**Commentary** Silicon drives the evolution of complex crystal morphology in calcifying algae Quote: "The formation of intricately shaped coccoliths from rudimentary calcite crystals requires the presence of silicon. This novel insight brings us closer to understand the evolution of morphological diversity in algae that have shaped planet Earth" Thomas Mock Orcid ID: <a href="https://orcid.org/0000-0001-9604-0362">https://orcid.org/0000-0001-9604-0362</a> Twitter: @Th\_Mock School of Environmental Sciences, University of East Anglia, Norwich Research Park, Norwich, NR47TJ, United Kingdom Telephone: +44 (0)1603 592566 Email: t.mock@uea.ac.uk Word count: 1501 Number of figures: 2 Number of references: 20 

## Main text

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Coccolithophores are oceanic microalgae that have influenced the global climate for millions of years because of their ability to calcify (e.g. Monteiro et al. 2016). Their life cycle is haplo-diplontic with significant differences in the structure and morphology of the calcium carbonate plates (coccoliths) between haploid and diploid life-cycle stages (e.g. De Vries et al. 2021, Frada et al. 2019, de Vargas et al. 2007). Whereas coccoliths of haploid life-cycle stages (Holococcoliths – HOLs) are uniform in shape and size, diploid stages are characterized by intricately-shaped coccoliths (Heterococcoliths – HETs) of almost infinite morphology. As HOLs seem to be formed differently and only appear in the fossil record 36 about 30 Mya after the first HETs, it has been suggested that holococcolith-formation represents an independent process of calcification, evolving after the emergence of HETs (e.g. Bown et al. 2004, De Vargas et al. 2007). Yet, in this issue of New Phytologist, Langer et al. (2021; pp. ABC-XYZ) have challenged this view by carefully analysing the process of 40 holococcolith-formation. Combining state-of-the-art microscopy tailored to preserve all subcellular structures, and experiments to reveal the role of silicon in the process of calcification, they show that HOLs are formed in intracellular compartments similar to HETs 43 and that silicon is only required for the formation of intricately shaped coccoliths. These results suggest that HOLs might represent an ancestral form of calcification and that the ability to use silicon in the process of calcification evolved later and is responsible for the synthesis of the elaborately shaped HETs. 50

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Calcification is the most characteristic feature of coccolithophores, which belong to the group of prymnesiophytes and diverged from their non-calcifying ancestors approximately 310 Mya (e.g. Liu et al. 2010). There are over 250 known species of coccolithophores in sunlit oceans, contributing up to 10% of annual marine primary production (e.g. Poulton et al. 2007). Some species including Emiliania huxleyi are so productive that their blooms can be seen from space (Fig. 1). Despite their significance for the global carbon cycle, most studies so far have only focussed on a limited number of diploid coccolithophores with the best studied likely to be E. huxleyi (e.g. Gal et al. 2018, Read et al. 2013). Intricately shaped coccoliths allow easier identification of diploid species, which is possibly why haploid life-cycle stages, many of which do not calcify (e.g. von Dassow et al. 2012), have largely been neglected, biasing our current knowledge on coccolithophore biology and evolution.

58 59 60 How and when the life phase transitions occur is not well known for most coccolithophore species although several drivers have been identified for E. huxleyi (e.g. Frada et al. 2019). 61 62 For instance, viral infections can cause a switch from the diploid to the haploid life-cycle 63 phase to increase survival rates in response to a virus infection (Frada et al. 2008). Typically, 64 though, ploidy and the proliferation of life cycle-stages are decoupled, similar to macroalgae 65 and some plants where gametes develop independent life-cycle stages that reproduce 66 asexually, i.e. gametophytes (e.g. Coelho & Cock 2020, Taylor et al. 2005). Thus, both life-67 cycle stages are exposed to evolutionary forces and therefore might even speciate 68 independently. Generally, it can be assumed that haplo-diplontic life cycles are better at 69 exploring the adaptive landscape of a species because of the larger allelic diversity. Indeed, 70 there is some evidence that different oceanic environments appear to select for different life-71 cycle stages of coccolithophores (e.g. De Vries et al. 2021), however, our knowledge of the 72 adaptive benefits is still very limited. Nevertheless, it is likely that calcification, which 73 underpins the formation of distinct phenotypes, is under selection and therefore the molecular 74 machinery driving it. Depending on the species, basic calcium carbonate crystals (calcite) can 75 transform into nanopatterned and elaborate coccoliths of seemingly infinite shape and form. 76 HETs are formed inside a specialized Golgi-derived vesicle (e.g. Brownlee et al. 2015). 77 Before they are extruded, they are formed by an unknown mechanism that controls crystal 78 morphology and the overall shape and form of HETs. Together they form the coccosphere, 79 which can include various appendages and in which the cell resides. In contrast to well-80 studied HETs, HOLs have received little attention, but their crystals resemble the typical 81 rhombohedral geometry of inorganic calcite (e.g. Young et al. 1999). Furthermore, the 82 morphological diversity of HOLs is much more constrained. 83 84 In 2016, the same laboratory at the Marine Biological Association (MBA) in Plymouth, UK, discovered that calcifying coccolithophores have something in common with their silicifying 85 86 cousins: diatoms (Durak et al. 2016). However, diatom shells are made of silica and therefore 87 thought to represent a distinct mechanism of biomineralization. This concept was challenged 88 by the discovery of silicon transporters (SITs) in calcifying diploid coccolithophores (Durak 89 et al. 2016). Some of these species even appear to have an obligatory requirement for Si, 90 similar to diatoms. Although the cellular mechanism by which Si contributes to the process of 91 calcification is still unknown, studies in other organisms have suggested that silica might be 92 essential for the formation of ordered calcite crystals as seen in HETs but not in HOLs (e.g. 93 Gal et al. 2012).

