1	South Pacific Convergence Zone dynamics, variability, and impacts in a changing climate
2	Josephine R. Brown <sup>1</sup> , Matthieu Lengaigne <sup>2</sup> , Benjamin R. Lintner <sup>3</sup> , Matthew J. Widlansky <sup>4</sup> ,
3	Karin van der Wiel <sup>5</sup> , Cyril Dutheil <sup>2,6</sup> , Braddock K. Linsley <sup>7</sup> , Adrian J. Matthews <sup>8</sup> and James
4	Renwick <sup>9</sup>
5	<sup>1</sup> School of Earth Sciences, University of Melbourne, Parkville, Victoria, Australia.
6	<sup>2</sup> LOCEAN, IPSL, CNRS/Sorbonne University/IRD/MNHN, Paris, France.
7	<sup>3</sup> Department of Environmental Sciences, Rutgers, The State University of New Jersey, New
8	Brunswick, New Jersey, USA.
9	<sup>4</sup> Joint Institute for Marine and Atmospheric Research, School of Ocean and Earth Science and
10	Technology, University of Hawai`i at Mānoa, Honolulu, Hawaii, USA.
11	<sup>5</sup> Royal Netherlands Meteorological Institute, De Bilt, The Netherlands.
12	<sup>6</sup> IRD, Nouméa, New Caledonia, France.
13	<sup>7</sup> Lamont-Doherty Earth Observatory of Columbia University, Palisades, NY, USA.
14	<sup>8</sup> Centre for Ocean and Atmospheric Sciences, School of Environmental Sciences and School
15	of Mathematics, University of East Anglia, Norwich, United Kingdom.
16	<sup>9</sup> School of Geography, Environment and Earth Sciences, Victoria University of Wellington,
17	Wellington, New Zealand.
18	
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20	Corresponding author: Josephine Brown (josephine.brown@unimelb.edu.au)

### 21 Abstract

22 The South Pacific Convergence Zone (SPCZ) is a band of intense rainfall and deep atmospheric 23 convection extending from the equator to the subtropical South Pacific. The variability in 24 rainfall, tropical cyclone activity, and sea level due to displacement of the SPCZ affects South 25 Pacific Island populations and surrounding ecosystems. In this Review, we synthesize recent 26 advances in understanding of the SPCZ in regards to the physical mechanisms responsible for 27 its location and orientation, interactions with the principal modes of tropical climate variability, 28 regional and global impacts, and response to human-induced climate change. These advances 29 begin to provide a coherent description of its character and variability on synoptic, 30 intraseasonal, interannual, and longer timescales. However, further efforts are needed to better 31 assess and quantify the impact of the SPCZ on regional and global weather and atmospheric 32 circulation. While current-generation climate models capture some aspects of SPCZ behavior, 33 significant biases and deficiencies remain that limit confidence in future projections. Both 34 improved climate model skill and new methods for regional modelling may better constrain future SPCZ projections, aiding adaptation and planning among vulnerable South Pacific 35 36 communities.

#### 37 KEY POINTS

The South Pacific Convergence Zone is a major region of low-level wind convergence,
 convection and rainfall extending from the equator towards the southeast in the South
 Pacific, having a large impact on Pacific Island communities.

The location and intensity of the SPCZ vary on timescales ranging from days to
 decades, as the SPCZ interacts with regional climate drivers such as the El Nino Southern Oscillation.

Future changes in the SPCZ are uncertain, with climate models disagreeing on whether
 the SPCZ will become wetter or drier, highlighting the need to improve model
 reliability in this region.

47 **1. Introduction** 

48 The South Pacific is the principal region on Earth where persistent deep convection of tropical 49 origin often merges with the highly fluctuating mid-latitude storm track. These interactions 50 result in a band of heavy rainfall extending southeastward from the Maritime Continent across the tropical and subtropical Pacific Ocean, known as the South Pacific Convergence Zone 51 (SPCZ)<sup>1-4</sup>. In observations, the SPCZ can be identified as a region of maximum rainfall (Fig. 52 1a) as well as minimum outgoing longwave radiation due to deep tropical convective clouds. 53 54 Dynamically, this zone of intense convective activity is a result of low-level convergence 55 between northeasterly trade winds (Fig. 1a), generated via anticyclonic flow around high pressure in the southeastern Pacific (Fig. 1b), and much weaker winds to the west<sup>4,5</sup>. The SPCZ 56 57 is often considered to have two components: a zonally-oriented tropical rainfall band located over the western Pacific warm pool, and a diagonally-oriented (northwest to southeast) 58 subtropical rainfall band that extends to approximately 30°S, 120°W, with greatest extent 59 60 during austral summer (Fig. 1a). Alternatively, some studies identify equatorial, tropical and subtropical components of the SPCZ<sup>6</sup> or break the diagonal SPCZ into two parts with a steeper 61 slope in the eastern  $part^7$ . 62

The atmospheric characteristics of the SPCZ are tightly coupled with the underlying pattern of sea surface temperature (SST) (Fig. 1b), with the band of maximum rainfall located to the south of the latitude of maximum SSTs<sup>8,9</sup>. The tropical portion of the SPCZ lies over the western Pacific warm pool (SST exceeding 28°C in present day climate<sup>10</sup>) where deep convection

occurs often<sup>11</sup>, while the subtropical portion of the SPCZ lies over somewhat cooler SSTs and
is controlled by interactions with troughs in the mid-latitude circulation<sup>1,8</sup>.

69 Fluctuations in both atmospheric and oceanic circulations can cause large changes in the location, intensity, and extent of the SPCZ<sup>12,13</sup>. Within the SPCZ, rainfall varies on synoptic 70 71 timescales (daily-to-weekly) and intraseasonal timescales (30 to 60-day fluctuations associated with the Madden-Julian Oscillation; MJO)<sup>14,15</sup>. On interannual, decadal, and longer timescales. 72 73 the SPCZ also varies; for example, migrating meridionally and zonally in response to the El Niño-Southern Oscillation (ENSO)<sup>1,4,12</sup> and Interdecadal Pacific Oscillation (IPO)<sup>16,17</sup>. As 74 75 Earth's climate warms, changes in the mean state of the tropical Pacific may influence the SPCZ, for example altering its position or intensity<sup>18,19</sup>. A warmer climate may also lead to 76 changes in SPCZ variability due to ENSO, such as an increase in the frequency of extreme El 77 Niño events driving a large northward displacement of the SPCZ<sup>13</sup>. 78

Variability of the SPCZ is linked to changes in regional rainfall, tropical cyclone activity and sea level which affect the numerous and diverse island communities of the South Pacific. SPCZ variability also influences the global climate through the redistribution of convection and associated changes in atmospheric circulation patterns. Advances in observing and modeling the climate have greatly improved our physical understanding of the SPCZ, yet questions remain, especially regarding its impacts on regional and global-scale climate and its future evolution.

The last comprehensive review of the SPCZ, produced in 1994<sup>1</sup>, identified a number of unresolved questions regarding the SPCZ. These included the processes controlling the diagonal orientation of its subtropical component and its response to climate variability. Recent investigations of the SPCZ have benefited from a diverse array of new data sources including several decades of satellite observations<sup>7,20,21</sup>, atmosphere and ocean reanalysis datasets providing retrospective descriptions over much of the 20<sup>th</sup> century<sup>21-23</sup>, and paleoclimate proxy

92 reconstructions of ocean temperature, salinity and rainfall derived from coral and speleothem records<sup>24-27</sup>. As a result, there has been considerable improvement in our knowledge of the 93 mechanisms determining the SPCZ's diagonal orientation<sup>6,28-31</sup>, and its eastern boundary<sup>9,32,33</sup> 94 as well as the SPCZ's response to phenomenon such as the MJO, ENSO and the IPO<sup>12,16,28,34,35</sup>. 95 96 Studies of the mechanisms responsible for the origin of the SPCZ and its projected change 97 under future anthropogenic warming now utilize a hierarchy of numerical climate models, including global coupled ocean-atmosphere models<sup>13,18,19,36-38</sup>, regional atmospheric 98 models<sup>39,40</sup>, and idealized or process-based models of the atmosphere<sup>30,31,33</sup>. These advances 99 100 have hence fostered a coherent perspective of the SPCZ spanning synoptic, intraseasonal, 101 interannual, and longer timescales, making an updated review of SPCZ research timely.

