

1 Investigation of East Asian emissions of CFC-11
2 using atmospheric observations in Taiwan

3 *Karina E. Adcock*[†], Matthew J. Ashfold[°], Charles C-K Chou[±], Lauren J. Gooch[†], Norfazrin*
4 *Mohd Hanif^{†,‡}, Johannes C. Laube^{†,§}, David E. Oram^{†,¶}, Chang-Feng Ou-Yang[#], Marios Panagi[∇],*
5 *William T. Sturges[†] and Claire E. Reeves[†]*

6 [†]Centre for Oceanography and Atmospheric Science, School of Environmental Sciences,
7 University of East Anglia, Norwich, UK

8 [°]School of Environmental and Geographical Sciences, University of Nottingham Malaysia,
9 43500 Semenyih, Malaysia

10 [±]Research Center for Environmental Changes, Academia Sinica, Taipei 11529, Taiwan

11 [‡]Now at School of Environmental and Natural Resource Sciences, Faculty of Science and
12 Technology, Universiti Kebangsaan Malaysia, 43600 Bangi, Selangor, Malaysia

13 [§]Now at Institute of Energy and Climate Research – Stratosphere (IEK-7), Forschungszentrum
14 Jülich GmbH, Jülich, Germany

15 [¶]National Centre for Atmospheric Science, University of East Anglia, Norwich, UK

16 [#]Department of Atmospheric Sciences, National Central University, Taoyuan, Taiwan

17 [▽] National Centre for Atmospheric Science, Department of Chemistry, University of Leicester,
18 Leicester, UK

19 **ABSTRACT**

20 Recent findings of an unexpected slowdown in the decline of CFC-11 mixing ratios in the
21 atmosphere have led to the conclusion that global CFC-11 emissions have increased over the last
22 decade and have been attributed in part to eastern China. This study independently assesses these
23 findings by evaluating enhancements of CFC-11 mixing ratios in air samples collected in Taiwan
24 between 2014 and 2018. Using the NAME (Numerical Atmospheric Modelling Environment)
25 particle dispersion model we find the likely source of the enhanced CFC-11 observed in Taiwan
26 to be East China. Other halogenated trace gases were also measured and there were positive
27 interspecies correlations between CFC-11 and CHCl_3 , CCl_4 , HCFC-141b, HCFC-142b, CH_2Cl_2
28 and HCFC-22, indicating co-location of the emissions of these compounds. These correlations in
29 combination with published emission estimates of CH_2Cl_2 and HCFC-22 from China, and of
30 CHCl_3 and CCl_4 from eastern China, are used to estimate CFC-11 emissions. Within the
31 uncertainties, these estimates do not differ for eastern China and the whole of China, so we
32 combine them to derive a mean estimate which we refer to as being from '(eastern) China'. For
33 2014-2018 we estimate an emission of $19 \pm 5 \text{ Gg yr}^{-1}$ (gigagrams per year) of CFC-11 from
34 (eastern) China, approximately one quarter of global emissions. Comparing this to previously
35 reported CFC-11 emissions estimated for earlier years we estimate CFC-11 emissions from
36 (eastern) China to have increased by $7 \pm 5 \text{ Gg yr}^{-1}$ from the 2008-2011 average to the 2014-2018
37 average, which is $50 \pm 40\%$ of the estimated increase in global CFC-11 emissions and is consistent
38 with the emission increases attributed to this region in an earlier study.

39 INTRODUCTION

40

41 CFC-11 (trichlorofluoromethane, CCl_3F) is presently the second most abundant
42 chlorofluorocarbon in the atmosphere with average global mixing ratios of 231-234 parts per
43 trillion (ppt) in 2018¹. It is a long-lived ozone-depleting substance (atmospheric lifetime of 52
44 years) that is controlled under the Montreal Protocol on Substances that Deplete the Ozone Layer².
45 The Montreal Protocol phased out production and consumption of CFCs (including CFC-11) by
46 1996 in developed countries and by 2010 in developing countries, with a few 'essential' use
47 exceptions³. CFC-11 was used primarily as a foam-blowing agent, as an aerosol propellant and as
48 a refrigerant⁴. CFC-11 global emissions peaked at about 350 Gg yr⁻¹ in the late 1980s and its
49 tropospheric mixing ratios peaked in the early 1990s at about 270 ppt, after which both began to
50 decline².

51

52 Excluding 'essential' uses, assuming no new production, there should only be CFC-11 emissions
53 from equipment and products filled with CFC-11 before the ban, referred to as a 'bank' e.g. foam
54 cells in building insulation. CFC-11 emissions are expected to be slowly released from the bank
55 and to decrease over time as the bank diminishes. However, a recent study found an unexpected
56 slowdown in the rate of decline of CFC-11 mixing ratios and an increase in global CFC-11
57 emissions of 13 ± 5 Gg yr⁻¹ from 54 ± 3 Gg yr⁻¹ in 2002-2012 to 67 ± 3 Gg yr⁻¹ in 2014-2016⁵.
58 Another study also recently found an increase in global CFC-11 emissions of 17 ± 3 Gg yr⁻¹ or 11
59 ± 3 Gg yr⁻¹ between 2008-2012 and 2014-2017⁶.

60 There are multiple possible origins of these additional emissions: an increase in the emissions rate
61 from CFC-11 banks; a change in exempt uses of CFC-11; changes in atmospheric dynamics; or

62 from illegal production. It is unlikely that there would be a large enough increase in emissions
63 from banks^{4,5,7} or exempt uses of CFC-11⁴ to explain the change in CFC-11 emissions and changes
64 in atmospheric dynamics can likely only explain part of the increase in emissions⁵.

65
66 Therefore, it is likely that since at least 2012 there has been an additional source of CFC-11 from
67 production not allowed under the Montreal Protocol. East Asia⁵, specifically eastern mainland
68 China⁶, has been identified as a likely source of these new CFC-11 emissions. CFC-11 emissions
69 from eastern mainland China were estimated to be $13.4 \pm 1.7 \text{ Gg yr}^{-1}$ in 2014-2017, this is $7.0 \pm$
70 3.0 Gg yr^{-1} higher than in 2008-2012⁶. The reasons for a potential increase in the illegal production
71 of CFC-11 are a subject of speculation. It has been suggested that reduced availability of HCFC-
72 141b and increased demand for foams in building insulation may have driven demand for new
73 production of CFC-11 for rigid polyurethane foams^{4, 8, 9}. During the foaming process for rigid
74 foams approximately 4% (e.g. appliance foams) to 25% (e.g. spray foams) of the blowing agent is
75 immediately released to the atmosphere^{4, 8}. Therefore, if CFC-11 was being used for this then that
76 may account for at least some of the recent increase in atmospheric levels of CFC-11. Furthermore,
77 a large amount of the CFC-11 will remain in the foams, thereby increasing the size of the CFC-11
78 bank and the potential for further emissions of CFC-11 in the future^{4, 10}. Continued emissions of
79 the ozone depleting substance CFC-11 could undermine the success of the Montreal Protocol and
80 delay the recovery of the ozone layer².

