

1 **Recent Advances in Hybrid Sodium-Air Batteries**

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1 **Abstract:** Among alkali-air batteries, aprotic sodium-air batteries (SABs) have  
2 attracted considerable attention owing to their high theoretical specific energy (1683  
3 Wh kg<sup>-1</sup>), high Na abundance, low-cost, and environment-friendliness. However, the  
4 application of SABs is currently restricted by their limited cycling life and low energy  
5 efficiencies due to insoluble and nonconductive discharge products (NaO<sub>2</sub> and Na<sub>2</sub>O<sub>2</sub>)  
6 generated on the air electrodes. By contrast, hybrid SABs (HSABs) have resolved these  
7 daunting challenges by adopting an aqueous electrolyte cathode via a unique solid  
8 ceramic-ion-conductor-layer design separating the aprotic and aqueous electrolytes,  
9 resulting in extended cycle life. Thus, HSABs have aroused immense attention as  
10 promising next-generation energy storage systems. However, HSABs still face the key  
11 challenge of unsatisfactory cycling life that hinders their practical applications. In this  
12 review, HSAB principles are introduced, the synthesis and rational designs of  
13 electrocatalysts based on the functions of oxygen reduction reaction/oxygen evolution  
14 reaction from other metal-air batteries are comprehensively reviewed for the purpose  
15 of providing insight into the development of efficient air electrodes for HSABs.  
16 Furthermore, research directions of the anode, electrolyte, and air electrodes toward  
17 high-performance HSABs are proposed.

18 **Keywords:** Hybrid Sodium-air Battery; Battery Principle; Electrocatalysts;  
19 Classification and Synthesis; Research Directions

## 1 **1. Introduction**

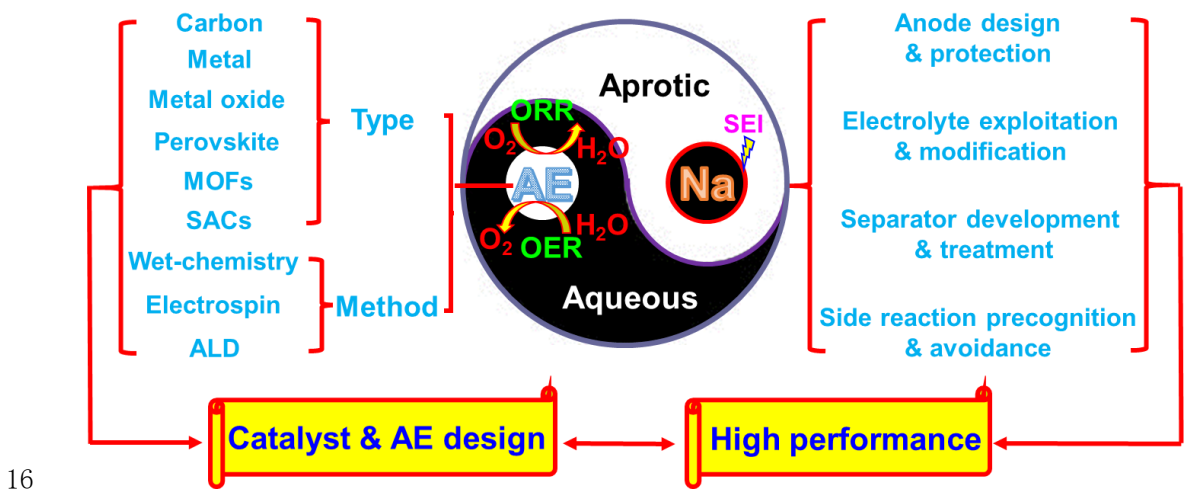
2 Recently, global energy crisis and environmental pollution from fossil fuels have  
3 aroused great concern from the public. Thus, alternative energy storage devices, and  
4 clean and renewable energy sources are vigorously sought [1, 2]. In this context, lithium  
5 (Li)-ion batteries (LIBs) have been extensively employed and applied in electric  
6 vehicles (EVs) [3, 4]. However, energy storages in extended range EVs require battery  
7 systems with substantially higher energy and power densities than current LIBs [5, 6].  
8 Among various battery systems, including Li-sulfur batteries (LSBs) [7, 8, 9], Li-air  
9 batteries (LABs) [10-12], aluminum (Al)-air batteries (AABs) [13], and zinc (Zn)-air  
10 batteries (ZABs) [14, 15] (Table 1), sodium (Na)-air batteries (SABs) are the top  
11 candidates because of their high theoretical specific energy ( $1683 \text{ Wh kg}^{-1}$ ), high Na  
12 abundance, low-cost, and environment-friendliness [16, 17].

13  
14 **Table 1** Comparison of different batteries based on energy and resource.

Battery	Cathode	Anode	Energy density	References	Remarks
LIB	Lithium Compounds	Lithium/ Graphite	$<500 \text{ Wh kg}^{-1}$	18	Distribution of lithium source is inhomogeneous and insufficient
LSB	Sulfur	Lithium	$2600 \text{ Wh kg}^{-1}$	7	Low energy density
LAB	Catalyzer	Lithium	$5200 \text{ Wh kg}^{-1}$	10	Suitable
AAB	Catalyzer	Aluminum	$500 \text{ Wh kg}^{-1}$	13	
ZAB	Catalyzer	Zinc	$700\text{--}850 \text{ Wh kg}^{-1}$	14	
SAB	Catalyzer	Sodium	$>1600 \text{ Wh kg}^{-1}$	17	

15  
16 A typical SAB consists of Na as the anode and a highly porous air electrode as the  
17 cathode; the anode and cathode are separated by a polymeric or ceramic separator and

1 are immersed in an electrolyte [19, 20]. Generally, SABs are classified according to the  
 2 electrolytes used in the system. Two types of SABs: (1) aprotic and (2) hybrid  
 3 aprotic/aqueous (referred to as “aqueous”) SABs have been extensively studied [21,  
 4 22]. In an aprotic electrolyte, discharge products are usually insoluble and  
 5 nonconductive metal oxides ( $\text{NaO}_2$  and  $\text{Na}_2\text{O}_2$ ), which are easily deposited on the pores  
 6 of the air electrode; these products progressively cover active reaction sites and block  
 7  $\text{O}_2$  diffusion pathways, thereby damaging batteries [23, 24]. To address the blocking of  
 8 insoluble discharge products in the aprotic electrolyte, some researchers developed  
 9 hybrid SABs (HSABs) by constructing Na/aprotic electrolyte/Na super ionic conductor  
 10 (NASICON)/aqueous electrolyte/air electrode hybrid structures [23, 25, 26]. HSABs  
 11 reduce discharge products clogging at the air electrode and the electrical conductivity  
 12 deterioration of the air electrode due to insoluble discharge products, thereby enhancing  
 13 cell discharge performance [27]. HSABs exhibit a higher standard voltage (3.11 V) and  
 14 specific capacity ( $838 \text{ mAh g}^{-1}$ ) and lower overpotential than SABs [28]. Therefore,  
 15 HSABs are promising next-generation high-energy density batteries.



16  
 17 **Fig. 1** Review scheme of opportunities, challenges, and potential research directions

1 for HSABs. AE is an air electrode.

2  
3 Herein, we summarize the current progress of HSABs and the corresponding  
4 opportunities and challenges. The possible potential research directions for HSABs are  
5 outlined in Fig. 1. The design of catalysts for air electrodes involves catalyst type and  
6 synthesis method. The challenges and outlooks of HSABs are reviewed from various  
7 perspectives, including anode design and protection, electrolyte exploitation and  
8 modification, separator development and treatment, as well as side reaction  
9 precognition and avoidance based on their electrochemical reactions and components.  
10 We also comprehensively discuss electrocatalysts based on the reactions of oxygen  
11 reduction /oxygen evolution reactions from other metal-air batteries to provide a guide  
12 for designing desirable air electrodes and avoiding the potential side reactions (CO<sub>2</sub>  
13 poisoning and water evaporation) referenced from traditional fuel cells. Furthermore,  
14 several perspectives on potential research directions for the development of high-  
15 performance HSABs are proposed.

