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2 **The impact of wintertime sea-ice anomalies on high surface heat flux events in the Iceland and**  
3 **Greenland Seas**

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14 Submitted to: *Climate Dynamics*

15 Revised Submission: 17<sup>th</sup> October 2019

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17

18 **Abstract**

19           The gyres of the Iceland and Greenland Seas are regions of deep-water formation, driven by  
20 large ocean-to-atmosphere heat fluxes that have local maxima adjacent to the sea-ice edge.  
21 Recently these regions have experienced a dramatic loss of sea ice, including in winter, which begs  
22 the question have surface heat fluxes in the adjacent ocean gyres been affected? To address this a  
23 set of regional atmospheric climate model simulations has been run with prescribed sea ice and sea  
24 surface temperature fields. Three 20-year model experiments have been examined: Ice<sub>max</sub>, Ice<sub>med</sub>  
25 and Ice<sub>min</sub>, where the surface fields are set as the year with maximum, median and minimum sea-  
26 ice extents respectively. Under conditions of reduced sea-ice extent there is a 15% (19 W m<sup>-2</sup>)  
27 decrease in total wintertime heat fluxes in the Iceland Sea. In contrast, there is an 8% (9 W m<sup>-2</sup>)  
28 increase in heat fluxes in the Greenland Sea primarily due to higher local SSTs. These differences are  
29 manifest as changes in the magnitude of high heat flux events (such as cold air outbreaks). In the  
30 Iceland Sea, 76% of these events are lower in magnitude during reduced sea-ice conditions. In the  
31 Greenland Sea, 93% of these events are higher in magnitude during reduced sea-ice conditions as a  
32 result of higher SSTs coincident with retreating sea ice. So, in these experiments, the reduced  
33 wintertime sea-ice conditions force a different response in the two seas. In both gyres, large-scale  
34 atmospheric circulation patterns are key drivers of high heat flux events.

35

36 **Keywords:** surface heat flux; sea ice; Iceland Sea; Greenland Sea; MetUM; climate modelling

37

38 **1. Introduction**

39           Between the Arctic Ocean and the North Atlantic there is a band of sub-polar seas within  
40 which warm Atlantic water is transformed into cold, dense water, which flows south as a contributor  
41 to North Atlantic Deep Water (NADW). A key component of NADW is thought to form in the Iceland  
42 and Greenland Seas and contribute to Denmark Strait Overflow Water via the East Greenland  
43 Current and the North Icelandic Jet (Våge et al. 2011; 2013; Moore et al. 2015). The East Greenland  
44 Current, fed by re-circulating Atlantic Water and Arctic-origin water masses, can also be influenced  
45 by atmosphere-ocean coupling in this region (e.g. Våge et al. 2013).

46           Across the Greenland, Iceland and Norwegian Seas, the largest wintertime oceanic heat loss  
47 is driven by marine cold air outbreaks (CAOs), representing 60-80% of positive wintertime heat  
48 fluxes in the North Atlantic (Papritz & Spengler 2017). Marine CAOs drive air-sea fluxes when cold,  
49 dry polar air – originating over cold land masses or sea ice – is transported over comparatively warm  
50 ice-free ocean surfaces (Kolstad 2017). Although typically less severe than the Labrador Sea (Moore  
51 et al. 2012), CAOs over the Greenland and Iceland seas are thought to have a particularly influential  
52 role in triggering ocean convection and water-mass transformations in this region (Våge et al. 2011;  
53 2013; 2018; Renfrew et al. 2019b). Other mesoscale weather systems, such as barrier flows along  
54 the Greenland coast (e.g. Petersen et al. 2009; Harden et al. 2011) and polar mesoscale cyclones  
55 (e.g. Renfrew et al. 2008; Michel et al. 2018) also significantly enhance surface fluxes in these  
56 locations and have been shown to impact water-mass transformations (Condrón and Renfrew 2013;  
57 Condrón et al. 2008; Jung et al. 2014).

58           While marine CAOs drive air-sea fluxes, the translation of these atmosphere-ocean  
59 interactions into oceanic convection and deep water formation requires the ocean to be  
60 preconditioned (Marshall and Schott 1999). This occurs through the presence of a cyclonic gyre,  
61 which tilts the isopycnals and allows denser water to be exposed to the surface, allowing subsequent  
62 air-sea fluxes to cause a loss of buoyancy in these surface waters which can then sink to depth  
63 (Marshall and Schott 1999). Moore et al. (2015) focused on the gyres in the Iceland and Greenland  
64 Seas and estimated that air-sea heat fluxes there had reduced by 20% between 1979 and 2014. They  
65 hypothesised that this reduction was due to both the differential warming of the atmosphere and  
66 ocean, and changes in the distribution of air-sea heat fluxes associated with a reduction in  
67 wintertime sea-ice extent. Their reanalysis-based study could not distinguish between these two  
68 factors. Peak air-sea heat fluxes tend to occur immediately downwind of the marginal-ice-zone  
69 during cold air outbreaks (e.g. Brümmer 1997; Renfrew and Moore 1999). Decreases in wintertime

70 sea-ice extent of about 10% per decade have been found for the Greenland Sea (Cavalieri and  
71 Parkinson 2012; Onarheim et al. 2018); implying that the marginal-ice-zone is retreating poleward  
72 and, consequently, the associated heat flux maximum will follow it, leading to a separation of the  
73 oceanic gyres and the greatest heat flux forcing (c.f. Moore et al. 2015). Note that cold air mass  
74 transformations have been shown by Chechin and Lüpkes (2017) to occur within 850 km of the ice  
75 edge. In short, anthropogenic warming and the wintertime retreat of sea ice suggest a change in  
76 deep water formation may be underway, which could lead to a reduction in the supply of NADW to  
77 the southward limb of the Atlantic Meridional Overturning Circulation and potentially weakening  
78 that whole circulation system (Moore et al. 2015). Proving this hypothesis requires an  
79 understanding of how these changes in sea-ice distribution will affect surface heat fluxes in the  
80 vicinity in the Iceland and Greenland Seas.

81 The aim of this paper is to assess how changes in sea-ice extent in the Iceland and Greenland  
82 Seas affects surface heat fluxes and high heat flux events. To achieve this, we have carried out  
83 atmosphere-only regional climate modelling with different sea ice and sea surface temperature  
84 (SST) lower boundary conditions. We quantify the climatological impact of sea-ice anomalies on  
85 surface heat fluxes, and other key surface parameters, with a focus on the Iceland and Greenland  
86 Sea gyres. The role of anthropogenic differential warming of the atmosphere and ocean is not  
87 examined.

88

## 89 **2. Methods**

### 90 *2.1 Model Description*

91 We have used the UK Met Office Unified Model (MetUM) version 10.6 with a regional nested  
92 domain to carry out a suite of simulations of the atmosphere over the NE North Atlantic region. Our  
93 set up of the MetUM uses the Global Atmosphere 6 and Global Land 6 (GA6/GL6) configurations  
94 including the ENDGame dynamical core (Walters et al. 2017). One modification to the standard  
95 GA6/GL6 configuration was to include form drag in the surface momentum exchange over sea ice,  
96 based on Lüpkes et al. (2012) and Elvidge et al. (2016), and now part of the GL8 configuration. This  
97 new scheme has recently been implemented in the operational forecasting suite following evidence  
98 of significant improvements in simulated fluxes of momentum and heat and consequently  
99 improvements to the representation of wind speeds and temperatures over-and-downwind of the  
100 marginal-ice-zone during Arctic CAOs (Renfrew et al. 2019a). In our set up the MetUM was run

101 globally with an N320 longitude-latitude grid ( $0.56^\circ \times 0.375^\circ$ , equivalent to 60 km by 42 km at the  
102 equator) and 70 vertical levels up to a height of 40 km. With an Iceland and Greenland Seas nested  
103 domain of 200 x 210 grid points using a grid spacing of  $0.072^\circ \times 0.072^\circ$  (equivalent to 8 km by 8 km)  
104 centred on  $70.8^\circ\text{N}$ ,  $14.0^\circ\text{W}$ . This horizontal resolution is sufficient to capture mesoscale weather  
105 systems, such as polar mesoscale cyclones and barrier flows, that contribute to elevated surface  
106 fluxes and represent sea-ice distributions with fidelity. The nested domain is shown in Figure 1 (and  
107 subsequent figures).

108 The MetUM was run in atmosphere-only mode with SST and sea-ice fields prescribed at the  
109 lower boundary for both the global and regional nested domains. The SST and sea-ice data were  
110 taken from the Operational Sea Surface Temperature and Sea Ice Analysis (OSTIA) system (Donlon  
111 et al. 2012; Roberts-Jones et al. 2012) and re-gridded to match the respective resolutions of the  
112 global model and the nested domain. The lower boundary conditions were updated daily. Within  
113 our set up, the global model was re-initialised daily at 00 UTC by ERA-Interim reanalysis (Dee et al.  
114 2011). After initialisation on the first day of the simulation, the nested domain was only forced at  
115 the lateral boundaries by the global model. This means the nested domain was able to spin up and  
116 maintain mesoscale structures, within a regional atmospheric circulation environment that is  
117 nudged towards reality on a daily basis. The nested domain was relatively small, so strongly  
118 influenced by the lateral boundary conditions. All simulations were run across an extended winter  
119 period, 1<sup>st</sup> November to 30<sup>th</sup> April, for 20 seasons from winter 1990/91 to 2009/10.

120

## 121 2.2. Experimental Design

122 We produced three simulations each with a different *annually-repeating daily SST and sea-ice*  
123 lower boundary condition:

- 124 • Ice<sub>max</sub> simulation – Lower boundary conditions taken from the winter with the largest winter-  
125 mean sea-ice extent, namely 1987/88.
- 126 • Ice<sub>med</sub> simulation - Lower boundary conditions taken from the winter with the median  
127 winter-mean sea-ice extent, namely 2003/04.
- 128 • Ice<sub>min</sub> simulation - Lower boundary conditions taken from the winter with the smallest  
129 winter-mean sea-ice extent, namely 2015/16.

