



# Internal tide driven tracer transport across the continental slope

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## Key Points:

- The internal tide drives a Stokes' transport with a 3 layer reversing structure
- This Stokes' transport is observed in multiple near shelf edge moorings
- The Stokes' transport provides a supply of nutrients from the open ocean onto the shelf

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This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1029/2019JC015530

## Abstract

The role of the internal tide in driving tracer transport across the continental slope is examined using simplified layered theory, channel model experiments and observational diagnostics of near shelf-edge moorings. The effect of the internal tide is interpreted in terms of its Stokes' drift, which is separated into two distinct components: a bolus component, driven by the co-variance of layer thickness and the velocity; and a shear component, driven by the velocity following the movement of an interface. For a three layer ocean, in the model experiments and observations, the onshore propagation of an internal tide drives a Stokes' transport directed onshore in the surface and the bottom layers, and directed offshore in the pycnocline. This reversing structure is due to the bolus component dominating near the boundaries, while the shear component dominates at the pycnocline. In the observational diagnostics, the Stokes' transport is not cancelled by the Eulerian transport, which is mainly directed along bathymetric contours. The Stokes' drift of the internal tide then provides a systematic on shelf tracer transport if there is a tracer sink on the shelf, carried in the surface or bottom layers. Conversely, the tracer transport is directed offshore if there is a tracer source on the shelf with plumes of shelf tracer expected to be carried offshore along the pycnocline. This tracer transport as a result of the internal tide is diagnosed for heat, salt and nitrate. The depth-integrated nitrate flux is directed onto the shelf supplying nutrients to the productive shelf seas.

## Plain Language Summary

The global ocean can be split into two parts: deep open oceans, and shallow shelf seas, which are separated by the continental slope. The shelf seas have high biological productivity compared to the open ocean. This productivity requires a supply of nutrients from the open ocean, but how this happens is unknown. The continental slope limits many of the physical processes that drive nutrient transports within the global ocean. Here we evaluate, for the first time, a new process, which is not limited by the slope, for the transport of nutrients from the open ocean onto the shelf. This process is the transport of water, within certain layers, driven by waves within the ocean. These waves are generated by tides over the continental slope around much of the globe. We have observed this process in three time series taken near the continental slopes of Europe and New Zealand. These observations show a transport of water that is consistent with the wave induced process and a resultant nutrient transport onto the shelf. The nutrient transport seen is similar to observations of the size of the supply to the biology, potentially answering the question of sustaining shelf sea productivity.

## 1 Introduction

The continental slope dynamically constrains the fluid exchange between the shelf seas and open ocean [Brink, 2016]. This exchange of heat, freshwater, nutrients, trace metals and carbon is climatically important, affecting the imprint of the open ocean on the shelf seas, as well as the communication of the shelf seas with the open ocean.

The difficulty in exchanging fluid across the continental slope arises from the Taylor-Proudman theorem stating that geostrophic currents preferentially run along topographic contours for a steady flow and weak stratification. The emergence of slope currents running along bathymetric contours [Huthnance, 1984; Huthnance *et al.*, 2009] is as a consequence of the Taylor-Proudman theorem. However, fluid exchange across the continental slope is suggested by water-mass and nutrient signals extending across topographic contours; for example, anomalously salty lenses intrude onto the shelf [Lentz, 2003], suggesting tracer transport extending over 100 km [Hopkins *et al.*, 2012]. This implied fluid exchange across topographic contours then relies on the Taylor-Proudman constraint being alleviated, such as by the effects of friction, time dependence and ageostrophic motions [Brink, 1988; Simpson and McCandliss, 2012]. The surface wind stress or bottom drag

64 may drive an Ekman transport across the continental slope. For the European shelf, the  
65 wind stress typically provides an on-shelf Ekman transport, while the bottom drag from  
66 the interaction of the northward slope current and sea floor provides an off-shelf trans-  
67 port [Simpson and McCandliss, 2012; Huthnance *et al.*, 2009; Painter *et al.*, 2016]. Time-  
68 dependent instability of the currents involving eddy transfers from the open ocean to the  
69 shelf may be significant [Stewart and Thompson, 2015], as well as instabilities of the slope  
70 current [Hill, 1995]. Observations of non-linear internal waves have also shown a net vol-  
71 ume transport from the open ocean onto the shelf seas [Inall *et al.*, 2001; Zhang *et al.*,  
72 2015].

73 The full Lagrangian transport within the ocean can be considered as the combina-  
74 tion of a Eulerian transport and a Stokes' transport. In the presence of wave motions the  
75 Stokes' transport can be substantial and should be evaluated to give the full Lagrangian  
76 transport. Internal tides propagating onto the shelf and driving Stokes' transport provide  
77 an additional possible mechanism to break the geostrophic constraint and drive tracer ex-  
78 change across the continental slope. Tracer transport via internal tides may therefore be  
79 particularly important for the exchange of nutrients and trace metals across the continental  
80 slope. The higher levels of biological productivity on the shelf lead to a formation of or-  
81 ganic matter, requiring a supply of inorganic nutrients. The inorganic nutrients are thought  
82 to ultimately originate from relatively nutrient-rich waters in the open ocean [Liu *et al.*,  
83 2010], but this exchange needs to be achieved by transport processes avoiding the Taylor-  
84 Proudman constraint. Conversely, trace metals often have higher concentrations on the  
85 shelf than in the open ocean, as a result of riverine inputs and sediment interactions, if  
86 these trace metals are transported from the shelf to the open ocean they may be important  
87 in sustaining open ocean productivity.

88 In this study, we examine whether the internal tide drives a systematic volume and  
89 tracer transport across the continental slope. In order to understand the fully nonlinear  
90 volume and tracer transport associated with an internal tide, the Stokes' transport is de-  
91 fined over a density layer [McDougall and McIntosh, 2001] (Section 2). The Stokes' trans-  
92 port is illustrated for an internal tide using an idealised two-dimensional model simula-  
93 tion in a channel with and without rotation (Section 3). The transport across the continen-  
94 tal slope is diagnosed for three different moorings located near the shelf edge and inter-  
95 preted in terms of the Stokes' transport and its contributions (Section 4). The effect of the  
96 Stokes' transport in providing a tracer transport across the continental slope is discussed  
97 and evaluated for heat, salt and nutrients for one of the moorings (Section 5). Finally, the  
98 potential role of the Stokes' transport in driving the exchange of other tracers in the con-  
99 text of other processes is discussed (Section 6).

## 100 **2 The Stokes' transport associated with an internal tide**

101 The Stokes' transport is now considered for an internal tide. Internal tides are gener-  
102 ated by cross-slope barotropic tidal flows interacting with stratification. Over the continen-  
103 tal shelf and slope, the internal wave field is typically dominated by internal tide energy  
104 [MacKinnon and Gregg, 2003] and at any given location the observed internal tide may  
105 have both locally and remotely generated components [Kelly and Nash, 2010; Nash *et al.*,  
106 2012]. Part of the internal tidal energy propagates over the continental slope and onto the  
107 shelf seas, where much of that energy is ultimately dissipated. For example, the low-mode  
108 internal tide may propagate from the continental slope onto the shelf and remain coherent  
109 for scales of tens to hundreds of kilometers [Green *et al.*, 2008; Inall *et al.*, 2011; Nash  
110 *et al.*, 2012].

111 Internal waves can drive a non-zero Stokes' drift over some depth ranges [Thorpe,  
112 1968; Wunsch, 1971; Weber and Brostrom, 2014; Henderson, 2016]. Recent theoretic-  
113 al and numerical work demonstrated the potential for internal wave driven Stokes' drift  
114 to transport both neutrally-buoyant and depth-regulating phytoplankton across the shelf

[Franks *et al.*, 2019]. However, if there is no significant mixing, the Stokes' drift from internal waves is expected to be balanced by an opposing Eulerian velocity if there is a sloping bottom connected to a land boundary [Wunsch, 1971; Ou and Maas, 1986]. For an inviscid ocean with rotation, Stokes' drift driven by an internal wave is found to be cancelled by the Eulerian flow without invoking a closed domain [Wagner and Young, 2015], although this cancellation may not hold for an unsteady wave [Thomas *et al.*, 2018]. This local cancellation of the Stokes' drift from internal waves by the Eulerian flow is found to occur in a realistic numerical model of the Antarctic slope [Stewart *et al.*, 2019] and partially occur on a sloping lake bed [Henderson, 2016]. However, the net cancellation may not always occur if there is strong diapycnal mixing or temporal evolution of the current.

A net transport within an individual density layer may occur due to strong diapycnal mixing driving volume exchange between density layers. This diapycnal mixing on the shelf may be associated with the tides or surface winds, and may peak either with the spring-neap cycle or the passage of atmospheric storms respectively. There is also the possibility that temporal changes in the forcing lead to a temporal adjustment of the currents and Stokes' drift, which may not exactly cancel if there is insufficient time for the isopycnal slope and Eulerian transport to respond.

Through this paper we will explore to what extent the cancellation between the Stokes' transport and Eulerian transport holds in idealised numerical modelling and observations.

## 2.1 Volume transport for a density layer

Following McDougall and McIntosh [2001], consider the fully nonlinear, volume transport for a density layer,  $U(t)$ , per unit horizontal distance (in  $\text{m}^2\text{s}^{-1}$ ) between two bounding density surfaces,  $\eta_1(t)$  and  $\eta_2(t)$ ,

$$U(t) = \int_{\eta_1(t)}^{\eta_2(t)} \mathbf{u}(z, t) dz = \langle \mathbf{u}(t) \rangle h(t), \quad (1)$$

where the layer thickness,  $h(t) = \eta_2(t) - \eta_1(t)$ ,  $\mathbf{u}(z, t)$  is the velocity vector and  $z$  is the vertical co-ordinate, the brackets  $\langle \rangle$  denote a layer average between the bounding surfaces, such that the layer-average velocity is given by  $\langle \mathbf{u} \rangle = \int_{\eta_1}^{\eta_2} \mathbf{u} dz / (\eta_2 - \eta_1)$ . The total volume transport within the layer may be separated into an Eulerian and a Stokes' component,

$$U(t) = U_e(t) + U_s(t), \quad (2)$$

where the Eulerian transport is taken as the transport between the time-average position of the bounding surfaces for the layer,

$$U_e = \int_{\bar{\eta}_1}^{\bar{\eta}_2} \mathbf{u} dz, \quad (3)$$

here an overbar indicates a time average, leading to  $\bar{\eta}_1$  and  $\bar{\eta}_2$  being the wave-average position of the bounding isopycnals. This perspective of calculating transports and fluxes within tracer layers has routinely been applied to salt fluxes within estuaries [e.g MacDonald, 2006; MacCready, 2011].

## 2.2 The Stokes' transport for a density layer

The Stokes' transport,  $U_s(t)$ , given by the mismatch between the total transport and the Eulerian transport,  $U(t) - U_e(t)$ , is now derived following two separations: first splitting the velocity and thickness terms into time-mean and time-varying components; and secondly separating the vertical averages over the layer into the time-mean extent of the layer and the time-varying extent.

Firstly, applying a time separation of the time-mean and time-varying components to the velocity and layer thickness, the time-mean of the instantaneous volume transport,

157  $U(t)$ , is given by

$$\overline{U} = \overline{\langle \mathbf{u} \rangle} \overline{h} + \overline{\langle \mathbf{u} \rangle' h'}, \quad (4)$$

158 made up of the transport from the time-mean flow,  $\overline{\langle \mathbf{u} \rangle}$ , plus the transport from the co-  
 159 variance of the time-varying velocity and layer thickness,  $\overline{\langle \mathbf{u} \rangle' h'}$ , often referred to as the  
 160 bolus transport (Fig. 1a); here the overbar denotes a time average and a prime denotes the  
 161 time-varying deviation with layer-averaged velocity,  $\langle \mathbf{u} \rangle = \overline{\langle \mathbf{u} \rangle} + \langle \mathbf{u} \rangle'(t)$ , and layer thickness,  
 162  $h(t) = \overline{h} + h'(t)$ .

163 Secondly, the layer-averaged velocity,  $\langle \mathbf{u} \rangle$ , may be separated into the velocity over  
 164 the time-mean extent of the layer,  $\langle \mathbf{u} \rangle_{\overline{h}}$ , plus the velocity following the time-varying move-  
 165 ment of the bounding isopycnals,  $\langle \mathbf{u} \rangle_{h'}$ ,

$$\langle \mathbf{u} \rangle = \langle \mathbf{u} \rangle_{\overline{h}} + \langle \mathbf{u} \rangle_{h'}, \quad (5)$$

166 where  $\langle \mathbf{u} \rangle_{h'}$  gives an implied transport velocity driven by the isopycnal moving through  
 167 velocity shear. Applying this split of the layer-averaged velocities to the total transport (4),  
 168 then leads to the time-mean of the total transport,  $\overline{U}$ , being made up of three terms,

$$\overline{U} = \overline{\langle \mathbf{u} \rangle_{\overline{h}} \overline{h}} + \overline{\langle \mathbf{u} \rangle_{h'} \overline{h}} + \overline{\langle \mathbf{u} \rangle' h'}, \quad (6)$$

169 where the Eulerian transport,  $U_e(t)$ , is given by  $\overline{\langle \mathbf{u} \rangle_{\overline{h}} \overline{h}}$  (the first term on the right-hand  
 170 side) and the Stokes' transport,  $U_s(t)$ , is given by

$$\overline{U}_s = \overline{\langle \mathbf{u} \rangle' h'} + \overline{\langle \mathbf{u} \rangle_{h'} \overline{h}}. \quad (7)$$

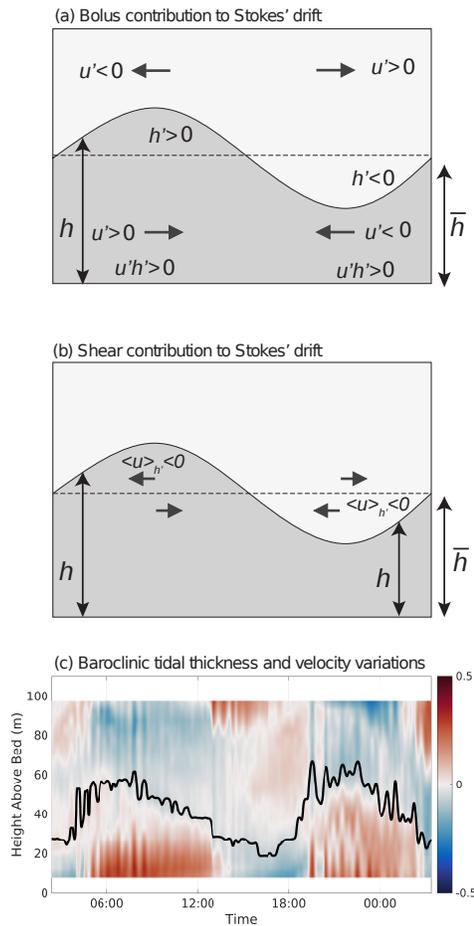
171 The first contribution to the Stokes' transport,  $\overline{\langle \mathbf{u} \rangle' h'}$ , is the co-variance of velocity,  $\mathbf{u}'$ ,  
 172 and layer thickness,  $h'$ , perturbations, often referred to as the bolus transport [Rhines,  
 173 1982]; and the second contribution,  $\overline{\langle \mathbf{u} \rangle_{h'} \overline{h}}$ , represents the time-varying velocity following  
 174 the movement of the bounding isopycnals,  $\eta'$ , multiplied by the time-mean layer thickness  
 175 [McDougall and McIntosh, 2001], referred to as a shear contribution as this contribution  
 176 depends on the difference in the velocity following the isopycnal and the velocity for the  
 177 layer. This separation of the Stokes;' transport is equivalent to that given in McDougall  
 178 and McIntosh [2001] and was previously explored for an internal wave in a lake using  
 179 temperature coordinates Henderson [2016]. This decomposition of the transport may not  
 180 represent the full Lagrangian velocity if there is substantial mixing modifying the density  
 181 structure on time scales shorter than a wave period or if there is large horizontal displace-  
 182 ments interacting with lateral shear. It is not expected that either of these caveats would  
 183 lead to large errors for this study. The Stokes' transport can alternatively be written as a  
 184 Stokes' velocity,  $u_s$ , by dividing the transport by the time-mean layer thickness,

$$u_s = \frac{\overline{\langle \mathbf{u} \rangle' h'}}{\overline{h}} + \overline{\langle \mathbf{u} \rangle_{h'}}. \quad (8)$$

### 185 2.3 Stokes' transport structure for an internal tide

193 To illustrate the bolus and shear contributions to the Stokes' transport following  
 194 Henderson [2016] consider an internal wave propagating in the positive  $x$  direction. This  
 195 wave leads to oscillating density interfaces and a wave-induced circulation, reversing in  
 196 sign at the mid-depth of the ocean (Fig. 1a,b).

197 For the time-averaged bolus contribution,  $\overline{\langle \mathbf{u} \rangle' h'}$ , in the bottom layer, the layer-averaged,  
 198 time-varying velocity is in the direction of wave propagation,  $u' > 0$ , when there is a crest  
 199 such that the thickness anomaly is positive,  $h' > 0$ , and the bolus transport per unit length  
 200 is also positive,  $u' h' > 0$ . As the velocity is reversed in sign,  $u' < 0$ , for a trough, and  
 201 the thickness anomaly also changes sign,  $h' < 0$ , so that the bolus contribution,  $u' h' > 0$ ,  
 202 remains positive over the entire wavelength (Fig. 1a). For the bolus contribution in the up-  
 203 per layer, a similar phase relationship holds between velocity and layer thickness, so that  
 204  $u' h' > 0$  is again positive.



186 **Figure 1.** The transport from the Stokes' drift is made up of two contributions (7): (a) the bolus con-  
 187 tribution driven by the co-variance of layer thickness and the velocity within the layer; and (b) the shear  
 188 contribution from the correlation of the vertical shear in the horizontal velocity and the height of the moving  
 189 isopycnal. The grey arrows denote the direction of the depth-mean velocity in (a) and the velocity shear in (b).  
 190 This schematic is comparable to Figure 4 in *Henderson [2016]*. In (c), the internal tide leads to an onshore bo-  
 191 lus contribution from the onshore velocity being correlated with greater layer thickness in the top and bottom  
 192 layers, illustrated here using observed velocities from a mooring on the New Zealand shelf.

205 For the time-averaged shear contribution,  $\overline{\langle \mathbf{u} \rangle_h \bar{h}}$ , the time-varying velocity following  
 206 the interface is negative for both the crest and the trough. In the crest, the positive height  
 207 displacement coincides with a negative vertical shear in horizontal velocity to give a neg-  
 208 ative velocity averaged along the interface,  $\langle u' \rangle_{h'} < 0$ . In the trough, the negative height  
 209 displacement coincides with a positive vertical shear in horizontal velocity and gives a  
 210 negative velocity averaged along the interface,  $\langle u' \rangle_{h'} < 0$  (Fig. 1b).

### 211 3 Model assessment of the Stokes' drift for an internal tide

212 The vertical structure of the Stokes' drift and its bolus and shear contributions are  
 213 next illustrated using a pair of highly idealised model experiments. The aim of these ex-  
 214 periments are to illustrate the application of the layered analysis set out in Section 2 and  
 215 to consider the impact of the choices of layers on the calculated transports.

#### 216 3.1 Model setup

217 The Stokes' drift for an internal tide over a flat bottom is now examined using a  
 218 Massachusetts Institute of Technology General Circulation Model [MITgcm, *Marshall*,  
 219 1997] simulation. The model is configured in a two-dimensional channel, in the vertical  
 220 and direction of wave propagation; with a domain 200 km long and 1000 m deep, and  
 221 with horizontal and vertical resolutions of 250 m and 20 m, respectively. The model has a  
 222 flat bottom with no shelf or slope. The model is integrated in non-hydrostatic mode with  
 223 a linear free surface condition for two cases: one without rotation and one with rotation  
 224 ( $f = 10^{-4} \text{ s}^{-1}$ ). Viscosity and horizontal diffusivity are uniform ( $\nu_h = 10^{-2} \text{ m}^2 \text{ s}^{-1}$ ,  
 225  $\nu_z = 10^{-3} \text{ m}^2 \text{ s}^{-1}$ , and  $\kappa_h = 10 \text{ m}^2 \text{ s}^{-1}$ ); vertical diffusivity is calculated using a convective  
 226 adjustment [*Legg and Adcroft*, 2003].

227 Initial conditions are no flow, uniform salinity and a linear temperature profile lead-  
 228 ing to horizontally-uniform stratification ( $N^2 = 5 \times 10^{-6} \text{ s}^{-2}$ ) using a linear equation  
 229 of state. Boundary conditions are no slip at the bottom, no stress at the surface, and no  
 230 buoyancy flux at either the surface or the bottom boundaries. Oscillating velocities and  
 231 temperature anomalies are prescribed at the western boundary following *Legg and Ad-*  
 232 *croft* [2003] and *Hall et al.* [2013] and force an eastward propagating internal tide for  
 233 mode-1,  $M_2$  ( $\omega = 1.4 \times 10^{-4} \text{ s}^{-1}$ ) with an amplitude,  $a = 14 \text{ m}$ , and horizontal wave-  
 234 length,  $\lambda = 30 \text{ km}$ , and phase speed,  $c = 0.67 \text{ m s}^{-1}$ . This boundary forcing has no net  
 235 depth averaged transport however would allow a Lagrangian transport at individual depths,  
 236 consistent with an internal tide. The temperature is relaxed to the initial conditions from  
 237 the mid-point of the model (100 km) to the eastern boundary. This relaxation is ramped  
 238 up towards the boundary with a hyperbolic tangent function in order to dissipate internal  
 239 waves without reflection. This relaxation allows volume to be exchanged between density  
 240 classes allowing a net transport within layers. The model is run for 12 tidal cycles (12T)  
 241 and the forcing ramped up over the first two tidal cycles to avoid transients.

