

1 **Activity of  $\beta$ -lactam/taniborbactam (VNRX-5133) combinations against carbapenem-resistant**  
2 **Gram-negative bacteria**

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12 **Running head:** Taniborbactam as a  $\beta$ -lactamase inhibitor

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23 **Background.** Boronates are of growing interest as  $\beta$ -lactamase inhibitors. The only marketed  
24 analogue, vaborbactam, targets KPC carbapenemases, but taniborbactam (VNRX-5133, Venatorx) has  
25 a broader spectrum. **Materials and methods.** MICs of cefepime and meropenem were determined  
26 combined with taniborbactam or avibactam for carbapenem-resistant UK isolates.  $\beta$ -Lactamase  
27 genes and porin alterations were sought by PCR or sequencing. **Results.** Taniborbactam potentiated  
28 partner  $\beta$ -lactams against (i) Enterobacterales with KPC, other Class A, OXA-48-like, VIM and NDM  
29 (not IMP) carbapenemases and against (ii) Enterobacterales inferred to have combinations of ESBL or  
30 AmpC activity and impermeability. Potentiation of cefepime (the partner for clinical development) by  
31 taniborbactam was slightly weaker than by avibactam for Enterobacterales with KPC or OXA-48-like  
32 carbapenemases, but MICs of cefepime/taniborbactam were similar to those of  
33 ceftazidime/avibactam and the spectrum was wider. MICs of cefepime/taniborbactam nonetheless  
34 remained  $>8+4$  mg/L for 22-32% of NDM-producing Enterobacterales. Correlates of raised  
35 cefepime/taniborbactam MICs among these NDM Enterobacterales were: a cefepime MIC  $>128$  mg/L,  
36 particular sequence types, also, for *Escherichia coli* only: (i) the *bla*<sub>NDM</sub> variant (even though published  
37 data suggest all are inhibited similarly), (ii) inserts in PBP3, and (iii) raised aztreonam/avibactam MICs.  
38 Little or no potentiation of cefepime or meropenem was seen for *Pseudomonas aeruginosa* and  
39 *Acinetobacter baumannii* with MBLs, probably reflecting less uptake or more efflux. Potentiation of  
40 cefepime was seen for *Stenotrophomonas maltophilia* and *Elizabethkingia meningoseptica*, which  
41 have both chromosomal ESBLs and MBLs. **Conclusion.** Taniborbactam broadly reversed cefepime or  
42 meropenem non-susceptibility in Enterobacterales, less reliably for non-fermenters.

43

## 44 **Introduction**

45 Boronates have long been known to inhibit some  $\beta$ -lactamases, with this property used to identify  
46 AmpC enzymes,<sup>1</sup> and to purify them by affinity chromatography.<sup>2</sup> Recent interest has moved to using  
47 boronates as clinical  $\beta$ -lactamase inhibitors. One analogue, vaborbactam, has been licensed in  
48 combination with meropenem. Vaborbactam inhibits KPC and other Class A carbapenemases  
49 (IMI/NMC and SME), but not Class D (OXA) or metallo (Class B, IMP, NDM, VIM) types.<sup>3</sup> Consequently  
50 meropenem/ vaborbactam is most likely to find a niche in countries where KPC enzymes are the  
51 predominant carbapenemases – as in the Americas, Italy, Portugal, Greece and China.<sup>4</sup> Utility is less  
52 in the Middle East and in much of the rest of Europe, where OXA-48-like enzymes predominate in  
53 Enterobacterales, or in south Asia, where NDM-1 is the prevalent carbapenemase.<sup>5-7</sup> These limitations  
54 have stimulated a search for broader-spectrum boronates, leading, *inter alia*, to taniborbactam  
55 (formerly VNRX-5133, Venatorx, figure 1), which acts as an irreversible, covalent inhibitor of serine  
56  $\beta$ -lactamases and as a competitive inhibitor of MBLs.<sup>8,9</sup> We investigated the activity of taniborbactam  
57 combined with cefepime and meropenem against Gram-negative bacteria with a range of  
58  $\beta$ -lactamase types; cefepime is now favoured as a partner for clinical development.

59

## 60 **Materials and methods**

61 Two organism panels were used. The first comprised clinical Enterobacterales and non-fermenters  
62 selected to represent a diversity of carbapenemases and other modes of carbapenem resistance. The  
63 organisms were chosen from among those received by the PHE Antimicrobial Resistance and  
64 Healthcare Associated Infections (AMRHAI) Reference Unit, mostly from UK hospitals, between 2013  
65 and 2016. Bacterial identification was by MALDI-ToF; carbapenemase genes were characterised by  
66 PCR<sup>10</sup> or sequencing. Combinations of ESBL or AmpC and impermeability were inferred on the bases  
67 of isolates: (i) being resistant to ertapenem on EUCAST criteria and with an meropenem MIC >0.12  
68 mg/L,<sup>11</sup> (ii) showing synergy between oxyimino-cephalosporins and clavulanate 4 mg/L (ESBL

69 producers) or between cefotaxime and cloxacillin 100 mg/L (AmpC hyperproducers), and (iii) lacking  
70 detectable carbapenemase genes.

71 The second panel comprised 124 consecutively-referred *bla*<sub>NDM</sub>-positive Enterobacterales (29  
72 *Escherichia coli*, 82 *Klebsiella pneumoniae* and 13 *Enterobacter cloacae*) received in 2014 to 2015 – a  
73 period when AMRHAI routinely sequenced each new patient’s first carbapenemase-producing isolate.

