person fits new routine into day-to-day life.	Practitioner confirms safety and efficacy of medication. Older person and practitioner agree appropriateness, adherence and fit with day-to-day life.
Family (informal) carers can be involved at any stages					

260
261
262
263
264
265
266
267
268
269
270

These five stages were then categorised into overarching stages of medication management:

a. Individual stages (numbers 1, 3 and 4), where older people (sometimes with support from a family carer) balance routines, coping and risks.

b. Interpersonal stages (numbers 2 and 5), where older people have contact with a practitioner, again sometimes with support from a family carer.

Having established the stages of medication management as complex interventions, Normalisation Process Theory (NPT) was identified as an existing substantive theory to frame and explain processes and behaviours. NPT articulates how new activities are introduced and made both routine and are sustained through work by those involved.³⁴

Substantive theories can progress understanding when making sense of CMOCs and in this

271 case NPT provided a lens and structure to understand the work required when managing
 272 medications at an individual, interpersonal and system level and was applied to each of the
 273 five stages.

274 The synthesis of a realist review of the literature and interview findings established that older
 275 people/family carers and practitioners may have different priorities in relation to medication
 276 management. Practitioners focussed on process goals such as optimisation, adherence or de-
 277 prescribing. Whereas quality of life, fitting medications into their day-to-day lives and
 278 reducing the burden of medication management were important for older people.

279

280 Implications

281 A key finding of MEMORABLE was the relationship between workload associated with
 282 medication management and capacity (table 2), how they fluctuated and the impact in terms
 283 of burden on the older person. For example, workload increased with polypharmacy and
 284 capacity decreased with cognitive impairment; both were likely to increase overall burden,
 285 whereas workload decreased if the medication regimen was simplified.

286

287 Table 2: Relationship between workload, capacity and burden

What capacity does the older person have?	Increasing / high capacity	Decreasing / low capacity
What is the workload?		
Increasing / high workload: May be high workload in general or may spike at times of change and uncertainty.	Burden: coping	High burden: not coping – high workload and low capacity risk

Decreasing / low workload:	No burden: coping	Burden: not coping –low capacity risk
-----------------------------------	-----------------------------	--

288

289

290 Burden was often hidden from practitioners. Older people developed and established routines
 291 in dealing with medications, when medications changed burden potentially increased, at least
 292 temporarily.

293 Two potential interventions were identified and proposed from MEMORABLE. Firstly,
 294 because medication management burden is often hidden, it needs to be identified. Secondly,
 295 the provision of ‘individualised information’ for older people and family carers, to enable
 296 them making sense of complex diagnoses and medications; and find ways to fit medication
 297 into their day-to-day lives, thus mitigating the substantial burden.

298 These findings informed key messages for practitioners to assess burden (box 2).

299

300 Box 2. Key messages for practice from MEMORABLE

<p>When prescribers start a new medication or change a dose they should routinely address burden: ‘How are people coping with managing their medications? Will a change increase their medication management burden and how can we address it together so they can cope?’</p>

301

302 **Discussion**

303 As illustrated by the case studies realist research exhibits a degree of agnosticism in regards
 304 to methodology and methods used to establish relevant contexts, mechanisms and outcomes.

305 Realism provides the underlying philosophy of science, with realist research questions

306 informing the choice of methodological approach. This allows realist researchers to draw on a
307 wide range of evidence and methods.³⁵ Many contributions to this special edition are
308 outlining methods with relevance to realist research, by supporting the generation of
309 trustworthy findings or ensuring rigour of intervention and study design.

310 The aim of most realist research, whether synthesis of existing evidence or evaluation of
311 programmes or behaviours, is to increase knowledge and certainty as to how and why
312 interventions or programmes work, while accepting that knowledge can only ever be partial
313 and incomplete. As it is grounded in the acceptance and analysis of complexity the
314 application of standardised formulae would pose the inherent danger of a technical or
315 reductionist approach, dealing with complexity is complex in itself. Heterogeneity of
316 programmes, which is unavoidable even when they are implemented with exceptional
317 consistency and fidelity, their desired and undesired outcomes and the observations and
318 varied findings of studies describing them reflect what actually happens in the real world.

