



1 *Review*

## 2 **Research Ethics with Gender and Sexually Diverse** 3 **Persons**

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15  
16 **Abstract:** Identifying and developing inclusive policy and practice responses to health and social  
17 inequities in gender and sexually diverse persons require inclusive research ethics and methods in  
18 order to develop sound data. This article articulates twelve ethical principles for researchers  
19 undertaking gender and sexually diverse social, health, and related research. We have called these  
20 the 'Montréal Ethical Principles for Inclusive Research'. Whilst writing from an international social  
21 work perspective, our aim is to promote ethical research that benefits people being researched by  
22 all disciplines. This paper targets four groups of interest:

- 23 • Cisgender and heterosexual researchers;
- 24 • Researchers who research 'general' populations;
- 25 • Gender and sexually diverse researchers;
- 26 • Human ethics committees.

27 This article was stimulated by the 2018 *Global Social Work Statement of Ethical Principles*, which  
28 positions human dignity at its core. It is critically important to understand and account for  
29 intersectionality of gender and sexuality with discourses of race, ethnicity, colonialism, dis/ability,  
30 age, etc. Taking this intersectionality into consideration, this article draws on scholarship that  
31 underpins ethical principles developed for other minoritised communities, to ensure that research  
32 addresses the autonomy of these participants at every stage. Research that positions inclusive  
33 research ethics at its foundation can provide a solid basis for policy and practice responses to health  
34 and social inequities in gender and sexually diverse persons.

35 **Keywords:** Bisexual; Gay; Gender diverse; Human ethics committees; Lesbian; Research ethics;  
36 Transgender; Ethical principles

### 37 **1. Introduction**

38 Over the past half-century gender and sexually diverse persons<sup>i</sup> have increasingly emerged as  
39 a legitimate focus in research. The early 1980s was a pivotal time for research as the global HIV  
40 epidemic focused researchers' attention on gay men, and eventually men who have sex with men; on  
41 lesbians through women's rights; and trans people. **But as social, political, and cultural attitudes**  
42 **evolve, this attention has broadened to include people identifying as gender and sexually diverse**  
43 **and has attracted the attention of researchers in multiple contexts with varying agendas.** This is  
44 particularly true for researchers who are interested in health and social inequities for gender and  
45 sexually diverse persons around the world. (We use the word 'inequities' in this paper, because it is

46 inequities, or the lack of justice, that lead to inequalities in health and social outcomes.) For the most  
47 part, this is a positive development because research has the potential to validate the existence of  
48 these communities and to highlight the rich complexities within these populations. While the  
49 findings that emerge from such research are important for planning services in areas such as health,  
50 mental health and social care, this is not their only purpose: such findings can also help planners,  
51 policy makers, service providers and theorists to understand behavior, constructions of identity, and  
52 the ways knowledge itself is understood and validated. For policy planners and intervention  
53 designers who seek to address health inequities that lead to inequalities, having good evidence to  
54 develop their responses is essential. However, some social work theorists [3,4] remind us that  
55 exclusively focusing research on gender and sexually diverse communities draws our attention away  
56 from stigmatizing and oppressive heteronormative and cisgendered environments and suggests that  
57 people fit easily into discreet and discernible categories. As a result, it is important to retain a critical  
58 focus on cisnormative<sup>ii</sup> and heteronormative discourses and the nefarious effects of essentializing  
59 people for ease of research planning.

60 This paper is intended to contribute to the debate about ethical issues raised by research  
61 associated with gender and sexually diverse communities. It is not our intent to set out  
62 methodological guidelines on how to do research with gender and sexually diverse communities, but  
63 rather to suggest some ways to address the ethical challenges raised by this work. There have been a  
64 number of calls to make research with marginalized populations more representative and to address  
65 the autonomy of participants; this paper is situated within this wider movement. These appeals have  
66 called for active and appropriate engagement with various marginalized populations at all stages of  
67 the research process, from conceptualization to dissemination [5,6,7]. These various contributions  
68 have foregrounded the debates explored in this paper, as a way to address the concern that  
69 knowledge and power is being gained from exploitative study of minority groups [1,8,9]. This paper  
70 re-affirms the importance of meaningfully engaging with the communities being studied.