242 The diagnostics of the Stokes' drift transport is only applied in the interior of the  
 243 domain, from 10 km to 30 km, and over time intervals from 4T to 12T, chosen so that  
 244 the boundaries and ramping of the forcing does not influence the results.

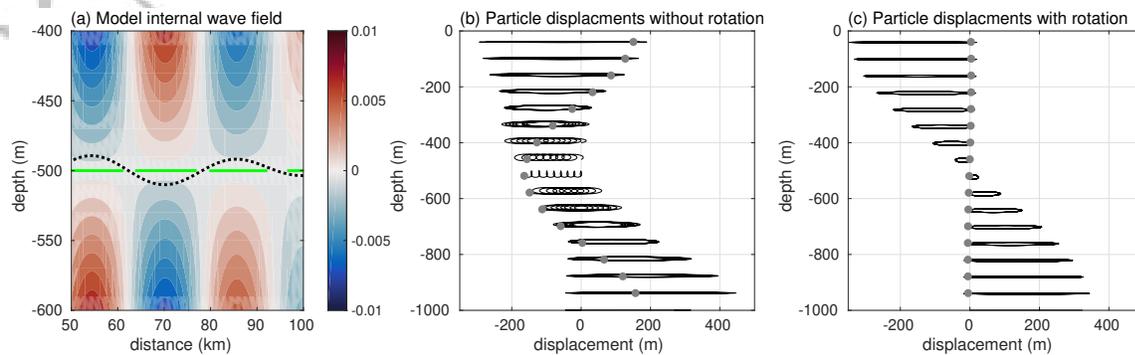
245 The Stokes' transport,  $\overline{U_s}$ , within density layers is diagnosed for the internal tide in  
 246 two ways for the two-dimensional model:

- 247 1. The model is seeded with 50 particles and their displacements are tracked using a  
 248 4th-order Runge-Kutta scheme;
- 249 2. The shear transport,  $\overline{\langle \mathbf{u} \rangle_h \bar{h}}$ , and the bolus transport,  $\overline{\langle \mathbf{u} \rangle' h'}$ , contributions are  
 250 evaluated for a different number of layers, and their sum provides an estimate of  $\overline{U_s}$  for  
 251 each layer (7).  
 252

245

**Table 1.** Table of parameters used in the setup and analysis of the idealised two-dimensional model.

Parameter	Value
Domain length	200 km
Horizontal resolution	250 m
Domain depth	1000 m
Vertical resolution	20 m
Run time	12 $M_2$ cycles
Time step	60 seconds
Buoyancy frequency squared	$5 \times 10^{-6} \text{s}^{-2}$
Diagnostic subdomain	10-30 km
Diagnostic time period	4 to 12 $M_2$ cycles



254

**Figure 2.** (a) Model subsection of mode 1, internal tide with undulations in temperature surfaces (black),

255

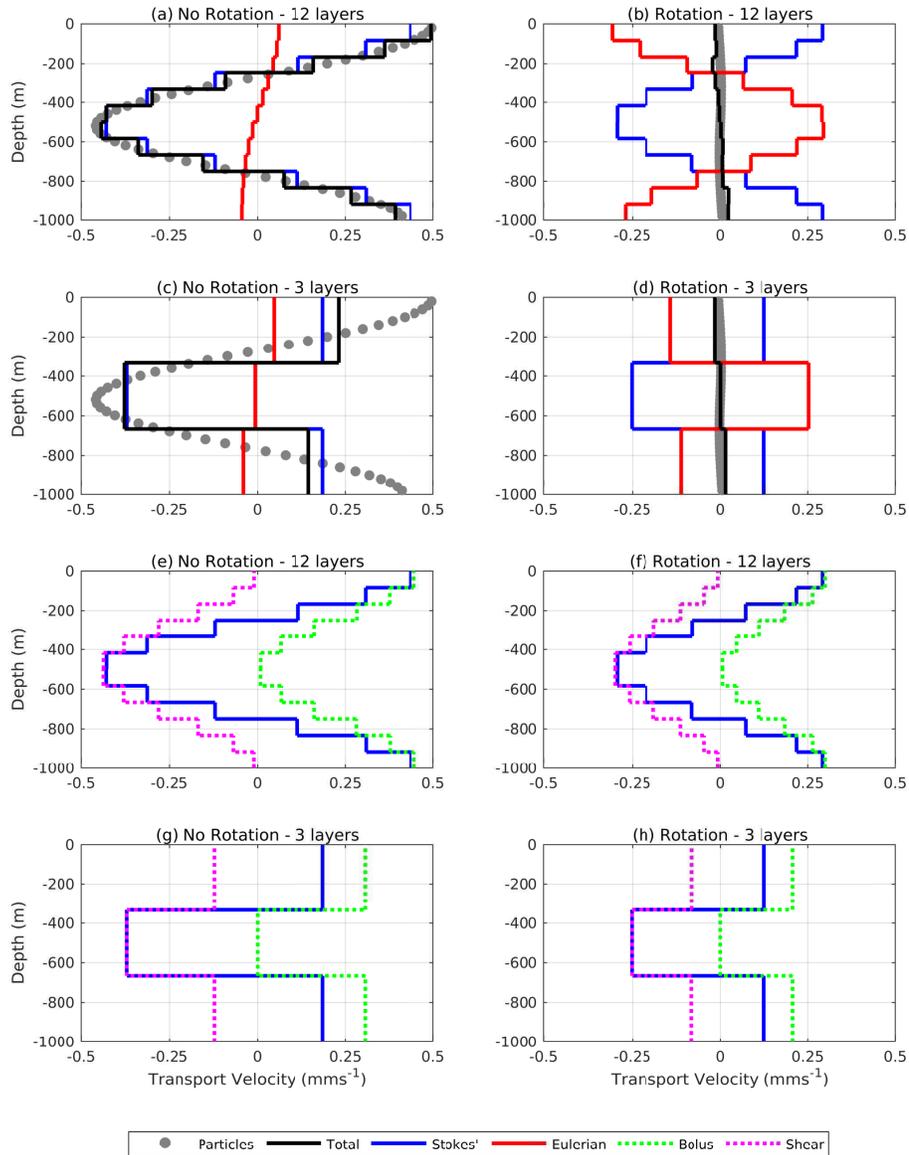
the zero crossing of velocity (green) and zonal velocity ( $\text{m s}^{-1}$ ) in an idealised two-dimensional model with

256

constant  $N^2$ ; and model illustration of Lagrangian particle displacements over 8 wave periods (with final

257

positions marked by grey circles) from the model (b) without rotation and (c) with rotation.



258 **Figure 3.** The vertical structure of the transport velocity derived from the particle displacements (grey  
 259 circles), the total transport (black line), the Stokes' transport (blue line), the Eulerian transport (red line),  
 260 the bolus contribution to the Stokes' transport (dashed green line), and the shear contribution to the Stokes'  
 261 transport (dashed magenta line). The transports have been calculated for two different choices for the number  
 262 of layers: (a,b,e,f) 12 layers, and (c,d,g,h) 3 layers, and for both non-rotating (a,c,e,g) and rotating (b,d,f,h)  
 263 cases.

### 253 3.2 Particle drift versus layered transport

264 The internal tide leads to the particles oscillating back and forth for both the non-  
 265 rotating and rotating cases (Fig. 2b,c). Over repeated tidal periods, there is a systematic  
 266 displacement of particles in the non-rotating case, the particles are transported in the di-  
 267 rection of the internal tide propagation close to the surface and the bottom, but are trans-  
 268 ported in the opposite direction at mid depths (Fig. 2b). However, in the rotating case,  
 269 the particles are not systematically displaced by the internal tide due the Eulerian veloc-  
 270 ity (Fig. 2c). This vertical structure for the Stokes' velocity and its contributing compo-  
 271 nents is in agreement with previous theoretical work [Thorpe, 1968].

272 When using 12 layers, the total transport in layers without rotation is positive near  
 273 the boundaries and negative at mid depths (Fig. 3a, black line). The total transport in the  
 274 model run with rotation is small at all depths (Fig. 3a, black line). This response is con-  
 275 sistent with the particle displacements in both vertical structure and magnitude (Fig. 3a,b,  
 276 black lines and grey circles). This agreement illustrates the ability of the layered analysis  
 277 to diagnose the Lagrangian transport, as previously shown theoretically [e.g McDougall  
 278 and McIntosh, 2001].

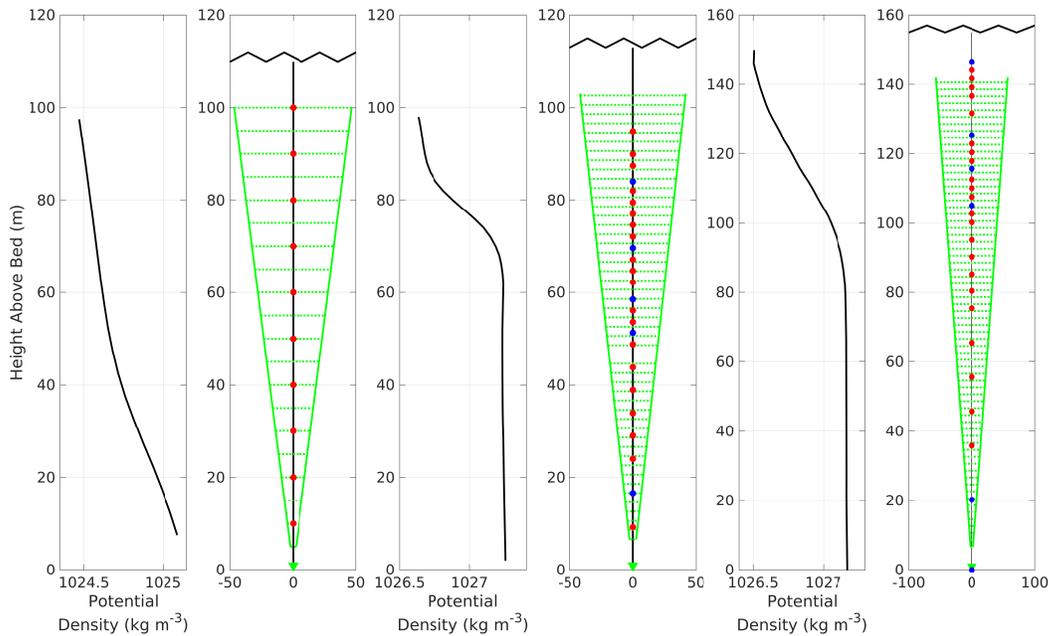
279 With a reduction in the number of layers, the overall vertical structure of the Stokes'  
 280 drift driven by a mode-one internal wave is retained with a minimum of three layers, al-  
 281 though there is a reduction in the vertical detail of the particle advection (Fig. 2c,d, black  
 282 line and grey dots). Whilst the three layer approach captures the average particle displace-  
 283 ment and volume transport within the layers well, the accuracy of the diagnosed maximum  
 284 transport is increased when using an increased number of layers. For example, for the  
 285 bottom of the 3 layers the total Stokes' transport velocity without rotation is  $0.19 \text{ mms}^{-1}$   
 286 whilst the equivalent four layers within the 12 layer calculation have an average transport  
 287 velocity of  $0.18 \text{ mms}^{-1}$ .

### 288 3.3 Cancellation of the Stokes' transport by the Eulerian transport

289 In both the non-rotating and rotating cases, the Stokes' transport is in the direction  
 290 of the internal wave propagation near the boundaries and in the opposite direction at mid  
 291 depths (Fig. 3a,b, blue lines). In the non-rotating case, there is a weak Eulerian transport,  
 292 so that the Stokes' transport is the main contributor to the total transport (Fig. 3a). In the  
 293 rotating case, the Stokes' transport has the same vertical structure as in the non-rotating  
 294 case, although it is 32% weaker. However, the Eulerian transport is now comparable in  
 295 magnitude to the Stokes' transport in all layers, but with the opposite sign. Consequently,  
 296 the total transport from the sum of the Eulerian and Stokes' transports is relatively small,  
 297 consistent with previous theoretical studies for an inviscid ocean [Wagner and Young,  
 298 2015]. These theoretical and modelling results however need not hold for the real ocean  
 299 due to a variety of reasons: spatial inhomogeneity in the internal tide field, leading to  
 300 non-local return flows; temporal variability in the Stokes' transport leading to periods of  
 301 enhanced transport; or strong turbulent mixing on the shelf driving diapycnal exchange be-  
 302 tween layers. The extent of the cancellation of the Stokes' transport by Eulerian flows will  
 303 be tested in observations in Section 4.

### 304 3.4 Contributions to the Stokes' transport

305 The Stokes' velocity is made up of a bolus contribution and a shear contribution  
 306 [McDougall and McIntosh, 2001]. The bolus contribution is in the same direction as the  
 307 propagation of the wave and is a maximum at the boundaries for both the non-rotating  
 308 and rotating cases (Fig. 3e,f, green dashed lines). The shear contribution is in the oppo-  
 309 site direction to the wave propagation and is a maximum at mid depths (Fig. 3e,f, magenta  
 310 dashed lines). The combination of these two terms gives rise to (i) the Stokes' transport in  
 311 the direction of internal-wave propagation near the boundaries, where the bolus transport



329 **Figure 4.** A series of density profiles and mooring diagrams for: (a) and (b) the New Zealand (NZ); (c) and  
 330 (d) the Malin Shelf (SG); and (e) and (f) the Celtic Sea (ST4) moorings. The density profiles (a), (c) and (e)  
 331 show the average density profile from the moorings. The mooring diagrams (b), (d) and (f) show the ACP  
 332 data in green with the triangle showing the ADCP position, the solid green lines the spread of the pings and  
 333 the dashed lines the boundaries of the ADCP bins. The solid circles show the temperature sensors in red and  
 334 the temperature and conductivity sensors in blue.

312 dominates, and (ii) the Stokes' transport opposing the direction of internal-wave propaga-  
 313 tion at mid depths, where the shear transport dominates [Henderson, 2016].

#### 314 4 Stokes' transport diagnosed from current moorings

315 The Stokes' transport is now diagnosed for three different moorings on the conti-  
 316 nental slope. The transports are evaluated within 3 layers from the moorings. Our expect-  
 317 ation is that the internal tide provides a bolus transport, with a component directed from  
 318 the continental slope towards the shelf seas, which is returned at mid depth by an oppos-  
 319 ing shear contribution. The extent of the cancellation between the Stokes' transport and  
 320 Eulerian-mean transport is also assessed.

##### 321 4.1 Moorings sites

322 Three different near shelf-break internal tide regimes have been observed using  
 323 moorings. At New Zealand the shelf break is smooth and, although the barotropic forc-  
 324 ing is weak, there is a strong internal tide propagating from the slope onto the shelf. At  
 325 the Malin Shelf, the shelf break is again smooth, although there are only weak internal  
 326 tides. Finally, in the Celtic Sea, the internal wave field is more complex due to the corru-  
 327 gated topography at the shelf edge and the proximity of the mooring to a spur in the shelf  
 328 edge.

#### 335 **4.1.1 New Zealand shelf**

336 One mooring was deployed on the north-east New Zealand shelf for approximately  
337 13 days in 110 m of water during November and December 1998. The mooring consisted  
338 of a near-bed upward looking 500 kHz Acoustic Current Profiler (ACP) and a string of 10  
339 temperature loggers with a constant separation of 10m [Sharples *et al.*, 2001]. The ACP  
340 used 1 minute ensembles and 5 m vertical bins with first bin 5m from the bottom and the  
341 bins 10 m or less from the surface removed (Fig. 4b). The temperature and current data  
342 were linearly interpolated onto a 1 minute x 5 metre resolution grid. Salinity was taken  
343 from a single nearby CTD station. The water column was stratified (Fig. 4a), although the  
344 stratification was weakened by a wind mixing event at days 4 to 5. Due to the weakened  
345 stratification the analysis has only been performed over the 7 days after stratification has  
346 recovered. This 7 day period covers the transition from neap to spring tide.

347 The baroclinic energy flux is calculated from the mooring data from the wave per-  
348 turbations of pressure and velocity following Nash *et al.* [2005]; using a high-pass Butter-  
349 worth filter to remove sub-tidal frequencies in the mooring data with a cut off of  $1.25/\omega_{M2}$ ,  
350 where  $\omega_{M2}$  is the M2 tidal frequency. There is a strong baroclinic energy flux directed  
351 onto the shelf, which is modified by the weakening stratification [Sharples *et al.*, 2001].

#### 352 **4.1.2 European Malin shelf**

353 A mooring, SG, was deployed on the north-west European Malin Shelf for approxi-  
354 mately 15 days in 117 m of water during July 2013. Over the full water column, the tem-  
355 perature structure was recorded by a string of 20 temperature loggers and 6 CTDs. These  
356 instruments ranged from 18 m to 116 m depth with a minimum spacing of 2.5 m at the  
357 pycnocline and a maximum spacing of 13 m near the bed (Fig. 4c,d). The currents were  
358 recorded by an upward looking Flowquest 150 kHz ACP mounted in a bed frame [Short  
359 *et al.*, 2013]. The ACP employed a 1 minute ensemble that consisted of 60 pings. The  
360 vertical bin size was 2m with the first bin 6.6 m from the bed and the surface 13 m re-  
361 moved due to side lobe contamination. The salinity and density profiles were constructed  
362 from 6 CTDs deployed on the mooring [Hopkins *et al.*, 2014]. All measurements were lin-  
363 earlyly interpolated onto coincident 1 minute x 2 metre grids. The water column was well  
364 stratified throughout the observational period (Fig. 4c) and showed a weak and persistent  
365 baroclinic energy flux propagating onshore. The mooring period captures a full spring  
366 neap cycle.

#### 367 **4.1.3 European Celtic Sea shelf**

368 A mooring, ST4, was deployed in the Celtic Sea on the north-west European Shelf  
369 for approximately 12 days in 156 m of water respectively. The mooring consisted of a  
370 bed-mounted Flowquest 150 kHz ACP, with the same setup as for SG with the upper 10  
371 m removed, and a string of 22 temperature loggers and 7 CTDs. The temperature loggers  
372 and CTD's ranged from 9 m to 155 m depth with a minimum spacing of 2.5 m in the py-  
373 cnocline and a maximum spacing of 20 m near the bed (Fig. 4e,f). Observations were  
374 interpolated onto a full water column 1 minute x 2 metre grid. There was a strong wind  
375 event shortly after deployment that significantly modified the density structure of the water  
376 column [Hopkins *et al.*, 2014; Stephenson Jr. *et al.*, 2015] and drove strong residual surface  
377 currents. The time series is trimmed to the 8 days after the storm when the water column  
378 is stratified, as that period is more representative of typical summer conditions. This pe-  
379 riod captures the transition from spring to neap tides. In this region, the shelf break is  
380 heavily canyoned, which results in a strong and highly variable internal wave propagation  
381 [Vlasenko *et al.*, 2014]. During the mooring deployment, the baroclinic energy flux at the  
382 mooring location was directed along slope.

## 4.2 Diagnostic method

Our aim is to identify the Stokes' transport (8) connected to the propagation of the internal tide from the continental slope onto the shelf. This assessment is based on an analysis of three separate sets of moorings. Our expectation based on the theory and model simulation is that the Stokes' velocity is directed onshore near the surface and the bottom, and returned offshore in the pycnocline.

The transport for the moorings is diagnosed for three density layers with their interfaces defined by the surface, bed and the zero crossings of the theoretical baroclinic mode-1 Stokes' drift. This theoretical vertical structure is taken from *Thorpe* [1968] with the modal structure calculated from the averaged density profile from the moorings [*Klink*, 1999]. Time averaging is applied by taking the time-mean depth of isopycnals, rather than the time-mean density at a fixed depth, and so avoids spurious smearing of the pycnocline due to internal waves. The time span used for time-averaging of transports are chosen to extend over an integer number of M2 periods in order to reduce aliasing. The velocity and density outside the part of the water column covered by the observations are estimated by extrapolation to the boundary.

