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5 REVIEW ARTICLE

6 Glyco-functionalised Quantum dots and their progress in 7 Cancer Diagnosis and Treatment

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15 **Abstract** Despite all major breakthroughs in recent years of research, we are still unsuccessful to
16 effectively diagnose and treat cancer that has express and metastasizes. Thus, the development of a novel
17 approach for cancer detection and treatment is crucial. Recent progress in Glyconanotechnology has
18 allowed the use of glycans and lectins as bio-functional molecules for many biological and biomedical
19 applications. With the known advantages of Quantum Dots (QDs) and versatility of carbohydrates and
20 lectins, Glyco-functionalised QD is a new prospect in constructing biomedical imaging platform for cancer
21 behaviour study as well as treatment. In this review, we aim to describe the current utilisation of Glyco-
22 functionalised QDs as well as their future prospective to interpret and confront cancer.

23 **Keywords** Carbohydrate, Leptin, Glyco-functionalised QD, Bioimaging, Cancer diagnosis and treatment

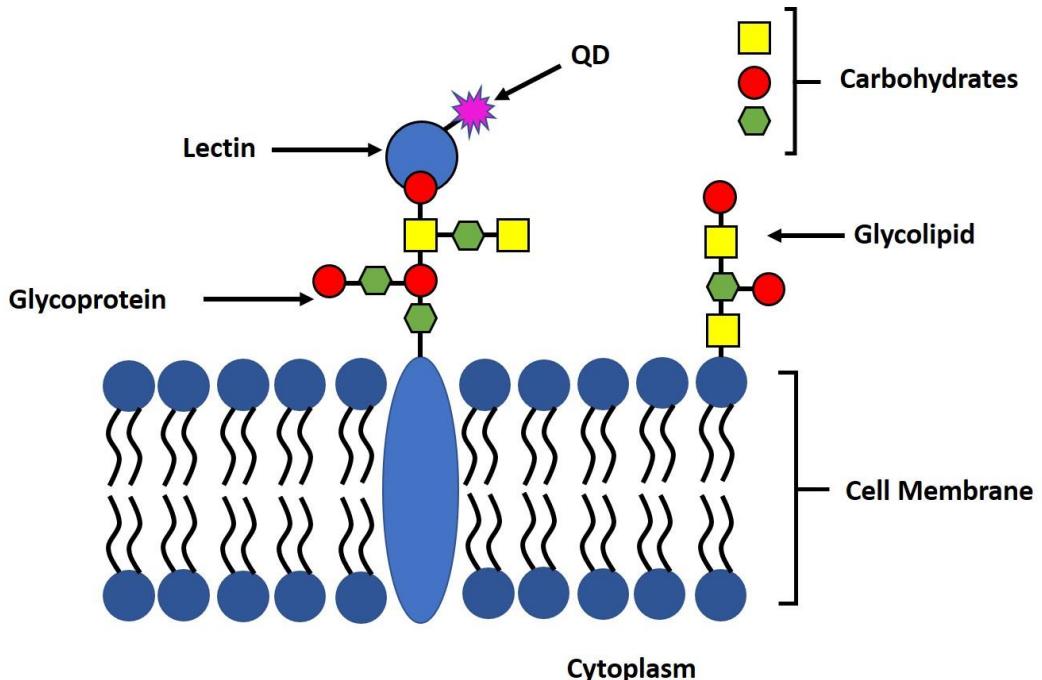
24 1 Introduction

25 Cancer is one of the leading causes of death and a major threat to public health in the 21st century. Cancer
26 is a complex type of disease affecting a verity of tissues. It is mainly characterised by uncontrolled growth
27 of abnormal cells, with the ability to attack surrounding tissues and possibly metastasize. Despite of early
28 stage diagnosis and therapeutics help to manage the disease, it remains to be never ending battle to prevent
29 and treat cancer. Nanotechnology is a promising approach in diagnosis and treatment of cancer through
30 the development of novel diagnostic imaging methods and targeted therapies. Quantum Dots (QDs) are
31 being intensively studied as a novel probe for Biomedical imaging both *in vitro* and *in vivo* due to their
32 unique optical and electronic properties. Extensive researches on the physicochemical properties of QDs,

33 such as size, morphology, composition, and surface features suggest that QDs have great potential in
34 cancer detection and treatment[1-6]. When conjugated with antibodies, peptides or other small biological
35 molecules, QD-based probes can be used to target cancer cells with high specificity and sensitivity.

36 During the last decade, there has been a great deal of interest in the incorporation of nanotechnology
37 with carbohydrates. Carbohydrates are prominently exposed on the surface of living cells and are critically
38 involved in cell–cell interactions and regulate important biological functions such as cell growth and cell
39 differentiation[7]. Cancer cells differ from normal cells in many aspects, which are often exploited as a
40 strategy in cancer chemotherapy. Cancer cell undergoes a high rate of glycolysis to adapt the low oxygen
41 environment, leading to increased glucose demand called Warburg effect. High glucose demand leads to
42 overexpression of insulin independent glucose transporter GLUT-1. Overexpressed GLUT-1 can be a
43 potential target for chemotherapy. Glycoconjugates and carbohydrate derivatives can be effectively used
44 for chemotherapy and also, conjugation of sugars to a known anti-cancer agent can increase the selectivity
45 and hence reduce the toxicity[8]. The advances in nanotechnology have allowed the creation of different
46 bioactive Glyco-functionalised nanostructures for various biomedical applications such as drug delivery,
47 gene therapy, pathogen detection and lectin-based biosensors [9-15].

48 Lectins were first introduced at the end of 19 century and are described as carbohydrate-binding
49 proteins[16]. Lectins play important role in many biological process and living organism[17,18]. They are
50 universally spread in nature and are also major component of the outer surface of mammalian cells. They
51 have been widely used in researches involving carbohydrates recognition and detection on cell
52 surfaces[19,20]. Several studies have conjugated carbohydrates and lectins to different nanostructures,
53 such as polymers, liposomes, dendrimers, carbon nanotubes, metal nanoparticles and quantum dots[9,14].
54 The resulting Glyco-functionalised nanoparticles have been used for cell imaging, cell separation, protein
55 detection and enzyme immobilization[15]. Glyconanoparticles presented a highly multivalent method of
56 interaction with cell surface structure like glycoprotein and glycolipid (**Error! Reference source not**
57 **found.**). The major function of Glyconanoparticles is to serve as recognition markers[21]. In particular,
58 carbohydrate–protein interactions on normal cells and their malignant counterparts show significant
59 differences[22], which is important strategy in cancer chemotherapy. Although Carbohydrate–protein
60 interactions are typically very weak but possess key role to biological processes that require temporary
61 adhesion during cell adhesion, cancer metastasis, immune response and intracellular trafficking[15].
62 Moreover carbohydrate perform as essential intermediate in endocytosis processes, intercellular
63 interaction and extracellular matrix, adhesion and cell growth[23,24], fertilisation[25], and also interactions
64 between pathogen and host[26]. The unique functionalities of carbohydrates forming densely packed
65 cluster on the surface of nanoparticles (NPs) is known as the “glycocluster effect”[27,28]. These
66 glyconanoparticles behaves in a similar manner to mimic the naturally occurring glycocalyx. Therefore,
67 the functionalisation and engineering of these Glyconanoaprticles helps to further enhance their specific
68 reorganisation properties on multivalent scaffolds in glycoscience.



