

1 *Review*

# 2 **Systematic review of behaviour change techniques** 3 **within interventions to reduce environmental tobacco** 4 **smoke exposure for children**

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25 **Abstract:** Children are particularly vulnerable to environmental tobacco smoke (ETS). There is no  
26 routine support to reduce ETS in the home. We systematically reviewed trials to reduce ETS in  
27 children, to identify intervention characteristics and behaviour change techniques (BCTs) to inform  
28 future interventions. We searched Medline, EMBASE, CINAHL, PsycINFO, ERIC, Cochrane Central  
29 Register of Controlled Trials, and Cochrane Tobacco Addiction Group Specialised Register from  
30 January 2017-June 2020 to update an existing systematic review. We included controlled trials to  
31 reduce parent/caregiver smoking or ETS in children <12 years that demonstrated a statistically  
32 significant benefit, in comparison to less intensive interventions or usual care. We extracted trial  
33 characteristics; and BCTs using the Behaviour Change Technique Taxonomy v1. We defined  
34 'promising' BCTs as those present in at least 25% of effective interventions. Data synthesis was  
35 narrative. We included 16 trials of which eight were at low risk of bias. All trials used counselling  
36 in combination with self-help or other supporting materials. We identified 13 'promising' BCTs  
37 which centered on education, setting goals and planning, or support to reach goals. Interventions  
38 to reduce ETS in children should incorporate effective BCTs, and consider counselling and self-help  
39 as mechanisms of delivery.

40 **Keywords:** systematic review; behaviour change techniques; smoking; harm reduction; second-  
41 hand smoke; tobacco smoke pollution; postnatal; children  
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## 43 **1. Introduction**

44 Smoking has a severe detrimental impact on parental and child health [1]. Exposure to  
45 environmental tobacco smoke (ETS) from parents or caregivers increases rates of sudden infant death  
46 syndrome, respiratory conditions, and other infections [2]. Children are more susceptible to second-

47 hand smoke than are adults [3,4], particularly vulnerable children, such as premature infants [5].  
48 Exposure to smoke in early life results in increased morbidity throughout childhood and into  
49 adulthood [2,6,7]. Children exposed to tobacco smoke in utero or in early life are more likely to be  
50 admitted to paediatric or neonatal intensive care (NICU) [8,9], resulting in significant economic  
51 burden [10-13]. In the UK, the annual cost of smoking in pregnancy is estimated to be £64 million for  
52 treating maternal health problems, and a further £23.5 million for treating infants [14]. Pregnant  
53 women and parents are motivated to quit smoking for the health of their children [15,16] but smoking  
54 relapse rates are high [17], particularly post-birth [18,19]. Living with a smoking partner or other  
55 smoking household member, and stress, which may arise from increased parenting demands or lack  
56 of sleep, increase the likelihood of relapsing to smoking postpartum [20]. Smoking prevalence is also  
57 higher in lower socio-economic groups [1]. For parents able to remain abstinent, and for never  
58 smokers, maintaining a smoke-free environment is still challenging where there are other family or  
59 household members who smoke [15].

60 Birth of a child offers a 'teachable moment' to support smoke-free environments [21-23].  
61 National guidance recommends support for smoke-free strategies in secondary care settings during  
62 pregnancy and after childbirth [24-26]. However, interventions to maintain smoke-free environments  
63 are not routinely offered in paediatric settings or in the home environment [26-28]. Support is  
64 particularly limited for very vulnerable children, such as those admitted to a NICU where support to  
65 maintain a smoke-free environment is especially crucial [22,29]. Evidence of effective interventions  
66 to reduce ETS in young children is limited. A review of smoking cessation in pregnancy and into the  
67 postpartum period [19] found some evidence for success of counselling, health education and  
68 incentives, for 0 to 17 months postpartum, but no effect beyond this. A systematic review of  
69 interventions to reduce tobacco smoke pollution in homes found that, overall, interventions trialled  
70 did improve tobacco smoke air pollution, but did not link effectiveness to 'type' of intervention [30].  
71 A Cochrane review [27], determining the effectiveness of reducing exposure of children aged 0 to 12  
72 years to ETS, found a minority of interventions reduced exposure, and the features that differentiated  
73 effective from ineffective interventions remain unclear [27]. Behaviour change interventions are  
74 complex by nature, comprising multiple components such as mechanisms of delivery in addition to  
75 behaviour change techniques (BCTs) [31]. By identifying BCTs within effective interventions it may  
76 be possible to specify what components might be combined to develop more successful interventions  
77 [32]. No previous reviews have identified BCTs to reduce ETS exposure in young children, or have  
78 drawn firm conclusions of effective mechanisms of delivery. Behbod et al. [27] conducted literature  
79 searches to February 2017 and updating this review might identify new and effective interventions.  
80 We aimed to systematically review controlled trials aiming to reduce ETS exposure of children aged  
81 under 12 years, to identify promising mechanisms of intervention delivery, and BCTs to inform  
82 future interventions. Our review was registered on the Open Science Framework on 23<sup>rd</sup> May 2019  
83 and was updated on 22<sup>nd</sup> January 2020 (<https://osf.io/zhmtu/>).