Two sources of error are considered in these calculations: firstly the error in the horizontal velocities provided by the ACP, and secondly the error in estimating the thickness of layers due to the positioning of the instruments. The ACP error is taken as 1% of the recorded velocity plus  $5 \text{ mm s}^{-1}$  following the manufacturers guidelines [*LinkQuest Inc.*, 2007]. Here we have applied this error by taking a maximum velocity of  $1 \text{ m s}^{-1}$ , larger than the barotropic tidal magnitude at all sites, giving an error of  $1.5 \text{ cm s}^{-1}$ . The error in the separation between the barotropic and baroclinic components was estimated by performing the split using the current only within the depth range the ACP observed directly and extrapolating the velocities to the boundary. The error from this source was less than the error implied by the manufacturer tolerances, less than  $1 \text{ cm s}^{-1}$  in all moorings. The resulting total velocity error is  $2.5 \text{ cm s}^{-1}$ . The error in layer thickness is taken as the separation between the instruments at the location of the pycnocline, 5 m for NZ and 2 m for SG and ST4. These errors are then carried through the calculation of transport using a Monte Carlo approach. We assume that the errors are normally distributed with a standard deviation to match the magnitudes above and then generate 1000 realisations of each time-series with a normally distributed pseudo-random error added.

## 4.3 Time series of Stokes' transport

The Stokes' transport and its contributions have been evaluated for the New Zealand mooring for the time series from 30 November to 6 December 1998. The time series of these terms are presented for the whole mooring period and a selected day to highlight the dominant processes.

### 4.3.1 Bolus transport

In the bottom layer, the bolus transport is directed on shelf and is positive throughout much of the time series (Fig. 5a), in accord with the direction of internal-wave propagation. This positive contribution is due to the bottom velocity and thickness of the bottom layer being in phase. There is a dominant M2 tidal signal in both the thickness and velocity terms (red and blue in Fig. 6c) that are in phase with each other leading to a net transport with an M2 period (black in Fig. 6d). There is an asymmetry in this contribution between the periods when the isopycnals are above and below their mean depths leading to an M2 period in the resultant bolus transport (black in Fig. 6d). In addition to this M2 tidal signal, there is an additional volume transport driven by short period non-linear internal waves on the leading edge of the internal tide (Fig. 5b,c,d). A similar M2 period signal is seen in the bolus transport for the surface layer (Fig. 5e,f,g and Fig. 6a,d) how-

432 ever the layer is thinner than the mean thickness and the spectra show additional long pe-  
 433 riod variability with the opposite phase relation in the velocity and bolus transport, likely  
 434 due to surface forcing.

#### 445 **4.3.2 Shear transport**

446 The shear-driven transport in the middle layer is negative through much of the time  
 447 series (Fig. 7a). This negative transport is due to the negative shear driven transport ve-  
 448 locity (the difference between the blue and red lines in Fig. 7b). This signal is revealed  
 449 by considering the sign of the displacement of the boundaries of the layer and the verti-  
 450 cal shear in velocity. When the boundaries are displaced upward, with a positive isopyc-  
 451 nal displacement, the velocity is negative higher in the water column so that there is a  
 452 negative vertical shear in velocity (Fig. 7c,d). This contribution leads to the velocity av-  
 453 eraged along the isopycnal to be biased negative when compared to the average velocity  
 454 at the mean position of the isopycnal (Fig. 7e). The product of the layer averaged shear  
 455 and displacements agrees well with the shear driven transport velocity (red and blue lines  
 456 respectively in Fig. 7e). This signal is present in both the average shear and isopycnal dis-  
 457 placement of the middle layer with an M2 period (blue and red in Fig. 6b) which is now  
 458 in opposite phase to each other leading to a net negative transport (red in Fig. 6d which  
 459 is plotted with the opposite sign). As with the bolus transport, there is an asymmetry in  
 460 the shear transport between the phases of the internal tide leading to an M2 period in the  
 461 resultant shear driven transport velocity (red in Fig. 6d). Here the shear transport is larger  
 462 when the internal tide is leading to isopycnals being elevated above their mean depth com-  
 463 pared to the phase when the isopycnals are below their mean position.

#### 480 **4.4 Direction and vertical structure of Stokes' transport**

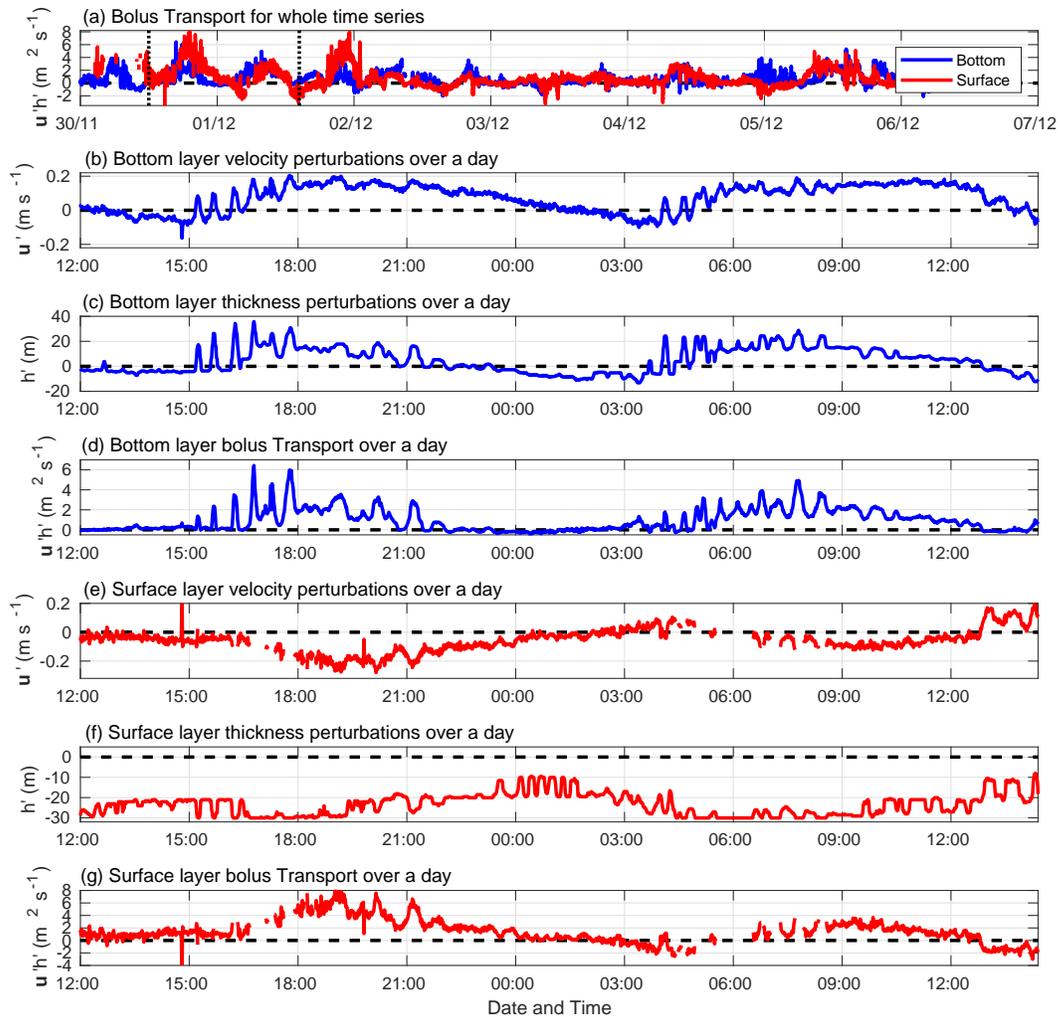
481 Now the time-averaged Stokes' transport, and its contributions, within three layers,  
 482 are considered for all three moorings, as well as assessing the extent that the Eulerian  
 483 transport cancels the Stokes' transport.

##### 484 **4.4.1 New Zealand shelf**

485 On the New Zealand Shelf, the internal tide is strong, compared to the other sites  
 486 considered here, and is directed onto the shelf (Fig. 8a). The depth-integrated bolus trans-  
 487 port is in the same direction as the baroclinic energy flux (Fig. 8b). The shear transport is  
 488 approximately the same magnitude as the bolus transport, but is in the opposite direction  
 489 (Fig. 8c). For both of these contributions the error implied in the observations is much  
 490 smaller than the magnitude of the transport. The combination of these two components  
 491 leads to a depth-integrated Stokes' transport that is indistinguishable from zero when in-  
 492 cluding the observational error (Table 2).

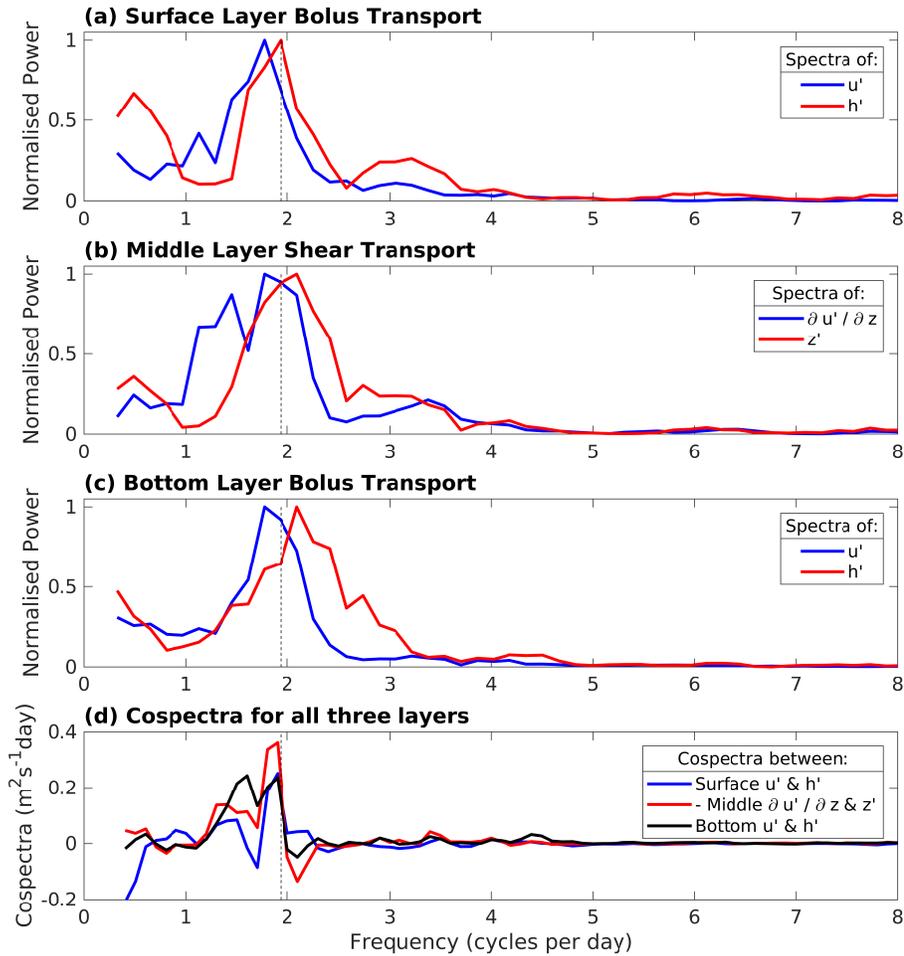
493 Now consider the vertical structure of the transport based upon a separation into  
 494 three layers. The bolus transport is strong and in the direction of the baroclinic energy  
 495 flux in the surface and bottom layers, whilst the bolus transport is weak in the middle  
 496 layer (Fig. 8b). The shear transport is strong and opposes the direction of propagation  
 497 in the middle layer, whilst the surface and bottom layers have weak transport (Fig. 8c).  
 498 These contributions lead to a Stokes' drift that is strongest in the middle layer and in the  
 499 opposite direction to the propagation of the wave, whilst the surface and bottom layers  
 500 have weaker transport directed in the same direction as the energy flux (Fig. 8d). This re-  
 501 sponse is consistent with the vertical structure of the Stokes' transport given by the theory  
 502 and modelling, with bolus dominating near the boundaries and the shear dominating at  
 503 mid depth (Fig. 8d). On the New Zealand shelf, the Eulerian transport is similar in mag-  
 504 nitude to the Stokes' transport, but is primarily directed along the bathymetric contours  
 505 (Fig. 2b). Hence, there is no implied cancellation of the Stokes' transport onto the shelf  
 506 by the Eulerian transport.

IE



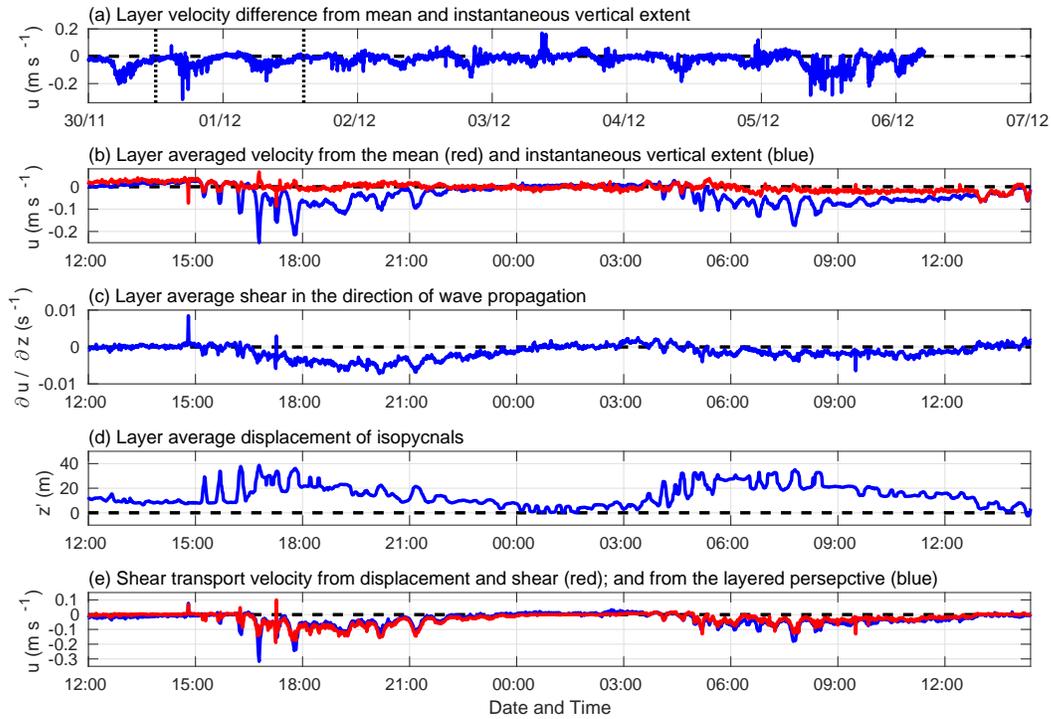
435 **Figure 5.** Time series showing the contributions to the bolus transport in the direction of the baroclinic  
 436 energy flux per unit horizontal length,  $u'h'$  ( $\text{m}^2\text{s}^{-1}$ ) in the surface and bottom layer at the New Zealand moor-  
 437 ing: (a) the full time series of instantaneous bolus transport,  $u'h'$ , with the selected day shown with vertical  
 438 dotted lines, (b) the bottom layer velocity perturbations,  $u'$  ( $\text{m s}^{-1}$ ) in the direction of the baroclinic energy  
 439 flux for a selected day, (c) the bottom layer thickness perturbations,  $h'$  (m) for a selected day, and (d) the  
 440 bottom layer bolus transport,  $u'h'$ , for a selected day, (e) the surface layer velocity perturbations,  $u'$  ( $\text{m s}^{-1}$ )  
 441 in the direction of the baroclinic energy flux for a selected day, (f) the surface layer thickness perturbations,  
 442  $h'$  (m) for a selected day, and (g) the surface layer bolus transport,  $u'h'$ , for a selected day. The gaps in the  
 443 surface layer are where the isopycnal was shallower than the most shallow instrument and thus no data was  
 444 collected for the layer.

A



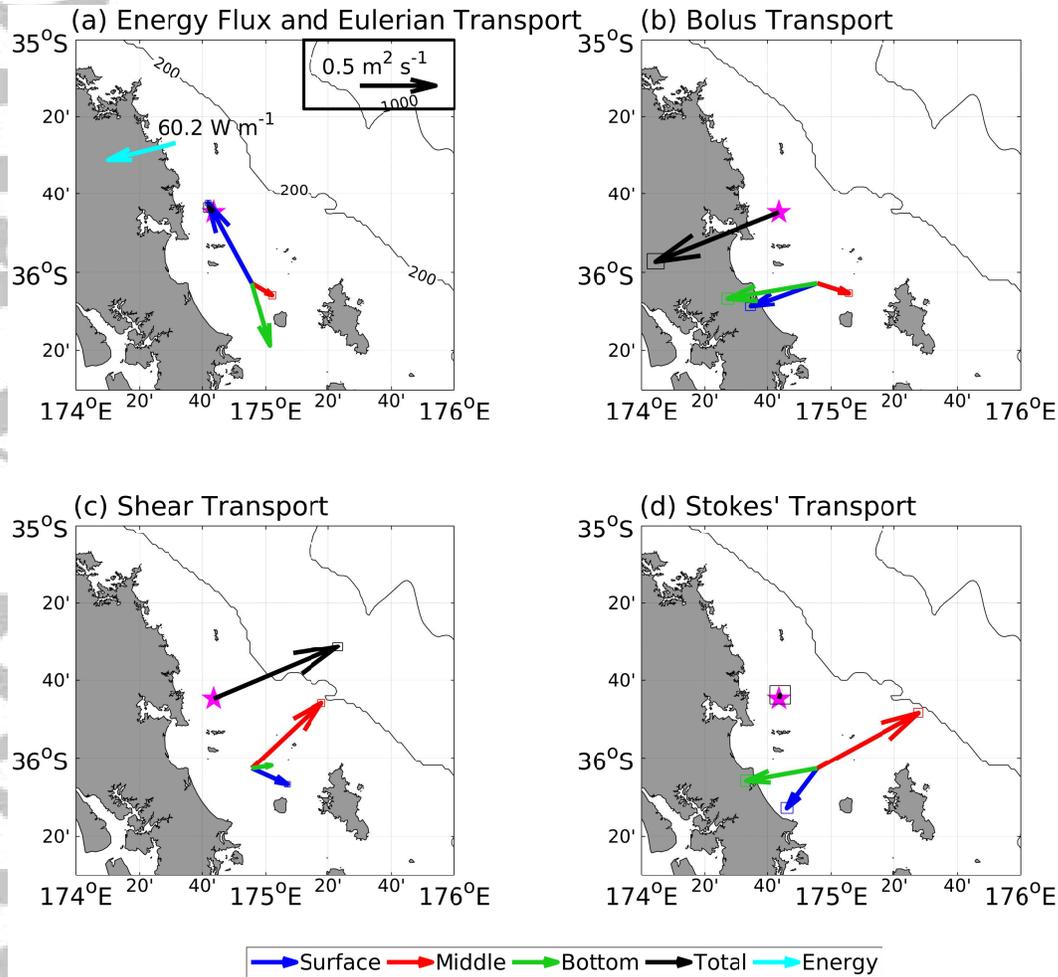
464 **Figure 6.** Power spectra and co-spectra of the leading contributions to the Stokes' transport for each layer.  
 465 Each power spectra is normalised by its maximum value. The bolus transport is shown for the (a) surface and  
 466 (c) bottom layer with the blue line showing the velocity perturbations and the red line showing the thickness  
 467 perturbations. The shear transport is shown for (b) the middle layer with the blue line showing the layer aver-  
 468 age shear and the red line showing the layer average displacement. The co-spectra are shown (d) between:  
 469 the thickness and velocity perturbations for the surface (blue line) and bottom (black line) layers; and the layer  
 470 average shear and vertical displacement, scaled by the average layer thickness, for the middle layer (red line).  
 471 The sign of the middle layer co-spectra is reversed. The vertical dashed line is the M2 tidal period.