71 It is not our intent to revisit widely accepted ethical norms and standards of social research [10],  
72 but rather to interrogate their heterocisnormativity, and through that examination to extend those  
73 norms and standards as they relate to gender and sexually diverse individuals, communities, and  
74 researchers. While there has been some attention paid to the need for increased research in gender  
75 and sexually diverse communities, especially in the area of health and mental health [11], there has  
76 been more limited work on the ethics of research in this area, although there have been some recent  
77 proposals of ethical principles with trans and non-binary participants [12,13]. We seek to address this  
78 lacuna and introduce some possible ways to address the challenges of research with gender and  
79 sexually diverse people. Whilst we write from a social work context, the focus of this paper is a more  
80 general audience of social and health researchers, and specifically four groups:

- 81 • Cisgender and heterosexual researchers doing research with gender and sexually diverse  
82 persons and communities;
- 83 • Researchers who research 'general' populations which will inevitably include gender and  
84 sexually diverse persons;
- 85 • Gender and sexually diverse researchers doing research with gender and sexually diverse  
86 persons and communities;
- 87 • Human ethics committees (as they are known in local and national contexts) that are  
88 responsible for reviewing research proposals and ensuring that the proposals meet the  
89 expected ethical standards.

90 The impetus for this paper is the ratification of the *Global Social Work Statement of Ethical Principles*  
91 (*GSWSEP*) by the general bodies of both the International Association of Schools of Social Work and  
92 the International Federation of Social Work in July 2018. Elaborating *GSWSEP* is beyond the scope of  
93 this paper, and readers can familiarize themselves with the context and background of these  
94 principles and the commentary if they are not already [14,15]. Whilst the *GSWSEP* ethical principles

95 are social work-specific, they are **useful** here because together with other commentators they **have**  
96 generated a discussion about the lack of broader social research ethics with gender and sexually  
97 diverse individuals and communities [12,13,16]. This **discussion** in turn led the authors to **develop**  
98 these principles. At the core of *GSWSEP* is 'Recognition of the inherent dignity of humanity'  
99 (Principle 1), which suggests that individuals are "Far from being autonomous and independent  
100 beings as constructed by liberal theory, as human beings we are all embedded in societies and  
101 dependent on their socio-political, economic and cultural structures and conventions" (p. 1). The  
102 principle of dignity implicitly encourages social researchers to focus both on gender and sexually  
103 diverse communities and on the oppressive and binarised heterocisnormative environments in which  
104 those lives are lived.

## 105 2. Background

106 Gender and sexually diverse communities comprise multifarious persons who experience  
107 themselves as radically and subjectively different from cisgender heterosexual majorities. This  
108 difference is frequently experienced as hidden, ignored, stigmatized, or devalued. While gender is a  
109 more commonly shared experience, the ways gender is enacted differs considerably across cultures.  
110 Many people, including academic researchers, uncritically assume an essentialized and conflated  
111 understanding of sex as assigned at birth as correct and enduring. For trans, gender fluid and intersex  
112 persons [17], gender may be misassigned at birth, and may change over the life course (or even day-  
113 to-day) or may simply not conform to so-called traditional biological or cultural gender binaries of  
114 women and men. The notion of sexuality as identity is one that has emerged from liberal (as opposed  
115 to relational, or 'collectivist') cultures which allow and even prioritize individualized identities [18,  
116 19]. Other rights movements have also required essentialized taxonomy in order to secure identity-  
117 based rights [20]. The increasing application of categorical language such as gay, lesbian, bisexual,  
118 and so forth, has ended up defining persons, rather than persons refining the categories.  
119 Nevertheless, diverse sexualities (and often genders) have been expressed and even honored  
120 throughout history in many cultures [21-32]. We acknowledge that liberal humanist, or 'Western',  
121 discourses and identities are not translatable across all cultures, and these discourses do not readily  
122 accommodate some cultures with highly diverse understandings of gender and sexuality [33]. Some  
123 countries and cultures have professional, ethical and legal codes that are at odds with each other  
124 when it comes to issues of gender and sexual diversity [34]. This conflict not only vulnerabilizes the  
125 researcher, but more importantly requires individuals in these communities to make difficult choices  
126 to either participate in research or access services. It is helpful to heed the writing about those  
127 experiences from members of those communities [35] and build on scholarship [7,13,36,] to encourage  
128 social researchers in all disciplines to consider their practices.