74

#### 75 *Susceptibility testing*

76 MIC determinations were performed and interpreted according to CLSI agar dilution criteria.<sup>12,13</sup>

77 Taniborbactam, vaborbactam and avibactam were provided by Venatorx; cefepime and meropenem  
78 were provided by Venatorx for initial studies, but subsequently purchased from Alfa Aesar (Heysham,  
79 UK) and Sequoia Research Products (Pangbourne, UK) respectively; ceftazidime was purchased from  
80 Sigma (Poole, UK) and aztreonam from Alfa Aesar. Control organisms included throughout comprised  
81 *Escherichia coli* ATC 25922, *Pseudomonas aeruginosa* ATCC27853 and *Klebsiella pneumoniae* ATCC  
82 BAA-1705 (KPC). For the second panel we additionally included *K. pneumoniae* ATCC70060 (ESBL), also  
83 *E. coli* 113, *E. coli* RIC and *K. pneumoniae* BS047 – all with NDM carbapenemases, these were supplied  
84 by Venatorx and sourced by them from Dr Docquier and Nordmann. Synergy was taken as a  $\geq 8$ -fold  
85 reduction in MIC of the partner  $\beta$ -lactam in the presence of a  $\beta$ -lactamase inhibitor. Unless stated  
86 otherwise, taniborbactam and avibactam were used at a fixed 4 mg/L and vaborbactam at 8 mg/L.

87

#### 88 *Analysis of genomic sequences*

89 WGS was undertaken on an Illumina HiSeq instrument. Reads from each genome were assembled *de*  
90 *novo* and screened for antimicrobial resistance genes using Blast software and PHE’s in-house  
91 Genefinder bioinformatics pipeline.<sup>14</sup> Porin alterations and the presence of resistance determinants  
92 were confirmed using a mapping-based approach. Specifically, genes encoding the major porins  
93 OmpF and OmpC of *E. coli* and *Enterobacter* spp. and their homologues OmpK35 and OmpK36 in  
94 *Klebsiella* spp. were extracted and checked for alterations that introduced translational frameshifts or

95 premature stop codons. Similarly, the PBP3-encoding gene *ftsI* was extracted and examined for  
96 insertion sequences. Copy numbers of *bla*<sub>NDM</sub> were estimated by comparing sequencing read depths  
97 to those for the single-copy chromosomal genes, *gyrA* and *parC*.

98

## 99 **Results**

### 100 *MICs for isolates with diverse modes of carbapenem resistance*

101 MIC distributions of the taniborbactam combinations and their comparators for the first collection–  
102 i.e. Enterobacterales with various modes of carbapenem resistance – are shown in Table 1, with results  
103 for non-fermenters in Table 2. Taniborbactam itself lacked antibacterial activity against any species  
104 at 32 mg/L and achieved no potentiation or antagonism with cefepime or meropenem against control  
105 strains lacking resistance to these β–lactams (Tables 1 and 2).

106

### 107 *Carbapenem-resistant Enterobacterales*

108 At 4 mg/L, taniborbactam reduced the MICs of cefepime for isolates (n=41) with KPC carbapenemases  
109 from 4 - >128 mg/L to 0.03 – 2 mg/L and those of meropenem from 1->128 mg/L to ≤0.015-8 mg/L.  
110 MICs of cefepime/taniborbactam and meropenem/taniborbactam remained 2- to 4- fold above those  
111 of cefepime/avibactam and meropenem/avibactam, but were similar to those of  
112 ceftazidime/avibactam. Only four isolates with non-KPC Class A carbapenemases (IMI/NMC or SME  
113 types) were tested. These were susceptible to unprotected cefepime, with MICs of 0.06-0.5 mg/L.  
114 These values only reduced 2- to 4-fold by taniborbactam or avibactam 4 mg/L. MICs of meropenem  
115 were elevated to 8-64 mg/L and were reduced to 0.06-0.25 mg/L by either taniborbactam or  
116 avibactam at 4 mg/L, indicating that both β-lactamase inhibitors protected meropenem, but not  
117 cefepime, from these enzymes. Avibactam also potentiated ceftazidime against one isolate, which  
118 was inferred additional to have high-level AmpC enzyme activity, as it remained cefepime-susceptible.

119 Cefepime MICs for Enterobacterales with OXA-48-like enzymes (n=40) ranged from 0.25->128  
120 mg/L, with the wide range likely reflecting co-presence or not of ESBLs. This range fell and narrowed  
121 to 0.03-2 mg/L with taniborbactam 4 mg/L added and to 0.03-0.5 mg/L if avibactam 4 mg/L was added.  
122 MIC reductions were often  $\geq 64$ -fold for highly cefepime-resistant isolates but only 2- or 4-fold for  
123 isolates with cefepime MICs  $\leq 2$  mg/L, consistent with the view that the former group have  
124 (taniborbactam-inhibited) ESBLs and that the latter group lack these enzymes and that OXA-48 itself  
125 lacks appreciable activity against cefepime. Taniborbactam and avibactam also potentiated  
126 meropenem, typically by around 16-fold and 64-fold, respectively; nevertheless, 13/40  
127 meropenem/taniborbactam MICs remained  $>1$  mg/L and 5/40 were  $>4$  mg/L; corresponding  
128 proportions for meropenem/avibactam were 2/40 and 1/40, respectively.

129 Taniborbactam potentiated cefepime and meropenem against Enterobacterales with VIM and  
130 NDM MBLs, though not those with IMP enzymes. MICs of unprotected cefepime were 2->128 mg/L  
131 for Enterobacterales with VIM MBLs (excepting one anomalously low value of 0.5 mg/L). This range  
132 was reduced to 0.06-8 mg/L by taniborbactam 4 mg/L, with 37/40 values  $\leq 2+4$  mg/L. For unprotected  
133 meropenem the MIC range was 2-128 mg/L, reducing to  $\leq 0.015-4$  mg/L in the presence of  
134 taniborbactam 4 mg/L, with 37/40 of values  $\leq 1$  mg/L and with MIC reductions mostly  $>32$ -fold.  
135 Isolates with NDM carbapenemases were more resistant to unprotected  $\beta$ -lactams than those with  
136 VIM MBLs: MIC ranges were 32->128 and 8->128 mg/L for cefepime and meropenem, respectively.  
137 These MICs were reduced by taniborbactam: thus, 25/40 of the NDM-positive Enterobacterales were  
138 inhibited by cefepime/taniborbactam at 2+4 mg/L and 32/40 were inhibited at 8+4 mg/L. Proportions  
139 inhibited by meropenem/taniborbactam were 27/40 at 1+4 mg/L, rising to 35/40 at 4+4 mg/L.  
140 Avibactam often achieved some potentiation of cefepime, but not meropenem, against MBL  
141 producers; this is consistent with it inhibiting coproduced ESBLs but not the MBLs themselves.