130 The largest, median and smallest winter sea-ice extents were determined for the Iceland and  
131 Greenland Seas region by comparing annual January-April anomalies from the OSTIA dataset to the

132 1979-2016 mean. Note a ‘baseline’ simulation with annually-varying daily SST and sea ice was also  
133 run and checked for fidelity with respect to ERA-Interim reanalyses. It corresponded very well on  
134 synoptic-scales, giving confidence that in our experiments we are effectively down-scaling the ‘real’  
135 atmosphere but with different surface conditions. The baseline simulation is not analysed any  
136 further here.

137

### 138 **3. Results**

#### 139 *3.1. Climatological response to anomalous surface boundary conditions*

140 Figure 1 displays monthly mean sea-ice concentration for the Ice<sub>med</sub> simulation and sea-ice  
141 concentration anomalies (relative to Ice<sub>med</sub>) for the Ice<sub>max</sub> and Ice<sub>min</sub> simulations. In the Ice<sub>max</sub>  
142 simulation large sea-ice anomalies exist in all months and especially in January and April. The Ice<sub>max</sub>  
143 simulation emphasises the Odden Ice Tongue, a feature that was intermittently present in the  
144 Greenland Sea during the 1980s and 1990s and has been previously linked to open ocean convection  
145 in the region (Waddams 1998; Waddams & Comiso 1999). In the Ice<sub>min</sub> simulation, the largest  
146 anomalies occur in February and March, distributed along the whole ice edge. In January and April,  
147 there is a north-south split: with less sea ice in the south and more sea ice in the north.

148 The corresponding SST anomalies are given in Figure 2. These show broadly lower SSTs  
149 during the Ice<sub>max</sub> simulation and broadly higher SSTs during the Ice<sub>min</sub> simulation (compared to the  
150 Ice<sub>med</sub> simulation) especially over the Greenland Sea. Anomalies in the simulated air temperature at  
151 1.5 m ( $T_a$ ) are largely coincident with the sea-ice concentration anomalies, i.e. when sea ice is  
152 anomalously present there is a cold anomaly; when it is anomalously absent there is a warm  
153 anomaly (compare Fig. 3 with Fig. 1). Away from the ice edge and over the open ocean,  $T_a$  anomalies  
154 are strongly related to anomalies in SST (compare Fig. 3 with Fig. 2) and are restricted in magnitude  
155 to <2 K. Note statistical significance using the Mann-Whitney-Wilcox test has been examined for  $T_a$   
156 and other variables and most of the region of interest has statistically significant differences at the  
157 95% confidence level (not shown).

158 There are also large differences in the monthly mean surface heat fluxes (SHF - the sum of  
159 the surface sensible and surface latent heat fluxes) in the three simulations (Fig. 4). Examining Ice<sub>max</sub>  
160 - Ice<sub>med</sub> there is a general reduction in heat fluxes that is most pronounced over large areas of  
161 anomalously present sea ice (differences of  $-125 \text{ W m}^{-2}$ ). In January, the large negative anomaly over  
162 the Odden Ice Tongue is accompanied by a positive anomaly to the south, between the sea ice and

163 Iceland, where cold air outbreak surface fluxes will be at their largest in the Ice<sub>max</sub> simulation. Note,  
164 that we use the convention that a positive heat flux represents a transfer of energy from the ocean  
165 into the atmosphere. In the Ice<sub>min</sub> - Ice<sub>med</sub> results there is a pronounced strip of anomalously high  
166 SHF associated with the reduced sea-ice cover. This strip is most pronounced in February and March  
167 (differences of over 125 W m<sup>-2</sup>). It is weaker and confined to the south in January and is more diffuse  
168 in April.

169 Figures 3 and 4 show a strong mean lower-atmospheric response to sea-ice cover, especially  
170 in the Iceland Sea. With a view to understanding how changes in the marginal ice zone impact on  
171 oceanic convection, here we will focus on two gyres, the Iceland Sea gyre (between Iceland and Jan  
172 Mayen) and the Greenland Sea gyre (between Jan Mayen and Svalbard); the same gyres investigated  
173 by Moore et al. (2015). We have defined boxes over each gyre and calculate spatial averages of  
174 surface heat flux over each box.

175 Averaging over the Iceland Sea gyre there is a *decrease* of 15% in seasonal (JFMA) average  
176 surface heat flux between the Ice<sub>max</sub> and Ice<sub>min</sub> simulations (Table 1). There is, however variation in  
177 heat flux differences across the winter months. For the Ice<sub>max</sub> simulation the strongest anomalies in  
178 T<sub>a</sub> and surface heat flux are in January (Figs. 3b, 4b), with more modest anomalies in February-April.  
179 This is reflected in the monthly heat flux averages for the Iceland Sea gyre, which show a 30%  
180 decrease in January, compared to decreases of 10%, 6% and 5% in February, March and April (Table  
181 1). The mean lower-atmospheric response to sea-ice cover in the Greenland Sea is generally weaker  
182 (Figs. 3, 4). In fact, when averaged over the Greenland Sea gyre there is a surprising *increase* of 8%  
183 in seasonal average heat fluxes between the Ice<sub>max</sub> and Ice<sub>min</sub> simulations and similar increases for  
184 each month (Table 1). The reasons for this increase are discussed below.

185 In summary, the Ice<sub>max</sub>, Ice<sub>med</sub>, and Ice<sub>min</sub> simulations produce anomalous responses in near-  
186 surface air temperatures of up to several degrees and in surface heat fluxes of up to  $\pm 125$  W m<sup>-2</sup>  
187 (comparable to the domain mean), despite having identical large-scale atmospheric forcing. It is  
188 clear from these simulations that sea-ice cover profoundly affects the surface fluxes in this region.

189

### 190 3.2. High heat flux events and their response to anomalous surface boundary conditions

191 The consistent large-scale atmospheric circulation across our experiments makes it possible  
192 to carry out a comparison of high heat flux events that are common to all three simulations over the  
193 20 winter seasons. For our two gyres, *high heat flux events* are identified individually for each gyre

194 as periods when the surface heat fluxes exceed a threshold value, here set as  $50 \text{ W m}^{-2}$ . Our results  
195 are not qualitatively sensitive to this value. For this threshold there are 177 (199) high heat flux  
196 events during JFMA in the Iceland (Greenland) Sea gyre that are common to all three simulations.  
197 Note, that when consecutive days exceed the threshold, the peak magnitude is selected as  
198 representing the event. An analysis comparing the average magnitude (all days exceeding the  
199 threshold in the event) to the peak magnitude as a method to identify events that displayed no  
200 significant differences.

201 In an event-by-event comparison for the Iceland Sea gyre, the majority (76%) of high heat  
202 flux events had higher fluxes in the  $\text{Ice}_{\text{max}}$  simulation than in the  $\text{Ice}_{\text{min}}$  simulation (Table 2). In  
203 January 96% of events in  $\text{Ice}_{\text{max}}$  have higher heat fluxes; while in April 55% of events have higher  
204 heat fluxes. The impact of sea-ice cover on the magnitude of high heat flux events weakens  
205 dramatically during the winter. This is consistent with the mean SHF differences which are  $44 \text{ W m}^{-2}$   
206 in January and  $5 \text{ W m}^{-2}$  in April (Table 1).

207 In an event-by-event comparison for the Greenland Sea gyre, the opposite was true. The  
208 high heat flux events had higher magnitude in the  $\text{Ice}_{\text{min}}$  simulations. Averaged over JFMA 93% of  
209 events in  $\text{Ice}_{\text{min}}$  have higher heat fluxes with this fraction of events consistent over the winter (Table  
210 2). This is consistent with the mean SHF differences which are confined to a range of  $9\text{-}14 \text{ W m}^{-2}$   
211 (Table 1). In the Greenland Sea gyre changes in the sea ice over the winter have less effect on the  
212 SHF. At first this finding may seem counterintuitive, but an examination of the sea-ice concentration  
213 fields (Fig. 1) shows that to the west of the Greenland Sea gyre the ice edge is relatively unchanged  
214 in January, changes by only a moderate amount in February and March, and is actually further east  
215 in April. This suggests that sea-ice differences are probably not responsible for the heat flux  
216 differences seen in the experiments. Examining Fig. 2 it is clear there is a widespread difference in  
217 the SSTs in the experiments. In the  $\text{Ice}_{\text{min}}$  simulation the SST is  $1\text{-}2 \text{ K}$  higher than in the  $\text{Ice}_{\text{med}}$   
218 simulation in the vicinity of the Greenland Sea gyre in all four months; while in the  $\text{Ice}_{\text{max}}$  simulation  
219 the SST is  $1\text{-}2 \text{ K}$  lower than in the  $\text{Ice}_{\text{med}}$  simulation. It would appear that this difference in SST  
220 dominates over the differences in air temperatures and is responsible for the higher heat fluxes  
221 during reduced sea-ice conditions in the Greenland Sea gyre.

222

223 *3.3 Isolating the role of anomalous sea ice from the compensating effects of co-varying SST*  
224 *anomalies*

225 There are two factors determining the surface heat fluxes in our simulations: the prescribed  
 226 SSTs, which are different in the three experiments (Fig.2); and the near-surface air temperatures  
 227 and wind speeds, which are determined by the model and primarily driven by changes in the sea ice  
 228 (Fig.1). Results in the previous two sub-sections reveal that in years with more extensive sea ice the  
 229 adjacent open-ocean SSTs are generally anomalously low; while in years with less extensive sea ice,  
 230 SSTs are generally anomalously high (Figs. 1, 2). This means that with reference to a fixed location,  
 231 such as the two gyres, the relative difference,  $\Delta T = (SST - T_a)$ , is what determines the magnitude of  
 232 surface heat fluxes. During a CAO over more (less) extensive sea ice then both the atmosphere and  
 233 ocean will be colder (warmer), and physical reasoning suggests that  $\Delta T$  could be either higher or  
 234 lower as a result. In other words, the role of the sea ice distribution in determining surface heat  
 235 fluxes is contingent on the SST distribution prescribed.