## 84 **2. Materials and Methods**

### 85 *2.1. Approach*

86 This systematic review is guided by the Preferred Reporting Items for Systematic Reviews and  
87 Meta-Analyses (PRISMA) guidelines [33]. First, we updated an existing systematic review of  
88 controlled trials to reduce children's exposure to ETS [27]. We then identified interventions with  
89 evidence of a statistically significant positive effect from identified trials. Finally, we identified BCTs  
90 [32] described within these effective interventions.

### 91 *2.2. Search strategy*

92 We searched Medline (OvidSP), EMBASE (OvidSP), CINAHL (EbscoHOST), PsycINFO  
93 (OvidSP), ERIC (ProQuest), Cochrane Central Register of Controlled Trials, and the Cochrane  
94 Tobacco Addiction Group Specialised Register from 1<sup>st</sup> January 2017 to 11<sup>th</sup> June 2020. We replicated  
95 the search strategy used by Behbod et al. to update their systematic review [27]. Keywords included:

96 parent, caregiver, family, house, home, newborn, infant, child, tobacco, smoking, smoking cessation,  
97 environmental pollution, and tobacco smoke pollution. The full search strategy is published in  
98 Behbod et al [27]. Effective trials published prior to 2017 were identified by handsearching Behbod et  
99 al [27]. Reference lists of included trials were also searched for any relevant articles. We attempted to  
100 contact authors of all included trials to collect all published or unpublished details of the intervention  
101 methodology, and any further trial evaluation data (e.g., study acceptability or feasibility).

### 102 2.3. Trial selection

103 We included controlled trials (randomised and non-randomised as in Behbod [27]) to reduce  
104 ETS exposure of families with young children. Participants were parents or caregivers of children  
105 aged under 12 years of age. We included trials where the primary aim was to either reduce children's  
106 exposure to ETS, or reduction or cessation of parent or caregiver smoking, versus another  
107 intervention or usual care. We included trials with a follow-up period of 6 months or more. Since our  
108 focus was on interventions for parents or caregivers which would be suitable to use in any child  
109 under 12 years, we excluded trials which included any child  $\geq 12$  years, or trials in which children  
110 undertook any intervention activities themselves (e.g. parent/child dyads), or trials which included  
111 school-based (or other educational establishment) intervention activities. Trials not published in  
112 English were also excluded due to the detailed nature of identifying BCTs [32]. We aimed to identify  
113 promising BCTs, thus we included only trials which were 'effective' at long-term follow-up (6 months  
114 or more from baseline), defined as 'a reported statistically significant p value of  $< 0.05$ , with ETS  
115 exposure or smoking status of household members as the primary outcome (whether or not  
116 biochemically validated)'.

117 Two authors (two from TB, SG and CN) independently screened citations on the basis of title  
118 and abstract using Covidence software, and also using tables of study characteristics published in  
119 Behbod et al. when hand-searching [27]. Any disagreements were resolved by consensus. Where it  
120 was unclear if a study met our inclusion criteria, the full-text was collected and assessed in duplicate.  
121 Each full-text article was assessed for inclusion using an inclusion log within Covidence, and reasons  
122 for study exclusion were also recorded.

### 123 2.4. Data extraction

124 Trial characteristics for both the intervention and control groups were extracted into a tailor-  
125 made excel sheet to include: trial design, participants, sample size, country, details of the intervention  
126 and control procedures, behavioural theory, outcome measures, smoking outcomes, and process  
127 indicators. Our smoking outcomes were ETS exposure (as defined by authors), and smoking status  
128 of family or household members. Additional outcome measures were acceptability, feasibility, child  
129 health outcomes (e.g. respiratory illness, use of health services), and behaviour change (e.g.  
130 implementation of a household smoking ban).

