

# **Buddhism and Silk: Reassessing a Painted Banner from Medieval Central Asia in the Metropolitan Museum of Art**

## **Introduction**

Among the silk route artifacts in The Metropolitan Museum of Art is a painted silk banner that depicts a Buddhist deity standing beneath a canopy (Figure 1). The relatively small size of this banner belies its significance as an artifact attesting to transcultural exchange between the silk road oasis city of Dunhuang and neighboring kingdoms of the premodern silk routes in Central Asia. Furthermore, the materiality and iconography of the banner demonstrate the intertwined resonance of Buddhism and silk and offer tantalizing insights into cross-cultural practices of artistic production and display.

Aided by conservation work recently undertaken in the museum's Department of Textile Conservation, the authors examine the painted banner from interdisciplinary perspectives. Firstly, the banner is placed in its religious and cultural context through comparisons made to comparable painted silk banners recovered from Dunhuang, located in present-day Gansu Province in northwestern China. Secondly, a close examination of a hitherto unrecognized inscription points to the close ties that were cultivated between Dunhuang and Khotan, a neighboring kingdom that played a critical role in the transmission of Buddhism. The last part of the article reconstructs the probable route taken by the banner from Dunhuang to early twentieth century London, and of the roles played by the archaeologist Marc Aurel Stein and his assistant Frederick Henry Andrews. In doing so, the continued transcultural significance of the banner into the present-day is foregrounded.

## **The Great Peacock Wisdom King**

The central motif of this banner is a deity standing atop a lotus pedestal, exquisitely bejeweled and sumptuously attired in colorful textiles. A flowered canopy overhead is adorned with tassels that fall behind the deity's arched halo. The floral motif of the canopy is echoed by small blossoms that fall from the sky and appear as if suspended in mid-air, lending their appealing fragrance to the scene. The deity bears implements of religious significance in both hands: a single peacock feather in the right hand and a golden bowl in the left hand.

Together, the peacock feather and golden bowl identify the deity as Mahāmayūrī, the Great Peacock Wisdom King. In the East Asian Buddhist canon, Mahāmayūrī is known from six translations of the *Sūtra of the Great Golden Peacock King Mantra* that were made between the fourth to eighth centuries.<sup>1</sup> Several of these were completed by monk-translators from oasis kingdoms of the Tarim Basin, indicating the popularity of this deity along the silk routes.<sup>2</sup> A mantra or dhāraṇī (the two terms were often used interchangeably) refers to a verbal incantation that was recited orally in order to harness the titular deity's efficacious powers.

In the framing narrative of the sūtra, the protagonist is a young monk named Svāti, who resides in the Jetavana Grove. One day, he is bitten on the right foot by a poisonous black snake while gathering firewood for the monks' bath.<sup>3</sup> Witnessing his pain and suffering, the Buddha's disciple Ānanda pleads with the Buddha for help.<sup>4</sup> The Buddha tells Ananda that he should recite the Mahāmāyūrī dhāraṇī sūtra, which has the power to save the young monk by neutralizing the snake's poison.<sup>5</sup> For this reason, Mahāmayūrī was particularly known for protection against snake bites and associated with medicine. This is conveyed by the golden bowl held by the deity, which represents a bowl of medicine.<sup>6</sup> The medical properties of the Mahāmāyūrī dhāraṇī likely resulted in its incorporation into the Bower Manuscript, dating to the Gupta Period in India (ca. 320-550), which was recovered in 1890 by Col. Hamilton Bower in the underground crypt of a

stupa (Buddhist reliquary mound) located in Kumtura.<sup>7</sup> Composed in Sanskrit and written in the Brāhmī script on birch bark, the Bower Manuscript contained several additional Indian medical treatises.

The peacock feather held by Mahāmayūrī refers to the deity's elevated status and the creature with which it is closely associated. According to textual sources associated with Mahāmayūrī, the deity holds peacock feathers in one of its hands and if in a seated position, a golden peacock king is its vehicle.<sup>8</sup> This relates to another framing narrative of the Mahāmāyūrī dhāraṇī sūtra, which concerns a golden peacock king (e.g., a bird) who recites the Mahāmayūrī dhāraṇī sūtra daily for self-protection: once in the morning and again at dusk.<sup>9</sup>

From the examples above, it can be seen that Mahāmāyūrī was closely associated with healing and with protection, both of which were properties common to dhāraṇīs or mantras.<sup>10</sup> In medieval China, dhāraṇīs and mantras were not only recited, as prescribed by the Mahāmayūrī dhāraṇī sūtra, but they were also copied and worn on the body as talismans so that their efficacy could be transferred via direct contact with the devotee.<sup>11</sup> Painted banners which were placed on public display, however, had very different material properties from smaller talismans. Therefore, it is to the materiality of painted banners that we next turn.

### **Materiality of Silk Road Banners**

Silk road banners are distinct from the more familiar hanging scrolls of East Asia. Unlike the conventional hanging scroll, painted banners were originally composed of multiple parts (Figure 2): the triangular banner head, which consisted of decorative silk or a painting, typically of a seated Buddha; the rectangular body on which the painting was executed (this could also consist of one or multiple pieces of fabric stitched together); side streamers attached to the wide border of the banner head; and bottom streamers attached to a wooden weighting board. A loop

at the top of the banner head enabled the banner to be hung from poles or suspended from temple beams or stūpas (Figure 3).