236 In this section we isolate the impact of anomalous sea ice distributions on heat flux events  
 237 by estimating and removing the compensating effects of co-varying anomalous SST patterns. The  
 238 approach taken is to re-calculate the surface heat fluxes ‘offline’ using a simple bulk flux formula  
 239 (e.g. Gill 1982). We re-calculate the sensible heat flux ( $Q$ ) for two scenarios: (i) a control scenario  
 240 that emulates the simulations and has SSTs and sea ice from the same model runs; and (ii) an  
 241 alternative scenario that has bulk formula input switched, i.e. SST from Ice<sub>max</sub> ( $SST_{max}$ ) is used along  
 242 with atmospheric output from Ice<sub>min</sub>. For the matching SSTs, (when  $Q$  was calculated using input  
 243 from the same simulation) there are two versions, ‘ $Q_{SSTmax\_Ta\_max}$ ’ and ‘ $Q_{SSTmin\_Ta\_min}$ ’:

$$244 \quad Q_{SSTmax\_Ta\_max} = \rho_a C_p C_H U_{max} (SST_{max} - T_{a\_max}), \quad \text{Eq. 1a.}$$

$$245 \quad Q_{SSTmin\_Ta\_min} = \rho_a C_p C_H U_{min} (SST_{min} - T_{a\_min}). \quad \text{Eq. 1b.}$$

246 For the switched SSTs, there are again two calculations of sensible heat flux, ‘ $Q_{SSTmin\_Ta\_max}$ ’ and  
 247 ‘ $Q_{SSTmax\_Ta\_min}$ ’:

$$248 \quad Q_{SSTmin\_Ta\_max} = \rho_a C_p C_H U_{max} (SST_{min} - T_{a\_max}), \quad \text{Eq. 2a.}$$

$$249 \quad Q_{SSTmax\_Ta\_min} = \rho_a C_p C_H U_{min} (SST_{max} - T_{a\_min}), \quad \text{Eq. 2b.}$$

250 where,  $T_a$  is air temperature at 1.5 m, SST is sea surface temperature,  $U$  is 10 m wind speed,  $C_p$  is  
 251 the heat capacity of air ( $1004 \text{ J kg}^{-1}$ ),  $\rho_a$  is the air density at the surface (set here to  $1.225 \text{ kg m}^{-3}$ ),  
 252 and  $C_H$  is the non-dimensional Stanton number (set here to be 0.0011). The value for the Stanton  
 253 number was obtained from Smith (1980), and this value has been shown to match well to  
 254 observations (i.e. Cook & Renfrew, 2015). In all cases, the subscripts ‘max’ and ‘min’ refer to the

255 Ice<sub>max</sub> and Ice<sub>min</sub> simulations for SST, T<sub>a</sub> and U. . Note the above uses a simplified bulk flux algorithm  
256 compared to what is used in the MetUM, which has a stability-dependent C<sub>H</sub> and varying ρ<sub>a</sub>.  
257 However, validation of the offline fluxes against model output did not raise any concerns, so we  
258 argue they are appropriate for the sensitivity testing undertaken here. For these sensitivity tests,  
259 the bulk fluxes are calculated as a composite based on all the high heat flux events in each gyre (177  
260 in the Iceland Sea gyre and 199 in the Greenland Sea gyre).

#### 261 *(i) The SST effect*

262 For both the Iceland Sea and Greenland Sea gyres, the magnitude of the bulk-flux-estimated  
263 value for Q increases when the SST input is switched from SST<sub>max</sub> (anomalous cold) to SST<sub>min</sub>  
264 (anomalously) warm in the Ice<sub>max</sub> bulk flux calculations (Fig. 5). There is an increase of 29 W m<sup>-2</sup>  
265 (11%) in the Iceland Sea gyre and 46 W m<sup>-2</sup> (15%) in the Greenland Sea gyre. As might be expected  
266 there are corresponding decreases when the SST input is switched from SST<sub>min</sub> to SST<sub>max</sub> in the Ice<sub>min</sub>  
267 case bulk flux calculations (Fig. 5b). The Iceland Sea gyre fluxes decrease by 37 W m<sup>-2</sup> (-17%) and the  
268 Greenland Seas gyre fluxes decrease by 49 W m<sup>-2</sup> (-15%). These anomalies represent the effect of  
269 changing the SST forcing, with only the SST term altered in the bulk flux calculations.

#### 270 *ii) The isolated sea-ice effect*

271 Using the offline bulk flux re-calculations we can isolate the effects of retreating sea ice on surface  
272 fluxes; specifically, Q<sub>SSTmax\_Ta\_min</sub> can be compared with Q<sub>SSTmax\_Ta\_max</sub> (SST<sub>max</sub> case) and Q<sub>SSTmin\_Ta\_min</sub>  
273 with Q<sub>SSTmin\_Ta\_max</sub> (SST<sub>min</sub> case). We found that a reduction in sea-ice extent consistently leads to a  
274 decrease in the magnitude of high heat flux events for both gyres (Fig. 6). For the Iceland Sea gyre,  
275 the change is -72 W m<sup>-2</sup> (-40%) for the SST<sub>max</sub> case and -64 W m<sup>-2</sup> (-29%) for the SST<sub>min</sub> case. For the  
276 Greenland Sea gyre, the change is -24 W m<sup>-2</sup> (-8%) for the SST<sub>max</sub> case and -21 W m<sup>-2</sup> (-6%) for the  
277 SST<sub>min</sub> case. With each gyre, there are only slight differences between calculations with anomalously  
278 warm and cold SSTs, suggesting that the differences in wind speed are insignificant with respect to  
279 their impact on the bulk flux calculations. These offline sensitivity tests employ a simplified formula  
280 for surface sensible heat flux that allows easy substitution of variables. It is expected that the surface  
281 latent heat flux sensitivities would be similar as the surface turbulent heat fluxes are strongly  
282 correlated during CAO (e.g. Papritz and Spengler 2017).

283 In summary, the above results show that over the Iceland Sea, variability and trends in sea-ice extent  
284 have a significant impact on high heat flux events (more than 60 W m<sup>-2</sup> in magnitude) that is only  
285 partially offset by co-varying SSTs. Over the Greenland Sea, the smaller variations in sea-ice extent

286 combined with larger amplitude co-variability in SSTs mean that the sea-ice extent effect is small  
287 (around  $20 \text{ W m}^{-2}$  in magnitude) and it is SST differences (around  $45 \text{ W m}^{-2}$  in magnitude) that  
288 dominates the overall impact on high heat flux events. Our results imply that sea-ice retreat may  
289 affect the Iceland Sea region more profoundly than the Greenland Sea region.

### 290 *3.4 Case study and composite analysis of the relationship between SST and sea ice on heat fluxes*

291 To illustrate why the relationship between the lower boundary conditions and surface heat fluxes is  
292 so different between the Iceland Sea and Greenland Sea gyres, we present two case studies. Figure  
293 7 shows a case for the Iceland Sea gyre, when the heat fluxes are greater in the  $\text{Ice}_{\text{max}}$  simulation  
294 than the  $\text{Ice}_{\text{min}}$  simulation. The case is from 31st January 1995, when a pressure gradient between  
295 Greenland and the Iceland Sea results in northerly wind flow over the Iceland Sea gyre (Fig. 7a),  
296 bringing cold air and surface heat fluxes of up to  $500 \text{ W m}^{-2}$  focussed off the ice edge. Relative to  
297 the  $\text{Ice}_{\text{med}}$  simulation, the  $\text{Ice}_{\text{max}}$  simulation exhibits a strong positive mean sea level pressure (MSLP)  
298 anomaly (6-7 hPa - Fig. 7b) and a negative air temperature anomaly (5-7 K - Fig. 7e) which extends  
299 from over sea ice to the whole of the Iceland Sea. The  $\text{Ice}_{\text{max}}$  sea-ice cover leads to large differences  
300 in heat fluxes strongly reduced over the sea ice and strongly increased over the Iceland Sea gyre, by  
301 more than  $200 \text{ W m}^{-2}$  (Fig. 7h). The impact of the maximum versus median sea-ice distributions on  
302 heat fluxes in this case is significant. In the  $\text{Ice}_{\text{min}}$  simulation, the reduced sea-ice extent provides a  
303 large fetch of ice-free ocean to the north of the Iceland Sea Gyre which results in a positive air  
304 temperature anomaly (about 3 K - Fig 9f) in the  $\text{Ice}_{\text{min}}$  simulation and a small  $-20 \text{ W m}^{-2}$  negative  
305 heat flux anomaly in the Iceland Sea. In the  $\text{Ice}_{\text{min}} - \text{Ice}_{\text{med}}$  comparison, the positive heat flux  
306 anomalies are limited to the sea-ice edge, consistent with the monthly anomalies for the  $\text{Ice}_{\text{min}}$   
307 simulation (Fig. 4).