102 In this Review, we synthesize current understanding of the character of SPCZ, its variability 103 and impacts, and future projections. First, we outline proposed physical mechanisms 104 responsible for the SPCZ. Then we present the natural variability of the SPCZ from synoptic to multi-decadal timescales, followed by a discussion of the regional and global climate 105 106 impacts of the SPCZ. The SPCZ response to future climate warming is then described. Finally, 107 we summarize current knowledge of the SPCZ and identify important questions for future research. The ability of climate models to simulate the main features of the SPCZ is also 108 109 discussed (Box 1).

## 110 **2. The physical mechanisms of the SPCZ**

111 The existence of persistent regions of large-scale organized convection in the Southern 112 Hemisphere was first identified in early satellite images, with distinct bands of cloudiness 113 occurring in each of the major ocean basins<sup>2,3</sup>. These cloud bands, extending with a diagonal 114 orientation from the tropics to the subtropics, were found to be associated with widespread 115 convection and rainfall, as well as low level wind convergence. The most extensive band, named the South Pacific Convergence Zone<sup>4</sup>, is located in the South Pacific. Less intense bands
in the Atlantic and Indian Oceans are known as the South Atlantic Convergence Zone
(SACZ)<sup>3,41,42</sup> and South Indian Convergence Zone (SICZ)<sup>43</sup> respectively.

One of the most intriguing questions about the SPCZ (and the other Southern Hemisphere convergence zones) is why convection is oriented diagonally southeast from the equatorial region to the subtropics. In the following, we outline the main proposed mechanisms for the formation and diagonal orientation of the SPCZ. Broadly, these mechanisms consist of (1) transient bursts of diagonally-oriented convection triggered by Rossby waves<sup>6,28,29</sup>, (2) direct forcing by tropical convection<sup>34,44</sup>, and (3) southwestward moisture advection from the eastern Pacific dry zone<sup>9,32</sup>. The role of transient Rossby wave forcing is considered first.

126 The SPCZ has long been recognized as a region in which fronts or synoptic disturbances moving from the southwest dissipate; for this reason, it has been referred to as a 'frontal 127 graveyard'<sup>4</sup>. A dynamical explanation for the SPCZ as frontal graveyard is provided by the 128 129 strong zonal SST gradient in the subtropical Pacific, and associated trade wind and 130 convergence patterns, which generate a background flow that slows the passage of synoptic disturbances originating from the mid-latitudes<sup>6</sup>. Model experiments with idealized SST 131 configurations<sup>6</sup> attribute the diagonal orientation of the SPCZ to accumulation of Rossby wave 132 energy in regions of convergent zonal flow that form in response to the zonal SST gradient 133 134 across the subtropical South Pacific.

Extending this framework, the location and orientation of SPCZ convection in the subtropics
has been further explained by Rossby wave energy accumulation<sup>28,29</sup>. During austral summer,
Rossby waves from the Southern Hemisphere subtropical jet refract<sup>1</sup> (due to vorticity gradients

<sup>&</sup>lt;sup>1</sup> Rossby waves are refracted in the atmosphere, just as light waves are refracted when passing through a medium with varying refractive index. The analogous refractive index for the atmosphere arises from the spatial distribution of vorticity in the background flow that the Rossby waves propagate in. Once the map of this refractive index has been calculated, ray paths for Rossby waves can then be constructed. It transpires that jet streams act as wave guides for Rossby waves, and regions of background easterly wind are 'forbidden' regions

138 in the background flow) equatorward near New Zealand and thereby transit into the SPCZ region<sup>29,45</sup>. The storm tracks are guided by the structure of the large-scale flow. Mean upper-139 tropospheric easterly winds over the Indian Ocean and Maritime Continent 'forbid' Rossby 140 141 wave propagation, whereas mean upper-tropospheric westerly winds over the equatorial Pacific (known as the 'westerly duct'46) 'allow' Rossby waves to propagate equatorward. 142 Disturbances in these waves acquire a diagonal orientation due to the combined effects of the 143 meridional shear of the zonal flow and wave refraction<sup>29</sup>, with the resultant diagonally 144 (northwest-southeast) elongated disturbances triggering convection along the SPCZ<sup>28</sup>. A 145 146 feedback between latent heat release from deep convection and associated vortex stretching eventually leads to Rossby wave dissipation<sup>28-30</sup> in the aforementioned frontal graveyard. 147

According to this mechanism, the chain of events that may lead to a diagonal SPCZ is 148 149 summarized in Fig. 2a. The trade winds, associated with the subtropical high in the lower-SST 150 southeastern Pacific, allow moisture to be transported southwestward into the SPCZ region. A wave train<sup>2</sup> from the subtropical jet is refracted towards the westerly duct in the equatorial 151 Pacific. Disturbances in these waves trigger convection and low-level convergence in a 152 153 diagonal band, where thermodynamic conditions and moisture are favorable for precipitating 154 deep convection to develop. Feedbacks between convection and circulation then act to halt wave propagation and further dissipate the wave, typically within a day of convective 155 triggering. The timescale for this chain of events, from the initial wave train in the subtropical 156 157 jet to the burst of convection over the SPCZ is approximately five days. The climatological

for Rossby waves. As the background winds in the tropics are predominantly easterly, Rossby waves cannot generally propagate into the tropics, and therefore cannot generally pass from the Northern to the Southern Hemisphere, or vice versa. However, during austral summer a region of background westerly winds develops over the equatorial Pacific. This 'westerly duct' then allows Rossby waves to propagate into the tropics and pass from one hemisphere to the other. Further detail can be found in ref45 Hoskins, B. J. & Ambrizzi, T. Rossby-Wave Propagation on a Realistic Longitudinally Varying Flow. *J Atmos Sci* **50**, 1661-1671, doi:10.1175/1520-0469(1993)050<1661:Rwpoar>2.0.Co;2 (1993)..

<sup>&</sup>lt;sup>2</sup> The subtropical jet is a bountiful source region of wave trains, originating from baroclinic waves (typical midlatitude synoptic weather systems) to waves generated as the extratropical response to remote tropical convection.

158 position of the diagonally-oriented eastern part of the SPCZ can therefore be viewed as the 159 average of many such events occurring over the course of a season.

160 A second theory proposed to support the SPCZ diagonal orientation (shown in Fig. 2b) implies 161 a *direct* wave response to the localized steady tropical convective heating region over the Maritime Continent<sup>34,44</sup>. This mechanism can account for some periods of SPCZ convective 162 activity although the largest fraction of SPCZ convection events are linked with the refraction 163 of transient Rossby wave trains as described above<sup>28</sup>. Nonetheless, the localized steady heating 164 165 over the Maritime Continent is still a necessary ingredient, as the direct wave response to this 166 leads to the existence of the westerly duct, which is necessary for the equatorward refraction of midlatitude transient waves toward the SPCZ.A third proposed mechanism for the diagonal 167 168 orientation of the SPCZ focuses on the role of the eastern Pacific. The region to the east of the 169 SPCZ is persistently free of deep convective rainfall year-round and has been referred to as the southeast Pacific 'dry zone'9. The forcing of this dry zone and its role in the maintenance of 170 the eastern edge of the SPCZ have been investigated<sup>9,32,33</sup>. A modeling study using an idealized 171 atmosphere coupled to an ocean mixed layer model<sup>9</sup> demonstrated that the dry zone may 172 173 originate from orographic forcing from the Andes via a feedback involving subsidence, low 174 clouds, and cooler SSTs. In this framework, the SPCZ acquires its diagonal tilt because of the 175 orientation of the southeasterly trade wind flow. Thus, the eastern boundary of the deep convection in the SPCZ is set by the edge of the dry zone. 176

On shorter (1-5 day) timescales, periods of anomalous westerly winds over the southeast Pacific result in a shift of the SPCZ eastern margin towards South America<sup>33</sup>. Viewed in terms of the climatological distribution of moisture, the SPCZ exists in a region of a pronounced west-to-east moisture gradient. Considering the large-scale moisture budget, the trade wind inflow into the SPCZ is directed from lower to higher mean moisture values, corresponding to a drying term in the moisture budget. In this sense, a reduction in trade wind strength is 183 associated with an anomalous moistening term. As studies have suggested the existence of a 184 critical moisture threshold for precipitating deep convection to occur<sup>47</sup>, reduced wind strength 185 is associated with increased convection and rainfall, which can be interpreted as a shift in the 186 eastern SPCZ margin.