## 17 **2. Principles of HSABs**

### 18 **2.1. Property of sodium**

19 Na is an alkali metal with an atomic number of 11. Table 2 lists the properties and  
20 electrochemical performance of this element. The characteristics of Li are also  
21 presented in Table 2 for comparison with Na. In the Earth's crust, Li sources are limited  
22 and unevenly geometrically distributed [29]. Meanwhile, Na is the sixth most abundant

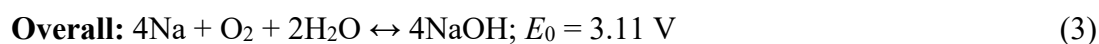
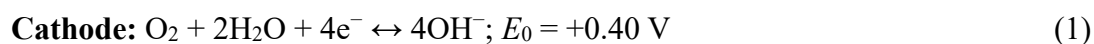
1 element and the fourth most abundant metal [30]. Compared with Li, Na is extremely  
 2 inexpensive because it can be produced through the electrolysis of fused Na salts. In a  
 3 battery system, Na is the second most electrochemically active metal after Li. Li and  
 4 Na have reduction potentials ( $E_0$ ) of  $-3.045$  and  $-2.714$  V, respectively. Therefore, Na  
 5 is an outstanding alternative to Li [31]. The only drawback of Na is its high atomic  
 6 weight (22.989 amu) compared to Li (6.941 amu). Thus, when used as the anode, Na  
 7 decreases the theoretical specific capacity and energy density of a battery system. The  
 8 theoretical specific capacity and energy density of Na are  $1166 \text{ mAh g}^{-1}$  and  $3164 \text{ Wh}$   
 9  $\text{kg}^{-1}$ , respectively, and the energy density of Na batteries is higher than that of other  
 10 battery systems (Table 1). Moreover, Na reacts with oxygen but not with nitrogen,  
 11 whereas Li reacts with oxygen and nitrogen. Thus, Na is more suitable than Li for air  
 12 batteries.

13 **Table 2** Properties and electrochemical performance of Na and Li

Element	Na	Li
Atomic number	11	3
Atomic mass (amu)	22.989	6.94
Density ( $\text{g cm}^{-3}$ )	0.968	0.534
Melting point ( $^{\circ}\text{C}$ )	97.82	180.54
Boiling point ( $^{\circ}\text{C}$ )	881.4	1317
Reserves	6th in the earth's crust	inhomogeneous and insufficient
Electronegativity (V)	$-2.714$	$-3.045$
Specific capacity ( $\text{mAh g}^{-1}$ )	1166	3861
Energy density ( $\text{Wh kg}^{-1}$ )	3164	11757
Dendrite formation	Yes	Yes
React with oxygen	Yes	Yes
React with nitrogen	No	Yes

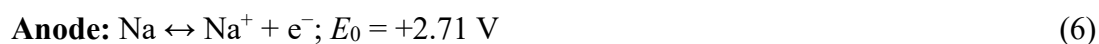
## 2.2. Electrochemical reactions in HSAB

As metal-air batteries, HSABs employ dissolution/precipitation reactions rather than the intercalation mechanism during the electrochemical process [18]. The reactions occurring during charge-discharge at the cathode and the anode of HSAB can be described as follows [32]:



Based on the above equations, the standard cell voltage is 3.11 V. Moreover, when the pH of the aqueous electrolyte (0.1 M NaOH) is considered, the theoretical voltage of the HSABs is ~3.17 V [19].

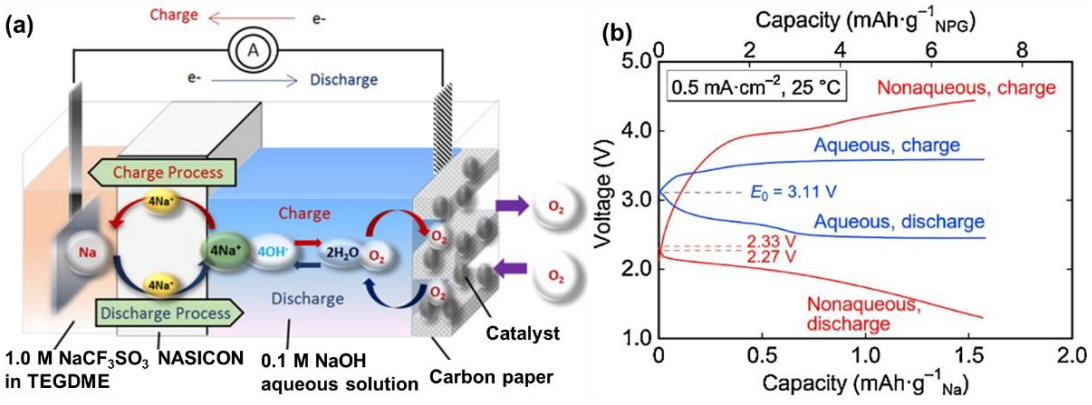
In contrast, the reactions in aprotic SABs are described as follows [33]:



Notably, the charge-discharge reactions of HSABs involve a simple reaction process and single discharge product (NaOH) compared with those (NaO<sub>2</sub> or Na<sub>2</sub>O<sub>2</sub>) in SABs. The simple reaction process significantly reduces the occurrence of side reactions, thereby increasing the cycle life of a battery. Furthermore, the single

1 discharge product NaOH is soluble in the aqueous NaOH electrolyte, which avoids the  
 2 accumulation of discharge products on the surface of the air electrode, and thus  
 3 enhances the efficiency of the battery. In addition, HSABs offer a higher theoretical  
 4 voltage (3.11 V) than that of SAB (2.33 V), which is the key parameter for the high  
 5 energy density of a battery system.

6



7

8 **Fig. 2** (a) Schematic and charge–discharge process of HSABs [19]. Copyright 2015,  
 9 Elsevier. (b) First discharge–charge curves of aqueous (HSAB) and nonaqueous (SAB)  
 10 at 0.5 mA·cm<sup>-2</sup> [34]. Copyright 2015, Elsevier.

11

12 In contrast to the intercalation reaction, the dissolution/precipitation reaction  
 13 involves the evolution of the crystal structure of electrode material. Fig. 2a shows a  
 14 schematic and charge-discharge processes of HSABs [19]. In the discharge process, Na  
 15 is oxidized into Na ions, which diffuses from the anode to the cathode through a  
 16 NASICON separator. At the same time, electron transport via external circuit from the  
 17 anode to the cathode, where oxygen reduction reaction (ORR) occurs. During the  
 18 charging process, Na ions from the cathode diffuse into the anode through the



1 NASICON separator; the oxygen evolution reaction (OER) occurs at the cathode, and  
2 Na ions deposit as neutral Na on the Na anode. Fig. 2b shows the charge-discharge  
3 curves of SAB and HSAB [34], which are based on the above electrochemical reaction  
4 mechanism (Equations 1-8).

5 In contrast, during the discharge process of an aprotic SAB, Na ions from the  
6 anode move through the electrolyte toward the cathode. Oxygen molecules are reduced  
7 before combining with the Na ions to form discharge products, namely sodium oxide,  
8 on the surface of the air electrode. The insoluble discharge products severely impede  
9 the electroactive surface area of the air electrode and kinetics of the ORR [35, 36],  
10 resulting in the short cycle life of SABs. To resolve the insoluble discharge products,  
11 HSABs, composed of a NASICON separating the aprotic and aqueous electrolytes were  
12 developed. As a result, the cycle life of HSABs is significantly extended.

