

1 **Title:**

2 Identification of neuromuscular targets for restoration of walking ability after  
3 stroke: precursor to precision rehabilitation

4

5 **Abstract:**

6 Objectives:

7 Restoration of walking is a priority for stroke survivors and key target for  
8 physical therapies. Upright Pedalling (UP) can provide functional walking-like  
9 activity using a variety of muscle synergies; it is unclear which synergies  
10 might be most useful for recovery of walking. Objectives here were:

11 -To examine whether neuromuscular measures derived during UP might  
12 identify targets for walking rehabilitation after stroke

13 -To determine test-retest repeatability and concurrent validity of the  
14 measures.

15 *Design:* Prospective correlational study

16 *Setting:* Movement science laboratory

17 *Participants:* Eighteen adults with stroke (StrS); ten healthy older adults  
18 (HOA).

19 *Intervention/measurement:* StrS and HOA took part in two identical  
20 measurement sessions. During UP, EMG and kinematic data were recorded,  
21 then processed to derive three measures: (1) reciprocal activity of quadriceps  
22 and hamstrings; (2) percentage muscle activity 'on' according to crank angle  
23 (3) smoothness of movement.

24 Results

25 HOA and StrS demonstrated differences in reciprocal muscle activity  
26 ( $p=0.044$ ) and quadriceps activity according to crank angle ( $p=0.034$ ), but  
27 pedalled similarly smoothly ( $p=0.367$ ). For muscle activation according to  
28 crank angle in StrS, ICCs (95% CI) showing acceptable repeatability were:  
29 0.46 (0.32, 0.58) affected quadriceps; 0.43 (0.28, 0.56) affected hamstrings;  
30 0.67 (0.56, 0.75) unaffected quadriceps.

### 31 Conclusion

32 Muscle activation according to crank angle is a promising measure of lower  
33 limb impairment during functional activity after stroke; subsequent  
34 investigation should determine magnitude of variance between testing  
35 sessions. Reciprocal activity of quadriceps and hamstrings muscles and  
36 quadriceps activity according to crank angle are both potential targets for  
37 physical therapies to improve motor recovery. Further investigations are  
38 warranted.

39

40 **Key words:** stroke, walking, rehabilitation, lower extremity

41

42

43

44

45

46

47

48

49

## 50 **Introduction**

51 Restoration of walking ability after stroke is a priority for stroke  
52 survivors (Pollock *et al.* 2012). Provision of evidenced-based task-specific  
53 walking practice is especially challenging for people with substantial  
54 impairments, such as those unable to walk even with assistance of two  
55 others. This challenge is particularly pertinent early after stroke when it is  
56 important to provide intensive input, focused on restoring neuromuscular  
57 function, whilst people are still in the period of injury-induced neuroplasticity  
58 (Nudo, 2013; Pomeroy *et al.* 2011). Here, recovery is defined as “the extent  
59 to which body structure and functions, as well as activities, have returned to  
60 their pre-stroke state” (Bernhardt *et al.* 2017).

61 Upright Pedalling (UP) has potential to address this challenge by  
62 providing reciprocal lower limb exercise with similar kinematics and muscle  
63 synergies to those underlying walking ability (Barroso *et al.* 2014; Raasch &  
64 Zajac 1999). Indeed, people with substantial paresis, unable to walk  
65 (Functional Ambulation Categories score of 0), 11 days or less after stroke  
66 were found to produce smooth movement during UP using a variety of muscle  
67 synergies (Hancock *et al.* 2017). However, whilst the pedalling task was  
68 achieved, it is unclear whether such synergies are compensatory and hence  
69 which should be encouraged or discouraged to restore walking ability.  
70 Clarification of which muscle synergies to target to restore motor function is  
71 unlikely to emerge through undertaking the next investigations with people  
72 early after stroke. This is because people early after stroke are likely to  
73 experience change in muscle synergies due to injury-induced recovery  
74 mechanisms. Therefore it will be important to examine the muscle synergies

75 used by people in the 'chronic' phase after stroke when further recovery is  
76 not expected. In this way a comparison of muscle synergies used by stroke  
77 survivors and aged-matched volunteers is more likely to identify the  
78 compensatory muscle synergies to avoid during rehabilitation.

79

80 An associated potential benefit of UP is the provision of measurement  
81 of neuromuscular function during a functional task. Such information can  
82 support decision making on whether a physiotherapy intervention is actually  
83 restoring body structure and function (Bernhardt *et al.* 2017; Hardwick *et al.*  
84 2017; Kwakkel *et al.* 2017). At present, motor impairment is often measured  
85 with stroke survivors in static postures such as sitting (e.g. the Motricity  
86 Index), rather than during those functional movements that directly relate to  
87 recovery of tasks such as walking. Laboratory systems are available to  
88 provide objective, sensitive measures, but are expensive and inaccessible to  
89 most clinical services. Even in the presence of access to a gait laboratory  
90 many stroke survivors cannot ambulate sufficiently to participate in gait  
91 measurement. However, they might be able to take part in UP (Hancock *et al.*  
92 2017) to provide more clinically relevant measures. These include EMG-  
93 derived measures of muscle synergies (reciprocal activation of quadriceps  
94 and hamstrings, muscle activation timing according to crank angle) and a  
95 kinematic measure (smoothness of lower limb movement), even in stroke  
96 survivors with severe paresis who are unable to walk (Hancock *et al.* 2017)  
97 Before these neuromuscular measures during UP can be used for both  
98 clinical practice and research it is important that they are tested for test-retest  
99 repeatability and concurrent validity with existing clinical measures.

