

**A historical database cohort study addressing the clinical patterns prior to idiopathic pulmonary fibrosis (IPF) diagnosis in UK primary care**

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1 **ABSTRACT (293/300 words)**

2 **Objective:**

3 To explore the clinical pathways, including signs and symptoms, and symptom  
4 progression patterns preceding Idiopathic Pulmonary Fibrosis (IPF) diagnosis.

5 **Design and setting:**

6 A historical cohort study was conducted using primary care patient records from the  
7 Optimum Patient Care Research Database.

8 **Participants:**

9 Patients included were at least 30 years, had IPF diagnosis, identified via clinical-  
10 coding and free-text records, and had a consultation with a chest specialist prior to IPF  
11 diagnosis.

12 **Outcome measures:**

13 The signs & symptoms in the year prior to IPF diagnosis from clinical codes and free-  
14 text in primary care electronic records included: cough, dyspnoea, dry cough, weight  
15 loss, fatigue/malaise, loss of appetite, crackles and clubbed fingers. The time course  
16 of presentations of clinical features and investigations in the years prior to IPF  
17 diagnosis were mapped.

18 **Results:**

19 Within 462 patients identified, the majority (77.9%) had a respiratory consultation  
20 within 365 days prior to the chest specialist visit preceding the IPF diagnosis recorded  
21 in their primary care records. The most common symptoms recorded in the one-year  
22 prior to IPF diagnosis were dyspnoea (48.7%) and cough (40.9%); other signs and  
23 symptoms were rarely recorded (<5%). The majority of patients with cough (58.0%)  
24 and dyspnoea (55.0%) in the one-year before IPF diagnosis had multiple recordings  
25 of the respective symptoms. Both cough and dyspnoea were recorded in 23.4% of

26 patients in the year prior to diagnosis. Consultation rates for cough, dyspnoea and  
27 both, but not other signs or symptoms, began to increase 4-5 years prior diagnosis,  
28 with the sharpest increase in the last year. Cough and dyspnoea were often preceded  
29 by a reduction in measured weight over 5-years leading to IPF diagnosis.

30 **Conclusion:**

31 Prolonged cough and/or progressive dyspnoea, especially if accompanied with weight  
32 loss, should signal for a referral to specialist assessment at the earliest opportunity.

33 Keywords: Interstitial Lung Disease, Free-text Record, Pathway Features, Principal  
34 Component Analysis.

35 Strengths and Limitations of this Study

- 36 • This was the first study to map clinical progression patterns in the years leading  
37 to IPF diagnosis.
- 38 • We used a large primary care database with data available up to seven years  
39 prior to IPF diagnosis and records of patients' secondary care usage.
- 40 • We developed a list of relevant clinical features via initial review of patient  
41 records, allowing for identification of less common clinical features which may  
42 be used to find patterns of symptoms to potentially aid IPF diagnosis.
- 43 • A very specific definition of IPF was used in this study requiring specialist  
44 consultation and diagnosis of IPF in primary care after the consultation. This  
45 may result in the exclusion of cases where there was no primary care record  
46 indicating specialist consultation.
- 47 • Coding limitations of a database that was designed for clinical practice rather  
48 than research, are a weakness. However, we utilised both symptom coding and

49 free-text data to identify IPF, and clinical features indicative of IPF, increasing  
50 detection sensitivity.

51

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55 discretion of the authors.

56

57 **INTRODUCTION**

58 Idiopathic Pulmonary Fibrosis (IPF) is the most common and most lethal of the  
59 idiopathic interstitial pneumonias, estimated to affect 14-43 per 100,000 population,  
60 typically over the age of 50.[1-3] Patients with IPF have a very poor prognosis, with  
61 median years of survival of only 2.5-3.5 years and 5-year survival rate as low as  
62 20%.[4, 5] In the UK, the mortality rate for IPF was reported to be 5.10 per 100,000  
63 person-years in 2005-2008, increasing by around 5% per year since 1968,[6] thus  
64 signifying a significant and growing healthcare concern.