The present banner is preserved in two pieces: the rectangular painting bearing the Mahāmāyūrī motif (Figure 1) and the triangular banner head painted with an image of a seated Buddha (Figure 4). The two are no longer attached (Figure 5), and also missing are the border of the banner head and the streamers. Nevertheless, the similarity in style, painting technique, and color palette between the present painting and the banner head suggest that they may have originated from the same object.

Silk road painted banners were made from a variety of materials, although the vast majority of those from Dunhuang were made on a silk support. In the early twentieth century, Marc Aurel Stein collected 230 banners from the Mogao caves at Dunhuang, of which 179 were made of silk, 42 from hemp, and 9 from paper.<sup>12</sup> The lightweight and translucent quality of plain silk, in turn, was directly connected to the production of painted banners and their display. As previously mentioned, the efficacy of dhāraṇīs and mantras was marshalled not only through oral recitation but also by the wearing of talismans bearing the syllables of a dhāraṇī or mantra. Yet other ways in which the efficacy of dhāraṇīs and mantras was transmitted was via the non-human agency of shadows and wind.<sup>13</sup> In medieval China, dhāraṇīs and mantras were often carved on the sides of stone pillars. It was believed that the shadows cast by a pillar or the dust lifted from its surface by wind had the capability to transfer the dhāraṇī's benefits onto devotees.<sup>14</sup>

There was a productive conflation in Buddhist texts concerning the lexicon of stone pillars and banners. Both were known in Chinese by the same word, *chuang*.<sup>15</sup> It is therefore intriguing that dhāraṇī pillars and painted banners were similarly constructed according to a tripartite structure of head, body, and base (or bottom streamers). But whereas stone pillars were

static, silk banners, by the lightweight and flexible nature of the material, were able to sway in the wind (Figure 6). This implies that unless hung directly against a wall or pillar, banners could be viewed from both sides.

Dunhuang manuscripts contain references to the visual impact of vibrantly colored silk banners swaying in the wind. For example, a passage in P.2044 describes the “skillful division of colors in woven silk and artful stitches in vermilion; hanging from a tall pole against the clear blue sky, the end of a rainbow flutters and appears in the sky; the wind [blows] it distantly one revolution and in one hundred places, disasters dissipate; its shadow appears to one thousand households and ten thousand kinds of fortune accumulate...”<sup>16</sup>

In spring 2019, the painted banner analyzed in this essay underwent detailed conservation work by Minsun Hwang and her team in the Department of Textile Conservation. At the time that the banner was acquired by the museum in 2007, it had been mounted onto a textile-covered panel, allowing only one side to be seen. The banner was removed from the panel (Figure 7), revealing that the front and back sides of the painting were both painted with the same motif of Mahāmayūrī, albeit with minor variations. In addition, the verso of the painting bore an inscription written in black ink that was faintly visible on the recto.

Extant double-sided banners from the silk routes were made from hemp, ramie, or silk, but the double-sided imagery was produced in different ways. Because hemp and ramie offer a more opaque painting ground than silk, the images on the front and back sides had to be drawn or transferred separately, either freehand or through the use of stencils. Paintings from the Turfan collection of the former Museum für Indische Kunst in Berlin show variations of double-sided painting. In some paintings, the same image was painted on both sides of a banner. Another ramie banner displays different though related motifs on each side: Dhṛtarāṣṭra and

Virūpākṣa, the guardian kings of the east and west, respectively (Figure 8a-b). They are identified by their attributes of a bow and arrow (Dhṛtarāṣṭra) and a flaming jewel (Virūpākṣa).<sup>17</sup>

The translucent quality of silk, in contrast, enabled underpaintings that were made on one side to be visible from the other side. In the case of the Mahāmayūrī banner, black underpainting is seen on only one side, therefore designated as the recto (Figure 1); this effect is most visible in areas of bare skin and particularly in the deity's arms and upturned hand (Figure 9).<sup>18</sup> The contours of the underpainting were carefully filled in with colored pigments, after which a deep red outline was painted over the black underpainting, partially obscuring it. The other side of the painting bears no traces of black underpainting, only red outlines, demonstrating that the silk was sufficiently sheer so as to render the black outlines visible on the verso. The same painting process followed in which colored pigments were applied, to be followed with the tracing of red outlines. The inscription referred to earlier was written on the verso. With the exception of minor motifs such as the rendering of flowers and the treatment of drapery around the deity's waist, the two paintings of Mahāmayūrī are mirror images of one another.

Another noteworthy element is the unusual attention paid to textiles in this painting. The deity is clad in an Indian-style skirt-like garment called a dhoti, which is comprised of a pale orange textile decorated with a regular pattern of blue and red quatrefoil-shaped flowers. This resembles clamp resist-dyed silk textiles recovered from oasis cities of the silk road. The clamp-resist dyeing technique resulted in symmetrical patterns of the sort seen in the dhoti worn by Mahāmayūrī. Wooden blocks carved with symmetrical patterns created through a juxtaposition of convex and concave shapes were affixed on either side of a piece of cloth or folded piece of cloth and clamped together, after which the cloth was placed in dye. The convex areas resisted dye while the concave areas created space for the dye to soak through the cloth. Multicolored

patterns could be created through a combination of repeated clamp-resist dying and hand painting by brush.<sup>19</sup>

The garment is fastened around the waist with green, red, and purple cloth, and a double-faced blue and red scarf billows artfully along the length of the deity's body.<sup>20</sup> As important silk road commodities, the representation of silk textiles in this painted banner merits attention. The detailed representation of textiles in this banner is also evident in a separate group of painted banners that attests to the vibrancy of banner painting traditions along the southern silk route, and in particular, the artistic impact of the Buddhist kingdom of Khotan (Figure 10).