81

82 **METHODS**

83

84 In this study we measured multiple halogenated organic trace gases, including CFC-11, in air
85 samples collected in Taiwan, using gas chromatography coupled with mass spectrometry (GC-
86 MS). Five ground-based air sampling campaigns took place in Taiwan from 2014 to 2018.
87 Between 20 and 33 air samples were collected in the spring of each year (mostly March – April;
88 including May and early June in 2017-18) with a total of 135 samples collected altogether (Table
89 S1). In 2015, samples were collected from a site on the southern coast of Taiwan (Hengchun,
90 22.0547 °N, 120.6995 °E). In all other years samples were collected at the Cape Fuguei (CAFE)
91 Research Station, operated by Academia Sinica, on the northern coast of Taiwan (25.297 °N,
92 121.538 °E). Both sampling sites are well located to study the East Asian outflow. During the
93 springtime, Taiwan is typically influenced by strong continental outflow from East Asia,
94 particularly from China^{11, 12}.

95

96 **Analytical technique**

97

98 Air samples were collected in 3-litre silco-treated stainless-steel canisters (Restek) using a small
99 12 VDC diaphragm pump (Air Dimensions, model B161). During sampling they were filled and
100 vented at least 3 times before filling to a final pressure of ~2 bar which takes a few minutes. The
101 samples were then transported to the University of East Anglia (UEA) and analysed for about 50
102 trace gases including CFC-11. The samples were analysed on an Agilent 6890 gas chromatograph
103 coupled to a high-sensitivity Waters AutoSpec magnetic sector mass spectrometer (GC-MS) using
104 an Agilent GS-GasPro column (length ~50 m; ID: 0.32mm). For more information see the
105 supplement. The samples in 2014 were also measured on a second GC-MS system (Entech-Agilent
106 GC-MS) operating in electron ionisation (EI) mode. This consists of a preconcentration unit

107 (Entech model 7100) connected to an Agilent 6890GC and 5973 quadrupole MS¹³. In this study
108 the CFC-11, CCl₄, CHCl₃, HCFC-22, HCFC-141b, and HCFC-142b mixing ratios in 2014 come
109 from the Entech GC-MS measurements as these compounds were not measured on the AutoSpec
110 GC-MS in 2014. The mixing ratios in all other years (2015-2018) come from the measurements
111 on the AutoSpec GC-MS. The CH₂Cl₂ mixing ratios come from measurements made on the
112 AutoSpec GC-MS for all five years (2014-2018).

113
114 The samples were measured against several clean air standards filled and calibrated by the
115 Global Monitoring Division (GMD) of the National Oceanic and Atmospheric Administration
116 (NOAA) in Boulder, Colorado. Multiple internal comparisons carried out over more than 10 years
117 ensured the reliability and accuracy of the mixing ratios of all trace gases reported here and
118 previous comparisons with NOAA measurements have shown excellent agreement¹⁴. All CFC-11
119 results were transferred on to the recent NOAA 2016 GC-ECD calibration scale. The dry-air mole
120 fraction in picomole per mole was measured, and we here report mixing ratios, in parts per trillion
121 (ppt), as an equivalent to the dry-air mole fraction. The uncertainties are calculated the same way
122 for all measurements and represent 1 σ standard deviations. They are based on the square root of
123 the sum of the squared uncertainties from sample repeats and repeated measurements of the air
124 standard on the same day.

125

126 **Identification of CFC-11 source regions**

127

128 The history of air arriving at the sampling sites has been investigated with the Met Office's
129 NAME (Numerical Atmospheric Modelling Environment) Lagrangian particle dispersion model¹⁵.

130 These histories (hereafter footprints) were calculated by releasing batches of 30000 inert particles
131 over a three-hour period encompassing the collection time of each sample. Over the course of the
132 12-day travel time, the locations of all particles within the lowest 100 m of the model atmosphere
133 were recorded every 15 minutes on a grid with a resolution of 0.25° longitude and 0.25° latitude.
134 The trajectories of the particles were calculated using three-dimensional meteorological fields
135 produced by the Met Office's Numerical Weather Prediction tool, the Unified Model (UM). These
136 fields have a horizontal grid resolution of 0.23° longitude by 0.16° latitude and 59 vertical levels
137 below ~ 30 km.

138
139 In order to quantify the contribution of various geographical regions to each footprint, the
140 domain was divided into 15 regions using shapefiles produced by ArcGIS, a geographic
141 information system (GIS) (Figure S1). The 15 regions were determined by country boundaries and
142 China was split into regions using province boundaries. The output underpinning the NAME
143 footprints, a mass density residence time ($\text{g m}^{-3} \text{ s}$) in each model grid cell, is summed across all
144 grid cells within each of these 15 regions. These regional quantities are used to assess the possible
145 relationships between emissions from specific regions and the mixing ratios of CFC-11 observed
146 in Taiwan.

147
148 Additionally, the NAME footprints were combined with emission inventories of carbon
149 monoxide (CO) taken from the Representative Concentration Pathway 8.5 (RCP 8.5)¹⁶ for the year
150 2010 to generate modelled CO mixing ratios at Taiwan resulting only from emissions occurring
151 within the 12-day timescale of the NAME trajectories^{12, 17}. The RCP uses decade long averages
152 and 2010 is used as it is the closest to the years of the campaigns in Taiwan. CO is a tracer of
153 anthropogenic emissions and in this study the modelled CO is divided into various anthropogenic

154 emission sectors e.g. ‘industry (combustion and processing)’ and ‘residential and commercial’.
155 The correlations between the CFC-11 mixing ratios in Taiwan and the modelled CO from the
156 emission sectors in East Asia were then calculated to investigate the spatial distribution of CFC-
157 11 emissions.