187 The relative importance of the different surface boundary conditions necessary to support a 188 diagonal SPCZ has been investigated using atmospheric models forced with changes in the 189 orography of the Andes, the location of continents, and altered SST patterns. Such an approach 190 is highly idealized, as coupled atmosphere-ocean processes are necessary to produce the SST distribution, but can provide useful dynamical insights. In agreement with earlier studies<sup>8,48</sup>, 191 recent modelling results confirm that the configuration of continents and the presence of the 192 193 Andes have only a modest *direct* impact on the subtropical component of the  $SPCZ^{6,31}$  (that is, 194 the removal of the Andes or the South American continent, while leaving the zonal SST 195 gradient in the Pacific undisturbed, has minimal impact). These modelling studies find that the 196 primary requirement for the diagonal SPCZ is the zonal SST gradient in the subtropical South 197 Pacific, which reaches its seasonal maximum during austral summer. This leads to a strong 198 South Pacific high, which transports moist air from the equator to the SPCZ region (Fig. 2a). 199 Of course, the southeast Pacific dry zone and anticyclonic circulation also contribute to the 200 development of the zonal SST gradient. Thus, continental configuration and the presence of 201 orography exert an *indirect* impact on the SPCZ through their role in the setting of the boundary conditions for the zonal SST gradient<sup>9</sup>. 202

**3. Natural variability of the SPCZ** 

As outlined above, the SPCZ can be viewed as the sum of discrete pulses of convective activity lasting several days. In this framework, low-frequency variability of the background state can modify the characteristics of such convective events<sup>6,28</sup>, resulting in SPCZ variability on intraseasonal to interdecadal timescales. Below we summarize the key features of SPCZ natural
 variability and its associated mechanisms based on observations, paleoclimate records, theory
 and dynamical models.

### 210 **3.1 Synoptic and intraseasonal timescales**

The Madden-Julian Oscillation (MJO)<sup>14,15</sup> is an eastward-propagating equatorial mode of 211 212 planetary-scale convective anomalies on intraseasonal timescales (nominally 30-60 days). 213 Early satellite observations indicated that intense convection in the SPCZ region tends to be 214 out of phase with that in the Indian Ocean, but varying on similar intraseasonal timescales, 215 suggesting that the MJO modulates the SPCZ<sup>1</sup>. Analysis of an extended record of 30 years of satellite data further identified the propagation of the MJO signal within the SPCZ<sup>7</sup>, with both 216 217 enhanced and suppressed convection evident during different phases of the MJO, as defined by the standard Wheeler and Hendon MJO phase index<sup>49</sup>. As the MJO propagates eastward, 218 219 the region of enhanced SPCZ convective activity also moves eastward, with SPCZ anomalies due to MJO activity as far south as  $30^{\circ}S^{7}$ . 220

221 Detailed analysis of an MJO event reveals the poleward and eastward progression of intraseasonal anomalies along the SPCZ<sup>34</sup>. The MJO modifies the basic state, thereby altering 222 the probability of occurrence of the two main modes of SPCZ variability: a westward shifted 223 SPCZ and an enhanced SPCZ<sup>28</sup>. Dynamically, the main influence of the MJO on the SPCZ is 224 225 through its modulation of the shorter (five-day) timescale transient extratropical-tropical wave 226 interaction events discussed in the previous section. When MJO convection is enhanced over 227 the eastern Indian Ocean and Maritime Continent (phases 3-6 using the standard MJO indices<sup>49</sup>), an equatorial Kelvin wave response produces westerly anomalies in the upper 228 229 troposphere over the equatorial western Pacific. When combined with the mean flow, the effect 230 is that the westerly wind duct expands toward the western Pacific. Consequently, extra-tropical 231 wave trains propagating eastward along the subtropical jet in the Southern Hemisphere refract equatorwards at more westward longitudes and thereby generate diagonally orientated
convective events located west of the mean SPCZ position. Averaged over a period of several
days, the mean SPCZ is observed to shift westward<sup>28</sup>.

### 235 **3.2 Seasonal timescales**

236 The seasonal cycle of insolation and SSTs drives the most prominent variations in the SPCZ. The SPCZ is most fully developed in the austral summer (December to February), with greater 237 238 accumulated rainfall and larger spatial extent, as the conditions conducive for convection are 239 strongly tied to the oceanic heat content and the zonal SST gradient that are maximized during 240 that season. In particular, the necessary ingredients for the formation of the SPCZ (discussed 241 in the previous section) are only consistently present during austral summer: the existence of 242 the westerly wind duct to allow equatorward propagation of Rossby waves, and high SSTs in the southwest Pacific to fuel convection<sup>30,32</sup>. 243

The seasonal cycle of the SPCZ however differs in its tropical and subtropical portions, with the subtropical SPCZ being most active early in the austral warm season, around November to December, while the tropical SPCZ is most active in January and February<sup>7</sup>. The tropical and subtropical portions of the SPCZ are not always connected on sub-seasonal or seasonal timescales, thus the December to February climatology represents the 'peak' of SPCZ activity, when the two portions align in a continuous region of convection<sup>7</sup>.

## 250 **3.3 Interannual timescales**

### 251 **3.3.1 Observed interannual variability**

252 Other than the seasonal cycle, the largest SPCZ variability is associated with ENSO, with a 253 characteristic timescale of 2–7 years<sup>4</sup>. Instrumental records, available from the late 19<sup>th</sup> century 254 onwards, and satellite data from the 1960s onwards, provide a detailed picture of the observed 255 SPCZ response to ENSO. Unlike the MJO, which is mostly associated with atmospheric 256 variability, ENSO is characterized by substantial oceanic heat content changes in the Indo-Pacific region as well as changes in the winds and convection in the atmosphere<sup>50</sup>. During El 257 Niño development, the eastern equatorial regions of the Pacific and Indian Oceans warm as the 258 western Pacific warm pool discharges heat. During the opposite phase of ENSO (La Niña), 259 260 heat is discharged poleward into off-equatorial regions of the Pacific, including poleward of 261 the climatological SPCZ position. Associated with the anomalous oceanic temperatures are 262 changes in the atmospheric zonal and meridional overturning circulations (the Walker and Hadley cells, respectively)<sup>51</sup>, which affects the organization of convective regions like the 263 264 SPCZ.