13 In metal-air batteries, the OER is a critical reaction that generates molecular  
14 oxygen through several proton/electron coupled processes [37, 38]. In alkaline  
15 conditions, the oxidation of hydroxyl groups ( $\text{OH}^-$ ) predominates, which are  
16 transformed into  $\text{H}_2\text{O}$  and  $\text{O}_2$ , and involves the same number of electrons [39]. As OER  
17 is an electron-coupled uphill reaction, which corresponds to the oxygen evolution  
18 during the charge of HSABs, as shown in Eq (9) [40]:



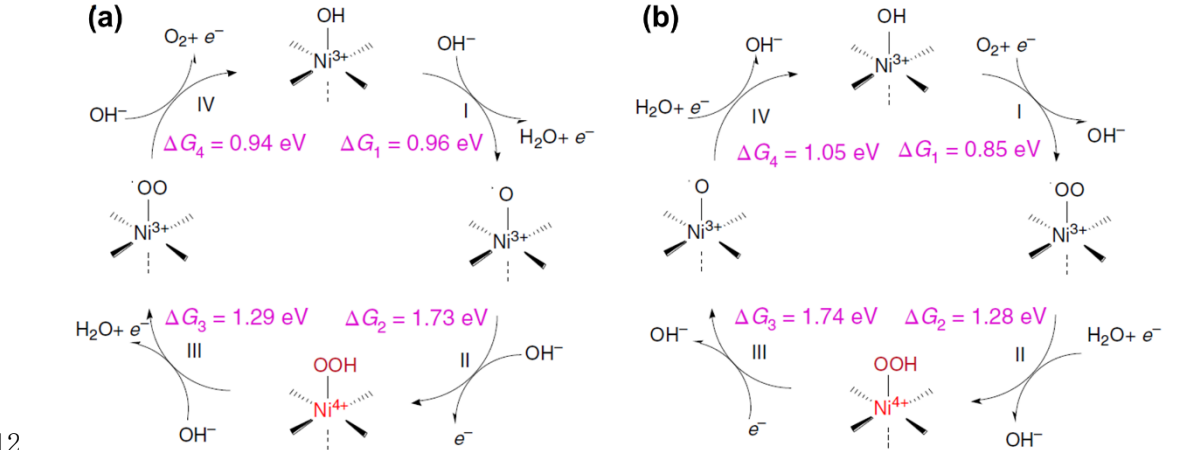
$$20 \quad E = E^0 - \frac{RT}{nF} \ln \frac{[\text{Red}]}{[\text{Ox}]} \quad (10)$$

21 where  $E$  is the cell potential,  $E^0$  is the cell potential at standard conditions,  $R$  is the ideal  
22 gas constant,  $T$  is the temperature in Kelvin,  $n$  is the number of moles of electrons

1 involved for each mole of reaction,  $F$  is the Faraday constant,  $[\text{Red}]$  is the concentration  
2 of reduced molecules, and  $[\text{Ox}]$  is the concentration of oxidized molecules. According  
3 to the Nernst Eq (10), the potential is dependent on pH by shifting 59 mV for each pH  
4 unit increase. Thus, the oxygen production voltage of HSABs can be controlled by  
5 adjusting the pH of the aqueous electrolyte. The generation of each  $\text{O}_2$  molecule  
6 requires a transfer of four electrons. OER usually involves multiple steps; each step in  
7 turn involves a single electron transfer process because multi-electrons transfers at one  
8 time are not kinetically favorable [41, 42]. Cheng et al. [43] presented the detailed  
9 mechanism of OER reaction taking lattice-strained metal-organic framework (MOF)  
10 array as an example (Fig. 3a). The first deprotonation of the surface-adsorbed OH on  
11 Ni sites converts the surface  $\text{Ni}^{3+}\text{-OH}$  group into  $\text{Ni}^{3+}\text{-O}\cdot$  (step I). Then, the generation  
12 of superoxide  $\cdot\text{OOH}$  species on the  $\text{Ni}^{4+}$  sites (superoxide  $\text{Ni}^{4+}\text{-OOH}$ , step II) leads to  
13 further deprotonation of superoxide OOH species (step III) and the release of an  $\text{O}_2$   
14 molecule to recover the initial state of  $\text{Ni}^{3+}\text{-OH}$  (step IV). In HSABs, ORR is a process  
15 of oxygen adsorption and catalytical reaction. A full reduction is a dissociative  
16 adsorption process, which begins with  $\text{O}_2$  adsorption on a catalyst surface [44, 45]. The  
17 detailed ORR mechanism (Fig. 3b) reported by Cheng et al. [43] is described below.  
18 The fast  $\text{O}_2/\text{OH}\cdot$  exchange realizes to produce  $\text{O}_2\cdot$  radical species on Ni sites (step I).  
19 Consequently, this  $\text{O}_2\cdot$  radical is further protonated by a  $\text{H}_2\text{O}$  molecule to yield  
20 superoxide  $\cdot\text{OOH}$ , accompanied by the oxidation of  $\text{Ni}^{3+}$  into  $\text{Ni}^{4+}$  to form a  $\text{Ni}^{4+}\text{-OOH}$   
21 intermediate (step II). After that, further protonation of the distal O in  $\text{Ni}^{4+}\text{-OOH}$  (step  
22 III) and the fourth proton-coupling electron transfer (step IV) leads to the cleavage of

1 O–O and then the recovery of the Ni sites to the original state of Ni<sup>3+</sup>–OH. It is the fast  
 2 and efficient 4e<sup>-</sup> OER processes for the lattice-strained MOFs.

3 The electrocatalyst involved in the OER and ORR needs good electrical  
 4 conductivity to promote electron transport. Therefore, the development of desirable  
 5 electrocatalysts is expected to expedite reaction kinetics and to lower the overpotential.  
 6 Few non-precious metal oxides can survive under oxidative potentials in acidic  
 7 conditions [46]; thus, researchers have been searching for non-precious metal-based  
 8 candidates for electrocatalysts in alkaline conditions, in which most metal oxides or  
 9 hydroxides are chemically stable. An ideal electrocatalyst for HSABs should have high  
 10 conductivity, low overpotential, high durability, low-cost, high earth abundance, and  
 11 high scalability.



12 **Fig. 3** Proposed OER (a) and ORR (b) catalytic mechanisms for the lattice-strained  
 13 metal-organic frameworks (MOFs) [43]. Copyright 2019, Springer.

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### 1 **2.3. Components of HSABs**

2 Na anode, air electrode, electrolyte, and NASICON separator are the main  
3 components of the HSABs [47-51]. Table 3 summarizes the details and performance of  
4 the reported HSABs in literature. Typically, air electrodes are composed of a gas  
5 permeable layer, a catalytic layer, and a current collector [52]. Unlike SAB, HSAB  
6 requires aqueous electrolyte on its air electrode side, while aprotic electrolyte on its  
7 anode side [27]. A simple HSAB structure was first designed by Liang et al. [53]. A Na  
8 foil was applied as the anode, graphene sheets were used as catalysts in the catalyst  
9 layer. An aqueous electrolyte of 1 M NaOH and the aprotic electrolyte of 1 M NaClO<sub>4</sub>  
10 in EC/DMC (1:1) were used with the insertion of NASICON (1 mm in thickness, 10  
11 mm in diameter) as the separator.

12

1 **Table 3** Cathode, aqueous electrolyte, separator, aprotic electrolyte and electrochemical performance of HSABs.