319 Attempts to standardise complex interventions, reducing their natural variation and
320 controlling the context of their implementation may be necessary to establish initial
321 effectiveness but will reach a limit, and at the same time limit the applicability of any
322 findings derived from their observation and analysis. At the same time, realist logic can assist
323 in the identification of essential ingredients in contexts and programmes which facilitate the
324 activation of mechanisms which cause desired (or undesired) outcomes. For example, the
325 realist synthesis of post-discharge MR identified mechanisms which are ideally in place in
326 various contexts and activated by the intervention, describing some of the essential
327 ingredients of the MR process which are likely to lead to a beneficial outcome, e.g. a
328 reduction in healthcare utilisation. It also made clear that these have to be combined with
329 sensitivity to context and responsiveness to emergence and rivalry. Valuing complexity,
330 acknowledging uncertainty and variations of context mean recommendations for a

331 standardised approach to MR are likely to be futile, though the same ingredients may well be
332 essential in many contexts the recipe will vary and needs local spice.

333 In its approach to data collection and analysis realist research integrates other theories that
334 help to explain findings and underpin programme theories. As MEMORABLE demonstrated,
335 often substantive theories can help build the theory development in the specific real world
336 clinical environment under investigation, helping to explain what happens and why. The
337 addition of a realist evaluation involving stakeholders aided the process of identifying the
338 appropriate theory which supported the generation of final programme theory. Opening the
339 treasure trove of existing social and scientific theories will allow pharmacy practice
340 researchers to leave the confines of deterministic cause and effect models and empiricism
341 behind and gain new insights into how and why their programmes work through a
342 combination of theory-integrating and -driven evaluations and evidence syntheses.

343 Ultimately pharmacy and healthcare programmes are funded and implemented to improve the
344 status quo of healthcare and create benefit for people in need of care. Realist research is now
345 recognised as a strategy to inform the decision-making of funders and policy makers as to
346 where to allocate resources, which services and programmes to fund.^{7, 36} Pharmacy practice
347 researchers have ample scope to support this process by first developing, then iteratively
348 refining pharmacy practice programme theories and generating new evidence through realist
349 evaluations and syntheses. Making programme theories applicable and translatable into
350 practice includes providing clear messages about what seems the best way forward based on
351 the most relevant evidence currently available and theory-driven knowledge development to
352 increase their relevance to policy makers, funders, stakeholders and programme participants.

353 This closes the loop to implementation science, with programme theories identified through
354 realist research informing the implementation of a new or modified pharmacy service or

355 practice programme and forming the basis for the next round of theory driven analysis or
356 evaluation.

357 A downside to realist research in the traditional sense is the requirement for considerable
358 content and methodological expertise, and the length of time it can take to develop
359 programme theory, particularly when it includes real world, lived experience. When decisions
360 around programme implementation have to be made within short timeframes, the scope of
361 analysis and review may have to be narrowed. Instead of aiming at the development of theory
362 that is transferable across many domains reviews of evidence may have to focus on the
363 ‘theory-driven identification of contextually relevant interventions that are likely to be
364 associated with specific outcomes within a particular set of parameters’.³⁷ Rapid realist
365 reviews often work backwards from the desired outcome in the quest of identifying
366 interventions and programmes which will activate the mechanisms needed to achieve the
367 outcome in a specific context of interest. While still applying the realist logic and constructs
368 they may be able to provide answers to highly focused research questions in a time
369 responsive manner, addressing more immediate needs in informing policy.

370

371 Panning for gold – getting started

372 Based on the practical applications and experiences of employing realist logic to pharmacy
373 relevant practice programmes and patient behaviours a number of key recommendations were
374 developed for those who may consider starting with realist research in pharmacy practice:

- 375 1. Explore the realist philosophy of science and embrace available realist research guidance,
376 expertise, training materials and courses.
- 377 2. Involve a wide range of expertise, experience and programme stakeholders at all stages of
378 theory development.
- 379 3. Publish the research protocol in a peer-reviewed journal.

