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2	An evaluation of surface meteorology and fluxes over the Iceland and Greenland Seas
3	in ERA5 reanalysis: the impact of sea ice distribution
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36 Abstract

37 The Iceland and Greenland Seas are a crucial region for the climate system, being the 38 headwaters of the lower limb of the Atlantic Meridional Overturning Circulation. Investigating the 39 atmosphere-ocean-ice processes in this region often necessitates the use of meteorological reanalyses -40 a representation of the atmospheric state based on the assimilation of observations into a numerical 41 weather prediction system. Knowing the quality of reanalysis products is vital for their proper use. Here 42 we evaluate the surface-layer meteorology and surface turbulent fluxes in winter and spring for the 43 latest reanalysis from the European Centre for Medium-Range Weather Forecasts – ERA5. In situ 44 observations from a meteorological buoy, a research vessel and a research aircraft during the Iceland-45 Greenland Seas Project provide unparalleled coverage of this climatically important region. The 46 observations are independent of ERA5. They allow a comprehensive evaluation of the surface 47 meteorology and fluxes of these subpolar seas and, for the first time, a specific focus on the marginal ice 48 zone. Over the ice-free ocean, ERA5 generally compares well to the observations of surface-layer 49 meteorology and turbulent fluxes. However, over the marginal ice zone the correspondence is 50 noticeably less accurate: for example, the root-mean-square errors are significantly higher for surface 51 temperature, wind speed and surface sensible heat flux. The primary reason for the difference in 52 reanalyses quality is an overly smooth sea-ice distribution in the surface boundary conditions used in 53 ERA5. Particularly over the marginal ice zone, unrepresented variability and uncertainties in how to 54 parameterize surface exchange compromise the quality of the reanalyses. A parallel evaluation of higher 55 resolution forecast fields from the Met Office's Unified Model corroborates these findings. 56

57 **1. Introduction**

58 The subpolar seas of the North Atlantic are critically important for the global climate system as 59 they are the source of the dense waters of the Atlantic Meridional Overturning Circulation (AMOC). 60 Investigating coupled atmosphere-ocean processes, in particular surface turbulent heat and momentum 61 fluxes, are key steps to improving our understanding of the role that the North Atlantic subpolar seas 62 play within the AMOC (e.g. Buckley and Marshall 2016). The dominant contribution to the AMOC is from east of Greenland (Pickart and Spall 2007), as is the largest variability in volume transport (Lozier et al. 63 64 2019), pointing to the Norwegian, Barents, Greenland, Iceland and Irminger Seas as key locations for the 65 formation of dense water masses. Ocean circulation paradigms have shifted over the years: from when 66 it was thought the Iceland and Greenland Seas were the primary source of dense water via open ocean 67 convection (e.g. Swift and Aagaard 1981); to a view that consistent ocean cooling and densification 68 around the rim current of the Nordic Seas was dominant (e.g. Mauritzen 1996); to a shift back to the 69 importance of the Iceland and Greenland Seas due to the discovery of the North Icelandic Jet (Jónsson 70 and Valdimarsson 2004; Våge et al. 2011, 2013; Semper et al. 2019); and of areas of dense water in the 71 northwest Iceland and western Greenland Seas (Våge et al. 2018). Exactly where, when and how the 72 water-mass transformations take place, and how the dense water feeds the AMOC, are active areas of 73 research. These were key questions posed at the inception of the Iceland-Greenland Seas Project (IGP): 74 a coordinated atmosphere-ocean project – encompassing a rare wintertime field campaign – to observe, 75 analyse and model the coupled climate system in this region (see Renfrew et al. 2019a for an overview). 76 Here we make use of several atmospheric data sets gathered during the IGP field campaign, that 77 together provide unparalleled coverage of the region, to evaluate a state-of-the-art meteorological 78 reanalysis product. We focus on the surface-layer meteorology and surface fluxes - the salient fields for 79 atmosphere-ocean-sea-ice coupling.

80

81 Meteorological reanalyses are generated from the assimilation of observations into a consistent 82 version of a numerical weather prediction (NWP) forecast system by optimally blending short-range 83 forecasts with millions of observations through data assimilation. As the quality of NWP systems has 84 increased tremendously over recent decades (Bauer et al. 2015), so too has the quality of 85 meteorological reanalysis (Hersbach et al. 2020). They are an excellent tool for the analysis of the 86 climate system (e.g. Papritz and Spengler 2017); especially in regions with a paucity of in situ 87 observations such as the Iceland and Greenland Seas (e.g. Jung et al. 2016). However, it is vital to have 88 knowledge of the quality of reanalyses products before they are used for particular applications. This is 89 particularly important for the polar and subpolar regions, where the NWP systems have numerous well-90 known weaknesses, e.g. in the representation of stable boundary layers, mixed-phased clouds, sea ice

91 characteristics and surface exchange over heterogeneous surfaces or in the use of observations 92 (Bourassa et al. 2013; Vihma et al. 2014; Jung et al. 2016; Lawrence et al. 2019). All of these processes 93 will impact the quality of surface-layer meteorological variables and surface fluxes, raising questions as 94 to how accurate these fields will be in reanalyses, analyses and forecasts. Here we address this through 95 an evaluation of ERA5, the latest global reanalysis product produced by the European Centre for 96 Medium-range Weather Forecasts (ECMWF), against independent observations from the IGP. Our focus 97 is on ERA5 (Hersbach et al. 2020) as this is a relatively new product that has been produced to replace 98 and improve upon the popular ERA-Interim reanalyses (ERA-I; Dee et al. 2011), using enhanced 99 observations and a recent improved version of the ECMWF Integrated Forecasting System.

100

101 A number of recent evaluations of meteorological reanalyses have been carried out for the 102 whole Arctic (Lindsay et al. 2014; Bromwich et al. 2016); for the Arctic Ocean (Lüpkes et al. 2010; 103 Jakobson et al. 2012; Wesslen et al. 2014; Wang et al. 2019) and for the subpolar seas (Renfrew et al. 104 2009; Harden et al. 2011, 2015; Moore et al. 2016). All of the above evaluations have used ERA-I output 105 (or operational output from the same model cycle in Renfrew et al. 2009) and several also evaluate 106 other products such as the regional Arctic System Reanalyses (ASR; Bromwich et al. 2016; Moore et al. 107 2015, 2016; Wesslén et al. 2014) or other global reanalyses (e.g. Jakobson et al. 2012; Lindsay et al. 108 2014; Jones et al. 2016; Graham et al. 2019a, 2019b). A number of errors in surface-layer meteorology 109 have been revealed in these studies, e.g. all reanalyses tend to have wind speeds that are biased low 110 over land stations (Bromwich et al. 2016), especially for moderate-to-strong winds in regions of steep or 111 complex orography (Moore et al. 2015, 2016; Nygård et al. 2016; Jones et al. 2016), although higher 112 resolution partly ameliorates this problem (Renfrew et al. 2009; DuVivier and Cassano 2013; Moore et 113 al. 2016). ERA-I usually performs comparatively well against other global reanalyses (e.g. Jakobson et al. 114 2012; Lindsay et al. 2014; Jones et al. 2016). The limited evaluations of ERA5 so far indicate it also 115 performs well against independent radiosonde observations and for radiative fluxes in spring and 116 summer over the Arctic Ocean (Graham et al. 2019a, 2019b), and outperforms ERAI for global oceanic 117 wind fields when compared to scatterometer observations (Belmonte Rivas and Stoffelen 2019).