69

70 **Fig. 1** Scheme of the cell membrane carbohydrate residues labelling with lectin-QDs.

71 2 Characteristic of Quantum Dots for Biomedical Application

72 Quantum dots are semiconductors nanocrystals, are one of the first nanotechnologies to be integrated with
 73 the biological sciences. Quantum dots are proven to be powerful probes for fluorescence imaging and are
 74 being developed for a range of additional applications including the detection of cancer, fluorescent assays
 75 for drug discovery, single protein tracking, and intracellular reporting. Quantum dots have distinct
 76 properties that give them their unique capabilities.

77 QDs were first fabricated in the 80's by Louis E. Brus[29] and the unique properties of these special
 78 nano-structures attracted interest from many fields. QDs are semiconductor nano-crystals in which
 79 excitons are confined in all three spatial dimensions. The confinement can be realised by fabricating the
 80 semiconductor in very small size, typically several hundred to thousands of atoms per particle. Due to
 81 quantum confinement effects, QDs act like artificial atoms, showing controllable discrete energy levels.
 82 QDs range from 2 nm to 10 nm in diameter and shows unique optical, physical and chemical properties.
 83 They offer great advantages over traditional organic fluorescent dyes. They present several beneficial
 84 characteristics for spectroscopy and microscopy, such as high fluorescence intensity, long lifetime, and
 85 good resistance to photobleaching. The brightness of QD based multifunctional probes affords high
 86 sensitivity for simultaneous cancer molecular imaging and targeted therapy. For spectrum application, the
 87 sensitivity of QD-based molecular imaging can be two to three orders larger than that of routine fluorescent
 88 dyes[30]. Furthermore, the fluorescence near-infrared (NIR) of NIR-QDs can be detected in deep tissues,
 89 making them suitable for *in vivo* imaging with high signal to background ratio. These properties are
 90 enabling a new generation of fluorescence imaging experiments in cancer research allowing investigators
 91 to unravel biological function at the molecular level. When functionalized with diagnostic and therapeutic
 92 agents, QDs can be used for cancer diagnosis, photodynamic therapy, cell labelling and biosensors.
 93 Biocompatibility and biological targeting of QDs are achieved through surface modification and

94 conjugation with antibodies, peptides, or small molecules. The glyconanoparticles shows promising results
95 in fluorescence-based techniques for biological studies when associated with QDs to lectins or
96 carbohydrate.

97 2.1 Lectin-functionalised QDs in Cancer Diagnosis and Theranostics

98 In 1998, first biocompatible QDs were announced for cancer imaging in *in vitro*. Despite of immeasurable
99 technological advances thereafter in cancer diagnosis, the conventional medical imaging techniques in
100 most of cases fail to offer sensitivity and resolution altogether for early stage diagnosis, as well as
101 providing specific disease molecular information. Signal-to-background ratio is important and needs lot
102 of improvement in order to effectively screening, staging and treatment of cancer. To achieve that
103 proportional improvements in sensitivity and contrast targeting agent are required. The objective of cancer
104 imaging is to detect and image the smallest number of tumour cells, ideally before the angiogenesis[31,32].
105 Current detection for solid tumours has a threshold of $\sim 10^9$ cells[31,33]. When assisted by the
106 administration of specific exogenous contrast agents[34-37], current imaging modalities can monitor
107 biochemical processes as well as cross-sectional anatomy[38,39]. Though, they have certain
108 limitations[40-42], which are either due to their intrinsic characteristics or the potentially harmful effect of
109 the contrast agents. QD-based imaging technique could overcome these limitations, make it as one of the
110 most promising technologies for early diagnosis of cancer[43,44].

111 Glycans are carbohydrates that can be attached to proteins, lipids and other glycans through enzymic
112 process known as glycosylation. Glycans have key roles in cancer biology, including cell signalling,
113 tumorigenesis, immune modulation, angiogenesis and metastasis[45,46]. Aberrant changes in glycan
114 structures have been shown to be associated with tumorigenesis, tumour progression and metastasis, thus
115 a universal hallmark of cancer[46,47]. Compared to normal tissue, tumour cells have numerous changes
116 in glycosylation, including changes to sialylation, fucosylation, the truncation of O-glycans, and N-glycan
117 branching[47,48]. There is a huge potential to exploit glycans to improve early diagnosis, and as markers
118 of specific therapeutic targets[45]. Lectin-QD bioconjugate could be an ideal tool to study glycan profile
119 and glycosylation changes related to cancer. **Table 1** presents a summary of Lectin functionalised QDs
120 used for cancer diagnosis studies reported in literature.

121

Table 1: Summary of lectin-functionalised QD conjugates for cancer diagnosis reported in literature.

Application	Carbohydrate detection	Lectin	Types of QDs	Cancer model	References
Cancer diagnosis	Glucose/mannose and L-fucose profile	Con A, UEA I	MSA-CdTe QDs	Fibroadenoma and invasive ductal carcinoma cells	[49]
Cancer detection	GalNAc , GlcNAc and mannose profiles	DSA, LCA	TGA-CdTe QDs	HepG2 cells	[50]
Cancer diagnosis	mannose/glucose, and galactose profile	Cramoll	CdTe QDs		[51]
Cancer Theranostics	Mannose triflate, cysteamine molecules (MTC)	SNA lectin	CdSe/CdS	Caco-2, MCF-7 and A549 cells	[52]
Cancer Theranostics	-	PHA-L	CdSe/CdS QDs	MCF-7 cells	[53]