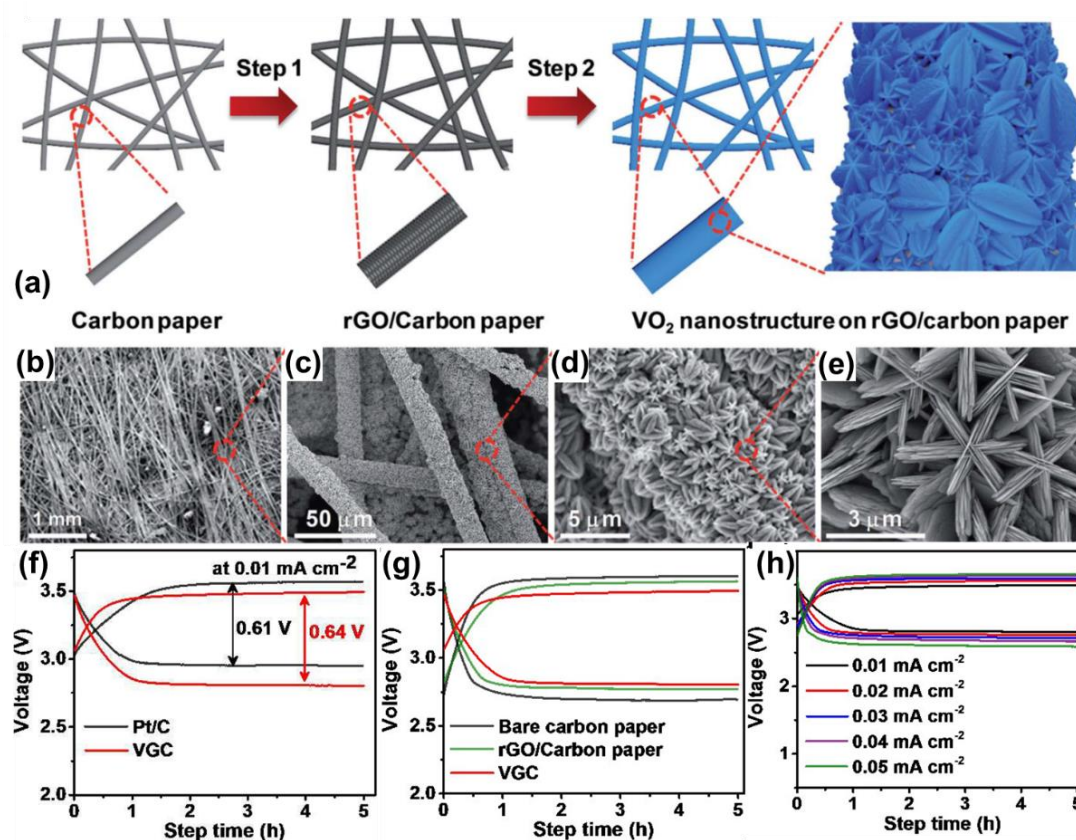
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	Cathode	Aqueous electrolyte	Separator	Aprotic electrolyte	Overpotential (V)	Power density	Round trip efficiency (%)	Stability	Ref.
Carbon-based	Graphitic nanoshells @ mesoporous carbon	0.1 M KOH	Na <sub>3</sub> Zr <sub>2</sub> Si <sub>2</sub> PO <sub>12</sub> , 8.9 × 10 <sup>-4</sup> S cm <sup>-1</sup>	1 M NaCF <sub>3</sub> SO <sub>3</sub> in tetraethylene glycol dimethyl ether	0.72	78.2 mW g <sup>-1</sup> at 60 mA g <sup>-1</sup>	96.28	Excellent charge–discharge stability	52
	Graphene sheets	1 M NaOH	NASICON, 1.3×10 <sup>-3</sup> S cm <sup>-1</sup>	1 M NaClO <sub>4</sub> in EC/DMC (1:1)	-	13.8 mW cm <sup>-2</sup> at 1 mA cm <sup>-2</sup>	-	-	53
	Carbon felts	0.1 M CA and 1 M NaNO <sub>3</sub>	Na <sub>1+x</sub> Zr <sub>2</sub> Si <sub>x</sub> P <sub>3-x</sub> O <sub>12</sub> , x = 2, 10 <sup>-3</sup> S cm <sup>-1</sup>	1 M NaCF <sub>3</sub> SO <sub>3</sub> in tetraethylene glycol dimethyl ether	~0.4	-	-	Over 20 cycles at 0.1 mA cm <sup>-2</sup>	54
Metal-based	Nanoporous gold	1 M NaOH	NASICON ceramics, 1.4 × 10 <sup>-3</sup> S·cm <sup>-1</sup>	1 M NaClO <sub>4</sub> and 1 vol% fluoroethylene carbonate in EC/DMC	~0.6	12.4 mW·cm <sup>-2</sup>	80	Up to 18 cycles	34
	Pt/C powder	0.1 M H <sub>3</sub> PO <sub>4</sub> + 0.1 M Na <sub>2</sub> SO <sub>4</sub> + 0.1M HAc+0.1Mn aAc	Na <sub>3</sub> Zr <sub>2</sub> Si <sub>2</sub> PO <sub>12</sub> , 1.3 × 10 <sup>-3</sup> S cm <sup>-1</sup>	1 M NaClO <sub>4</sub> in ethylene carbonate/dimethyl carbonate with 1 vol% fluoroethylene carbonate	0.3	34.9 mW cm <sup>-2</sup>	90	30 cycles	55

Metal oxide-based	Porous cobalt manganese oxide	0.1 M NaOH	$\text{Na}_{1+x}\text{Zr}_2\text{Si}_x\text{P}_{3-x}\text{O}_{12}$ , $x = 2$ , $10^{-3} \text{ S cm}^{-1}$	1 M $\text{NaCF}_3\text{SO}_3$ in tetraethylene glycol dimethyl ether	0.53	-	79	100 cycles	56
	MnCo <sub>2</sub> O <sub>4</sub> @ N-doped reduced graphene oxide	1 M NaOH	$\text{Na}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ , $1.3 \times 10^{-3} \text{ S cm}^{-1}$	1 M $\text{NaClO}_4$ in EC/DMC (1:1) with 1 vol% FEC	0.39	-	87.6	No significant degradation during the 25 cycles	47
	VO <sub>2</sub> on rGO-coated carbon paper	0.1 M NaOH	$\text{Na}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ , $8.9 \times 10^{-4} \text{ S cm}^{-1}$	1 M $\text{NaCF}_3\text{SO}_3$ in tetraethylene glycol dimethyl ether	0.64	104 mW g <sup>-1</sup> at 80 mA g <sup>-1</sup>	81	Up to 50 cycles.	11
	Mn <sub>3</sub> O <sub>4</sub> /C	0.2 M NaOH	$\text{Na}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ , $1.3 \times 10^{-3} \text{ S cm}^{-1}$	0.2 mL Na[FSA-C2C1im][FSA]	-	27.6 mW cm <sup>-2</sup> at 50 °C	-	Specific capacity of 835 mAh g <sup>-1</sup> at 50 °C	47
	Tl <sub>2</sub> Rh <sub>2</sub> O <sub>7</sub>	1 M NaOH	NASICON	1 M $\text{NaCF}_3\text{SO}_3$ /TEGDME	0.208	159.9 mW g <sup>-1</sup> at 120 mA g <sup>-1</sup>	93.65	excellent cycling stability up to 50 cycles	49
	Bi <sub>2</sub> Ru <sub>2</sub> O <sub>7</sub> nanoparticles	1 M NaOH	NASICON	1 M $\text{NaCF}_3\text{SO}_3$ /TEGDME	0.211	156.32 mW g <sup>-1</sup> at 120 mA g <sup>-1</sup>	93.58	excellent cycling stability up to 50 cycles	50
MOFs-based	Metal-organic framework (MOF)-derived N-doped carbon Nanotubes	1M NaOH	$\text{Na}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ , $1.3 \times 10^{-3} \text{ S cm}^{-1}$	1M $\text{NaClO}_4$ in EC/DMC(1:1) with 1-vol % FEC	0.3	-	87	charge voltage increases from 3.12 to 3.30 V at 0.1 mA cm <sup>-2</sup> for 35 cycles	51
Others	3D SnS <sub>2</sub> nanopetals	0.1 M NaOH	NASICON ceramic plate	1 M $\text{NaCF}_3\text{SO}_3$ in tetraethylene glycol dimethyl ether	0.52	300 mW g <sup>-1</sup> at 240 mA g <sup>-1</sup>	83	Recharge ability of 40 cycles at 5 mA g <sup>-1</sup>	57

### 2.3.1. Air electrode

In the cell components, the air electrode is the reaction site for adsorption, oxygen reduction, and oxygen evolution reactions during the charge-discharge cycle [21, 58]. The air electrodes of the aprotic SABs, serving as media to accommodate solid discharge products such as  $\text{Na}_2\text{O}_2$  or  $\text{Na}_2\text{O}$  [55, 54]. To facilitate the ORR/OER in metal-air batteries, the ideal air electrode should have adequate porosity and suitable pore volume and pore size distribution in addition to general electrode characteristics, such as electronic conductivity, chemical stability, high surface area, and low-cost [59, 60]. Furthermore, the final performance of metal-air batteries strongly depends on air electrode efficiency [56].



**Fig. 4** (a) Schematic for air electrode fabrication. (b, c) Low-magnification and (d, e)

1 high-magnification scanning electron microscope (SEM) images of the prepared  
2 binder-free air electrode. The SEM images show the formation of carambola-shaped  
3 VO<sub>2</sub> nanostructures on the reduced graphene oxide (rGO)-coated carbon paper. (f)  
4 Charge-discharge profile of the assembled Na-air cell using VO<sub>2</sub> nanostructures on  
5 rGO-coated carbon paper (VGC) and Pt/C air electrodes at a current density of 0.01  
6 mA cm<sup>2</sup>. (g) Comparative charge-discharge profile of the assembled Na-air cell using  
7 bare carbon paper, rGO/carbon paper, and VGC air electrode. (h) Rate capability test  
8 of VGC electrode at different scan rates [11]. Copyright 2014, Elsevier.