308 Figure 8 illustrates a case for the Greenland Sea gyre when heat fluxes are greater in the  
309  $\text{Ice}_{\text{min}}$  simulation than in the  $\text{Ice}_{\text{max}}$  simulation. On the 25th February 1993 a high pressure system  
310 over Greenland extending south over Iceland combined with low pressure to the East and NE results  
311 in westerly flow over the location of the Greenland Sea gyre (Fig. 8a). Associated with this a cold air  
312 mass covers Greenland and the NW Greenland Sea, leading to surface heat fluxes of up to  $400 \text{ W m}^{-2}$ ,  
313 with maxima off the sea-ice edge. The westerly flow in this region results in the air flow into the  
314 Greenland Sea gyre passing over a region with small differences in the sea-ice extent between the  
315  $\text{Ice}_{\text{max}}$ ,  $\text{Ice}_{\text{med}}$  and  $\text{Ice}_{\text{min}}$  simulations. In the vicinity of the Greenland Sea gyre, the  $\text{Ice}_{\text{max}}$  simulation  
316 displays small anomalies in MSLP ( $< 0.5 \text{ hPa}$ ; Fig. 8b) and  $T_a$  ( $\sim 0.5 \text{ K}$ ; Fig. 8e), and a modest negative  
317 heat flux anomaly between  $-75$  and  $-50 \text{ W m}^{-2}$  (Fig. 8h). The  $\text{Ice}_{\text{min}}$  simulation displays notable

318 negative MSLP (2 hPa; Fig. 8c) and positive  $T_a$  (1-2 K; Fig. 8f) anomalies, and a corresponding positive  
319 heat flux anomaly of 75 to 100  $W m^{-2}$  (Fig. 8i). Consequently the difference between the  $Ice_{min}$  and  
320  $Ice_{max}$  simulations for this case is a combination of these moderate heat flux anomalies that is  
321 primarily driven by SST differences (not shown) rather than the moderate air temperature  
322 differences (Figs. 8e,f).

323 For each gyre, the case studies highlight the physical mechanism by which the high heat flux  
324 events occur, with northerly flow driving marine CAOs in the Iceland Sea gyre and westerly flow  
325 driving CAOs in the Greenland Sea gyre. For these case studies, the different circulation patterns  
326 are consistent with the hypothesis regarding the role of SSTs in compensating for the sea-ice  
327 distribution differences.

328 To assess the generality of this result, a composite analysis of all high heat flux events was  
329 undertaken. Figure 9 shows the composite MSLP for high heat flux events over the Iceland Sea gyre,  
330 the Greenland Sea gyre and for all simulated days. Figure 9a shows that for the Iceland Sea gyre  
331 pressure is higher over continental Greenland with lower pressure over the open ocean to the east  
332 of the domain. This is associated with a northerly wind across the region, which, as shown in the  
333 case study, results in CAO events that drive high heat fluxes in the Iceland Sea. There are qualitative  
334 similarities between the composite Iceland Sea gyre circulation pattern (Fig. 9a), the Iceland Sea  
335 gyre case study (Fig. 7a) and a composite of ERA Interim data for buoy-observed high heat flux  
336 events shown in Harden et al. (2015).

337 For the Greenland Sea gyre (Fig. 9b), the composite shows a maximum in MSLP over coastal  
338 Greenland and adjacent sea-ice regions. While the composite flow is more north-westerly than the  
339 westerly flow seen in the case study (Fig. 8a), it still represents a similar trajectory of air flow over  
340 the Greenland Sea gyre, and its interaction with a region of only small differences in sea ice between  
341 the three simulations (Fig. 1). In each case the circulation pattern is significantly different to that in  
342 the simulated extended winter mean climatology (Fig. 9c), which exhibits much smaller pressure  
343 gradients, and corresponding weaker winds. The mean climatological MSLP and wind distribution  
344 (Fig. 9c) is consistent with the Iceland Sea being a saddle point in North Atlantic MSLP and a regional  
345 heat flux minimum (e.g. Moore et al. 2012; Harden et al. 2015; Papritz & Spengler 2017).

346 Via the case studies and the composite analyses, it is evident that a difference in response  
347 exists between the Iceland Sea and Greenland Sea gyres. For the Iceland Sea gyre, strong northerly  
348 flow interacts with the highly variable sea ice of the northern Iceland Sea, leading to dramatic

349 differences in heat fluxes. Here the sea ice distribution is the primary factor in the distribution and  
350 magnitude of surface heat fluxes in the different simulations. Differences in the SST are secondary.

351 For the Greenland Sea gyre, high heat flux events occur during westerly or north-westerly  
352 flow, resulting in the air mass interacting with a region where sea ice is much less variable.  
353 Consequently, the different sea-ice distributions in our simulations do *not* primarily control the  
354 surface heat fluxes here. Instead, the SST differences dominate and the heat flux magnitude is  
355 higher in the Ice<sub>min</sub> simulation rather than the Ice<sub>max</sub> simulation. In offline recalculations when this  
356 SST effect is controlled for (Figs. 5,6), the Ice<sub>max</sub> simulation displays stronger magnitude heat fluxes  
357 than the Ice<sub>min</sub> simulation, consistent with responses for the Iceland Sea gyre where anomalous sea  
358 ice dominates over the SST effect.

359

#### 360 **4. Discussion and Conclusions**

361 We have run three regional climate simulations using the MetUM at 8 km grid size with  
362 different annually repeating surface sea ice and SST fields taken, from the years with the largest,  
363 median and smallest sea-ice extent from the satellite era for this region. These experiments have  
364 facilitated comprehensive assessment of the impacts of anomalous sea-ice conditions (and  
365 corresponding sea surface temperatures) on the gyres within the Iceland Sea and the Greenland  
366 Sea.

367 The surface heat fluxes in these gyres are significantly affected by changes in the adjacent  
368 sea-ice distributions and SSTs. For the Iceland Sea gyre, differences due to the sea-ice distribution  
369 dominate, with differences due to the SST of secondary importance. Composite and case study  
370 analysis highlights that high heat flux events in the Iceland Sea occur during northerly flow and pass  
371 over a region of large sea-ice variability. The impact of this variability on downstream surface heat  
372 fluxes can be large ( $>100 \text{ W m}^{-2}$ ); in keeping with idealised modelling experiments (e.g. Liu et al.  
373 2006; Chechin et al. 2013). Composite analysis of high heat flux events is consistent with previous  
374 composites and buoy observations (see Harden et al. 2015). Our results provide evidence for the  
375 hypothesis of Moore et al. (2015) that a retreating wintertime sea-ice extent is contributing to a  
376 reduction in the surface heat fluxes over the Iceland Sea.

377 For the Greenland Sea gyre, differences in heat fluxes due to the sea-ice distribution are  
378 surprisingly small and differences due to the SST dominate. Composite and case study analysis of  
379 high heat flux events show these occur during predominantly westerly or north-westerly winds, and

380 so pass over a region of relatively little sea-ice variability. The SST associated with maximum  
381 (minimum) sea-ice extents is anomalous low (high), as would be expected. The impact of this on  $\Delta T$   
382 dominates the surface heat flux differences in our experiments. However we have also shown that  
383 when the SST change is controlled for, the change in sea-ice distribution does impact the surface  
384 fluxes as expected, i.e. in the same manner as the Iceland Sea region.

385 Our results for the Greenland Sea gyre do *not* support one of the hypotheses of Moore et al.  
386 (2015) that a retreating wintertime sea-ice extent is contributing to a reduction in the surface heat  
387 fluxes over the Greenland Sea. Moore et al. show a decrease in heat fluxes that begins in the mid-  
388 1990s and coincides with a decrease in sea ice in the Greenland Sea. They suggest that the decrease  
389 in heat flux is due to the sea-ice retreat *and* differential warming of the atmosphere and ocean. By  
390 design, we have not examined this second mechanism explicitly. But we have shown that for the  
391 Greenland Sea region, the SST effect dominates the differences between the experiments and this  
392 implies that the differential warming mechanism is more important in this location. It is worth noting  
393 that Moore et al. (2015) present results for winter mean heat fluxes (c.f. our Table 1), while the  
394 latter part of our analysis focuses on high heat flux events (Table 2; Figs. 7, 8).

395 Given the importance of deep-water formation in the Greenland Sea gyre for both the  
396 formation of dense water in the Iceland Sea (Moore et al. 2015) and the North Icelandic Jet (Våge  
397 et al. 2011), it is important to determine the importance of both the short timescale high heat flux  
398 events and the long timescale conditioning of the winter time Greenland Sea ocean and heat fluxes.  
399 In both gyre regions, the relationship between changes in sea ice and SSTs lead to generally  
400 compensating impacts on high heat flux events, whereby decreased sea ice acts to reduce their  
401 magnitude, whilst the associated higher SST acts to enhance heat fluxes.

402 The novel experimental design used here has allowed a quantification of the role of changes  
403 in both sea-ice distribution and SSTs on the magnitude of high heat flux events in the Iceland Sea  
404 and Greenland Sea gyres. Based on our results, we conclude that variability in sea-ice dominates the  
405 variability of heat fluxes in the Iceland Sea gyre; whilst variability in SST dominates variability of heat  
406 fluxes in the Greenland Sea gyre. However, these high heat flux events are predominantly generally  
407 cold air outbreaks, so are a result of the large-scale atmospheric circulation and occur whatever the  
408 surface conditions. Consequently, it will be important to understand the interaction between  
409 changes in sea ice and SSTs and large-scale patterns of variability such as the North Atlantic  
410 Oscillation and the East Atlantic Pattern; including how these circulation patterns are changing  
411 under anthropogenic forcing. The experimental design here focused on isolating the impacts of sea-

412 ice distribution and SST on surface heat fluxes and so used an atmosphere-only model with  
413 prescribed surface conditions. In reality, the climate system is tightly coupled in these subpolar seas  
414 and feedbacks between the atmosphere and ocean during sea-ice change are likely to play a role.  
415 This aspect should be examined in a coupled framework in a future study.