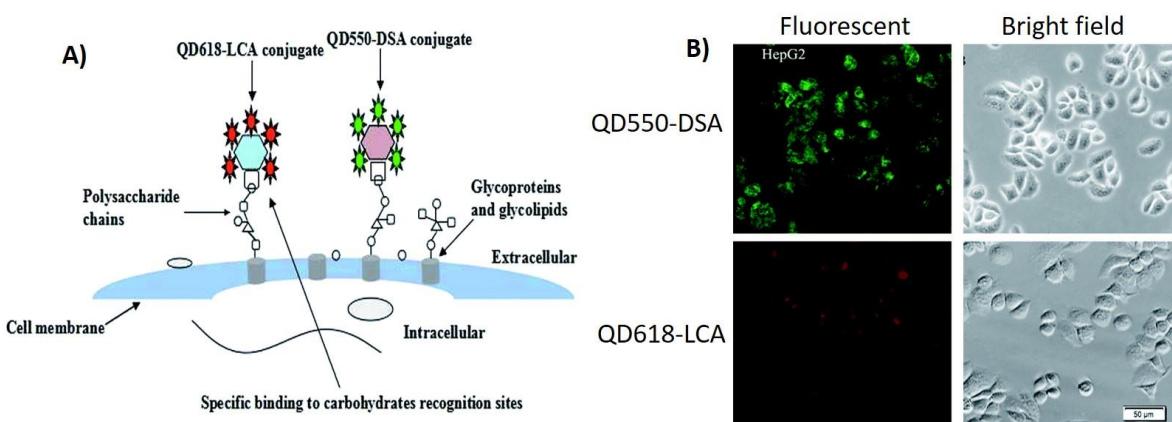
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123 2.1.1 Lectin functionalised QDs in Cancer Diagnosis

124 Andrade *et al.*(2013)[49] formulated CdTe QDs functionalised with Mercaptosuccinic acid (MSA) and
125 conjugated with Concanavalin A (Con A) or Ulex europaeus agglutinin I (UEA I) lectins to investigate
126 conceivable variations in carbohydrates profile in normal breast tissue, fibroadenoma and invasive ductal
127 carcinoma (malign tumour). These CdTe QDs are used to detect α -D glucose/mannose and L-fucose
128 residues on cell surface. They showed to be more photostable and had higher luminescence intensity than
129 the previously used glutaraldehyde CdS/Cd(OH)2 QDs[54]. They observed that the tissues stained with
130 these CdTe QD conjugates showed different fluorescent patterns, which revealed distinct labelling patterns
131 in the three tissue types. The stroma was favourably and intensely stained by CdTe QDs-Con A, due to
132 higher expression of α -D-glucose/ mannose residues. The ductal cells were preferentially labelled by CdTe
133 QDs-UEA I, revealing intense expression of L-fucose residues. These results indicate differences in
134 expression and distribution of carbohydrate residues in these tissues.

135 He *et al.*(2017)[50] synthesised hydrophilic thioglycolic acid (TGA) functionalised CdTe QDs
136 conjugated with *Datura stramonium* agglutinin (DSA) and *Lens culinaris* agglutinin (LCA), which have
137 different emission wavelength of 550 nm and 618 nm, respectively (Error! Reference source not
138 found. A Error! Reference source not found. B Error! Reference source not found.). The formed functionalised QD-lectin bioconjugates are
139 used to investigate the GalNAc and GlcNAc/mannose profiles on hepatocellular carcinoma cells (HepG2)
140 by fluorescence imaging (Error! Reference source not found. B Error! Reference source not found.)
141 and flow cytometric analysis. Compared to normal endothelium cells (ECs) and liver cells (LO2), HepG2
142 cancer cells labelled with QDs-DSA and QDs-LCA exhibited 3 and 2-fold greater signal, respectively.
143 Thus, the lectin-QD bioconjugates proved to be efficient tools to monitor the carbohydrate expression and
144 evaluating the differential expression of these carbohydrates on normal and cancer cells, which is very
145 important for helping the early diagnosis of cancer.

146 A recent study from Cunha *et al.* (2018) evaluated two different strategies to conjugate Cramoll lectin
147 to QDs. Cramoll is a mannose/glucose-binding lectin with unique immunomodulatory and antitumor
148 activities. Both adsorption and covalent bonding strategies have been used and tested at different pH
149 values. The authors showed that QDs absorbed to Cramoll at pH 7.0 had the best labelling efficiency on
150 *Candida albicans* cells. Approximately 92% of cells were labelled after incubation with adsorption
151 conjugates compared to 17% of cells were labelled by covalent conjugates. The Cramoll lectin and QD
152 conjugates remained brightly fluorescent and preserved identical biological activity as Cramoll, thus it
153 could be promising fluorescent tools for carbohydrate expression analysis in normal and cancer cells,
154 which can provide valuable information about glycosylation changes related to cancer.



155
156 **Fig. 2** A) Schematic representation of lectin–QDs conjugates: QD550-DSA and QD618-LCA detecting
157 cell surface carbohydrates. B) Fluorescence microscopy images of HepG2 cells stained by functionalised
158 QDs. (Adapted with permission from Ref. [50]- Published by The Royal Society of Chemistry)

159 2.1.2 Lectin functionalised QDs in Theranostics for Cancer

160 Theranostics, is a term invented from merging diagnostics and therapeutics application of nanomaterials.
161 QDs are excellent candidate for imaging and early cancer detection; they may also serve ideal delivery
162 vehicles if the biocompatibility can be managed. Through surface functionalization of ligands and
163 conjugation of “drugs” on QD one can construct an “all-in-one” multifunctional nanoplatform that features

164 targeting, therapeutic and imaging modalities. lectin-QDs are showing promising results in theranostics
165 applications.

166 Akca *et al.*(2014)[52] synthesised CdSe/CdS QDs and conjugated with *Sambucus nigra* agglutinin
167 (SNA) lectin and mannose triflate and cysteamine molecules (MTC). Cysteamine can induce apoptosis in
168 cells, and its capacity can be increased with radiation. Biological activities of ^{125}I , ^{125}I -MTCQDs, MTC-
169 QDs- Lec- ^{125}I , QDs-Lec- ^{125}I and Lec- ^{125}I were examined on various cancer cell lines such as Caco-2,
170 MCF-7 and A-549. The results showed more specificity of SNA towards MCF-7 cells compared with
171 other cells lines. In addition, the QDs-Lec- ^{131}I used in *in vivo* studies indicated that the conjugated QDs
172 were accumulated in the liver and bladder. These results suggested that the conjugate QDs-Lec- $^{125/131}\text{I}$
173 presented both radioactive and fluorescent properties could be a useful tool for tumour imaging and
174 radiotherapy.

175
176
177 Kara *et al.*(2014)[53] prepared CdSe/CdS QDs, conjugated with PHA-L and labelled with ^{125}I to study
178 the interface between phytohemagglutinin-L (PHA-L) and sialic acid. Sialic acid is abundant on the breast
179 cancer cell (MCF-7) surface. The authors demonstrated that ^{125}I labeled QD-PHA-L conjugates represent
180 significant affinity on MCF-7 cells and the cell incorporation increased with time. This result was also
181 confirmed by computational simulation using crystal structure of PHA-L, which revealed that the
182 conjugates had a significant affinity for cells.