142 Almost all isolates with inferred combinations of ESBL and impermeability were highly  
143 resistant to cefepime, with 17/20 MICs  $\geq 128$  mg/L; these values were reduced by taniborbactam, with

144 13/20 brought at least 64-fold lower to  $\leq 2+4$  mg/L and 18/20 to  $\leq 8+4$  mg/L. Potentiation was stronger  
145 with avibactam, which reduced all cefepime MICs to  $\leq 2+4$  mg/L. Meropenem MICs ranged from 0.12-  
146 16 mg/L, with 14/20 values  $>1$  mg/L; in all cases except one these values were reduced to  $\leq 1$  mg/L by  
147 either taniborbactam or avibactam at 4 mg/L.

148 MICs of cefepime ranged from 0.25-16 mg/L for the 20 isolates with inferred combinations of  
149 AmpC activity and impermeability; 9 values exceeded 2 mg/L, and 3 exceeded 8 mg/L. These MICs  
150 were reduced by the inhibitors, with 19/20 isolates inhibited by cefepime/taniborbactam at 2+4 mg/L  
151 and all 20 by cefepime/avibactam at 2+4 mg/L. MICs of meropenem ranged from 1-8 mg/L and, for  
152 19/20 isolates were reduced to  $\leq 1$  mg/L by either taniborbactam or avibactam.

153

#### 154 *Non-fermenters*

155 Cefepime MICs for *P. aeruginosa* isolates with VIM MBLs were 16- $>128$  mg/L and were reduced to  $\leq 8$   
156 mg/L by taniborbactam in 7/20 cases. For meropenem, 19/20 MICs were  $\geq 32$  mg/L and 6/20 were  
157 reduced to  $\leq 4$  mg/L by taniborbactam (Table 2). Cefepime/taniborbactam MICs against *P. aeruginosa*  
158 isolates with NDM or SPM carbapenemases remained  $>128$  mg/L irrespective of addition of  
159 taniborbactam. In the case of *A. baumannii* with NDM carbapenemases, meropenem was potentiated  
160 2- to 4-fold by taniborbactam but with no MICs reduced below 32+4 mg/L; cefepime was not usefully  
161 potentiated by avibactam against these NDM-positive isolates of *A. baumannii*. Avibactam did not  
162 potentiate partner  $\beta$ -lactams against *P. aeruginosa* or *A. baumannii* with any of these MBLs.

163 Taniborbactam commonly reduced the MICs of cefepime, though not meropenem, by one  
164 doubling dilution for *A. baumannii* isolates with OXA carbapenemase; nonetheless MICs of both  
165 combinations typically remained  $>8+4$  mg/L. avibactam reduced the modal MIC of meropenem by two  
166 doubling dilutions, but only to 16 mg/L.

167 More substantial interactions were seen for non-fermenters with chromosomal  
168 carbapenemases. Thus, MICs for unprotected cefepime for *Elizabethkingia meningoseptica* were 16-  
169 32 mg/L and were reduced to 2-8 mg/L by either taniborbactam or avibactam at 4 mg/L; MICs of  
170 unprotected meropenem for *E. meningoseptica* were 16-128 mg/L and were reduced to 4-16 mg/L by  
171 taniborbactam at 4 mg/L, but were little affected by avibactam. Cefepime MICs for *S. maltophilia*  
172 were reduced from 8-128 mg/L to 2-16 mg/L by either taniborbactam or avibactam at 4 mg/L but MICs  
173 of meropenem were unaffected by either inhibitor.

174

#### 175 *MIC ranges for Enterobacterales with NDM carbapenemases*

176 In the second part of this study we tested 124 genomically-sequenced Enterobacterales with NDM  
177 carbapenemases, as consecutively received by the reference service. The organisms were clonally  
178 diverse. They comprised 82 *Klebsiella* spp., 29 *E. coli* and 13 *Enterobacter* spp. MIC distributions for  
179 cefepime and cefepime/taniborbactam resembled the earlier results: thus 89/124 (71.8%) isolates  
180 were inhibited by cefepime/taniborbactam at 8+4 mg/L (Table 3) as compared with 32/40 (80%) of  
181 the NDM-positive Enterobacterales in the first series (Table 1). The proportion susceptible to  
182 cefepime 8 mg/L rose to 79.8% if the taniborbactam concentration was raised from 4 to 8 mg/L. More  
183 isolates (87.9% versus 71.8%) were inhibited by aztreonam/avibactam at 8+4 mg/L than cby  
184 efepime/taniborbactam, whereas resistances to meropenem/vaborbactam 8+8 mg/L and  
185 ceftazidime/ avibactam 8+4 mg/L were near universal. Notably, the isolates with  
186 cefepime/taniborbactam MICs >8+4 mg/L were predominantly were *E. coli* (15/29) rather than  
187 *Klebsiella* spp. (19/82) and *Enterobacter* spp. (1/13).

188 Regardless of species, the clearest correlate ( $p < 0.001$ ) of a cefepime/taniborbactam MIC >8+4  
189 mg/L was a cefepime MIC >128 mg/L (Table 4). On the other hand, there was no general association  
190 to lesions in porin genes nor to *bla*<sub>NDM</sub> gene copy number. For *E. coli* only, there were associations  
191 between a cefepime/taniborbactam MIC >8+4 mg/L and an aztreonam/avibactam MIC >8+4 mg/L ( $p$



192 <0.001) also with (i) carriage of *bla*<sub>NDM-5</sub> or *bla*<sub>NDM-7</sub> rather than *bla*<sub>NDM-1</sub> and (ii) with the presence  
193 (always in isolates that had NDM-5 or -7 rather than NDM-1) of Tyr-Arg-Ile-Asn/Pro insertions at  
194 amino-acid 334 of penicillin-binding protein (PBP)3. Both these traits were only seen among the  
195 isolates with cefepime/taniborbactam MICs >8+4 mg/L but were not universal among them: in  
196 particular only 4/15 NDM isolates with cefepime/taniborbactam MICs >8+4 mg/L had PBP3 insertions  
197 and, complicating analysis, all these also had NDM-5 or -7 MBLs. Nine sequence types (STs) were  
198 represented among the 15 *E. coli* isolates with cefepime/taniborbactam MICs >8+4 mg/L, with ST167,  
199 410 and 648 each having three or four representatives; ST167 – always with NDM-5 or -7 but without  
200 the PBP3 insert – had no representatives with cefepime/taniborbactam ≤8+4 mg/L.