416

## 417 **Acknowledgements**

418 JOP, TJB, IAR and ADE acknowledge the support of the Natural Environment Research Council  
419 (NERC) grant: NE/N009924/1 and NE/N009754/1 (Atmospheric Forcing of the Iceland Sea – the UK’s  
420 contribution to the Iceland Greenland Seas Project). We are grateful to the ECMWF for the provision  
421 of the ERA-Interim meteorological fields (available here:  
422 <http://apps.ecmwf.int/datasets/data/interim-mdfa/>). The OSTIA data was downloaded from the  
423 Copernicus Marine Environment Monitoring Service. It is identified in their system as:  
424 SST\_GLO\_SST\_L4\_REP\_OBSERVATIONS\_010\_011 and access to the data can be found here:  
425 [http://marine.copernicus.eu/services-portfolio/access-to-](http://marine.copernicus.eu/services-portfolio/access-to-products/?option=com_csw&view=details&product_id=SST_GLO_SST_L4_REP_OBSERVATIONS_010_011)  
426 [products/?option=com\\_csw&view=details&product\\_id=SST\\_GLO\\_SST\\_L4\\_REP\\_OBSERVATIONS\\_01](http://marine.copernicus.eu/services-portfolio/access-to-products/?option=com_csw&view=details&product_id=SST_GLO_SST_L4_REP_OBSERVATIONS_010_011)  
427 [0\\_011](http://marine.copernicus.eu/services-portfolio/access-to-products/?option=com_csw&view=details&product_id=SST_GLO_SST_L4_REP_OBSERVATIONS_010_011). Model data fields used in this study will be available from the IGP Project archive at the  
428 Centre for Environmental Data Analysis, which can be found here:  
429 <https://catalogue.ceda.ac.uk/uuid/2780d047461c42f0a12534ccf42f487a>. The code for the heat  
430 flux event algorithm can be accessed via the IGP GitHub repository here:  
431 <https://github.com/IGPResearch/bas>. The authors would like to thank Dr Stuart Webster (UK Met  
432 Office) for his assistance in setting up and using the MetUM Nested Suite and Willie McGinty  
433 (National Centre for Atmospheric Science) for assistance in producing model GRIB files from the  
434 ERA-Interim reanalysis. Simulations were run on the UK Met Office HPC facility MONSooN from  
435 February 2018 to September 2018. We would like to thank the two anonymous reviewers for their  
436 comments which improved the final manuscript and the accessibility of the figures.

437

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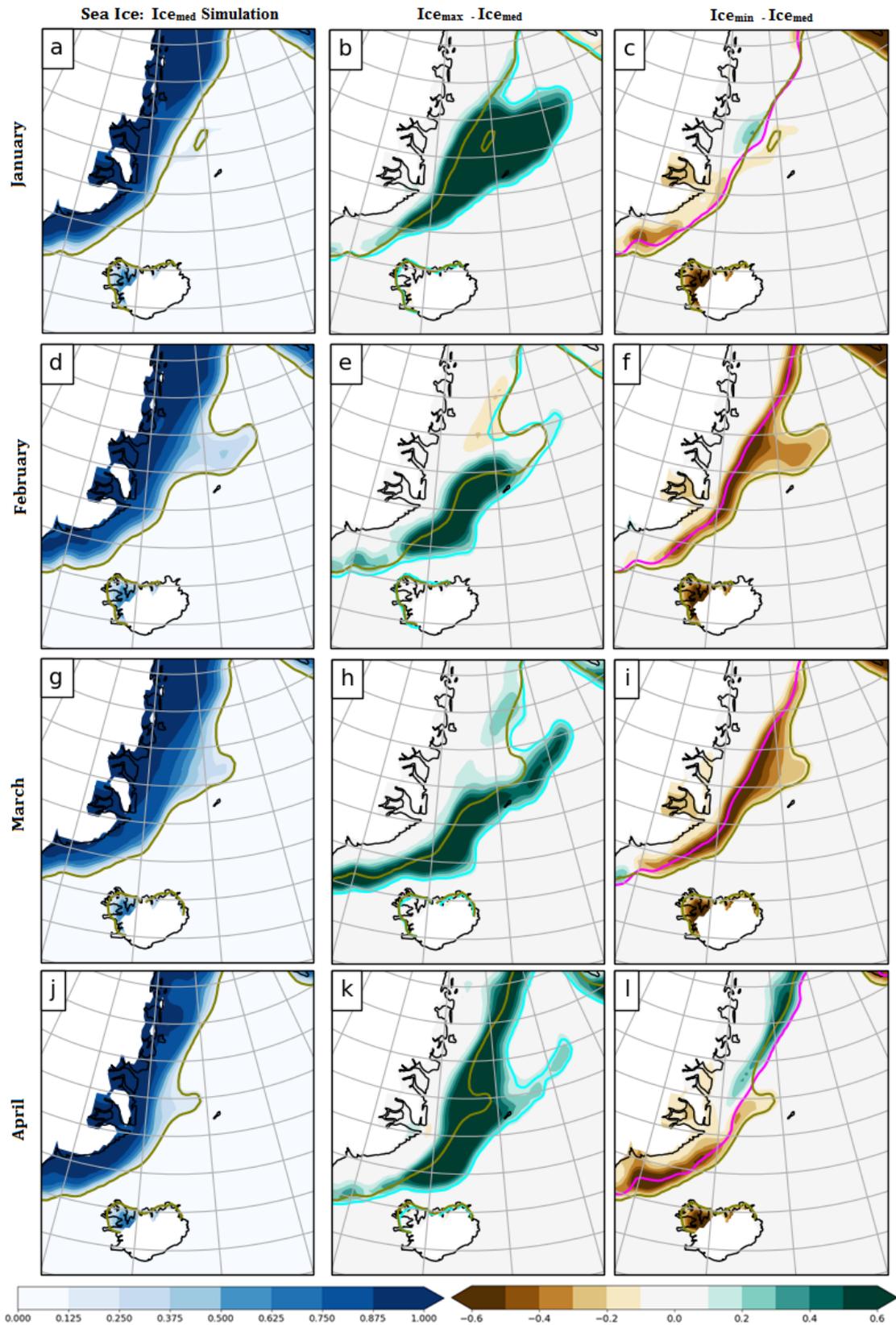
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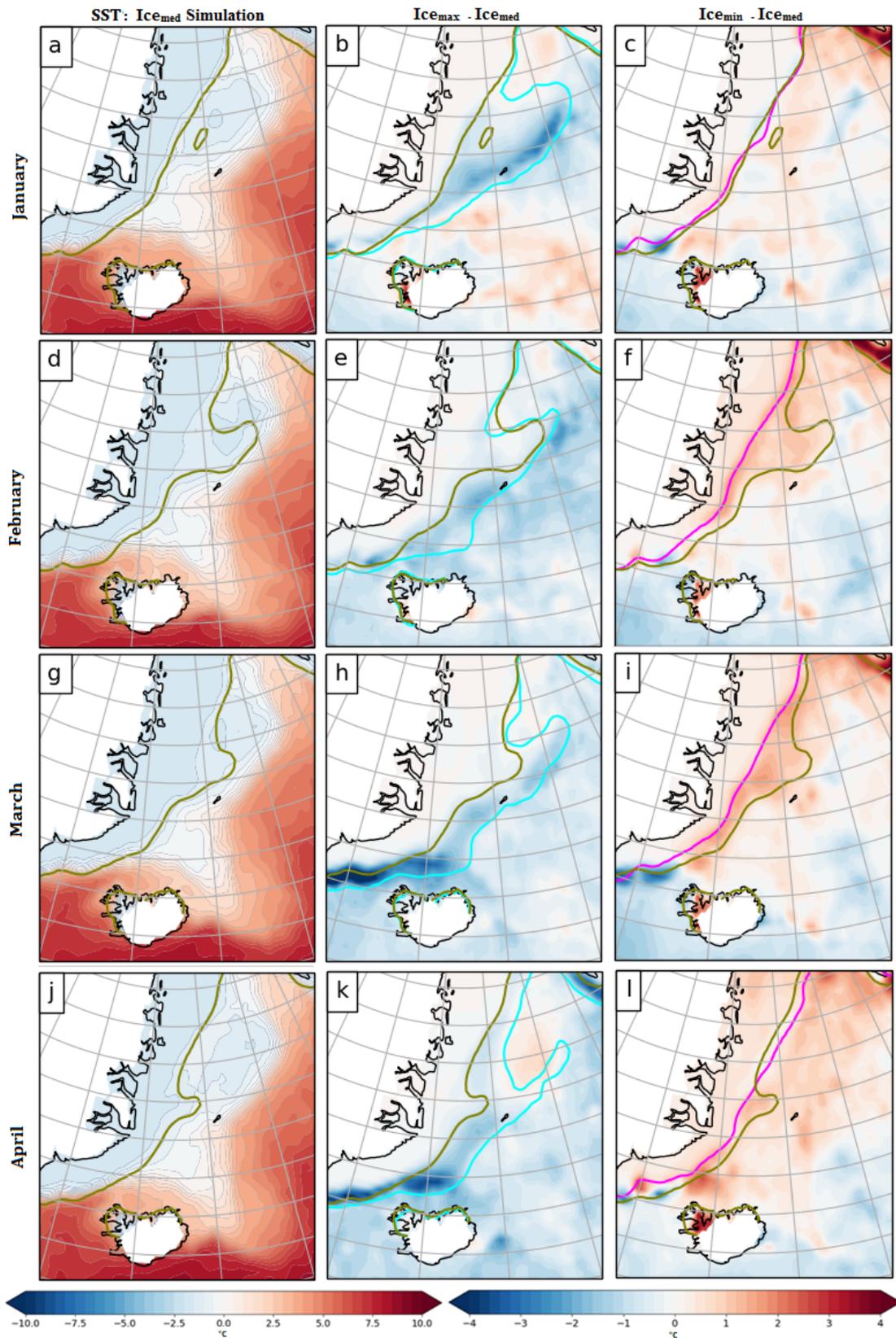
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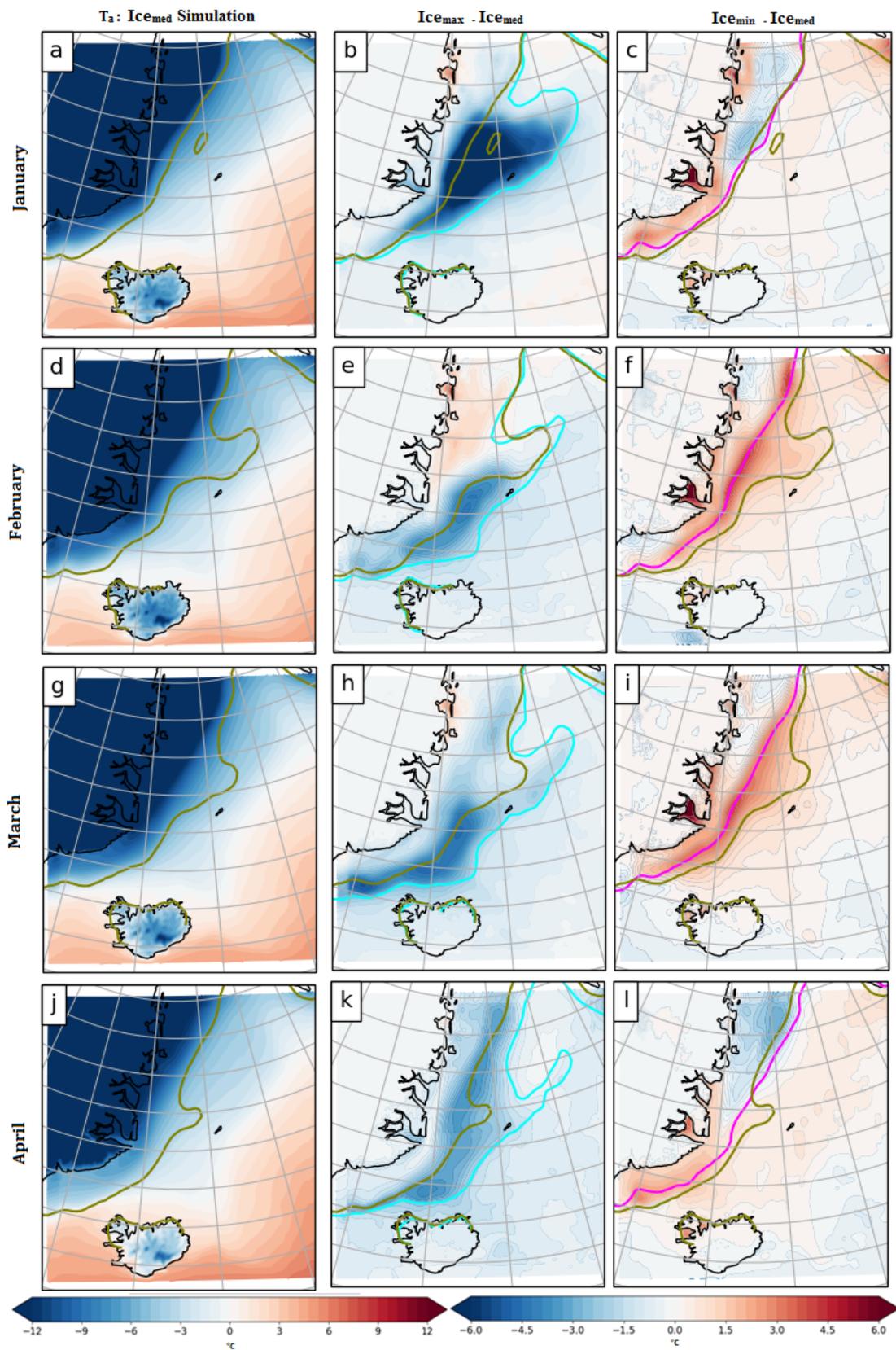
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537 Figure 1 – Monthly mean sea-ice concentration for the  $Ice_{med}$  simulation (a, d, g & j); and sea-ice  
 538 concentration anomalies for the  $Ice_{max} - Ice_{med}$  simulations (b, e, h & k); and the  $Ice_{min} - Ice_{med}$   
 539 simulations (c, f, i, l). The 15% sea-ice concentration contours are selectively plotted:  $Ice_{max}$   
 540 simulation (cyan),  $Ice_{med}$  simulation (olive) and  $Ice_{min}$  simulation (magenta).