### **Silk Banners on the Silk Road**

Of the silk banners recovered by Stein from Mogao Cave 17, a group of ten that were gathered during his second expedition serve as a particularly instructive point of comparison for the Mahāmayūrī banner. They are now divided between the British Museum (three paintings) and the National Museum of India in New Delhi (seven paintings).<sup>21</sup> The works display stylistic traits of Khotanese and Himalayan artistic traditions, the latter of which reflects on the period of the Tibetan occupation of Khotan between the seventh-ninth centuries. Like the Mahāmayūrī banner, one of this group was inscribed on the verso. The Tibetan inscription identifies the deity represented on the recto as Vajrapāṇi (Figure 11).<sup>22</sup> The writing of inscriptions on the verso rather than on the recto is more commonly seen among Himalayan thangkas, which are usually painted on a heavier canvas ground. The Mahāmayūrī banner and the ten banners from the British Museum and National Museum of India do not have cartouches, further distinguishing them from banners that were inscribed in Chinese.

The paintings reveal a number of consistencies, despite subtle variations in the color and quality of the silk ground.<sup>23</sup> Similar to the Mahāmayūrī banner, they feature a single bodhisattva

standing under a round canopy in contrapposto atop a lotus pedestal, wearing a dhoti and scarves, holding a ritual implement, and adorned with gold jewelry and peaked crowns. The use of bright colors and the lavish attention paid to the linear, ikat-like patterned effects of the textiles are particularly striking.<sup>24</sup>

Does the Mahāmayūrī banner belong to this group? It shares the motif of a standing deity on a lotus pedestal, and the borders have similarly been sewn rather than painted. However, there are important differences. Although roughly the same height, the Mahāmayūrī banner is nearly twice as wide as the ten in New Delhi and London. The painting style also displays a greater sense of refinement, and the floral textile pattern is distinct from the striped ikat textiles of the other banners. Nevertheless, the Khotanese stylistic elements of the Mahāmayūrī banner are corroborated by visual and epigraphic evidence stemming from the painting itself.

Several features in the painting suggest that it was made in Khotan, or in Dunhuang by a Khotanese artist or one familiar with Khotanese stylistic idioms. A mural painting fragment purportedly from Khotan shows a peacock feather wielded in the hand of a deity (Figure 12). The three-dimensional modeling in the face and body of the deity and in the petals of the lotus pedestal are characteristic of the Khotanese painting style, as are the broad facial features, high arched eyebrows, heavily lidded eyes, and long nose bridge of Mahāmayūrī and the seated Buddha in the banner head (Figure 5).<sup>25</sup> The painting further displays visual evidence of the cross-cultural exchanges that typically characterize Khotanese painting.<sup>26</sup> The dramatically billowing ribbons attached to either side of the crown, for example, originate from those worn by Sasanian kings (Figure 13), demonstrating the afterlife of earlier Iranian motifs.

Due to the lightweight nature of painted banners and thus their portability, they provide an exciting glimpse into how Central Asian iconography and painting styles were transmitted



along the silk routes. Importantly, as our knowledge of Khotanese painting is largely informed by mural painting fragments and paintings executed on wooden panels, the Mahāmayūrī banner provides valuable insight into Khotanese visual culture and Buddhist practice. The association of the Mahāmayūrī banner with a Khotanese donor is demonstrated, as follows, by a close reading of the painting’s hitherto unexamined inscription.

### **A Khotanese Official’s Donation**

Transcription: *yaraišā nāmai āmācā haiṣṭe tcahauryāṃ paṣāṃ ba’ysuṣṭe brī[ye ...*

Translation: The āmāca-official named *Yaraiša* donated, in love of bodhi of the Four Assemblies, ...

The inscription on the Mahāmayūrī banner was written on the left edge of the verso side of the painting (Figure 14) in Khotanese, a middle Iranian language, and in the Brāhmī script. After the painting was completed, the writer must have turned the painting sideways and inscribed the text from left to right.<sup>27</sup> The text begins right below the canopy above the image of Mahāmayūrī. The first syllable is unclear, but there is space for only one syllable in front of the second and third syllables, which are clearly *rai* and *ṣā*. The first syllable may be tentatively read as a *ya*. Thus, the first three syllables, which constitute the name of the donor, may be reconstructed as \**Yaraiša*.<sup>28</sup> Due to damage, it is impossible to know how much text is missing at the end of the inscription. The last partially visible syllable is that of *brī*, no doubt the beginning of the word *brīya*- “love.” The space of the torn section of the banner would have allowed for several more words. One would assume, on the basis of other similar inscriptions, that the intentions of the donor might have been expressed. The missing part might have also included the date when the painting was made, but this scenario is less likely because the date is usually given at the beginning of a dedicatory inscription.<sup>29</sup>

The meaning of the extant part of the inscription is otherwise clear: an official with the title of *āmāca*, possibly named Yaraṣa, donated something “in love of bodhi of the Four Assemblies.” The verb used here, *hatīs-*, have the general meaning of “to give.”<sup>30</sup> But in religious contexts, it often means more specifically “to donate,” which better fits the context of this inscription.<sup>31</sup> The inscription does not specify what this *āmāca* official donated, but it is very likely that the thing being donated was the painting on which this inscription was written. The phrase “in love of bodhi” is commonly found in Khotanese donation texts. For instance, when commissioning a text about the Buddha’s former births titled *Jātakastava*, the donor “ordered it to be written in love of bodhi.”<sup>32</sup> The phrase “Four Assemblies” refers to the four groups of Buddhists: monks, nuns, laymen, and laywomen. The genitive plural construction “of the Four Assemblies” shows that the donation was not merely for the personal benefit of the donor, but that of all Buddhist devotees.