Based on satellite and instrumental data, early studies of the SPCZ's response to ENSO<sup>4,52,53</sup> 265 identified a displacement of the SPCZ from its climatological position (Fig. 3a): south and west 266 267 for positive Southern Oscillation (La Niña) events (Fig. 3b) and north and east for negative 268 Southern Oscillation (El Niño) events (Fig. 3c). During La Niña events, ENSO forcing of the 269 SPCZ can be interpreted via an analogous mechanism to the MJO modulation described above 270 (that is, convection is enhanced over the eastern Indian Ocean and Maritime Continent, which 271 induces a westward expansion of the westerly duct, westward shifted refraction of waves, and a westward shift of the SPCZ position) $^{28}$ . A somewhat opposite shift of the SPCZ towards the 272 east occurs during El Niño events<sup>28</sup>. 273

Recent studies however revealed that the spatial response of the SPCZ to ENSO is more complex than a simple south-west (La Niña) or north-east (El Niño) displacement. During particularly strong El Niño events, such as 1982/83, 1991/1992, 1997/98<sup>12</sup> and 2015/16<sup>54</sup>, characterized by an intense warming in the central and eastern Pacific, the SPCZ shifts close to the equator (moving northwards by up to 10 degrees latitude) and its diagonal orientation collapses into a more zonal structure<sup>12,13</sup> (Fig. 3d). These so-called 'zonal SPCZ' events are associated with a weak meridional (north-south) temperature gradient between the equatorial
 cold tongue and the climatological location of the SPCZ.<sup>12,13,55</sup>

282 Consideration of large-scale atmospheric divergent moist static energy (MSE) transport offers a two-dimensional (2D) energetics perspective on the mechanistic relationship between ENSO 283 and the SPCZ<sup>35</sup>. This perspective draws analogies to meridional ITCZ displacements 284 experienced over both the seasonal cycle and under past climate regimes, when the ITCZ is 285 observed to migrate in the direction of the anomalously warm hemisphere<sup>56-58</sup>, from which 286 287 MSE export to the cooler hemisphere occurs. Such ITCZ shifts have been quantified in terms 288 of simple scaling relationships between cross-equatorial atmospheric energy transport and 289 ITCZ latitude.

290 Under El Niño conditions, the central and eastern equatorial Pacific is an anomalous source of 291 MSE, since the ocean warming there supplies energy to the atmosphere in the form of increased surface turbulent fluxes, particularly latent heating<sup>59</sup>. On the basis that rainfall in the SPCZ 292 293 shifts spatially by an amount equal to the displacement of the zero line of the divergent MSE 294 flux (the so-called energy flux equator), the observed northeastward/equatorward SPCZ 295 displacements experienced during El Niño are comparable to the shifts obtained from the 2D 296 energetics framework. The 2D energetics framework further allows for diagnosis of component processes associated with SPCZ displacements during El Niño; for example, it appears that 297 cloud-radiative feedback contributes a positive feedback to these displacements<sup>35</sup>. 298

# 299 **3.3.2 Paleoclimate records of interannual variability**

The limited availability of climate observations in the South Pacific before the mid-20<sup>th</sup> century makes paleoclimate reconstructions from natural archives, such as corals and speleothems, valuable tools to reconstruct past SPCZ variability. A key aspect of the SPCZ for paleoclimate analysis is its location near the southwestern Pacific oceanic 'salinity front' where high salinity

subtropical waters meet low salinity waters beneath the SPCZ<sup>60,61</sup>. Information about both SST 304 305 and surface ocean oxygen isotopic ratios, which reflect salinity, can be obtained from coral oxygen isotopic ratios (expressed as  $\delta^{18}$ O) measured at near-monthly resolution and extending 306 307 back several hundred years. Thus interannual variability in SST or salinity at the location of the SPCZ may be recorded in coral  $\delta^{18}$ O values. For example, interannual coral  $\delta^{18}$ O variability 308 at several sites in the southwestern Pacific<sup>24,62,63</sup> largely arises from advection of the oceanic 309 'salinity front' co-located near the subtropical terminus of the SPCZ in the southwestern 310 311 Pacific, which may reflect displacement of the SPCZ in response to ENSO.

312 A range of annually-resolved coral records from sites influenced by the SPCZ have been used to investigate past variability of the SPCZ due to ENSO<sup>24,62-67</sup>. For instance, a coral  $\delta^{18}$ O series 313 generated from Ta'u Island in American Samoa provides a record of SST and salinity in a 314 315 location close to the SPCZ central rainfall axis extending back nearly 500 years (1521-2011 C.E.)<sup>63,68</sup>. This coral series records an interannual phase shift in the late 1920s, indicating that 316 317 the current relationship whereby El Niño events lead to more saline conditions in this region existed only back to this time<sup>68</sup>. Ta'u Island is situated in the current ENSO 'null' zone where 318 319 on average there is no correlation between interannual SST anomalies and those on the equator. 320 The record provides evidence that this ENSO null zone in the central SPCZ rainfall axis is not stationary but rather has shifted northeast and southwest in the past<sup>63</sup>. 321

Coral records also provide information about the sensitivity of the SPCZ variability to different types of El Niño events<sup>26,62,63</sup>. For example, analysis of a 262 year (1742-2004 C.E.) coral record of sea surface salinity from the Makassar Strait, the main channel of the Indonesian Throughflow, shows that interannual changes in surface salinity in this region are intermittently related to zonal SPCZ events (when the SPCZ is rotated towards the equator during strong El Niño events)<sup>26</sup>. During these events, stronger South Pacific boundary currents force high salinity water through the Makassar strait and truncate the normal seasonal freshening. Based on this teleconnection, the Makassar coral  $\delta^{18}$ O data provide the first estimation of the recurrence interval of zonal SPCZ events prior to 1979 and suggests that these events have occurred on a semi-regular basis since at least the mid-1700s<sup>26</sup>.

332 **3.4 Interdecadal timescales** 

#### 333 **3.4.1 Observed interdecadal variability**

Decadal-scale climate variability in the tropical Pacific is dominated by the IPO<sup>69</sup> (and the 334 closely related Pacific Decadal Oscillation<sup>70</sup>). Resembling the ENSO SST spatial pattern but 335 with larger anomalies in the subtropics, the IPO is responsible for large decadal to multi-336 decadal variations of the SPCZ location<sup>16,17,71</sup>. The SPCZ tends to move northeastward during 337 338 positive IPO phases, as during El Niño events, and southwestward during negative IPO phases, 339 as during La Niña events. While shifts in the position of the SPCZ due to ENSO and the IPO have comparable magnitude, they operate quasi-independently<sup>16</sup>. IPO modulation of SPCZ 340 position is evident since the early 20th century<sup>23</sup> as assessed from atmospheric reanalysis of 341 that period<sup>72</sup>. In addition, IPO-related variability of the SPCZ can make it difficult to identify 342 343 trends in observed rainfall in the South Pacific, as discussed in the next section.

# 344 3.4.2 Paleoclimate records of interdecadal variability

345 As the instrumental records captures only a small sample of decadal variability, paleoclimate records are invaluable for reconstructing SPCZ variability on interdecadal timescales. An index 346 of interdecadal South Pacific surface ocean variability developed from  $\delta^{18}$ O series from *Porites* 347 corals from Fiji and Tonga contains relatively stable interdecadal variability (with mean period 348 ~20 years) back to the early  $1600s^{25}$ , suggesting that the SPCZ position has experienced similar 349 350 interdecadal fluctuations for the past four centuries. However, another study using two centuries of  $\delta^{18}$ O in *Diploastrea* coral from Fiji rather suggests that the character of these 351 interdecadal variations has changed over time, with larger variability from ~1880 to 1950<sup>73</sup>. 352

Secular trends in SPCZ position were evaluated using Fiji and Rarotonga coral  $\delta^{18}$ O and Sr/Ca 353 354 (a temperature sensitive proxy), showing that the eastern extent of the SPCZ has shifted eastwest through 10° to 20° of longitude three times since the early 1600s<sup>24</sup>. The largest shift began 355 356 in the mid-1800s as the salinity front moved progressively eastward, indicating a gradual change to more La Niña-like mean conditions<sup>24</sup>. More recently, re-evaluation of the trends in 357 coral  $\delta^{18}$ O series from Fiji, Tonga and Rarotonga indicates that freshening began in the mid-358 1800s in Fiji, but later at Tonga and Rarotonga<sup>62</sup>, The difference between the sites suggests 359 360 that the freshening trend does not simply reflect changes in the SPCZ character but rather is 361 primarily the result of changes in ocean circulation.

Speleothem records of the SPCZ have also been obtained from a number of Pacific Islands including Vanuatu<sup>27</sup>, Solomon Islands<sup>74</sup> and Niue<sup>75</sup>. A 446-year speleothem record from Vanuatu<sup>27</sup> shows evidence for decadal variability of the SPCZ. Decadal variability of the SPCZ was lowest in the instrumental period, with an overall trend towards wetter conditions during the past 100 years<sup>27</sup>. A 600-year speleothem record from the Solomon Islands<sup>74</sup> also captures movement of the SPCZ in response to Pacific decadal variability that persist over the entire record.