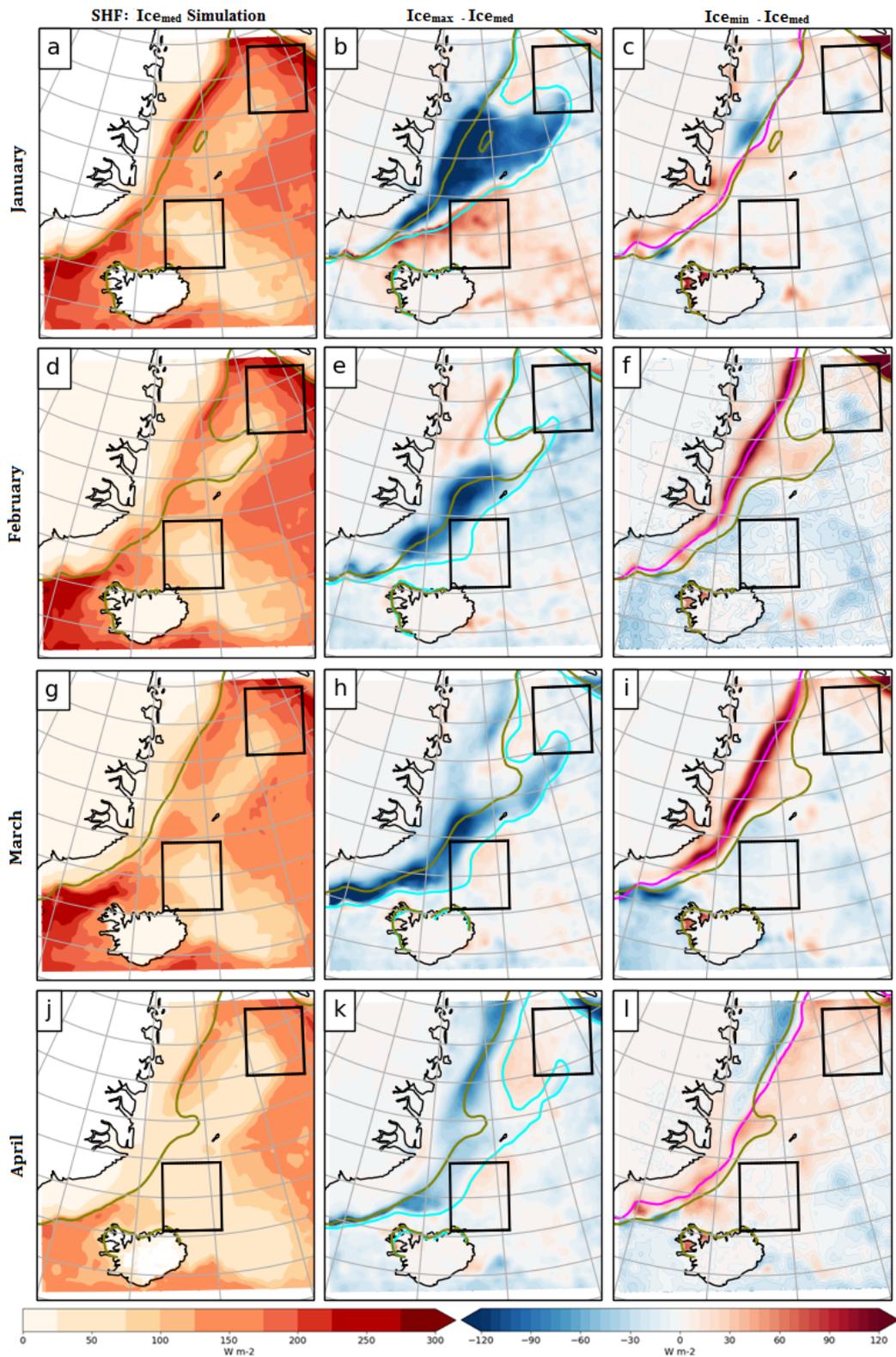
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542 Figure 2 – Monthly mean sea surface temperature (SST, units of  $^{\circ}C$ ) for the  $Ice_{med}$  simulation (a, d, g  
 543 & j) and SST anomalies for the  $Ice_{max} - Ice_{med}$  simulations (b, e, h & k); and the  $Ice_{min} - Ice_{med}$   
 544 simulations (c, f, i, l). The 15% sea-ice concentration contours are selectively plotted:  $Ice_{max}$   
 545 simulation (cyan),  $Ice_{med}$  simulation (olive) and  $Ice_{min}$  simulation (magenta).



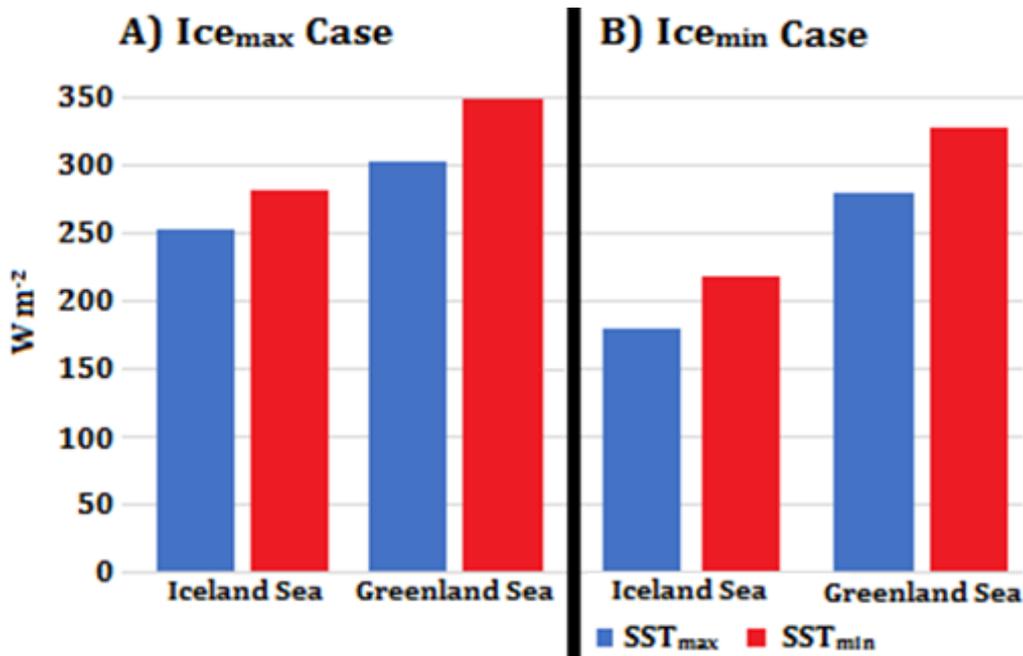
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547 Figure 3 – Monthly mean air temperature at 1.5 m ( $T_a$ ; units of  $^{\circ}\text{C}$ ) for the  $\text{Ice}_{\text{med}}$  simulation (a, d, g  
 548 & j) and  $T_a$  anomalies for the  $\text{Ice}_{\text{max}} - \text{Ice}_{\text{med}}$  simulations (b, e, h & k); and the  $\text{Ice}_{\text{min}} - \text{Ice}_{\text{med}}$  simulations  
 549 (c, f, i, l). The 15% sea-ice concentration contours are selectively plotted:  $\text{Ice}_{\text{max}}$  simulation (cyan),  
 550  $\text{Ice}_{\text{med}}$  simulation (olive) and  $\text{Ice}_{\text{min}}$  simulation (magenta).