129 Too often researchers ignore lived realities: that for some people gender is misassigned or  
130 mutable, and that sexual identity comprises not only behavior and desire, but the array of various  
131 sexual story possibilities told in many cultures [37,38]. Intersectionality, a theoretical framework  
132 which emerged from Black feminist writers, refers to the complex ways different aspects of identity  
133 and oppression work simultaneously to shape individuals' lived experiences [39], and can allow for  
134 these lived realities to become known [40]. But intersecting identities and oppressions such as gender,  
135 sexuality, race, ethnicity, culture, caste, and class can be overlooked when undertaking data analysis.  
136 The dominant positivist assumption posits that these components can be studied in isolation.  
137 Research on the wider population rarely considers the array of gender and sexuality differences and  
138 their overlap with other social identities which may be included in research populations. In addition,  
139 gender and sexually diverse populations may be at significant personal, social or political risk if their  
140 identities become known in cultures or states where their identities or activities are socially  
141 stigmatized or criminalized; as a result, they may collaborate with researchers in concealing  
142 themselves, or not challenge researcher assumptions and stereotypes [41-43]. Therefore, these  
143 circumstances are likely to mean that important stories are not told, and that the research is  
144 incomplete. As demonstrated by other scholars, ethical research will include protection for

145 participants (for instance, by changing any identifying details) so that their stories can be told in their  
146 entirety, thereby improving the findings and their impact.

147 We recognize that the taxonomy of identities discussed here is contested and often fraught, and  
148 that language is also dynamic. In this paper, we use the terms ‘gender and sexually diverse’ as the  
149 most inclusive language (for now) because they acknowledge that both gender and sexuality occur  
150 on spectrums. We contrast this with the terms ‘gay’, ‘lesbian’, ‘bisexual’, etc. because these are based  
151 on liberal notions of static categories [33], prioritize these identities at the expense of other identities  
152 and social roles [44], and may not apply in non-Western and indigenous cultures. Some populations  
153 defy traditional categorizations, such as Two-Spirit Indigenous peoples of North America who fulfil  
154 third gender cultural roles [45].

155 While we challenge formulaic categories of identity, for some people these categories remain  
156 important and are used in popular discourse and the media. However, some communities which lack  
157 culture-specific terminology for identifying gender or sexual diversities may default to these categories,  
158 meaning they are reproduced in incongruous contexts. The word ‘queer’ has been reclaimed by many,  
159 but by no means all, gender and sexually diverse persons from its historically hateful use and  
160 redeployed in an empowering way. For some people, the term ‘queer’ also signifies their personal  
161 celebration of difference and how this difference contributes to diversity, as opposed to a mainstream,  
162 assimilationist agenda. We also hold, with UNAIDS [46] that persons should not be reduced to initials  
163 or acronyms (e.g., ‘LGBT&c’) even for editorial convenience. We acknowledge that this can result in  
164 some awkward and even repetitive linguistic constructions.

### 165 3. Reviewing relevant debates

166 Over the last several decades, progress has been made in response to challenges for doing  
167 research in gender and sexually diverse communities. These include Meezan and Martin [47], who  
168 explored the challenges of applying traditional ethical notions to research with identified gender and  
169 sexually diverse communities. Research however, on gender and sexually diverse communities has,  
170 in some contexts, been met with opposition from human ethics committees. This may be because it  
171 has a political, rather than a scientific, purpose [48]; does not fit with state-enforced social values [34];  
172 occurs in contexts where human rights are perceived as hegemonic liberal humanist discourse; or  
173 occurs where gender and sexually diverse persons are perceived as a threat to the political or social  
174 regime [49,50]. It is hard to imagine how ethical research on gender and sexually diverse persons can  
175 exist in cultures where human rights themselves are not respected, even as we recognize the  
176 limitations of human rights [51,52]. All research on gender and sexually diverse persons emerging  
177 from oppressive contexts must be received and interpreted with extreme caution. That is not to say  
178 that researchers should merely accept the status quo: but in these contexts, researchers will want to  
179 ally carefully with on-the-ground community-based organisations in order to ensure that their  
180 research both meets the needs of local gender and sexually diverse communities and does not put  
181 them further at risk. Brown writes “The very existence of a universal declaration [of human rights]  
182 rebukes long-standing, but intellectually feeble presumptions, that a sovereign state’s treatment of  
183 its citizens is the business of that state and that state alone” [53] (p. 2). We suggest that this is also of  
184 interest to researchers because increased human mobility, interdependencies of nation states, and  
185 ongoing changes in treaties, policies, and law challenge fixed notions of sovereignty [54].