118

Focusing on evaluations for the subpolar seas, ERA-I generally does well at representing surfacelayer temperatures, winds, humidity and turbulent fluxes, although with more scatter in relative humidity and turbulent fluxes (Harden et al. 2015), and with similar findings for the equivalent operational ECMWF analyses evaluated in Renfrew et al. (2009). For example, comparing against two years of meteorological buoy observations in the central Iceland Sea, the ERA-I biases (root-meansquare errors, RMSE) in air temperature, relative humidity, wind speed and sensible heat flux were 0.43

(0.82) K, -5.5 (8.4) %, 0.12 (1.6) m s⁻¹ and -8.3 (15.8) W m⁻² respectively (Harden et al. 2015). Moore et al. 125 126 (2008) report comparable discrepancies in air temperature and wind speed against 5 months of buoy 127 observations from the Irminger Sea for the North American Regional Reanalyses (NARR). Dukhovskoy et 128 al. (2016) find similar differences in wind speed from the ASR, the Climate Forecast System Reanalysis 129 (CFSR) and satellite-derived products when compared against the same buoy observations. However, if 130 QuikScat scatterometer winds are taken as truth, they find the biases (RMSE) in the ASR and CFSR winds are <0.5 (1) m s⁻¹ in the subpolar North Atlantic and Nordic Seas. Closer to the steep orography of 131 132 coastal Greenland there are challenges in representing orographic flows and 10-m wind speed biases (RMSE) increase to approximately -2 (3-4) m s⁻¹ (Renfrew et al. 2009; Harden et al. 2011; Moore et al. 133 134 2015, 2016).

135

136 Reviewing previous reanalyses evaluations, it is clear that there are some gaps in knowledge. 137 Over the subpolar seas there have been no specific evaluations of reanalysis products over sea ice or the 138 marginal ice zone (MIZ), the zone of more variable sea-ice conditions where waves and swell impact the 139 sea ice. Renfrew et al. (2009) show a handful of aircraft observations over the MIZ that illustrate 140 substantial differences in surface temperature, air temperature and wind speed between the various 141 models and the observations, but there are too few data points for a quantitative analysis. All of the 142 Arctic Ocean evaluations currently available are for near-100% ice concentrations, meaning the quality 143 of reanalyses over any Arctic MIZ is currently unknown.

144

145 Our observations come from three separate platforms – a meteorological buoy, a research vessel 146 and a research aircraft – all used during the IGP to make observations of the atmospheric surface layer 147 (Renfrew et al. 2019a). Our meteorological buoy was in the NW Iceland Sea (see Figure 1) for 78 days in 148 an open ocean location – closer to the sea ice than the central Iceland Sea buoy of Harden et al. (2015). 149 Our research vessel, the NRV Alliance, traversed the Iceland and Greenland Seas for 43 days, 150 penetrating the MIZ on several occasions. While our research aircraft primarily targeted the NW Iceland 151 Sea and the MIZ in particular, with observations from 9 flights included here. Combining data from these 152 three platforms allows us to make a comprehensive evaluation of ERA5 over the winter-to-spring 153 period; and for the first time we are able to contrast ice-free ocean and MIZ conditions.

154

155 In Section 2 we describe the observations, model output and the methods employed. Section 3 156 provides an evaluation of ERA5 for the ice-free ocean and for the MIZ, revealing contrasts in accuracy. In 157 Section 4 we explore why this is the case, aided by an evaluation of higher resolution limited-area analyses and forecasts from the Met Office Unified Model. Section 5 provides conclusions and

159 recommendations.

160

161 **2.** Data sets and methodology

162 **2.1 Observations from a meteorological buoy**

163 A Seawatch Wavescan meteorological buoy was deployed on 17 February 2018 in the NW 164 Iceland Sea at 70°38.38 N, 15°24.58 W. It worked well for 78 days before breaking loose from its anchor. 165 Hourly observations of air temperature, relative humidity (RH), air pressure, solar radiation, wind speed 166 and wind direction were made at a height of ~3 m (see Table 1 for instrumentation details and 167 estimates of accuracy for all platforms). In addition, observations of sea surface temperature (SST), 168 ocean currents and wave height, period and direction were recorded. All variables were quality 169 controlled with outliers and non-physical measurements removed. Quality control procedures revealed 170 the air pressure to be erroneous for about half of the deployment, so the mean-sea-level pressure from 171 ERA5 is used when needed to derive other variables. Unfortunately, the SST was not measured reliably, 172 so instead we use the shallowest (8 m) ocean temperature from an adjacent ocean mooring (see 173 Renfrew et al. 2019a). At this time of the year, and in this location, the ocean surface layer is generally 174 well mixed, so this substitution is reasonable when comparing mean values (e.g. Våge et al. 2018). 175 However, at 8 m depth the variability in temperature will be reduced compared to that at the surface. A 176 comparison to observations at the meteorological station on Jan Mayen revealed that the air 177 temperature was erroneous during a short cold period in April (likely due to icing). Note that the buoy 178 observations were not made available to meteorological forecast centres, so are independent of ERA5.

179

180 **2.2 Observations from the research vessel**

181 A time series of surface-layer meteorological variables has been generated from the 43-day 182 cruise of the NATO research vessel Alliance in February-March 2018 in the Iceland and southern 183 Greenland Seas (Fig. 1; see Renfrew et al. 2019a). Temperature, pressure and RH were taken from the 184 WeatherPak shipboard systems mounted at ~15 m above sea level on the bow mast (see Table 1). 185 Unfortunately, these systems had some technical problems, so a careful quality control procedure was 186 implemented, with timing, linear regression and bias checks against independent measurements from 187 the boat deck, which were then used to fill in several small gaps in the WeatherPak time series. Due to 188 instrument problems with the WeatherPak anemometers, and to avoid periods of sheltering by the 189 ship's superstructure, here we take wind speed and wind direction from the lowest bin (40 m) of a 190 Doppler wind lidar (a Leosphere WindCube v2 8.66) located on the boat deck. A novel correction 191 algorithm for translational motions of the ship, as well as established corrections for the pitch, roll and

192 yaw of the Alliance, based on intertial motion unit measurements was implemented (Duscha et al. 193 2020). SST was measured by a bow temperature sensor with checks against 2-m measurements from an 194 underway Conductivity-Temperature-Depth (CTD) system. Underway salinity measurements were also 195 used to confirm when a few SST measurements were erroneous and interpolated CTD data were used to 196 cover a few short episodes of missing SST data. Periods of time in port have been removed from the 197 time series. All variables were quality controlled with outliers and non-physical measurements removed. 198 Here we use 10-minute averaged data. Note that data from radiosondes released from the Alliance 199 were sent to forecast centres in real time, so were available for assimilation into operational systems 200 and reanalyses. However, the ship-based measurements used here are independent of ERA5.