201         Only NDM-1 carbapenemase was seen in the 82 *K. pneumoniae* isolates and, unlike for *E. coli*,  
202 there was no association between cefepime/taniborbactam MICs >8+4 mg/L, seen for 19 isolates, and  
203 aztreonam/avibactam MICs >8+4 mg/L, which were seen for only two isolates. PBP3 remained  
204 unaltered and there was no clear association between resistance and porin changes. There was a weak  
205 statistical association ( $p < 0.05$ ) between co-carriage of *bla*<sub>CTX-M</sub> and cefepime/taniborbactam MIC >8+4  
206 mg/L, nevertheless *bla*<sub>CTX-M</sub> was also present in more than half the *Klebsiella* isolates with  
207 cefepime/taniborbactam MICs ≤8+4 mg/L. Eight STs were represented among the 19 *Klebsiella*  
208 isolates with cefepime/taniborbactam MICs >8+4 mg/L, with 10, from seven centres, belonging to  
209 ST14, which only had one representative with cefepime/taniborbactam MICs ≤8+4 mg/L. Among the  
210 13 *E. cloacae* isolates there was only one with a cefepime/taniborbactam MIC >8+4 mg/L. Perhaps of  
211 note, this isolate was the only one among the 13 with an aztreonam/avibactam MIC >8+4 mg/L, and  
212 it had insertion of an additional Glu residue at position 258 of PBP3.

213

## 214 **Discussion**

215 Taniborbactam irreversibly inhibits serine β-lactamases and competitively inhibits MBLs.<sup>8</sup> We showed  
216 that this behaviour is reflected in antibacterial activity. At 4 mg/L, it lowered the MICs of cefepime and

217 meropenem for Enterobacterales with all carbapenemases except IMP types and for those with  
218 carbapenem resistance inferred due to combinations of impermeability with AmpC or ESBL activity.  
219 Cefepime/taniborbactam - the combination now in clinical development - had lower MICs than  
220 meropenem/taniborbactam for Enterobacterales with OXA-48-like carbapenemases, probably  
221 because cefepime is stable to OXA-48-like enzymes, meaning that the critical requirement is to inhibit  
222 co-produced ESBLs, not OXA-48 itself, as for meropenem/taniborbactam. Although avibactam  
223 achieved 2- to 4-fold greater potentiation of cefepime than taniborbactam for Enterobacterales with  
224 several enzyme types (e.g. KPC and OXA-48), MICs of cefepime/ taniborbactam for these groups were  
225 as low as for ceftazidime/avibactam, reflecting the greater potency of cefepime than ceftazidime.

226         Spectrum gaps nonetheless remain. Lack of coverage of IMP MBLs has been remarked already.  
227 This is a limitation but IMP MBLs are rarer than VIM and NDM types.<sup>4-7</sup> Secondly, potentiation was  
228 weak or absent for *P. aeruginosa* with MBLs and for *A. baumannii* with NDM or OXA enzymes - a less  
229 encouraging result than on recent (2018-2019) global surveillance by broth microdilution, which found  
230 that cefepime/taniborbactam 8+4 mg/L inhibited 63.5% (33/52) of MBL *P. aeruginosa*.<sup>15</sup> Thirdly, 20-  
231 30% of Enterobacterales with NDM carbapenemases evaded cefepime/taniborbactam at 8+4 mg/L, a  
232 higher proportion than the 6/38 (14%) found for globally-collected NDM-positive Enterobacterales.<sup>16</sup>

233         Greater potentiation against Enterobacterales than *P. aeruginosa* and *A. baumannii* with  
234 MBLs probably likely reflects the non-fermenters' greater impermeability and, at least for *P.*  
235 *aeruginosa*, greater efflux.<sup>17,18</sup> In the same context, although no useful potentiation of partners was  
236 seen here for *P. aeruginosa* with SPM-1 enzyme, resistance mediated by this MBLs was reversed when  
237 it was cloned into *E. coli*.<sup>[9]</sup> Lack of potentiation against *A. baumannii* with OXA carbapenemases may  
238 reflect limited uptake or failure to inhibit these enzymes.

239         The behaviour of the non-fermenter species with chromosomal carbapenemases reflected  
240 their known  $\beta$ -lactamase profiles: *E. meningoseptica*. have multiple chromosomal  $\beta$ -lactamases  
241 including BlaB, a strain-variable MBL, and a chromosomal ESBL.<sup>19,20</sup> Taniborbactam potentiated both

242 meropenem and cefepime, whereas avibactam potentiated only cefepime, results compatible with  
243 both the ESBL and BlaB being inhibited by taniborbactam whereas avibactam inhibits only the ESBL.  
244 For *S. maltophilia*, resistance to  $\beta$ -lactams involves the L-1 MBL and L-2, a class A cephalosporinase.<sup>21</sup>  
245 MICs of cefepime were generally reduced 4-8-fold by both taniborbactam and avibactam whereas  
246 MICs of meropenem were little affected by either inhibitor; we infer that both taniborbactam and  
247 avibactam inhibit the cefepime-hydrolysing L-2 enzyme, but not the L-1 MBL.