100

101 Hence, the aims of this study are: (a) to explore whether UP  
102 neuromuscular measures may identify potential targets for physiotherapy  
103 interventions designed to improve recovery of walking ability, and, (b) to  
104 determine both the test-retest repeatability of neuromuscular measures during  
105 UP and their concurrent validity with existing measures of motor impairment  
106 and ambulation. Specific objectives were, for UP neuromuscular measures-  
107 namely, reciprocal activity of quadriceps and hamstrings muscles,  
108 smoothness of movement and muscle activation according to pedal crank  
109 angle; a) to compare between stroke survivors and healthy older adults; and,  
110 b) to determine test-retest repeatability and concurrent validity with the  
111 Motricity Index and the Functional Ambulatory Categories (FAC)

112

### 113 **Methods**

114 -Design, ethics and setting

115 This was a prospective correlational study in a movement science laboratory.  
116 Ethical and Research Governance approval were in place (Norfolk REC:  
117 11/EE/0002). All participants provided informed consent.

118

119 -Participants

120 Participants with stroke (StrS):

- 121 • Were aged 18+
  - 122 • Had sustained a unilateral stroke with motor hemiplegia
  - 123 • Scored 1,2,3,4 or 5 on the Functional Ambulatory Categories, FAC
- 124 (Holden *et al.* 1984)

- 125       • Had resting oxygen saturations of 95% or above, resting heart rate of  
126           90 bpm or less and resting systolic blood pressure of 100-160mmHg  
127       • could follow a one-stage command  
128       • could participate in one, one-minute UP session

129 StrS were excluded if:

- 130       • Their GP indicated that participation was not appropriate  
131       • They had co-existing pathology contributing to substantial impairment  
132           in the paretic lower limb

133 All healthy older adult participants (HOA):

- 134       • Were adults of 50 years or over  
135       • Were independent in community ambulation  
136       • Had a resting heart rate of 90 beats per minute or less and resting  
137           systolic blood pressure of 100-160mmHg  
138       • Had no underlying condition that might limit participation in the  
139           measurement session  
140       • had no lower limb pathology contributing to substantial impairment

141

142       -Recruitment

143 StrS were recruited via researcher visits to local stroke groups, a poster  
144 placed in community settings and contact with participants who had recently  
145 completed another study with our team. HOA volunteers were recruited via  
146 posters.

147

148       -UP equipment/instrumentation

149 To provide movement-based, physiological measurements to characterise  
150 motor impairment, a novel prototype instrumented Upright Pedalling device  
151 (U-PED) was designed (see Hancock *et al.* 2017). U-PED provides  
152 appropriate trunk and lower limb support for people with poor postural control  
153 and is instrumented to enable neural-biomechanical measurement of  
154 pedalling. This includes division of the wheel into 45-degree position bins to  
155 enable muscle activity recorded via surface EMG (sEMG), here from  
156 quadriceps and hamstrings muscles, to be mapped to the position of the  
157 pedal during the 360 degree turn.

158

159 -Procedure- StrS participants:

160 Motor behaviour measures taken:

- 161 • Ability to produce voluntary muscle contraction in the lower limb  
162 measured by the Motricity Index (Demeurisse *et al.* 1980). The MI was  
163 chosen as it is a simple, clinically applicable measure that provides a  
164 more detailed assessment of muscle strength than the MRC scale.
- 165 • Ability to walk measured by the FAC. The FAC is a widely used, clinical  
166 classification of gait.

167

168 The experimental procedure is detailed in figure 1. In summary, following skin  
169 preparation, sEMG electrodes were applied over right and left quadriceps and  
170 hamstrings muscle groups. Resting data were recorded for 30 seconds. StrS  
171 participants began pedalling, and data were marked electronically when at  
172 comfortable cadence and again after one minute. This pedalling session was  
173 repeated again after a one-hour rest period.

174

175           -Procedure- HOA participants:

176 HOA participants took part in two measurement sessions separated by a one  
177 hour rest as described for StrS. Here, EMG data were recorded during  
178 pedalling for one minute at cadences of: 10, 20, 30, 40 and 50rpm. Different  
179 cadences were used to enable comparisons with possible cadences achieved  
180 by StrS. Ordering of cadence was randomised prior to testing using a  
181 computerised randomisation programme.

182

183           -Data Processing

184 Data were processed exactly as described in Hancock *et al.* (2017). In  
185 summary; firstly, the muscle activity raw signal was rectified using custom  
186 written scripts and smoothed using a moving average of 50ms. Then, to  
187 establish muscle activity bursts:

- 188       • Baseline (threshold) EMG values were calculated from the processed  
189       signal as the mean  $\pm$  3 SD during the 30 seconds resting period -  
190       muscles considered “on” above this threshold and “off” when below it.
- 191       • For each 45 degree position bin, onset of activity was expressed as a  
192       percentage of total “on” time for that specific position. If the muscle was  
193       continually above the threshold throughout a whole 45<sup>0</sup> position bin,  
194       this would be 100% on, and if not above the threshold at all within that  
195       position bin would be 0% on. This classification enabled determination  
196       of muscle activity according to crank angle, removing the need to relate  
197       EMG activity to a specific timeframe.