The title *āmāca* held by the donor of this painting derives from the Sanskrit term *āmātya*, meaning “minister.”<sup>33</sup> In eighth century secular documents from Khotan, this term was often used in combination with other titles to denote an official of the highest status in the government of Khotan.<sup>34</sup> Because of the centrality of this office in the Khotanese bureaucracy, it also appeared in Chinese (as Amozhi) and Tibetan (as A-ma-cha), the languages of the two empires that ruled Khotan between the seventh and ninth centuries. In Khotanese documents from Dunhuang, most of which date to the tenth century,<sup>35</sup> *āmāca* remained an important office. For instance, in the preface to the *Jātakastava* mentioned above, the writer prays for the people of Khotan: after mentioning the king, the queen, and the princes, the writer continues to list “the great prime minister (tsai-syām < Ch. Zaixiang), *āmāca* the servant of the god” as well as “the good, the bad, and the middle, all the people in the country.”<sup>36</sup> From the hierarchical sequencing

of the prayer, it is clear that in the tenth century, *āmāca*, while lower than that of the prime ministers, was still one of the most important titles in Khotan. It is therefore fitting that an *āmāca* should have had the means to commission such a lavish silk painting. But how did a painting commissioned by a Khotanese official end up in the library cave in Dunhuang? To answer this question, we need to place the life of this painting in the context of the political history of Eastern Eurasia in the ninth to tenth centuries.

### **Dunhuang and Khotan: Silk Road Envoys and Buddhist Patronage**

The ninth and tenth centuries were an era of political fragmentation across Eurasia. The three empires, the Tibetan Empire (618-842), the Uyghur Empire (744-840), and the Tang dynasty (618-907) that dominated Eastern Eurasia in the previous centuries all fell in the mid to late-ninth century.<sup>37</sup> Both Khotan and Dunhuang were under the rule of the Tibetan empire until the mid-ninth century, when both acquired political independence. While it is very likely that Khotan and Dunhuang exchanged envoys in the ninth century, our earliest documentation about such exchange dates to 901.<sup>38</sup> The diplomatic relation between the two states was further solidified during the tenth century through intermarriage between the Khotanese royal family and the Cao family that ruled Dunhuang.<sup>39</sup> As a result, there was no major warfare between these two states for at least a century, and images of the kings and queens of Khotan decorated the Dunhuang caves next to those of the lords of Dunhuang.<sup>40</sup> Although about a thousand miles (or 1564 km on the closest modern highway) apart from each other, Dunhuang and Khotan in the ninth and tenth centuries had a uniquely close relationship.

This close relationship was sustained by a frequent exchange of personnel. Scholars have noticed that a large number of the Khotanese-language documents found in the library cave in Dunhuang are reports by Khotanese envoys.<sup>41</sup> The Dunhuang government and monasteries often

provided accommodations for Khotanese envoys and monks.<sup>42</sup> Similarly, many Dunhuang residents also traveled to Khotan, as is made clear by the many contracts made by these travelers.<sup>43</sup> As a result, there was likely a constant presence of Khotanese elites, including princes, princesses, governmental officials and Buddhist monks, in Dunhuang in the ninth and tenth centuries.<sup>44</sup>

These Khotanese luminaries engaged with the local society of Dunhuang as Buddhist patrons. Dunhuang was known as a particularly important place for Buddhist activities. In Khotanese texts, Dunhuang is sometimes described as a “land of god (*gyasta/jasta-kṣīra*).”<sup>45</sup> Many Khotanese travelers who visited Dunhuang were monks themselves. For instance, according to an envoy’s report, a diplomatic mission to China from Khotan led by a certain Ana Saṃgaa had eleven *ācārya* (Buddhist teachers) and six *gṛhastha* (householders).<sup>46</sup> But even laypeople like these householders were likely also Buddhists. Khotanese monks and laypeople alike engaged in Buddhist devotional activities, such as the lighting of lamps, the organization of vegetarian feasts at Buddhist monasteries, the building of stūpas, and the construction of Buddhist caves. For instance, a Khotanese envoy named Ṣaṃdū “went around the city to 121 shrines,” and “sent 502 liters of oil for use in all the temples situated around the city” when he was in Dunhuang.<sup>47</sup>

One of the most important and visible ways Khotan people engaged with the Buddhist communities in Dunhuang was through the making of paintings. A prime minister from Khotan commissioned paintings in Dunhuang caves to pray for good relations between Dunhuang and Khotan and the health of both sovereigns. In this prayer, he described the painting process as “Yielding the precious treasure of exotic nature, I summoned crafty artisans of the red and black colors. [The artisans] drew the ornamentations of tathāgata, and painted the true image of

bodhisattvas.”<sup>48</sup> Among the nearly five hundred caves in the Mogao Buddhist complex, several have been identified as either having been repaired or constructed by Khotanese donors.<sup>49</sup>