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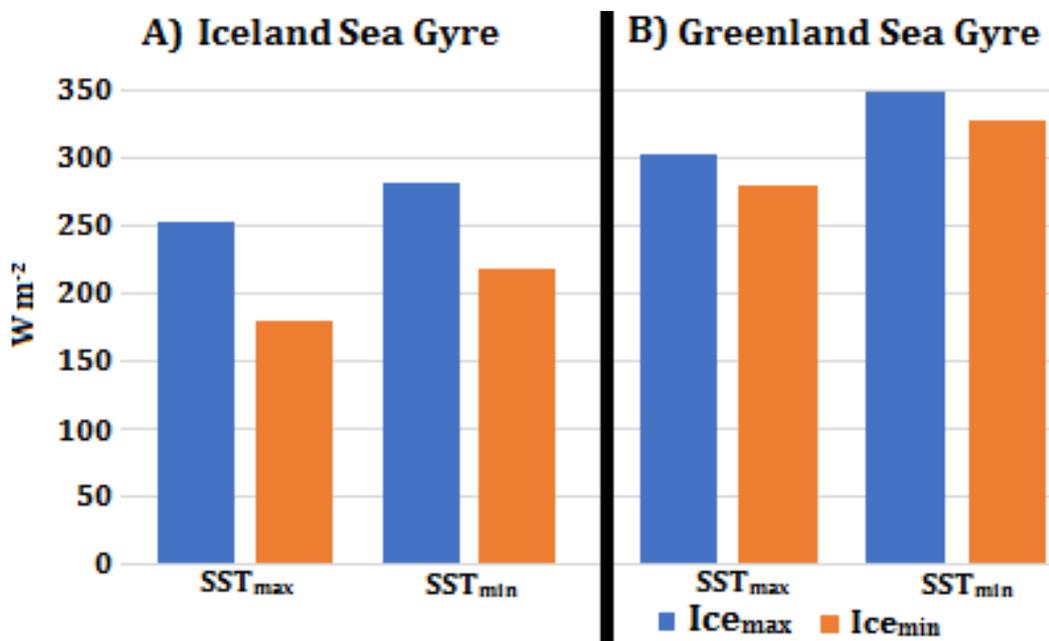
552 Figure 4 – Monthly mean surface heat flux (SHF; units of  $W m^{-2}$ ) for the  $Ice_{med}$  simulation (a, d, g &  
 553 j) and SHF anomalies for the  $Ice_{max} - Ice_{med}$  simulations (b, e, h & k); and the  $Ice_{min} - Ice_{med}$  simulations  
 554 (c, f, i, l). The 15% sea-ice concentration contours are selectively plotted:  $Ice_{max}$  simulation (cyan),  
 555  $Ice_{med}$  simulation (olive) and  $Ice_{min}$  simulation (magenta). The black boxes represent the location of  
 556 the Iceland Sea and Greenland Sea gyres used in this study.



557

558 Figure 5 – Calculated sensible heat flux ( $Q$ ; units of  $W m^{-2}$ ) for the Iceland Sea gyre and the Greenland  
 559 Sea gyre for a) the Ice<sub>max</sub> case ( $Q_{SST_{max},Ta_{max}}$  and  $Q_{SST_{min},Ta_{max}}$ ) and b) the Ice<sub>min</sub> case ( $Q_{SST_{min},Ta_{min}}$   
 560 and  $Q_{SST_{max},Ta_{min}}$ ).

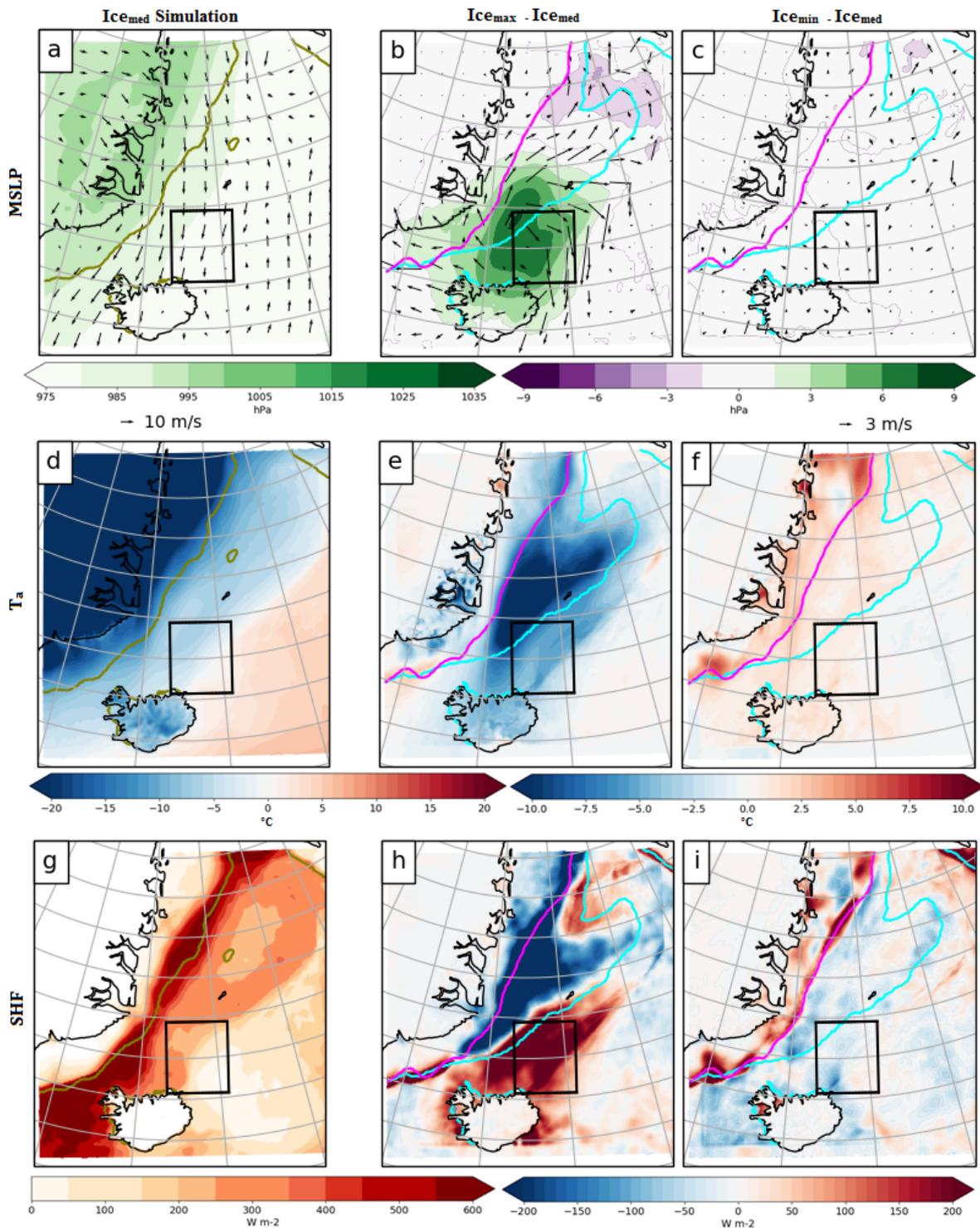
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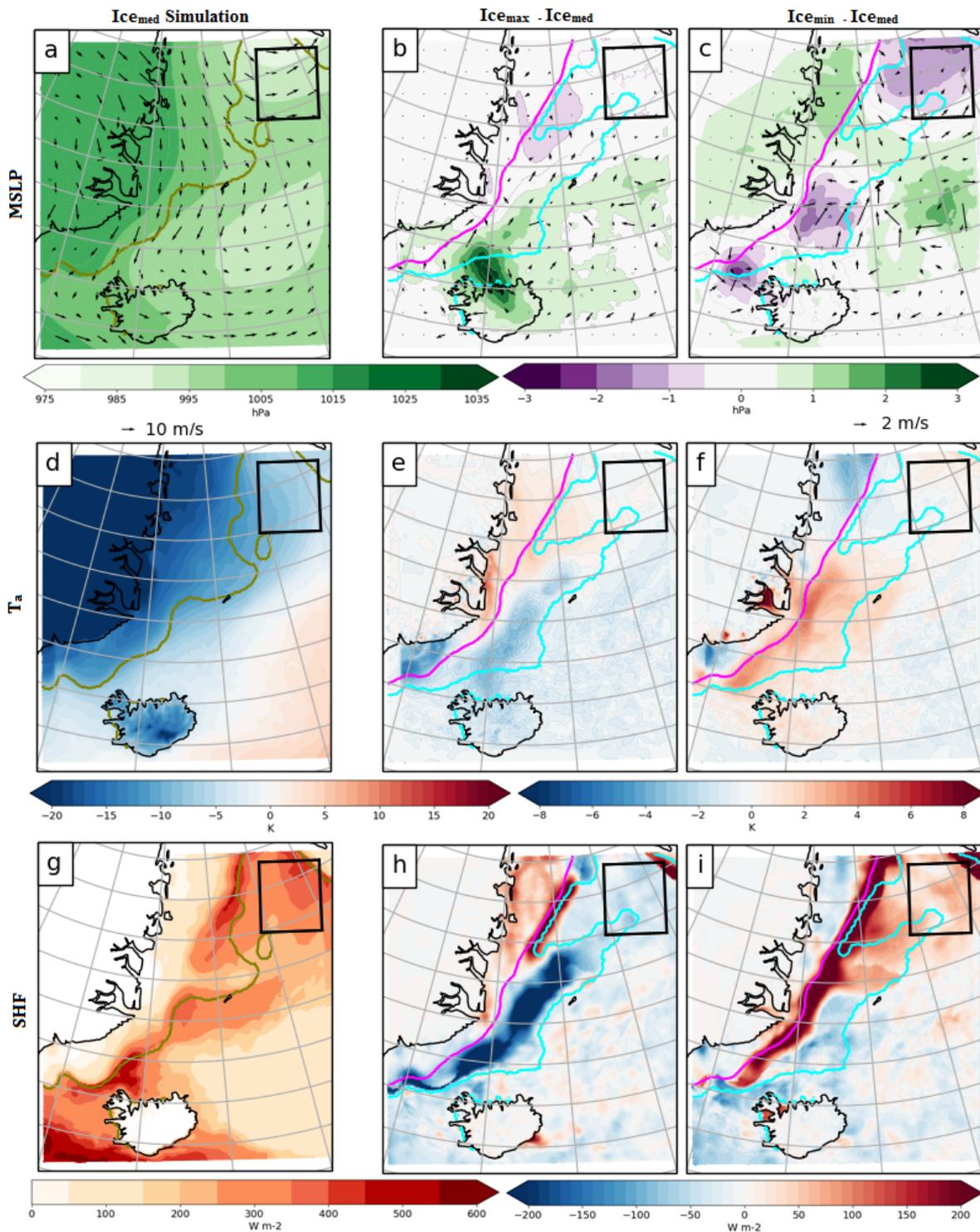
563 Figure 6 – Calculated sensible heat flux ( $Q$ ; units of  $W m^{-2}$ ) for the SST<sub>max</sub> case ( $Q_{SST_{max},Ta_{max}}$  and  
 564  $Q_{SST_{max},Ta_{min}}$ ) and the SST<sub>min</sub> case ( $Q_{SST_{min},Ta_{min}}$  and  $Q_{SST_{min},Ta_{max}}$ ) for a) the Iceland Sea gyre and b)  
 565 the Greenland Sea gyre.