186 It is important to reflect and debate the existing scholarship on ethical principles with other  
187 minority or marginalized groups of people, to move ahead with these challenging issues [55].  
188 Research inclusivity with individuals and communities is important but brings challenges [7]. Using  
189 broader ethical frameworks is recommended by some authors, with the suggestion that broader  
190 frameworks assist researchers to align themselves with the needs of the communities they research  
191 [56]. There are also concerns raised about the links between research and the oppression of minority  
192 individuals, with the situation for trans people highlighted by Marshall et al. [16; see also 57]. These  
193 approaches have presented various guidelines that range from a mapped protocol [16] to setting out  
194 six areas of discussion [13], and nine guidelines [12].

195 Social researchers are often grouped into ‘insiders’ and ‘outsiders’ when describing connection  
196 to the community under study. There are both advantages and challenges to either position, but there  
197 is no space here to examine these in detail. Whilst an insider may have a quicker and more intense  
198 understanding of context [58], such a status brings challenges that include confidentiality and  
199 boundaries, as some of these communities are highly interconnected. Insider research can also be  
200 marginalized by other researchers [1, 8] who claim that insider research includes an ‘agenda’ (with  
201 the presupposition that outsider research is agenda-free). A significant issue with outsider research  
202 is the risk of universalizing experiences, suggesting that one individual’s experience is represents all  
203 others from the same group. However, outsider research can have significant advantages of funding,  
204 reputation, and networks.

205 It is likely that researchers will want to understand each community and sub-community on its  
206 own terms. For instance, bisexuality is not an in-between identity, but an entirely different identity;  
207 bisexual persons can be invisibilised by being lumped together with other groups, and key  
208 differences ignored by cisgender, heterosexual, and queer researchers [59]. Understanding  
209 communities on their own terms and in all their complexities becomes even more important when  
210 considering intersectional identities. For example, a trans adolescent new migrant still living with  
211 their birth family must negotiate multiple and competing roles and identities [60]. The young  
212 participant’s life is a lived reality, and the researcher’s instruments, experience, and epistemic  
213 framework should assist the participant to engage positively with the research encounter.

214 Particular issues have been identified in research with gender and sexually diverse young  
215 people, and especially young people who are runaway, throwaway, or who have cognitive or  
216 physical differences, or mental health or substance misuse issues [61]. Human ethics panels may  
217 express concern about young people participating in research without parental or guardian consent,  
218 yet obtaining such consent to participate in research as a gender or sexually diverse young person  
219 may put the young person at significant risk [62, 16, 65, 66]. Importantly, young people do not require  
220 parent or guardian consent to experience themselves as different. Requiring guardian consent  
221 effectively silences the voices of gender and sexually diverse young people, and we are reminded  
222 that “[t]he principle of respect for persons demands protection of those more vulnerable, not  
223 exclusion” [65] (p. 629). We propose that one way of reframing these challenges may be for research  
224 ethics panels to focus more on the rights of the young person to be heard than on the rights of the  
225 parents to give permission [63, 66]. Researchers have found that young people from the age of 14 are  
226 capable of making adult-level decisions to participate in research when the information is provided  
227 in language appropriate to their age [62]. There is related case law in the United Kingdom to support  
228 the Gillick competencies on the rights of children to make medical decisions, and the Fraser  
229 guidelines on the provision of contraceptive information [67]. This is particularly important as these  
230 youth will often have had sexual initiation and more partners than cisgender and heterosexual youth  
231 [Eaton et al., cited in 62].