On the topic of the sponsorship of paintings by Khotanese donors, one letter is particularly relevant to the painted banner under discussion. In 964, a female Khotanese servant residing in Dunhuang wrote a letter to Khotan, in which she asked the princess and prime minister in Khotan to send support for the construction of a cave shrine. Among the things she asked were “colors for painting” (*huacaise*) and “colored thread for making an embroidered image for the Sanjie monastery (*Sanjiesi xiuxiang xianse*).”<sup>50</sup> The “embroidered image for the Sanjie monastery” likely refers to items that were donated to the Sanjie monastery. As Rong Xinjiang has shown, the Sanjie monastery was the original repository of many manuscripts and artifacts that were later deposited in the Dunhuang library cave.<sup>51</sup> The 964 letter provides a concrete example of Khotanese officials donating religious images to the Sanjie monastery. In a similar way, the Mahāmayūrī banner may also have been donated to the Sanjie monastery, after which it was deposited in the library cave. Our *āmāca* official \*Yaraiṣa could have had this painted banner made in Khotan and brought to Dunhuang, or he could have traveled to Dunhuang himself and commissioned the banner there. In either scenario, the distinctive Khotanese style reflects the impact of Khotanese visual culture upon artistic production in Dunhuang.

The Mahāmayūrī banner is not the only painting bearing Khotanese inscriptions that were found in the Dunhuang library cave. There are about half a dozen known examples of paintings on paper and silk with Khotanese inscriptions, and this recent acquisition by the Metropolitan Museum of Art is a spectacular addition to this small but important group of materials.<sup>52</sup> A few common features unite the Mahāmayūrī banner and these other pieces: First, they were

commissioned by Khotanese donors, likely officials and other social elites. Second, the objects were either made in Khotan then brought to Dunhuang, or they were made in Dunhuang at the demand of Khotanese donors. Third, these items were likely donated to monasteries in Dunhuang, particularly the Sanjie monastery, as offerings. Because of their similarities, these paintings merit further scholarly attention as a coherent set of materials, which will allow us to have a better understanding of the presence and the role of Khotanese art, and also Khotanese people, in Dunhuang.

### **The Afterlives of the Mahāmayūrī Banner**

In 2007, the banner was auctioned at Christie's London.<sup>53</sup> It was part of a sale by the Andrews family, who were stated as owners of the painting through their descent from Frederick Henry Andrews (1866–1957). Fred Andrews had been friend and assistant to the archaeologist, Marc Aurel Stein (1862–1943). During four expeditions to Central Asia, Stein acquired numerous artifacts and Andrews assisted with their cataloguing and study, as discussed further below. If we take as a reasonable starting assumption that this painting came from the library cave, then how did Fred Andrews acquire it?<sup>54</sup> Did he buy the painting himself, or could it have been given him by Stein?

The former seems implausible. Andrews's financial situation was not robust.<sup>55</sup> It is unlikely he could have afforded this painting were it offered for sale. Secondly, this piece was in a fragmentary and unconserved condition when it came to Christie's. If Andrews had bought it either for his own pleasure or for resale, it would be a reasonable assumption that he would have had it mounted and framed — or that it would have been mounted before sale to him—to increase its worth. So, while we cannot say with certainty that Andrews did not buy this piece, it is not a well-supported hypothesis. Is it possible that it was gifted to him by Stein? It was a

condition of his grants that all finds were to join museum collections in Britain and India and Stein was meticulous in recording his finds *in situ*, making such gifts unlikely.<sup>56</sup>

If Andrews did not purchase or receive the painting as a gift and it was originally part of Stein's collection, then how might it have found its way to Andrews? As will be argued below, it is plausible that he acquired it accidentally because of the nature of this particular collection. In order to understand this situation, some background on the relationship between Stein and Andrews and of the acquisition and documentation of material from Dunhuang is necessary.

Andrews, a graduate of St Martin's School of Art in London, arrived in Lahore in 1890 to become Vice-Principal of Mayo College of Art. There he met Stein, with whom he remained friends to the end of Stein's life. He also worked as an assistant for Stein on the collections. Stein was meticulous about record-keeping and gave most of the artifacts he excavated a unique site mark when in the field, writing it on the artefact itself. He kept lists of these so that, when unpacked, the material could be cross-checked. These lists were also published in his expedition reports. All the material was to be deposited in public collections.

In 1907, Stein visited Dunhuang on his second expedition (1906–8) and acquired thousands of manuscripts and hundreds of textiles and portable paintings on silk, paper and hemp from the library cave. This material was not acquired through excavation but in rushed and clandestine circumstances in which Stein and his assistant, Jiang Xiaowan (d. 1922), were given bundles of material by the unofficial guardian of the cave, Wang Yuanlu to examine secretly.<sup>57</sup> These items were inscribed with a site mark, such as Ch.i.001, 'Ch.' indicating Dunhuang (Ch'ien-fo'tung), 'i' the bundle number and '001' the serial number (although it is probable that the serial number was only added later).<sup>58</sup>

When preparing for their departure from the field in July 1908, Jiang started to unpack, number, list and repack all regular bundles, making index slips as he went along.<sup>59</sup> But he only had time to record about one third of the material and, although his index slips were used during the unpacking at the British Museum, they have to date not been located by these authors. Unlike other material, therefore, there was no complete master list to use for checking when unpacking the Dunhuang material in London, nor did they all contain a site mark.<sup>60</sup>

When Stein's finds arrived in England in 1909, Andrews was employed by the India Office Library to unpack, sort and list them. Stein notes in July 1910 that 70-80 banners had been flattened.<sup>61</sup> Most of the paintings required some basic conservation before they could be identified and catalogued. In many cases, pieces of paper or silk were discovered in scrunched-up balls or stuck together with other pieces, either deliberately —old textiles and paper being used for patching —or accidentally as a result of being squashed together in storage.