183 2.2 Carbohydrate-functionalised QDs in Cancer Diagnosis and Treatment

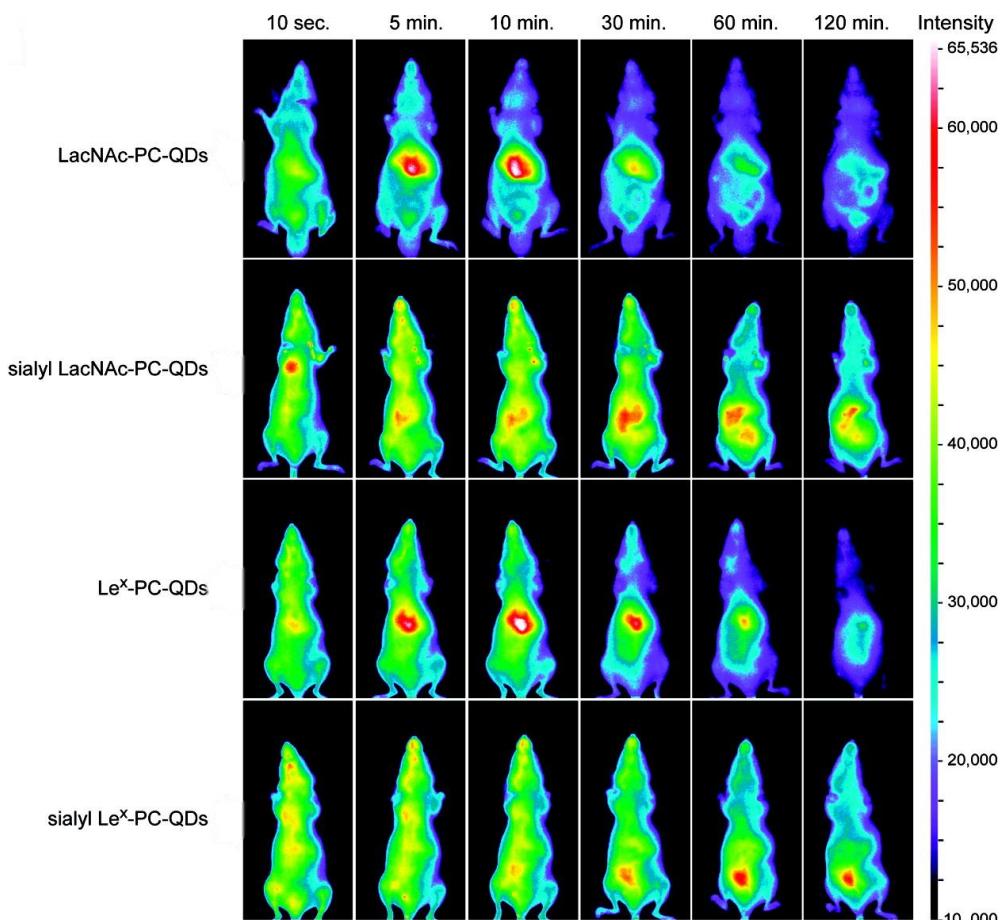
184 Lack of specificity of formulated drug or nanomaterial is the major challenge for the effective cancer
185 treatment. Therefore, the development of targeted system for cancer diagnosis and treatment is highly
186 desirable. Cancer cell differ from its malignant counterparts, which provides valuable characteristic to
187 develop specific targeted system for cancer. Targeted fluorescent biomarkers have found great advantage
188 in the specific visualisation of cancer cells, which enables early-stage detection of cancers instead of
189 depending on advanced morphological changes alone. Moreover, targeted system enhanced drug delivery
190 to specific cancer cells or tissue thereby dramatically improving the selectivity and efficacy of anti-cancer
191 drugs. Carbohydrates have attracted considerable attention in the development of targeting systems due to
192 their ability to differentiate and recognise cells and the endocytic uptake resulting from specific
193 carbohydrate-lectin interactions. **Table 2** presents a summary of Carbohydrate-functionalaslied QDs
194 targeting different cancer models for potential cancer treatment discussed in the text below.

Table 2: Summary of Carbohydrate-functionalised QDs targeting various types of cancer reported in literature

Applications	Carbohydrate	Types of QDs	Targeting receptor	Cancer model	References
Cancer imaging	SialylLacNAc, LacNAc, Lex	PC-QDs		Mice model	[55]
Cancer cell imaging	Mannose and Galactose	CdSe/ZnS- TOPO QDs	Asialoglycoprotein receptors	HepG2 cells	[56]
Cancer cell imaging	β -galactose and α -glucose	CdTe/CdS QDs	Asialoglycoprotein receptors, Glucose receptor	HepG2 cells	[57]
Cancer cell imaging	α -glucose, α - N-acetylglucosamine, β -galactose, Mannose or Sialic acid	ZnS-AgInS2 QDs	Asialoglycoprotein receptors	Leukemia (THP-1), Macrophage (J774.A1) and HepG2 cells	[58]
Cancer cell imaging	D-mannose	Silicon QDs	-	MCF-7 cells	[59]
Cancer cell imaging	Glucose, Lactose	Silicon QDs	-	B16F10 melanoma cells	[60]
Cancer cell imaging	Glucose	Silicon QDs		HeLa cells	[61]
Cancer targeting	Galactose	CdTe/ZnS-TOPO	Asialoglycoprotein receptors	HeLa and A549 cells	[62]
Cancer targeting	D-mannose	Silicon QDs	-	MCF-7 cells	[63]
Cancer targeting	Galactose, Glucose, Mannose, and Lactose	Silicon QDs	-	MCF-7, HepG2, A549, SK-Mel, HHL5, HeLa cells	[64]
Cancer targeting	Galactose	CdSe-ZnS QDs	Galactose receptors	HepG2 and HeLa cells	[65]
Cancer Theranostics	Mannose	Albumin-CdTe QDs		MCF-7 and MDA-MB-231 cells, Ehrlich ascites tumour in BALB/C mice	[66]

197 2.2.1 Carbohydrate-functionalised QDs for Cancer imaging

198 Ohyanagi *et al.*(2011)[55] investigated the dynamic distribution profiles of Carbohydrate-functionalised QDs
199 in model animals. The authors synthesised NIR fluorescent CdSeTe/CdS QDs and coated them with a mixed
200 population of thiol. The obtained QDs were further coated with different carbohydrate to form a collection
201 of Carbohydrate-functionalised QDs for in vivo study. NIR images of mice injected with carbohydrate-coated
202 QDs exhibited significant differences in time-dependent distribution (**Fig. 3**). The authors demonstrated that
203 Sialyl N-acetyllactosamine (sialylLacNAc) linked QDs accumulated in the spleen and intestine 2h post
204 injection, while LacNAc-functionalized QDs and Lex-QDs were localised in the liver, and no preferential
205 distribution was observed for sLex-QDs. These results suggest that the structure of the carbohydrate residues
206 in the individual sialylated oligosaccharides might influence significantly the organ-specific distribution of
207 the glycan functionalised QDs.