248 Higher MICs of taniborbactam combinations for Enterobacterales with NDM rather than VIM  
249 MBLs may reflect NDM enzymes (i) being inhibited less well;<sup>8</sup> (ii) being expressed more strongly and/or  
250 (iii) having greater substrate affinity, protecting against inhibition. These possibilities deserve future  
251 investigation. More immediately, we explored reasons for MIC variation in a collection of 124  
252 consecutively-referred and genomically-sequenced Enterobacterales with NDM MBLs.  
253 Cefepime/taniborbactam MICs for 35 of these (15/29 *E. coli*, 19/82 *K. pneumoniae* and 1/13 *E.*  
254 *cloacae*) exceeded 8+4 mg/L. We failed to find a single universal correlate of raised  
255 cefepime/taniborbactam MICs but, for *E. coli*, did associate these with raised MICs also for  
256 aztreonam/avibactam, with carriage of NDM-5 or -7, with isolates belonging to ST167, and with the  
257 presence of a Tyr-Arg-Ilu-Pro/Asn insert in PBP3.<sup>22,23</sup> The last trait, though seen for only 4/15  
258 representatives provides the clearest explanation of reduced activity, being known to be reduce  
259 affinity for  $\beta$ -lactams, including cefepime, that target this PBP; it was also recorded for *E. coli* isolates  
260 with elevated cefepime/taniborbactam MICs from China.<sup>24</sup> The apparent association with NDM-5 and  
261 -7 enzymes is more doubtful. Four isolates with these enzymes and raised cefepime/taniborbactam  
262 MICs also had the PBP3 insert providing an alternative explanation for their behaviour. Moreover,  
263 aztreonam/avibactam MICs were also raised, yet aztreonam evades NDM-5 and -7 enzymes.<sup>25,26</sup>  
264 Lastly, taniborbactam is able to protect cefepime for *E. coli* with cloned, and identically expressed,  
265 NDM-1, -5 and -7 enzymes,<sup>9</sup> implying that these enzymes are similarly inhibited by the boronate. It  
266 remains possible that NDM-5 or -7 enzymes tend to be more strongly expressed.

267 A combination of OmpF mutations and a single amino-acid insertion in PBP3 may explain  
268 raised cefepime/taniborbactam and aztreonam/avibactam MICs for the sole *E. cloacae* with these  
269 traits, but confirmation with more isolates evidently is needed. For *K. pneumoniae*, we found no  
270 convincing correlates of reduced susceptibility: all 19 isolates with cefepime/taniborbactam MIC >8+4  
271 mg/L had NDM-1 enzymes, wild-type PBP3 and, with a solitary exception, were inhibited by  
272 aztreonam/avibactam ≤8+4 mg/L. Ten, from seven hospitals, belonged to ST14 versus only 1/63 that  
273 were inhibited by cefepime/taniborbactam at 8+4 mg/L. Whilst this association is statistically  
274 significant (p <0.001, Chi Square test) we caution that ST14 is a frequent *K. pneumoniae* type known  
275 to acquire MBLs repeatedly and independently.<sup>27</sup> We cannot exclude novel mechanisms, not  
276 represented in the Genefinder bioinformatic database.

277 These uncertainties may be elucidated by future mutant, transconjugant and laboratory  
278 mutant studies. What is nonetheless clear is that taniborbactam has a broader spectrum of direct  
279 inhibition than any other β-lactamase inhibitor presently in use or in Phase III. Except for isolates  
280 with IMP MBLs, cefepime/taniborbactam has similarly extensive coverage against carbapenem-  
281 resistant Enterobacterales as (i) combinations employing triple-action diazabicyclooctanes,<sup>28-30</sup> (ii)  
282 aztreonam/avibactam,<sup>31</sup> or (iii) carbapenemase-relatively-stable molecules such as cefiderocol<sup>32</sup> and  
283 BOS-228 (LYS-228)<sup>33</sup>. Coverage was more limited against non-fermenters. Only clinical experience  
284 will reveal which approach provides the best spectrum answer to the carbapenemase challenge; what  
285 is encouraging is that multiple different potential remedies are now in development.

286

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289

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405 **Table 1.** MICs of taniborbactam and avibactam combinations for Enterobacterales, according to  $\beta$ -lactamase type

	Categorisation based on partner $\beta$ -lactam <sup>a</sup>			No. isolates with indicated MIC (mg/L)														
	S	I/SDD	R	≤0.015	0.03	0.06	0.12	0.25	0.5	1	2	4	8	16	32	64	128	>128
<b>Controls (n=30: 10 <i>E. coli</i>, 10 <i>Enterobacter spp.</i>, 10 <i>K. pneumoniae</i>)</b>																		
<b>Cefepime</b>	100	0	0	3	11	13	3											
<b>+ Tani 4 mg/L</b>	100	0	0	2	12	14	2											
<b>+ Avi 4 mg/L</b>	100	0	0	7	12	8	2	1										
<b>Meropenem</b>	100	0	0	8	16	3		3										
<b>+ Tani 4 mg/L</b>	100	0	0	13	14	2		1										
<b>+ Avi 4 mg/L</b>	100	0	0	25	5													
<b>Ceftazidime</b>	100	0	0			1	2	12	13	2								
<b>+ Avi 4 mg/L</b>	100	0	0		3	1	7	14	5									
<b>KPC carbapenemases (n=41: 10 <i>E. coli</i>, 10 <i>Enterobacter spp.</i>, 21 <i>K. pneumoniae</i>)</b>																		
<b>Cefepime</b>	0	41.5	58.5									6	11	4	3	5	8	4
<b>+ Tani 4 mg/L</b>	100	0	0		8	12	5	4	8	3	1							
<b>+ Avi 4 mg/L</b>	100	0	0	9	11	7	2	10	2									
<b>Meropenem</b>	2.4	7.3	90.2							1	3	10	10	3	3	2	5	4
<b>+ Tani 4 mg/L</b>	92.7	2.4	4.9	2	21	4	3		4	4	1	1	1					
<b>+ Avi 4 mg/L</b>	100	0	0	22	7		5	2		5								
<b>Ceftazidime</b>	0	7.3	92.7										3	8	10	4	2	14
<b>+ Avi 4 mg/L</b>	95.1	4.9	0					6	14	11	4	4	2					