131 We used the Behaviour Change Technique Taxonomy v1 (BCTTv1) [32] to extract BCTs from  
132 intervention and control descriptions of all included articles (the main paper and associated articles  
133 as relevant for each trial). We extracted BCTs which targeted smoking cessation, smoking relapse, or  
134 behaviours relating to a reduction of ETS. BCT codes were assigned to relevant sections of articles  
135 and were extracted if definitely (coded ++) or probably (coded +) present following BCTTv1 principles  
136 ([www.bct-taxonomy.com](http://www.bct-taxonomy.com)). These principles define a coding of ++ as a 'BCT present beyond all  
137 reasonable doubt', and a coding of + as a 'BCT present in all probability'. We calculated the  
138 frequency of BCTs from intervention groups across all effective trials to identify 'promising' BCTs  
139 which might improve intervention success. In the absence of a gold standard approach [34], we  
140 sought BCTs based on prevalence within intervention groups [35]. We defined 'promising' BCTs as  
141 those present in at least 25% of effective interventions [36].

142 Data were extracted independently by two BCTTv1 trained researchers. Researchers met to  
143 agree findings, with any disagreements resolved through discussion, or involvement of a third  
144 researcher. We did not undertake any statistical analysis due to the wide range of interventions to

145 reduce environmental tobacco smoke, and diversity in populations, settings and outcomes. Data  
146 synthesis was narrative.  
147

148 *2.5. Quality assessment*

149 Two researchers (two of TB, SG and CN) independently assessed risk of bias for all included  
150 studies. Risk of bias was categorised as high, low, or unclear for the following domains: 'random  
151 sequence generation', 'allocation concealment', 'incomplete outcome data', 'blinding of participants  
152 and personnel', 'blinding of outcome assessment', and for any other bias (e.g., funding) in accordance  
153 with the Cochrane Handbook for Systematic Reviews of Interventions [37]. In addition to assessing  
154 each of these domains separately, a judgement of overall risk of bias for each trial was reached by  
155 consensus with three reviewers (TB, SG, and CN).. Since full blinding of the intervention in these  
156 trials is not possible by nature of their design, we excluded 'blinding of participants and personnel'  
157 from our overall risk of bias assessment. For the remaining domains, where at least three out of five  
158 domains were at low, unclear or high risk of bias, our overall judgement for risk of bias was low,  
159 unclear or high respectively. Where at least one domain was at high risk of bias, our overall  
160 judgement for risk of bias was automatically downgraded to at least a status of unclear. Any  
161 disagreements were resolved by discussion.