#### 232 4. Principles for ethical research with gender and sexually diverse persons and communities

233 It is with this background, context, and theoretical foundations that we propose the following  
234 principles for researchers and ethics committees, as one contribution to defining more explicit  
235 principles for ethical research with gender and sexually diverse communities. We propose that they  
236 be identified as the Montréal Ethical Principles for Inclusive Research, after the city where the authors  
237 first developed the concept for this paper. We acknowledge that social issues (and our responses to  
238 them) are constantly changing, and that gender and sexually diverse persons and communities are  
239 dynamic. Setting out explicit best practice guidelines, therefore, would become quickly outdated. It  
240 is our hope that researchers can reflect on and develop research designs and proposals with more  
241 considered and sensitive practices that are responsive to these principles. We also acknowledge that  
242 in some contexts these principles may be aspirational; but by proposing them we support researchers  
243 who wish to be accountable to their participants but may be prevented from doing so in full by the  
244 practical realities of their institutions, ethics panels, funders, or budgets.

245 **1. Respect the dignity of all research participants.** This is a foundational principle in *GSWSEP*  
246 [14] from which most of the other principles here elaborate. Dignity is experienced differently by  
247 different people, of course, and it is important that participant experiences of dignity prevail over  
248 researcher notions. Respecting dignity can be as simple as routinely using the pronoun used by the  
249 participant about themselves, and as complex as ensuring that participants or consultants are  
250 appropriately compensated for their time and expertise (although we recognize the fraught debates  
251 around compensation in research which go beyond the scope of this paper). Respecting dignity  
252 means meaningful consultation from the initial planning stages of a research project, through data  
253 collection, data analysis, conclusions, recommendations, and dissemination of results. Respecting  
254 dignity avoids ‘othering’ language in findings. Whether or not the researcher is a member of these  
255 communities, we encourage them to ask questions from a position of openness and humility.  
256 Researchers should seek to learn from the lived experiences of their participants; participants are the  
257 experts in their lives. If their experiences differ from what the researcher expects, this provides an  
258 opportunity for learning and expanding knowledge. Research questions can be drawn from the  
259 communities of interest so that they are relevant, respectful, and interesting to participants.  
260 Researchers should consider whether they are excavating knowledge for the benefits of researchers,  
261 or for public use with little direct value to the community. If participants seem difficult to engage, or  
262 do not offer much information, it may be that the study is not interesting or relevant to them, or the  
263 researcher’s position does not appear sufficiently open. If the researcher is not a member of these  
264 communities, it may be helpful to request a community member to provide an introduction.  
265

266 **2. Engage with the taxonomy and language of participants.** Taxonomy includes self-reference,  
267 categories, pronouns, and all other vocabulary and terminology, regardless of how transient,  
268 localized or ‘unscientific’ such terms may seem. Researchers should be encouraged to consider and  
269 use the taxonomy of the ways these various communities identify themselves, rather than requiring  
270 that they fit into pre-existing categories on a form. Whilst this may make the data analysis more  
271 complicated and more time-consuming, and therefore possibly more costly, the right to self-identify  
272 is one of the most fundamental of rights. Using the language of the participant community  
273 communicates respect for their right to self-determination and respects their lives. Language  
274 provides insight and using (and explaining) the language that people use dignifies them and will  
275 enrich the research.  
276

277 **3. Examine assumptions about who is and is not in the sample population.** We recommend  
278 that researchers assume that gender or sexually diverse persons or groups will be in any sample,  
279 regardless of how participants are selected. Research not focused on this group should not assume,  
280 for instance, that research participants are all cisgender, or heterosexual, or not bisexual, or intersex.  
281 Researchers will want to consider whether they have established ways for gender and sexually  
282 diverse persons to disclose themselves and participate fully in the research. Whilst categorized  
283 identities are convenient for data entry, they do not always suit individuals’ identities. To encourage  
284 accurate and meaningful data collection, we suggest questions can be framed to assist participants to  
285 participate meaningfully. If, for instance, sex options are ‘male’ or ‘female’ only, how are trans or  
286 intersex persons meant to answer that question (and it may be helpful to consider whether sex is a  
287 required variable in all studies)? Adding ‘other’ to these options is literally to ‘other’ participants.  
288 One option would be to ask members of gender and sexually diverse communities to read through  
289 any questionnaire, survey, or interview schedule before it is administered to ensure that language  
290 and response options are as inclusive as they possibly can be [7,55].  
291

292 **4. Assume that binarized cisgender heteronormativity will have an impact on the lived**  
293 **experiences of gender and sexually diverse research participants.** We recommend researchers  
294 develop a plan to address or manage that impact, including protecting participant identities and data.  
295 If the researcher is working in a context, for instance, where same-sex sexuality is criminalized or  
296 stigmatized, then participants will be at best reluctant to disclose themselves to the researcher. In