65 Diagnosing IPF in primary care is challenging due to the non-specific features in the  
66 early stages of the disease [7], and IPF is seldom seen by physicians in primary  
67 care.[8] In secondary care, a confident diagnosis requires expertise from a  
68 multidisciplinary team of pulmonologists, radiologists, and pathologists.[9, 10]  
69 Identification of early predictors and clinical patterns leading to subsequent IPF  
70 diagnosis may help primary care physicians identify potential IPF patients for further  
71 examination and guide appropriate referral to specialist respiratory services.

72 Treatment of IPF had previously been limited to symptom management and palliation,  
73 but two antifibrotic drugs, Pirfenidone and Nintedanib [11, 12], have recently become  
74 available. Both treatments may improve IPF disease outcomes [13-16] and are  
75 recommended by the UK National Institute for Health and Care Excellence [17, 18]  
76 and by the ATS/ERS/JRS/ALAT clinical practice guideline.[19] To obtain optimal  
77 benefit from these novel treatment agents, an early diagnosis is required.

78 While efforts have been made to study the symptom pathways leading to IPF  
79 diagnosis, identifying IPF patients using diagnosis codes alone may erroneously  
80 include patients with other conditions.[20] Thus, this study was conducted to

81 characterise the pattern of signs, symptoms and other clinical predictors preceding  
82 IPF diagnosis using a real-life population of patients and more definitive selection  
83 criteria for IPF.

## 84 **METHODS**

### 85 **Study design**

86 This was a real-life historical cohort study using electronic medical records from the  
87 OPCRd, a clinical research database containing records of approximately 7 million  
88 patients from over 700 primary care centres across the UK (<http://opcrd.co.uk/>) [21]  
89 with linked patient-completed asthma questionnaire. Asthma outcome measures  
90 within the OPCRd have been validated against patient-reported outcomes and  
91 treatment response. [22] The study was conducted according to the quality standards  
92 suggested for observational studies,[23] including the use of an *a priori* analysis plan,  
93 study registration with a commitment to publish, and a well-maintained and monitored  
94 study database.

### 95 **Case definition and inclusion criteria**

96 The inclusion criteria for this study were: between 30-100 years of age at diagnosis  
97 date, diagnosis date between 2010 and 1<sup>st</sup> May 2017, and the diagnosis date must  
98 have occurred at least 1 year after registration at a participating general practice (GP).  
99 Patients were excluded if they had a code for sarcoidosis, allergic alveolitis,  
100 pneumoconiosis, asbestosis, or other causes of pulmonary fibrosis. The diagnosis  
101 date was defined as the date of the first IPF diagnosis or prescription for IPF-specific  
102 pharmacotherapy (Pirfenidone or Nintedanib). The primary analysis population  
103 includes patients who had a code for a consultation with a chest specialist prior to the

104 IPF diagnosis. Selection of patients for analyses is further detailed in the online data  
105 supplement.

### 106 **Outcome assessments**

107 The primary outcome of this study was the presence of signs and symptoms in the  
108 one-year prior to IPF diagnosis. The secondary outcomes of this study were: 1) the  
109 consultation rates for the signs and symptoms up to 7 years prior to diagnosis, 2) the  
110 proportion of patients with respiratory consultations and respiratory tests conducted  
111 within 90 and 365 days prior to the chest specialist consultation preceding IPF  
112 diagnosis, and 3) the relationship between the signs and symptoms.

113 Respiratory consultation was identified based on the presence of Read codes for the  
114 following clinical features: chest/respiratory infection, chest symptom, clubbed fingers,  
115 cough, crackles, dyspnoea or sputum. Respiratory tests were identified via Read  
116 codes for chest X-ray, chest CT scan, lung function test and chest examination.

117 The Read codes and free-text terms for signs, symptoms and clinical features  
118 investigated in this study were selected via an initial round of manual review of patient  
119 records. The methods for the selection and the list of Read codes and free-text terms  
120 are elaborated in the online data supplement.

### 121 **Statistical analysis**

122 For all data handling, statistical analyses and figures Stata SE version 14.2 and Stata  
123 MP/6 version 15.1 were used. Descriptive statistics were used for baseline  
124 characteristics. Continuous variables were summarised as mean (standard deviation)  
125 or median (interquartile range) of non-missing observations while categorical variables  
126 were presented as proportions of non-missing observations.