<b>IMI/NMC/SME carbapenemase (n=4: 3 <i>Enterobacter</i> spp. with IMI enzymes; 1 <i>Serratia marcescens</i> with SME-1)</b>																		
Cefepime	100	0	0			1	1		2									
+ Tani 4 mg/L	100	0	0		1	1	1	1										
+ Avi 4 mg/L	100	0	0		1		3											
Meropenem	0	0	100										1		2	1		
+ Tani 4 mg/L	100	0	0			1	2	1										
+ Avi 4 mg/L	100	0	0			2	2											
Ceftazidime	75.0	0	25.0						2		1				1			
+ Avi 4 mg/L	100	0	0						2	1	1							
<b>OXA-48 carbapenemases (n=40: 10 <i>E. coli</i>, 10 <i>Enterobacter</i> spp., 20 <i>K. pneumoniae</i>)</b>																		
Cefepime	50	12.5	37.5					7	2	7	4	2	3	1	5	2	4	3
+ Tani 4 mg/L	100	0	0		2	9	6	9	6	5	3							
+ Avi 4 mg/L	100	0	0		7	13	8	5	7									
Meropenem	17.5	32.5	50				1			6	13	5	1	5	4	2	3	
+ Tani 4 mg/L	67.5	12.5	20			6	13	1	5	2	5	3	4	1				
+ Avi 4 mg/L	95.0	2.5	2.5	3	14	8	5	1	2	5	1	1						
Ceftazidime	60	5.0	35.0					2	7	3	7	5	2		1	3	6	4
+ Avi 4 mg/L	100	0	0				3	11	15	11								
<b>NDM carbapenemases (n=40: 10 <i>E. coli</i>, 10 <i>Enterobacter</i> spp., 20 <i>K. pneumoniae</i>)</b>																		
Cefepime	0	0	100												2	11	8	19
+ Tani 4 mg/L	62.5	17.5	20					1	12	2	10	6	1	1	2	4		1
+ Avi 4 mg/L	2.5	2.5	95.0						1				1	3	6	10	7	12

<b>Meropenem</b>	2.5	0	97.5			1						1	6	6	16	8	2	
<b>+ Tani 4 mg/L</b>	67.5	17.5	15.0		1			15	4	7	7	1	3				2	
<b>+ Avi 4 mg/L</b>	2.5	0	97.5	1								1	3	9	8	13	3	2
<b>Ceftazidime</b>	0	0	100														40	
<b>+ Avi 4 mg/L</b>	2.5	0	97.5									1					39	
<b>VIM carbapenemases (n=40: 10 <i>E. coli</i>, 10 <i>Enterobacter spp.</i>, 20 <i>K. pneumoniae</i>)</b>																		
<b>Cefepime</b>	15.0	27.5	57.5						1		5	7	4	6	5	6	2	4
<b>+ Tani 4 mg/L</b>	92.5	7.5	0			8	10	8	5	6		1	2					
<b>+ Avi 4 mg/L</b>	60	20	20						2	8	14	5	3	3		1		4
<b>Meropenem</b>	0	10	90								4	11	14	7	2	1	1	
<b>+ Tani 4 mg/L</b>	97.5	0	2.5	1	19	8	8		1	2		1						
<b>+ Avi 4 mg/L</b>	12.5	15.0	72.5						3	2	6	11	10	6	1		1	
<b>Ceftazidime</b>	0	0	100												4	4	9	23
<b>+ Avi 4 mg/L</b>	2.5	0	97.5							1				8	12	6	9	4
<b>IMP carbapenemases (n=13: 5 <i>E. coli</i>, 3 <i>Enterobacter spp.</i>, 5 <i>K. pneumoniae</i>)</b>																		
<b>Cefepime</b>	0	30.8	69.2									2	2	1	4	3		1
<b>+ Tani 4 mg/L</b>	0	30.8	69.2									3	1	5	2	2		
<b>+ Avi 4 mg/L</b>	7.7	23.1	69.2								1	2	1	1	4	2	2	
<b>Meropenem</b>	23.1	7.7	69.2						1	2	1	3	1	3	2			
<b>+ Tani 4 mg/L</b>	23.1	15.4	61.5						1	2	2	2		4	2			
<b>+ Avi 4 mg/L</b>	23.1	23.1	53.8				1		1	1	3	1	2	3	1			

<b>Ceftazidime</b>	0	0	100															13
<b>+ Avi 4 mg/L</b>	0	0	100													1	2	10
<b>ESBL + impermeability (n=20, all <i>K. pneumoniae</i>)</b>																		
<b>Cefepime</b>	0	5.0	95.0									1			2		1	16
<b>+ Tani 4 mg/L</b>	65.0	25.0	10				1	1	4	4	3	4	1	1		1		
<b>+ Avi 4 mg/L</b>	100	0	0				3	11	2	4								
<b>Meropenem</b>	30	10	60				1	2	3		2	4	5	3				
<b>+ Tani 4 mg/L</b>	80	5.0	15.0		1	1	3	2	9		1	3						
<b>+ Avi 4 mg/L</b>	90	5.0	5.0	1	1	3	5	5	3		1	1						
<b>Ceftazidime</b>	0	0	100												1	4	4	11
<b>+ Avi 4 mg/L</b>	100	0	0					3	3	8	6							
<b>AmpC + impermeability (n=20, all <i>Enterobacter</i> spp.)</b>																		
<b>Cefepime</b>	55.0	30	15.0					1	1	3	6	4	2	3				
<b>+ Tani 4 mg/L</b>	95.0	5.0	0				3	4	12			1						
<b>+ Avi 4 mg/L</b>	100	0	0				1	12	6		1							
<b>Meropenem</b>	30	20	50							6	4	7	3					
<b>+ Tani 4 mg/L</b>	95.0	0	5.0			1	4	7	6	1		1						
<b>+ Avi 4 mg/L</b>	95.0	5.0	0			3	11	5			1							
<b>Ceftazidime</b>	0	0	100											1	1	4	9	5
<b>+ Avi 4 mg/L</b>	95.0	0	5.0						2	13	3	1			1			