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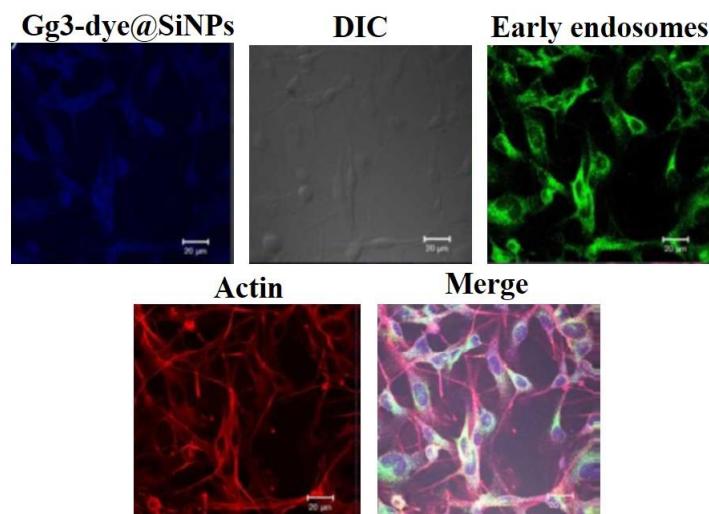
209 **Fig. 3** Live animal imaging module of mice treated with Glyco-functionalised QDs carrying Lewis antigen-related
210 oligosaccharides. (Reproduced with permission from Ref. [55]. Copyright (2011) American Chemical Society.)

211 It has been well reported in the literature that hepatocytes, especially hepatocellular carcinoma cells (*e.g.*
212 HepG2) have overexpressed galactose receptors-Asialoglycoprotein receptors (ASGP-Rs)[67-71]. Thus,
213 ASGP-Rs could be an ideal target for liver cancer cells[70,71]. Baviredia and Kikkeri (2012)[56]
214 synthesised β -cyclodextrin (β -CD) capped with O- α -mannopyranoside (man) and O- β -galactopyranoside
215 (gal) to CdSe/ZnS- TOPO QDs. They first studied the interaction of synthesised nanoparticles with different
216 lectins like ConA, *Galanthus nivalis* agglutinin (GNA) and Peanut agglutinin (PNA) and observed that QDs-
217 β -CD-man conjugates were successfully linked to ConA and GNA, while QDs- β -CD-gal conjugates only

218 showed affinity with PNA. They later introduced these multivalent fluorescent nanoparticles in HepG2 cells
219 and observed that only β -CD-gal QDs internalized in liver carcinoma cells, which confirms
220 Asialoglycoprotein receptor mediate interaction. Shinchi *et al.*(2012)[57] also proved similar concept by
221 synthesizing β -galactose and α -glucose CdTe/CdS QDs and studied there interaction with ConA and *Ricinus*
222 *communis agglutinin* I (RCA 120). They proved that β -galactose CdTe/CdS QDs internalised in HepG2 cells,
223 which express asialoglycoprotein receptors, whereas α -glucose QDs showed poor uptake in HepG2 liver
224 carcinoma cells. They later then synthesised small library of cadmium free sugar ZnS-AgInS2 QDs such as
225 α -glucose, α - N-acetylglucosamine, β -galactose, mannose or sialic acid.[58] They used three different cell lines,
226 leukaemia (THP-1), macrophage (J774.A1) and HepG2 cells. The results showed that leukaemia cells only
227 uptaken α -glucose QDs, perhaps due to the lack of carbohydrate receptors. All the QDs were internalised in
228 Macrophage cells, whereas HepG2 cells were preferentially taken up β -galactose QDs, due to the high
229 amount of asialoglycoprotein receptors in these cells' membranes.

230 Zhai *et al.*(2014)[59] have also developed a straightforward synthesis method for carbohydrate-
231 functionalised Silicon quantum dots (SiQDs). The authors used three surface modifications to study SiQDs
232 solubility in water and their biocompatibility within cells: L-alanine and pentanoic acid were chosen for their
233 structural simplicity and D-mannose surface functionalities were used for targeted imaging of MCF-7 breast
234 cancer cells. Fluorescence microscopy demonstrated the D-mannose and L-alanine functionalised SiQDs
235 could readily internalised by MCF-7 cells, however, the pentanoic acid terminated SiQD did not. This
236 observation indicates carbohydrate-functionalised SiQDs can be effective luminescent imaging agents.

237 Lai *et al.*(2016)[60] created structurally defined and fluorescently labelled multifunctional carbohydrate-
238 capped Silicon nanoparticles (SiNPs) to study ultra-weak carbohydrate–carbohydrate interactions by surface
239 plasmon resonance (SPR) and cell imaging. An additional dye (ATTO647N) was added to create dual-
240 fluorescent SiNPs. They synthesised three different sugar-capped SiNPs (Glc-dye@SiNP, Lac-dye@SiNP,
241 and Gg3-dye@SiNP) and subsequently used to determine the low-affinity interaction of the two
242 glycosphingolipids GM3 and Gg3. Specific binding of Gg3-dye@SiNPs to immobilized GM3- biotin-PAA
243 was detected, and affinity analysis was performed by SPR confirming the existence of this
244 carbohydrate–carbohydrate interaction. Moreover, they demonstrated that sugar-dye@SiNPs are valuable
245 tools for cell imaging by the uptake of Gg3-dye@SiNPs into GM3-expressing B16F10 melanoma cells (**Fig.**
246 **4**).