158 **Correlations of CFC-11 with other trace gases**

160 The relationship between mixing ratios of CFC-11 and other halocarbons were investigated
161 using the Spearman's rank correlation coefficient (R). Spearman's was selected as these data are
162 not normally distributed with a few samples having particularly high halocarbon mixing ratios,
163 including those of CFC-11. Spearman's method gave slightly lower correlation coefficients for
164 these data than the Pearson's method. The significance of the correlations were tested using a two-
165 tailed Student's t-distribution. The background mixing ratios for the months of the campaign were
166 subtracted from each year to account for any long-term trends. For CFC-11, CFC-12 and CCl₄ the
167 NOAA Northern Hemisphere background was used
168 (<https://www.esrl.noaa.gov/gmd/dv/ftpdata.html>). For CH₂Cl₂ and CHCl₃ NOAA does not provide
169 background values so the 10th percentile of our measurements for each year were used. To
170 calculate the interspecies ratios the enhancements of CFC-11 above its background were plotted
171 against the enhancements of each compound above their respective backgrounds. The slopes were
172 calculated by total least squares regression using the York-Williamson method to account for
173 uncertainties in mixing ratios of both species¹⁸. These slopes were then used to estimate CFC-11
174 emissions^{19, 20}.

176 **Estimation of CFC-11 emissions from China**

178

179 Similar to the approach used in some previous studies of halocarbon emissions from China^{19, 20},
 180 we estimated emissions of CFC-11 using the slope of CFC-11 mixing ratio enhancements against
 181 those of other compounds which had a good correlation with CFC-11 and had published emissions.
 182 The compounds chosen were CCl₄, CHCl₃, CH₂Cl₂ and HCFC-22. Equations (1) and (2) were used
 183 to calculate emissions of CFC-11 and their uncertainties.

184

$$E_{CFC-11} = S E_x \frac{M_{CFC-11}}{M_x} \quad (1)$$

$$\sigma_{E_{CFC-11}} = E_{CFC-11} \sqrt{\frac{\sigma_S^2}{S} + \frac{\sigma_{E_x}^2}{E_x}} \quad (2)$$

185
 186 E_{CFC-11} and E_x represent emissions of CFC-11 and halocarbon x respectively; M_{CFC-11} and
 187 M_x represent the molecular weights of CFC-11 and halocarbon x respectively; and S represents
 188 the slope of the correlation. $\sigma_{E_{CFC-11}}$ is the uncertainty in the CFC-11 emissions; σ_S is the
 189 uncertainty in the slope of the correlation; and σ_{E_x} is the uncertainty in the emissions of halocarbon
 190 x .

191
 192 The CCl₄ emissions used in this study were calculated by Lunt et al.²¹ for eastern China in 2009-
 193 2016 using a top-down approach with atmospheric measurements from Gosan, South Korea, and
 194 two atmospheric inversion models, NAME: 17 (11-24) Gg yr⁻¹ and FLEXPART: 13 (7-19) Gg yr⁻¹.
 195 The CHCl₃ emissions used in this study were calculated by Fang et al.²² for eastern China in 2015
 196 using measurements from Gosan and from Hateruma, Japan and the same two atmospheric
 197 inversion models, NAME: 82 (70-101) Gg yr⁻¹, FLEXPART: 88 (80-95) Gg yr⁻¹. The HCFC-22
 198 emissions used were taken from Li et al.²³, who calculated 134 (100-167) Gg yr⁻¹ for China in
 199 2016 using an emission-factor based (bottom-up) method. Two reported emission estimates for

200 CH₂Cl₂ were used: bottom-up emissions in China of 318 (254-384) Gg yr⁻¹ for 2016 were
201 calculated by Feng et al.²⁴ based on a survey of known consumption and emission factors in
202 industrial sub-sectors; and 455 (410-501) Gg yr⁻¹ (2016) were calculated by Oram et al.¹⁷, based
203 on chlorocarbon production and sales information for 2015. The main difference between these
204 two estimates is the amount of CH₂Cl₂ produced. Oram et al.¹⁷ estimated Chinese CH₂Cl₂
205 production to be 715 Gg using the reported production of HCFC-22, whilst Feng et al.²⁴ estimated
206 600 Gg of CH₂Cl₂ production, based on surveys in the Chinese chloro-alkali industry.

207

208 **Estimation of changes in CFC-11 emissions from China**

209

210 One key question is whether CFC-11 emissions from China have increased in recent times and,
211 if so, by how much. The Taiwan measurements only cover the period 2014-2018 and so to look at
212 CFC-11 emissions in China over a longer period of time, back to 2008, we compared the emissions
213 derived here with previous studies.^{6, 19, 20, 25-28} There are some differences in the methods used in
214 these studies to calculate the emissions. All emission estimates from these studies are top-down
215 except those from Wan et al.²⁵ and Fang et al.²⁸ which are bottom-up estimates. Wan et al.²⁵, Fang
216 et al.¹⁹, Wang et al.²⁰ and Fang et al.²⁸ are emission estimates for the whole of China. Those from
217 Kim et al.²⁶, An et al.²⁷ and Rigby et al.⁶ are for eastern China. We have included all these estimates
218 accepting that we are not always comparing like with like as there was no clear difference between
219 CFC-11 emission estimates for the whole of China and eastern China (Figure 3). Also, we decided
220 to include as many studies as possible to increase the confidence in our estimate and in-order to
221 show the possible uncertainty. For further information see Table S2.

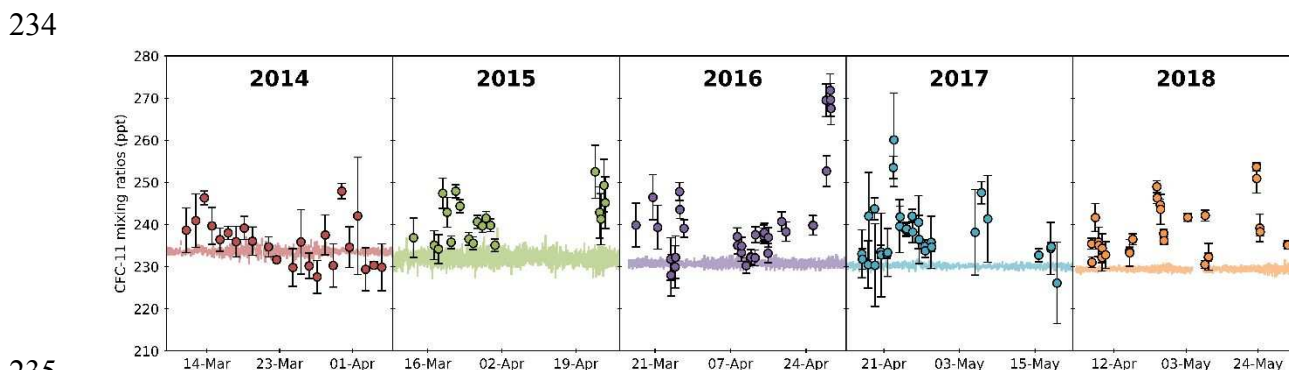
222

223 **RESULTS AND DISCUSSION**

224

225 **CFC-11 mixing ratios in Taiwan**

226
 227 Across all five years the CFC-11 mixing ratios in Taiwan range from 226 ppt to 272 ppt (Figure
 228 1, Table S1). They are on average 3% higher than the northern hemispheric background mixing
 229 ratios as represented by Mauna Loa, Hawaii. Some of the measurements are consistent with the
 230 background, while many, especially those in years 2016-2018, contain higher mixing ratios than
 231 those observed at Mauna Loa implying that CFC-11 is enhanced on a regional scale (Figure 1).
 232 Samples with particularly high CFC-11 mixing ratios provide observational evidence of CFC-11
 233 emissions from relatively nearby sources.