### 369 **4. Regional impacts of SPCZ variability**

The variability of the SPCZ on the timescales described above produces a wide range of climate impacts for the South Pacific region, which in turn have social and environmental impacts for the communities of the South Pacific Islands. Climate impacts of the SPCZ include changes in mean seasonal rainfall and rainfall extremes, changes in the location of tropical cyclone formation and tracks, and sea level anomalies. In addition to impacts on the South Pacific, SPCZ variability is associated with regional and global climate responses via atmospheric teleconnections.

#### **4.1 Rainfall**

378 Many South Pacific island communities rely on rainfall for freshwater needs such as drinking 379 water and agriculture and are thus extremely vulnerable to rainfall variations related to the position and intensity of the SPCZ<sup>76</sup>. Fluctuations of the SPCZ on interannual and decadal 380 381 timescales may substantially increase or decrease seasonal mean rainfall totals for these islands<sup>60,76,77</sup>. SPCZ variability also influences daily rainfall extremes, which can trigger floods 382 and droughts<sup>77-79</sup>. There is a strong influence of ENSO on total rainfall and rainfall extreme in 383 384 the South Pacific through its control on the SPCZ location<sup>79</sup> (Fig. 3). While islands located 385 near the equator (for example, Nauru and Kiribati) and east of the SPCZ mean location (for 386 example, Tahiti) generally experience an increase in mean and extreme rainfall during El Niño events, islands located in the southwest Pacific to the south of the SPCZ mean location (for 387 388 example, Vanuatu, Fiji, Tonga and New Caledonia) experience drier conditions. Satellite-389 measured historical rainfall records reveal large-scale interannual anomalies of over  $\pm 50\%$ , particularly for the region around Tahiti<sup>80</sup>, which is especially vulnerable to heavy rainfall or 390 droughts during strong El Niño (zonal SPCZ) and La Niña (more diagonal SPCZ), respectively. 391 The different types or 'flavors' of El Niño have distinct rainfall impacts in the South Pacific<sup>76</sup>. 392 393 For instance, eastern Pacific El Niño events typically produce marked drying over southwest Pacific islands, while such drying is weaker during central Pacific El Niño events. This 394 response stems from larger SPCZ northward excursions during eastern Pacific El Niño events 395 396 compared to central Pacific events. Similarly, during strong El Niño events as in 1982/83 and 397 1997/98, Nauru and Tarawa (Kiribati) experienced dry conditions whereas these islands 398 typically experience wetter than average conditions during El Niño years<sup>76</sup>.

## 399 **4.2 Tropical cyclones**

400 Tropical cyclones (TCs) account for three quarters of the reported natural hazard disasters within the Pacific<sup>81</sup>, with substantial socio-economic and ecological consequences for the 401 islands of the Southwest Pacific<sup>82</sup>. The SPCZ is the main TC genesis region in the South 402 Pacific<sup>12,83,84</sup>. In general, TC genesis occurs in regions where four essential atmospheric 403 conditions exist<sup>85</sup>: 1) sufficient thermodynamic energy, 2) abundant moisture, 3) low-level 404 405 cyclonic vorticity, and 4) minimal vertical wind shear. The environment along and up to 10° 406 poleward of the main axis of the SPCZ exhibits these requirements during austral summer, the peak of the regional TC season<sup>12</sup>. The importance of the SPCZ position in controlling the large-407 scale atmospheric conditions favorable for TCs is also illustrated by considering the TC genesis 408 409 response to interannual variations of the SPCZ during El Niño and La Niña (Fig. 4a), which shift TC occurrence to the northeast or southwest, respectively<sup>12,86-88</sup>. A northeastward shift of 410 411 the SPCZ induces a large decrease in cyclogenesis in the Coral Sea and near Fiji (~-25%) while 412 a southwestward shift of the SPCZ results in a large cyclogenesis decrease in the Tuvalu region (~-75%) and a more modest decrease in the Fiji region ( $\sim$ +30%)<sup>12</sup>. 413

414 Recent research indicates that different types of El Niño events (warming focused in the eastern 415 or central equatorial Pacific, which affects the pattern of SST gradients and thus the atmospheric circulation) have different impacts on SPCZ position and associated TC genesis 416 characteristics<sup>12,89</sup> (Fig. 4a). For example, TCs generally threaten Tahiti in the central South 417 418 Pacific only when the SPCZ displays a zonal orientation, which occurs mostly during strong 419 eastern Pacific El Niño events. During the extreme 1982/83 El Niño, Polynesia for instance 420 experienced the most active TCs season ever reported, with six tropical storms including the 421 catastrophic Severe Tropical Cyclone Veena<sup>90</sup>. During 1997/1998, when another strong El Niño occurred, several TCs tracked as far east as near Tahiti<sup>12</sup>, including the especially deadly 422 Severe Tropical Cyclone Martin<sup>91</sup>. 423

#### 424 **4.3 Sea level**

The South Pacific experiences substantial sea level variations on both seasonal and interannual timescales, predominantly due to wind-stress anomalies<sup>92</sup>, related largely to the position and intensity of the SPCZ, which drives strong wind-stress curl and related Ekman pumping signals in that region<sup>80</sup>. Similarly to the other climate impacts discussed, ENSO explains most of the interannual sea level variability in the SPCZ region.

430 During El Niño, weaker Pacific trade winds and negative wind-stress curl associated with a 431 northeastward SPCZ shift induce a thermocline shoaling in the southwestern Pacific, causing 432 the overlying sea surface height to concurrently lower<sup>80,93</sup>(Fig. 4b). Sea levels can lower by 30 cm or more in the southwestern Pacific during strong El Niño events<sup>94</sup>, when zonal SPCZ 433 events drive very strong wind-stress curl anomalies in that region<sup>80</sup>, thereby exposing shallow 434 reefs and causing severe damage to associated coral ecosystems<sup>95</sup> as well as intertidal zones 435 such as mangrove forests<sup>96</sup>. The equatorward collapse of the SPCZ during strong El Niño 436 437 events also induces an asymmetry in the sea level signature between the North and South 438 Pacific, which prolongs below-normal sea levels (and associated ecological impacts) in the southwestern Pacific for several months after El Niño has ended<sup>80</sup>. 439

440 During La Niña, the SPCZ shifts southwest, the thermocline deepens in the southwestern 441 Pacific and the regional sea level rises (Fig. 4b). Above-normal sea levels during La Niña 442 (typically around 10 cm higher than the long-term average<sup>80</sup>) can exacerbate the coastal 443 flooding risk posed by ongoing global sea level rise, storms, as well as local land subsidence<sup>97</sup> 444 that is occurring in parts of the SPCZ region such as around the Samoan Islands<sup>98,99</sup>.

### 445 **4.4 Remote teleconnections**

446 SPCZ variability not only exerts a local influence over the southwest Pacific but also remotely
447 influences regions in the tropics through atmospheric teleconnections. Shifts in SPCZ location,

for instance, modulate rainfall over South America at both interannual<sup>100-102</sup> and
intraseasonal<sup>103</sup> timescales. On 30-60 day (MJO) timescales, anomalous convective activity in
the SACZ and SPCZ regions is dynamically connected, via Rossby wave propagation<sup>104,105</sup>.
Differences in ENSO impacts over South America in boreal spring have also been attributed
to SPCZ variability and the propagation of stationary Rossby waves from the South Pacific
into South America<sup>106</sup>.