201

202 **2.3 Observations from the research aircraft**

203 Surface-layer observations are also available from our coordinated aircraft campaign in February 204 and March 2018. We used the British Antarctic Survey's instrumented DH6 Twin Otter aircraft for 14 science missions (Flights 292-306), several in the vicinity of the Alliance, and more than half flying over 205 206 the MIZ. A summary of the IGP meteorological field campaign is given in Renfrew et al. (2019a). A 207 number of minor technical issues arose during the quality control of the aircraft data: the radar 208 altimeter wasn't functioning on the first three flights, so was substituted by a calibrated GPS altitude; 209 icing on the turbulence probe prevented calculation of 50 Hz winds on flights 292 and 297, so substitute 210 horizontal winds were calculated using pitot tube and inertial navigation unit measurements; the 1 Hz 211 temperature data were not available on flight 297; and high frequency humidity data were missing for 212 part of flight 294 due to a mission scientist blunder. The airborne surface temperature is based on a 213 downward pointing infra-red thermometer which needs to be calibrated. Here we follow Cook and 214 Renfrew (2015) and apply a constant offset for each flight determined by a comparison against ERA5 215 SSTs over open water. We also checked that the corrected surface temperatures were consistent for co-216 located data points and physically realistic with respect to the sea-ice cover. It is worth noting that the 217 Heimann infra-red thermometer is only accurate to within ±1 K (c.f. Table 1). Minor flight-dependent 218 timing adjustments were made to the 50 Hz thermistor, humidity sensor and GPS altimeter data on all 219 the flights to account for their positions on the aircraft and the instrument response times, based on 220 lagged correlations with vertical velocity observations. In addition, there was initially a problem with 221 partitioning the horizontal wind into components. A careful analysis of adjacent flight legs with 222 reciprocal headings allowed us to apply a small correction to the true air speed and heading (~1 degree) 223 and thus derive accurate wind components for all flights. The aircraft-based observations are 224 independent of ERA5.

226 Here we use observations from the 9 successful marine flights. These include over 400 minutes 227 flying in the atmospheric surface layer (over 230 minutes over the MIZ) typically at 20-50 m above sea 228 level. We have divided our surface-layer legs into 'runs' of 150 s (approximately 9 km) and we calculate 229 mean and turbulent quantities for each run. A run length of 150 s was chosen following sensitivity 230 testing – it is a reasonable compromise between capturing the vast majority of the fluxes and 231 accommodating the heterogeneous surface conditions (see Elvidge et al. 2016; Grunwald et al. 1996). 232 The mean variables used here are air temperature, RH, specific humidity, wind speed, surface 233 temperature and ice fraction (derived from albedo and surface temperature – see Elvidge et al. 2016). 234 The turbulent variables used are momentum flux, sensible heat flux and latent heat flux – calculated 235 using the eddy covariance method following Petersen and Renfrew (2009). A strict quality control 236 procedure is applied with covariances, co-spectra and ogives all checked. One concern with this 237 technique is the relatively large sampling error when measuring turbulence for a relatively short time. 238 This sampling error is typically around 30 – 40% of the magnitude of the flux (e.g. Drennan et al. 2007; Petersen and Renfrew 2009; Weiss et al. 2011). To compensate for this, the data are usually averaged 239 240 together to obtain robust results, e.g. into wind speed bins. Here we will directly compare covariances 241 fluxes to model output. We use this approach because there is not currently a widely accepted bulk flux 242 algorithm for estimating surface fluxes over the MIZ. However, this approach is unusual; more 243 commonly, meteorological observations are used to derive bulk flux estimates from an offline algorithm, 244 with these bulk fluxes then compared to model fluxes (e.g. Renfrew et al. 2002, 2009). Our approach 245 means that the sampling error needs to be taken into account. In other words, for a comparison to be 246 valid there needs to be sufficient data points for the statistical quantities to be robust; we believe this 247 the case, with the possible exception of the aircraft-based fluxes over water.

248

249 **2.4 ERA5 reanalysis**

250 ERA5 is the fifth generation ECMWF atmospheric reanalysis (Hersbach et al. 2020). ERA5 is 251 produced using cycle 41r2 of the Integrated Forecast System (IFS) model, using a four-dimensional 252 variational data assimilation scheme. The reanalysis benefits from a relatively high-resolution grid with 253 137 vertical levels and a horizontal grid spacing of 0.28125° (~31 km, or T_L639 triangular truncation). The 254 time frequency of atmospheric reanalyses parameters is 1 h and we use instantaneous meteorological 255 variables and hourly mean surface fluxes. Besides a higher spatiotemporal resolution, ERA5 has a 256 number of additional advantages over its predecessor, ERA-I (whose production stopped in 2019). The 257 ERA5 data assimilation is enhanced by using not only satellite radiances, but also ozone, aircraft and 258 surface pressure data in the variational data assimilation scheme. ERA5 also assimilates a number of 259 humidity-sensitive satellite channels using the all-sky approach instead of the clear-sky approach used in

260 ERA-I, thus providing new information during cloudy and precipitating conditions. In addition, various 261 reprocessed datasets and recent instruments that could not be ingested in ERA-I are included in ERA5. 262 These improvements result, among other things, in more consistent sea surface temperature and sea ice 263 cover, compared to that for ERA-I. The evolution of SST and sea ice cover in ERA5 is based on a number 264 of products over different periods of time (Hersbach et al. 2020). The Met Office's Operational 265 Sea-surface Temperature and Sea Ice Analysis (OSTIA) dataset is used from 2007 to present; and this 266 uses the EUMETSAT Ocean and Sea Ice Satellite Applications Facility (OSI-SAF) 401 data set for sea ice 267 concentration (Donlon et al., 2012). OSTIA provides daily updated SST and sea ice fields, primarily 268 sourced from satellite observations, with a horizontal resolution of 1/20° (~ 6 km). OSTIA is also used in 269 the ECMWF's operational forecasting system. The ERA5 SST does not vary during the day; although 270 there is not an observable diurnal signal in SST in this region anyway.

271

272 **2.5 Met Office analyses**

273 The Met Office Unified Model (MetUM) is a state-of-the-art, non-hydrostatic atmospheric model 274 used for operational weather forecasting and as a component in climate models. Here we analyse 275 limited-area simulations made using version 10.6 of the MetUM and a standard parameterization 276 configuration generally following that used operationally in the limited-area km-scale RAL1-M 277 configuration (e.g. Bush et al. 2020). This configuration has proven to be reasonably accurate at 278 simulating cases of cold-air outbreaks and polar lows in this area (e.g. Sergeev et al., 2017; Renfrew et 279 al., 2019b). It employs daily updated sea ice and sea surface temperature fields from OSTIA (as used in 280 ERA5). Here the model domain covers an area approximately 1000 x 1500 km across the Iceland and 281 Greenland Seas (see Fig. 13 in Renfrew et al. 2019a). The setup has a horizontal grid spacing of 0.02 282 degrees (~2.2 km) and 70 vertical levels – the lowest of which is at a height of 2.5 m over the ocean. The 283 limited area model is forced at its lateral boundaries by a global MetUM simulation which employs a 284 horizontal grid spacing of ~10 km (N1280) with 70 vertical levels and also generally follows operational 285 settings. We use instantaneous hourly model output from the simulations initialised at 00 UTC that day.