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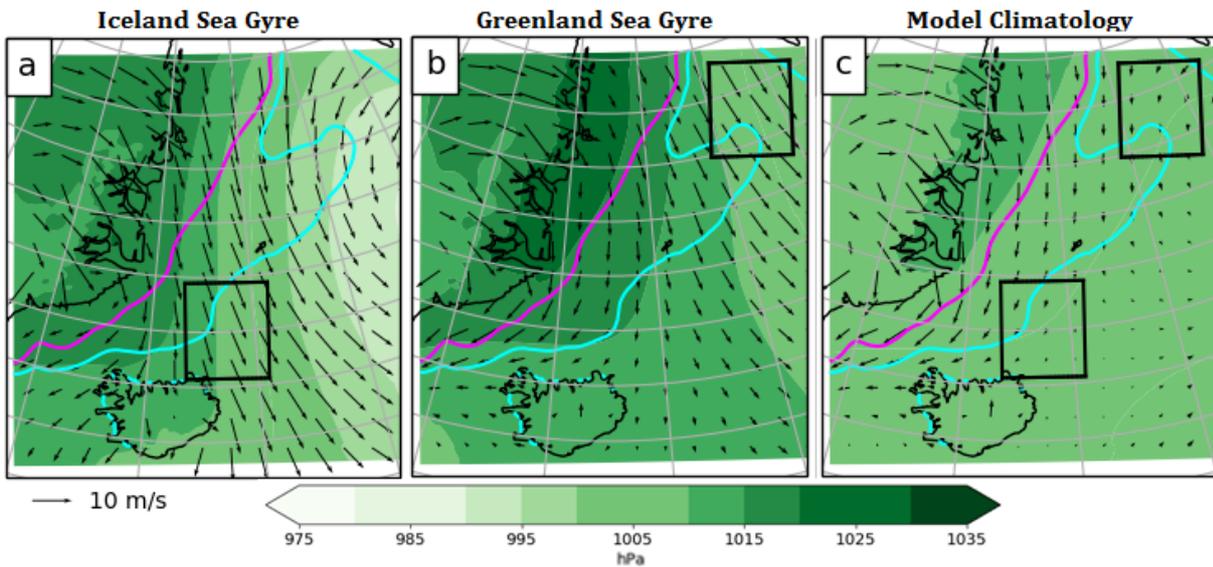
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568 Figure 7 – A case study analysis for the 31<sup>st</sup> January 1995, a high heat flux event when surface heat  
 569 fluxes in the  $Ice_{max}$  simulation were greater than the  $Ice_{min}$  simulation in the Iceland Sea gyre. Mean  
 570 sea level pressure (MSLP) and 10m wind vectors (units of hPa &  $ms^{-1}$  respectively), air temperature  
 571 at 1.5 m ( $T_a$ ; units of  $^{\circ}C$ ) and surface heat flux (SHF; units of  $W m^{-2}$ ) for the  $Ice_{med}$  simulation (a, d &  
 572 j) and corresponding anomalies for the  $Ice_{max} - Ice_{med}$  simulations (b, e & h); and the  $Ice_{min} - Ice_{med}$   
 573 simulations (c, f & i). The 15% sea-ice concentration contours are selectively plotted:  $Ice_{max}$   
 574 simulation (cyan),  $Ice_{med}$  simulation (olive) and  $Ice_{min}$  simulation (magenta).



575

576 Figure 8 – A case study analysis for the 25<sup>th</sup> Feb 1993, a high heat flux event when surface heat fluxes  
 577 in the Ice<sub>min</sub> simulation were greater than the Ice<sub>max</sub> simulation in the Greenland Sea gyre. Mean sea  
 578 level pressure (MSLP) and 10m wind vectors (units of hPa & ms<sup>-1</sup> respectively), air temperature at  
 579 1.5 m (T<sub>a</sub>; units of °C) and surface heat flux (SHF; units of W m<sup>-2</sup>) for the Ice<sub>med</sub> simulation (a, d & j)  
 580 and corresponding anomalies for the Ice<sub>max</sub> - Ice<sub>med</sub> simulations (b, e & h); and the Ice<sub>min</sub> - Ice<sub>med</sub>  
 581 simulations (c, f & i). The 15% sea-ice concentration contours are selectively plotted: Ice<sub>max</sub>  
 582 simulation (cyan), Ice<sub>med</sub> simulation (olive) and Ice<sub>min</sub> simulation (magenta).



583

584 Figure 9 – Composite analysis of mean sea level pressure (MSLP; units of hPa) and 10m wind vectors  
 585 (units of  $ms^{-1}$ ) for the occasions of high heat flux events in (a) the Iceland Sea gyre (for the  $Ice_{max}$   
 586 simulation) and (b) the Greenland Sea gyre (for the  $Ice_{min}$  simulation) and (c) the model  
 587 climatological average (all simulated days). The 15% sea-ice concentration contours are selectively  
 588 plotted:  $Ice_{max}$  simulation (cyan), and  $Ice_{min}$  simulation (magenta). The boxes represent the location  
 589 of the Iceland Sea and the Greenland Sea gyres used in this study.

590

	Period	Average surface heat flux ( $W m^{-2}$ )		Differences ( $Ice_{min} - Ice_{max}$ )	
		$Ice_{max}$	$Ice_{min}$	Absolute Anomaly ( $W m^{-2}$ )	Percentage Anomaly
Iceland Sea Gyre	JFMA	134	115	-19	-15%
	January	150	106	-44	-30%
	February	122	110	-12	-10%
	March	121	114	-7	-6%
	April	104	99	-5	-5%
Greenland Sea Gyre	JFMA	125	134	9	8%
	January	140	153	13	10%
	February	118	132	14	12%
	March	114	123	9	7%
	April	100	113	13	13%

591 Table 1 - Mean surface heat fluxes for the  $Ice_{max}$  and  $Ice_{min}$  simulations averaged over the Iceland  
592 Sea and Greenland Sea gyres. Means are presented for the winter season (JFMA) and each winter  
593 month. The percentage difference between the fluxes is shown in the final column and was  
594 calculated as  $(Ice_{min} - Ice_{max})/Ice_{max}$ .

595

	Period	Number high heat flux events	$Ice_{max}$ event > $Ice_{min}$ event
Iceland Sea Gyre	JFMA	177	76%
	January	53	96%
	February	47	74%
	March	39	82%
	April	38	55%
			$Ice_{max}$ event < $Ice_{min}$ event
Greenland Sea Gyre	JFMA	199	93%
	January	51	92%
	February	40	93%
	March	55	93%
	April	53	96%

596 Table 2 - High heat flux events in the Iceland and Greenland Sea gyres, presented for the winter  
597 season (JFMA) and each winter month. Columns show the number of high heat flux events, and the  
598 percentage of events where average heat fluxes in  $Ice_{max} > Ice_{min}$  (Iceland Sea gyre) or  $Ice_{max} < Ice_{min}$   
599 (Greenland Sea gyre).

600

601