162 **3. Results**

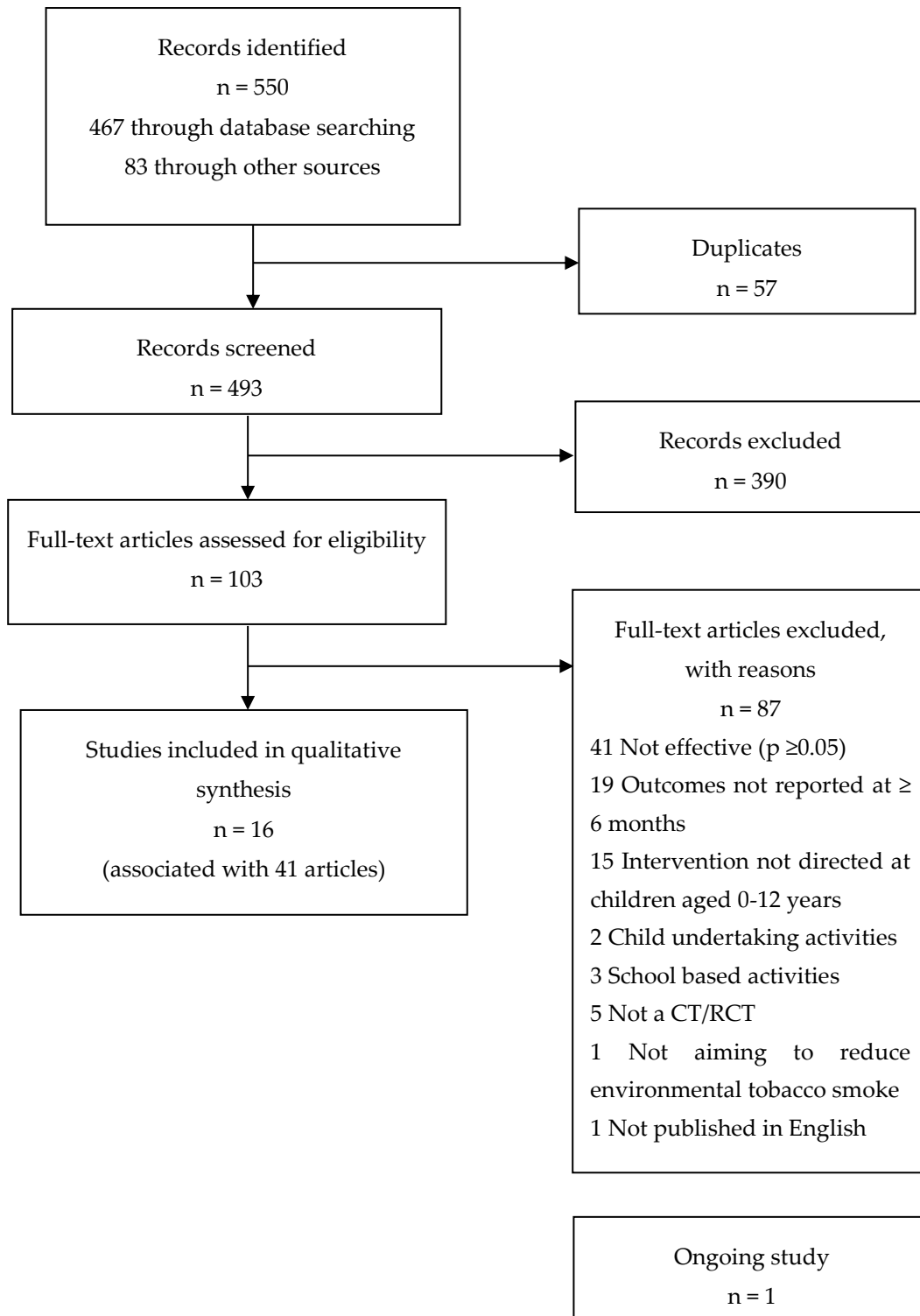
163 *3.1. Numbers of trials*

164 The inclusion of controlled trials is shown in Figure 1. Electronic and hand searching identified  
165 550 records, with 493 references remaining after removal of duplicates. Based on title and abstract  
166 screening, 103 relevant articles were retrieved for full text assessment, with the final inclusion of 16  
167 primary controlled trials [5,38-52] (associated with 41 articles, Table S1). Twelve of these trials had  
168 previously been identified by Behbod and colleagues [27]. We also identified one relevant ongoing  
169 trial [53]. Despite writing to all authors of included studies, only five responded to our request for  
170 further information, of which two supplied information we had not already identified (a published  
171 protocol [49]; and a report to study funders [41]).

172

173 **Figure 1: Flow Diagram**

174



175 3.2. *Trial characteristics*

176 Fifteen trials aimed to promote smoke free environments alongside encouraging smoking  
177 cessation or abstinence. One trial [44] was designed to promote a smoke free environment without  
178 emphasising smoking cessation or abstinence. Full trial characteristics are shown in Table S2  
179 (including population, sample size, details of the intervention and control, outcome measures and  
180 process indicators). Twelve trials [5,38,39,41,42,44-49,52] were randomised controlled trials (RCTs),  
181 three were cluster RCTs [40,50,51], and one was a non-randomised controlled trial [43]. Most trials  
182 were conducted in the USA [5,40,42-45,47-49,51], with the remaining trials in China [38,39,41,52],  
183 Germany [46], and Spain [50]. Six trials were conducted exclusively in neonates [5,43,44,46,51,52],  
184 two in young infants (0-18 months) [41,50], five in children aged up to 5 years [38,39,42,47,48], and  
185 three in children aged up to 12 years [40,45,49]. Nine trials recruited both parents/caregivers [5,38-  
186 42,49,50,52], and seven trials [43-48,51] recruited mothers/female caregivers only. Ten trials recruited  
187 smokers or recent quitters [38-40,42,45-51], two mixed populations of non-smokers or smokers [5,44],  
188 one postpartum quitters [43], and two recruited families with a smoking father and non-smoking  
189 mother [41,52]. One trial [5] recruited specifically via neonatal intensive care units. Other recruitment  
190 was via community health settings [38,39,41,42,47,48,52], hospitals post-delivery [43,44,46,51],  
191 paediatric care [49,50], primary care [45], or schools [40]. Five trials [42,45,47-49] recruited specifically  
192 from low income or minority group areas.