286

287 **2.6 Comparison methodology**

We have used the COARE3 bulk flux algorithm (c.f. Fairall et al. 2003) to adjust the meteorological observations from the buoy and research vessel to standard levels (e.g. 2-m temperature, 10-m wind) and to estimate surface turbulent fluxes. We have matched the model output to the observations as follows. For the buoy and the research vessel observations we use linear spatial interpolation and match hourly observations and model output. For the aircraft observations we use linear interpolation to the height of the observations and for ERA5 the nearest neighbour was used spatially and nearest hour in time, while for the MetUM a linear interpolation was used in both spaceand time.

296

297 The meteorological buoy was located in the ice-free ocean, whereas both the research vessel 298 and aircraft cross from the open ocean into the MIZ on numerous occasions (Fig. 1). For the following 299 comparison we have divided both of these time series into subsets that are 'over water' and 'over the 300 MIZ'. For the research vessel, a time series of satellite-derived ice fraction is derived from the nearest 301 OSTIA grid point to the position of the Alliance every hour. The Alliance is designated as over the MIZ 302 when the ice fraction > 0. This is a pragmatic approach given that in situ observations of ice fraction are 303 not available. For the aircraft, ice fraction is estimated using an albedo derived from shortwave radiation 304 observations (after Elvidge et al. 2016). As above, we designate data as over the MIZ when the ice 305 fraction > 0. Note using an alternative temperature-based ice fraction produces very similar results.

306

307 3. An evaluation of ERA5 for the Iceland and Greenland Seas region

Surface-layer meteorology and surface turbulent fluxes generally compare well to observations from the meteorological buoy. **Figure 2** shows a very good correspondence over time for 2-m air temperature (T_{2m}) and 10-m wind speed (U_{10m}). All the major variability is captured, and the timing of the changes is generally in very good agreement. There are a few periods of larger difference, e.g. when the maxima and minima are not captured. The correspondence illustrated here is generally representative of the other variables.

314

Figure 3 shows scatter plots for the buoy observations versus ERA5 output and Table 2 gives 315 316 selected statistics, including the correlation coefficient and slope of a linear regression fit, the bias 317 (model – observations) and the RMSE (root-mean-square error). The correspondence in T_{2m}, RH_{2m}, 318 specific humidity (q_{2m}), and the surface heat fluxes is very good, with low biases and relatively low RMSE 319 (e.g. smaller than the standard deviation of the observations). There is a dry bias of -4.7% in RH_{2m} (or -0.14 g kg⁻¹ in q_{2m}). The SST comparison has a small bias (0.07 K); however, recall the observations are 320 321 from a depth of 8 m, which likely inhibits the observed variability compared to the ERA5 (OSTIA) 322 variability. The correspondence in wind speed and momentum flux (τ) are noticeably poorer. The biases 323 are relatively large, 0.61 m s⁻¹ in U_{10m}, and the linear regression slopes deviate from 1. There is evidence 324 that this is partly due to a sheltering of the buoy by waves (c.f. Large et al. 1995). To examine this we 325 have divided the data into quartiles by observed significant wave height and the U_{10m} biases (regression 326 slopes) for each quartile are: 0.14 m s⁻¹ (0.8); 0.50 m s⁻¹ (0.91); 0.53 m s⁻¹ (1.09); 1.52 m s⁻¹ (1.13). There 327 is a clear worsening in correspondence with significant wave height, suggesting the wind and

momentum flux biases may be entirely due to the buoy sheltering. There is also a bias of -15 degrees in wind direction, i.e. ERA5 has winds coming from a more easterly direction. Overall, our buoy comparison is qualitatively and quantitatively similar to a comparison of ERA-I to a buoy in the central Iceland Sea by Harden et al. (2015); and to a comparison of NARR, ASR and CFSR output to buoy observations in the Irminger Sea and the central Iceland Sea (Moore et al. 2008; Dukhovskoy et al. 2016).

333

Observations from the *Alliance* as it traversed the Iceland and Greenland Seas are shown in a time series in **Figure 4** and illustrate it penetrating the MIZ on eight occasions (see also Fig. 1). The proximity of the *Alliance* to sea ice results in greater variability in T_{2m} and SST than at the buoy. ERA5 generally captures the timing of this variability well, although it does fail to capture some of the cold extremes and appears poorer for SST at times, especially close to the MIZ. The correspondence in U_{10m} is generally very good.

340

341 A quantitative evaluation of ERA5 over water and over the MIZ is presented in Figure 5 and Table 342 3 for the ship-based observations and in Figure 6 and Table 4 for the aircraft-based observations. The 343 tables give selected statistics for each time series as well as the bias and RMSE separately for over water 344 and over the MIZ. The scatter plots are shaded to represent open water (blue) or the MIZ (white) for the 345 ship, and ice fraction (blue to white) for the aircraft. Generally, the correspondences – as measured by 346 the correlation coefficient and linear regression slope – are good and similar for the ship- and aircraft-347 based comparisons. The correspondences for RH and the turbulent fluxes are noticeably worse for the 348 aircraft comparison, partly due to the sampling issues discussed in section 2.4 and the small size of the 349 data subset. We now discuss the comparisons for over water and for over the MIZ in turn.

350

351 *Over water,* the ERA5 biases against ship-based observations are generally small and the RMSE 352 are small compared to the standard deviation of the observations (see Table 3). Compared to the buoy 353 results: the correlation, slope and RMSE are similar for T_{2m}, RH_{2m}, q_{2m}, the sensible heat flux (SHF) and 354 the latent heat flux (LHF). The bias is higher for T_{2m} (0.49 K compared to 0.05 K) and for the SHF, while 355 there is considerable scatter in the SST comparison, all likely due to the proximity to sea ice. In contrast 356 to the buoy comparison, for U_{10m} the linear regression slope is low due to high wind speeds being under 357 predicted (Fig. 5c) and this contributes to a bias of -0.20 m s⁻¹ over water. Similar to the buoy results, 358 there is an easterly bias of -7 degrees in wind direction and high accuracy in the surface flux estimates 359 (the RMSE is less than half the observed standard deviation). In the aircraft comparison, there are 69 360 data points over water (only 44 for the turbulent fluxes). For the meteorological variables the accuracy 361 is generally similar to that found for the buoy and ship comparisons over water, e.g. the RMSE are

362 generally similar. There is a dry bias in RH, as well as a low slope and negative bias in wind speed, that 363 are consistent with the buoy and ship comparisons. A failure to represent the highest wind speeds over 364 the ocean has been seen in previous studies (e.g. Renfrew et al. 2009; Li et al. 2013). Overall the 365 correspondence over water is very good, largely consistent between the buoy, ship and aircraft 366 comparisons and similar to previous evaluations of ERA-I for the subpolar seas (e.g. Harden et al. 2015).