198



199 To derive a measure of reciprocal activation of antagonistic muscle groups  
200 during UP, Jaccard's Coefficient (J) was used (Real & Vargas, 1996):

$$201 \quad J = \frac{a}{a + b + c}$$

202 where a= % muscles on together, b= % quadriceps on, hamstrings off  
203 and c= % hamstrings on, quadriceps off

204

205 A J-value of 1.0 therefore indicates complete co-contraction, no reciprocal  
206 activation, of an antagonistic muscle pair. A J-value of 0 indicates no co-  
207 contraction between the two muscles at all, therefore complete reciprocal  
208 activation of antagonistic pairs. For both StrS and HOA, reciprocal activation  
209 was calculated for each leg separately; data from right leg of HOA was used  
210 for relevant comparisons (see *statistical analysis*)

211 Smoothness of pedalling movement (S-Ped) was the standard deviation of the  
212 time spent in each of the eight position bins for each 360 degree turn, over the  
213 central ten turns of the wheel, extracted from the complete number of turns for  
214 each participant. Hence, a lower standard deviation- a lower S-Ped score,  
215 indicates smoother pedalling than a higher standard deviation, hence S-Ped,  
216 score.

217 -Statistical analysis

218 To test for differences between StrS and HOA for the measure of reciprocal  
219 muscle activity, two-sample t-tests with 95% confidence intervals were used;  
220 for smoothness of activity, a two-sample Wilcoxon test was used. For  
221 differences between StrS and HOA for the measure of muscle activation

222 according to crank angle, a repeated measures ANOVA was used (i.e. the  
223 crank position, or 'bin' was used as a repeated, within individual factor.) For  
224 testing for differences between StrS and HOA, data collected at pedalling  
225 cadence 40rpm for HOA was used, most closely reflecting the mean pedalling  
226 cadence of the StrS group (41.4 rpm). Data from the right leg of HOA were  
227 used for all comparisons.

228

229 To determine test-retest repeatability of all measures the intra-class  
230 correlation coefficient (ICC) plus 95% confidence intervals (95% CI) were  
231 used. Interpretation of ICC values was made as: 0.0-0.20=slight; 0.21-  
232 0.40=fair; 0.41-0.60=moderate; 0.61-0.80=substantial; and 0.81-1.00=almost  
233 perfect (Eilaszew *et al.* 1994). The interpretation was made on the lower limit  
234 of the 95% CI.

235 Concurrent validity of each UP measure with the Motricity Index and FAC was  
236 quantified using Spearman's rank correlation coefficient.

237

## 238 **Results**

239

### 240 -Participant characteristics

241 Eighteen StrS participated (eight female), with mean age 61 years (table 1).

242 Mean time after stroke was 6.3 (range 1.2 to 19.8) years. All had motor  
243 impairment in their lower limb,(mean MI 66.2/100; range 38 to 92/100)

244 All could walk; some with assistance of one person, ranging to able to  
245 ambulate independently (FAC score median 3, range 1- 5; table 1).

246

247 Ten HOA participated (four female) with mean age 58 years (table 1).

248

249 -Differences between StrS and HOA

250 *1. Reciprocal activity of quadriceps and hamstrings muscles*

251 Fifteen of the 18 data sets for StrS were available after processing for the  
252 more affected limb and 17 for the less affected limb. This was due to marked  
253 external noise for one measurement session for one participant and  
254 insufficient muscle activity above baseline from which to calculate the J-value  
255 for the more affected limb for two participants.

256 Reciprocal activity of muscles in the affected limb of StrS was significantly  
257 less than in HOA (HOA: mean=0.248, SD=0.255, StrS: mean=0.500,  
258 SD=0.305, difference= -0.249 [95% CI -0.491 to -0.010]; p=0.044). There was  
259 no significant difference for the unaffected limb of StrS and HOA (HOA:  
260 mean=0.248, SD=0.255, StrS: mean=0.393, SD=0.298, difference= -0.146  
261 [95% CI -0.379 to 0.087]; p=0.208) (table 2).

262

263 *2. Smoothness*

264 Measurement of smoothness demonstrated no significant differences  
265 between groups (HOA: median=0.014, semi-IQR=0.0015, StrS:  
266 median=0.017, semi-IQR=0.0050; p=0.367) (table 2).

267

268 *3. Muscle activation according to crank angle*

269 For the between groups comparison of mean percentage activity across each  
270 complete turn of the crank, no difference was demonstrated for either  
271 quadriceps (p=0.111) or hamstrings (p=0.347) (table 3). However,

272 consideration of the separate position bins did show differences between StrS  
273 and HOA (table 3) for percentage of muscle activity “on” between position  
274 bins (e.g. for bin 1, quadriceps “on” for 84.3% of the time for HOA and 71.7%  
275 of the time for StrS; table 3), a significant difference between bins was found  
276 for quadriceps ( $p=0.034$ ) though not for hamstrings ( $p=0.202$ ).

277

278 -Test-retest repeatability

279 *1. Reciprocal activity of quadriceps and hamstring muscles*

280 Whilst point estimates alone suggest fair agreement for both the unaffected  
281 and affected limb of StrS (unaffected: ICC=0.38 [95% CI 0,0.80]; affected  
282 limb: ICC=0.35 [95% CI 0, 0.70]), and substantial agreement at faster speeds  
283 for HOA (e.g. at 50rpm: ICC=0.72 [95% CI 0,0.85]), confidence intervals were  
284 wide in all cases, with lower 95% CIs at zero; hence, repeatability was not  
285 established for reciprocal muscle activity (table 4).