454 The SPCZ also influences the climate of Southern Hemisphere high latitudes via atmospheric 455 teleconnections. One such teleconnection is with temperatures of West Antarctic and the Antarctic Peninsula<sup>107</sup>. The variability of the SPCZ in early austral spring, especially on its 456 poleward side, is an important contributor to circulation and surface temperature trends across 457 the South Pacific, South Atlantic and West Antarctica. Increased deep convection along the 458 459 poleward edge of the SPCZ in September, driven by increased low-level wind convergence, produces a Rossby wave train that propagates across the South Pacific to the South Atlantic<sup>107</sup>. 460 461 In addition, many of the climate shifts across West Antarctica during 2000–2014, when the 462 IPO was negative, can be explained by an SPCZ teleconnection with the Amundsen Sea Low<sup>108</sup>. 463

### 464 **5. Climate change and the future of the SPCZ**

465 In addition to impacts due to natural variability discussed in the previous section, human-466 induced global warming can potentially alter the SPCZ location, intensity and variability, which would result in dramatic impacts on the climate of the South Pacific. A warming climate 467 468 is expected to lead to an enhanced hydrological cycle, with increased mean rainfall in tropical convergence zones such as the SPCZ<sup>109,110</sup> as well as altered rainfall patterns in response to 469 changes in SST gradients<sup>111,112</sup>. A warmer climate is also expected to lead to increases in 470 extreme rainfall events<sup>113</sup> as well as amplified impacts of ENSO events<sup>114</sup>. We next examine 471 472 historical observations of the SPCZ and then projections based on climate model simulations 473 of a warmer future.

### 474 **5.1 Historical observations**

The most recent analysis of regional rainfall trends in the South Pacific <sup>115</sup> indicates that trends 475 over the past 70 years (1951-2015) are generally weak and not significant, except in 476 477 southwestern French Polynesia and the southern subtropics, which both experienced declines 478 in total (-53.4 and -33.6 mm/decade respectively) as well as extreme rainfall. This contrasts 479 with an earlier analysis of historical rainfall records from South Pacific islands for 1961-2000<sup>77</sup>, 480 which found multi-decadal trends with wetter conditions to the northeast of the SPCZ and drier 481 to the southwest in response to an abrupt displacement of the diagonal section of the SPCZ in the late 1970s or early 1980s. The difference between the new and older analysis of SPCZ-482 483 region rainfall trends can be attributed to the shift to a negative IPO phase around 1999. This 484 suggests that South Pacific rainfall trends computed over relatively short periods (~40 years) may arise from natural interdecadal variability<sup>71</sup> rather than being a response to anthropogenic 485 486 warming.

The recent results pointing to weak historical trends in SPCZ rainfall are consistent with a study of a sea level pressure-based index of SPCZ position<sup>116</sup> demonstrating that the century-scale trend from 1910/11 to 2011/2012 is small and not significant compared with the interannual and interdecadal variability in SPCZ position. Thus the detection and attribution of observed anthropogenic rainfall changes in the SPCZ region is hampered by the large natural multidecadal variability over the relatively short period of reliable observations.

## 493 **5.2 Projections from climate models**

494 Assessment of the SPCZ response to global warming relies heavily on climate projections performed with coupled models such as those of the Coupled Model Intercomparison Project 495 (CMIP)<sup>117-119</sup>. Climate models are prescribed with a range of greenhouse gas concentrations 496 497 that are based on future emissions scenarios to produce projections of future climate, including 498 the SPCZ, which can be compared with model simulations of the historical period. The representation of tropical Pacific climate<sup>120,121</sup> and the SPCZ<sup>19</sup> is slightly improved in the most 499 500 recent generation of CMIP coupled climate models (see Box 1). However, climate models still 501 exhibit long-standing biases, including an excessively cold equatorial cold tongue that extends too far into the western Pacific<sup>122</sup> and a tendency for the SPCZ to be too zonal and extend too 502 far eastward<sup>19,36</sup>, sometimes referred to as the 'double ITCZ' bias. Since the simulation of 503 tropical rainfall and circulation is highly sensitive to the mean state of the tropical Pacific in 504 climate models<sup>111,112,123,124</sup>, the existence of model SST biases in this region limits the 505 506 reliability of future projections.

507 Climate models simulate a coherent tropical Pacific SST warming response to anthropogenic 508 forcing during the twenty-first century<sup>125,126</sup>, including a robust pattern of enhanced warming 509 in the equatorial Pacific<sup>127,128</sup>. Analysis of CMIP model simulations generally indicates no 510 consistent shift in SPCZ position in a warmer climate<sup>19,37</sup>, although most models do exhibit a 511 drying of up to 30% along the southeastern margin of the SPCZ (Fig. 5a). The drying is 512 attributable to increased anomalous transport of dry subtropical air into the SPCZ region associated with increased SST meridional gradients to the east<sup>18</sup>. Within the SPCZ core region, 513 514 two competing mechanisms largely explain the future uncertainty across models<sup>18</sup>: warmer 515 tropical SSTs lead to increased atmospheric moisture and rainfall (the thermodynamic or 'wet 516 gets wetter' response; Fig. 5b), whereas weaker SST gradients reduce moisture convergence in 517 the SPCZ leading to drying (the dynamic or 'warmest gets wetter' response; Fig. 5c). The 518 amount of future warming, as well as the projected SST pattern, largely determines which 519 mechanism dominates, with a drier SPCZ more likely for moderate warming and a wetter SPCZ more likely for greater warming (exceeding  $3^{\circ}$ C by the end of the century)<sup>18</sup>. 520

521 Recognition of systematic biases in global coupled models has motivated a range of alternative 522 approaches for simulating future changes in the SPCZ. Several studies have used atmospheric models forced with some form of bias-corrected SSTs<sup>18,39,40,123</sup> or explored the use of regional 523 models<sup>39,40</sup>. Atmosphere-only model simulations forced with SSTs consisting of the mean 524 525 warming pattern from CMIP models added to the present-day observed climatology indicate that future drying of the SPCZ is a foreseeable possibility<sup>18,40</sup>, unlike the coupled-model mean 526 527 projection of little change (see Fig. 5a). Projections from a set of regional atmospheric models forced at their boundaries with outputs from global CMIP models<sup>39</sup> exhibited a strong 528 529 sensitivity to the choice of regional model, and some agreement on future drying of the SPCZ. 530 Another focus of research on future SPCZ projections is the possibility of changes in 531 interannual variability, especially the occurrence of zonal SPCZ events that produce the most severe climate impacts on the South Pacific. Despite an absence of consensus on how ENSO-532 driven SST variability may change in the future<sup>114,125,126</sup>, a study based on a large ensemble of 533 534 climate model experiments<sup>13</sup> reported a near doubling of zonal SPCZ event occurrence in the period 1991-2090 compared with 1891-1990. The increased occurrence of zonal SPCZ events 535 536 over the twenty-first century stems from reduction of the South Pacific meridional SST 537 gradient<sup>13,129</sup>, which facilitates equatorward displacement of the SPCZ. The increase in zonal 538 SPCZ events also drives a similar enhancement in El Niño–related sea level extremes in the 539 tropical southwestern Pacific<sup>94</sup>. In contrast with results based on CMIP models<sup>13</sup>, projections 540 using bias-corrected models did not find an increase in zonal SPCZ events in the future, even 541 with weakened meridional SST gradients<sup>39,40,130</sup>.

## 542 **6. Summary and future perspectives**

Recent decades have seen an accumulation of studies contributing to an improved understanding of the SPCZ, from its fundamental dynamics to its response to anthropogenic climate change. Building on earlier work and making use of extended observational records, satellite data, reanalyses and climate model experiments, we can begin to construct a comprehensive description of the SPCZ which links its behavior on daily timescales to its interannual and interdecadal variability and long term trends.

549 Studies of SPCZ dynamics have stimulated improved understanding of the main drivers 550 responsible for the diagonal orientation of the subtropical SPCZ. However the respective contribution of main mechanisms (that is, transient bursts of diagonal convection triggered by 551 552 Rossby waves, direct forcing by tropical convection and southwestward moisture advection 553 from the eastern Pacific dry zone) remains to be adequately quantified. The availability of new 554 or refined data sets may open additional pathways for research. For example, new atmospheric 555 and oceanic reanalyses that begin early in the twentieth-century could be used to evaluate SPCZ variability prior to the satellite era<sup>23</sup>. As much of the SPCZ region remains poorly observed, 556 557 both in the atmosphere and ocean, targeted field campaigns are needed. New observations 558 could be used to study the interplay of dynamical mechanisms and thermodynamic processes 559 that affect the SPCZ. Specifically, aspects of the SPCZ such as the vertical distribution of 560 diabatic heating, cloud radiative interactions, and air-sea interactions, would benefit from enhanced observations. One important focus is to clearly identify the main sources of moisture
for the SPCZ and how air masses are modified as they flow into and sustain rainfall in the
SPCZ.