367

Over the MIZ, there are typically 84 data points in the ship-based comparison and 88 in the 368 369 aircraft comparison. Figures 5 and 6 illustrate that ERA5 is less accurate over the MIZ than over water. 370 For example, there is a clear increase in scatter with increasing ice fraction (paler dots) in Fig. 6. 371 Examining the statistics (Tables 3 and 4) the RMSE are greater over the MIZ than over water for all 372 meteorological variables (except for RH/q in the aircraft comparison) and for all the surface fluxes 373 (except for momentum in the aircraft comparison)¹. For some variables the RMSE over the MIZ are particularly large; e.g. 2.94 K for T_{sfc} and 2.42 m s⁻¹ for U from the aircraft. Note the RMSE for SST are 374 375 similar over the MIZ and over water in the ship comparison. This difference reflects that the Alliance was 376 on the fringes of the MIZ and actively avoiding sea ice, whereas the aircraft went much deeper into the 377 MIZ. In general, the accuracies between the aircraft- and ship-based comparisons over the MIZ are 378 consistent, but there are quantitative differences due to the aircraft observations being from flight level 379 (30-70 m) or derived differently. Turning to the biases, these are larger over the MIZ for all of the ship-380 based comparisons, except T_{2m} and wind direction; but this finding is not consistent with the aircraft-381 based comparison.

382

In short, combining the comparisons from the three observing platforms demonstrates that ERA5 is significantly less accurate over the MIZ than over water for both the surface-layer meteorology and surface turbulent fluxes. This is clearly demonstrated by contrasting the RMSE *over water/over the MIZ*:

- for air temperature, surface temperature, wind speed: 0.78/1.00 K, 0.47/2.94 K, 1.77/2.42 m s⁻¹
 from the aircraft comparison (Table 4); and
- for momentum flux, sensible heat flux, and latent heat flux: 0.077/0.098 N m⁻², 20.9/35.2 W m⁻²,
 and 14.2/20.1 W m⁻² from the ship comparison (Table 3).
- 391 In the next section we examine the causes of this lower accuracy over the MIZ.
- 392
- 393

¹ The two exceptions, for RH/q and the momentum flux, are both due to the aircraft RMSE over water being surprisingly large (when compared to the ship or buoy comparisons), primarily due to the large variances for these variables over water and the relatively small size of the data subset.

394

395 4. Investigating the reduced accuracy of ERA5 over the marginal ice zone

396 There are a number of possible reasons why the surface-layer meteorology and the surface 397 fluxes from ERA5 are less accurate over the MIZ. There is an increase in the heterogeneity of many 398 surface properties over the MIZ compared to over the ice-free ocean; for example, in surface 399 temperature, surface roughness and albedo as is evident from our aircraft-based observations (e.g. Fig. 400 6f). Perhaps ERA5 cannot represent this heterogeneity due to limitations in the data assimilated. Or 401 perhaps there are deficiencies in model parameterizations (e.g. in surface exchange) which may be more 402 acute during meteorological conditions that are more prevalent over the MIZ. Here we investigate these 403 possibilities primarily by focussing on some of the aircraft observations.

404

405 It is instructive to consider a case study and here we compare the observations and ERA5 output 406 off SE Greenland on 8 March 2018, when the aircraft spent a considerable amount of time over the 407 pack-ice and twice crossed the ice edge. Figure 7a shows the aircraft-observed ice fraction plotted over 408 a Sentinel Synthetic Aperture Radar (SAR) backscatter image, while Figure 7b shows the same data 409 overlaid on ice fraction derived from the Advanced Microwave Scanning Radiometer 2 (AMSR2) -410 Spreen et al. (2008). The ice fraction is plotted using the same colour bar for the aircraft and satellite-411 derived observations. Most of the pack-ice is highly concentrated, with some leads and polynyas, as well 412 as some narrow filaments of sea ice at an otherwise very narrow ice edge zone. The AMSR2 data 413 correspond well to the SAR image, capturing the shape of the well-defined ice edge and the coherent 414 patches of lower ice fraction, and also match the aircraft ice fraction observations reasonably well. Note 415 the seemingly different observations from the easternmost SW to NE leg are only just below an ice 416 fraction of 0.8. In contrast to this, Figures 7d,f,h show sea ice from the satellite-derived OSTIA analysis 417 that is assimilated into ERA5. This has a much smoother sea-ice distribution. The gradient in ice fraction 418 across the OSTIA MIZ is spread out over 50-80 km and does not match the abrupt ice edge seen in the 419 aircraft observations, the SAR image or the AMSR2 data. The OSTIA product has a grid size of 1/20th 420 degree (~6 km) and has recently undergone an upgrade in its data assimilation algorithm to capture 421 fine-scale fronts in SST (Fiedler et al. 2019), so it should be able to resolve the observed MIZ gradient. 422 The smoothness of the sea-ice field is due to the relatively coarse resolution of the input data, i.e. the 423 OSI-SAF 401 data (Tonboe et al. 2016), which is based on SSMI observations from the 19 and 37 GHz 424 channels which have along-track resolutions of 69 and 37 km, respectively.

425

The aircraft observations illustrate a clear division between conditions over the sea ice and over water. There is a sharp increase in T, U and SHF progressing across the ice edge into open water, with

- the SHF rising from 0 to ~100 W m⁻² over 30 km for example. There are also sharp increases in T_{sfc} , RH, q and LHF (not shown). In contrast, the gradients from ERA5 are much weaker and smoother; for example, the SHF rises from 0 to ~100 W m⁻² over ~80 km. It is evident that the overly smooth sea-ice field in ERA5 leads to overly smooth surface-layer meteorology and flux fields.
- 432

433 Figure 8 illustrates another case study from 16 March 2018. As before, the AMSR2 sea-ice 434 distribution matches the SAR image and aircraft observations well, whereas the OSTIA sea-ice 435 distribution is too smooth, with an ice edge that is smeared out over 60-100 km instead of 10-20 km. 436 Again, there is an increase in observed U, T and SHF across the MIZ, with a sharp increase at the ice edge 437 in the southernmost leg. The pattern is broadly captured in ERA5, but with weaker gradients and an 438 overly smooth distribution. These cases illustrate that ERA5 does not represent the sea-ice distribution 439 across the MIZ very well and that this directly impacts the simulated surface-layer meteorology and 440 fluxes. Looking across all the aircraft data over the MIZ, the linear regression slope for ice fraction is only 441 0.64 – confirming the smearing out of ice fraction seen in Figs. 7 and 8 – and there are also low 442 regression slopes for T, T_{sfc}, U, momentum flux, SHF and LHF (not shown). Using all of the IGP aircraft 443 observed ice fraction data it is clear the AMSR2 ice fraction is more accurate than the OSTIA ice fraction; 444 for example, the RMSE and linear regression slopes are 0.17/0.19 and 1.00/0.75 respectively.