9  
10 Generally, the air electrodes of metal-air batteries are composed of three main  
11 components: (1) catalytic layer (catalysts and conductive agents bonded together by a  
12 binder), (2) current collector (metal foam, stainless steel mesh, carbon paper, etc.), and  
13 (3) gas permeable layer (breathable and H<sub>2</sub>O-impermeable polymer). Recently, binder-  
14 free air electrodes for metal-air batteries, preventing “dead volume” due to the addition  
15 of conductive agents and polymer binders, have attracted considerable attention  
16 because of their reduced path lengths for ion transport; these features allow an  
17 enormous increase in the number of catalytic sites for ORR/OER. Khan et al. [11]  
18 synthesized vanadium oxide (VO<sub>2</sub>) nanostructures on reduced graphene oxide (rGO)-  
19 coated carbon paper by a hydrothermal growth for rechargeable HSABs; the  
20 nanostructures showed a carambola morphology and served as a novel binder-free and  
21 bifunctional electrocatalyst (Fig. 4a). SEM images showed the uniform growth of the  
22 VO<sub>2</sub> nanostructures on the rGO-coated carbon paper (Figs. 4b and 4c), and the sample



1 was composed of uniform microsized ellipsoidal structures with a carambola  
2 morphology (Figs. 4d and 4e). Because of no binder, the specific surface area of the  
3 catalyst is larger and more active sites are exposed to the surface. It is conducive to the  
4 improvement of catalytic activity. The prepared sample displayed a specific surface  
5 area of  $6.3 \text{ m}^2 \text{ g}^{-1}$ . As the air electrode of an HSAB, the fabricated cell displayed a  
6 comparable overpotential gap of 0.64 V (Fig. 4f) compared to that of Pt/C catalysts  
7 (0.61 V), and an improved charge-discharge curves and rate capabilities at different  
8 current densities ranging from  $0.01 \text{ mA cm}^{-2}$  to  $0.05 \text{ mA cm}^{-2}$  (Figs. 4g and 4h). Liu et  
9 al. [61] designed a  $\text{NiCo}_2\text{O}_4$  nanosheet supported on a Ni foam through a solvothermal  
10 method. As a carbon-free and binder-free air electrode,  $\text{NiCo}_2\text{O}_4$  nanosheet/Ni  
11 exhibited an initial discharge capacity of  $1762 \text{ mAh g}^{-1}$  and a low overpotential of 0.96  
12 V at  $20 \text{ mA g}^{-1}$ , indicating its potential for rechargeable HSABs. These studies  
13 concluded that binder-free air electrodes play an important role in reducing the  
14 overpotential of HSABs, thereby resulting in enhanced electrocatalytic performance.  
15 The studies provided a satisfactory guide for the development of promising electrodes  
16 for high-performance HSABs. Exposure of more catalytic sites is the key to improve  
17 the catalytic performance of air electrodes, which is also an important reference for the  
18 rational design of catalysts and air electrodes.

19

### 20 **2.3.2. Anode**

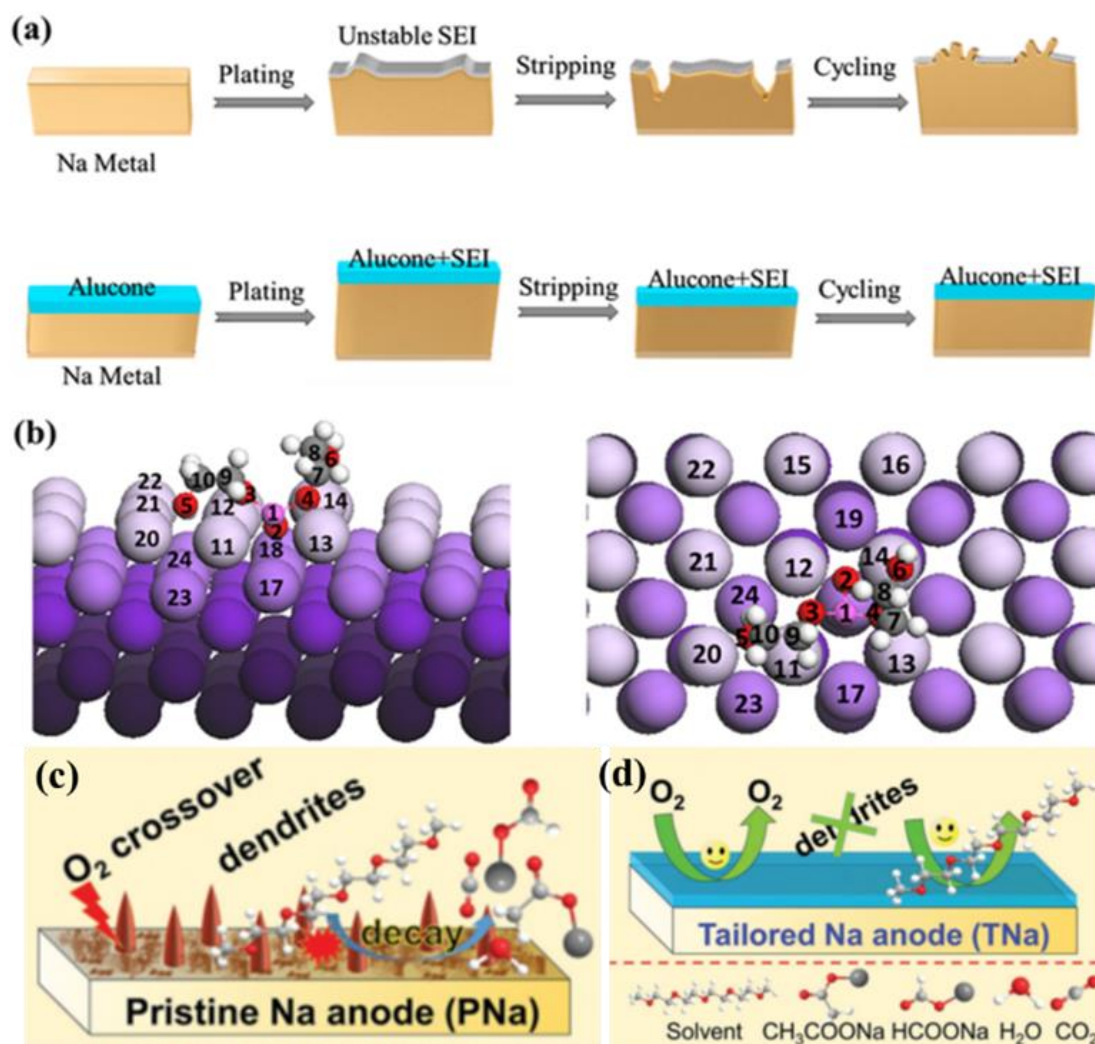
21 Active anode materials of HSABs are Na, modified Na, and Na alloy [62]. In our  
22 previous studies, we reviewed the issue of Li dendrite growth in Li batteries [18, 63].

1 Li dendrite exhibited a preferential orientation growth face on the Li surface under  
2 nonequilibrium conditions, such as high current density and low working temperature  
3 [64]. We mainly attributed this orientation to the intrinsic feature of Li-ion  
4 electromigration during nucleation and growth processes [65]. Although Li as an anode  
5 material has an extremely high energy density, dendrite growth during charge-discharge  
6 processes severely limits its capabilities [66]. Na dendrite growth is more extensive  
7 during the charge-discharge cycles of HSABs compared with Li dendrites growth  
8 because Na intrinsically presents more active chemical properties and easier orientation  
9 growth than Li [67, 68]. In HSABs, dendritic growth may form along the edge and  
10 microstructures of the NASICON separator and can cause performance degradation and  
11 serious safety issues. Dendrites reach the air electrode can cause a short circuit,  
12 resulting in smoke or even fire, especially in the presence of volatile organic electrolytes.  
13 Moreover, an aqueous electrolyte can reach an anode and react with Na, resulting in  
14 explosion and fire [69, 70], and cell destruction may occur when aqueous and organic  
15 electrolytes combine. Therefore, Na dendrite is a major challenge to the development  
16 of HSABs and the Na anode protection is a focus of HSAB research. Dendrite growth  
17 can be suppressed by facilitating the formation of stable solid electrolyte interphase  
18 (SEI) layer on the Na anode through the surface treatment of the anode and the  
19 electrolyte modification by utilizing various organic solvents, sodium salts, and/or  
20 functional additives [71].