235
 236 **Figure 1.** CFC-11 mixing ratios in Taiwan 2014-2018. The measurement campaigns lasted for 1-
 237 3 months each year. Uncertainties represented by the error bars are described in the text. Hourly
 238 in situ measurements of CFC-11 mixing ratios at Mauna Loa, Hawaii from the NOAA/ESRL
 239 Global Monitoring Division are included for comparison
 240 (<ftp://ftp.cmdl.noaa.gov/hats/cfcs/cfc11/insituGCs/CATS/hourly/>). The standard deviation error
 241 bars of the Mauna Loa measurements are plotted in the same color as the data.

242
 243 **CFC-11 source regions**

244
 245 For all years combined, the strongest positive correlation is between CFC-11 mixing ratios and
 246 contributions to the NAME footprints from the East China region, with a Spearman's correlation

247 coefficient of $R = 0.495$, $p < 0.01$ (Figure S2). All other regions have a correlation with CFC-11
248 mixing ratios of $R < 0.3$. East China includes major industrialized areas such as the Yangtze River
249 Delta that have previously been identified as the source region of other chloromethanes: methyl
250 chloride²⁹ and carbon tetrachloride²¹. Rigby et al.⁶ also focused on eastern mainland China but
251 they identified the Shandong and Hebei provinces as the main source of CFC-11 emissions.
252 Shandong is part of our East China region, but Hebei is slightly further north than our East China
253 region.

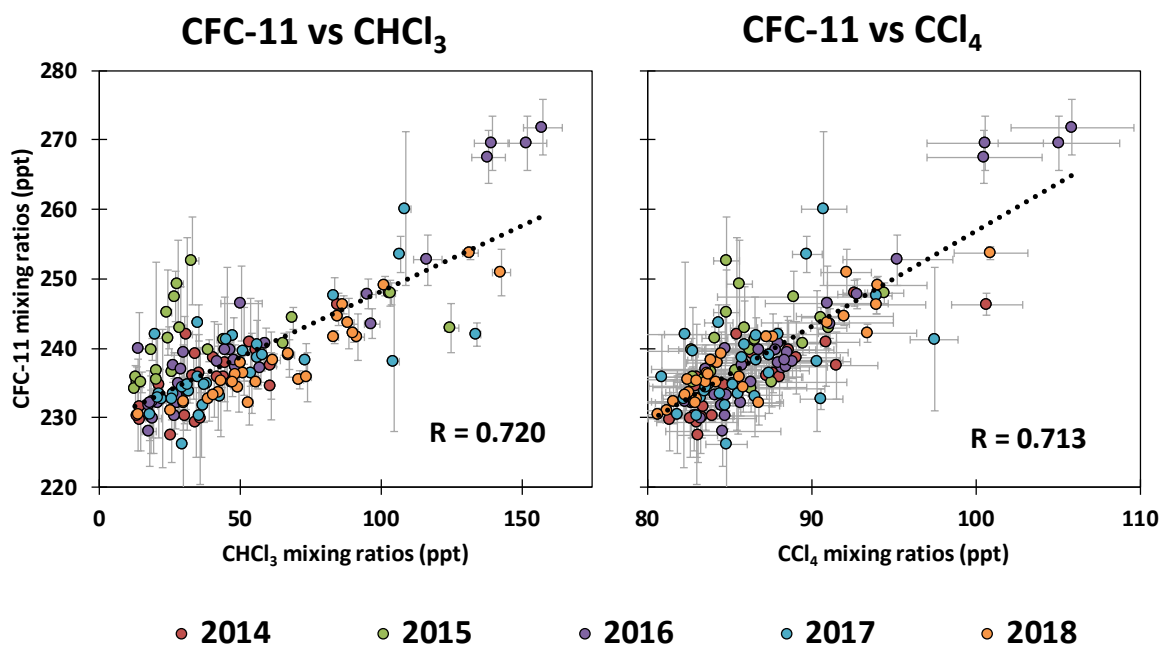
254
255 While our analysis highlights East China as a potentially important source region for CFC-11 in
256 East Asia, it is possible that other important emission regions exist but have less influence on the
257 observations in Taiwan. Monthly average NAME footprints were used to investigate typical air
258 transport during the sampling period. In the spring air generally travels eastwards across the
259 northern half of China and then curves southwards towards Taiwan^{11, 12}. Taiwan is an island and
260 the measurement sites are on the coast, so based on the mass density residence times ($\text{g m}^{-3} \text{ s}$) of
261 the 12-day NAME footprints, most of the influence on air samples (on average about two-thirds)
262 is from ocean regions: i.e. East China Sea, Pacific Ocean and the South China Sea. When
263 comparing only the land-based source regions, East China and North China typically contributed
264 the most to air sampled in Taiwan. Other potential source regions had much less of an influence
265 on the samples collected in Taiwan, each contributing to about 1-4% of the air in Taiwan based on
266 the mass density residence times. Therefore, CFC-11 emissions from other source regions will
267 have had a small impact on the air samples collected in Taiwan during the times of year of the
268 present study.

269

270 For all years combined the correlations (Spearman's $p < 0.01$) between CFC-11 mixing ratios in
271 Taiwan and modelled CO mixing ratios from a range of sources were found to be very similar:
272 agricultural waste burning on fields ($R = 0.545$); residential and commercial sector ($R = 0.491$);
273 solvent sector ($R = 0.483$); and industry (combustion and processing) ($R = 0.469$) (Figure S3).
274 The correlation between CFC-11 and power plants, energy conversion and extraction was
275 somewhat lower ($R = 0.384$, $p < 0.01$). CO tracers that we do not find to significantly correlate (R
276 < 0.25) with CFC-11 are waste (landfills, waste water, incineration), forest burning, grassland
277 burning, international shipping, surface transportation, agriculture (animals, rice and soil) and
278 aviation.