445

446 ERA5 has a grid size resolution of about 30 km and is thus limited in its representation of spatial 447 gradients. To examine whether this was the decisive factor, we have carried out a parallel evaluation of 448 output from a set of MetUM forecasts that have a grid size of 2.2 km (see Section 2.5 for model details). 449 Figure 9 shows MetUM output for the 8 and 16 March 2018 case studies. Note the OSTIA surface 450 boundary conditions used in these forecasts are from two days earlier than those used in ERA5 451 (although this makes little qualitative difference). In both cases studies, the MetUM suffers from similar 452 problems as ERA5: the spatial gradients are smeared out into an overly smooth distribution and the 453 abrupt increases in T, U and SHF at the ice edge are not captured (compare Fig. 9 to Figs. 7 and 8). Note 454 in the 8 March case, the MetUM is uniformly about 1 K too cold and the winds are too strong over the 455 ice (also the case for ERA5), although the MetUM does capture the high winds over water in the 456 easternmost leg (unlike ERA5). In the 16 March case, the MetUM is uniformly about 2 K too warm (also 457 the case for ERA5).

458

Table 5 provides an evaluation of the MetUM for all the marine flights. The mean, standard
 deviation, correlation coefficient and linear regression slope are generally very similar to those of the
 ERA5 comparison for the meteorological variables (c.f. Table 4). The mean fluxes are higher, giving a

462 better match for the momentum flux, but a worse match for the SHF. The bias and RMSE are shown 463 separately for over water and over the MIZ and they generally follow the same qualitative pattern as 464 those of ERA5. For example, there is a negative bias in T over water and a positive bias in T over the MIZ. 465 As for ERA5, the RMSE are greater over the MIZ than over water for all the meteorological variables 466 (except q and wind direction). For the turbulent heat fluxes, the RMSE over the MIZ are large but, as 467 discussed earlier, over water the large variance and relatively small dataset make this comparison 468 unreliable. Note an evaluation of the MetUM forecasts against the buoy observations gives RMSE over 469 water of 21 and 18 W m⁻² for the SHF and LHF respectively, compared to 48 and 31 W m⁻² over the MIZ 470 (Table 5). This implies that the MetUM heat fluxes are less accurate over the MIZ than over water, in 471 keeping with our findings for ERA5.

472

In short, the ERA5 and MetUM comparisons over the MIZ are remarkably similar and have the same major deficiencies. This suggests a common cause: the overly smooth sea-ice distribution in the surface boundary conditions. The evidence points to this being the primary reason for less accurate simulations over the MIZ. However, there are other factors to consider:

- The biases in the SHF and LHF over the MIZ are relatively large in magnitude for both ERA5 (Table
 3) and the MetUM (Table 5). This raises questions about the surface exchange parameterization
 over the MIZ, which are being pursued in a separate study. Recent work has demonstrated that
 an improved surface exchange scheme for momentum can significantly improve forecasts for
 surface-layer meteorology and fluxes over the MIZ, regionally and globally (Renfrew et al.
 2019b).
- The atmospheric conditions (e.g. static stability) may be different over the MIZ and over water.
 Even if this is the situation it seems unlikely to be the dominant factor, especially as the aircraft based comparison uses data from legs that often cover both the MIZ and open water.
- The models may not properly resolve the heterogeneity and sharp contrasts of the MIZ. The
 ERA5 grid size makes it impossible to fully represent the detailed sea-ice distribution seen in the
 AMSR2 product; however, it should be able to represent more detail than it currently does. The
 limiting factor appears to be the very smooth OSTIA sea-ice distribution, which is based on the
 OSI-SAF 401 product.
- 491

492 **5.** Conclusions

A comprehensive evaluation of surface-layer meteorology and surface turbulent fluxes in ERA5
 for winter conditions over the Iceland and Greenland Seas has been presented. Observations from three
 platforms – a meteorological buoy, a research vessel and a research aircraft – provide unparalleled

496 coverage of both the ice-free ocean and the marginal ice zone (MIZ) that is independent from the 497 reanalyses and forecasts. These observations allow the first evaluation of meteorological reanalyses that 498 focuses on the MIZ. In general, ERA5 performs well: it captures the temporal variability very well and the 499 spatial variability qualitatively well. The biases are significantly less than the observed standard 490 deviations for all variables. Over water, ERA5 performs very well and broadly in line with previous 501 evaluations of ERA-I for the subpolar seas (e.g. Harden et al. 2015). Over the MIZ, ERA5 is less accurate 502 for almost all variables. This is clearly demonstrated by contrasting the RMSE *over water/over the MIZ*:

- for air temperature, surface temperature, wind speed: 0.78/1.00 K, 0.47/2.94 K, 1.77/2.42 m s⁻¹
 from the aircraft comparison (Table 4); and
- for momentum flux, sensible heat flux, and latent heat flux: 0.077/0.098 N m⁻², 20.9/35.2 W m⁻²,
 and 14.2/20.1 W m⁻² from the ship comparison (Table 3).

507 There is also a bias in surface temperature over the MIZ of about 1 K in the aircraft comparison. A 508 parallel evaluation of a set of forecasts from a 2-km configuration of the MetUM has similar findings. 509

The primary cause of the lower accuracy over the MIZ is an overly smooth sea-ice distribution in the prescribed surface boundary conditions. These use the OSTIA SST and sea-ice analysis which takes sea ice concentration from the OSI-SAF 401 product. The OSTIA sea-ice concentration gradient is too weak compared to aircraft observations, SAR imagery or satellite observations from AMSR2. This has an impact on the surface-layer meteorology and fluxes, which also have gradients that are too weak across the MIZ. It is likely that the surface exchange parameterization over the MIZ also has some limitations, but these appear secondary.

517

518 Our findings suggest the hypothesis that a more accurate and precise sea-ice concentration 519 would yield a better performance from meteorological reanalyses or forecasts for surface-layer 520 meteorology and fluxes in the marginal ice zone. There is evidence from idealised modelling studies that 521 the atmospheric surface layer is strongly impacted by the sea-ice distribution both locally and for 522 hundreds of kilometres downstream (e.g. Liu et al. 2006; Chechin et al. 2013; Gryschka et al. 2008; 523 Müller et al. 2017; Batrak and Müller 2018); and case studies have shown an improved sea-ice 524 distribution can improve the surface-layer meteorology (e.g. Outten et al. 2009; Smith et al. 2013). 525 Verifying this hypothesis for the IGP data or, more generally, for the subpolar North Atlantic region 526 should be a next step and would provide further motivation for improving the sea-ice data used as initial 527 conditions in meteorological reanalyses and forecasts.