- 380 4. Use an iterative literature search strategy, with later searches informed by initial results
381 and theories, keep an open mind as to what can contribute to programme theory
382 development.
- 383 5. Focus on generative causation and develop a programme theory to advance the
384 conceptualisation of outcomes.
- 385 6. Draw on existing theories to help make sense of data and CMOCs.
- 386 7. Formulate clear messages based on programme theory for policy makers and programme
387 participants.

388 Generating a more nuanced understanding through realist research of how pharmacy services
389 contribute to overall healthcare supports all stakeholders in the refinement and targeting of
390 programmes, successful adaptations to local contexts and resources, which may lead to
391 greater effectiveness

392

393 **Acknowledgements:**

394 **Funding:** MEMORABLE was funded by the HS&DR Programme (project number 15/137/
395 01) and the full report is available in NIHR Journals Library. It presents independent research
396 funded by the National Institute for Health Research (NIHR). The views and opinions
397 expressed by authors in this publication are those of the authors and do not necessarily reflect
398 those of the NHS, the NIHR, NETSCC, the HS&DR programme or the Department of Health
399 and Social Care. The views and opinions expressed by the interviewees are those of the
400 interviewees and do not necessarily reflect those of the authors, those of the NHS, the NIHR,
401 NETSCC, the HS&DR programme or the Department of Health and Social Care.

402

403 **References**

404

- 405 1. Smith MA, Blanchard CM, Vuernick E. The Intersection of Implementation Science and
406 Pharmacy Practice Transformation. *Annals of Pharmacotherapy*. 2019;54:75-81.
- 407 2. Weir NM, Newham R, Dunlop E, Bennie M. Factors influencing national implementation of
408 innovations within community pharmacy: a systematic review applying the Consolidated
409 Framework for Implementation Research. *Implementation Science*. 2019;14:21.
- 410 3. Bauer MS, Damschroder L, Hagedorn H, Smith J, Kilbourne AM. An introduction to
411 implementation science for the non-specialist. *BMC Psychol*. 2015;3:32-32.
- 412 4. Curran G, Shoemaker S. Advancing pharmacy practice through implementation science.
413 *Research in Social and Administrative Pharmacy*. 2017;13.
- 414 5. Crespo-Gonzalez C, Benrimoj SI, Scerri M, Garcia-Cardenas V. Sustainability of innovations in
415 healthcare: A systematic review and conceptual framework for professional pharmacy
416 services. *Research in Social and Administrative Pharmacy*. 2020.
- 417 6. Moullin J, Sabater-Hernández D, Benrimoj S. Model for the evaluation of implementation
418 programs and professional pharmacy services. *Research in social & administrative pharmacy*
419 : *RSAP*. 2015;12.
- 420 7. Craig P, Dieppe P, Macintyre S, Michie S, Nazareth I, Petticrew M. Developing and evaluating
421 complex interventions: the new Medical Research Council guidance. *Bmj*. 2008;337.
- 422 8. Krass I. Quasi experimental designs in pharmacist intervention research. *International*
423 *journal of clinical pharmacy*. 2016;38:647-654.
- 424 9. Cartwright N, Hardie J. *Evidence-based policy: A practical guide to doing it better*: Oxford
425 University Press; 2012.
- 426 10. Greenhalgh T, Papoutsi C. Studying complexity in health services research: desperately
427 seeking an overdue paradigm shift. *BMC Medicine*. 2018;16:95.
- 428 11. Westhorp G. Using complexity-consistent theory for evaluating complex systems. *Evaluation*.
429 2012;18:405-420.
- 430 12. Pawson R. *Realistic evaluation / Ray Pawson and Nick Tilley*. London ; Thousand Oaks, Calif:
431 Sage; 1997.
- 432 13. Wong G. Special Invited Editorial:Getting Started With Realist Research. *International Journal*
433 *of Qualitative Methods*. 2015;14:1609406915621428.
- 434 14. Luetsch K, Twigg M, Rowett D, Wong G. In search for gold - The relevance of realist reviews
435 and evaluations to pharmacy research and policy development. *Research in Social and*
436 *Administrative Pharmacy*. 2019.
- 437 15. McEvoy P, Richards D. Critical realism: a way forward for evaluation research in nursing?
438 *Journal of advanced nursing*. 2003;43:411-420.
- 439 16. Pawson R, Greenhalgh T, Harvey G, Walshe K. Realist review - a new method of systematic
440 review designed for complex policy interventions. *Journal of health services research &*
441 *policy*. 2005;10.
- 442 17. Williams L, Rycroft-Malone J, Burton CR. Bringing critical realism to nursing practice: Roy
443 Bhaskar's contribution. *Nursing philosophy : an international journal for healthcare*
444 *professionals*. 2017;18.
- 445 18. Emmel N, Greenhalgh J, Manzano A, Monaghan M, Dalkin S. *Doing realist research*. First
446 edition.. ed. London
447 Los Angeles: Sage; 2018.
- 448 19. Maxwell JA. *A realist approach for qualitative research*: Sage; 2012.
- 449 20. Pawson R. *Evidence-based Policy. A Realist Perspective*. London: Sage; 2006.
- 450 21. Pawson R. *The science of evaluation a realist manifesto*: London : SAGE; 2013.
- 451 22. Luetsch K, Rowett D, Twigg MJ. A realist synthesis of pharmacist-conducted medication
452 reviews in primary care after leaving hospital: what works for whom and why? *BMJ Quality*
453 *& Safety*. 2020:bmjqs-2020-011418.
- 454 23. Dalkin SM, Greenhalgh J, Jones D, Cunningham B, Lhussier M. What's in a mechanism?
455 Development of a key concept in realist evaluation. *Implementation Science*. 2015;10:49.