21 In the initial stage of the electrochemical reaction, the non-uniform charge  
22 distribution on the Na anode surface caused by the side reaction easily leads to dendrite

1 growth. Zhao et al. [25] reported that the reaction between sodium and liquid  
2 electrolytes forms an unstable SEI on the surface of the Na anode, leading to mossy or  
3 dendritic Na growth due to nonuniform ionic flux during repetitive Na stripping/plating  
4 (Fig. 5a). Dendrites and mossy Na formation can be suppressed by protecting the Na  
5 anode with a controllable inorganic-organic coating with the use of an advanced  
6 molecular layer deposition method (Fig. 5b). The key to prevent dendrite growth is to  
7 form a good SEI film on the surface of Na anode in the early stage of the  
8 electrochemical reaction. Wu et al. [72] reported an accessible method to grow a  
9 durable protective passivation film on Na anode surfaces. No dendrite formed during  
10 long-term stripping/plating cycles because of the sodium fluoride-rich film (Figs. 5c  
11 and 5d). Moreover, the design of the electrode structure is also a strategy to inhibit  
12 dendrite growth, and can effectively improve the electrochemical performance of  
13 HSABs. In 2018, Liang et al. [22] presented a new high-performance rechargeable  
14 HSAB with an organic liquid anode, which was prepared by dissolving Na into a  
15 solution of biphenyl and ether; the anode acts not only as the liquid anode but also as  
16 the organic electrolyte. The liquid anode-based HSAB demonstrated satisfactory  
17 reversibility and improved power density. Their study provided an important guide for  
18 the development of safe and high-performance HSAB anodes. These efforts and  
19 developments resulted in the production of safe HSABs with impressive discharge-  
20 charge capability. For future research of Na anode, the dendrite growth on the surface  
21 of the Na anode may be inhibited by interfacial modification (e.g. coating and  
22 enhancing surface area), Moreover, other structural design of anode, such as porous

1 anode and powder anode may be the new routes for Na protection and high-  
 2 performance HSABs.



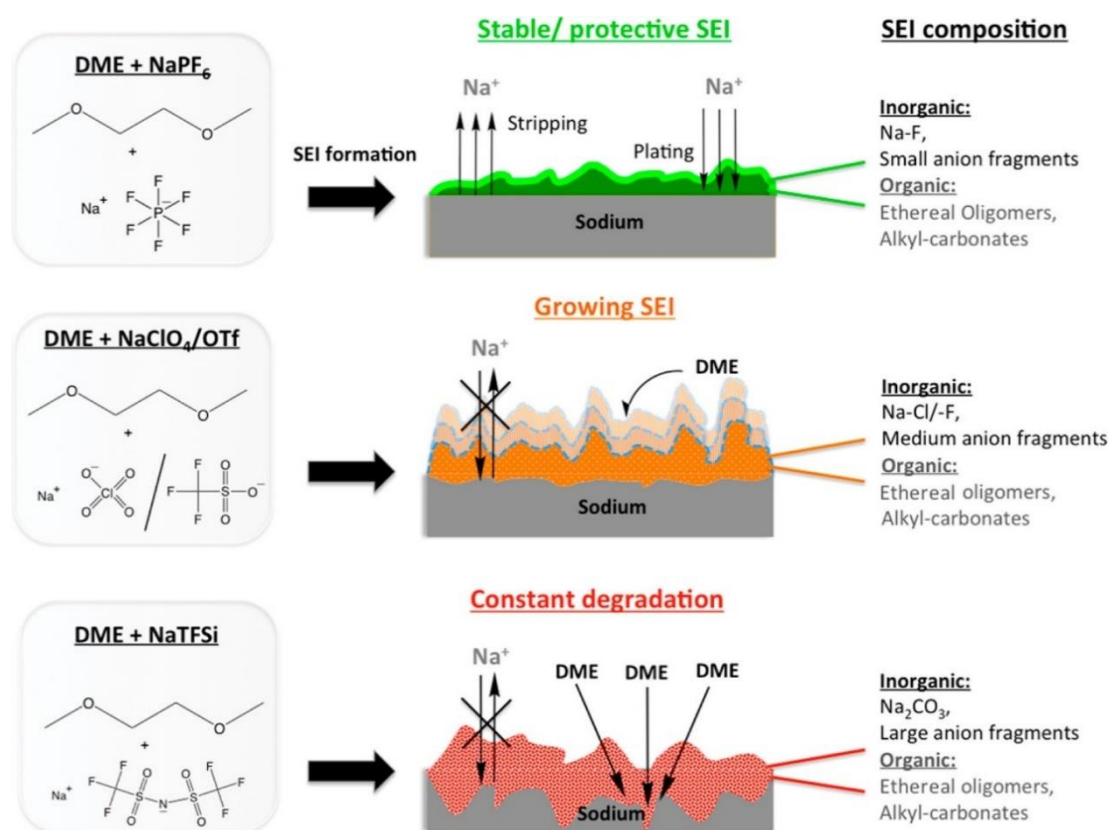
3  
 4 **Fig. 5** (a) Schematic of Na stripping/plating on bare Na foil and Na foil with molecular  
 5 layer deposited-alucone coating. (b) 3 dimension (D) and top views of adsorption of a  
 6 single alucone molecule on the Na (100) surface (four possible different binding sites:  
 7 top, short-bridge, long-bridge, and 4-fold hollow) [25]. Copyright 2017, American  
 8 Chemical Society. (c) Illustration of O<sub>2</sub> crossover, dendrite formation, and electrolyte  
 9 decay on the pristine Na anode in a Na–O<sub>2</sub> battery. (d) Illustration of improvements on  
 10 TNa [72]. Copyright 2018, Wiley.

### 1 2.3.3. Electrolyte

2 The electrolytes are the highly distinctive components of HSABs. HSAB  
3 electrolytes consist of two parts: aprotic and aqueous electrolytes. These parts are  
4 separated by a NASICON separator [20]. The commonly used aqueous electrolyte  
5 solution in the air electrode is NaOH solution with a concentration range of 0.1–1.0 M  
6 [11]. Typically, aqueous KOH, NaNO<sub>3</sub>, and acidic Na salt solutions are used for HSABs  
7 [54]. The remarkable advantage of the aqueous electrolyte is its ability to prevent the  
8 deactivation of the air electrode catalyst caused by the deposition of discharge products.  
9 Na salts, such as NaCF<sub>3</sub>SO<sub>3</sub>, NaClO<sub>4</sub>, and Na[FSA–C2C1im][FSA], are dissolved in  
10 organic solvents, such as tetra (ethylene glycol) dimethyl ether (TEGDME) and  
11 ethylene carbonate/dimethyl carbonate (EC/DMC), to form the anode electrolyte [73].  
12 To improve the stability of electrolytes, fluoroethylene carbonate (FEC) is applied as  
13 an additive in the organic electrolyte [74]. The stability of the organic electrolyte in  
14 HSABs is a challenge that needs to be solved.

15 An ideal electrolyte should tolerate a highly oxidative environment for long  
16 cycling requirement and reversible participation in electrochemical reactions. The  
17 electrolyte is related to the formation of SEI film on the Na surface during the initial  
18 stage of the charge-discharge cycle. A perfect SEI film can effectively prevent side  
19 reactions between the organic electrolyte and electrodes, thereby improving the stability  
20 of cells. Unstable SEI films may lead to the formation of Na dendrites and may thus  
21 diminish performance and safety [75]. In summary, Na salt, organic solvents, and  
22 electrolyte additives may influence the formation of SEI films.

1 Lutz et al. [76] investigated the influence of different Na salt anions ( $\text{PF}_6^-$ ,  $\text{ClO}_4^-$ ,  
 2  $\text{OTf}^-$ , and  $\text{TFSi}^-$ ) on the performance of SABs (Fig. 6). The stability of the SEI films  
 3 highly depended on the kind of sodium salt used. The long-term stability of the films  
 4 was determined by measuring the cycling tests. The electrolytes using NaTFSi are  
 5 absolutely detrimental to metallic sodium, and employing NaOTf and NaClO<sub>4</sub> leads to  
 6 short-term stability. Moreover, only the combination of 1,2-dimethoxyethane NaPF<sub>6</sub>  
 7 greatly improved the efficiency and performance of the SEI films. In other words, when  
 8 NaPF<sub>6</sub>, NaCl, and NaOTf were used, the sodium metal was covered by a gray or bluish  
 9 SEI.