528

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- 545 <u>https://github.com/SciTools/cartopy/archive/v0.17.0.tar.gz</u>) and Iris v2.2 (11-Oct-2018, Met Office, UK,
- 546 <u>https://github.com/SciTools/iris/archive/v2.2.0.tar.gz</u>). This study is a contribution to the Year of Polar
- 547 Prediction (YOPP), a flagship activity of the Polar Prediction Project, initiated by the World Weather
- 548 Research Programme of the World Meteorological Organisation.
- 549

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726 **Table 1 | Accuracy estimates for selected instruments on each observing platform.**

727

Platform	Instruments	Measured quantity and (estimated accuracy)
Seawatch Wavescan	Vaisala HMP155	temperature (\pm 0.2 K) and RH (\pm 2%)
buoy	Vaisala PTB330A	pressure (±0.25 hPa)
	Young Ultrasonic Anemometer	wind speed (±2% of value ±0.1 m s ⁻¹ , so ±0.3 m s ⁻¹
	(86106)	at 10 m s ⁻¹)
Mooring	Sea-Bird Scientific SBE37	8-m temperature (±0.002 K)
	MicroCat	
Research vessel	WeatherPak	temperature (\pm 0.2 K), RH (\pm 2 %) and windspeed
		(±0.3 m s ⁻¹)
	Leosphere Windcube Lidar	horizontal wind speed (\pm 0.2 m s ⁻¹ , after averaging)
	Seabird SBE38 bow thermometer	sea surface temperature (\pm 0.001 K)
Research aircraft	Rosemount thermometer	temperature (±0.3 K)
	BAT turbulence probe	wind speed ($\pm 0.3 \text{ m s}^{-1}$)
	Buck cooled mirror hygrometer	dewpoint T (\pm 0.25 K to \pm 1 K with decreasing T)
	Heimann infrared thermometer	surface temperature (±1 K)
	Radar altimeter	altitude (±3 m)
	Eppley PSP pyranometers	shortwave radiation (±3%)

728

Note to obtain wind speeds from the research vessel and aircraft, data on the location and platform

motion has to be combined with the measurements from the named instruments (e.g. Duscha et al.

731 2020; Renfrew et al. 2008). For brevity accuracy estimates are only given for the derived wind speed.

The uncertainty in aircraft winds given here is higher than previous studies – Fiedler et al. (2010), Weiss

et al. (2011) – due to the post-flight calibration that was required. Note an uncertainty in dewpoint

temperature of ± 0.5 K is equivalent to an uncertainty of ± 0.08 g kg⁻¹ in specific humidity and $\pm 3\%$ in

relative humidity (RH) at the air temperatures observed.

737 Table 2 | Comparison of hourly buoy observations and ERA5 output.

738

	T _{2m}	SST	q _{2m}	RH _{2m}	U_{10m}	WD	τ	SHF	LHF
	(°C)	(°C)	(g kg ⁻¹)	(%)	(m s ⁻¹)	(deg)	(N m ⁻²)	(W m ⁻²)	(W m ⁻²)
Mean: buoy	-1.43	(0.26)	2.92	83.0	7.54	122	0.112	23.6	28.1
ERA5	-1.38	0.33	2.78	78.3	8.15	106	0.164	21.6	34.9
Std. dev.: buoy	2.76	(0.11)	0.85	11.7	3.00	94	0.095	39.9	29.4
ERA5	2.55	0.28	0.86	12.8	3.65	96	0.179	41.9	33.6
Correlation coefficient	0.92	-	0.95	0.85	0.92	0.97	0.89	0.90	0.91
Slope	0.85	-	0.95	0.93	1.12	0.99	1.69	0.94	1.04
N	1156	1665	1556	1665	1556	1484	1556	1556	1556
Bias error	0.05	(0.07)	-0.14	-4.7	0.61	-15	0.052	-1.7	6.9
RMSE	1.11	(0.31)	0.31	8.2	1.62	28	0.116	18.7	15.7

739

740 The variables are: temperature at 2 m, T_{2m} (°C); sea surface temperature, SST (°C); specific humidity at 2 m, q_{2m} (g kg⁻¹); relative humidity at 2 m, RH_{2m} (%); wind speed at 10 m, U_{10m} (m s⁻¹); wind direction, WD 741 (deg.); surface momentum flux, τ (N m⁻²); surface sensible heat flux, SHF (W m⁻²); and surface latent heat 742 743 flux, LHF (W m⁻²). Note the wind direction time series are filtered to remove data where the difference is 744 greater than 270°. The observed surface turbulent fluxes are calculated using the COARE3 algorithm. 745 Recall the observations of SST are from a depth of 8 m and so are shown with brackets. The non-746 dimensional correlation coefficient and linear regression slope are shown in italics when statistically significant. The number of data points, N, plus the bias (model – observations) and the root-mean-747 748 square error (RMSE) are shown for all data. The bias is bold when statistically significant at the 95% level 749 using a one-sided T-test.

751 Table 3 | Comparison of ship-based observations and ERA5 output.

752

	T _{2m}	SST	q _{2m}	RH _{2m}	U _{10m}	WD	τ	SHF	LHF
	(°C)	(°C)	(g kg ⁻¹)	(%)	(m s ⁻¹)	(deg)	(N m ⁻²)	(W m ⁻²)	(W m ⁻²)
Mean: ship	-2.09	0.62	2.80	83.7	8.84	125	0.182	42.2	41.1
ERA5	-1.63	0.61	2.81	80.0	8.54	118	0.177	28.8	37.8
Std dev: ship	3.16	1.12	0.88	12.2	3.66	96	0.173	55.6	36.3
ERA5	3.57	1.10	1.01	12.6	3.50	99	0.170	54.8	37.8
Correlation coefficient	0.97	0.80	0.97	0.85	0.92	0.90	0.89	0.94	0.93
Slope	1.09	0.78	1.10	0.88	0.88	0.94	0.87	0.93	1.00
N: Over water	527	658	527	527	527	530	527	527	527
Over MIZ	84	92	84	84	84	76	84	84	84
Bias: Over water	0.49	-0.02	0.02	-3.4	-0.20	-7	-0.004	-11.8	-2.7
Over MIZ	0.28	(0.11)	-0.05	-5.6	-0.88	-4	-0.004	-23.2	-7.3
RMSE: Over water	0.99	0.71	0.27	7.4	1.33	42	0.077	20.9	14.2
Over MIZ	1.41	(0.73)	0.31	9.1	2.02	58	0.098	35.2	20.1

753

Variables and statistics are the same as Table 2. Note the ship-based SST observations over the MIZ will

not be representative of a grid-box value so are bracketed. The number of data points, N, the bias

756 (model – observations) and the RMSE are shown separately for observations over water (i.e. ice-free

ocean) and over the MIZ. The bias is bold when statistically significant at the 95% level using a one-sided

758 T-test.

760 Table 4 | Comparison of aircraft-based observations and ERA5 output for all marine flights.

761

	Т	T _{sfc}	q	RH	U	WD	τ	SHF	LHF	Ice
	(°C)	(°C)	(g kg ⁻¹)	(%)	(m s ⁻¹)	(deg)	(N m ⁻²)	(W m⁻²)	(W m ⁻²)	Frac.
Mean: aircraft	263.7	267.3	1.51	80.0	9.27	6.6	0.214	41.3	22.4	0.40
ERA5	264.0	267.8	1.41	71.2	9.28	10.8	0.180	43.0	-	0.37
Std dev: aircraft	5.6	6.3	0.59	10.2	3.63	35.5	0.151	57.1	28.4	0.42
ERA5	5.1	5.7	0.54	7.6	3.61	31.5	0.128	49.6	-	0.38
Correlation coefficient	0.99	0.94	0.96	0.51	0.82	0.96	0.70	0.83	-	0.93
Slope	0.89	0.85	0.89	0.38	0.82	0.85	0.59	0.72	-	0.82
N: Over water	69	69	69	69	69	69	44	44	-	69
Over MIZ	88	88	88	88	88	86	83	88	-	88
Bias: Over water	-0.10	-	-0.16	-6.4	-0.72	5.8	(-0.057)	(-0.6)	-	0.01
Over MIZ	0.65	1.02	-0.04	-7.1	0.58	2.8	-0.022	2.9	-	-0.06
RMSE: Over water	0.78	0.47	0.25	12.3	1.77	9.1	(0.129)	(30.8)	-	0.04
Over MIZ	1.0	2.94	0.13	10.4	2.42	12.2	0.107	31.9	-	0.21