297 some places this will mean that participants live a concealed identity because of the very real danger  
298 of arrest, torture, or involuntary surgery or other involuntary medical or ‘treatment’ intervention  
299 (such as so-called reparative therapy), or even execution, and these threats should be considered  
300 carefully. In other contexts, there may be threats of public humiliation, stigmatization, loss of  
301 employment, accommodation, child custody, family connections, or other social benefit or status.  
302 Simply participating in research, waiting for an interview, or meeting with researchers in public may  
303 represent a very real threat to participants. Living minority stress [68] may also lead to other equally  
304 pernicious but less severe consequences such as self-stigma, self-censorship, isolation, and  
305 psychological sequelae such as anxiety, depression, or suicidal ideation. It is important to remember  
306 that gender and sexually diverse participants may participate in projects but may conceal important  
307 and potentially significant aspects of their experiences, thus resulting in a kind of heterocisnormative  
308 reporting bias.

309  
310 **5. Recognize intersectionality and its impact**, including indigeneity, race, ethnicity, religion,  
311 class, gender, age, language, culture, colonization, dis/ability and more. Intersectionality is not an  
312 additive analysis of social categories of identity, where sexual orientation, for example, is added on  
313 to race or vice-versa. This approach to conceptualizing multiple dimensions of identity implies that  
314 categories of oppression are mutually exclusive and independent of each other, such that one form  
315 of oppression or discrimination does not influence the other. An intersectional approach, however,  
316 acknowledges the indivisible and interdependent nature of social categories of identities and  
317 oppressions, and works from the premise that forms of privilege and disadvantages contribute to  
318 and maintain structures of domination [69, 70]. Thus, we encourage researchers to consider the  
319 implications of intersectionality in the design, data analysis and dissemination of the project findings.  
320 In the design and data analysis stages, researchers may consider which methods and procedures  
321 would best enable the complexity of participants’ experiences to be known, so that their voices could  
322 be centered in a more holistic and natural way. **For example, in a research study looking at the use of**  
323 **pre-exposure prophylaxis (PrEP) among Black men who have sex with men, an intersectional**  
324 **framework would suggest that researchers move beyond a biomedical approach to HIV prevention,**  
325 **to consider some of the intersecting and structural barriers to PrEP uptake, such as difficulty paying**  
326 **for PrEP; stigma related to PrEP use; and a lack of access to or poor health care provider contact due**  
327 **to racism. Such an approach assumes an integrated approach to knowledge creation, in which**  
328 **relevant participants and stakeholders are engaged from the start through to the application of**  
329 **research evidence. The knowledge coproduced can then be used to drive equitable policies and**  
330 **community solutions tailored to the needs of affected community members, across intersecting**  
331 **identities and experiences.** As a result, qualitative or mixed-methods research may be more valuable  
332 for capturing complex experiences of intersectional stigma and oppression which cannot be easily  
333 isolated in purely quantitative studies.

334  
335 **6. Acknowledge multiple epistemologies.** The nature of gender and sexual diversity is that each  
336 person comes to their own understandings about self and others through their own experience.  
337 Researcher questions will always mean different things to different people depending on their  
338 personal experiences and contexts. However, it is important to remember that a gender or sexual  
339 minority way of knowing (including intersectional experiences within) is different from a cisgender  
340 heterosexual way of knowing [74]. A gender and sexually diverse way of knowing and validating  
341 truth is radically subjective and also relies on disclosure. Disclosure may be verbal (declarations, or  
342 even confrontations) or non-verbal (clothing, gender presentation, buttons and badges, etc.).  
343

344 **7. Appreciate that information from gender and sexually diverse persons and communities**  
345 **acts indigenously.** This means, firstly, considering pre-existing meanings on research data.  
346 Establishing meaningful reference or consultation groups, or including cultural advisors, are ways to  
347 ensure that researcher interpretations are respectful and sympathetic to the ways they were intended,  
348 and accurate in meaning. Ensuring that individuals represented in the research are involved in the  
349 design and dissemination of the research is a way of returning data and findings to communities  
350 from which they were gathered [36]. It is important that this participation, either as members of the  
351 research team (or as advisor or collaborators) should avoid tokenism [6]. Whilst such inclusion is  
352 challenging given the increased research costs and time, individuals can often feel either tokenized  
353 or experience research fatigue if inclusion is not done well.