286

287 *2. Smoothness*

288 Similarly, repeatability was not established for smoothness of movement in  
289 StrS (ICC=0.28 [95% CI 0,0.65], nor in HOA at any cadence (e.g. at 20rpm:  
290 ICC=0.59 [95% CI 0.01, 0.88]; at 40rpm: ICC=0.64 [95% CI 0.10, 0.90]) (table  
291 4)

292

293 *3. Muscle activation according to crank angle*

294 Affected quadriceps and hamstrings muscles in StrS demonstrated fair  
295 agreement between sessions (quadriceps ICC=0.46; 95% CI: 0.32, 0.58;  
296 hamstrings ICC=0.43; 95% CI: 0.28, 0.56). Unaffected quadriceps in StrS

297 demonstrated moderate agreement between sessions (ICC=0.67; 95% CI:  
298 0.56, 0.75). Substantial correlation was demonstrated for quadriceps in HOA  
299 (ICC=0.76; 95% CI: 0.65, 0.84) (table 5).

300

301 -Concurrent validity with the Motricity Index and Functional Ambulatory  
302 Categories

303 *1. Reciprocal activity of quadriceps and hamstrings muscles*

304 There was no significant association between reciprocal muscle activity and  
305 the MI in either the affected limb ( $r=0.278$ ,  $p=0.316$ ); or unaffected limb  
306 ( $r=0.075$ ,  $p=0.775$ ), similarly, no association was demonstrated for the FAC  
307 (affected limb,  $r=0.030$ ,  $p=0.916$ ; unaffected limb,  $r=0.136$ ,  $p=0.604$ ).

308

309 *2. Smoothness*

310 For smoothness of movement, no significant association was demonstrated  
311 with the MI ( $r=0.375$ ,  $p=0.130$ ) or the FAC ( $r=-0.165$ ,  $p=0.513$ ).

312

313 *3. Muscle activity according to crank angle*

314 No associations were demonstrated between percentage muscle activity “on”  
315 according to crank position and either the MI or the FAC.

316

317 **Discussion**

318 The main findings suggest that UP neuromuscular measures:

319 i) differ between stroke survivors and healthy older adults for  
320 measurement of a) reciprocal activity of quadriceps and

- 321 hamstrings muscles, and b) quadriceps muscle activation  
322 according to crank angle.
- 323 ii) do not differ between stroke survivors and healthy older adults  
324 for measurement of smoothness of pedalling
- 325 iii) have a) fair test-retest repeatability for quadriceps and  
326 hamstrings muscle activity according to crank angle in the  
327 affected leg of stroke survivors, and b) substantial test-retest  
328 repeatability for quadriceps muscle activity according to crank  
329 angle in healthy older adults

330

331

332 *Assessment of test-retest repeatability for UP derived neuromuscular*

333 *measures:*

334 Findings of test-retest repeatability were variable for measures across  
335 participant groups and muscles tested. Wide 95% confidence intervals around  
336 the ICC's for reciprocal muscle activity and smoothness measures meant that  
337 repeatability could not be determined with any precision. It is likely that the  
338 small sample size (n=17) and possible heterogeneity of stroke survivors'  
339 movement patterns and abilities contributed. However, fair to substantial  
340 repeatability was demonstrated in muscle activity according to crank angle in  
341 both groups. This is again promising, as it is a potentially important indicator  
342 of underlying strategies adopted to produce controlled voluntary movement  
343 and might provide a specific target for lower limb rehabilitation (Hortobagyi *et*  
344 *al.* 2009). In a previous investigation of muscle activity onset and offset during  
345 cycling, in a range of lower limb muscles in non-impaired younger adults,

346 Jobson *et al.* (2012) demonstrated strong repeatability in all muscles; this  
347 inter-session reliability was markedly better for temporal than magnitude  
348 components of activity. Hence, temporal components of muscle activity, such  
349 as those explored in the current study, might be more suitable for evaluation  
350 of long-term change in activity. The findings of Jobson *et al.* are unsurprising  
351 in a group of young, experienced cyclists; further work on their psychometric  
352 properties, in people with motor impairment, is indicated.

353 *Comparisons between Strs and HOA for UP derived neuromuscular*  
354 *measures:*

355         The findings of differences between stroke survivors and healthy older  
356 adults for both measurement of reciprocal activity of quadriceps and  
357 hamstrings muscles and quadriceps muscle activity according to crank angle  
358 indicate that both are potential targets for physical therapies to improve motor  
359 recovery. Such measures can provide quantitative information about the  
360 control and quality of voluntary movement (Hortobagyi *et al.* 2009; Demers &  
361 Levin, 2017). Accurate measurement of movement quality variables by such  
362 measures is therefore of clinical importance, to characterise and monitor  
363 response to walking interventions in stroke survivors, and to understand  
364 whether such responses are restorative or compensatory (Jolkkonen &  
365 Kwakkel, 2016).