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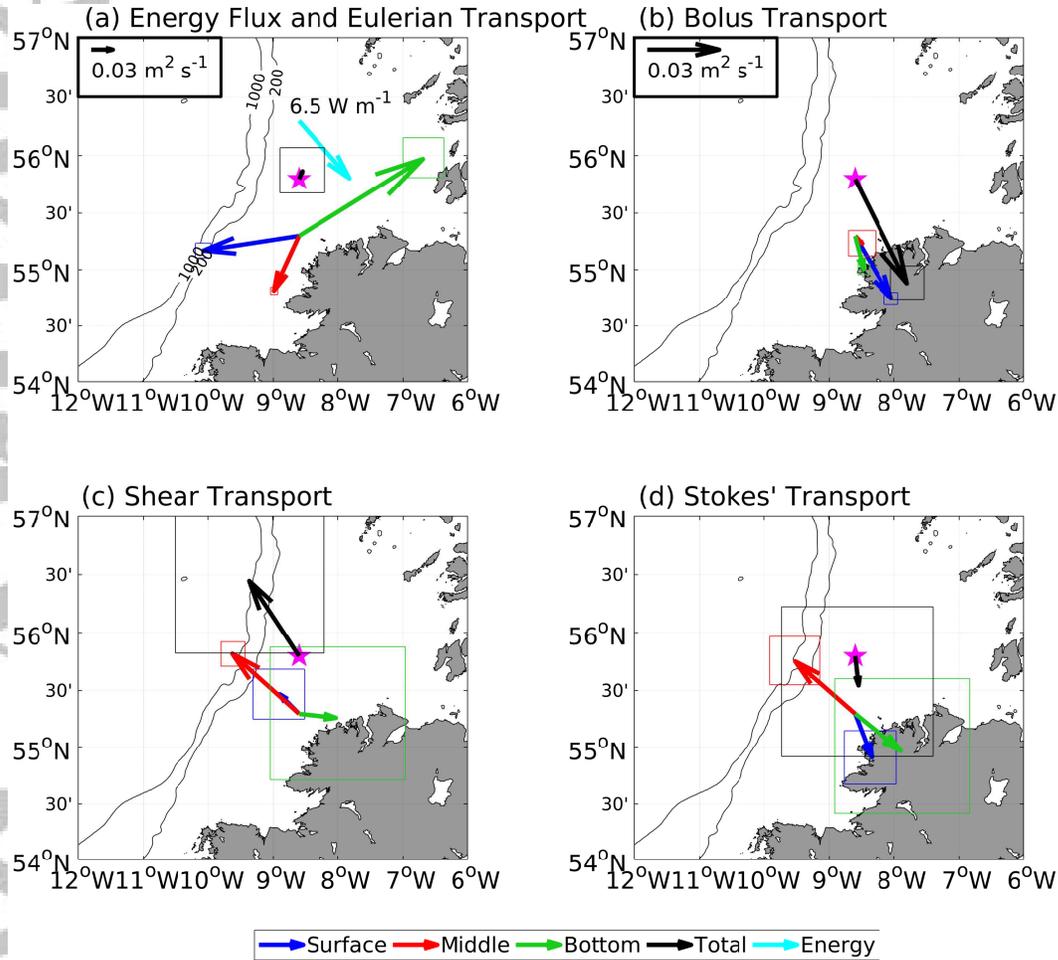


472 **Figure 7.** Time series showing the contributions to the shear transport for the middle layer in the direction  
 473 of the baroclinic energy flux per unit horizontal length for the New Zealand mooring: (a) the difference be-  
 474 tween the velocity depth averaged over the mean extent of the layer and the instantaneous extent over the whole  
 475 time series ( $\text{m s}^{-1}$ ), (b) the velocity depth averaged over the mean, red, and instantaneous, blue, extents over a  
 476 selected day ( $\text{m s}^{-1}$ ), (c) the average shear in the middle layer ( $\text{s}^{-1}$ ), (d) the average displacement of isopycnals  
 477 in the middle layer (m), and (e) the implied shear transport given by the product of the shear and isopycnal  
 478 displacement in red and the difference between the velocity depth averaged over the mean extent of the layer  
 479 and the instantaneous extent.

Ac



507 **Figure 8.** Baroclinic energy flux ( $\text{W m}^{-1}$ ), Stokes' transport, and contributions per unit horizontal length  
 508 ( $\text{m}^2\text{s}^{-1}$ ) on the New Zealand Shelf. (a) The baroclinic energy flux and the transport driven by: (a) the time-  
 509 mean Eulerian transport, (b) the time-mean bolus contribution from the correlation of velocity and layer  
 510 thickness, (c) the time-mean shear contribution evaluated from the departures from the time-mean isopycnal  
 511 depth, and (d) the Stokes' transport from the sum of the bolus and shear contributions. The calculations have  
 512 been performed over multiple layers: the surface (blue), the middle (red), the bottom (green), and over the  
 513 whole water column (black). The layered transport is offset from the mooring location to make the figure  
 514 easier to read. The position of the mooring is marked with the magenta star. The rectangle surrounding the  
 515 head of each arrow indicates 99% of the Monte Carlo realisations representing the error in the observations,  
 516 as described in Section 4.2.



528 **Figure 9.** Baroclinic energy flux ( $\text{W m}^{-1}$ ), Stokes' transport, and contributions per unit horizontal length  
 529 ( $\text{m}^2\text{s}^{-1}$ ) for the Malin shelf. (a) The baroclinic energy flux and the transport driven by: (a) the time-mean  
 530 Eulerian transport, (b) the time-mean bolus contribution from the correlation of velocity and layer thickness,  
 531 (c) the time-mean shear contribution evaluated from the departures from the time-mean isopycnal depth, and  
 532 (d) the Stokes' transport from the sum of the bolus and shear contributions. Lines as in Fig. 8.

#### 517 4.4.2 European Malin shelf

518 On the Malin Shelf, the internal tide again propagates onto the shelf, although it  
 519 is an order of magnitude weaker than on the New Zealand Shelf (Fig. 9a). The layered  
 520 Stokes' transport shows the same structure as for the mooring on the New Zealand shelf  
 521 (Fig. 9b,c,d), consistent with the expected bolus and shear contributions, although in the  
 522 bottom layer the error estimate is larger than the calculated transport (Table 2). The re-  
 523 sulting depth-integrated transport is very small, smaller than the 99 % confidence intervals  
 524 so the transport is statistically indistinguishable from zero (Table 2). On the Malin shelf,  
 525 the Eulerian transport is much larger than the Stokes' transport, but does not have a verti-  
 526 cal structure that opposes the Stokes' transport (Fig. 9a); the Stokes' transport signals are  
 527 relatively weak and it is difficult to identify the extent of any compensation.

### 4.4.3 European Celtic Sea shelf

In the Celtic Sea, there is a more complex response with the internal tide not propagating onto the shelf, but rather directed parallel to the shelf break (Fig. 10a). This tidal propagation is a result of localisation by small scale topography at the shelf break [Vlasenko *et al.*, 2014]. The bolus and shear components are consistent with the expected theoretical structure, in the same and opposing direction as the internal tide propagation respectively, and there is a near cancellation in the vertical (Fig. 10b,c). As a result the layered Stokes' transport is also directed parallel to the shelf break, leading to only limited open ocean - shelf sea exchange at this site. However, on larger scales, it would still be expected that the internal tide eventually propagates onto the shelf [Inall *et al.*, 2011] and with an accompanying Stokes' transport. In the Celtic sea, the Eulerian transport is directed along the slope and shows a two-layer flow (Fig. 10a). This transport structure again makes it difficult to reveal any potential cancellation, since the Eulerian flow is in the same direction as the Stokes' transport for the bottom and middle layers and is weaker than the Stokes' transport in the middle layer.

## 4.5 Summary

The internal tide provides a Stokes' transport that can cross the shelf break. Representing the ocean and shelf region by three density layers, the Stokes' transport from an internal tide consists of an onshore bolus contribution in the light upper layer and the dense bottom layer at the shelf break, which is offset by a return volume transport by a velocity shear contribution in the pycnocline. The Stokes' transport integrates to zero over the whole fluid depth. On the New Zealand and Celtic Sea Shelves, the Eulerian transport does not cancel the Stokes' transport and on the Malin Shelf the cross-shelf signals are too small to infer the extent of any cancellation.

## 5 Tracer transport from the internal tide directed across the shelf break

The internal tide provides a Stokes' transport within a density layer, which may then provide a tracer transport across the shelf break. In order to understand this connection, consider the exchange of a tracer between the stratified ocean and the well-mixed shelf seas within three different density layers. If we only consider the tracer exchange across the shelf break in the  $x$ -direction and assume that the only process providing an exchange is the Stokes' velocity,  $u_S$ , then the tendency of the tracer  $c$  is given by

$$\frac{\partial c}{\partial t} = -\frac{\partial}{\partial x}(F_c) + Q, \quad (9)$$

where  $Q$  is a tracer source. The tracer transport,  $F_c$ , per unit horizontal length is given by the Stokes' velocity,  $u_S$ , acting on the tracer concentration,  $c$ , which is integrated over the full depth,

$$F_c = \int_{-D}^0 u_S c \, dz, \quad (10)$$

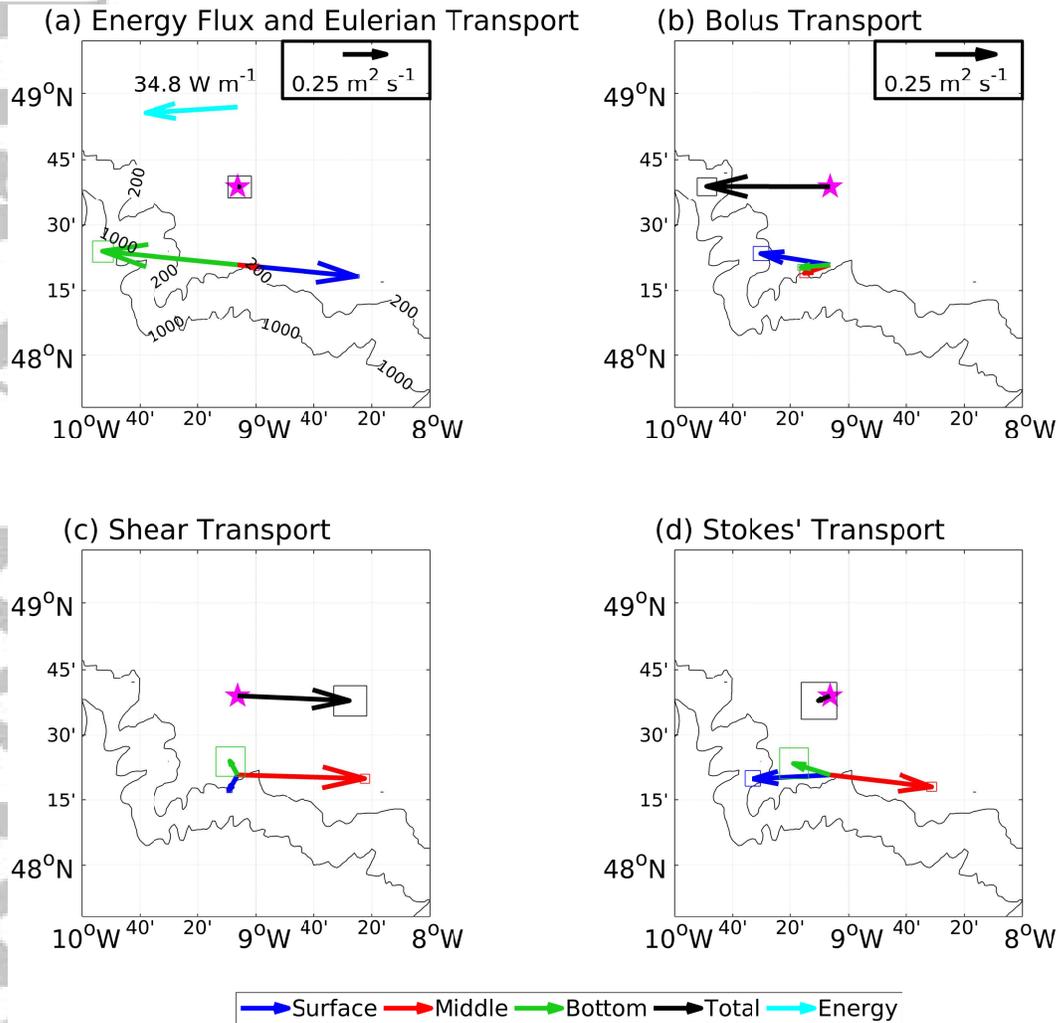
where  $D$  is the depth of the water column. The tracer transport can be simply written as a summation over 3 density layers, such that

$$F_c = \sum_{i=1}^3 u_{S,i} c_i h_i, \quad (11)$$

where each layer has a thickness,  $h_i$ , and tracer concentration,  $c_i$ , and  $i$  is a layer counter from 1 to 3. At the same time, the Stokes' volume transport is expected to be zero when integrated over the full depth [Henderson, 2016] consistent with our observations,

$$\sum_{i=1}^3 u_{S,i} h_i = 0. \quad (12)$$

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548 **Figure 10.** Baroclinic energy flux ( $\text{W m}^{-1}$ ), Stokes' transport, and contributions per unit horizontal length  
 549 ( $\text{m}^2\text{s}^{-1}$ ) for the Celtic Sea. (a) The baroclinic energy flux and the transport driven by: (a) the time-mean  
 550 Eulerian transport, (b) the time-mean bolus contribution from the correlation of velocity and layer thickness,  
 551 (c) the time-mean shear contribution evaluated from the departures from the time-mean isopycnal depth, and  
 552 (d) the Stokes' transport from the sum of the bolus and shear contributions. Lines as in Fig. 8.

**Table 2.** Table of Stokes' transports in the direction of the baroclinic energy flux calculated from the moorings for each layer and the depth total. The 99 % confidence intervals are given in brackets and have been calculated as described in Section 4.2.

Parameter	Surface	Middle	Bottom	Total
New Zealand (NZ)				
Layer Thickness (m)	<b>25.6</b> (25.3 – 25.9)	<b>34.9</b> (34.7 – 35.2)	<b>12.4</b> (12.1 – 12.7)	<b>72.9</b> (72.5 – 73.3)
Volume Flux ( $\text{m}^2\text{s}^{-1}$ )	<b>0.26</b> (0.22 – 0.29)	<b>-0.73</b> (-0.76 – -0.70)	<b>0.47</b> (0.42 – 0.51)	<b>-0.01</b> (-0.07 – 0.06)
Velocity ( $\text{cm s}^{-1}$ )	<b>1.00</b> (0.85 – 1.15)	<b>-2.10</b> (-2.19 – -2.01)	<b>3.76</b> (3.41 – 4.13)	<b>-0.02</b> (-0.11 – 0.08)
Malin Shelf (SG)				
Layer Thickness (m)	<b>24.5</b> (24.4 – 24.5)	<b>12.4</b> (12.3 – 12.4)	<b>65.2</b> (65.1 – 65.2)	<b>102.0</b> (101.9 – 102.1)
Volume Flux ( $\text{m}^2\text{s}^{-1}$ )	<b>0.020</b> (0.007 – 0.031)	<b>-0.035</b> (-0.045 – -0.024)	<b>0.025</b> (-0.004 – 0.055)	<b>0.010</b> (-0.022 – 0.046)
Velocity ( $\text{cm s}^{-1}$ )	<b>0.080</b> (0.026 – 0.13)	<b>-0.28</b> (-0.37 – -0.20)	<b>0.038</b> (0.006 – 0.085)	<b>0.010</b> (-0.021 – 0.045)
Celtic Sea (ST4)				
Layer Thickness (m)	<b>16.3</b> (16.2 – 16.4)	<b>27.3</b> (27.2 – 27.4)	<b>92.4</b> (92.3 – 92.4)	<b>136.0</b> (135.9 – 136.1)
Volume Flux ( $\text{m}^2\text{s}^{-1}$ )	<b>0.33</b> (0.31 – 0.34)	<b>-0.43</b> (-0.44 – -0.42)	<b>0.15</b> (0.09 – 0.22)	<b>0.05</b> (-0.01 – 0.12)
Velocity ( $\text{cm s}^{-1}$ )	<b>2.0</b> (1.9 – 2.1)	<b>-1.6</b> (-1.6 – -1.5)	<b>0.17</b> (0.09 – 0.23)	<b>0.039</b> (-0.007 – 0.088)

577 The tracer concentrations transported onshore in the top and bottom layers are the  
578 open ocean tracer values,  $c_1$  and  $c_3$ . The onshore tracer transport is then given by

$$u_{S,1}h_1c_1 + u_{S,3}h_3c_3. \quad (13)$$

579 If there is no tracer source in the shelf waters and there is vertical mixing making the  
580 tracer concentration the same in each layer, then the tracer in the middle layer,  $c_2$ , that  
581 is returned off shore is simply given by the transport-weighted values,  $c_{mix}$ , given by

$$c_{mix} = \frac{u_{S,1}h_1c_1 + u_{S,3}h_3c_3}{u_{S,1}h_1 + u_{S,3}h_3}. \quad (14)$$

582 If there is a tracer source on the shelf that makes the tracer concentration in the middle  
583 layer,  $c_2$ , greater than the transport-weighted tracer values brought onto the shelf,  $c_{mix}$ ,  
584 then there is a systematic tracer transport from the shelf to the open ocean.

585 Conversely, if there is a tracer sink on the shelf that makes the tracer concentra-  
586 tion in the middle layer,  $c_2$ , less than the transport-weighted tracer values brought onto  
587 the shelf,  $c_{mix}$ , then there is a systematic tracer transport from the open ocean to the shelf.

588 Following this generalised example, next consider the transport of heat, salt and ni-  
589 trate for the New Zealand mooring, where there is a strong Stokes' transport crossing the  
590 shelf.

## 591 **5.1 Observed tracer transport for the New Zealand shelf**

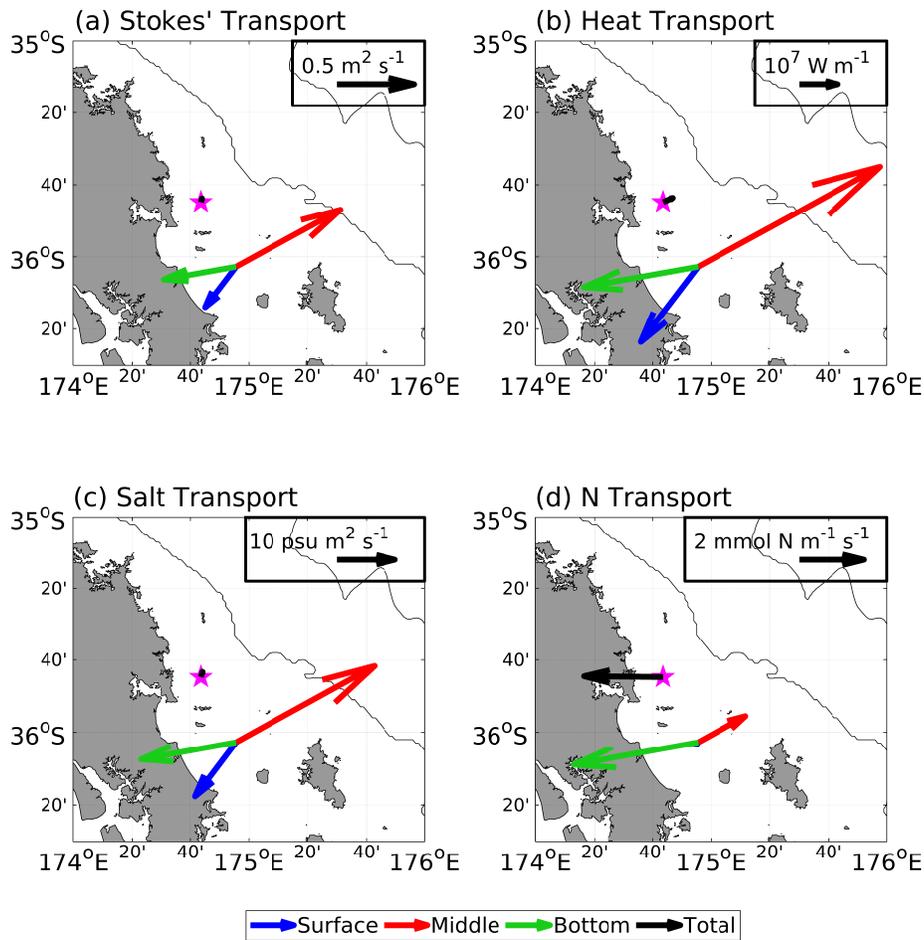
592 The tracer transport for each layer is diagnosed at the New Zealand mooring using a  
593 product of the mooring derived Stokes' transport and the tracer, averaged in density space,  
594 for each layer. The values for salinity and nitrate are taken from a nearby CTD cast. The  
595 salinity is taken from the high vertical resolution CTD data and the nitrate is taken from 7  
596 discrete bottle samples. These samples are distributed through the water column with 4 in  
597 the surface layer (2, 20, 30 and 40 m depth), 2 in the middle layer (60 and 80 m depth),  
598 and 1 in the bottom layer (100 m depth). The single nitrate sample in the bottom layer  
599 is likely to be representative of the entire layer as the high resolution CTD data reveals a  
600 well mixed bottom layer where the salinity in the bottom layer varies by only 0.02 com-  
601 pared to 0.23 for the full profile. These tracer values are then averaged in density ranges  
602 that match the density ranges used for the volume transport to give a single value for each  
603 layer, which is then used to calculate the tracer transport.

### 609 **5.1.1 Heat and salt transport**

610 The vertical structure of the Stokes' transport dictates the direction of the associated  
611 property transport, although their magnitudes for each layer are set by the property value.  
612 There is an on shelf heat transport in the surface and bottom layers, and an off shelf heat  
613 transport in the middle layer (Fig. 11a,b). There is not a significant depth-integrated heat  
614 transport directed on shelf. There is a similar response for the salt flux, an on shelf salt  
615 transport in the surface and bottom layers, and an off-shelf salt transport in the middle  
616 layer (Fig. 11c). This result is equivalent to the case where there is no source or sink of  
617 tracer on the shelf leading to the tracer returned in the middle layer being equivalent to a  
618 linear mixture of the tracer transported in the surface and bottom layers (as given by  $c_{mix}$   
619 in Eqn. 14).

### 620 **5.1.2 Nitrate transport**

621 Nitrate has a vertical structure that differs from the vertical structure of temperature  
622 and density due to the biological utilisation of nitrate in the euphotic zone and regenera-  
623 tion of biological fallout at depth. The nitrate transport becomes very small in the surface  
624 layer due to its very low nitrate concentration and the nitrate transport is weakly off shelf



604 **Figure 11.** Tracer transport provided by the Stokes' volume transport calculated at the New Zealand mooring  
 605 using a combination of the mooring data and an adjacent CTD profile with nitrate samples taken: (a)  
 606 volume transport ( $\text{m}^2 \text{s}^{-1}$ ), (b) heat transport ( $\text{W m}^{-1}$ ), (c) salt transport ( $\text{psu m}^2 \text{s}^{-1}$ ), and (d) nitrate trans-  
 607 port ( $\text{mmol N m}^{-1} \text{s}^{-1}$ ). The tracer transports are calculated using the same layers as applied for the Stokes'  
 608 volume transport and for a full depth integral.