762

763 The variables are: temperature, T (K), surface temperature, T_{sfc} (K), specific humidity, q (g kg⁻¹), relative humidity, RH (%), wind speed, U (m s⁻¹), momentum flux, τ (N m⁻²), sensible heat flux, SHF (W m⁻²), latent 764 765 heat flux, LHF (W m⁻²) and ice fraction. All variables are at flight-level, except for T_{sfc} and the ice fraction. Flight-level ERA5 LHF are not available. The observed surface turbulent fluxes are calculated using the 766 767 eddy covariance method; there is higher uncertainty in the comparison of these over water due to there 768 being relatively few data points (hence the bias and RMSE are bracketed). The mean, standard deviation 769 and non-dimensional correlation coefficient and linear regression slope (in italics) are shown for all of 770 the observations. The number of data points, N, the bias (model – observations) and the RMSE are 771 shown separately for observations over water (i.e. ice-free ocean) and over the MIZ. Points are defined 772 as over the MIZ when the observed ice fraction > 0. The bias is bold when statistically significant at the 95% level using a one-sided T-test. The T_{sfc} bias over water is not shown because ERA5 data are used for 773 774 calibrating the airborne observations.

776 Table 5 | Comparison of aircraft-based observations and MetUM output for all marine flights.

	Т	T _{sfc}	q	RH	U	WD	τ	SHF	LHF	lce
	(°C)	(°C)	(g kg ⁻¹)	(%)	(m s⁻¹)	(deg)	(N m ⁻²)	(W m ⁻²)	(W m ⁻²)	Frac.
Mean: aircraft	263.7	267.3	1.51	80.0	9.27	6.6	0.214	41.3	22.4	0.40
MetUM	263.6	267.8	1.35	70.0	9.32	9.3	0.205	61.0	49.2	0.34
Std dev: aircraft	5.6	6.3	0.59	10.2	3.63	35.5	0.151	57.1	28.4	0.42
MetUM	5.1	5.7	0.54	9.8	3.89	33.2	0.157	46.7	31.3	0.36
Correlation coefficient	0.99	0.95	0.94	0.53	0.83	0.92	0.65	0.73	0.47	0.93
Slope	0.91	0.86	0.86	0.50	0.89	0.86	0.67	0.59	0.52	0.79
N: Over water	69	69	69	69	69	69	44	44	44	69
Over MIZ	88	88	88	88	88	86	83	88	82	88
Bias: Over water	-0.61	-	-0.23	-6.0	-1.06	2.8	(-0.043)	(2.0)	(35.4)	0.02
Over MIZ	0.39	1.18	-0.11	-9.6	0.91	2.5	0.009	28.6	22.2	-0.11
RMSE: Over water	0.93	0.78	0.32	11.4	1.79	16.0	(0.159)	(35.1)	(54.3)	0.05
Over MIZ	0.97	2.60	0.20	13.5	2.46	12.4	0.111	48.1	31.1	0.22

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777

779 Variables and statistics are the same as Table 4. In brief, the mean, standard deviation and non-

780 dimensional *correlation coefficient* and *linear regression slope* (in italics) are shown for all of the

observations. The number of data points, N, the bias (model – observations) and the RMSE are shown

782 separately for observations over water and over the MIZ. The bias is bold when statistically significant at

the 95% level using a one-sided T-test.





786 Overlaid are the positions of the low-level components of the research flights (coloured by flight number); the

track of the research vessel (black) and the position of the meteorological buoy (blue star). Some key locations arenoted.



Figure 2 | Time series of (a) 2-m air temperature (°C) and (b) 10-m wind speed (m s⁻¹) derived from the
 meteorological buoy (black) and extracted from ERA5 (blue) from 23 February to 7 May 2018.







Figure 4 | Time series of (a) 2-m air temperature (°C), (b) sea surface temperature (°C), and (c) 10-m wind speed
 (m s⁻¹) derived from the ship-based observations (black) and extracted from ERA5 (blue) from 14 February to 21
 March 2018. OSTIA SST (green) and times when the ice fraction > 0 (black dots) are shown in (b). Periods in port
 are not shown.



Figure 5 | Scatter plots of ship-based observations versus ERA5 data for (a) air temperature (°C), (b) relative humidity (%), (c) wind speed (m s⁻¹), (d) momentum flux (N m⁻²), (e) sensible heat flux (W m⁻²), and (f) sea surface temperature (°C). Dots are shaded blue over water and white over the MIZ. The correlation coefficient (r), linear regression slope, bias and root-mean-square error (rmse) are noted.





Figure 6 | Scatter plots of aircraft-based observations versus ERA5 data for flight-level (a) air temperature (K), (b) relative humidity (%), (c) wind speed (m s⁻¹), (d) momentum flux (N m⁻²), (e) sensible heat flux (W m⁻²), and (f) surface temperature (K). The observed ice fraction is shaded. The correlation coefficient (r), linear regression slope, bias and root-mean-square error (rmse) are noted. The comparison is for all the IGP marine flights.



Figure 7 | Spatial maps of sea-ice distribution from 8 March 2018 with aircraft observations or ERA5 output
overlaid. Panel (a) is a SAR image, with brighter shading indicating higher reflectively over the ocean implying seaice; all other panels show satellite-derived sea-ice fraction contours from AMSR2 (panels b,c,e,g) or from OSTIA
(panels d,f,h) using the colour-bar shading of panels (a) & (b). Overlaid are flight-level aircraft observations from
runs < 100 m altitude – mean altitude of 35 m – (panels a,b,c,e,g) or ERA5 data extracted to the same locations
(panels d,f,h) of ice fraction, air temperature, T, (K), wind speed (m s⁻¹) and sensible heat flux (W m⁻²) as indicated.



814 **Figure 8** | Spatial maps of sea-ice distribution from 16 March 2018 with aircraft observations or ERA5 output

overlaid – see Fig. 7 for details. Satellite-derived ice fraction contours are from AMSR2 (panels b,c,e,g) or from
 OSTIA (panels d,f,h). The mean altitude of the runs shown is 24 m.

017 0511A (panels d,i,ii). The



Figure 9 | Spatial maps of sea-ice distribution from 8 March (left) and 16 March 2018 (right) with observations or
 MetUM output overlaid. Panels shows satellite-derived sea-ice fraction contours: (a) from AMSR2, with ice
 fraction observations overlaid; and (b-d) from OSTIA, with MetUM output overlaid for flight-level air temperature,
 T, (K), wind speed (m s⁻¹) and sensible heat flux (W m⁻²) as indicated. Recall Figs. 7 & 8 shows aircraft observations
 of the same quantities.