354  
355 **8. Avoid problematizing or pathologizing the lived experiences of gender and sexually**  
356 **diverse research participants.** Resilience and resourcefulness should be recognized alongside  
357 difficulties, problems and challenges [71]. Gender and sexually diverse persons will usually be  
358 surprisingly resilient because they have navigated stigma, minority stress and microaggressions [72]  
359 all their lives [2, 73]. Diversity has long been constructed as a problem of diverse communities, rather  
360 than of dominant communities, and as Fish [3] and Hicks [4] write, it is the exclusiveness of dominant  
361 heterocisnormative cultures that should be problematized. Research plays an important role in  
362 advancing the interests of individuals, groups, communities, and societies, and not merely  
363 identifying problems. We recommend that researchers are mindful of research fatigue in over-  
364 researched communities and populations. A priority of ethical research is to ensure that the most  
365 pressing issues for individuals and communities are considered, and that findings are appropriately  
366 disseminated to participating communities as well as to decision-makers.

367  
368 **9. Interrogate researcher (or ethics panel member) assumptions and experiences** (whether the  
369 researcher or panel member is an insider or outsider to the community). **It is important for researchers**  
370 **and ethics panel members** to be reflective **about taken-for-granted** cis- and heteronormative  
371 assumptions as well as insider assumptions about participants. If the researcher understands  
372 themselves as a member of a gender or sexual diverse community, we propose that it is still  
373 incumbent on them to avoid cis-, trans- and homonormativity. There are many ways to live these  
374 experiences, especially across national boundaries or cultural spaces. An insider role is confined to  
375 one's own community and experiences and will not reflect the entire diversity of experiences of any  
376 group. It is helpful to respond to the challenges brought because studies about the lived experiences  
377 of indigenous and racialized gender and sexually diverse and trans groups continue to be produced  
378 by white gay men. If the researcher is not a member of these communities, they will want to give  
379 serious consideration to how best they can reflect the experiences of the communities they are  
380 researching [12].

381  
382 **10. If a participant is (legally) a young person or other dependent person, prioritize the**  
383 **informed and voluntary consent of the research participant over the need for the consent of a**  
384 **guardian.** This issue has been explored above and has been set out by a number of researchers.  
385 Human ethics panels and researchers can be anxious about young people participating in social  
386 research without parental or guardian consent yet obtaining such consent to participate in research  
387 may put the young person at significant risk for violence and other negative consequences at home.  
388 At the same time excluding them from social research is to silence them and restrict their  
389 contributions. Researchers could consider how to present study information in an age-appropriate  
390 way and to ensure that the young person understands the nature of the project and that their  
391 participation is completely voluntary [12]. When these conditions are met, then guardian consents  
392 are not necessary. Human ethics panels should examine these concerns and incorporate them into  
393 their ethics standards and procedures.

394



395 **11. Ensure adequate compensation for the time participants commit to the research project.**

396 We recognise that this is a difficult area and this principle can be a challenge for both researchers and  
397 funders. Nevertheless, participants are experts in their own lives, and it is important that this  
398 expertise and participant time is recognized fairly. An essential element of research budgets is  
399 appropriate compensation to participants for their time. Similarly, if consultation or cultural advisors  
400 are used, their contribution should be appropriately compensated. We suggest that adequate  
401 compensation of participant and advisor time and expertise should be considered standard, not an  
402 addendum. In the case of indigenous and racialized gender and sexually diverse and trans people,  
403 current research strategies often struggle to consider the deepening racialization of poverty  
404 experienced by people whose stories we want to document [13]. We are not suggesting that research  
405 funds should be paid to lift participants out of poverty: it cannot. However, researchers and funders  
406 can aspire to do better to compensate people who are the only experts in their own lives for the effort  
407 required to tell their stories, which can be difficult and (re)traumatizing. What constitutes adequate  
408 compensation is an important topic for discussion with individuals, groups, and communities at the  
409 center of the research project and the institutions of researchers. Part of ethical research may include  
410 challenging funding structures that contribute to these problems in order to strengthen research and  
411 make research more responsible.