625 in the middle layer (Fig. 11d). The nitrate transport is instead strongly on shelf in the bot-  
 626 tom layer due to the high concentration of nitrate from the regeneration of biological fall-  
 627 out and high concentrations at depth in the adjacent open ocean. This overall structure of  
 628 the Stokes' transport of nitrate over each layer leads to an overall depth-integrated on-shelf  
 629 nitrate transport (Fig. 11d, black arrow), which acts to sustain enhanced productivity on  
 630 the shelf.

631 This net transport of nitrate can be understood by comparing the concentration of ni-  
 632 trate in the off-shelf transported middle layer to the concentration expected for a conserved  
 633 tracer (Eqn. 14). Using the volume transport and nitrate concentrations in the surface and  
 634 bottom layers at the mooring gives an expected nitrate concentration in the middle layer  
 635 of  $c_{mix} = 5.42 \text{ mmol N m}^{-3}$ . This expected concentration is larger than the observed  
 636 middle layer nitrate concentration at the mooring of  $c_2 = 2.16 \text{ mmol N m}^{-3}$ . The deficit  
 637 of nitrate in the middle layer implies a sink on the shelf, likely driven by biological con-  
 638 sumption, and leads to an imbalance between the on-shelf and off-shelf transports driving  
 639 a net transport.

640 In the bottom layer, the on-shelf transport at the mooring is  $2.9 \text{ mmol m}^{-1} \text{ s}^{-1}$  which,  
 641 assuming that the transport converges over the distance between the mooring and the coast  
 642 of 17 km, gives a nitrate supply and a convergence of bottom-layer nitrate transport of  
 643  $1.7 \times 10^{-7} \text{ mol N m}^{-2} \text{ s}^{-1}$ . In comparison, *Sharples et al.* [2001] conducted a turbulence  
 644 study of the vertical supply of nitrate at the same time and in the same location as the  
 645 mooring, and calculated a vertical flux of nitrate into the photic zone of  $1.4 \times 10^{-7} \text{ mol N}$   
 646  $\text{m}^{-2} \text{ s}^{-1}$ . Hence, these two independent estimates of nitrate fluxes diagnosed either from  
 647 the moorings or from turbulence measurements are consistent with each other, and support  
 648 the view that the internal tide generates a Stokes' transport driving a horizontal nitrate flux  
 649 onto the shelf that sustains the vertical nitrate flux to the photic zone associated with the  
 650 turbulent mixing from the breaking of the internal tide.

## 651 6 Conclusions

652 There is a long standing problem of how tracers are transported across the conti-  
 653 nental slope. The internal tide usually propagates across the continental slope from the  
 654 open ocean to the shelf seas. There is a Stokes' transport associated with the internal  
 655 tide, which is made up of the sum of a bolus contribution and a shear contribution. This  
 656 Stokes' transport may be non-zero within an individual density layer, even though its  
 657 depth integral vanishes.

658 The propagation of the internal tide across the top of the continental slope automat-  
 659 ically leads to onshore bottom velocities coinciding with a thicker bottom layer between  
 660 the thermocline and sea floor, as well as offshore upper velocities and a thinner upper  
 661 layer between the sea surface and the thermocline. There is a resulting onshore Stokes'  
 662 transport from the bolus contribution near the surface and the sea floor, which is returned  
 663 offshore in the pycnocline via the shear contribution to the Stokes' transport. This vertical  
 664 structure is consistent with the theoretical drift experienced by neutrally-buoyant tracers  
 665 and is the same in the onshore directed layers for depth-regulating phytoplankton [*Franks*  
 666 *et al.*, 2019].

667 Previous theoretical work for an inviscid ocean has implied near complete cancella-  
 668 tion between the Stokes' transport and the Eulerian transport at all depths [*Wunsch*, 1971;  
 669 *Wagner and Young*, 2015]. Partial cancellation was also revealed in a lake study [*Hender-*  
 670 *son*, 2016]. The extent to which the assumptions underlying this previous work apply in  
 671 shelf sea observations is unclear, particularly as diapycnal mixing occurs over the shelf al-  
 672 lowing fluid to exchange between density layers. In the mooring data on the New Zealand  
 673 shelf, the Eulerian transports are generally directed along bathymetric contours, and their  
 674 cross-bathymetric components are weaker than the Stokes' transport and do not cancel

675 the Stokes' transport. In the remaining two moorings, any cancellation is hard to iden-  
676 tify as the cross-shelf components of the Eulerian transports are of a similar magnitude to  
677 the Stokes' transport. There are a range of potential explanations for the lack of cancella-  
678 tion between the Stokes' transport and Eulerian-mean transport in the observations: spatial  
679 variability in the internal tide leading to return flow being focused in a regions of weak  
680 internal tides; temporal variability allowing the Stokes' transport to drive volume fluxes  
681 until a new dynamical balance is reached between the Eulerian flow and the stratification;  
682 eddy exchange at the shelf break "resetting" the stratification on the shelf; or enhanced  
683 turbulence and mixing on the shelf allowing diapycnal exchange between density layers.  
684 The explanation, or combination of explanations, responsible for the lack of cancellation is  
685 unclear from these observations and requires further research.

686 The importance of the Stokes' transport varies with the strength and orientation of  
687 the baroclinic energy flux. For 3 different moorings, there are different regimes: a large  
688 onshore baroclinic energy flux directed onshore in the New Zealand shelf, a weak onshore  
689 baroclinic energy flux directed onshore in the Malin shelf and a baroclinic energy flux  
690 directed along bathymetric contours in a region of complex topography in the Celtic shelf.

691 Now consider the different tracer sources and sinks acting over the shelf in terms of  
692 the biogeochemistry, which might alter the tracer concentrations and lead to the Stokes'  
693 transport providing an offshore or onshore tracer transport.

694 There is a strong signal of enhanced biological production on the shelf, forming  
695 both particulate and dissolved organic nutrients. The dissolved organic nutrients are ex-  
696 pected to be transported offshore in the middle layer via the shear contribution to the  
697 Stokes' transport. The biological productivity has to be sustained by a supply of inorganic  
698 nutrients, from river input, resuspension from sediments, atmospheric deposition or ex-  
699 change with the open ocean. If the shelf sources dominate, then inorganic nutrients will  
700 be transported offshore in the middle layer by the Stokes' transport. If the shelf sources  
701 are insufficient to sustain the biological production, which is often the case [*Liu et al.*,  
702 2010], then the onshore nutrient transport in the surface and bottom layers are needed.  
703 As the nutrient concentrations are low in surface waters, this onshore nutrient transport is  
704 provided by the bolus transport contribution to the Stokes' transport acting in the nutrient-  
705 rich bottom layer.

706 If there are shelf inputs of trace metals, such as iron, from the sediments or riverine  
707 inputs, then there will be an off shelf transport of trace metals in the middle layer via the  
708 shear contribution to the Stokes' transport. If the typical Stokes' velocities within the py-  
709 cnocline are  $0.5 \text{ cm s}^{-1}$ , then the off shelf tracer plume will extend for 500 km based on  
710 an advective timescale of a 100 days during summer (when the surface mixed layer in the  
711 open ocean is sufficiently shallow to allow this signal to be visible).

712 Previously, other physical processes driving exchange across the shelf break have  
713 been identified as being important for the European Shelf, such as surface and bottom Ek-  
714 man transport. *Huthnance et al.* [2009] revealed Ekman transfers with volume transports at  
715 the slope current ( $0.5$  to  $0.8 \text{ m}^2 \text{ s}^{-1}$ ) that are larger than the Stokes' transports calculated  
716 here ( $0.019$  to  $0.43 \text{ m}^2 \text{ s}^{-1}$ ). However the Ekman circulations are directed on shelf near  
717 the surface and off shelf at depth for the European shelf. In the bottom layer, this Ekman-  
718 driven circulation is opposite to the internal-tide driven Stokes' transport indicating the  
719 potentially-important contribution by Stokes' transport in the supply of nutrients.

720 For the New Zealand shelf, the estimate of the vertical supply of nitrate by turbulent  
721 mixing [*Sharples et al.*, 2001] is of the similar magnitude to our estimate of how the in-  
722 ternal tide drives a Stokes' transport providing a horizontal supply of nitrate. Hence there  
723 may be a balance between the baroclinic tide providing a horizontal onshore transport of  
724 nitrate and the breaking of the internal tide providing a vertical nitrate supply.

In summary, the Stokes' transport for a density layer may provide a systematic transport of tracers across the shelf break. Whether this tracer transport is directed off shelf or on shelf depends on whether there is a tracer source or sink, respectively, on the shelf. This tracer transport can be an important source of nutrients to the highly productive shelf seas.

### Acknowledgments

This work was supported by the UK NERC Fluxes Across Sloping Topography of the North East Atlantic (FASTNEt) programme (NE/I030216/1). The NZ mooring was part of the Nearshore-Offshore Exchange Programme, supported by the New Zealand Foundation for Research in Science and Technology. We are grateful to anonymous referees for detailed advice and constructive feedback which have much improved this manuscript. We are also grateful to advice and feedback from Andrew Stewart, Mark Inall and John Huthnance, and to Juliane Wihsgott for processing the temperature data on the Malin Shelf. The data sets analysed here are available from the British Oceanographic Data Centre ([www.bodc.ac.uk](http://www.bodc.ac.uk)).

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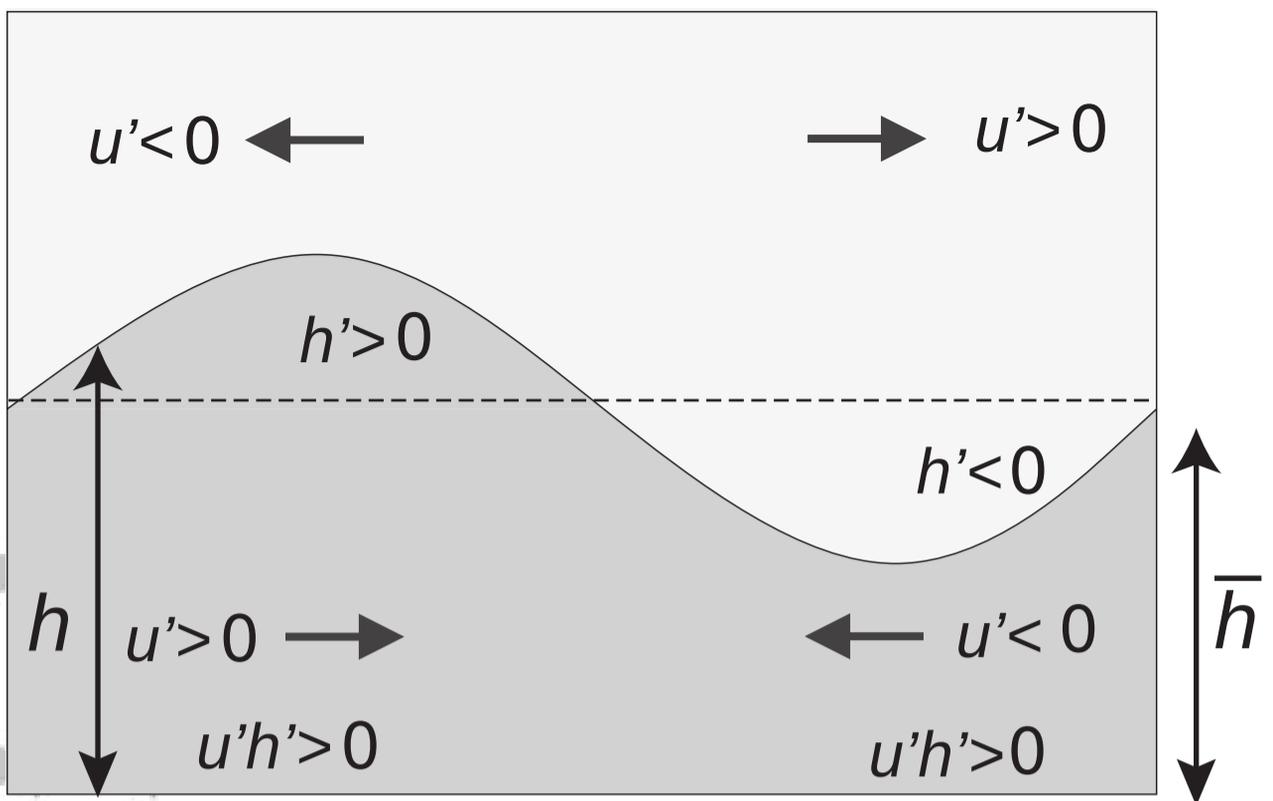
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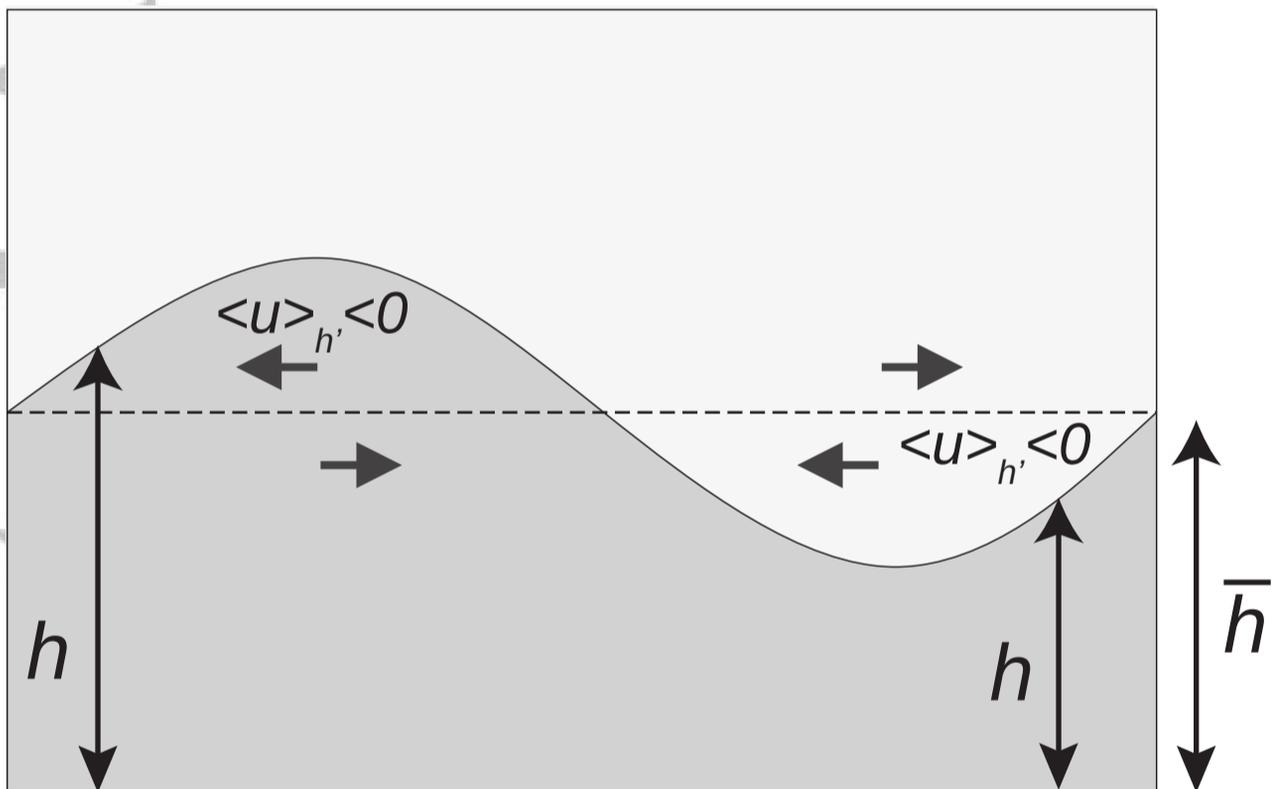
Figure 1.

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(a) Bolus contribution to Stokes' drift



(b) Shear contribution to Stokes' drift



(c) Baroclinic tidal thickness and velocity variations

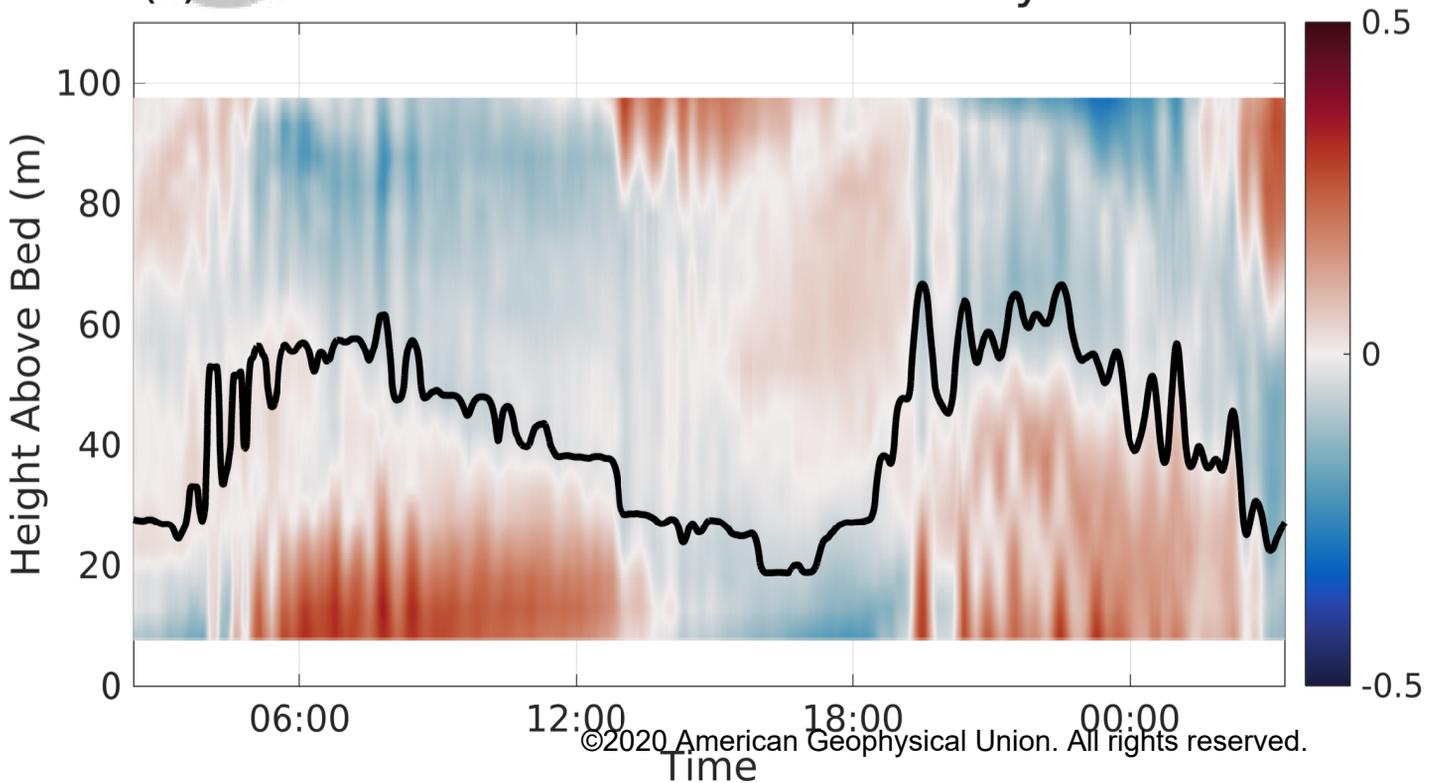


Figure 2.

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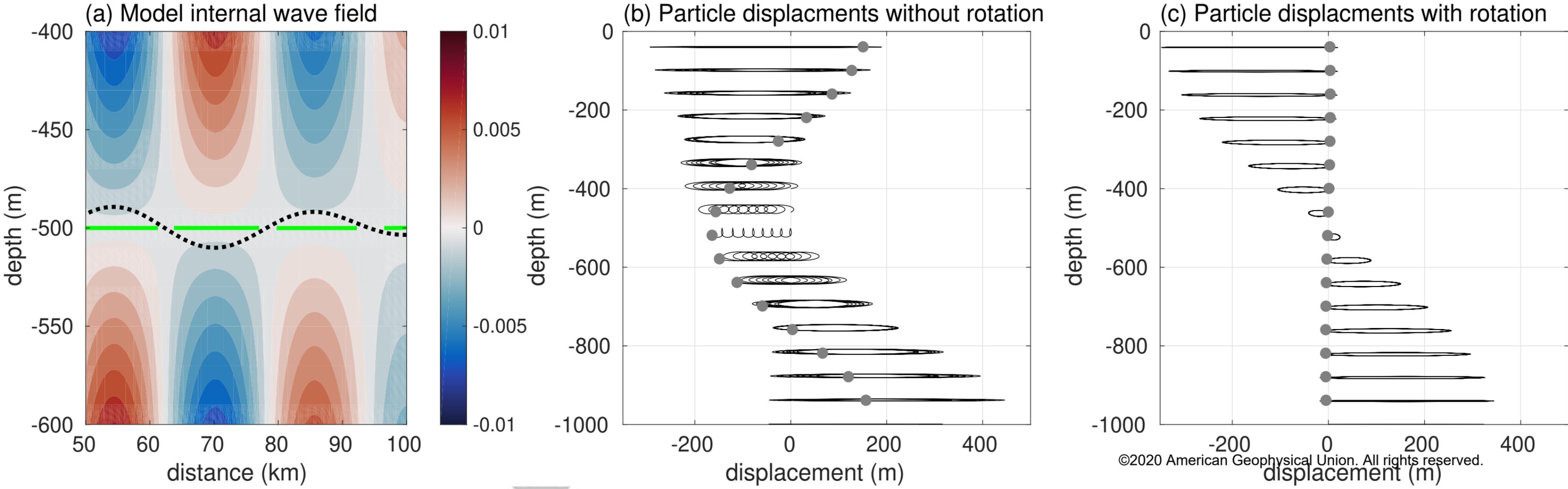


Figure 3.