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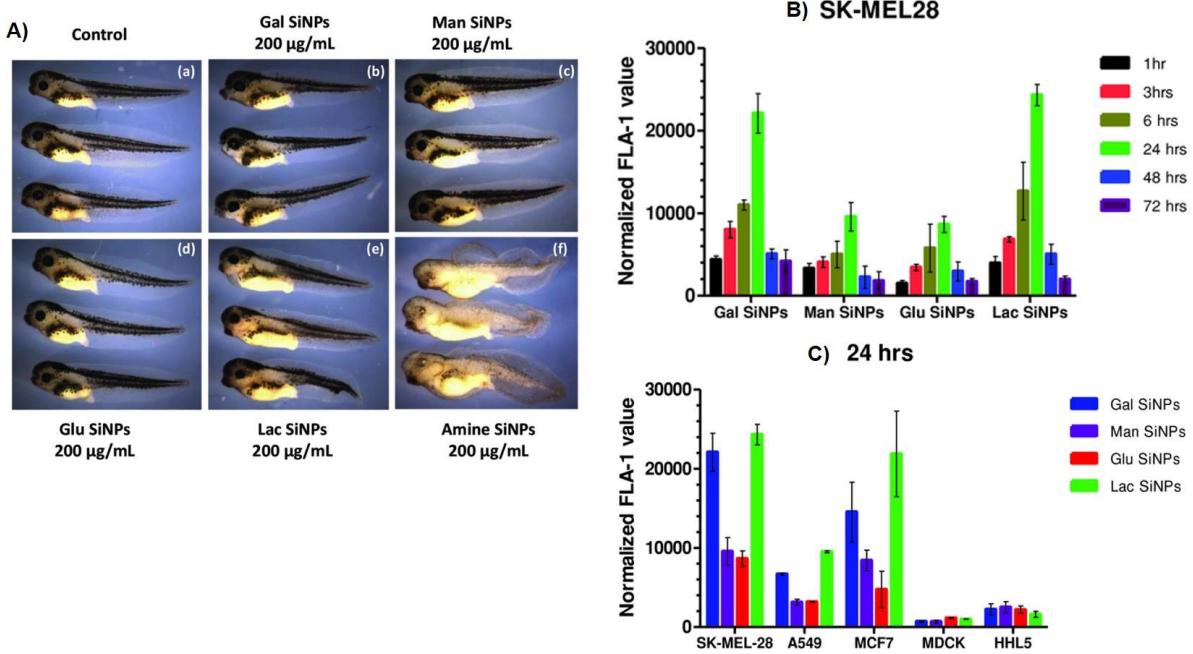
248 **Fig. 4** Confocal fluorescent images showing the uptake of Gg3-dye@SiNPs by B16F10 cells after 2h incubation. SiNPs
249 inherent fluorescence-blue, DIC-differential interference contrast microscopy, Early endosomes-green (stained with an anti-
250 EEA1 antibody), actin cytoskeleton-red (stained with Alexa 555-labeled phalloidin). (Adapted with permission from Ref.
251 [60]. Copyright (2016) American chemical society.)

252 Hsu *et al.*(2017)[61] also synthesized ultra-small SiNPs (*i.e.* Silicon quantum dots, SiQDs) for cancer cell
253 imaging purpose. The SiQDs were functionalised with glucose moieties on their surface (Si-Glc). The
254 amount of glucose on the surface of SiQDs could be well controlled by varying the ratio between the amount
255 of SiQDs and the saccharide groups during the coupling reaction. The photophysical behaviour of the glucose
256 functionalised SiQDs were not significantly different to that of the unmodified nanoparticles. *In vitro* studies
257 performed on HeLa cells demonstrated faster internalisation (1 hour) of Si-Glc into the cells compared to the
258 unmodified NPs (24 hours). Furthermore, CASY assay showed that Si-Glc exhibited high cell viability.
259 These findings suggested these glucose functionalised SiQDs could be potentially interesting alternatives as
260 cancer bioimaging probes.

261 2.2.2 Carbohydrate-functionalised QDs for Cancer targeting and theranostics

262 Cheng *et al.*(2013)[62] developed hydrophilic L-cysteine coated CdSeTe QDs conjugated with Con A, and
263 then with N-acetylglucosamine (GlcNAc). The QDs-ConA-GlcNAc bioconjugate was formed by lectin-
264 carbohydrate interaction between Con A and GlcNAc. GlcNAc possesses a strong affinity for Hsp70 (70 kDa
265 Heat Shock Protein), an important protein involved in tumour cell proliferation and may be a potential
266 biomarker for cancer cells[62,72-74]. The obtained bioconjugates has an emission wavelength at 650 nm and
267 displayed a high fluorescence intensity with specific binding to HeLa cells (cervical cancer cells). The co-
268 localization of red fluorescence from QDs-Con A-GlcNAc bioconjugate with the green fluorescence from
269 FITC- Immunoglobulin G suggested that these Glyo-QDs can target the Hsp70 protein. These results show
270 that the QDs-Con A-GlcNAc bioconjugate can be a promising tool for direct localisation of the Hsp70
271 protein, therefore recognise cancers related to this protein.

272 Ahire *et al.*(2013)[63] developed D-mannose capped Silicon nanoparticles (SiNPs) for targeting MCF-7
273 breast cancer cells. Visualisation imaging of SiNPs in MCF-7 human breast cancer cells showed the
274 fluorescence was distributed throughout the cytoplasm of these cells. Later the same research group prepared
275 SiNPs capped with different carbohydrates including galactose, glucose, mannose, and lactose from amine
276 terminated SiNPs[64,75]. The MTT analysis showed an extensive reduction in toxicity of SiNPs by
277 functionalizing with carbohydrate moiety *in vitro*. *In vivo* toxicity assay on the X. laevis embryos showed
278 that carbohydrate capped SiNPs do not induce severe toxicity, however the amine terminated SiNPs caused
279 the death of the embryos (**Fig. 5A**). They reported that the carbohydrate-functionalised SiNPs internalized in
280 the cells within 24 hours of incubation and reached the optimal concentration within the cells (**Fig. 5B**).
281 Furthermore, they showed a reduced internalization of the carbohydrate-functionalised SiNPs at 4°C
282 compared to 37°C, which suggested the cellular uptake of the carbohydrate capped SiNPs is likely to be
283 receptor-mediated and energy dependent. They also showed that the up-taken of the carbohydrate capped
284 SiNPs were more readily by cancer cells (A549, MCF-7 and SK-Mel28) than non-cancerous cells (MDCK
285 and HHL5, **Fig. 5C**). Moreover, they demonstrated the use of carbohydrates for the internalisation of a variety
286 of similar compounds into cancer cells.