279

280 Some of the CO emission sectors most likely have very similar correlations because they are
281 generally co-located with each other, so it is not possible to discriminate between the different
282 sources (Figure S4). These sources are predominantly in eastern China, between Shanghai and
283 Beijing, similar to the area identified by Rigby et al.⁶ as a major source of CFC-11 emissions.



284
 285 **Figure 2.** Interspecies correlations of CFC-11 mixing ratios with those of CCl_4 and CHCl_3 . For
 286 other interspecies correlations with CFC-11 see Figure S5. The dashed line is the trend line
 287 calculated by total least squares regression using the York-Williamson method.

288

289 290 **Correlations of CFC-11 with other trace gases**

291
 292 The strongest positive correlations (Spearman's $p < 0.01$) between CFC-11 and other halocarbons
 293 when measurements from all years are combined are: CHCl_3 ($R = 0.720$), CCl_4 ($R = 0.713$), HCFC-
 294 141b ($\text{C}_2\text{H}_3\text{Cl}_2\text{F}$) ($R = 0.671$), HCFC-142b (CH_3CClF_2) ($R = 0.667$), CH_2Cl_2 ($R = 0.622$) and
 295 HCFC-22 (CHClF_2) ($R = 0.593$) (Figure 2, Figure S5). These correlations concur with a previous
 296 study that also found correlations of HCFC-22 and CH_2Cl_2 with CFC-11 in measurements from
 297 Hawaii when air masses originated from East Asia.⁵

298

299 Compounds generally have correlated mixing ratios in the atmosphere when their emissions are
300 released from a similar location or when atmospheric concentration gradients are present
301 (vertically or horizontally) that are sampled by different wind patterns. CFC-11 emissions are
302 probably found in many locations. The emissions of CFC-11 and other compounds from a
303 production facility are likely to be low as it is not economically viable for a production facility to
304 release their products into the atmosphere^{4, 10}. If CFC-11 is used as a foam blowing agent then
305 about 4% (e.g. appliance foams) to 25% (e.g. spray foams) of the CFC-11 emissions would be
306 released from the foam blowing stage when the foam is made^{4, 8}. The rest of the CFC-11 emissions
307 would be gradually released from foam degradation or when the foam is broken up e.g. during
308 demolition of buildings^{7, 8}.

309
310 CFC-11 has historically been widely used in polyurethane foam applications⁸. As CFC-11 was
311 phased out HCFC-141b became commonly used as a replacement^{4, 8}. HCFC-142b and HCFC-22
312 are also used in the foam blowing industry in extruded polystyrene production⁸. The correlations
313 between these compounds may be related to them all being used as foam blowing agents in
314 building insulation and co-location of built environments and foam-blowing facilities.

315
316 The other compounds that CFC-11 has a good correlation with, CHCl_3 , CH_2Cl_2 , CCl_4 and
317 HCFC-22, are all involved in the same production chain. CHCl_3 and CH_2Cl_2 are co-produced
318 through chlorination of methyl chloride (CH_3Cl) with a small amount of CCl_4 produced as a by-
319 product¹⁷. Almost all the chloroform (CHCl_3) produced is then used as a feedstock in HCFC-22
320 production^{4, 17}. China has a large chloromethanes industry and recent studies have found emissions
321 of CCl_4 ²¹, CHCl_3 ²² and CH_2Cl_2 ¹⁷ from eastern China. Most of the emissions will likely come from
322 the applications of these compounds rather than from production facilities; therefore, these

323 correlations indicate co-location of the uses of CFC-11 and chloromethanes, possibly in urban
324 areas.

325
326 CFC-11 has historically been produced via fluorination of CCl_4 to produce a mixture of CFC-
327 11 (CCl_3F) and CFC-12 (CCl_2F_2)⁴. The production ratio has typically been between 30:70 and
328 70:30⁴. Therefore, if enhanced mixing ratios of CFC-12 were observed coincident with enhanced
329 mixing ratios of CFC-11, this might suggest the cause of the increased CFC-11 emissions to be
330 new production. However, we found no correlation between mixing ratios of CFC-11 and CFC-
331 12 ($R = 0.285$) in the Taiwan measurements even after removing the decreasing background trend
332 in CFC-12 to focus on enhancements in mixing ratios above the background. CFC-12 mixing ratios
333 in the Taiwan air samples do not show any major enhancements and are similar to the levels at
334 Mauna Loa, Hawaii (Figure S6). This agrees with findings in previous studies that also found no
335 correlation between CFC-11 and CFC-12^{5, 6} and adds to the evidence that emissions of CFC-11
336 from eastern China are not directly associated with emissions of CFC-12. Operating conditions
337 could be controlling the relative proportions of CFC-12 and CFC-11; close to 100% CFC-11
338 production is difficult to achieve but not impossible⁴. Alternatively CFC-12 may still be being co-
339 produced but is destroyed or used as a refrigerant, which is considered to be a non-emissive source
340 as release of the CFC-12 will take place over a long period of time^{4, 7}.

341 342 **CFC-11 emissions from China**

343
344 The CFC-11 emission estimates in this study are based on emission estimates for the whole of
345 China and eastern China and therefore, when the CFC-11 emission estimates are combined
346 together they are referred to as CFC-11 emissions from '(eastern) China'. CFC-11 emissions from
347 (eastern) China for the period 2014-2018 are estimated based on interspecies correlations of CFC-

348 11 with other halocarbons for which we found good correlations. There is a large range in the
349 estimates of CFC-11 emissions from (eastern) China derived during this study (Table 1). Most of
350 the uncertainty in the CFC-11 emission estimates is due to the uncertainty in the emissions
351 estimates of the other compounds rather than the uncertainty in the slope of the interspecies ratios.
352 The lowest estimate is 12 (9-14) Gg yr⁻¹ using the Feng et al.²⁴ estimate of CH₂Cl₂ emissions. The
353 largest CFC-11 emission estimate is 27 (20-33) Gg yr⁻¹, based on HCFC-22 from Li et al.²³. The
354 two compounds with the strongest correlations with CFC-11 are CHCl₃ and CCl₄ (Table S3) and
355 the estimates derived from these are in the middle of the range (17-22 Gg yr⁻¹) (Table 1). It is
356 important to note that the HCFC-22 and CH₂Cl₂ based emissions estimates are for the whole of
357 China, whilst the CHCl₃ and CCl₄ based emissions are for eastern China only. There is no
358 consistent pattern of higher emissions for the whole of China and lower emissions for eastern
359 China (Table 1). The mean of all the individual estimates is 19 (14-23) Gg yr⁻¹. The uncertainties
360 were calculated as the standard deviation of the individual estimates.