Two sections of a catalogue of the paintings were compiled by the French scholar Raphael Petrucci (1872-1917) and published as Appendix E to Stein's expedition report.<sup>62</sup> Laurence Binyon (1869-1943), Assistant-Keeper in charge of the Sub-Department of Oriental Prints and Manuscripts at the British Museum, and his assistant, Arthur Waley (1889-1966), took over this work after Petrucci's early death. A list of all the identified paintings was prepared for the end of the chapter on Dunhuang, but the banner is not mentioned in this nor in the Appendix.

Stein's second expedition was funded jointly by the India Office and the British Museum, with the agreement that the finds would be divided: three-fifths to go to India and two-fifths to the British Museum. In 1918, the selection of those for India was packed into 67 crates and sent to the India Office in London for safekeeping during the war.<sup>63</sup> Those for the British Museum were also packed for safe keeping and in 1919 were acquisitioned into the British Museum



collections.<sup>64</sup> The others were shipped to India.<sup>65</sup> The banner was not listed as among any of these either.

However, this does not mean it was not from Stein's second expedition. By no means had all of the material been conserved at this time and some remained in a state which conservators of the time did not feel able to tackle. Many of the original bundles are marked as having being returned from conservation in these early years as untreatable.<sup>66</sup> So, it is possible that the painting was among the second expedition material kept at the British Museum in an unidentified state. Furthermore, material from Stein's third expedition (1916–18) was sent to British India to be conserved, listed, and prepared for acquisition. Stein had acquired more material from Dunhuang on this expedition and while there is no evidence of paintings or banners among them, it is not impossible that some of the material included unconserved fragments such as the banner.<sup>67</sup>

It is certain that this painting is not described in Stein's published reports, nor in any of the unpublished lists and correspondence. This strongly suggests that it was not recognized at this time. This could be because it was either pasted on to the back of another painting or sandwiched between outer wrappers or remaining in a squashed bundle. It is also not impossible that it was among material that Andrews had at home. For a scholar to work on material at home was quite usual at this time, and items from the Stein collections were often sent to specialists in London and further afield. Andrews certainly worked on material at home, as is shown by later correspondence from Stein asking him to look for certain items.

Could such an item have remained with Andrews and only later been unfolded to be revealed as an important painting? It is not farfetched to suggest that Andrews, with his background, familiarity with the material and knowledge of the conservation work, did this

himself. But then if he discovered this piece in such a way some time after the expeditions, why did he not inform Stein about it and ensure that it was returned to the collection? Or was it only discovered after Stein's death? But then Andrews might have been expected to return it to the museum.<sup>68</sup>

We cannot at present — and might never — be able to answer these questions. But it remains most probable that the banner was from the library cave at Dunhuang, acquired by Stein either in 1907 or during 1913-16 but then in a condition unrecognizable as a fine painting. It is possible that—still unrecognized—it accidentally remained in Andrews' possession and only came to light after Stein's death in 1943, and that Andrews either forgot about it or died before it could be unfolded. The subsequent arrival of the banner at the Metropolitan Museum of Art thus closes the circle on the intriguing journey of this important painted silk banner, from its initial production, its circulation within the cultural milieu of medieval silk road oasis cities, and finally, its acquisition in the early twentieth century to the present-day.

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<sup>1</sup> T982, T984, T985, T986, T987, T988. The various recensions bear slightly different titles but share in common a focus on Mahāmayūrī and the healing or apotropaic properties of his incantation (dhāraṇī or mantra).

<sup>2</sup> These are the translations by Śrīmitra (T986, T987) and Kumārajīva (T988). For studies of the Mahāmayūrī dhāraṇī sūtra, see Sørensen 2006, Des Jardins 2011, and Overbey 2016.

<sup>3</sup> T19.987.479a29-b3.

<sup>4</sup> T19.987.479b3-9

<sup>5</sup> T19.987.479b9-11; T19.988.483a27-29. This narrative framework appears in T987 and in the Kumārajīva translation (T988).

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<sup>6</sup> For example, a medicine bowl is one of the objects commonly wielded by the medicine Buddha Bhaiṣajyaguru in paintings and sculptures.

<sup>7</sup> Pandey and Pandey 1988, pp. 9-10.

<sup>8</sup> According to the ritual manual attributed to Amoghavajra, *Ritual Commentary Spoken by the Buddha on the Altar of the Great Peacock Wisdom King's Image* (*Foshuo Da kongque mingwang huaxiang tanchang yigui*; T983A), the four-armed Mahāmayūrī is seated on a “golden peacock king” and holds peacock feathers in his second left hand; see T19.983A:440a4-10. Although Mahāmayūrī is represented in the Metropolitan Museum banner as a two-armed standing deity, the association with the peacock and peacock feathers remains consistent.

<sup>9</sup> T19.986.477c7-8.