287

288 **Fig. 5** A) In vivo toxicity assay using *X. laevis* embryos. Embryos exposed to carbohydrate capped SiNPs at a concentration
289 of 200 $\mu\text{g mL}^{-1}$ a) control, b) gal capped SiNPs, c) man capped SiNPs, d) Glu capped SiNPs, e) Lac capped SiNPs, and f)
290 amine-terminated SiNPs. Embryos were exposed to the SiNPs at NF stage 15 and scored at NF stage 38. B) Time dependent
291 uptake efficiency of carbohydrate capped SiNPs in SK-Mel28 cells at various incubation times. C) Uptake efficiency of
292 carbohydrate capped SiNPs in cancer cells (A549, SK-Mel28, and MCF-7) and noncancerous cells (MDCK, HHL5) at 24
293 hours. (Copyright Wiley. Adapted with permission from Ref.[64])

294 It is well known that galactose receptors are overexpressed in certain types of cancer cells, for example
295 hepatocellular carcinoma[70,71]. However, the role of galactose multivalency on cellular processes are
296 largely unexplored. To study these, Dalal and Jana (2018)[65] have synthesised galactose functionalised
297 multivalent QDs with the average numbers of galactose per QD of 25, 50, and 80 [QD(gal)25, QD(gal)50,
298 and QD(gal)80]. The uptake mechanism of the multivalent QDs were investigated in galactose receptor
299 overexpressed HepG2 cells. The authors have demonstrated that the cellular interaction and uptake kinetics
300 of these galactose functionalised QDs increased with increasing galactose multivalency, while the uptake
301 mechanism shifts from lipid raft/caveolae-mediated endocytosis to clathrin-mediated endocytosis as
302 galactose multivalency increased. They have also found that lower multivalent QDs reside in the cytoplasm
303 for a longer time compared to higher multivalent QDs, but their endosomal/lysosomal trapping and
304 exocytosis increased as increasing galactose multivalency. The demonstrated finding is agreed with the
305 extensive study done by Zhang and Monteiro-Riviere[76]. They have demonstrated that the uptake of un-
306 conjugated QDs probably regulated via lipid raft/caveolae-mediated endocytosis. They also showed that after
307 internalisation into the cytoplasm, the QDs entered early endosomes and then transferred into late endosomes
308 or lysosomes. In addition, they showed that QD endocytic pathway is primarily regulated by the G-protein
309 coupled receptor associated pathway. These findings demonstrate the functional role of galactose
310 multivalency on cellular interaction, cell uptake mechanism, and subcellular targeting, which could be
311 proposed for subcellular targeting applications.

312 By combining the carbohydrate specific targeting towards cancer cells and strong fluorescence imaging
313 capability of QDs, Zayed et al.(2019)[66] have developed a smart cancer nano-theranostics system. The

314 authors attached both biocompatible albumin backbone and mannose moieties to CdTe QDs for enhanced
315 tumour targeting and reduced QDs toxicity. They have then used a combination therapy that co-loading
316 anticancer drug pemetrexed (PMT) and resveratrol (RSV) to the QD platform for tumour site-specific release.
317 The mannose functionalised Albumin–QDs theranostics could be tracked by their high fluorescence quantum
318 yield and showed enhanced cytotoxicity and internalization into MCF-7 and MDA-MB-231 breast cancer
319 cells. Moreover, *in vivo* bioimaging demonstrated excellent tumour-specific accumulation of the mannose-
320 grafted theranostic QDs. The theranostic QDs also showed effective anti-tumour activities including reduced
321 tumour volume, increased apoptosis, and inhibited angiogenesis. Overall, mannose-grafted theragnostic QDs
322 nanoplatform could be a potential nano-theranostic for bioimaging and targeted breast cancer therapy.

323 3 Challenges Faced in using Glyco-functionalised QDs in Biomedical 324 Applications

325 3.1 Nanotoxicology

326 Despite of QDs showing great potential for biomedical imaging and detection, limitation of its application
327 arises mainly from heavy metal, colloidal instability and oxidation of the nanoparticle core/shell material,
328 which constraint the improvement towards the diagnosis and therapy of cancer. These concerns serve as great
329 barrier for human application *in vivo* cancer imaging than the development of the application *in vitro*. Huge
330 efforts have been made to generate novel QDs that minimize toxicity and maximize detection efficiency by
331 changing their components such as sizes, surface coatings, and valences etc. Nanoparticles coating is a
332 promising approach to reduce the cytotoxicity because these additional layers act as a physical barrier to the
333 core/shell to prevent oxidation. Ligand show a negative charge at biological pH have been widely used for
334 solubilisation of QDs including carboxylic acid, hydroxyl or amine groups. PEG coated QD has been proven
335 to be remarkably stable and almost completely removed cytotoxicity. However, such surface modification
336 reveals reduced uptake by cells compared to other surface coatings. It is important to know that layers of
337 coating should not only protect the QD core/shell from oxidation but must also proliferate the cellular uptake.
338 Simply adding more layers to achieve desirable results is not a favourable strategy for biological applications,
339 because it will largely increase the size of the QDs and become an issue.

340 Carbohydrate-functionalised nanomaterials showing outstanding biocompatibility, biodegradability, high
341 diversity of chemical functionalities, and versatile biological functions in Biomedical applications. The study
342 of glyconanomaterials, on the other hand, is a new field and the progress has been relatively covering. The
343 vast majority of glyconanomaterial are made from simple, low-cost carbohydrate structure such as
344 monosaccharides. The conjugation process is mostly general chemistry and can be useful to attach these
345 carbohydrates to an extensive diversity of nanomaterials. Glyconanomaterial act as a multivalent scaffold
346 carrying multiple copies of carbohydrate molecules, thus elaborating the binding affinity with the recognition
347 receptors. It has been reported that carbohydrate-functionalised QDs show no/minimal cytotoxicity both *in*
348 *vivo* and *in vitro* environment. This carbohydrate functionality not only act as physical barrier to provide
349 stability but also serves a specific targeting ligand.

350 Nevertheless, few additional objectives should also be considered such as coating shell degradation caused
351 by modification of QDs, nonspecific accumulation by liver, spleen and lymphatic system, immune response
352 and genotoxic effect etc. Considering the toxicity of Cd, Se, Zn, Te, Hg, and Pb, several low toxicity QDs

353 have been established as substitutes. For example, carbon dots (CDs) less than 10 nm appear to be an ideal
354 alternative to Cd₂Pb based semiconductor QDs for their tunable stable fluorescence emission, low cost, and
355 low toxicity[77]. The same group have also synthesised graphene quantum dots (GQDs) for direct and
356 efficient stem cell labelling[78] and cancer diagnosis [79]. Non-toxic elements doping could be another way
357 to achieve low toxicity. These doped QDs have tunable fluorescence and high quantum efficiency and are
358 ideal candidates for biomedical applications. Silicon QDs are exceptional candidate and have been showing
359 promising results in reduced cytotoxicity[75,80]. For in vivo applications, Silicon NPs provide attractive
360 chemical alteration to heavy-metal containing QDs, which are shown to be toxic in biological environments.
361 In addition silicon is a common trace element in human body, it is reported that silicic acid administrated to
362 human is efficiently excreted from the body through urine[81]. It can be expected that multifunctional, non-
363 toxic silicon nanostructure in future may provide promising application in clinical translation. Nevertheless,
364 before employing QDs in any medical procedure extensive analysis and research on the toxicity profiles will
365 be needed. Further studies are also needed to investigate the long-term toxicology and pharmacokinetics of
366 QDs from living systems involving degradation, clearance, persistence, and immune response of QDs.