127 Prevalence of signs & symptoms identified via both Read codes and free-text in the  
128 one-year prior to IPF diagnosis were presented as number (%). Descriptive statistics  
129 were also produced for the number of patients with respiratory consultations and tests  
130 conducted within 90 days and within 365 days prior to the chest specialist consultation  
131 preceding the diagnosis date.

132 Principal Component Analysis (PCA) with rotation was utilised to visualise the  
133 prevalence and co-occurrence of codes for signs, symptoms and other clinical features  
134 90 and 365 days prior to IPF diagnosis in a network plot. All signs and symptoms co-  
135 occurrence patterns in the year prior diagnosis were also presented as a table.

136 Consultation rates for signs and symptoms from up to seven years prior to IPF  
137 diagnosis were generated and expressed as rates per 100 patient-years with 95%  
138 confidence intervals. For each year, only patients who had a complete year of  
139 available data contributed to the frequency statistics. The number of patients with at  
140 least a certain recording frequency (recording dates/year, in the period of up to 12  
141 years before IPF diagnosis) was also tabulated. Data are shown for, on average, once  
142 a year, once every 2, 3 and 4 years.

143 Time-course of signs, symptoms and weight measurement of individual patients was  
144 plotted to visualize the disease progression patterns up to 12 years leading to IPF  
145 diagnosis. Visual evaluation was used to quantify the number of patients with each  
146 symptom progression pattern.

147 Descriptive analysis for the mean (SD) time in years between the first recorded cough  
148 and dyspnoea until the diagnosis of IPF was conducted for patients with at least 1-  
149 year medical record history before first symptom available and had their symptoms  
150 occurring before the IPF diagnosis. The cumulative probability of IPF diagnosis every



151 year since the first symptoms is presented in the form of life tables and Kaplan-Meier  
152 plots.

153 In a sensitivity analysis, all analyses were repeated in an overall IPF patients group  
154 consisting of patients with Read codes or free-text mention for IPF diagnosis and/or  
155 medication (detailed in the online supplementary data). For this group, the proportions  
156 of patients with respiratory consultations and tests were analysed prior to the date of  
157 IPF diagnosis or chest consultation (whichever came first).

### 158 **Ethical approval**

159 The OPCRD is approved by the Health Research Authority of the UK NHS for clinical  
160 research use (REC reference: 15/EM/0150), and the protocol for this study was  
161 approved by the Anonymised Data Ethics Protocols and Transparency (ADEPT)  
162 committee, the independent scientific advisory committee for the OPCRD. The study  
163 protocol was registered with the European Network of Centres for  
164 Pharmacoepidemiology and Pharmacovigilance (ENCePP, registration number  
165 EUPAS20994).[24]

### 166 **Data availability**

167 The authors do not have permission to give public access to the study dataset;  
168 researchers may request access to OPCRD data for their own purposes. Access to  
169 OPCRD can be made via the OPCRD website (<https://opcrd.co.uk/our-database/data-requests/>) or via the enquiries email [info@opcrd.co.uk](mailto:info@opcrd.co.uk).

### 171 **Patient and Public Involvement**

172 This study does not involve patients. The public was not invited in the design of the  
 173 study nor the writing of this document.

174 **RESULTS**

175 **Patients**

176 The patient selection flow is depicted in Figure 1. A total of 1,166 patients were  
 177 identified to have IPF diagnosis or IPF-related medication following inclusion and  
 178 exclusion criteria (overall IPF patient group). Of these, 462 patients had a consultation  
 179 with a chest specialist prior to their IPF diagnosis (primary analysis group).

180 Of the 462 patients, 58.9% were male with a mean age at the time of diagnosis was  
 181 75 years (Table 1). The majority (63.7%) of patients had a history of smoking, with  
 182 9.8% being current smokers. A total of 88 (19.0%) patients had spirometry recorded  
 183 within 90 days and 160 (36.4%) within 365 days prior to IPF diagnosis. Baseline  
 184 demographics for the overall IPF patient group (n=1,166) were similar (Supplementary  
 185 Table E1).