366         Smoothness of movement, as defined for this study, did not  
367 discriminate between stroke survivors and healthy older adults. This is an  
368 important finding with clinical relevance, demonstrating that stroke survivors  
369 can achieve similarly smooth, repetitive movement to people without stroke, in  
370 upright postures during a task analogous to walking. The current findings

371 contrast to Chen *et al.* (2005) who also addressed such a measure, but found  
372 that smoothness of pedalling in a small group of stroke survivors (n=13) was  
373 significantly lower than in people without stroke (n=8). However, Chen *et al.*  
374 calculated smoothness using instantaneous velocity over four wheel phases,  
375 a methodological difference which might account for contrasting findings to  
376 the current study. In addition, Chen *et al.* used a semi-recumbent cycle for  
377 their testing process; we suggest that the more upright posture used in the  
378 current study enabled stroke survivors to achieve a more normal, functional  
379 movement, enabling similarly smooth movement to older adults without  
380 stroke. Furthermore, this smooth movement was established here without  
381 significant difference in reciprocal muscle activity between the *unaffected limb*  
382 of the stroke survivors and healthy older adults. It is possible, therefore, that  
383 people greater than one year after stroke can activate strategies to produce  
384 smooth movement without abnormal, compensatory muscle activation  
385 patterns in their unaffected limb.

386 Earlier, preliminary work with people within 30 days of stroke onset and  
387 substantial paresis, also found that smooth movement was achievable during  
388 UP (Hancock *et al.* 2017). It is therefore possible that UP might have potential  
389 as a rehabilitation tool, as well as providing indicators of change in movement  
390 performance and potential targets for therapy.

391

392 *Agreement of UP derived neuromuscular measures with other commonly*  
393 *used measures; concurrent validity*

394 The findings reported here suggest that it would not be appropriate to  
395 use the UP neuromuscular measures interchangeably with the MI as a lower



396 limb motor impairment measure, nor to associate UP measures with walking  
397 ability classified by the FAC.

398         This is likely due to the nature of the measures developed in the  
399 current study, being derived from detailed analysis of physiological  
400 characteristics underlying motor output during upright pedalling. The MI, whilst  
401 regarded as an impairment measure, is a “hands-on” tool for measuring the  
402 end output of that physiological behaviour: voluntary muscle contraction. It is  
403 possible that the measures investigated are indicative of pre-clinically-  
404 observed change and provide information for shaping ensuing clinical therapy.  
405 This is important, as rehabilitation studies have been criticised for many years  
406 for their measures being insufficiently responsive to detect small but clinically  
407 relevant change in impairment (Jolkkonen & Kwakkel, 2016; Pomeroy &  
408 Tallis, 2000). The reported UP measures might, in the future, be used to  
409 enhance physiological measurement of lower limb activity and walking ability  
410 after stroke. Additionally, such sensitive measurement of impairments  
411 underpinning functional movement performance in clinical environments could  
412 enable therapists to more optimally target therapies, encouraged as they are  
413 to optimise dose and intensity of rehabilitation therapy with a focus on  
414 impairment (Krakauer *et al.* 2012).

415

#### 416 *Limitations of the study*

417         It is likely that a larger sample size of stroke survivors would have  
418 increased precision of findings reported; especially considering the loss of a  
419 few data sets for analysis in part due to signal noise.

420 Participants in the study were younger, mean age 61 years, than the average  
421 age of stroke onset in the UK (75 years). However, approximate age matching  
422 with the healthy older adults group (mean 58 years) was achieved .

423 To enable synchronous recording of crank angle during UP we were limited to  
424 four channels on the subject unit available for EMG recording of muscle  
425 activity and were able to collect from two muscle groups only. This meant that  
426 we were unable to assess the properties of the measures in other muscle  
427 groups that have a role in walking. The current study did not intend to make  
428 comparisons of muscle synergies on U-PED and during overground walking  
429 but it is acknowledged that this would be useful to investigate in future U-PED  
430 studies.

#### 431 *Strengths of the study*

432 Exploration of EMG derived measures presents several challenges  
433 including: electrode placement; movement artefacts; and non-standardised  
434 methods of signal processing. All could contribute to potential errors in  
435 interpretation and analysis (Hug & Dorel, 2009). A strength of the current and  
436 previous study (Hancock *et al.* 2017), is the use of well-defined, replicable  
437 procedures for the use of sEMG, including the precise determination of  
438 muscle activity according to crank angle. Such standardised procedures are  
439 increasingly important as EMG technology is becoming increasingly portable  
440 and usable for clinical settings, meaning that the potential impact of derived  
441 measures is substantial.

442 Whilst the sample size was not ideal, participants demonstrated a wide range  
443 of lower limb impairment and walking ability, increasing the potential  
444 generalisability of findings from this group.

445

446 **Conclusion**

447 We have identified, using UP, that reciprocal activity of quadriceps and  
448 hamstrings muscles, and quadriceps muscle activity according to crank angle  
449 are both potential targets for physical therapies to improve motor recovery,  
450 differentiating as they do between stroke survivors and healthy older adults.  
451 We have also found that people greater than one year after stroke can  
452 achieve similarly smooth movement to older adults without stroke, without  
453 abnormal reciprocal activity in their unaffected limb, during a functional activity  
454 in an upright posture. Furthermore, of the three neuromuscular measures  
455 investigated- reciprocal muscle activity of quadriceps and hamstrings,  
456 smoothness of movement and muscle activation according to crank angle -  
457 our preliminary findings suggest that muscle activation according to crank  
458 angle is promising as a measure of lower limb impairment during a functional  
459 activity for people with stroke. Subsequent investigation should determine the  
460 magnitude of variance between testing sessions and between HOA and StrS.  
461 This study is, to the best of our knowledge, the first investigation of the utility  
462 of instrumented Upright Pedalling as a clinical measure of lower limb  
463 impairment after stroke and presents promising findings about potential  
464 targets for therapy, warranting further investigation.