361
362

363
364 **Table 1.** CFC-11 emission estimates and the upper and lower limits based on the interspecies ratios
365 with CHCl₃, CH₂Cl₂, CCl₄ and HCFC-22 in our Taiwan measurements from 2014-2018 and
366 published halocarbon emission estimates. The vertical lines indicate the best estimate. Recently
367 published CFC-11 emission estimates for eastern mainland China are also shown⁶. The emission
368 estimates from this study are colored yellow and the emission estimate from Rigby et al.⁶ is red.

369

Compound	Location and Years of Emissions ¹	CFC-11 emissions (Gg yr ⁻¹)							
		0	5	10	15	20	25	30	35
CH ₂ Cl ₂ Feng et al. (2018)	China 2016	12 (9-14)							
CH ₂ Cl ₂ Oram et al. (2017)	China 2015	17 (15-19)							
CHCl ₃ FLEXPART, Fang et al. (2019)	Eastern China 2015	19 (17-21)							
CHCl ₃ NAME, Fang et al. (2019)	Eastern China 2015	18 (15-22)							
CCl ₄ FLEXPART, Lunt et al. (2018)	Eastern China 2009-2016	17 (9-25)							
CCl ₄ NAME, Lunt et al. (2018)	Eastern China 2009-2016	22 (14-31)							
HCFC-22 Li et al. (2016)	China 2016	27 (20-33)							
Rigby et al. (2019)	Eastern China 2014-2017	13.4 (11.7-15.1)							

370

371 ¹ For the estimates from the current study based on interspecies correlations the location and
 372 years of emissions are based on the region for the emission estimate of compound x.

373

374

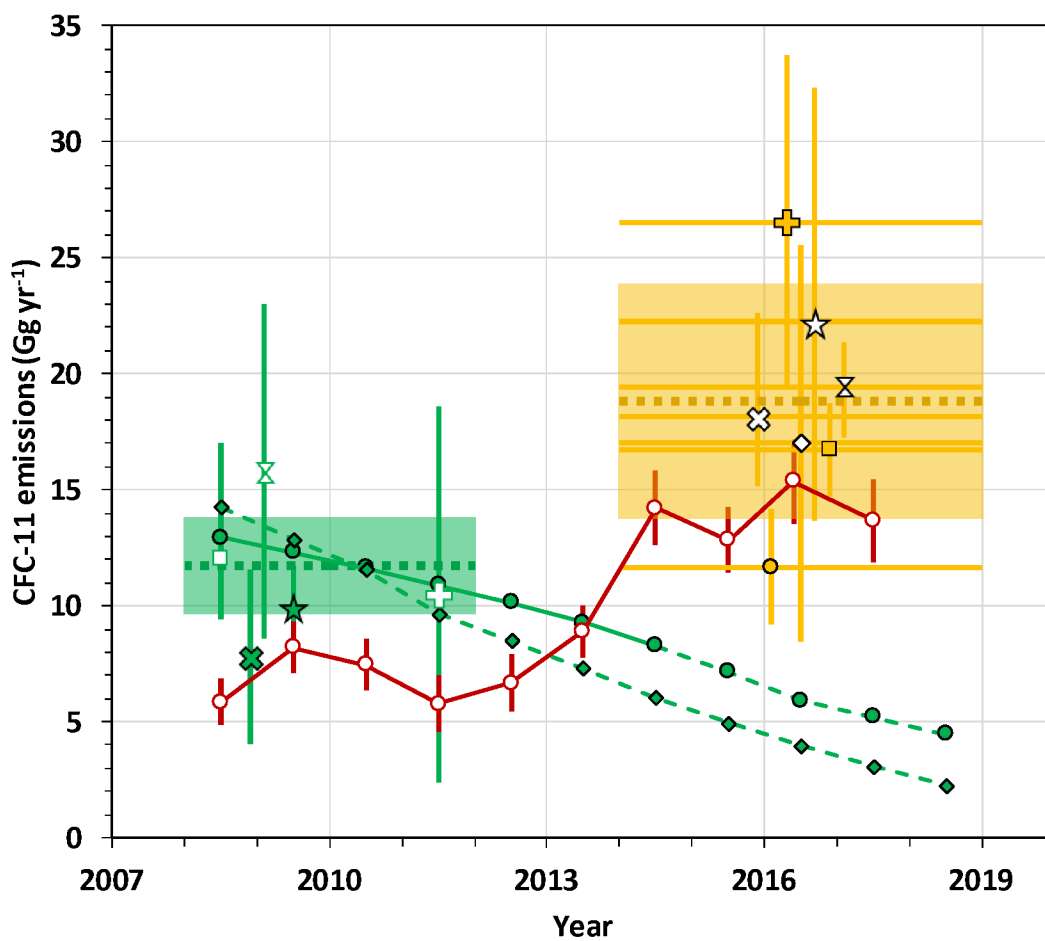
375

376 Another recent study used CFC-11 measurements at Gosan, Jeju Island, Korea and Hateruma,
 377 Japan and two atmospheric inversion models to calculate CFC-11 emissions from eastern mainland
 378 China to be 13.4 ± 1.7 Gg yr⁻¹ in 2014-2017⁶. These estimates are at the lower end of the range
 379 that we calculate here based on the Taiwan samples. The reason for this might be that the Rigby
 380 et al.⁶ estimates are confined to provinces to which the measurements were most sensitive. Rigby
 381 et al.⁶ mentioned that including the provinces adjacent to their ‘eastern mainland China’ region
 382 increased their emissions by 15%. The CHCl₃ and CCl₄ emissions that we use in our calculations²¹,
 383 ²² are based on observations from the same measurement sites as Rigby et al.⁶. These studies^{21, 22}
 384 derived emissions for ‘eastern China’, but a slightly larger area to that used by Rigby et al.⁶.

385
386 The region of our CFC-11 emission estimate is defined by the region of the emission estimate
387 for the other compound used in the interspecies ratio (Table 1). So whilst our estimates based on
388 CHCl_3 and CCl_4 emissions are largely restricted to eastern China, those using HCFC-22 and
389 CH_2Cl_2 emission estimates are for the whole of China^{17, 23, 24}. It is assumed that the emission ratio
390 of CFC-11 to the other compounds is the same in all regions. Our overall emission estimate (19
391 (14-23) Gg yr^{-1}) based on the Taiwan measurements is a combination of estimates for eastern
392 China and the whole of China.