<b>Table 1. Baseline demographic patient characteristics and procedures (n=462).</b>	
<b>Variable</b>	<b>Frequency*</b>
Age (years) <ul style="list-style-type: none"> <li>• mean (SD)</li> <li>• Median (IQR)</li> </ul>	74.6 (9.6) 75.0 (69.0; 81.0)
Male gender	272 (58.9)
BMI <ul style="list-style-type: none"> <li>• n (% non-missing)</li> <li>• &lt;18.5</li> <li>• 18.5 - &lt;25</li> <li>• 25 - &lt;30</li> <li>• ≥30</li> </ul>	442 (95.7) 10 (2.3) 137 (31.0) 163 (39.8) 132 (29.9)
Smoking status <ul style="list-style-type: none"> <li>• n (% non-missing)</li> <li>• Current smoker</li> <li>• Ex-smoker</li> <li>• Never smoked</li> </ul>	438 (94.8) 43 (9.8) 236 (53.9) 159 (36.3)

Asthma diagnosis <sup>†</sup>	24 (5.2)
COPD diagnosis <sup>†</sup>	19 (4.1)
Respiratory tract cancer	0 (0.0)
Other chronic respiratory diseases (excl. cancer) <sup>¶</sup>	4 (0.9)
Lung function test conducted	
• 90 days prior diagnosis	88 (19.0)
• 365 days prior diagnosis	160 (34.6)
*Numbers are presented as n (%) unless specified. <sup>†</sup> First diagnostic code recorded ever prior and up to diagnosis date. SD: Standard deviation, IQR: Interquartile range, COPD: chronic obstructive pulmonary disease. <sup>¶</sup> Listed in the online data supplement.	

186

187 **Primary outcome – Signs and symptoms in the one-year prior to IPF diagnosis**

188 Of the investigated signs and symptoms, cough and dyspnoea were the most common  
189 symptoms recorded in the one-year prior to IPF diagnosis: cough in 189 (40.9%) and  
190 dyspnoea in 225 (48.7%) patients (Table 2). The majority of the patients with cough  
191 (58.0%) and dyspnoea (55.0%) had more than one recording of the respective  
192 symptoms in the one-year period prior diagnosis. Other signs and symptoms were  
193 rarely observed as the first recorded signs and symptoms (<5%). Cough and dyspnoea  
194 co-occurred on 23.4% of the patients. The expected rate for both symptoms to co-  
195 occur, based on the individual rates, was 20.0% (95% confidence interval 18.1% -  
196 21.9%). This was not significantly different from the observed co-occurrence rate  
197 (23.4% [21.4% - 25.4%]), suggesting both symptoms occurred independently of each  
198 other.

199 Detailed results including signs and symptoms identified via Read codes only, as well  
200 as signs & symptoms in the overall IPF patients, are presented in Supplementary  
201 Table E2. Compared to using Read codes only, the addition of free-text data increased  
202 the number of patients with cough by 8.6% and dyspnoea by 13.6%.

**Table 2. Signs & symptoms in the one-year prior to IPF diagnosis (n=462)**

Signs and symptom	n (%)
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Dyspnoea	225 (48.7)
Cough*	189 (40.9)
Fatigue or malaise	22 (4.8)
Weight loss	19 (4.1)
Crackles	14 (3.0)
Loss of appetite	13 (2.8)
Clubbed fingers	2 (0.4)
<b>Symptom combinations</b>	<b>n (%)</b>
Cough & Dyspnoea	108 (23.4)
Dyspnoea without cough	117 (25.3)
Cough without dyspnoea	81 (17.5)
*Of which 25 were “dry cough”.	

203

204 **Secondary outcome – History of respiratory consultation and respiratory test**  
205 **prior to chest specialist consultation**

206 Around three-quarters of (77.9%) patients had a respiratory primary care consultation  
207 recorded in the 365 days before their chest specialist consultation (Table 3).  
208 Respiratory tests were recorded in 61.3% patients in the 365 days prior to the  
209 specialist consultation, and more than a third of that (38.1%) was within a 90 days  
210 period. Prevalence of the components of respiratory consultation and respiratory tests  
211 are presented in Supplementary Table E3

**Table 3. History of respiratory consultation and tests before chest specialist consultation prior to IPF diagnosis.\***

Respiratory consultation †	
• within 90 days	238 (51.5)
• within 365 days	360 (77.9)
Respiratory tests conducted‡	
• within 90 days	176 (38.1)
• within 365 days	283 (61.3)
*Frequency expressed as n (%). †Codes for chest/respiratory infection, chest symptoms, clubbed fingers, cough, crackles, dyspnoea, or sputum or wheeze. ‡Codes for chest X-ray, chest CT scan, lung function test and chest examination.	