465 **Implications for Physiotherapy Practice**

466 This paper contributes knowledge both on the measurement of impairment  
467 during functional activity after stroke, and on identification of potential targets  
468 for rehabilitation of walking after stroke, a priority for stroke rehabilitation. Stroke  
469 survivors more than one year after stroke could produce similarly smooth

470 movement to healthy older adults during a functional task in an upright  
471 posture. Activation of quadriceps muscles according to crank angle during  
472 upright pedalling is one potential target for physical therapies to improve  
473 recovery of walking after stroke.

474

475

476 *Declarations of Conflicts of Interest: None*

477 *Ethical approval:* Norfolk Research Ethics Committee ref. no. 11/EE/0002.

478 *Funding:* This work was part of a Medical Research Council (MRC) funded

479 UEA PhD studentship awarded to the lead author Dr Nicola J. Hancock

480

**References:**

- Barroso FO, Torricellie D, Moreno JC, Taylor J, Gomez-Soriano J, Bravo-Esteban E, Piazza S, Santos C and Pons JL. Shared muscle synergies in human walking and cycling. *J Neurophysiol* 2014; 112:1984-1998  
[doi.org/10.1152/jn.00220.2014](https://doi.org/10.1152/jn.00220.2014)
- Bernhardt J, Hayward KS, Kwakkel G, Ward NS, Wolf SL, Borschmann K, et al. Agreed definitions and a shared vision for new standards in stroke recovery research : The Stroke Recovery and Rehabilitation Roundtable taskforce. *Int J Stroke* 2017; 12(5):444–50  
[doi.org/10.1177/1747493017711816](https://doi.org/10.1177/1747493017711816)
- Chen H-Y, Chen S-C, Chen J-JJ, Fu L-L, and Wang YL. Kinesiological and Kinematical Analysis for Stroke Subjects with asymmetrical Cycling Movement Patterns. *J.Electromyogr & Kinesiol* 2005; 15:587-595.  
[doi.org/10.1016/j.jelekin.2005.06.001](https://doi.org/10.1016/j.jelekin.2005.06.001)
- Demers M and Levin MF. Do activity level outcome measures commonly used in neurological practice assess upper-limb movement quality? *Neurorehabil Neural Repair* 2017; 31(7):623-637. [doi.org/10.1177/1545968317714576](https://doi.org/10.1177/1545968317714576)
- Demeurisse G, Demol O and Robaye E. Motor evaluation in vascular hemiplegia. *Eur Neurol* 1980; 19:382-389. [doi.org/10.1159/000115178](https://doi.org/10.1159/000115178)
- Eilasziw M, Young SL, Woodbury MG, and Fryday-Field K. Statistical methodology for the concurrent assessment of interrater and intrarater reliability: using goniometric measurements as an example. *Phys Ther* 1994; 74(8):777-788. [doi.org/10.1093/ptj/74.8.777](https://doi.org/10.1093/ptj/74.8.777)
- Hancock NJ, Shepstone L, Rowe P, Myint PK, Pomeroy VM. Towards Upright Pedalling to drive recovery in people who cannot walk in the first weeks after stroke: Movement patterns and measurement. *Physiotherapy* 2017; 103:400-406. [doi.org/10.1016/j.physio.2016.10.392](https://doi.org/10.1016/j.physio.2016.10.392)
- Hardwick RM, Rajan VA, Bastain AJ, Krakauer JW and Celnik PA. Motor learning in stroke: trained patients are not equal to untrained patients with less impairment. *Neurorehabil & Neural Repair* 2017; 31(2):178-189.  
[doi.org/10.1177/1545968316675432](https://doi.org/10.1177/1545968316675432)
- Holden MK, Gill KM, Magliozzi MR, Nathan J. and Piehl-Baker L. Clinical gait assessment in the neurologically impaired: reliability and meaningfulness. *Phys Ther* 1984; 64:35-40. [doi.org/10.1093/ptj/64.1.35](https://doi.org/10.1093/ptj/64.1.35)
- Hortobagyi T, Solink S, Gruber A, Reider P, Stinweg K, Helseth J and DeVita P. Interaction between age and gait velocity in the amplitude and timing of antagonistic muscle coactivation. *Gait & Posture* 2009; 29(4):555-562.  
[doi.org/10.1016/j.gaitpost.2008.12.007](https://doi.org/10.1016/j.gaitpost.2008.12.007)

Hug F and Dorel S. Electromyographic analysis of pedalling: a review. *J Electromyogr & Kinesiol* 2009; 19:182-198

Jobson SA, Hopker J, Arkesteijn M and Passfield L. Inter- and intra-session reliability of muscle activity patterns during cycling. *J. Electromyogr & Kinesiol* 2012; 23(1):230-237. [doi.org/10.1016/j.jelekin.2012.08.013](https://doi.org/10.1016/j.jelekin.2012.08.013)

Jolkkonen J. and Kwakkel G. Translational hurdles in stroke recovery studies. *Transl. Stroke Res.* 2016; 7:331-342

Krakauer JW, Carmichael ST, Corbett D and Wittenberg JF. Getting neurorehabilitation right: what can be learned from animal models? *Neurorehabil Neural Repair* 2012; 26(8):923-931. [doi.org/10.1177/1545968312440745](https://doi.org/10.1177/1545968312440745)

Kwakkel G, Lannin N, Borschmann K, English C, Ali M, Churilov L, et al. Standardised measurement of sensorimotor recovery in stroke trials: consensus-based core recommendations from the Stroke Recovery and Rehabilitation Roundtable (SRRR). *Int J Stroke.* 2017; 12(5):451–61. [doi.org/10.1177/1545968317732662](https://doi.org/10.1177/1545968317732662)