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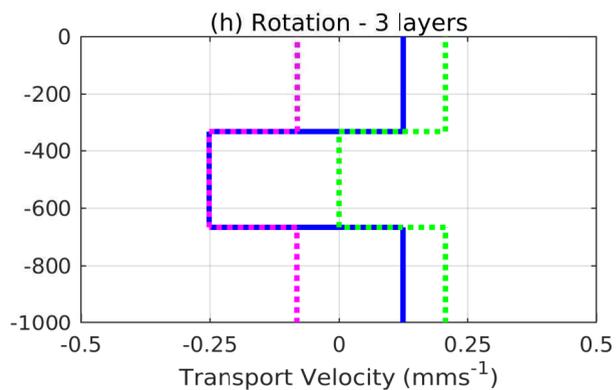
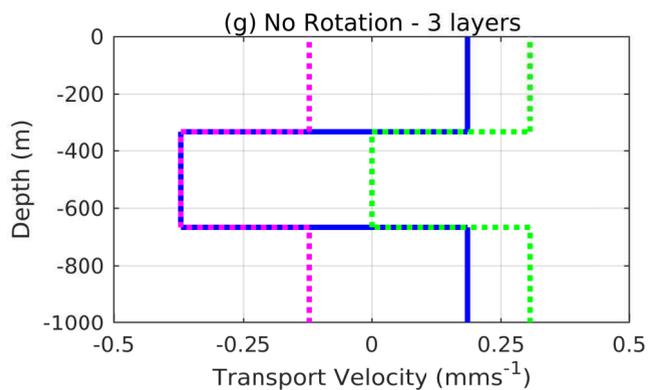
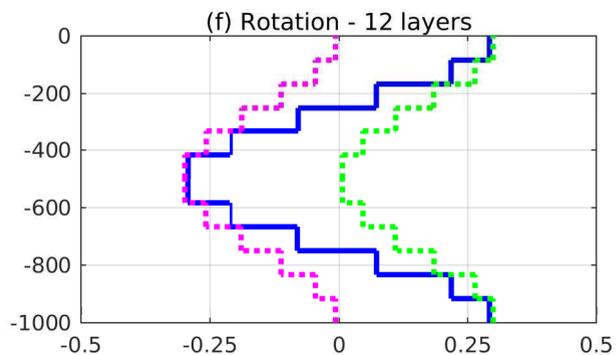
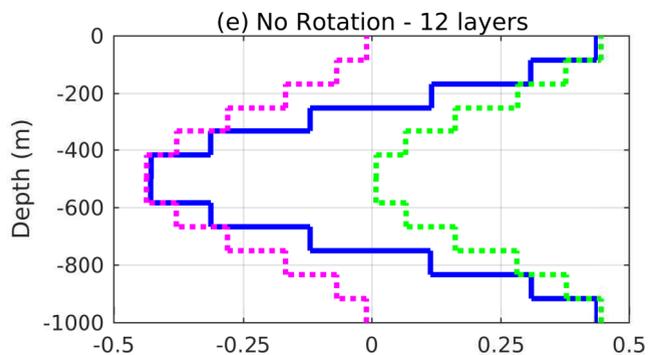
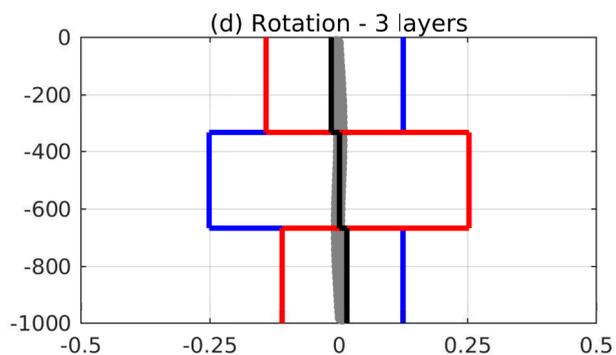
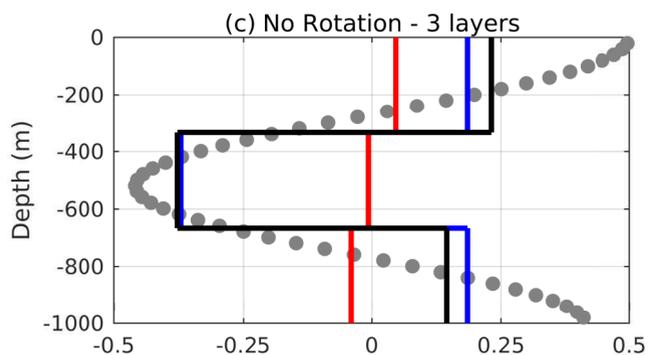
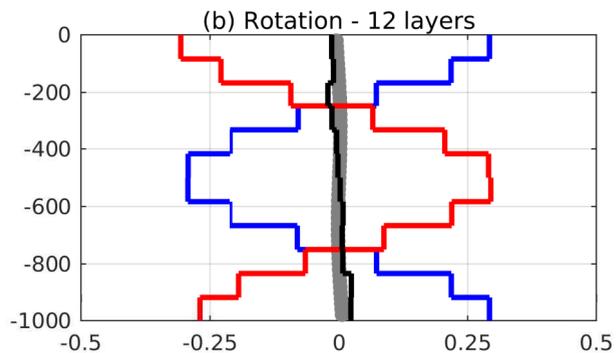
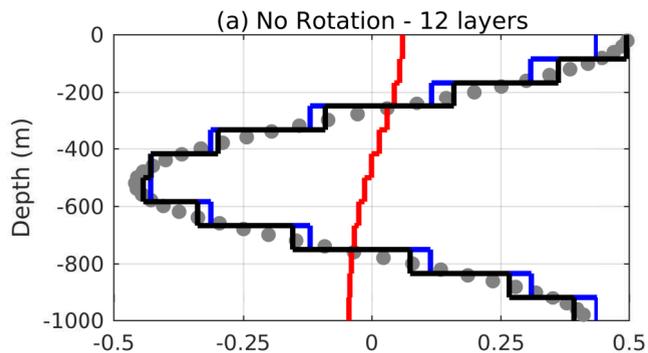


Figure 4.

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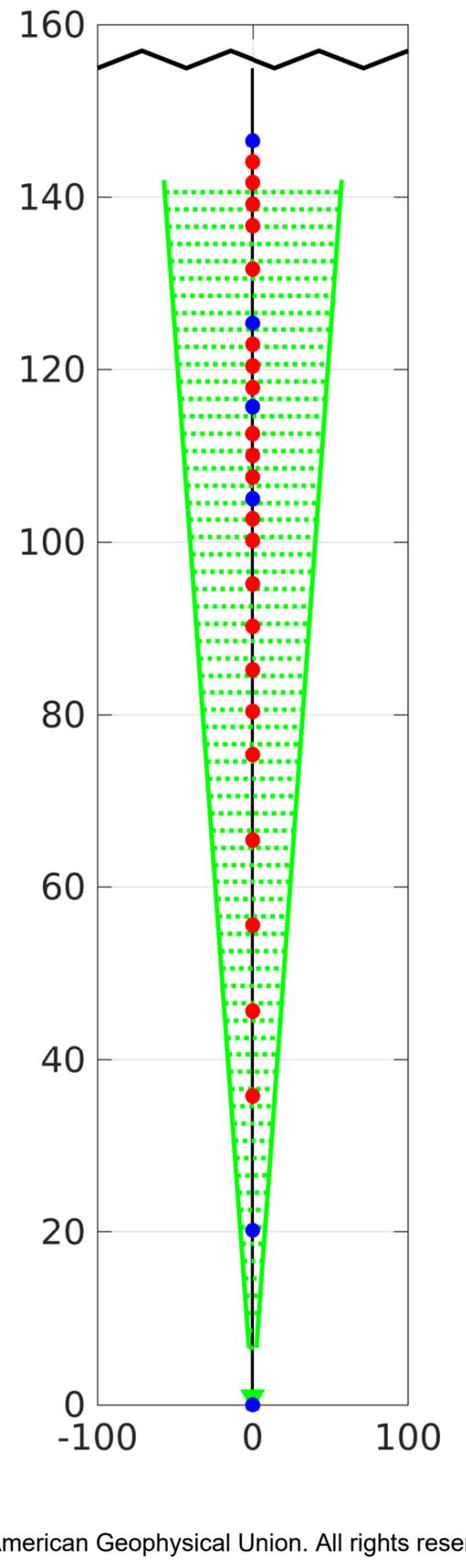
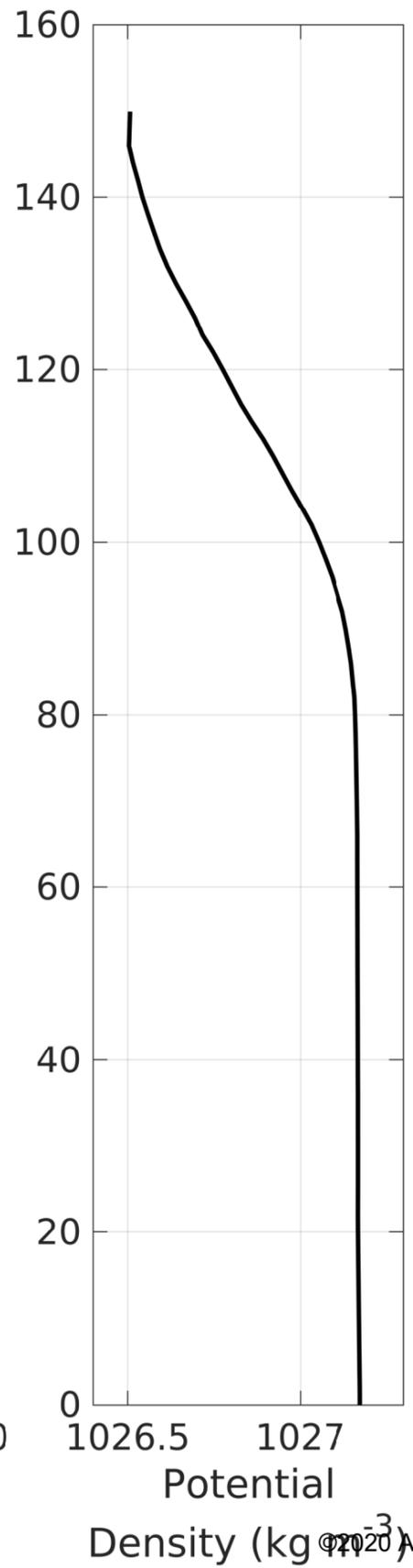
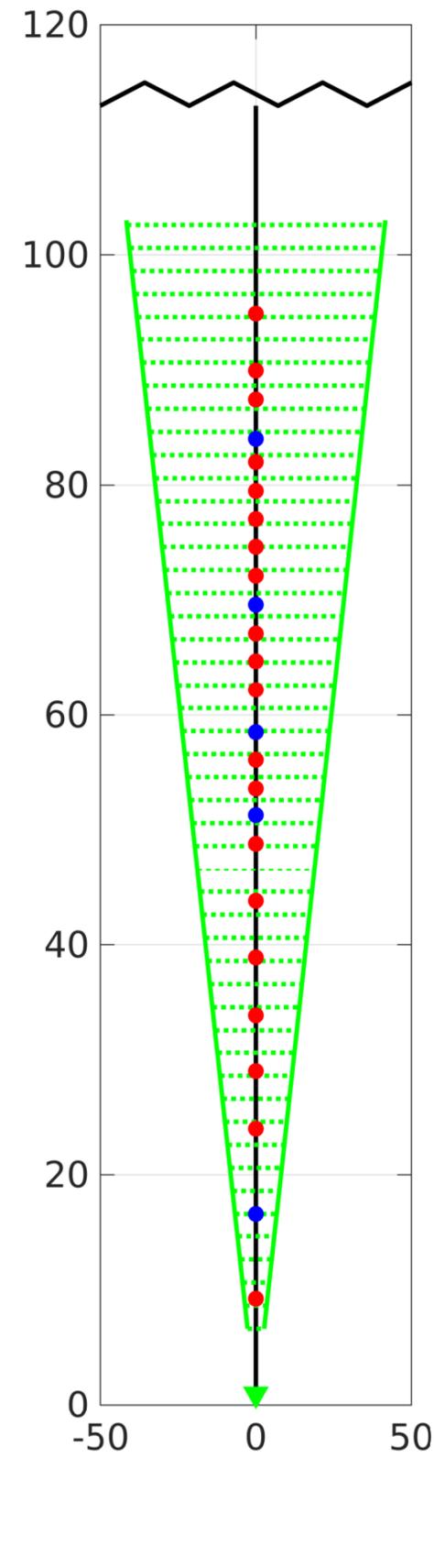
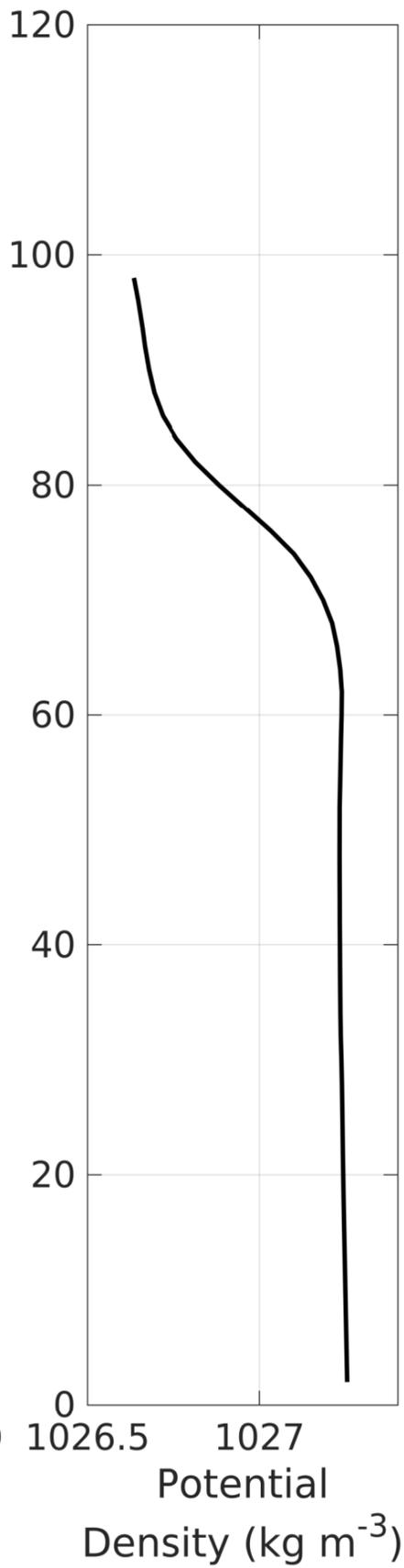
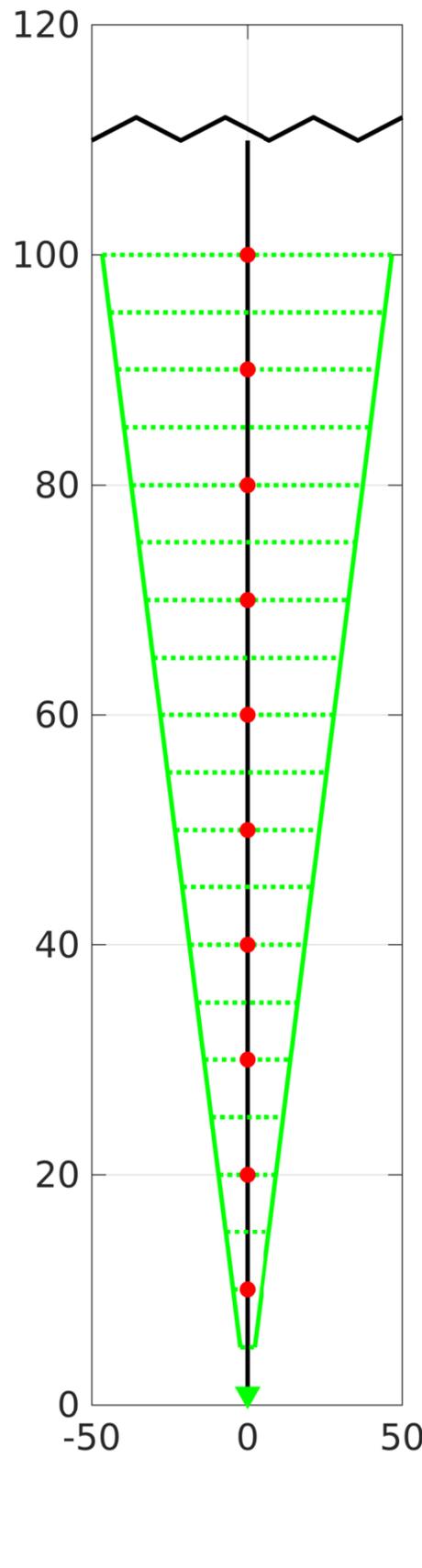
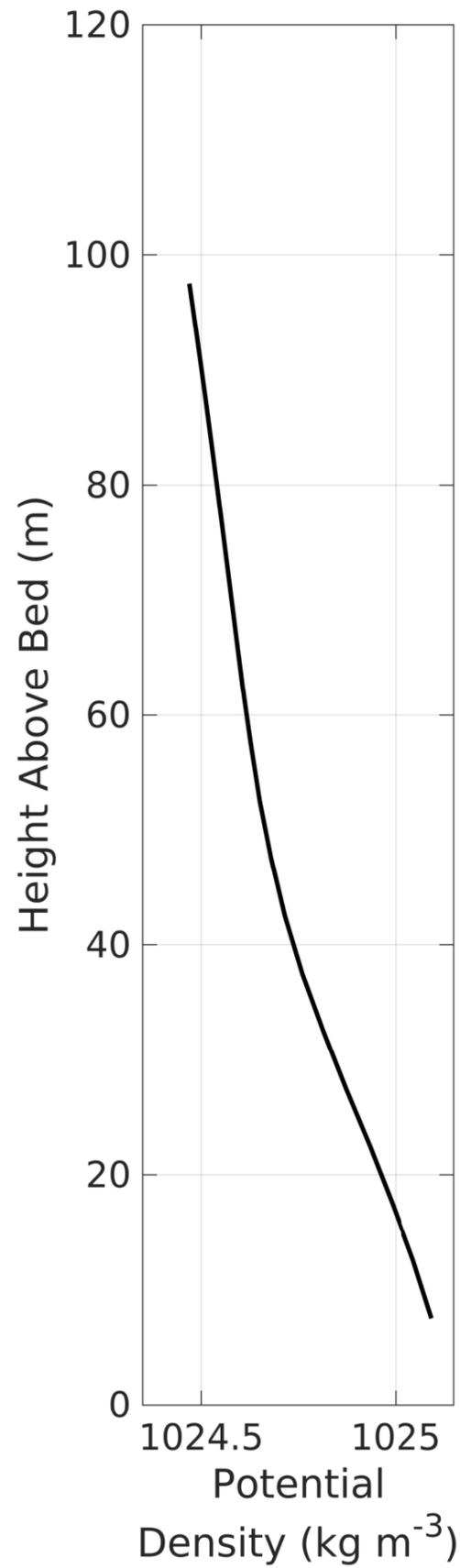


Figure 5.