10  
 11 **Fig. 6** Illustration of the proposed mechanism of Na SEI formation and its composition  
 12 in different dimethoxyethane (DME) electrolytes [76]. Copyright 2017, American  
 13 Chemical Society.

1           Organic solvents and additives play an important role in the regulation of SEI film  
2 formation on a Li surface. Such insight is a valuable guide for the study of HSAB  
3 electrolytes. For example, organic electrolytes, such as 1-ethyl-3-methylimidazolium  
4 bis(trifluoromethanesulfonyl)imide [77], lithium bis(trifluoromethane sulfonyl)imide  
5 (LiTFSI) [78], and N-methyl-N-allylpyrrolidinium bis(trifluoromethanesulfonyl)imide  
6 (RTIL P<sub>1</sub>A<sub>3</sub>TFSI) [79], have been demonstrated to effectively tune SEI films on Li  
7 surfaces owing to their desirable physical and chemical properties, such as  
8 nonflammability, negligible vapor pressure, high Li ion conductivity, and wide  
9 electrochemical window. Ma et al. [80] reported that N-methyl-(N-butyl) pyrrolidinium  
10 bis(trifluoromethanesulfonyl) imide (PYR<sub>14</sub>TFSI) could suppress the growth of Li  
11 dendrites by regulating the formation of stable and uniform SEI films. Kim et al. [81]  
12 pioneered the use of CsNO<sub>3</sub> as an electrolyte additive for the formation of stable SEI  
13 layers on Li surfaces in LSBs. Therefore, studies toward finding a stable organic  
14 solution and effective additive for HSABs is an ongoing research subject. The  
15 electrolyte modification references from LIBs and traditional SABs can be useful  
16 guidelines for the development of high-performance HSABs.

17

#### 18 **2.3.4. Separator**

19           The separators of all energy storage devices are inactive fundamental components,  
20 which are sandwiched between the anode and cathode [82]. Generally, the separator has  
21 two important functions: (1) providing a path for ionic conduction throughout the  
22 interconnected porous structure and (2) separating the anode and cathode to prevent

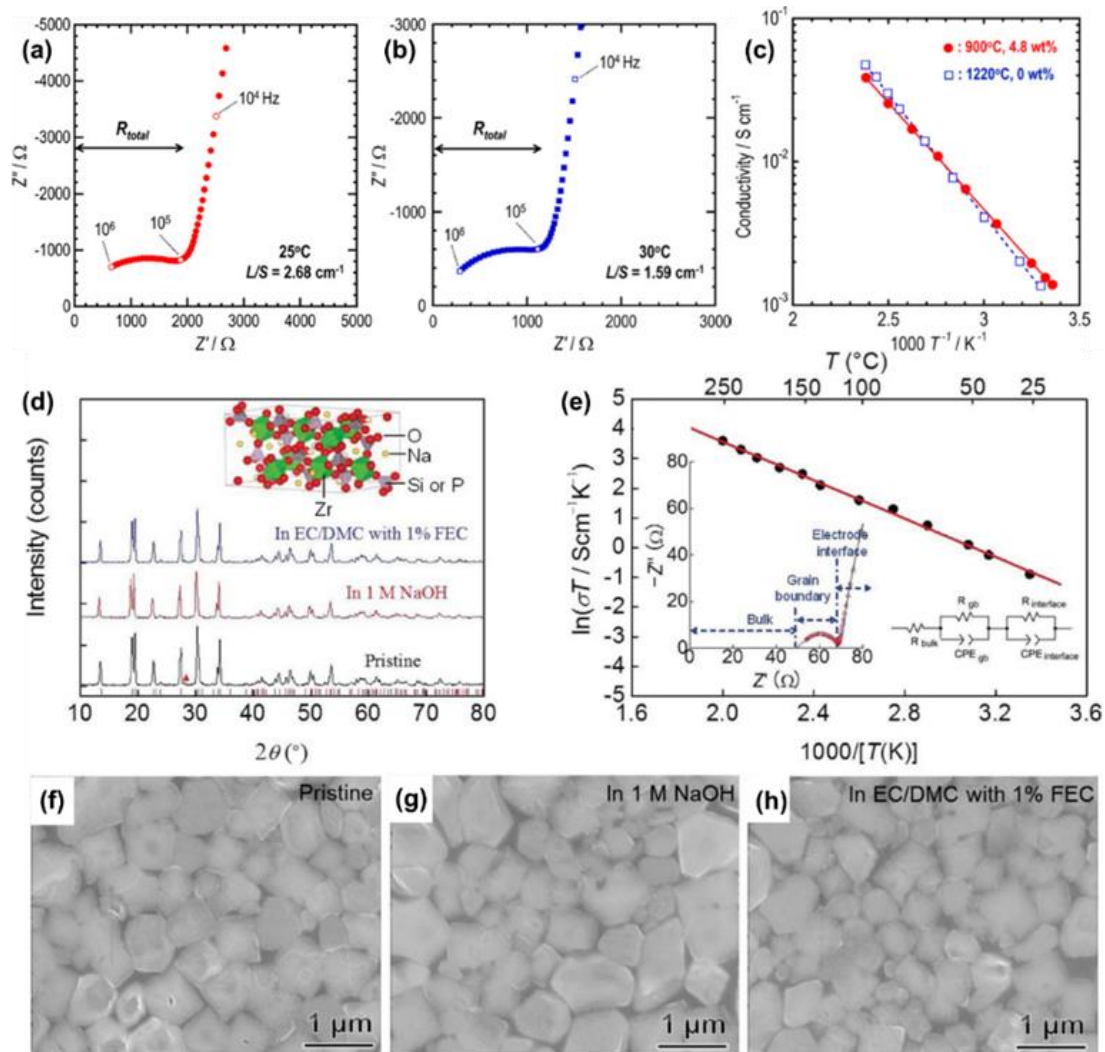
1 internal short circuit. The separators for liquid electrolyte batteries are engineered as  
2 porous membranes, nonwoven mats, or multilayers consisting of porous membranes  
3 and/or nonwoven mats [83, 84]. In HSABs, separators play other important roles: (1)  
4 preventing the mixing of solvents in the two electrolytes, (2) ensuring the passage of  
5 Na ions from the Na anode to the air electrode, and (3) reducing safety problems caused  
6 by the reaction between aqueous electrolyte and Na [85].

7 NASICON is a well-known sodium-ion-conducting oxide ceramic that has the  
8 general formula:  $\text{Na}_{1+x}\text{Zr}_2\text{Si}_x\text{P}_{3-x}\text{O}_{12}$  ( $0 < x < 3$ ) [86]. Diverse compositions were studied  
9 and ceramics with high conductivities were obtained when  $x$  was close to 2. The  
10 conductivities of NASICON ceramics are higher than  $10^{-3} \text{ S cm}^{-1}$  at room temperature  
11 [87, 88]. Moreover, manufacturing NASICON ceramics is economical because they are  
12 synthesized in air from abundant elemental sources [89].