Nudo RJ. Recovery after brain injury: mechanisms and principles. *Front Human Neurosci.* 2013; 887. [doi.org/10.3389/fnhum.2013.00887](https://doi.org/10.3389/fnhum.2013.00887)

Pollock A, St George B, Fenton M and Firkins L. Top ten research priorities relating to life after stroke. *Lancet* 2012; 11: 209. [doi.org/10.1016/S1474-4422\(12\)70029-7](https://doi.org/10.1016/S1474-4422(12)70029-7)

Pomeroy V, Aglioti SM, Mark VW, McFarland D, Stinear C, Wolf SL, et al. Neurological principles and rehabilitation of action disorders: rehabilitation interventions. *Neurorehabil Neural Repair.* 2011; 25(5):33S–43S. [doi.org/10.1177/1545968311410942](https://doi.org/10.1177/1545968311410942)

Pomeroy VM and Tallis RC. Need to focus research in stroke rehabilitation. *Lancet* 2000; 355:836-837. [doi.org/10.1016/S0140-6736\(99\)08143-X](https://doi.org/10.1016/S0140-6736(99)08143-X)

Raasch CC and Zajac FE. Locomotor strategy for pedalling: muscle groups and biomechanical functions. *J. Neurophysiol* 1999; 82: 515-525. [doi.org/10.1152/jn.1999.82.2.515](https://doi.org/10.1152/jn.1999.82.2.515)

Real R. and Vargas JM. The probabilistic basis of Jaccard's Index of Similarity. *Syst Biol* 1996; 45(3):380-385

SENIAM: surface electromyography for the non-invasive assessment of muscles. 2013; *guidelines available at* <http://www.seniam.org>

**Table 1: Participant characteristics**

Stroke Survivor Group, StrS							Healthy Older Adult Group, HOA		
Participant	Gender	Affected side	Age (years)	Time since stroke onset (years)	MI Score (lower limb /100)	FAC Score (/5)	Participant	Gender	Age (years)
RePed, STK 01	M	Right	58	1.5	92	5	RePed, HV01	M	56
RePed, STK 02	F	Left	70	3.0	84	4	RePed, HV02	F	52
RePed, STK 03	M	Right	58	4.3	48	1	RePed, HV03	M	54
RePed, STK 04	M	Left	70	1.2	84	4	RePed, HV04	F	59
RePed, STK 05	F	Left	71	12.7	78	4	RePed, HV05	F	62
RePed, STK 06	F	Left	41	19.8	65	4	RePed, HV06	M	56
RePed, STK 07	M	Right	57	5.8	49	2	RePed, HV07	M	53
RePed, STK 08	M	Right	75	10	38	1	RePed, HV08	M	64
RePed, STK 09	M	Right	69	3.5	53	5	RePed, HV09	F	68
RePed, STK 10	M	Right	58	5.8	43	2	RePed, HV10	M	51
RePed, STK 11	F	Right	47	9.3	65	4			
RePed, STK 12	F	Left	51	10.7	76	4			
RePed, STK 13	F	Right	53	6.0	51	1			
RePed, STK 14	M	Right	62	4.6	92	3			
RePed, STK 15	M	Right	51	1.7	60	2			
RePed, STK 16	M	Left	71	5.2	65	4			
RePed, STK 17	F	Right	47	2.8	73	5			
RePed, STK 18	F	Left	75	6.1	76	2			
<b>Summary</b>	8/18 F	11/18 R	61 (41 to 75)*	6.3 (1.2 to 19.8)*	66.2 (38 to 92)*	3 (1 to 5)**		4/10 F	58(51 to 68) *

\*mean (range) \*\*median (range)

**Table 2: Results of analysis of difference between stroke survivor group, StrS, and healthy older adult group, HOA, for the measurement of lower limb motor impairment by UP: reciprocal muscle activity & smoothness**

Clinical measure		Healthy older adult group, HOA	Stroke survivor group, StrS	Mean Difference (95%C.I) p-value
<b>Reciprocity (affected limb)</b>	N	10	15	-0.249
	Mean	0.248	0.500	(-0.491 to -0.010)
	StdDev	0.255	0.305	P=0.044*
<b>Reciprocity (unaffected limb)</b>	N	10	17	-0.146
	Mean	0.248	0.393	(-0.379 to 0.087)
	StdDev	0.255	0.298	P=0.208*
<b>Smoothness</b>	N	10	18	-0.003
	Median	0.014	0.017	P=0.367**
	Semi IQR	0.0015	0.0050	

\*two-sample t-test \*\*two-sample Wilcoxon



**Table 3: Results of analysis of difference between stroke survivors and healthy volunteers for the measurement of lower limb motor impairment by UP: muscle activation timing**

Muscle	Wheel Bins	Mean percentage activity on		p-value <sup>1</sup>
		Healthy volunteers N=10	Stroke Patients N=17	
Quadriceps	1	84.3	71.7	<b>Group:</b> p = 0.111 <b>Bins:</b> p = 0.034 <b>Bin*Group:</b> p = 0.084
	2	74.7	68.3	
	3	58.8	69.4	
	4	27.7	76.4	
	5	37.2	77.7	
	6	62.2	82.2	
	7	89.4	83.0	
	8	98.5	79.6	
Hamstrings	1	32.3	56.8	<b>Group:</b> p = 0.347 <b>Bins:</b> p = 0.202 <b>Bin*Group:</b> p = 0.240
	2	36.8	60.8	
	3	47.9	68.3	
	4	58.5	70.3	
	5	63.6	68.9	
	6	44.0	68.5	
	7	35.5	51.4	
	8	34.0	50.9	

<sup>1</sup> Based on Wilk's Lambda from a Multivariate Analysis of Variance; **Group**=between-groups comparison of mean activity across each turn, **Bins**=difference between percentage activity 'on' between bins. i.e. comparison of activity in each position bin; **Bin\*Group**=significance of pattern of activity, between groups.