412

413 **12. Generate theory from the lives of research participants.** This is especially true in the case of

414 indigenous and racialized gender and sexually diverse peoples. Wherever possible in developing  
415 foundations of a project or in interpreting findings, reference should be made to works by gender  
416 and sexually diverse authors, and especially such authors who identify as members of racial and  
417 cultural groups that are not white. In this way, communities define and shape their own knowledges,  
418 in ways that accord with their sense of being and place in the world. Knowledge production takes  
419 place in a contested social, political, cultural, and economic context. Centering the knowledges of  
420 racialized and otherwise minoritized and marginalized gender and sexually diverse people can  
421 affirm their lives, histories, and subjugated standpoints. These standpoints are usually different from  
422 dominant knowledge practices, on account of their epistemological resistance to ongoing colonial  
423 narratives of racial/ethnic and cultural inferiority, which works to silence the voices of marginalized  
424 populations. Situating subjugated knowledges at the center of research permits alternative accounts  
425 of theories about the social world, where dominant theories are not uncritically assumed to speak for  
426 all people [6,12].

427 **5. Conclusions**

428 Developing this paper across national, cultural, and linguistic boundaries has been a challenging  
429 experience. All the authors of this paper understand themselves as gender or sexually diverse persons  
430 as well as experienced researchers who bring an intersectional lens to research with gender and  
431 sexually diverse peoples. Each of us has brought with us the cultural, national, social, and political  
432 contexts and norms which inform our work and our writing. These contexts varied, among other  
433 matters, even in the use of capitalization, vocabulary, and language. While these things may appear  
434 minor at first glance, they nevertheless reflected the much larger and complex realities and discourses  
435 in which we live and work. Through self-reflection and respectful discourse—and a certain amount  
436 of accommodation—we were able to come to shared understandings of what we offer as basic  
437 principles of research ethics with gender and sexually diverse persons. We have observed racist,  
438 colonial, cisgenderist, and heteronormative research which has marginalized, vulnerabilized, or  
439 excluded the experiences of gender and sexually diverse persons—in other words, poor research.

440 To counteract this, our paper offers a contribution to what we have reflected upon and consider  
441 as minimum principles of good research in gender and sexually diverse communities. We are  
442 mindful, of course, that one paper cannot meet all needs, and local contexts will require further  
443 development and elaboration. We are aware that in many contexts these principles may begin as  
444 aspirations. We want to support those aspirations to become realities, particularly within our own  
445 research and discipline. Our nuanced articulation of principles of respect for human dignity and

446 consultations with local communities encourage locality-enriched research. As we have noted above,  
 447 communities and language are dynamic and evolving, and today's edgy language can become  
 448 tomorrow's oppressive cliché. Again, we offer these principles to encourage researchers to be  
 449 reflective and consultative, to assist them to meet dynamic communities and identities with dynamic  
 450 research processes. It is important to examine the problem of using an ethical template to measure  
 451 whether a research proposal is inclusive and respectful of gender and sexually diverse persons.  
 452 Rather, we suggest that all people engaged in the research enterprise can make an ongoing  
 453 commitment to research which is inclusive, dynamic and responsive to evolving language,  
 454 communities and expressions of gender and sexual diversity. In this way researchers can provide  
 455 sound evidence on which to base policy and interventions to address health and social inequities for  
 456 all persons, and particularly for gender and sexually diverse persons.

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 458 preparation, M.H., S.G., N.M.; writing—review and editing, C.C., J.S., T.H-L; N.M, S.G. M.H. All authors have  
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<sup>i</sup> By gender and sexually diverse persons we mean everyone who identifies as part of the lesbian, gay, bisexual, queer, intersex, trans, and nonbinary communities, however they identify themselves.

<sup>ii</sup> Baril [1] (pp. 94-95) defines cisnormativity as the normative component of the cisgenderist system, an oppressive system made by and for cisgender people (i.e., non trans people) discriminating against trans people. Ansara [2] (p. 15) defines cisgenderism as follows: ‘Unlike “transphobia”, which emphasizes individual hostility and negative attitudes, the cisgenderism framework incorporates both unintentional and well-intentioned practices. Cisgenderism often functions at systemic and structural levels: even when individuals might reject some aspects of cisgenderist ideology, they may live and work within broader structural contexts that perpetuate and manufacture cisgenderism’.