212

213 The proportions of overall IPF patients with respiratory consultations and tests are  
214 presented in Supplementary Table E4.

### 215 **Secondary outcome – Relationship between signs and symptoms**

216 The network plots in Figure 2 depicts the relationship between signs and symptoms  
217 recorded in the 90 days and in the 365 days prior to IPF diagnosis. Strong associations  
218 were observed between cough and dyspnoea, and among weight loss, loss of  
219 appetite, and fatigue/malaise. These two clusters of symptoms were more pronounced  
220 in the 90 days period compared to in the 365 days period. Within the extended list of  
221 codes which includes clinical features, chest infection was identified to be associated  
222 with the cough and dyspnoea cluster. However, chest symptoms, a category  
223 containing unspecified chest symptoms, chest examinations, and chest CT and X-  
224 rays, were more closely associated with the fatigue/malaise cluster. Similar results  
225 were observed within the overall IPF patient population (Supplementary Figure E1).

226 The prevalence of every symptom combination within one-year prior to IPF diagnosis  
227 is shown in Table 4. No symptoms were recorded in 30.7% of the patients. The most  
228 common symptom pattern was dyspnoea alone (22.9%), cough with dyspnoea  
229 (16.5%) and cough alone (13.9%). Other symptom combinations were rarely observed  
230 ( $\leq 2\%$  patients). Symptom combinations in the overall IPF patients are shown in  
231 Supplementary Table E5.

232

**Table 4. Co-occurrence of signs and symptoms in the one-year period up to IPF diagnosis**

Clubbed fingers	Cough	Crackles	Dry cough	Dyspnoea	Fatigue or malaise	Loss of appetite	Weight loss	Patients	%	Cumulative %
								142	30.7	30.7
								106	22.9	53.7
								76	16.5	70.1
								64	13.9	84.0
								9	1.9	85.9
								9	1.9	87.9
								8	1.7	89.6
								5	1.1	90.7
								4	0.9	91.6
								4	0.9	92.4
								3	0.6	93.1
								3	0.6	93.7
								2	0.4	94.2
								2	0.4	94.6
								2	0.4	95.0
								2	0.4	95.5
								2	0.4	95.9
								1	0.2	96.1
								1	0.2	96.3
								1	0.2	96.5
								1	0.2	96.8
								1	0.2	97.0
								1	0.2	97.2
								1	0.2	97.4
								1	0.2	97.6
								1	0.2	97.8
								1	0.2	98.1
								1	0.2	98.3
								1	0.2	98.5
								1	0.2	98.7
								1	0.2	98.9
								1	0.2	99.1
								1	0.2	99.4
								1	0.2	99.6
								1	0.2	99.8
								1	0.2	100.0

233

234 **Secondary outcome – Consultation rate in the years leading to IPF diagnosis**

235 The frequencies of codes for signs and symptoms up to 7 years leading to the IPF  
236 diagnosis are shown in Figure 3. A rapid rise in the consultation rate for cough and  
237 dyspnoea occurred in the year prior to IPF diagnosis, but the increase in cough and  
238 dyspnoea started 4-5 years before diagnosis. A similar pattern was observed for  
239 consultation for concurrent cough and dyspnoea. This rise was not observed in the  
240 other, less common signs and symptoms. The frequencies of codes for signs and  
241 symptoms in the overall IPF group are shown in Supplementary Figure E2.

242 The number of patients with at least a certain average symptom frequency in the  
243 period before IPF diagnosis (up to 12 years) are presented in Supplementary Table  
244 E6.

#### 245 **Symptom progression patterns preceding IPF diagnosis**

246 Several typical patterns of patient pathways were identified from visual assessment of  
247 individual patient timelines; cough tended to precede dyspnoea. Weight loss, observed  
248 via weight measurements over time, commonly followed recordings of cough and  
249 dyspnoea. 244 of the 462 (52.8%) patients had  $\geq 4$  records of weight in the previous  
250 five years, and of these, 116 (47.5%) recorded weight loss of more than five kilograms.  
251 The weight loss often occurred over at least 5 years period (example from 2 patients  
252 in Figure 4), however, acute weight loss was also observed (Supplementary Figure  
253 E3).