193 3.3. *Intervention characteristics*

194 Trials used various different theoretical approaches and modes of delivery. Interventions were  
195 generally a combination of 'counselling' (e.g. motivational interviewing, cognitive behavioural  
196 therapy, or counselling based on behaviour change theories) and the provision of self-help or  
197 educational materials. Five trials used only this combination [38,39,46,49,50]. Other trials used this  
198 combination in conjunction with provision of nicotine replacement therapy [40,41,48], or provision  
199 of objects or reminders, such as stickers and signs to request a smoke-free environment [43-45,47,51].  
200 Two trials provided feedback on smoking outcomes to parents/caregivers as part of the intervention  
201 (infant salivary cotinine [5]; or air nicotine, caregiver carbon monoxide levels and respiratory  
202 symptoms [42]) in addition to counselling and self-help materials. One trial added supportive text  
203 messages to one of the intervention arms [52]. Control groups received less intensive interventions  
204 [39,41,42,46,47,49,51], less-smoking information [5,38,40,43,45] or usual care (generally brief advice)  
205 [44,48,50,52]. Intervention delivery was usually through a combination of in-person and telephone  
206 contacts, but six trials provided counselling by in-person [44,45,50-52], or by telephone only [39].  
207 Counselling was delivered by nurses [5,39,41,43-45], student or graduate counsellors [47-49], health  
208 workers [38,42,52], primary care staff [50], paediatric staff [51], or general trained counsellors [40,46].  
209 Interventions varied from the provision of a single counselling session [45] to up to 14 sessions [48]  
210 (mean 5 sessions). Not all trials reported session lengths, but where reported, session length also  
211 differed widely between trials from 2 minutes [51] up to 45 minutes [5,38,42,44,46]. Intervention  
212 duration varied from 1 month to 2 years, with six trials intervening for 6 months or longer  
213 [40,44,48,50-52]. There was no clear pattern to indicate which intervention intensity or duration  
214 would be most advantageous. Six included trials measured outcomes at 6 months post-enrolment  
215 [38,39,42,43,45,50] and ten measured outcomes beyond 6 months [5,40,41,44,46-49,51,52], with the  
216 longest study [40] assessing outcomes up to 4 years.

217 3.4. *Quality assessment*

218 Eight studies were considered at low risk of bias [5,39-42,47,49,51], six at unclear risk  
219 [38,44,45,48,50,52], and only two were considered at high risk of bias [43,46]. Blinding of participants  
220 and personnel was either at high or unclear risk for all studies and therefore overall risk of bias would  
221 be higher if we had included this within our assessment. Some trials reported acceptability and/or  
222 fidelity concerns and we considered three trials as having more major acceptability and/or fidelity  
223 concerns [41,43,46]. Specifically, these trials reported fidelity issues: practical difficulties in delivering

224 the on-site component of the intervention due to 'noisy' and 'congested' environments in some clinics  
 225 [41]; inconsistent delivery of intervention elements, such as nurses being significantly less likely to  
 226 discuss pharmacological options with abstinent women [43]; and a low adherence to the motivational  
 227 interview protocol with only 38% of sessions showing good adherence [46]. Many trials failed to  
 228 adequately report evaluation of feasibility (acceptability, fidelity and/or other process indicators e.g.  
 229 verification of parent self-report), suggesting that more trials may have suffered from feasibility  
 230 issues. The majority of our included trials included a form of biochemical outcome validation. Most  
 231 used exhaled carbon monoxide or salivary/urinary cotinine concentration [5,38-44,47-49]. Three of  
 232 these trials also used air nicotine monitoring [42,47,48]. One trial used only infant hair nicotine  
 233 concentration [50]. Four trials [45,46,51,52] did not include any biochemical validation.