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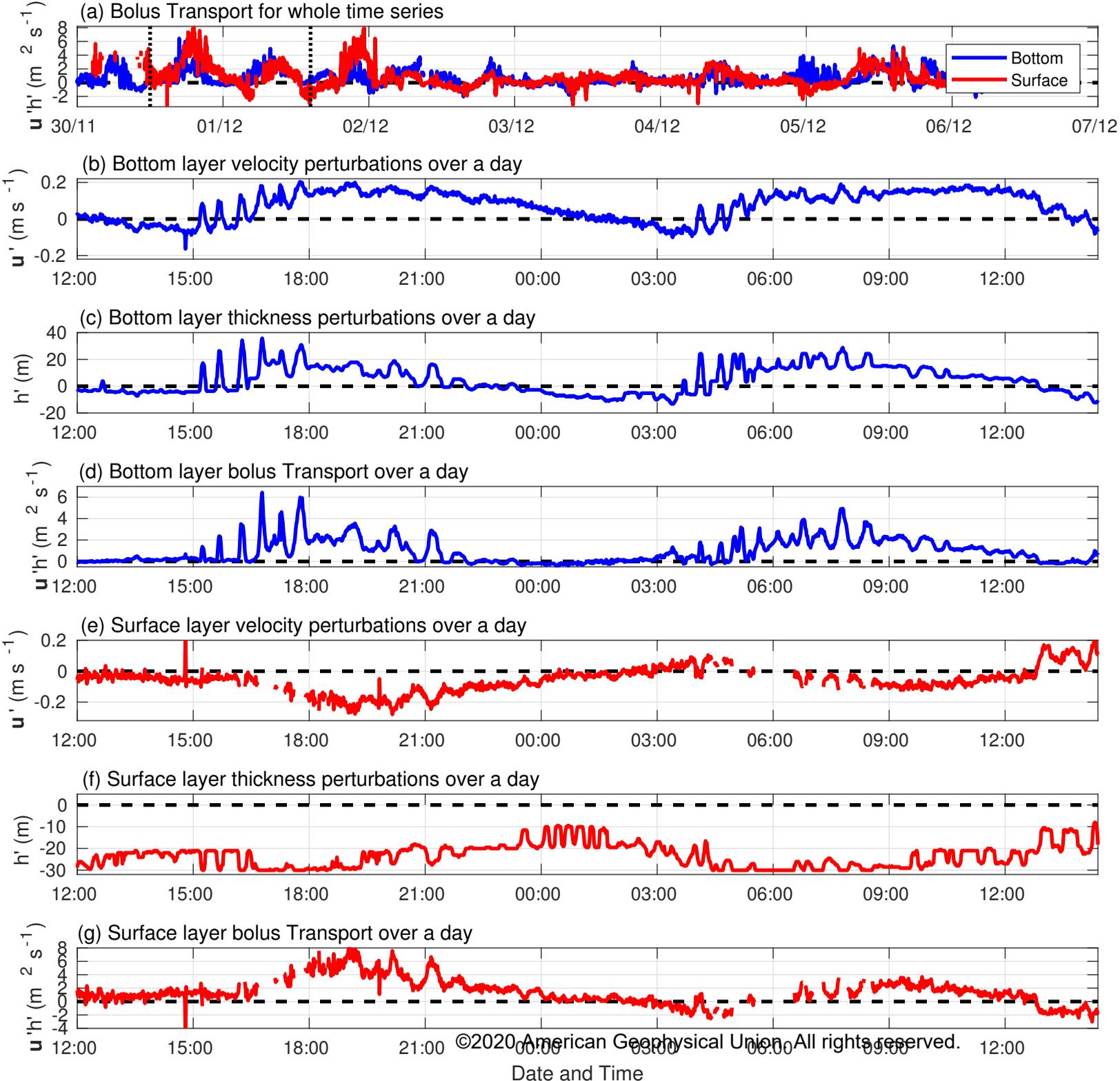


Figure 6.

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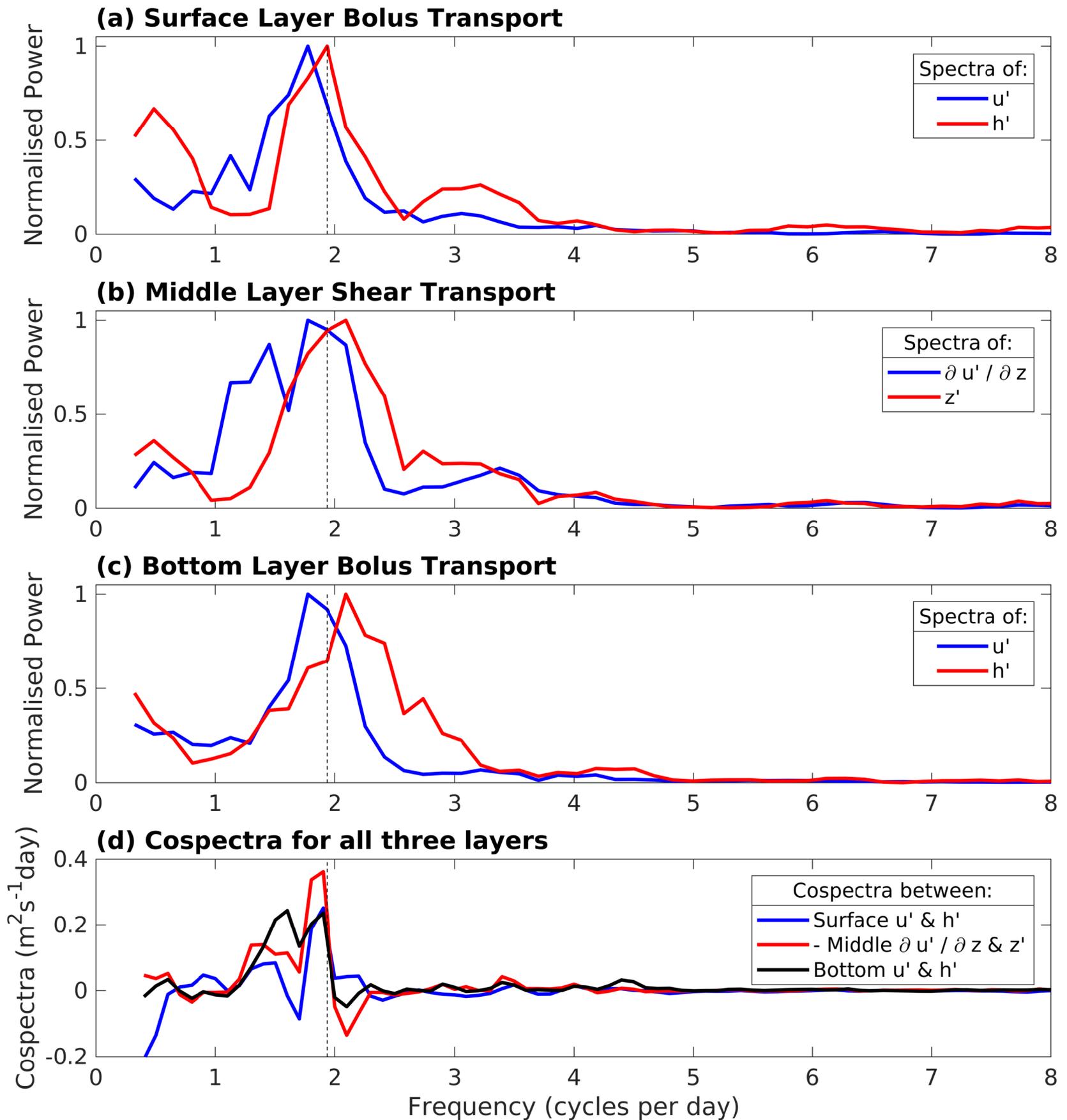


Figure 7.

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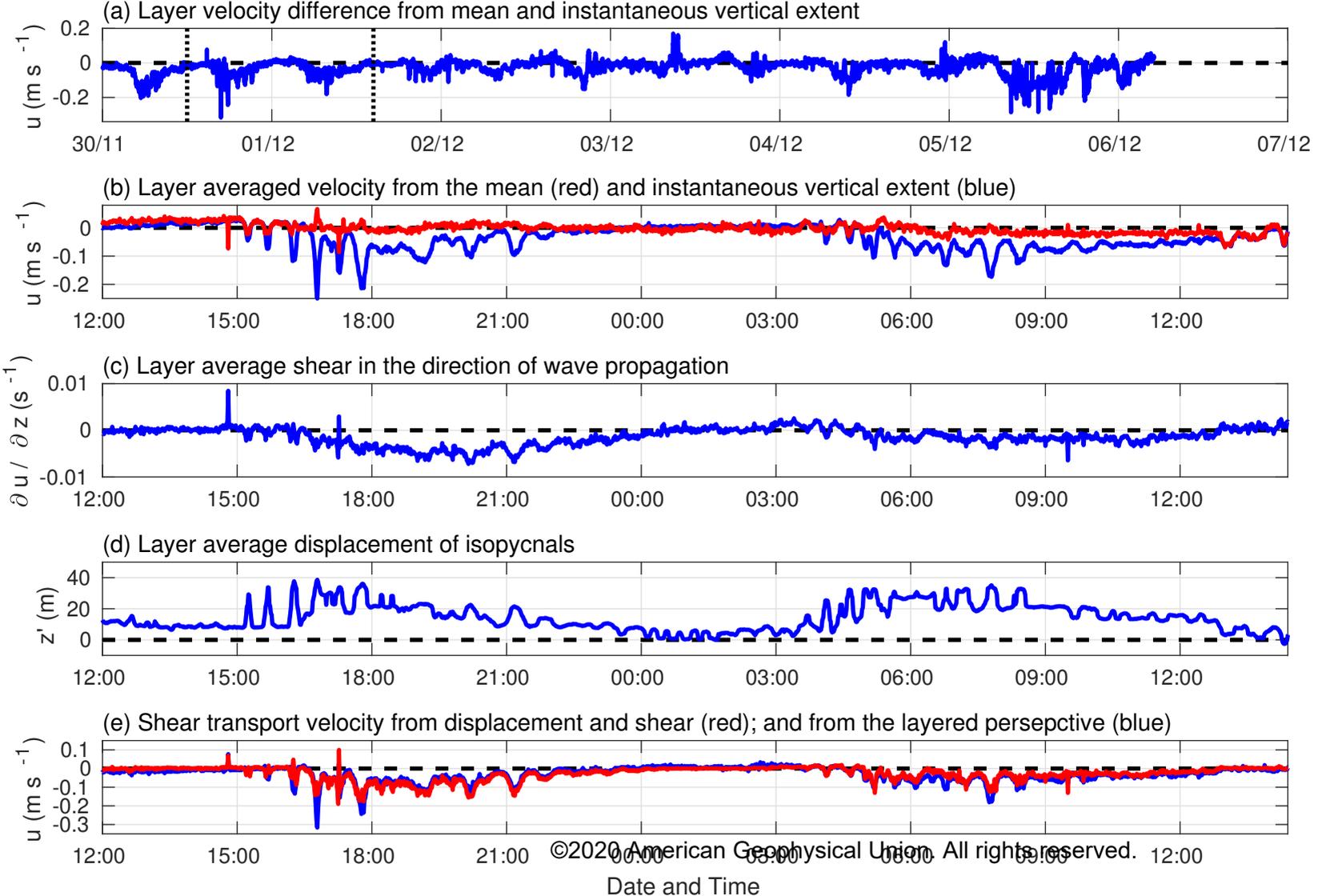
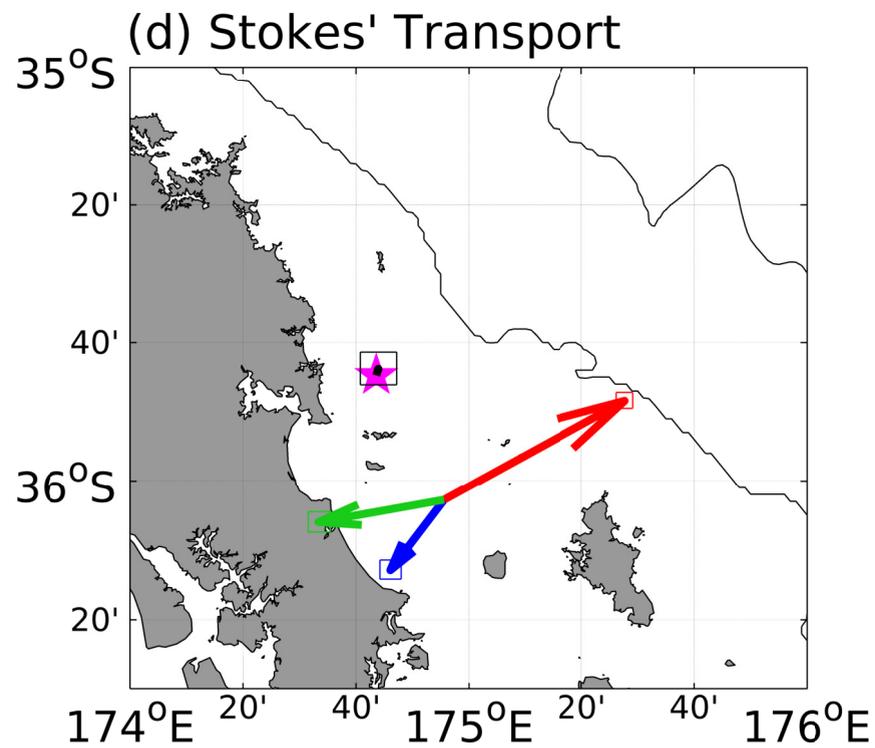
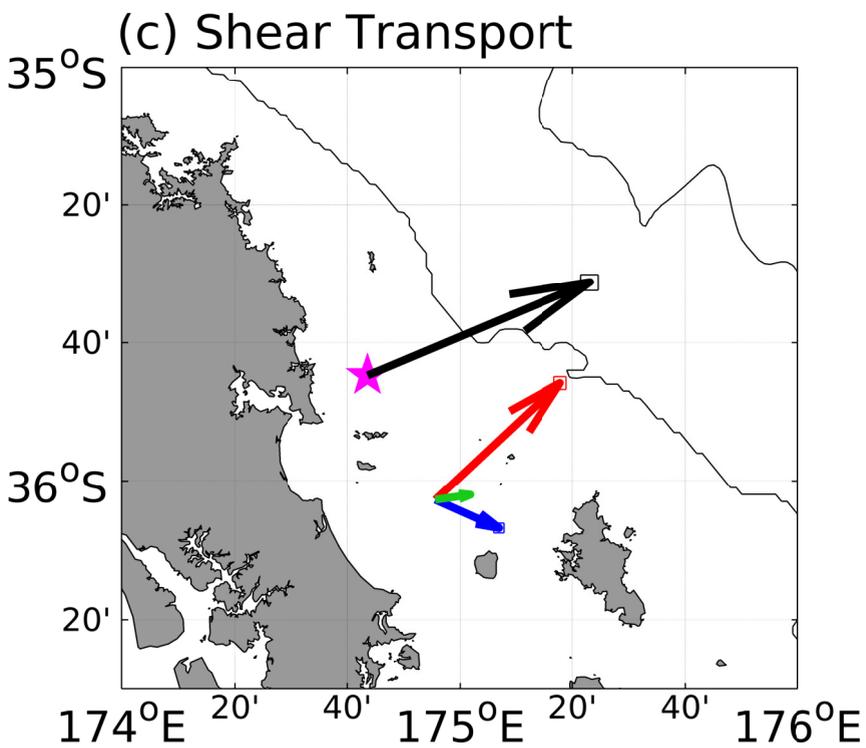
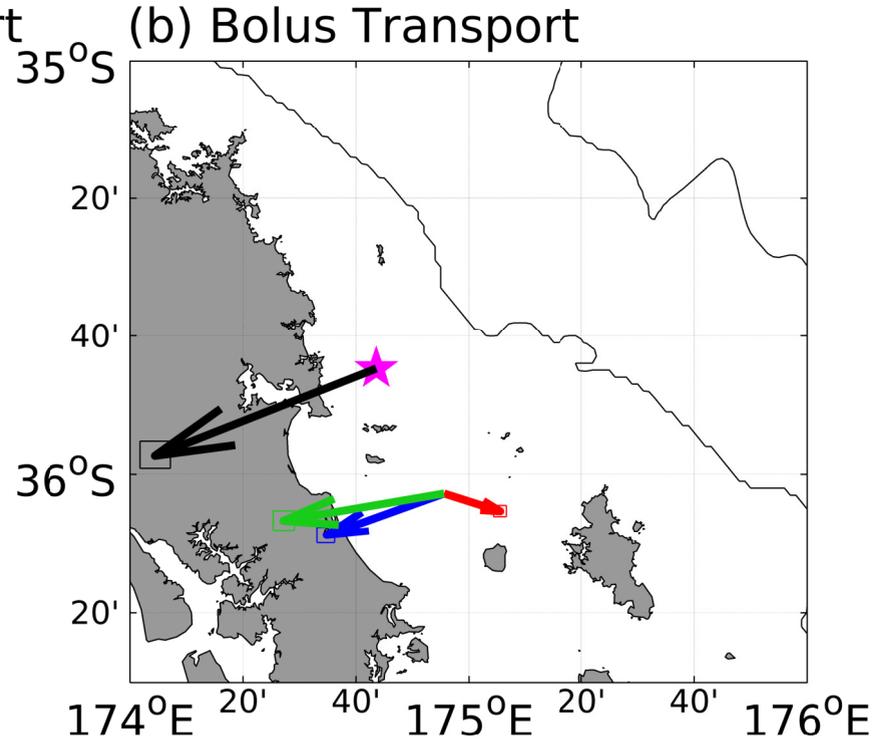
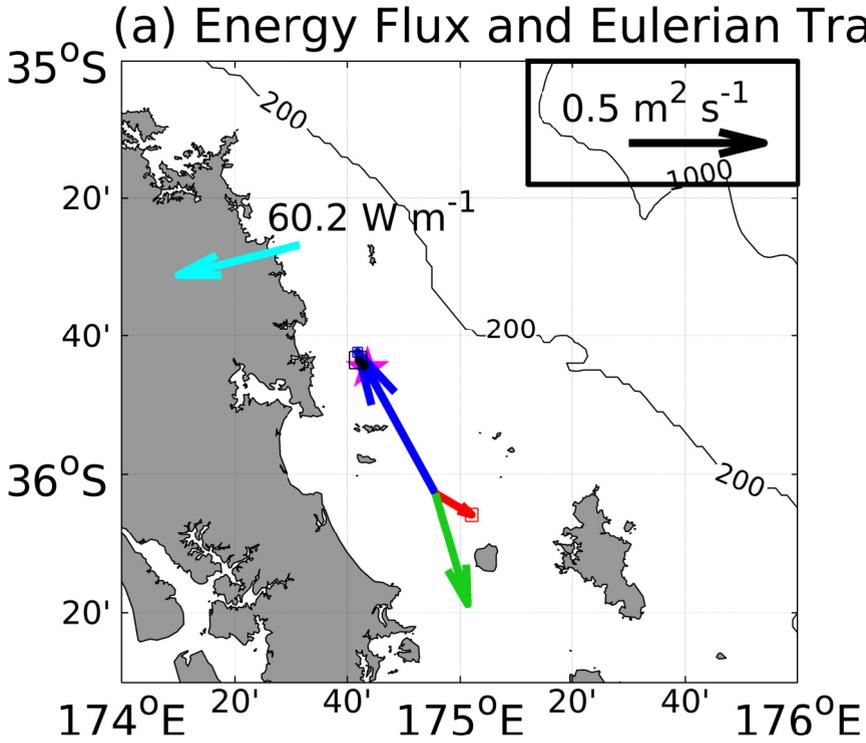


Figure 8.

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→ Surface 
 → Middle 
 → Bottom 
 → Total 
 → Energy

Figure 9.