254 Other examples of identified patterns are presented in Supplementary Figure E4. A  
255 minority, 69 out of 462 (14.9%), received a diagnosis without any symptom codes in  
256 the preceding years. Many patients had no clear pattern of respiratory symptoms or  
257 weight loss.

258

## 259 **Probability of IPF diagnosis from the first recording of symptoms**

260 Analysis of probability for IPF diagnosis since the first recorded symptom of cough or  
261 dyspnoea included 463 patients (322 and 293 patients with cough and dyspnoea  
262 respectively). The mean (SD) time since the first cough was longer (6.3 [5.5] years)  
263 compared to since the first dyspnoea (4.3 [4.3] years). Cumulative probability of IPF  
264 diagnosis since the first recording of symptoms is illustrated as a life table  
265 (Supplementary Table E7) and a Kaplan-Meier plot (Supplementary Fig E5). These  
266 show that 50% of the patients are diagnosed within 5 years from their first recorded  
267 cough, and within 3 years from their first recorded dyspnoea. However, it took  
268 respectively 13 and 10 years since their first symptoms for 90% of the patients to  
269 receive an IPF diagnosis.

## 270 **DISCUSSION**

### 271 **Main findings**

272 This is a real-life historical cohort study of IPF patients to identify the symptoms, signs,  
273 and clinical features recorded before the diagnosis of IPF. Cough and dyspnoea were  
274 observed to be the most common recorded symptoms in the one-year prior to IPF  
275 diagnosis, occurring in above 40% of the patients. Other symptoms, such as weight  
276 loss and fatigue, or signs such as crackles and clubbed fingers were rarely observed  
277 (<5% patients). The majority of patients (77.9%) had a history of at least one primary  
278 care respiratory consultation within a year prior to their chest specialist consultation  
279 preceding the diagnosis of IPF.

280 A retrospective review of records from up to 7 years prior to diagnosis demonstrated  
281 an increased frequency of codes for cough and dyspnoea starting from 4-5 years prior  
282 to IPF diagnosis, which further rose sharply nearer to the IPF diagnosis. Thus,



283 repeated consultations for prolonged cough and dyspnoea are likely to be the  
284 characteristic symptoms of IPF.

285 The symptom combination of cough and dyspnoea in the year before IPF diagnosis  
286 was observed to be one of the most common symptom co-occurrence patterns. Using  
287 Principal Component Analysis, we have shown the close association between cough  
288 and dyspnoea in the preceding 90 and 365 days within patients with IPF. Rates for  
289 consultations for both symptoms also increased 4-5 years prior diagnosis, similar to  
290 the coding of individual symptoms. Thus, prolonged history or presentation of both  
291 symptoms is likely to be a “red flag” to consider IPF and to refer to specialist respiratory  
292 services especially when other conditions such as asthma or COPD have been ruled  
293 out. A second cluster between weight loss, loss of appetite and fatigue or malaise was  
294 identified. However, these signs and symptoms were too infrequent and unlikely to be  
295 helpful for the early identification of IPF in community settings.

296 Surprisingly, 30.7% of our patients were not recorded as having any signs or  
297 symptoms in the one-year prior to IPF diagnosis (Table 4). Analysis of symptom  
298 progression patterns also showed that 14.9% of the patients did not have any  
299 symptom codes in the years leading to IPF diagnosis. This may be due to a tendency  
300 for primary care physicians to code for the final diagnosis instead of the presenting  
301 symptoms during consultations. Alternatively, IPF may be diagnosed coincidentally by  
302 investigations for other conditions, such as cardiac CT scanning, without records of  
303 respiratory signs and symptoms.

304 A novelty of the current study is the investigation of symptom progression patterns in  
305 the years leading to IPF diagnosis. Cough symptoms tended to precede dyspnoea.  
306 However, many of these cough records may have been unrelated to IPF, for example,

307 viral infection. Weight loss was observed to be a common sequel to cough and  
308 dyspnoea, occurring over at least 5 years, suggesting the possibility of a disease  
309 process preceding the diagnosis by several years. Many patient pathways had no  
310 clear pattern of respiratory symptoms or weight loss.