### 234 3.5. Behaviour change techniques

235 We identified a wide range of BCTs targeting smoking cessation, smoking relapse, or behaviours  
 236 relating to a reduction of ETS as summarised in Table 1 and detailed (coded as probably +, or  
 237 definitely ++ present) for each separate trial in Table S2. The majority of BCTs were delivered to  
 238 intervention, rather than control groups. The number of BCTs identified in control groups for each  
 239 trial ranged from 1 [38,40,47] to 3 [41], with an average of 0.5 BCTs. A total of 6 of the 93 BCTs were  
 240 found in control groups. In comparison, the number of BCTs identified in intervention groups for  
 241 each trial ranged from 3 [51] to 16 [42,46], with an average of 9 BCTs. Study protocols or description  
 242 of study designs were available for seven trials (six published [42,44,46,49-51], one a study report  
 243 supplied by authors [41]), and the number of BCTs identified in interventions were higher in these  
 244 trials. A total of 42 of the 93 BCTs from the BCTTv1 were found in interventions, and at least one BCT  
 245 was present from each of the 16 BCT clusters in intervention groups [32]. Most BCTs in intervention  
 246 groups were found in the 'goals and planning' cluster, which focuses on goal setting, problem  
 247 solving, action planning, and review of goals.

248 **Table 1.** Frequency of BCTs identified in interventions to reduce environmental tobacco smoke.

BCT code	BCT label	BCT in effective interventions n (% studies); Max n=16
1.1	Goal setting (behaviour)	8 (50)*
1.2	Problem solving	11 (69)*
1.4	Action planning	8 (50)*
1.5	Review behaviour goal(s)	6 (38)*
1.6	Discrepancy between current behaviour and goal	1 (6)
1.7	Review outcome goal(s)	1 (6)
1.8	Behavioural contract	2 (13)
2.2	Feedback on behaviour	3 (19)
2.3	Self-monitoring of behaviour	3 (19)
2.6	Biofeedback	3 (19)
2.7	Feedback on outcome(s) of behaviour	1 (6)
3.1	Social support (unspecified)	13 (81)*
3.2	Social support (practical)	2 (13)
4.1	Instruction on how to perform a behaviour	7 (44)*
5.1	Information about health consequences	10 (63)*
5.2	Salience of consequences	1 (6)
5.3	Information about social and environmental consequences	4 (25)*
5.6	Information about emotional consequences	1 (6)
6.1	Demonstration of the behaviour	1 (6)
6.2	Social comparison	1 (6)
7.1	Prompts/cues	2 (13)



8.2	Behaviour substitution	4 (25)*
8.7	Graded tasks	1 (6)
9.1	Credible source	9 (56)*
9.2	Pros and cons	3 (19)
10.4	Social reward	7 (44)*
10.9	Self-reward	2 (13)
11.1	Pharmacological support	3 (19)
11.2	Reduce negative emotions	3 (19)
12.1	Restructuring the physical environment	2 (13)
12.2	Restructuring the social environment	2 (13)
12.3	Avoidance/reducing exposure to cues for the behaviour	2 (13)
12.5	Adding objects to the environment	5 (31)*
13.1	Identification of self as role model	1 (6)
13.2	Framing/reframing	2 (13)
13.3	Incompatible beliefs	1 (6)
13.5	Identity associated with changed behaviour	1 (6)
14.4	Reward approximation	3 (19)
15.1	Verbal persuasion about capability	4 (25)*
15.2	Mental rehearsal of successful performance	1 (6)
15.3	Focus on past success	2 (13)
16.2	Imaginary reward	1 (6)

\*Effective BCT (in  $\geq 25\%$  studies)

249 'Promising' BCTs, using our criterion of occurring in at least 25% of intervention groups  
250 (excluding those delivered to both intervention and control groups), were: social support unspecified  
251 (81%), problem solving (69%), information about health consequences (63%), credible source (56%),  
252 goal setting behaviour (50%), action planning (50%), social reward (44%), instruction on how to  
253 perform a behaviour (44%), review behaviour goals (38%), adding objects to the environment (31%),  
254 behaviour substitution (25%), verbal persuasion about capability (25%), and information about  
255 social and environmental consequences (25%). Of these BCTs common to intervention groups, all  
256 included more ++ (definitely present) than + (probably present) codes, with the exception of 'credible  
257 source' and 'review behaviour goals'. We are therefore less certain of classifying these two BCTs as  
258 'promising'. However, neither of these BCTs were delivered to control groups. Of the 'promising'  
259 BCTs, only 'information about social and environmental consequences', 'instruction on how to  
260 perform a behaviour' and 'behaviour substitution' occurred in control groups, but occurrence was at  
261 a lower frequency (19%, 6% and 6% respectively). The most common BCT delivered to control groups  
262 was 'information about social and environmental consequences'. We found no distinct pattern in  
263 BCTs based on trial variables, such as whether assessment was biochemically validated or not. We  
264 also found no clear pattern as to which BCTs would be best to deliver to different populations.  
265