Analysis of the natural variability of the SPCZ on interannual timescales has revealed an 564 565 unexpected complexity beyond a simple north/south or east/west displacement with ENSO 566 phases. Instead, studies have found that strong warming in the tropical eastern Pacific may 567 drive a dramatic northward relocation and rotation of the SPCZ, which causes its convection 568 to merge with the ITCZ near the equator during so-called 'zonal SPCZ' events. Further work 569 is needed to extend this analysis to fully assess the impact of different 'flavors' of El Niño events (eastern Pacific versus central Pacific)<sup>131</sup> on the SPCZ, as well as possible future 570 571 changes in the pattern or frequency of these events.

572 Additional paleoclimate records, such as corals and speleothems, may also help to extend understanding of SPCZ variability on interannual, interdecadal and longer timescales. New 573 574 multi-proxy datasets are being developed which promise to provide valuable tools for 575 reconstructing changes in the SPCZ in a range of past climates. These include the PAGES2K database<sup>132</sup> and other marine and continental proxy databases (such as Iso2K, CoralHyro2K, 576 577 SISAL and MARPA). Paleoclimate records of past rainfall, salinity and other variables relevant 578 to the SPCZ may enable reconstructions of the response of the SPCZ to cold glacial conditions, 579 or to changes in zonal or meridional temperature gradients in past climates. If past SPCZ 580 changes can be reconstructed with sufficient confidence, this provides a target for climate model simulations<sup>133</sup>. Those models which are better able to simulate the SPCZ in past climates 581 582 may provide more robust future projections.

Reliable projections of future changes in the SPCZ are necessary to support climate adaptation
in the South Pacific islands. Coupled climate models have long struggled to accurately simulate
the SPCZ (see Box 1) and future projections of rainfall changes remain highly uncertain (Fig.

586 5a). There is a need for long-term efforts to improve climate model representation of the Pacific oceanic and atmospheric mean state and ENSO variability<sup>134</sup>. Such improvements are a 587 necessary condition for an improved simulation of the SPCZ, although model resolution, 588 sophistication of model convection schemes, and representation of atmosphere-ocean 589 590 feedbacks may also play important roles. When robust regional projections are urgently 591 required, some form of model bias correction (atmospheric experiments forced with corrected SST or flux-adjusted climate simulations) may improve model projections in the shorter term<sup>40</sup>. 592 593 Much progress has been made towards understanding the SPCZ, yet some areas of uncertainty 594 remain. Topics for future work include: seeking improved understanding of the relationship 595 between the SPCZ and SST patterns; further investigation of the similarities and differences 596 between the SPCZ and other diagonal convergence zones such the SACZ; and better 597 description of the impact of the SPCZ on regional and global weather and climate with focus 598 on improved forecasting capabilities. In order to prepare for future changes in South Pacific 599 rainfall and sea level variability, tropical cyclone formation and other impacts of the SPCZ, 600 producing reliable climate projections has emerged as a critical need. Addressing these issues 601 will help to better understand a key aspect of the global climate system, as well as support building resilience in the South Pacific islands to future climate variability and change. 602

## 603 Text Box 1: How well do climate models simulate the SPCZ?

604 Climate models from the Coupled Model Intercomparison Project phase 5 (CMIP5<sup>118</sup>) exhibit 605 a similar level of skill to the prior generation of CMIP3<sup>117</sup> models, albeit with fewer extremely 606 poor models<sup>19</sup>. The current generation of CMIP6<sup>119</sup> models show a similar modest incremental 607 improvement (see Fig. 6). Persistent model biases include an overly zonal SPCZ that extends 608 too far eastward in many models<sup>19,38,121</sup> as well as a cold tongue that extends too far 609 westward<sup>122,128</sup>. The overly zonal nature of the western, tropical portion of the SPCZ can be 610 dynamically linked to the cold tongue bias<sup>38</sup>. The inter-model spread in the simulated SPCZ in 611 current generation models does not occur only in coupled ocean-atmosphere models: model612 to-model differences in SPCZ orientation are reduced when using atmosphere-only models
613 with prescribed SSTs, but large differences in SPCZ rainfall intensity remain<sup>135</sup>.

614 The persistent cold tongue and zonal SPCZ biases in climate models can influence the 615 projection of future changes in the SPCZ. In particular, the cold tongue bias may induce unrealistic changes in rainfall in response to warming<sup>128</sup>. Mean state biases can also alter the 616 pattern of SST change<sup>40,123</sup>. However, despite the presence of biases, many CMIP5 models 617 618 produce a realistic north-east and south-west displacement of the SPCZ in response to El Niño and La Niña events<sup>19</sup>. A smaller subset of models also captures the extreme 'zonal SPCZ' 619 620 events<sup>13,55</sup>, although future changes in frequency of such events are dependent on the modelling 621 configuration used<sup>13,40</sup>. Model evaluation has further demonstrated that CMIP5 models plausibly simulate the interaction between large-scale circulation, moisture, and rainfall in the 622 623 eastern SPCZ region, indicating that the dynamic and thermodynamic processes responsible 624 for the large-scale circulation-moisture-rainfall relationship are reasonably simulated in these models<sup>136</sup>. 625

### 626 Figure Captions

Figure 1: Climatology of the South Pacific. December to February a CMAP<sup>137</sup> precipitation
(mm/day, colors) and NCEP2<sup>138</sup> 925hPa winds (m/s, vectors), and b ERSSTv5<sup>139</sup> sea surface
temperature (°C, colors) and NCEP2<sup>138</sup> mean sea level pressure (hPa, contour lines) averaged
over 1980-2005.

631 Figure 2: Mechanisms for formation of the diagonal SPCZ. (a) Extratropical-tropical 632 interaction: the zonally asymmetric SST distribution generates a subtropical anticyclone over 633 the southeast Pacific, which results in southwestward moisture transport into the SPCZ region. 634 Dynamical forcing from equatorward propagating Rossby waves triggers convection in a 635 northwest-southeast oriented band forming the diagonal SPCZ. Moisture is supplied at low levels from surface evaporation and advection around the eastern Pacific subtropical high 636 [Adapted from refs.<sup>29,31</sup>]. (b) Direct forcing by tropical convection: convection over the 637 638 Maritime Continent forces an equatorial Rossby wave response with an upper-tropospheric 639 anticyclone. On its eastern flank, this advects large magnitude potential vorticity (PV) equatorward, from the PV reservoir associated with the subtropical jet. The PV anomaly 640 641 destabilises the atmosphere and leads to deep convection along the SPCZ [Adapted from refs.<sup>34,44</sup>]. 642

643 Figure 3: Displacement of the SPCZ in response to ENSO. Mean December to February 644 SPCZ position in: a all years, b La Niña years, c weak to moderate El Niño years, and d strong El Niño years (1979-2018) using CMAP<sup>137</sup> precipitation and NINO3 SST from ERSSTv5<sup>139</sup> to 645 classify events. Weak-moderate El Niño is NINO3 greater than 0.5 standard deviations and 646 647 less than 1.5 standard deviations, La Niña is NINO3 less than -0.5 standard deviations, strong El Niño is NINO3 greater than 1.5 standard deviations. SPCZ line (yellow) is fitted to the 648 649 latitude of maximum precipitation at each longitude in the range 155°E-150°W and 0-30°S. 650 Red dashed line in **b**-**d** is all year average SPCZ position shown in **a**. Contour lines in **b**-**d** are 651 rainfall anomaly relative to all year average (levels = -4, -2, -1, 1, 2 and 4 mm/day with negative 652 values as dashed lines).