### 311 **Strengths & Limitations**

312 A strength of this study is the conduct of initial reviews of individual primary care case  
313 notes to create the list of Read codes and free-text terms. This enabled less commonly  
314 IPF-related signs, symptoms and clinical features to be included within this study,  
315 which may have been otherwise missed if a pre-determined list of features and codes  
316 was used instead.

317 This study used information from free-text primary care records in addition to  
318 diagnostic Read codes. The usage of information from free-text records increased  
319 detection sensitivity for signs & symptoms, contributing an additional 8.6% patients  
320 with symptoms of cough and 13.6% with dyspnoea in the one-year prior to IPF  
321 diagnosis. The recording of respiratory symptoms such as breathlessness has  
322 previously been found to occur in free-text well before specific codes are entered in  
323 for people with COPD and asthma.[25]

324 This study utilised every eligible patient from a primary care records database, with  
325 the data availability extending to 7 years prior to the diagnosis of IPF. The real-life  
326 design of this study provides high generalisability of the results to primary care patients  
327 managed in actual primary care practice. Additionally, the long observation period and  
328 the availability of free-text clinical records demonstrate the particular strengths of the  
329 OPCR database for longitudinal studies on rare diseases. Clinical records within

330 participating GPs also contain records of patients' secondary care usage, allowing  
331 identification of patients who had previous consultation with a specialist.

332 In this study, we selected patients who had a consultation with a chest specialist prior  
333 to their diagnosis for analysis. This group of patients was selected out of concern that  
334 coding for IPF diagnosis may have been entered as a diagnostic query or mistake  
335 instead of a definitive diagnosis. Indeed, higher rates of cough and dyspnoea were  
336 observed from both Read codes and free-text in patients with specialist consultation  
337 prior to IPF diagnosis (Supplementary Table E2). Potential bias of GP diagnosis was  
338 also addressed by the additional requirement for prior specialist assessment.

339 The main weakness of this study is our lack of gold standard diagnostic criteria in  
340 many patients as we were unable to review the contents of letters from secondary care  
341 or hospital records directly. Due to this, it is not possible to directly confirm that the  
342 diagnoses of IPF were made in secondary care, despite the presence of preceding  
343 consultation with chest specialists. Our additional selection criterion, requiring prior  
344 chest specialist consultation, also resulted in a reduced sample size. Regardless, the  
345 pattern of previous respiratory examinations and the outcomes observed seem to  
346 support the diagnosis of IPF within the patients. A further weakness is that the primary  
347 care records in the OPCRd were initially collected for clinical instead of research  
348 purposes, thus the coding of symptoms may be inconsistent. However, the use of free  
349 text searches in this study may mitigate this issue.

350 We did not exclude patients with asthma or COPD, which may also cause symptoms  
351 of cough and dyspnoea. We felt that asthma and COPD are both common disorders  
352 and misdiagnosis of IPF as either symptom are likely. Regardless, the numbers of  
353 patients with asthma (n=24) and COPD (n=19) are too small to conduct separate

354 analyses and are unlikely to relevantly change our conclusions. The small number of  
355 patients with concomitant COPD is likely due to the unique requirement of the UK  
356 primary care system since 2002 in which a diagnosis of COPD requires confirmation  
357 by spirometry. Consequentially, the UK may have less misdiagnosis of IPF as COPD  
358 than other health care systems.

### 359 **Placing results in the context of published studies**

360 The observed increase in frequency for cough and/or dyspnoea from 5 years prior IPF  
361 diagnosis suggests a delay between recognition of symptoms and diagnosis. Such a  
362 delay in the referral and diagnosis of IPF since the initial symptom presentation has  
363 been observed in previous studies.[26, 27] A multicentre cohort study was recently  
364 conducted to describe the factors responsible for the delay in IPF diagnosis.[28] The  
365 study reported a mean delay of 2.1 years and that the delay can be mainly attributed  
366 to the patients, general practitioners and community hospitals. Due to the importance  
367 of early diagnosis of IPF,[29] there is a need to further understand and rectify the  
368 causes for delays in diagnosis and referral.