#### 266 4. Discussion

267 We included 16 controlled trials that were effective in reducing children's exposure to ETS. Our  
268 review has updated and advanced evidence from Behbod et al. (2018), a Cochrane review of smoking  
269 control programmes for reducing exposure to ETS in children aged 0-12 years [27]. These authors did  
270 not find a clear link between intervention features and study effectiveness. Similarly, earlier reviews  
271 of interventions to promote smoke-free home environments for children aged 0-5 years [54], and a  
272 review of routine health care interventions to reduce tobacco smoke exposure in children aged 0-12  
273 years [55], concluded that further research was required to identify effective elements of  
274 interventions. Rosen et al. [30] found some evidence of benefit for interventions to protect children  
275 (0-12 years) from tobacco smoke exposure, but did not specify which intervention type was most  
276 effective. Our review found that effective interventions all used some form of 'counselling'

277 supplemented with self-help or other materials, compared to less intensive 'counselling' and fewer  
278 support materials in control groups. We did not set out to compare effective with non-effective trials;  
279 we aimed to investigate characteristics of intervention and control groups within effective trials, to  
280 identify promising mechanisms of intervention delivery. A review of prevention of postpartum  
281 smoking relapse, also found that effective trials provided self-help mainly in conjunction with  
282 counselling [36]. A systematic review for smoking cessation in pregnancy and into the postpartum  
283 period similarly found some evidence for a beneficial impact of counselling and, to a lesser extent,  
284 health education [19]. In contrast to our present review, these authors also found a beneficial effect  
285 of using incentives. We suggest that interventions using counselling and self-help approaches,  
286 potentially in conjunction with other elements, are most likely to be effective. Interventions that we  
287 included in our present review were most commonly delivered by health professional counsellors,  
288 in-person or by telephone.

289 No previous reviews have aimed to identify effective BCTs to reduce ETS in young children. We  
290 identified 13 'promising' BCTs which focused on social support from health professionals, goals and  
291 planning, information giving from a credible source, and developing strategies to aid smoking  
292 cessation, prevent relapse, or to promote smoke-free environments. Previous reviews using the  
293 BCTTv1 [32] to identify effective BCTs for smoking relapse in the postpartum period [36] and for  
294 smoking cessation in pregnancy [56] also found problem solving, information giving and social  
295 support to be important. The most frequent BCT we have identified in the present review was social  
296 support. Social support, particularly from partners, is recognised as a key barrier or facilitator in  
297 smoking cessation and remaining smoke-free [16,57]. However, seven of our included trials [43-48,51]  
298 recruited only mother or female caregivers. We found BCTs in the cluster of 'goals and planning' to  
299 be most frequently used in our included effective interventions. This cluster includes advice on goal  
300 setting and strategies to overcome barriers to reach and maintain goals. Parents with younger infants,  
301 or with vulnerable children under paediatric care, or admitted to a NICU are under considerable  
302 acute and chronic stress [58-61], which likely acts as a barrier to creating and maintaining a smoke-  
303 free environment [15,16,20] and should be taken into consideration to aid goal setting and strategies  
304 to remain smoke-free. Self-efficacy and ability to implement successful strategies is related to the BCT  
305 'verbal persuasion about capability' [32], which we identified as commonly occurring in effective  
306 interventions. For smoking parents, lower confidence to remain smoke-free, is a predictor of relapse  
307 [20] which this BCT may address. We identified information giving to be a key BCT to address  
308 smoking cessation, smoking relapse or reduction of ETS. Parental smoking increases risk of child  
309 respiratory and other health conditions [2]. However, there are gaps in the knowledge base of parents  
310 and health professionals of the dangers of second-hand smoke [15,28,58], and how health  
311 professionals can effectively communicate these dangers to parents [15,28]. We found information  
312 provided from a 'credible source' to be one of our 'promising' BCTs. Belief of source credibility  
313 impacts attitudes and behaviour change, over and above attitudes about the validity of the  
314 information itself [62] and credibility may be particularly important for new parents, postpartum  
315 parents, or on admission of a child to paediatric care when parents are reliant on advice from health  
316 professionals.