# **Figure 4: Impacts of SPCZ variability on interannual timescales associated with ENSO.**

**a** The linear regression of the tropical cyclone annual track density (July–June averages) from

655 the IBTrACS<sup>140</sup> observational dataset onto the average November-April seasonal Oceanic

Nino Index (ONI) during 1979–2016. The tropical cyclone track density is normalized over the

map domain for each year. Tracks during extreme El Niño seasons (corresponding to zonal SPCZ events: 1982/83, 1991/92, 1997/98, and 2015/16) are shown in green. **b** Satellite and tide-gauge measured sea level variability from the CMEMS dataset<sup>141</sup> and Joint Archive for Sea Level holdings<sup>142</sup>, contour and circle shadings respectively. The linear regression of November–April sea level anomalies onto the seasonal ONI during 1994–2016 is shown (shading). The average sea level anomaly during 1997/98 and 2015/16 is indicated by the blue (-10 cm) and red (10 cm) contours.

Figure 5: Future change of the SPCZ. a The multi-model rainfall projection from 36 CMIP5 664 models for the RCP8.5 W m<sup>-2</sup> greenhouse warming scenario during 2075–2100 compared to 665 666 the historical simulation during 1980–2005. Changes are expressed as percentages compared 667 to the historical rainfall in CMIP5. Stippling indicates regions where less than 2/3 of models agree on the sign of future change (larger circles) or future change is less than  $\pm 1$  mm day<sup>-1</sup> 668 (smaller diamonds). The 5 mm day<sup>-1</sup> contours of mean rainfall observed (blue; GPCP<sup>143</sup> dataset 669 during 1980–2005) and simulated (magenta; CMIP5 historical during 1980–2005) are outlined. 670 671 **b** and **c** Illustrations of the thermodynamic (wet gets wetter) and dynamic (warmest gets wetter) 672 mechanisms affecting the SPCZ rainfall response to greenhouse warming (adapted from ref.<sup>18</sup>). 673 Green and brown arrows indicate a tendency for increased or decreased rainfall, respectively, 674 associated with either mechanism. Conditions during DJF are shown in all panels.

Figure 6: How well do climate models simulate the SPCZ? DJF seasonal average rainfall (mm/day) for 1980-1999 for a CMAP<sup>137</sup> observations, b CMIP3<sup>117</sup> Multi-Model Mean (MMM) (24 models), c CMIP5<sup>118</sup> MMM (26 models) and d CMIP6<sup>119</sup> MMM (27 models). SPCZ line is fitted to the latitude of maximum precipitation at each longitude in the range 155°E-140°W and 0-30°S. The slope (s, °S/°E) and mean latitude (*lat*, °S) of the SPCZ line are shown at upper right of each plot.

# 682 **References**

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- 1103
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Figure 1: Climatology of the South Pacific. December to February a CMAP<sup>137</sup> precipitation (mm/day,
colors) and NCEP2<sup>138</sup> 925hPa winds (m/s, vectors), and b ERSSTv5<sup>139</sup> sea surface temperature (°C,
colors) and NCEP2<sup>138</sup> mean sea level pressure (hPa, contour lines) averaged over 1980-2005.

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1119 Figure 2: Mechanisms for formation of the diagonal SPCZ. (a) Extratropical-tropical interaction: 1120 the zonally asymmetric SST distribution generates a subtropical anticyclone over the southeast Pacific, 1121 which results in southwestward moisture transport into the SPCZ region. Dynamical forcing from 1122 equatorward propagating Rossby waves triggers convection in a northwest-southeast oriented band 1123 forming the diagonal SPCZ. Moisture is supplied at low levels from surface evaporation and advection 1124 around the eastern Pacific subtropical high [Adapted from refs.<sup>29,31</sup>]. (b) Direct forcing by tropical 1125 convection: convection over the Maritime Continent forces an equatorial Rossby wave response with 1126 an upper-tropospheric anticyclone. On its eastern flank, this advects large magnitude potential vorticity (PV) equatorward, from the PV reservoir associated with the subtropical jet. The PV anomaly 1127 destabilises the atmosphere and leads to deep convection along the SPCZ [Adapted from refs.<sup>34,44</sup>]. 1128





1131 Figure 3: Displacement of the SPCZ in response to ENSO. Mean December to February SPCZ 1132 position in: a all years, b La Niña years, c weak to moderate El Niño years, and d strong El Niño years (1979-2018) using CMAP<sup>137</sup> precipitation and NINO3 SST from ERSSTv5<sup>139</sup> to classify events. Weak-1133 1134 moderate El Niño is NINO3 greater than 0.5 standard deviations and less than 1.5 standard deviations, 1135 La Niña is NINO3 less than -0.5 standard deviations, strong El Niño is NINO3 greater than 1.5 standard 1136 deviations. SPCZ line (yellow) is fitted to the latitude of maximum precipitation at each longitude in 1137 the range 155°E-150°W and 0-30°S. Red dashed line in **b-d** is all year average SPCZ position shown 1138 in **a**. Contour lines in **b**-**d** are rainfall anomaly relative to all year average (levels = -4, -2, -1, 1, 2 and 4 1139 mm/day with negative values as dashed lines).





1143 Figure 4: Impacts of SPCZ variability on interannual timescales associated with ENSO. a The 1144 linear regression of the tropical cyclone annual track density (July–June averages) from the IBTrACS<sup>140</sup> 1145 observational dataset onto the average November-April seasonal Oceanic Nino Index (ONI) during 1146 1979–2016. The tropical cyclone track density is normalized over the map domain for each year. Tracks 1147 during extreme El Niño seasons (corresponding to zonal SPCZ events: 1982/83, 1991/92, 1997/98, and 1148 2015/16) are shown in green. **b** Satellite and tide-gauge measured sea level variability from the CMEMS 1149 dataset<sup>141</sup> and Joint Archive for Sea Level holdings<sup>142</sup>, contour and circle shadings respectively. The 1150 linear regression of November-April sea level anomalies onto the seasonal ONI during 1994-2016 is 1151 shown (shading). The average sea level anomaly during 1997/98 and 2015/16 is indicated by the blue 1152 (-10 cm) and red (10 cm) contours.



1156 Figure 5: Future change of the SPCZ. a The multi-model rainfall projection from 36 CMIP5 models for the RCP8.5 W m<sup>-2</sup> greenhouse warming scenario during 2075–2100 compared to the historical 1157 1158 simulation during 1980–2005. Changes are expressed as percentages compared to the historical rainfall 1159 in CMIP5. Stippling indicates regions where less than 2/3 of models agree on the sign of future change (larger circles) or future change is less than  $\pm 1$  mm day<sup>-1</sup> (smaller diamonds). The 5 mm day<sup>-1</sup> contours 1160 of mean rainfall observed (blue; GPCP<sup>143</sup> dataset during 1980–2005) and simulated (magenta; CMIP5 1161 1162 historical during 1980–2005) are outlined. **b** and **c** Illustrations of the thermodynamic (wet gets wetter) 1163 and dynamic (warmest gets wetter) mechanisms affecting the SPCZ rainfall response to greenhouse 1164 warming (adapted from ref.<sup>18</sup>). Green and brown arrows indicate a tendency for increased or decreased rainfall, respectively, associated with either mechanism. Conditions during DJF are shown in all panels. 1165



1168Figure 6: How well do climate models simulate the SPCZ? DJF seasonal average rainfall (mm/day)1169for 1980-1999 for a CMAP<sup>137</sup> observations, b CMIP3<sup>117</sup> Multi-Model Mean (MMM) (24 models), c1170CMIP5<sup>118</sup> MMM (26 models) and d CMIP6<sup>119</sup> MMM (27 models). SPCZ line is fitted to the latitude of1171maximum precipitation at each longitude in the range 155°E-140°W and 0-30°S. The slope (s, °S/°E)1172and mean latitude (lat, °S) of the SPCZ line are shown at upper right of each plot.