<sup>10</sup> Mahāmayūrī was also associated with rain-making rituals and with Buddhist kingship. For images of Mahāmayūrī in Dunhuang and Sichuan, see Wang 1996, Hashimura 2011, and Wang forthcoming.

<sup>11</sup> See Copp 2014.

<sup>12</sup> Wang 2007, pp. 58-59. For hemp banners from Dunhuang, see Whitfield 1998.

<sup>13</sup> On non-human agency in Buddhist rituals, see Wang 2011 and Kim 2017.

<sup>14</sup> For a relevant passage from the *Sūtra of the Revered and Victorious Dhāraṇī of the Buddha's Uṣṇīṣa*, see Copp 2014, p. 146.

<sup>15</sup> Based on her reinterpretation of the mural painting on the south wall of Mogao Cave 217, Shimono Akiko argues that visual evidence exists for the practice of inscribing *dhāraṇīs* upon a cloth banner, and then hanging the banner from a tall structure; see Shimono 2004. For an important recent treatment of *dhāraṇī* pillars, see Liu 2008, in which the author argues for the multivalent associations of *dhāraṇī* pillars, stating that they functioned not only as vehicles for *dhāraṇīs* but also as stūpas.

<sup>16</sup> Huang and Wu 1995, p. 159. Thanks to Allan Ding for this reference.

<sup>17</sup> The double-sided Turfan banners are also discussed in Zaleski 2016, p. 83. Thanks to Mélodie Doumy for this reference.

<sup>18</sup> This technique is discussed in Zaleski 2016, p. 85.

<sup>19</sup> See Zhao 2007b, pp. 192-195.

<sup>20</sup> Double-faced weave silk fabrics are known from the silk routes, resulting in different colors and even different patterns on each side of the fabric. For an example, see Zhao 2007a, plate 124.

<sup>21</sup> For the archaeological report, consult Stein 1921, Volume 2, pp. 1073-1075.

<sup>22</sup> There are two Tibetan inscriptions on the verso, one of which is a rough transliteration of the deity's name (*ba ca ra pang ne*). For the inscription, see Whitfield and Williams 2004, p. 210, plate 131.

<sup>23</sup> For the British Museum paintings, see Whitfield 1982–1985, Volume 1, pp. 333-334. For the National Museum of India paintings, see Chandra and Sharma 2012, pp. 221-223.

<sup>24</sup> Compare, for example, to the solid-colored textiles in “Banner with Avalokiteśvara,” Tang dynasty (618-907) or Guiyijun period (848–1036), ink and color on silk, 56.5 x 16.5 cm., British Museum, 1919,0101,0.124 (Ch.00113). Because of the striped textiles of the garments and the ill-defined musculature of the bodhisattvas, which are also present in mural paintings from Balawaste, located in the eastern part of the Khotan oasis in present-day Xinjiang Uygur Autonomous Region, Gerd Gropp has argued that they are Khotanese in origin; see Gropp 1974, p. 94.

<sup>25</sup> In the premodern connoisseurial literature, Khotanese painters such as Yuchi (Weichi) 尉迟 Yiseng 乙僧 (active second half of the seventh century) were known for their technique of chiaroscuro. For a synthesis of the relevant primary sources on Khotanese painters, see Nagahiro 1955, p. 73.

<sup>26</sup> Khotanese painting is said to reflect South Asian, Chinese, Sasanian, and Sogdian elements. See Williams 1973, pp. 110-111. It is worth noting that the unusual standing position of Mahāmayūrī, who is usually shown seated on a peacock mount, echoes sixth century Mahāmayūrī sculptures at Ellora in western India; see Malandra 1993, pp. 96-97. Malandra notes that Mahāmayūrī is paired with Bhṛkuṭī in Ellora Caves 6 and 8, which is unique to the site, and that there are no textual precedents for Mahāmayūrī's appearance. Nevertheless, the standing posture is very common in representations of deities in painted banners, so this does not imply a direct connection but rather points toward two distinct treatments of the standing Mahāmayūrī.

<sup>27</sup> This way of writing Khotanese inscription on paintings is more common than when the writer writes the inscriptions vertically along the vertical direction of the painting, which is found in P.t.2222 in the Bibliothèque nationale de France. See Filigenzi and Maggi 2008.

<sup>28</sup> This name is not otherwise attested in Khotanese texts.

<sup>29</sup> For a similar inscription that begins with the date, see Stein 1921, vol. 2, p. 1012.

<sup>30</sup> Emmerick 1968, p. 145.

<sup>31</sup> See the examples in Bailey 1979, pp. 448–9.