**Table 4: Results of analysis of test-retest repeatability for reciprocal muscle activity and smoothness of pedalling: agreement between testing sessions for HOA at each of five speeds and StrS pedalling at comfortable cadence**

	Clinical measure			
	Reciprocal Activation		Smoothness	
	N	ICC (95% CI)	N	ICC (95% CI)
<b>HOA</b>				
<b>Cadence 10rpm</b>	10	0.28 (0, 0.75)	10	0.46 (0, 0.83)
<b>Cadence 20rpm</b>	9*	0.18 (0,0.73)	10	0.59 (0.01, 0.88)
<b>Cadence 30rpm</b>	9*	0 (0, 0.63)	10	0.12 (0, 0.67)
<b>Cadence 40rpm</b>	9*	0.61 (0.10, 0.90)	10	0.64 (0.10, 0.90)
<b>Cadence 50rpm</b>	9*	0.72 (0, 0.85)	10	0.52 (0, 0.85)
<b>StrS</b>			18	0.28 (0, 0.65)
<b>Unaffected Limb</b>	10	0.38 (0, 0.80)		
<b>Affected Limb</b>	17	0.35 (0, 0.70)		

\*technical difficulties with one channel leading to data available for N=9 not N=10 for cadences 20, 30, 40 & 50rpm

**Table 5: Results of analysis of test-retest repeatability for muscle activity according to crank angle**

	<b>N (no. of wheel bins)</b>	<b>ICC (95% CI)</b>
<b>Healthy Volunteers</b>		
Quadriceps	10 (80)	0.76 (0.65, 0.84)
Hamstrings	10 (80)	0.56 (0.39, 0.69)
<b>Stroke Survivor group</b>		
Unaffected Quadriceps	17 (136)	0.67 (0.56, 0.75)
Unaffected Hamstrings	17 (136)	0.21 (0.05, 0.37)
Affected Quadriceps	17 (136)	0.46 (0.32, 0.58)
Affected Hamstrings	17 (136)	0.43 (0.28, 0.56)

Figure Legend:

**Figure 1: Flow chart illustrating testing procedure for Stroke Survivor (StrS) participants**

Figure 1:

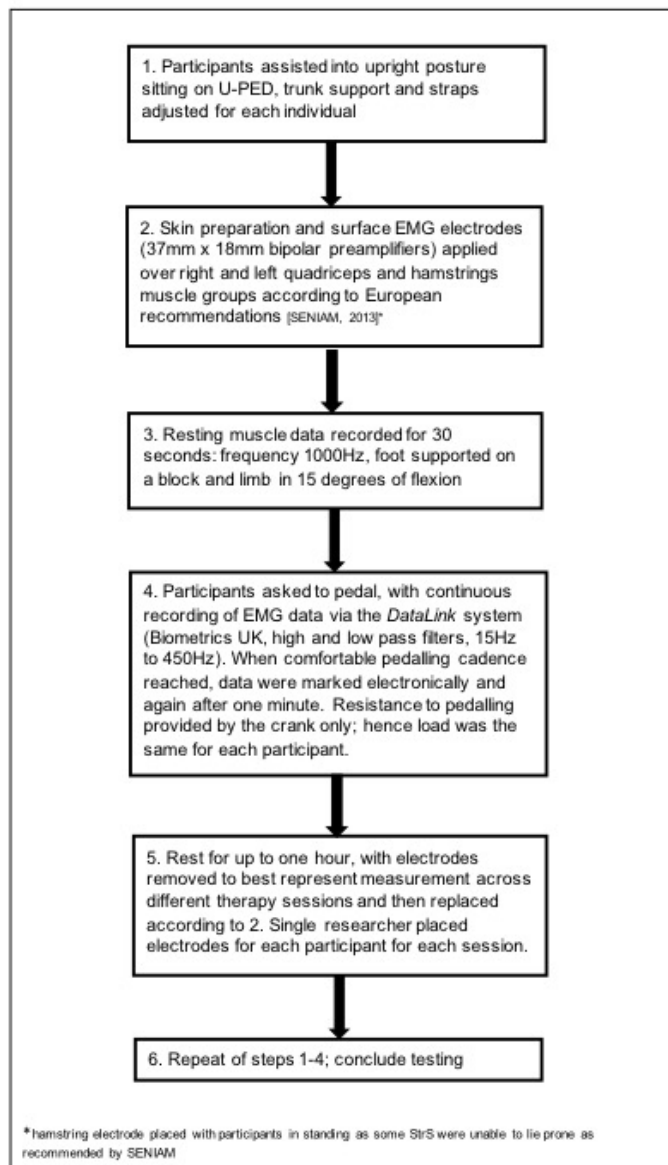


Figure 1: Flow chart illustrating testing procedure for Stroke Survivor (StrS) participants

151x246mm (72 x 72 DPI)