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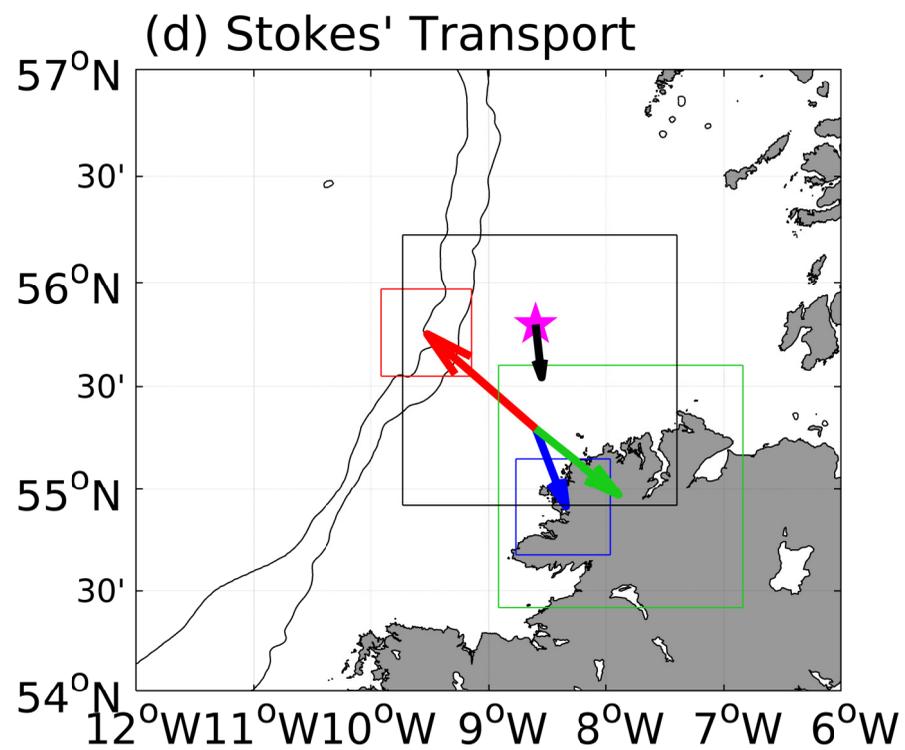
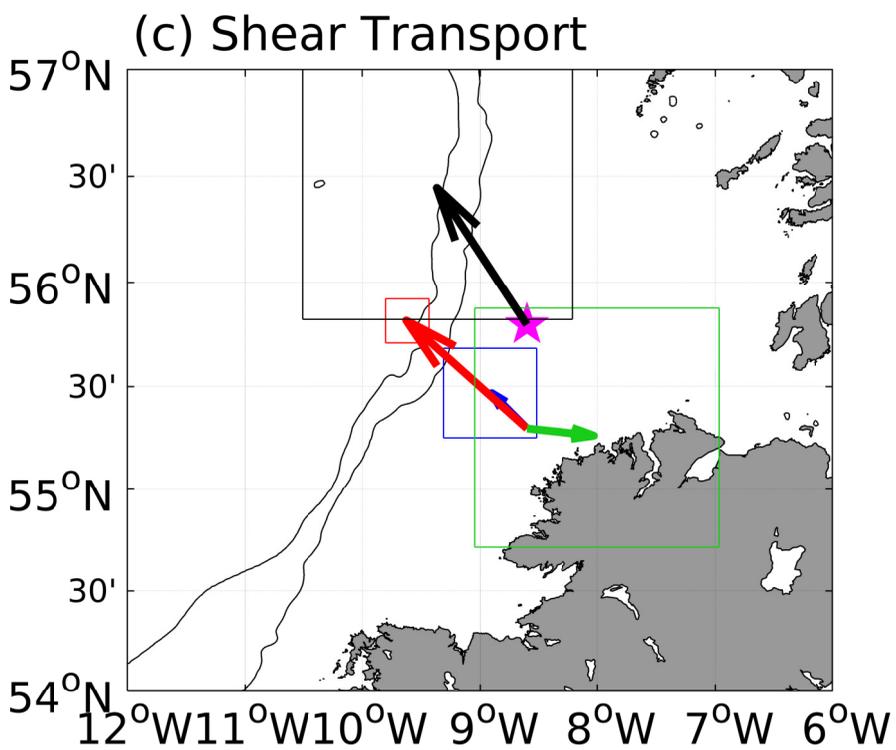
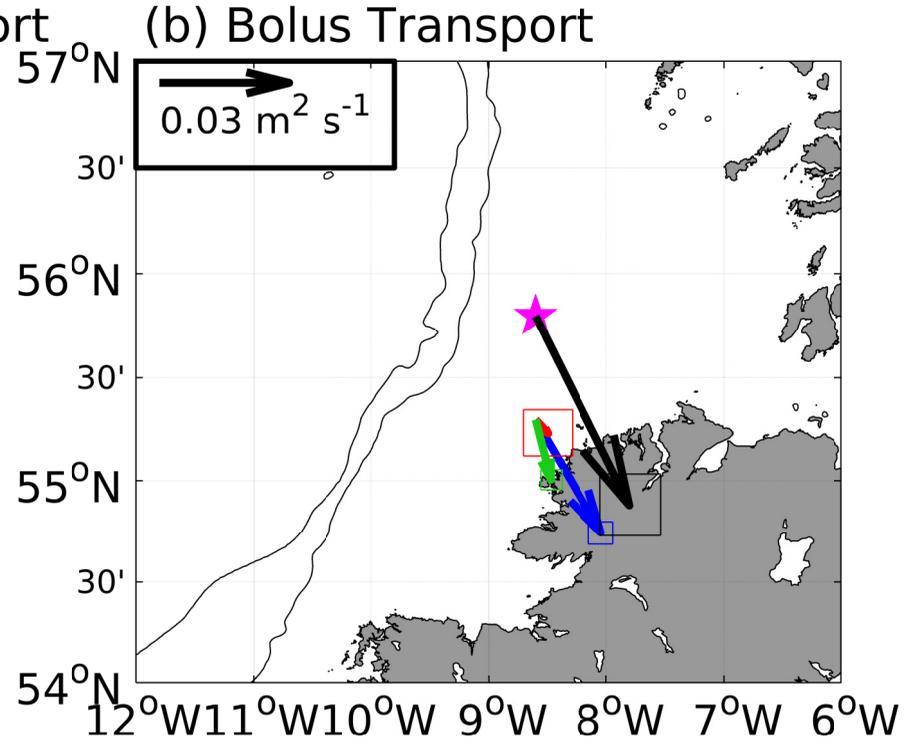
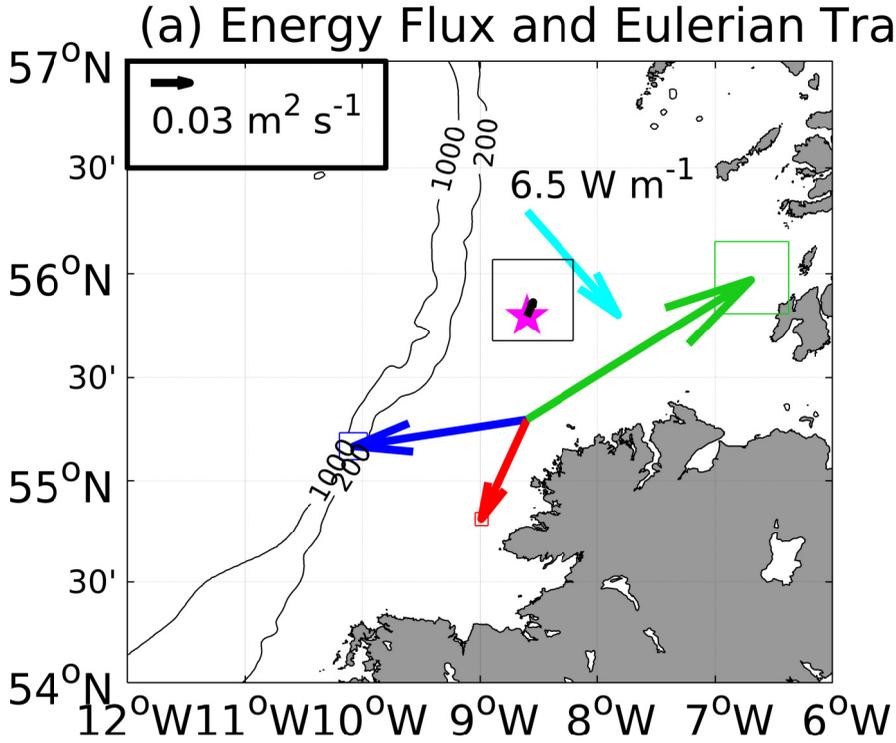
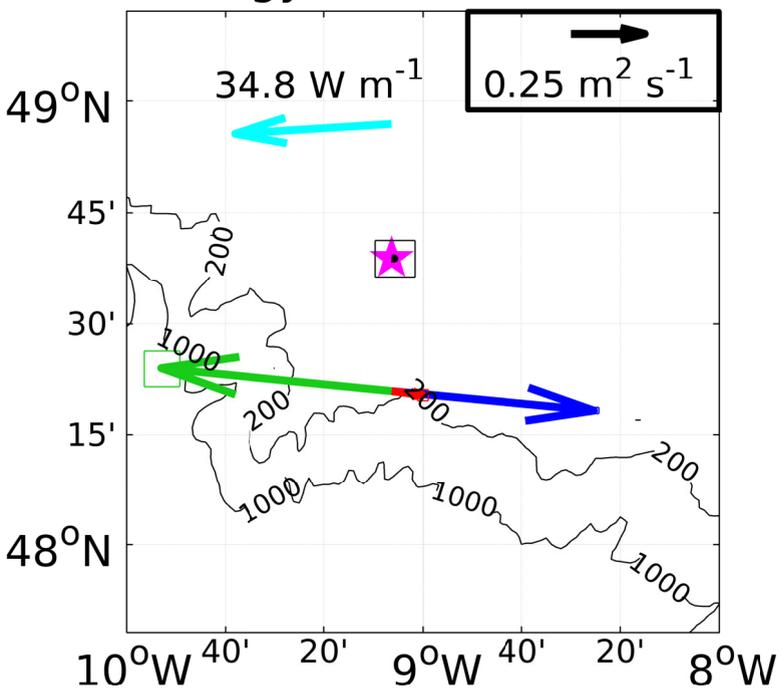


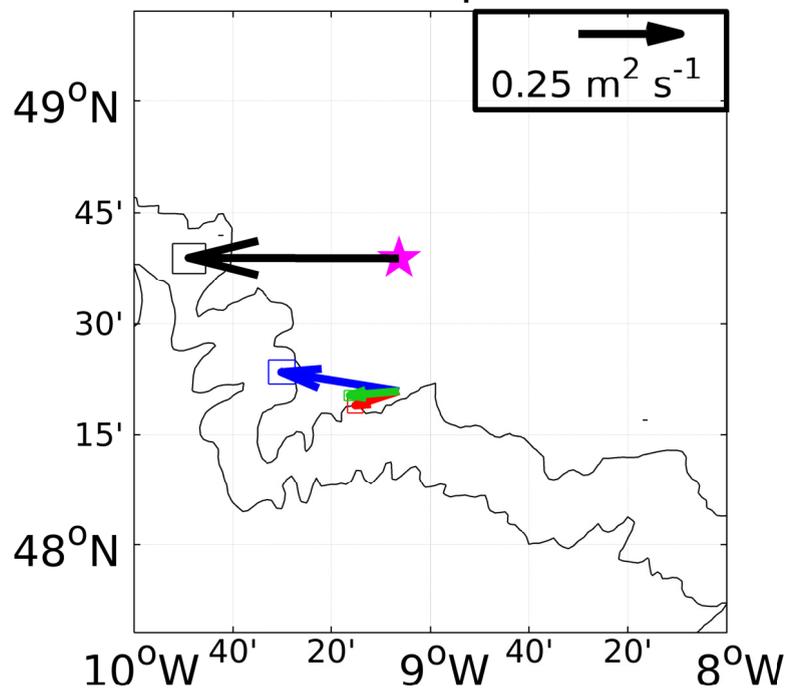
Figure 10.

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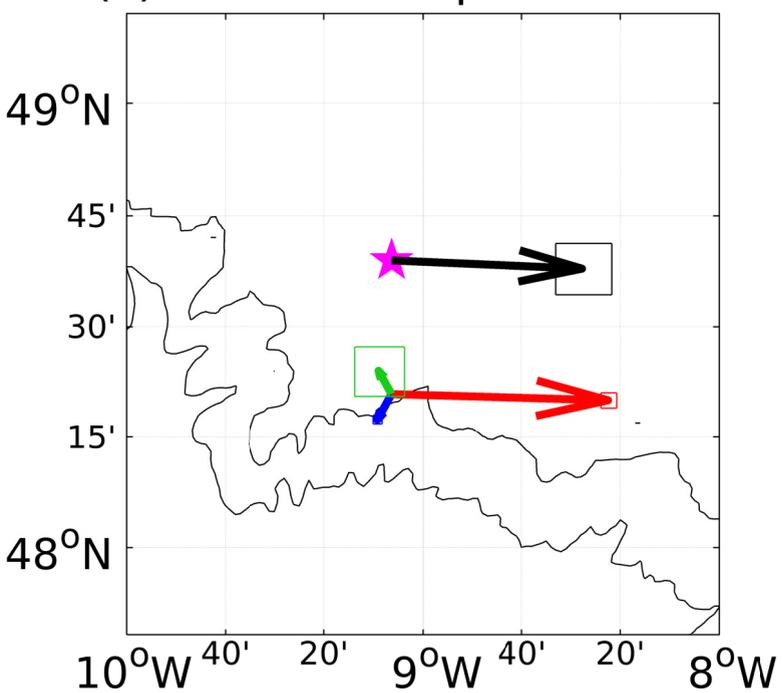
(a) Energy Flux and Eulerian Transport



(b) Bolus Transport



(c) Shear Transport



(d) Stokes' Transport

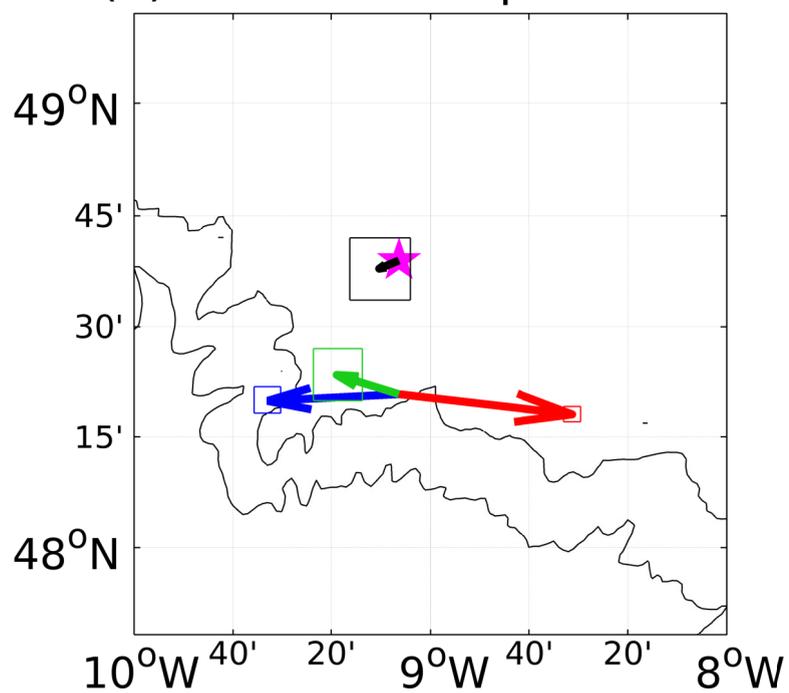
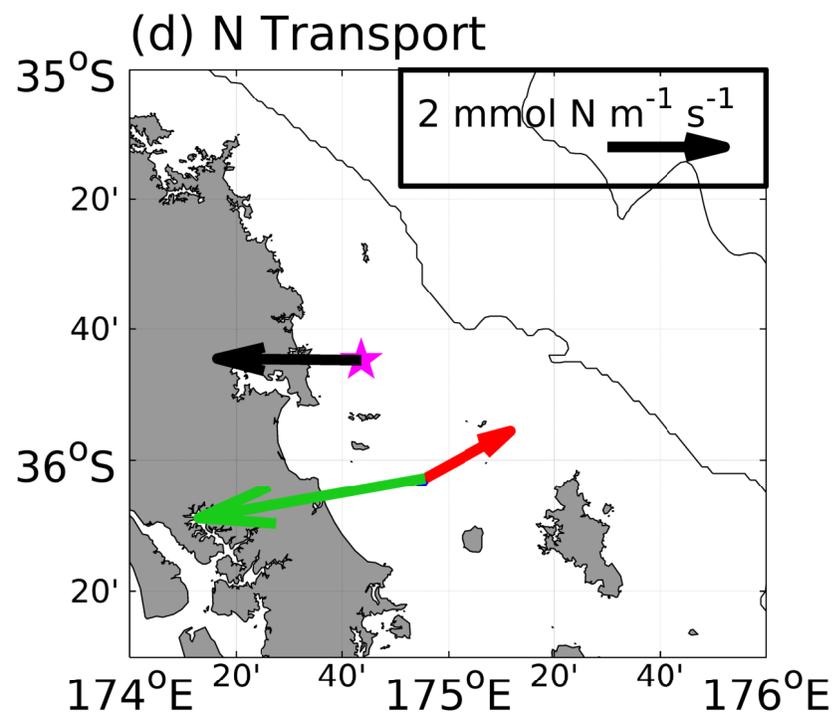
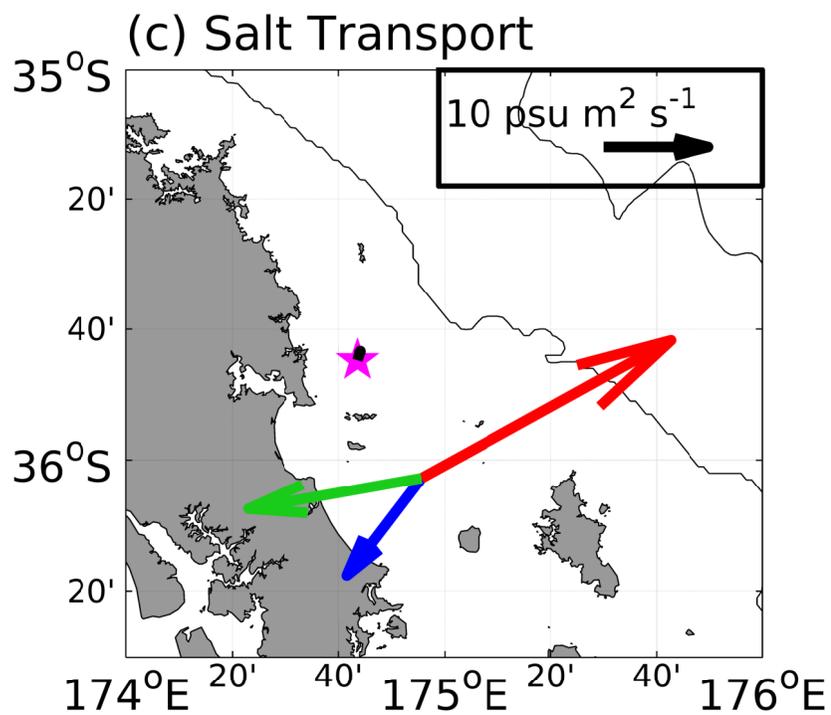
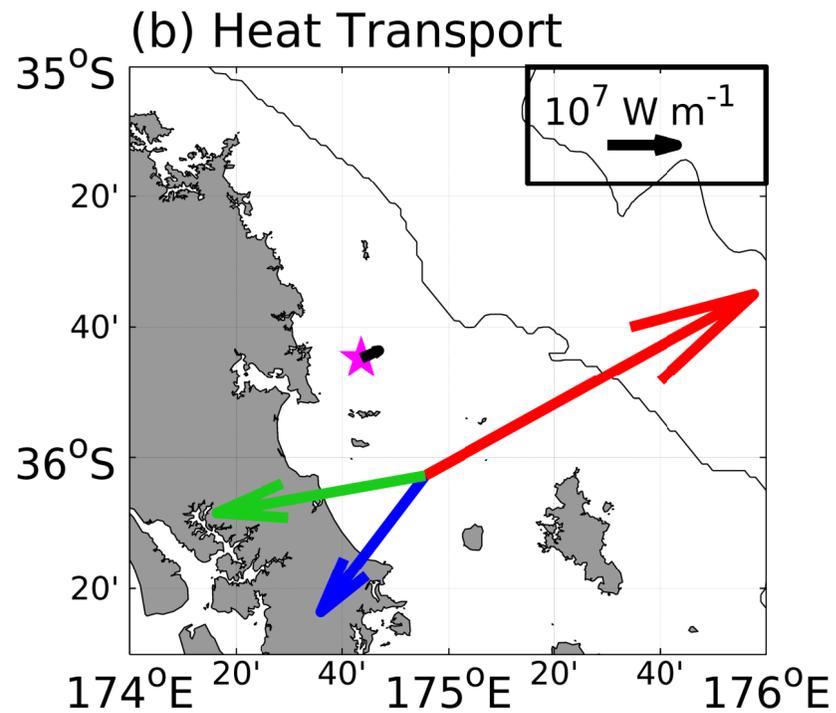
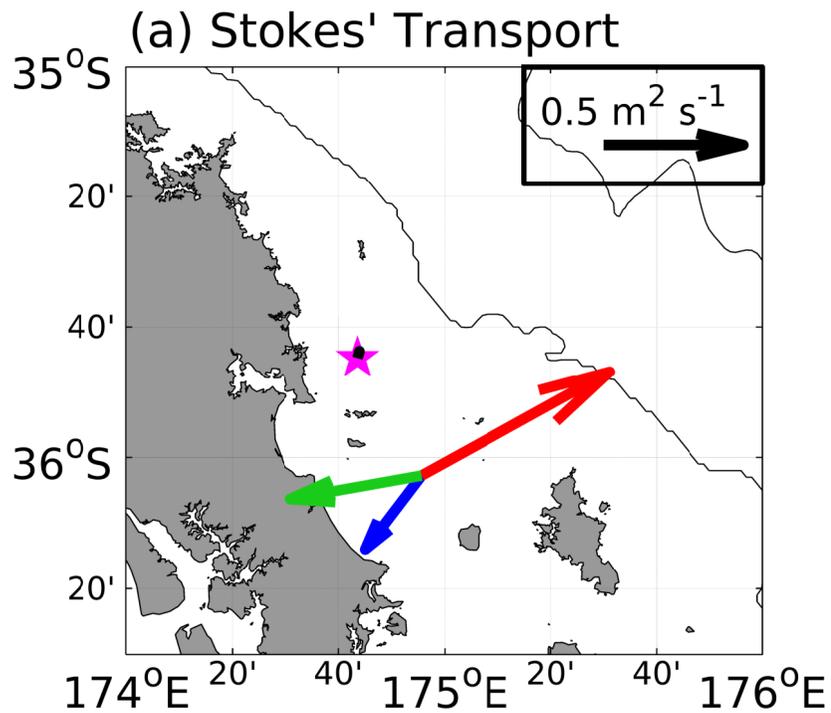


Figure 11.

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→ Surface 
 → Middle 
 → Bottom 
 → Total