317 Strengths of this review were undertaking comprehensive searches, full independent  
318 duplication of screening and data extraction, and the inclusion of a third reviewer to resolve any  
319 discrepancies. We included unpublished data from trials when made available by study authors.

320 Potential limitations to this review were incomplete reporting of BCTs in included studies. Study  
321 protocols or description of intervention designs were only available for seven trials [41,42,44,46,49-  
322 51] and these trials contained more BCTs. A review of BCTs in smoking cessation interventions has  
323 also found that fewer BCTs are described in published sources compared to unpublished data [63].  
324 This may be particularly true for interventions using detailed components such as text message  
325 support [52]. We therefore took an inclusive approach to identifying BCTs, including those both  
326 probably (+) and definitely (++) present [32] to ensure any relevant BCTs were identified. We did not  
327 compare differences in BCTs across smoking behaviours (smoking cessation, smoking relapse  
328 prevention, or reduction in ETS) since studies largely targeted these behaviours together. BCTs

329 within control conditions are particularly poorly described in published literature [63] and we did  
330 not compare BCTs in intervention groups with BCTs delivered to control groups, since so few BCTs  
331 were identified as being delivered exclusively to control groups. We did not conduct any statistical  
332 or subgroup analysis, or assess which BCTs were associated with greater effect sizes, due to the small  
333 number of studies identified, and diversity in populations, interventions and outcomes reported  
334 [34,64]. Data synthesis was narrative and focused on components of effective interventions, an  
335 approach used in similar reviews [34-36,56]. We did not aim to compare BCTs within effective and  
336 non-effective trials; we aimed to explore which BCTs were common in effective interventions, and  
337 which mechanisms of intervention delivery were commonly used, to give an indication of how BCTs  
338 might be best delivered, as a starting point to develop an intervention with optimal impact. There is  
339 no standard approach to identifying effective BCTs [34]. We defined 'promising' BCTs as occurring  
340 in at least 25% of effective intervention studies [36]. We cannot definitively show any causal  
341 relationship with trial outcome for particular BCTs, or mechanisms of delivery. However, repeated  
342 presence of these components across effective interventions, suggest these components might be the  
343 more promising to include in future interventions. In other words 'to identify the right intervention,  
344 for the right population at the right time'.

345 The majority of our trials were at low risk of bias, although we identified some feasibility  
346 concerns that might have limited our findings. It is likely there were additional feasibility issues of  
347 which we were unaware as reporting was inadequate in many trials. Most included trials were in  
348 high income countries, but a third recruited from low income areas, where smoking prevalence and  
349 exposure to ETS is likely to be higher [65]. We identified no UK trials. Most included trials were  
350 conducted in the US, where the health care system differs markedly from that in European countries.  
351 Previous reviews have found few smoking interventions in very vulnerable infants, such as NICU  
352 populations [27,30]. Indeed, only one of our included studies recruited specifically from a NICU [5].  
353 We also found limited reporting of process measures within trials. The majority of trials included  
354 biochemical validation but four [45,46,51,52] did not. We identified only one intervention using  
355 digital support in the form of text messages [52]. No other trials used newer harm reduction  
356 approaches such as e-cigarettes or other types of digital support (such as mobile apps), which have  
357 the potential to provide support in a more cost-effective manner. However, we identified one ongoing  
358 trial [53] which is using counselling in combination with nicotine replacement therapy, a mobile app  
359 and texts; although this study is relatively small, aiming to recruit 149 participants per group. Many  
360 interventions to reduce ETS in children are short in duration and were therefore not included in this  
361 review. Further interventions incorporating newer approaches, holistic family support and with a  
362 duration of at least 6 months may be of benefit in the future. We recommend that studies better  
363 describe details of intervention mechanisms to enable further investigation of effective components,  
364 such as which BCTs would be most suited to particular populations.

## 365 5. Conclusions

366 There is a gap in knowledge regarding how best to reduce ETS exposure in young children,  
367 particularly for children in vulnerable groups. This review found that interventions effective in  
368 reducing ETS were delivered using counselling in combination with self-help materials; and most  
369 commonly used BCTs involving education, goal setting and planning, and support to reach goals.  
370 Future interventions should consider these approaches to improve the chances of reducing child  
371 exposure to ETS, generating health and economic benefits for families and wider society.

372 **Supplementary Materials:** The following are available online at [www.mdpi.com/xxx/s1](http://www.mdpi.com/xxx/s1), Table S1: references for  
373 articles of included trials, Table S2: trial characteristics.

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