406 <sup>a</sup>Based on current CLSI breakpoints for cefepime (S  $\leq$ 2, R >8 mg/L) and meropenem (S  $\leq$ 1, R >4 mg/L) and for ceftazidime/avibactam, (R  $\leq$ 8, R >8 mg/L);

407 Abbreviations: S, susceptible; I, intermediate; SDD, Susceptible-dose dependent; R, resistant; Avi, avibactam; Tani, taniborbactam

408 Table 2 MICs of taniborbactam and avibactam combinations for non-fermenters, according to  $\beta$ -lactamase type

	Categorisation based on partner $\beta$ -lactam			No. isolates with indicated MIC (mg/L)														
	S	I	R	$\leq 0.015$	0.03	0.06	0.12	0.25	0.5	1	2	4	8	16	32	64	128	>128
<b><i>P. aeruginosa</i> controls (=9)</b>																		
<b>Cefepime</b>	77.8	22.2	0							2	1	3	1	2				
<b>+ Tani 4 mg/L</b>	77.8	22.2	0							2	1	4		2				
<b>+ Avi 4 mg/L</b>	100	0	0						1	1	2	3	2					
<b>Meropenem</b>	100	0	0			1	2	2	2	2								
<b>+ Tani 4 mg/L</b>	100	0	0		1		1	4	1	2								
<b>+ Avi 4 mg/L</b>	100	0	0	1			2	4		2								
<b>Ceftazidime</b>	88.9	0	11.1								5	3			1			
<b>+ Avi 4 mg/L</b>	100	0	0							1	4	3	1					
<b><i>P. aeruginosa</i> VIM carbapenemases (n=20)</b>																		
<b>Cefepime</b>	0	10	90											2	5	1	5	7
<b>+ Tani 4 mg/L</b>	35.0	15.0	50							1		1	5	3		1	7	2
<b>+ Avi 4 mg/L</b>	0	20	80											4	6	5	2	3
<b>Meropenem</b>	0	5.0	95.0									1			4	4	5	6
<b>+ Tani 4 mg/L</b>	15.0	15.0	70					1			2	3	4	2	3	2		3
<b>+ Avi 4 mg/L</b>	0	5.0	95.0									1			4	5	4	6
<b>Ceftazidime</b>	0	0	100												3	5	5	7
<b>+ Avi 4 mg/L</b>	0	0	100												3	5	6	6

<b><i>P. aeruginosa</i> NDM/SPM carbapenemases (n=4: 3 with NDM and 1 with SPM enzymes)</b>																			
Cefepime	0	0	100															4	
+ Tani 4 mg/L	0	0	100															4	
+ Avi 4 mg/L	0	0	100															4	
Meropenem	0	0	100															4	
+ Tani 4 mg/L	0	0	100															4	
+ Avi 4 mg/L	0	0	100															4	
Ceftazidime	0	0	100															4	
+ Avi 4 mg/L	0	0	100															4	
<b><i>Acinetobacter</i> controls (n=10)</b>																			
Cefepime	90	0	10						2	5	2					1			
+ Tani 4 mg/L	90	0	10						1	6	2					1			
+ Tani 8 mg/L	90	0	10						1	6	2					1			
+ Avi 4 mg/L	90	0	10						1	4	1	3				1			
Meropenem	100	0	0				1	6	2	1									
+ Tani 4 mg/L	100	0	0				1	6	2	1									
+ Tani 8 mg/L	100	0	0				1	6	2	1									
+ Avi 4 mg/L	100	0	0				1	5	3	1									
Ceftazidime	100	0	0							2	5	3							
+ Avi 4 mg/L	80	20	0							1	4	3	2						
<b><i>A. baumannii</i> OXA carbapenemases (n=40)</b>																			
Cefepime	2.5	5.0	92.5										1		2	23	12	1	1

+ Tani 4 mg/L	5.0	25.0	70								2		10	19	8		1
+ Avi 4 mg/L	12.5	20	67.5							1	2	2	8	14	11	2	
Meropenem	0	2.5	97.5									1	3	12	14	7	3
+ Tani 4 mg/L	0	2.5	97.5									1	4	13	12	7	3
+ Avi 4 mg/L	10	7.5	82.5							2	2	3	12	11	6	3	1
Ceftazidime	2.5	2.5	95.0									1	1	2	2	16	18
+ Avi 4 mg/L	0	12.5	87.5										5	7	13	3	12
<b>A. baumannii NDM carbapenemases (n=10)</b>																	
Cefepime	0	0	100														10
+ Tani 4 mg/L	0	0	100													4	6
+ Avi 4 mg/L	0	0	100														10
Meropenem	0	0	100													8	2
+ Tani 4 mg/L	0	0	100											4	6		
+ Avi 4 mg/L	0	0	100												1	7	2
Ceftazidime	0	0	100														10
+ Avi 4 mg/L	0	0	100														10
<b>E. meningoseptica (n=10)</b>																	
Cefepime	0	60	40										6	4			
+ Tani 4 mg/L	100	0	0							1	8	1					
+ Avi 4 mg/L	100	0	0							5	5						
Meropenem	0	0	100										1	3	3	3	
+ Tani 4 mg/L	10	60	30								1	6	3				

+ Avi 4 mg/L	0	0	100												3	5	2	
Ceftazidime	0	0	100														2	8
+ Avi 4 mg/L	0	10	90											1	1	7	1	
<b><i>S. maltophilia</i> (n=10)</b>																		
Cefepime	20	20	60						1				1	2	2	3	1	
+ Tani 4 mg/L	80	20	0					1		1	3	3	2					
+ Avi 4 mg/L	80	20	0					1		1	3	3	2					
Meropenem	0	0	100													3	3	4
+ Tani 4 mg/L	0	0	100										1			4	1	4
+ Avi 4 mg/L	0	0	100													3	3	4
Ceftazidime	40	10	50					1			2	1	1	1	1	1	2	1
+ Avi 4 mg/L	40	10	50					1		1	1	1	1	1	1	2	1	1