The study by Langer et al. (2021) has tested the hypothesis that HOLs represent an ancestral state of calcification, which is contradictory to the fossil record. As support for their hypothesis, they combined knowledge on the role of silicon for the formation of HETs and applied advanced microscopy to re-assess the calcification processes in HOLs. By using scanning electron microscopy in combination with high pressure freezing and freeze substitutions to preserve both inorganic and organic structures, it was possible for the first time to reveal that HOLs are formed inside the cells in vesicles similar to the synthesis of HETs. Langer et al. (2021) argue that this result provides first evidence for the presence of a last common ancestor that was capable of producing both HOLs and HETs as they share not only the same chemical process of calcification but also the same cell biology required to produce calcite crystals. Thus, Langer et al. (2021) have provided an evolutionary link between both modes of calcification (Fig. 2). As HOLs are structurally more simplistic, it suggests that they have evolved first, which was already postulated a few years ago by Frada et al. (2019). To identify why the additional complexity observed in HETs evolved later, Langer et al. (2021) drew on their insights into the role of silicon for the formation of complex calcite crystal morphology. Remarkably, they found that HOLs do not require Si for crystal formation. They also discovered the presence of rhombohedral HOL crystals in diploid coccolithophores after replacing Si in the growth medium by germanium. These results suggest that Si is required for the synthesis of different crystal shapes as both lifecycle stages develop rudimentary rhombohedral crystals but intricately shaped coccoliths are only formed with the help of Si (Fig. 2).

Although these results seem to have resolved a long-standing paradigm in the evolution of calcification in microalgae (e.g. Bown *et al.* 2004, De Vargas *et al.* 2007), they raise interesting questions. For instance, not all diploid coccolithophores with HETs require Si during formation including the model species *E. huxlei* (Durak *et al.* 2016). Furthermore, although the requirement for Si explains why there is complex calcite crystal morphology, it does not explain the almost infinite morphological diversity of coccospheres. I argue that answers to these questions can be found by applying evolutionary theory to silicon and calcium carbonate metabolism. Although phylogenetics has been applied to reveal relationships between individual genes involved in biomineralization, the field will benefit from revealing how the evolutionary forces of mutation, selection, genetic drift and gene flow shaped the genetic and morphological diversity of biomineralizing microalgae. Langer *et al.* 

128 (2021), speculate that high concentrations of Si in the surface oceans about 250 Mya were 129 driving the evolution of HETs. A subsequent decline of Si due to the rise of diatoms might 130 have caused the loss of an obligate Si requirement at least in some species such as E. huxlei 131 and therefore provided a fitness advantage under lower Si concentrations. Thus, they argue 132 that changes in the environment selected for the evolution of complex crystal morphology in 133 calcifying algae. 134 135 Combining molecular markers and fossils from the geological record of coccolithophores 136 with demographic inference such as coalescence theory (e.g. Rosenberg & Nordborg 2002), which provides a view backwards in time, will provide evidence as to whether environmental 137 138 change (e.g. Si concentrations) coincides with the point where gene genealogies (e.g. silicon 139 transporters) come together ('coalesce'). Furthermore, identifying signals of selection will 140 inform biochemical studies because they reveal which genes and functional domains likely 141 contribute to the evolution of morphological diversity, which potentially is the outcome of 142 diversifying selection. As mutational and demographic models are available for 143 coccolithophores (Krasovec et al. 2020, Bendif et al. 2019), I consider this an exciting 144 avenue for providing further insights into what drives the evolution of complex crystal 145 morphology in calcifying algae. If extended to other biomineralizers, it might even reveal a 146 unifying concept on which the apparently distinctive processes of calcification and 147 silicification coalesce. 148 149 Acknowledgements 150 151 I would like to acknowledge funding from the Natural Environment Research Council 152 (NERC) (Grant NE/R000883/1) and The Leverhulme Trust (Grant RPG-2017-364). I would 153 also like to thank partial support from the School of Environmental Sciences, University of 154 East Anglia, Norwich Research Park, Norwich, UK. 155 156 References 157 158 Bendif EM, Nevado B, Wong ELY, Hagino K, Probert I, Young JR, Rickaby REM, 159 Filatov DA. 2019. Repeated species radiations in the recent evolution of the key marine 160 phytoplankton lineage Gephyrocapsa, Nat. Comms. 10: 4234.

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229 230 **Keywords:** calcification, silicon, phytoplankton, evolution of coccoliths, coccolithophores 231 232 233 Figure legends 234 235 Figure 1. NERC Earth Observation Data Acquisition and Analysis Service (NEODAAS) 236 enhanced-colour view of waters around the British Isles in June 2019 (Median composite between 17<sup>th</sup> and 23<sup>rd</sup> of June). Coccolithophores are blue-white and non-calcifying 237 phytoplankton (e.g. diatoms) are from dark to light red. The colour gradients (blue to white; 238 239 dark to light red) reflect the cell-density gradients of phytoplankton populations in the surface layers with increasing densities from darker to lighter colours. Sediment is yellow. 240 241 242 Figure 2. Conceptual model based on Langer et al. (2021) describing the evolution of 243 complex crystal morphology in calcifying algae over the past ca. 350 million years. Anc = 244 non-calcified ancestor of coccolithophores; HOL = coccolithophores with holococcoliths; HET = coccolithophores with heterococcoliths. Si = silicon. 245