393

394



- Fang et al. (2018) historic
- ◆ Wan et al. (2009) projected
- × An et al. (2012)
- ★ Fang et al. (2012) (HCFC-22)
- Rigby et al. (2019)
- Current study (CH₂Cl₂, Oram)
- ⊗ Current study (CH₃Cl, NAME)
- ☆ Current study (CCl₄, NAME)
- 2008-2011 Mean
- Fang et al. (2018) projected
- Kim et al. (2010)
- ✖ Fang et al. (2012) (CO)
- ⊕ Wang et al. (2014)
- Current study (CH₂Cl₂, Feng)
- ⊗ Current study (CH₃Cl, FLEXPART)
- ◇ Current study (CCl₄, FLEXPART)
- ⊕ Current study (HCFC-22)
- 2014-2018 Mean - current study

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401 **Figure 3.** CFC-11 emissions in China and eastern China.^{5, 6, 19, 20, 25-28} Data that cover means of
402 several years have horizontal error bars to indicate the periods that they relate to. Vertical error
403 bars show the uncertainties in the emission estimates. Data from the same years are offset slightly
404 so that the error bars are visible. The data points joined by dashed lines are projections. The 2008-
405 2011 mean is the mean of the estimates in Wan et al.²⁵, Kim et al.²⁶, An et al.²⁷, Fang et al.¹⁹, Wang
406 et al.²⁰ and Fang et al.²⁸. The 2014-2018 mean is the mean of the seven interspecies correlation
407 estimates in this study. Emissions for the whole of China have filled data points and emissions for
408 eastern China have clear data points.

409 **Changes in CFC-11 emissions from China**

410
411 There is variation in the CFC-11 emission estimates between different studies, but the combined
412 evidence suggests an increase in CFC-11 emissions in (eastern) China from 2008-2011 to 2014-
413 2018. Rigby et al.⁶ emission estimates for 2008-11 are lower than the other estimates for this
414 period. Possibly because Rigby et al.⁶ estimates are limited to ‘eastern mainland China’ whereas
415 some of the other estimates cover larger areas (see above). Averaging published emission estimates
416 for 2008-2011, and excluding Rigby et al.⁶ gives CFC-11 emissions of 12 (10-14) Gg yr⁻¹ (green
417 bar, Figure 3)^{19, 20, 25-28}. The uncertainties were calculated using the standard deviation of the
418 individual estimates.

419
420 CFC-11 emissions from (eastern) China for the period 2014-2018 are estimated in this study to
421 be 19 (14-23) Gg yr⁻¹ (golden bar, Figure 3) by combining the seven interspecies correlation
422 emission estimates (Table 1). This gives an increase of 7 (2-12) Gg yr⁻¹ since 2008-2011. The
423 uncertainties are the square root of the sum of the uncertainties for each time period squared.

424

425 This increase in emissions between 2008-2011 and 2014-2018 that we estimate is similar to the
426 increase estimated by Rigby et al.⁶ of 7.0 ± 3.0 Gg yr⁻¹ between 2008-2012 and 2014-2017. If we
427 include the Rigby et al.⁶ emissions in our analysis, this gives us slightly lower CFC-11 emissions
428 for both the earlier and later time periods, as the Rigby et al.⁶ estimates are generally lower than
429 the other estimates we use in our study (Table S2). Including the Rigby et al.⁶ estimates in our
430 averages still gives an absolute increase of ~ 7 Gg yr⁻¹ in CFC-11 emissions (Table S2). The
431 consistency between our results and those of Rigby et al.⁶, obtained by different, independent
432 methods, provides some confidence in this estimated size of the CFC-11 emissions in eastern
433 China, although it is recognized that both estimates have uncertainty in them.

434

435 In our study, the emission estimates are based on measurements of samples collected during
436 springtime each year when Taiwan is consistently impacted by air masses transported from
437 mainland China. This minimises dilution so the observed interspecies concentration ratios will
438 better reflect their emission ratios. Seasonal variations in emission ratios in this region are not well
439 constrained. Kim et al.²⁶ in their estimates of halocarbons emissions from China, assumed
440 emissions were constant throughout the year. However, their observed ratio between CFC-12 and
441 HCFC-22 enhancements suggest higher values in the summer. Seasonally varying ratios of
442 halocarbon enhancements were also observed in the US in the 1990s³⁰. Limited seasonal sampling
443 will therefore introduce some error into our analysis but by using interspecies ratios of CFC-11
444 with four different halocarbons, we aim to reduce this error. Interspecies emission ratios may also
445 vary with location and this approach assumes sources to be perfectly co-located, which is unlikely.
446 This is partly accounted for in the uncertainty of the observed interspecies ratios. Additionally, we
447 are combining emission estimates from multiple studies that used different methods and are for

448 different time periods and regions. Therefore, our CFC-11 emission estimates will have some
449 unaccounted for uncertainties.

450
451
452 CFC-11 emissions were expected to have decreased since 2012, due to the diminishing size of
453 the banks, assuming compliance with the Montreal Protocol. This means the difference between
454 projected bottom-up emissions and actual emissions may be larger than the increase in CFC-11
455 emissions from 2008-2011 to 2014-2018^{2, 5, 6}. Previous studies projected future CFC-11 Chinese
456 emissions using bottom-up estimates of reported production, estimates of the size of the CFC-11
457 bank and assumed emission rates^{25, 28}. These bottom-up estimates agree with the top-down
458 estimates in 2008-2011 but decrease such that they disagree in 2014-2018 with the top-down
459 estimates (Figure 3). Averaging the estimates for the individual years between 2014 and 2018 from
460 Wan et al.²⁵ and Fang et al.²⁸ gives 5 (3-7) Gg yr⁻¹. The uncertainties are the standard deviation of
461 the estimates for the individual years. If we subtract 5 (3-7) Gg yr⁻¹ from our estimate of 19 (14-
462 23) Gg yr⁻¹ this leads to 14 (9-19) Gg yr⁻¹ more emissions of CFC-11 in China than projected.