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- <sup>32</sup> Skjærvø 2002, p. 299.
- <sup>33</sup> Olivelle 2013, p. 40. *This is the title* of the famous minister Yaśa for King Aśoka in the Khotanese legend of Aśoka. See Bailey 1951, pp. 40–4.
- <sup>34</sup> Wen 2008, p. 124.
- <sup>35</sup> For this dating of the Khotanese documents, see Zhang and Rong 2008, 70–105.
- <sup>36</sup> Dresden 1955, p. 422.
- <sup>37</sup> For this history, see Chavannes 1942, Maeda 1964, Beckwith 1993, and Drompp 2005.
- <sup>38</sup> Rong and Zhu 2013, p. 110.
- <sup>39</sup> Rong and Zhu 2013, pp. 151–70.
- <sup>40</sup> This is particular true in Cave 98, which contains the largest donor image in all of Dunhuang caves, that of the Khotanese king.
- <sup>41</sup> Kumamoto 1982. These envoys also left records in Chinese and Tibetan, in official letters, royal edicts, and notebooks. See Zhang and Rong 2008, pp. 1–14. For the Tibetan documents in particular, see Rong and Zhu 2013, pp. 375–412.
- <sup>42</sup> For examples, for Dunhuang government’s provision of food to Khotanese envoys see S.1366 in Tang and Lu 1986–1990, vol.3, p. 285; for a Dunhuang monastery’s provision of food to Khotanese envoys, see P.2642 in Tang and Lu 1986–1990, vol. 3, p. 209.
- <sup>43</sup> In a tantalizing piece of evidence, of the 46 people living in Suo Liuzhu Lane in Dunhuang who were late in their payment of taxation in firewood, twelve were recorded as having traveled to Khotan. See ㄊx2149 in Tang and Lu 1986–1990, vol. 2, p. 446.
- <sup>44</sup> Kumamoto 1996.
- <sup>45</sup> IOL Khot S. 21. Skjærvø 2002, pp. 522–4.
- <sup>46</sup> P.2958. Bailey 1967, pp. 96–7.
- <sup>47</sup> Bailey 1951, p. 44.
- <sup>48</sup> P.2812. The Chinese text reads 捨異類之珍財。召丹青之巧匠。繪如來之鋪席，圖菩薩之真儀。 See Zhang and Rong 2008, pp. 91–2.
- <sup>49</sup> Chen 2014, pp. 244–7.
- <sup>50</sup> ㄊx.2148(2)+ ㄊx.6069(1). See Zhang and Rong 2008, p. 293.
- <sup>51</sup> Rong 1999–2000.
- <sup>52</sup> For these inscribed paintings, see Stein 1921, vol. 2 p. 1012, Emmerick and Dudbridge 1978, Emmerick 1984, Filigenzi and Maggi 2008.
- <sup>53</sup> Christie’s Sale, 15 May 2007, lot number 0171. <https://www.christies.com/lotfinder/lot/an-extremely-rare-and-important-tang-dynasty-4905708-details.aspx?from=salesummery&intObjectID=4905708&sid=a341ca1a-466f-459f-95dc-95c674287c3a>. See [http://idp.bl.uk/archives/news\\_current/news\\_current.a4d#section5](http://idp.bl.uk/archives/news_current/news_current.a4d#section5) for an initial account. (last accessed September 29, 2019)
- <sup>54</sup> Although forgeries were produced later of such material, the fragmentary state of this piece, its distinctive subject matter and its sophistication all strongly suggest that this is not a forgery. See Cohen 2002, pp. 24–30 and Whitfield 2002, pp. 96–102 for discussions.
- <sup>55</sup> At least this is the impression from reading Stein on Andrews, along with Andrews’s dissatisfaction with most of his positions, although Andrews left a reasonable legacy.
- <sup>56</sup> The British Museum Act of 1767 allowed the Trustees “to exchange, sell or dispose of any Duplicates of Printed Books...”. This was most probably behind the decision to exchange a Dunhuang blockprint from the Stein Dunhuang collection, 1919,0101,0.241 (Ch.00185.a), with an item from the Royal Ontario Museum in 1924 (927.24).
- <sup>57</sup> Stein 1921, pp. 801 ff.
- <sup>58</sup> In the expedition report Stein says “I may note here that when the marking with serial numbers was made at the British Museum ...” (1921, p. 814 n.2).
- <sup>59</sup> Although most of the paintings seem to have been listed at this time.
- <sup>60</sup> It is regrettable that the site mark, when given, was not always recorded in catalogues and databases of the material. IDP started recording this information on its database at the British Library but the work is still to be completed. However, from the work done, we can see that the site mark carries important information about the original storage of the manuscripts in the bundles in the cave, as suggested previously by Rong Xinjiang and others. These results will be published in a forthcoming article by Paschalia Terzi and Susan Whitfield.
- <sup>61</sup> MSS. Stein 7/81-2. Aurel Stein to Percy Allen. Note that the material was not yet acquisitioned into any collection.
- <sup>62</sup> Stein 1921, pp. 1392–1428, following by a short essay by Binyon (pp. 1428–1431).

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<sup>63</sup> An exception was made for the Kharoṣṭhī tablets, many due for India but which were still being catalogued. Permission was made for these to be kept unpacked at the British Museum so that the cataloguing could be completed.

<sup>64</sup> Hence the prefix to their museum reg. number, 1919.

<sup>65</sup> Now in the National Museum, New Delhi.

<sup>66</sup> For an example of the state of much of the material before conservation and the time-consuming work in flattening folded material, see the time-lapse video: <https://www.youtube.com/watch?v=FIP3jMfZkY4>

<sup>67</sup> They included manuscript scrolls and clay relief plaques acquired directly from Wang Yuanlu at the caves, as well as other rolls offered by sellers to Stein in the town and in other places en route. See Stein 1928, pp. 354–62 for a review of these. As Stein notes, this material was in good condition – indeed, Fujieda Akira suggested much of it consisted of forgeries (Fujieda 2002). But, more pertinent to the discussion here, it was not in a form that suggests a painting on silk could be hidden among it.

<sup>68</sup> It is, of course, also possible that it was discovered and unfolded after Andrews' death by his family. On his death in 1957, apart from small bequests, his estate passed to his nephew, Richard Cuthbert Andrews, and his wife, Barbara.

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