367 3.2 Design and Generation of Biocompatible and Biodegradable Glyco-
368 functionalised QDs

369 The current limitation of QDs in vivo imaging is due to the non-specific organ uptake and reticuloendothelial
370 system (RES) scavenging, which are mainly because of large size, colloidal instability and short circulation
371 half-life in the blood vascular system. A number of literature demonstrated the fate of QDs in vivo has been
372 affected by particle size and surface functionality[82-84]. Choi *et al*[85] have defined the requirements for
373 renal filtration and urinary excretion of QD using rodents as a model system. They have also suggested to
374 use zwitterionic or neutral organic coatings to prevent adsorption of serum proteins, which otherwise
375 increased QD's hydrodynamic diameter and prevent renal excretion. The author concluded that the size of
376 QD should be controlled under 5.5 nm to achieve efficient urinary excretion and elimination of QDs. These
377 findings have also been confirmed by other group, for example Cai *et al*[86] showed that the QD size less
378 than 5 nm was mainly found in the bladder at 4 hours after intravenous injection, while QDs larger than 5
379 nm accumulated primarily in the lung, spleen, and liver, indicating a different excretion pattern.

380 Continuous efforts are attempted to prevent adsorption of QDs to the plasma proteins and prolong their
381 circulation time by coating them with passivating molecules. The multivalent effect of glyconanomaterial
382 increases the binding affinity with the recognition receptors, thus it is considered as simple glycan-presenting
383 cell/virus-mimics able to interact with other biological entities. Compared to free, unbound carbohydrates,
384 Glyco-functionalised QDs exhibit several orders of magnitude higher binding affinity with lectins. However,
385 the multivalent effect is dependent on several factors including conjugation chemistry, spacer linkage, ligand
386 density and spatial arrangement. In order to produce effective Glyco functionalised QDs for biomedical
387 application, comprehensive understanding of all these issues is needed.

388 The unique physical properties of nanomaterial and recognition ability of carbohydrate ligands provide
389 benefit to glyconanomaterial in biomedical application. Carbohydrate ligands selectively interact with the
390 receptors on cell surface, and trigger binding, cell agglomeration, or particle internalisation. Altogether with
391 Glyco functionalisation and unique physical properties of nanomaterial advance to translate molecular events
392 into noticeable or clear signals allowing for imaging and revealing of disease state. These glyconanoparticles
393 have been used in fluorescence, Magnetic Resonance Imaging (MRI) and Positron Emission Tomography -

394 Computed Tomography (PET/CT) imaging to distinguish normal and cancer cell lines or to detect tumours.
395 Glyconanomaterials have demonstrated promising results in biocompatibility. Recent studies by Vela-
396 Ramirez *et al.*[87] reported the safety and biocompatibility of carbohydrate-functionalized polyanhydride
397 nanoparticles upon parenteral and intranasal administration. The results showed that a 5-mg dose of either
398 linker- or di-mannose-functionalised nanoparticles did not induce hepatic or renal tissue damage or cause
399 elevation of damage-related or functional biomarkers in serum or urine following subcutaneous
400 administration.

401 3.3 Reproducibility, Reliability, and Comparability of Glyco-functionalised QDs

402 The major limitation of QDs when using in clinical application is their reproducibility and comparability as
403 well their potential for quantification. Glyco-functionalised QDs are showing promising results for
404 biomedical applications however there is insufficient amount of data on their reproducibility and
405 comparability. Different functionalisation from various carbohydrate will results in different quantum yield
406 based on various material and surface chemistries. Moreover, the absolute control on quantification of
407 carbohydrate moiety on the surface of QDs is also in need when using surface chemistry. Purity is also one
408 of the concerns when applying Glyco-functionalised QDs in clinical trial. Thus, the origin and establishment
409 of quality standards for these advance materials of various carbohydrate-functionalised QDs is the essential
410 initial step in targeting cancer.

411 4 Future Perspective

412 In the near future the research of glycol-conjugated QDs in cancer imaging will significantly improve their
413 clinical application in targeting metastasis and in quantitative measurement of molecular targets. The ongoing
414 development of Glyco-functionalised QDs can target solid tumour tissues with mature vasculature, however
415 it is challenged to target micro-metastasis without well-developed vasculature. Therefore, the surface of
416 Glyco functionalised QDs needs to be further engineered to enable efficient extravasation, to reach micro-
417 metastasis and initiate binding to tumour antigens. The progressive research in glyco-conjugated QDs will
418 improve early cancer detection and their clinical application in targeting metastasis tumour in near future.
419 The ongoing development of glyco-functionalised QDs can target solid tumour tissues, however it is still
420 challenged to target micro-metastatic tumour. Therefore, it is important to further engineered the
421 carbohydrate moiety's to target specific micro-metastasis tumour and initiate binding with antigens. It is also
422 important to minimize the RES uptake and maximize the tumour specific uptake by engineering tumour
423 specific targeting carbohydrate moiety on the surface of QDs. Because metastasis is possible in RES system,
424 especially in liver and lymph node, non-specific RES uptake will result in false-positive results. Long
425 circulation time in blood and colloidal stability is also another important aspect; moreover, it also helps in
426 reducing RES uptake. Glycosylated PEG proves to be good candidate for longer circulation in blood and
427 minimizing RES uptake nonetheless finally size can become issue. When using for biomedical application
428 or cancer therapy, systemic toxicity is an important feature. QD toxicity is well known and it is still a topic
429 of concern, however it has shown that carbohydrate functionality helps reducing nanoparticle toxicity.
430 Perhaps Silicon QDs will emerge as a striking substitute to heavy metal-containing QDs, due to lower toxicity
431 potential, and progress further biological and clinical applications. Optimal clearance of modified QDs from
432 body is additional issue, which needs to be address; toxicity is also induced by poor clearance from body.

433 Thus, it would be viable to design and create such promising therapeutic agent with more favourable
434 clearance properties.

435 **5 Conclusions**

436 Glyconanotechnology's emerging progress in cancer therapy, diagnosis and theranostic applications have
437 revolved these nanoprobes very attractive branch of the world scientific research. Combining these tools
438 along with unique fluorescent characteristic of QDs and specificity of carbohydrate functionality enabling
439 real-time studies, introducing new opportunities for several biomedical applications. More theranostic
440 applications could be developed by selecting and engineering specific tumour carbohydrate functionality
441 along with unique featured QDs.

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443

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