463

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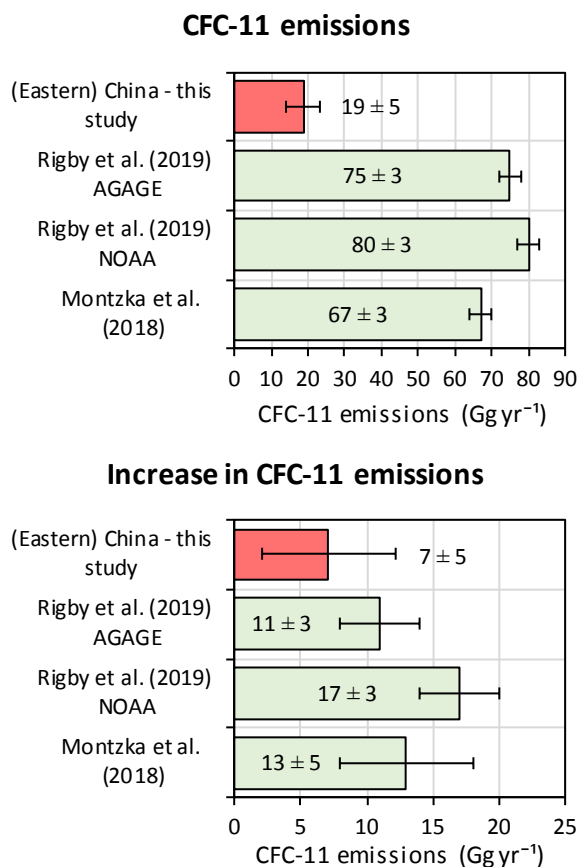
466

467 **Comparison to global CFC-11 emissions**

468

469

470



471
 472 **Figure 4.** Top: Global CFC-11 emissions (green bars) compared to the (eastern) China emissions
 473 in this study (red bar). Bottom: Increase in global CFC-11 emissions (green bars) compared to
 474 the increase in (eastern) China emissions (red bar). These estimates are for slightly different time
 475 periods. The estimates from Montzka et al.⁵ are for 2014-2016 compared to the 2002-2012. The
 476 Rigby et al.⁶ estimates are for 2014-2017 compared to 2008-2012. This study's emission
 477 estimates are for 2014-2018 compared to 2008-2011.

478 Montzka et al.⁵ used NOAA observations to calculate global CFC-11 emissions of 67 ± 3 Gg
 479 yr^{-1} in 2014–2016 which was an increase of 13 ± 5 Gg yr^{-1} above the 2002-2012 mean. Rigby et
 480 al.⁶ calculated global CFC-11 emissions in 2014-2017 to be 80 ± 3 Gg yr^{-1} based on NOAA
 481 observations and 75 ± 3 Gg yr^{-1} based on AGAGE observations. These are increases since 2008-
 482 2012 of 17 ± 3 Gg yr^{-1} (NOAA) and 11 ± 3 Gg yr^{-1} (AGAGE). The NOAA-derived CFC-11

483 emissions in Rigby et al.⁶ differ from the NOAA-derived Montzka et al.⁵ CFC-11 emissions
484 because Rigby et al.⁶ includes an additional year (2017) and uses a shorter atmospheric lifetime
485 for CFC-11. The atmospheric lifetime contributes to the differences in emission estimates given
486 in the different studies but has very little effect on the change in emissions over the short time
487 period.

488

489 In the section above, (eastern) China emissions were estimated to be 19 (14-23) Gg yr⁻¹ in 2014-
490 2018 and the increase estimated to be 7 (2-12) Gg yr⁻¹ compared to 2008-2011. These regional
491 emissions are 25% (19%-32%) of the global emissions (Figure 4). This is the proportion of our
492 (eastern) China emissions compared to the average of the three global estimates. The uncertainties
493 are based on the square root of the sum of squares of the uncertainty in our (eastern) China estimate
494 and the standard deviation of the three global estimates. The increase in (eastern) China CFC-11
495 emissions are a large proportion of the increase in global CFC-11 emissions but are also highly
496 uncertain (Figure 4). They are 52% (13%-91%) of the increase in global emissions (Figure 4).
497 Where the remaining CFC-11 emissions are coming from is not well known as there are many
498 parts of the world that are not well covered by the global networks, including South America,
499 Africa and the rest of Asia⁷. Note these CFC-11 emission estimates do not consider possible
500 changes in atmospheric dynamics which could slow-down the rate of decline in background CFC-
501 11 mixing ratios and lead to an over estimation of global CFC-11 emissions⁵. Large-scale changes
502 in atmospheric dynamics are likely to have less impact on regional emission estimates than global
503 ones.

504

505

506 Overall, the current study finds independent evidence of continuing and significant CFC-11
507 emissions from China, in particular from eastern China. Comparing with past studies this implies
508 a recent increase in (eastern) China CFC-11 emissions, which will have contributed to a substantial
509 proportion of the increase in global CFC-11 emissions. However, the extent of this contribution
510 still has considerable uncertainty and further investigation is needed to better understand the recent
511 changes in CFC-11 emissions.

512 ASSOCIATED CONTENT

513 **Supporting Information**

514 Excel spreadsheet containing mixing ratios and the influence of source regions and emission
515 sectors.

516 Additional information about the sampling technique and the GC-MS analysis.

517 The dates and locations of the Taiwan measurement campaigns and the number of samples
518 collected each year.

519 The previously reported emission estimates used in this study and the methods used to calculate
520 them.

521 The interspecies correlations and regressions.

522 Regions for which the contribution to the footprint simulated by the NAME model is quantified.

523 The distribution of carbon monoxide (CO) emissions taken from the Representative Concentration
524 Pathway 8.5 (2010) inventories.

525 Figures showing the correlations between CFC-11 mixing ratios and the influence from East
526 China, the CO emission sectors and the mixing ratios of the other compounds of interest.

527 CFC-12 mixing ratios measured in Taiwan.

528

529 AUTHOR INFORMATION

530 **Corresponding Author**

531 *E-mail: Karina.Adcock@uea.ac.uk; Address: †Centre for Oceanography and Atmospheric
532 Science, School of Environmental Sciences, University of East Anglia, Norwich, UK; ORCID:
533 0000-0002-8224-5399

534 **Notes**

535 The authors declare no competing financial interest.

536

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555
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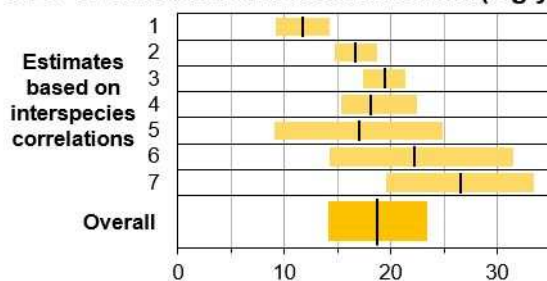
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671 **For Table of Contents Only**

672

CFC-11 emissions in eastern China (Gg yr⁻¹)



673