409

410 <sup>a</sup>Based on current CLSI breakpoints for cefepime (S  $\leq$ 8, R >16 mg/L) and meropenem (S  $\leq$ 2, R >4 mg/L) and for ceftazidime/avibactam, (R  $\leq$ 8, R >8 mg/L);

411 Abbreviations: S, susceptible; I, intermediate; R, resistant; Avi, avibactam; Tani, taniborbactam

412 **Table 3.** MICs of cefepime/taniborbactam and comparators for consecutive Enterobacterales with  
 413 NDM carbapenemases (n=124)

	No. isolates with indicated MIC (mg/L)												
	0.06	0.12	0.25	0.5	1	2	4	8	16	32	64	128	>128
<b><i>E. coli</i> (n=29)</b>													
Cefepime											5	4	20
Cefepime/Tani 4 mg/L					5	5	1	3	6	6	3		
Cefepime/Tani 8 mg/L			1	7	2	1	2	7	5	4			
Aztreonam		1	1	1	1				1	1	13	3	7
Aztreonam/Avi 4 mg/L		4	1	3	3	2		3	3	6	3	1	
Ceftazidime/Avi 4 mg/L													29
Meropenem/Vab 8 mg/L									2	11	9	7	
<b><i>E. cloacae</i>. (n=13)</b>													
Cefepime										3	1	2	7
Cefepime/Tani 4 mg/L	1	2	1			4	1	3			1		
Cefepime/Tani 8 mg/L			1	2	2	3	4				1		
Aztreonam		1		1					1	2	4	1	3
Aztreonam/Avi 4 mg/L	1	2	1			4	1	3			1		
Ceftazidime/Avi 4 mg/L													13
Meropenem/Vab 8 mg/L									2	4	6	1	
<b><i>K. pneumoniae</i> (n=82)</b>													
Cefepime										3	19	24	36
Cefepime/Tani 4 mg/L				2	15	20	16	10	3	5	6	5	
Cefepime/Tani 8 mg/L			1	13	23	17	8	5	5	8	2		
Aztreonam		4	1	5	1				1	4	36	26	4
Aztreonam/Avi 4 mg/L		8	4	38	19	11		1	1				
Ceftazidime/Avi 4 mg/L													82
Meropenem/Vab 8 mg/L							1	4	3	27	22	16	9
<b>All (n=124)</b>													
Cefepime										6	25	30	63
Cefepime/Tani 4 mg/L				3	20	28	21	17	9	11	9	6	
Cefepime/Tani 8 mg/L			3	22	27	21	14	12	10	12	3		



Aztreonam		6	2	7	2				3	7	53	30	14
Aztreonam/Avi 4 mg/L	1	14	6	41	22	17	1	7	4	6	4	1	
Ceftazidime/Avi 4 mg/L													124
Meropenem/Vab 8 mg/L							1	4	7	42	37	24	9

414 Abbreviations, Avi, avibactam; Tani, taniborbactam and Vab, vaborbactam.

**Table 4:** Comparison of NDM Enterobacteriales in relation to MICs of cefepime/taniborbactam

Cefepime/taniborbactam MIC	Number of isolates with stated character among those with :	
	Cefepime/taniborbactam MIC $\leq 8+4$ mg/L	Cefepime/taniborbactam MIC $>8+4$ mg/L
<b><i>E. coli</i> (n=29)</b>	<b>14</b>	<b>15</b>
Cefepime MIC >128	5	15***
No with NDM-1	8	3*
No with NDM-5 or -7	6	12
No with >2 <i>bla</i> <sub>NDM</sub> copies	0	0
No also with <i>bla</i> <sub>CTX-M</sub>	7	7
No also with <i>bla</i> <sub>CMY</sub>	7	12
No with lesions in OmpC	2	0
No with lesions in OmpF	2	1
No with Tyr-Arg-Ile-Asn/Pro insert in PBP3	0	4
No AZT MIC $\leq 2$ mg/L	3	0
No with aztreonam/avibactam MIC >2 mg/L	1	15***
No with aztreonam/avibactam MIC >8 mg/L	0	13***
No belonging to ST167	0	4
No belonging to ST410	2	2
No belonging to ST648	1	2
<b><i>E. cloacae</i> (n=13)</b>	<b>12</b>	<b>1</b>
Cefepime MIC >128	6	1
No with NDM-1	12	1
No with NDM-5 or -7	0	0
No with >2 <i>bla</i> <sub>NDM</sub> copies	0	0
No also with <i>bla</i> <sub>CTX-M</sub>	7	1
No with lesions in OmpC	1	0
No with lesions in OmpF	4	1
No with Glu 258 insert in PBP3	0	1
No aztreonam MIC $\leq 2$ mg/L	2	0
No with aztreonam/avibactam MIC >2 mg/L	4	1
No with aztreonam/avibactam MIC >8 mg/L	0	1
<b><i>K. pneumoniae</i> (n=82)</b>	<b>63</b>	<b>19</b>
Cefepime MIC >128	17	19***
No with NDM-1	63	19
No with NDM-5 or -7	0	0
No with >2 <i>bla</i> <sub>NDM</sub> copies	2	1
No also with <i>bla</i> <sub>CTX-M</sub>	45	18*
No also with <i>bla</i> <sub>CMY</sub>	7	2
No also with <i>bla</i> <sub>OXA-1</sub>	30	14
No with lesions in OmpC/OmpK36	3	3
No with lesions in OmpF/OmpK35	31	7
No AZT MIC $\leq 2$ mg/L	9	2
No with aztreonam/avibactam MIC >2 mg/L	0	2
No with aztreonam/avibactam MIC >8 mg/L	0	1
No isolates belonging to ST14	1	10***

416 <sup>a</sup>Includes three pairs that may represent local cross infections.

417 \*p < 0.05; \*\*p < 0.01; \*\*\* p < 0.001, all by Chi-square tests

418 **Figure 1.** Structure of taniborbactam