369 A previous study investigated the prevalence of symptoms from up to 5 years before  
370 IPF diagnosis using another primary care record database in the UK, The Health  
371 Improvement Network (THIN).[20] Similar to our study, the study also reported  
372 breathlessness and cough, identified via Read codes, to be the most common  
373 symptoms increasing sharply at one year prior to IPF diagnosis. However, the study  
374 relied solely on Read codes (for idiopathic fibrosing alveolitis [H563.00], Hamman-  
375 Rich syndrome [H563.11], cryptogenic fibrosing alveolitis, diffuse pulmonary fibrosis,  
376 and idiopathic fibrosing alveolitis NOS) to identify patients with IPF. The authors  
377 acknowledged that there was a possibility of miscoding leading to the inclusion of

378 patients with other fibrotic lung disorders [6] and thus labelled cases within their study  
379 as “IPF-clinical syndrome” instead of definitive IPF patients. Our current study  
380 confirmed their finding using a more conservative IPF definition (requiring chest  
381 specialist consultation prior to the diagnosis) which was expected to be more selective  
382 for patients with actual IPF. The current study also extends the previous study by  
383 investigating the relationship between the signs and symptoms, demonstrating a  
384 common co-occurrence between cough and breathlessness. Furthermore, our study  
385 has the additional strength of a longer observational period prior to IPF diagnosis (7  
386 years) and the utilisation of free-text clinical records to identify signs and symptoms  
387 which would have been missed by using only Read codes.

## 388 **Conclusions**

389 This is the first study to analyse the presence, association and progression patterns  
390 of symptoms and clinical features leading to IPF diagnosis within a more definitive  
391 group of patients with IPF, utilising both coded diagnosis and free-text primary care  
392 records. Cough and dyspnoea represented the most common symptoms in the one-  
393 year prior to the diagnosis of IPF. Both symptoms were found to be closely associated  
394 and were likely to co-occur. While the consultation rates for cough and dyspnoea rose  
395 sharply one-year preceding the diagnosis, the increases in rates were already  
396 observable from up to 5 years prior to IPF diagnosis. Lastly, the majority of the patients  
397 had records of respiratory consultations and tests conducted prior to their IPF  
398 diagnosis.

399 Taken together, general practitioners should further assess the possibility of IPF in  
400 patients who have increasing consultations for prolonged cough and/or progressive  
401 dyspnoea, especially if accompanied with weight loss.

402 Further work will investigate the value of chest x-ray and spirometry in predicting  
403 subsequent IPF diagnosis. Linking data within electronic medical records and  
404 registries such as BLUETEQ database register of UK specialist drug prescribing [30]  
405 and BTS ILD registries for higher quality research in IPF is also needed to provide  
406 deeper insight into the patterns of disease progression identified in this study. Further  
407 research comparing the clinical pathway of IPF patients with a control group of  
408 patients, as well as investigating whether spirometry led to a timelier referral to  
409 specialists may also be warranted.

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#### 413 **Declaration of interests**

414 **David Price** has board membership with Amgen, AstraZeneca, Boehringer  
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439 **Rupert Jones**

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443 .

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454 **Author Contribution:**

455 All authors contributed to the planning, conduct, and reporting of this study. DT, RC,  
456 AW, SY, VC, and DP conducted the design and planning of this study. JV, RR, RJ,  
457 MO, PR, IC and AH contributed to the data analysis and interpretation. The overall  
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460



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## FIGURE LEGENDS

Figure 1. Flowchart of patient selection. †code for a consultation to a chest specialist followed by an IPF diagnosis (code for consultation allowed till up to 60 days after diagnosis). \*Patients with registration date before date of birth.

Figure 2. Principal component analysis (PCA) based network chart for the association between codes within 90 and within 365 days prior to IPF diagnosis. Bubble size indicates prevalence, and thickness of lines indicate the degree of association between signs & symptoms.

Figure 3. Frequency of codes for signs and symptoms from 7 years prior to IPF diagnosis. 3A: All signs and symptoms, 3B: Co-occurring dyspnoea and cough, 3C: Excluding cough and dyspnoea.

Figure 4. Time course of respiratory symptoms and weight prior to the diagnosis of IPF in two patients showing a long duration of weight loss.