- 456 **24.** Wong GW, Gill; Pawson, Ray; Greenalgh, Trish. Realist Synthesis RAMESES Training
457 Materials: National Institute for Health Research Health Services and Delivery Research
458 Program; 2013.
- 459 **25.** Jagosh J, Macaulay AC, Pluye P, et al. Uncovering the Benefits of Participatory Research:
460 Implications of a Realist Review for Health Research and Practice. *The Milbank Quarterly*.
461 2012;90:311-346.
- 462 **26.** Astbury B, Leeuw FL. Unpacking Black Boxes: Mechanisms and Theory Building in Evaluation.
463 *American Journal of Evaluation*. 2010;31:363-381.
- 464 **27.** Rycroft-Malone J, McCormack B, Hutchinson AM, et al. Realist synthesis: illustrating the
465 method for implementation research. *Implementation Science*. 2012;7:33.
- 466 **28.** Pawson R. Digging for Nuggets: How 'Bad' Research Can Yield 'Good' Evidence. *International*
467 *Journal of Social Research Methodology*. 2006;9:127-142.
- 468 **29.** Maidment I, Lawson S, Wong G, et al. Towards an understanding of the burdens of
469 medication management affecting older people: the MEMORABLE realist synthesis. *BMC*
470 *Geriatrics*. 2020;20:183.
- 471 **30.** Maidment ID, Lawson S, Wong G, et al. Medication management in older people: the
472 MEMORABLE realist synthesis. *Health Services and Delivery Research*. 2020;8.
- 473 **31.** Maidment I, Booth A, Mullan J, McKeown J, Bailey S, Wong G. Developing a framework for a
474 novel multi-disciplinary, multi-agency intervention(s), to improve medication management
475 in community-dwelling older people on complex medication regimens (MEMORABLE)—a
476 realist synthesis. *Systematic Reviews*. 2017;6:125.
- 477 **32.** Manzano A. The craft of interviewing in realist evaluation. *Evaluation*. 2016;22:342-360.
- 478 **33.** Pawson R. Theorizing the interview. *British Journal of Sociology*. 1996:295-314.
- 479 **34.** May C, Finch T. Implementing, embedding, and integrating practices: an outline of
480 normalization process theory. *Sociology*. 2009;43:535-554.
- 481 **35.** McEvoy P, Richards D. A critical realist rationale for using a combination of quantitative and
482 qualitative methods. *Journal of Research in Nursing*. 2006;11:66-78.
- 483 **36.** Moore GF, Audrey S, Barker M, et al. Process evaluation of complex interventions: Medical
484 Research Council guidance. *Bmj*. 2015;350:h1258.
- 485 **37.** Saul JE, Willis CD, Bitz J, Best A. A time-responsive tool for informing policy making: rapid
486 realist review. *Implementation Science*. 2013;8:103.