13 Noi et al. [89] studied the liquid-phase sintering of NASICON, using a  $\text{Na}_3\text{BO}_3$   
14 (NBO) additive to lower the NASICON sintering temperature. A dense NASICON-  
15 based ceramic was obtained by sintering at  $900 \text{ }^\circ\text{C}$  with 4.8 wt.% NBO. This liquid-  
16 phase sintered NASICON ceramic exhibited a high conductivity of  $\sim 1 \times 10^{-3} \text{ S cm}^{-1}$  at  
17 room temperature and low conduction activation energy of  $28 \text{ kJ mol}^{-1}$ . The  
18 conductivities at room temperature were  $1.4 \times 10^{-3} \text{ S cm}^{-1}$  and  $1.1 \times 10^{-3} \text{ S cm}^{-1}$  in  
19 ceramics sintered at a low temperature ( $900 \text{ }^\circ\text{C}$ ) and high temperature ( $1220 \text{ }^\circ\text{C}$ ),  
20 respectively (Figs. 7a–7c). Liang et al. [90] prepared a dense  $\text{Na}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$  ceramic at  
21 low temperatures with an ionic conductivity of  $1.3 \times 10^{-3} \text{ S cm}^{-1}$  at  $25 \text{ }^\circ\text{C}$  as a separator  
22 for HSABs (Figs. 7d–7e). No substantial structural change was found between the



1 ceramic separator of the as-prepared state and the ceramic separator immersed in 1 M  
2 NaOH electrolyte for 2 days, thereby indicating acceptable stability of the prepared  
3 ceramic separator (Figs. 7f–7h). The HSAB yielded a maximum output power density  
4 of 21 mW cm<sup>-2</sup> at 25 °C because of the reduced ohmic resistivity of the ceramic  
5 separator. Since then, the development of HSABs was significantly promoted. However,  
6 The mechanism of Na ion transport in NASICON is not clear, which will hinder the  
7 further modification of NASICON and the development and application of new  
8 separators. With the development of flexible electronic devices, flexible energy storage  
9 battery became a new research focus [91, 92]. Although the development of flexible  
10 separators is a huge challenge, the development of flexible metal-air batteries is  
11 expected to attract considerable attention from the academe and industries owing to the  
12 increasing demand for portable electronic devices. Therefore, the development and  
13 modification of new separators are also the next research focus on high-performance  
14 HSABs.



1  
2 **Fig. 7** Nyquist plots at room temperature (a, b) and temperature dependence of total  
3 conductivities (c) of NASICON ceramics with large L/S value. (a) Ceramic sintered at  
4 900 °C with 4.8 wt.% NBO. (b) Ceramic sintered at 1220 °C without NBO [89].  
5 Copyright 2018, American Chemical Society. (d) XRD patterns of the pristine  
6 NASICON ceramic separator before and after discharges. The triangle indicates the  
7 zirconia precipitate. Inset shows a crystal model of NASICON. (e) Arrhenius plot of  
8 the conductivities of the NASICON ceramic separator. Inset is a Nyquist plot of the  
9 impedance spectrum at 25 °C and an equivalent circuit model for the fitting. SEM  
10 images of NASICON ceramic separators at (f) as-prepared state, (g) after immersion in

1 1 M NaOH for two days and (h) after immersion in 1 M NaClO<sub>4</sub> in EC/DMC with 1%  
2 FEC for two days [90]. Copyright 2015, ECS.

3

#### 4 **2.4. Potential influencing factors for HSABs stability**

5 In addition to the existing challenges from the components of metal-air batteries,  
6 several problems such as CO<sub>2</sub> poisoning [93] and water evaporation [94, 95] that  
7 influence the catalytic activity of air electrodes and the performance of batteries have  
8 drawn considerable attention from academe and industries and need to be solved.

9

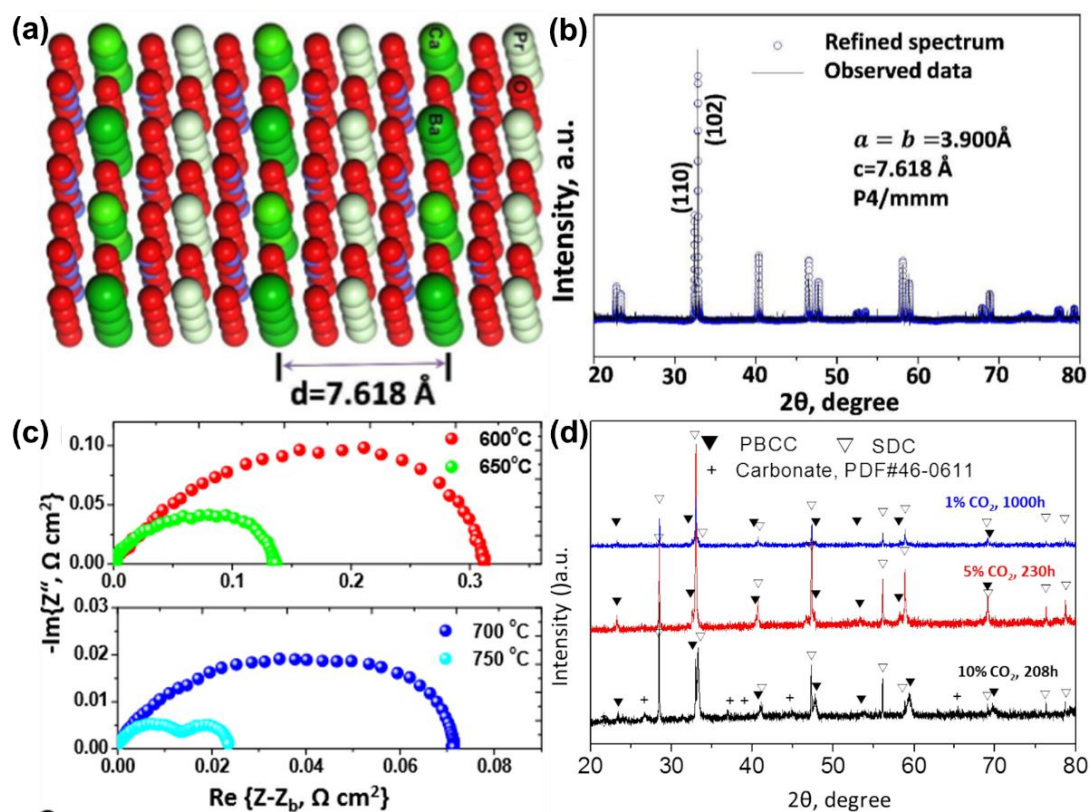
##### 10 **2.4.1. CO<sub>2</sub> poisoning**

11 CO<sub>2</sub> poisoning is regarded as the main catalyst deactivation process after the  
12 reaction between catalyst and CO<sub>2</sub>. This catalyst deactivation process generally leads  
13 to consequence inactive of catalyst and short cycle life of devices. Divekar, et al.  
14 showed that a low concentration of CO<sub>2</sub> (300-400 ppm) in atmospheric air has  
15 significantly influenced on the chemistry of anion exchange membrane fuel cell and  
16 ultimately led to decrease in fuel cell performance [96]. Their study concluded that the  
17 drop of OH<sup>-</sup> ion concentration within minutes because of the formation of HCO<sub>3</sub><sup>-</sup> and  
18 CO<sub>3</sub><sup>2-</sup> with OH<sup>-</sup>. The degrade of fuel cell performance was attributed to the decrease of  
19 anionic conductivity because HCO<sub>3</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup> have lower mobility than OH<sup>-</sup>. A similar  
20 study showed that CO<sub>2</sub> is highly soluble in aprotic and aqueous electrolytes and highly  
21 reactive toward Li, Na, and their oxides and hydroxides to form carbonates [97].  
22 Especially, the formation of carbonates through parasitic reactions in Li- and Na-air

1 cells is unfavorable for metal-air batteries due to the high decomposition potential of  
2 carbonate-based products [98]. Several studies have shown that carbonates were  
3 formed as a result of the reactions involving the oxidation of solvents [99] and carbon  
4 cathodes [100] as well as chemical reactions between  $\text{LiO}_x/\text{NaO}_x$  and  $\text{CO}_2$ . Mekonnen  
5 et al. [101] proved that once  $\text{CO}_2$  is adsorbed on a step valley site of  $\text{Li}_2\text{O}_2$  discharge  
6 product in Li-air battery, it is hardly diffuse and affect the  $\text{Li}_2\text{O}_2$  growth mechanism,  
7 device's capacity and voltages. Moreover, the charging processes are strongly  
8 influenced by  $\text{CO}_2$  contamination, which can exhibit increased overvoltages and  
9 reduced capacity. Therefore,  $\text{CO}_2$  poisoning has an adverse effect on the performance  
10 of metal-air batteries.

11 To resolve  $\text{CO}_2$  poisoning on catalysts, tremendous efforts are focused on the  
12 design of air electrode catalysts that are resistive to  $\text{CO}_2$  poisoning [102, 103]. Chen et  
13 al. [104] reported a double perovskite  $\text{PrBa}_{0.8}\text{Ca}_{0.2}\text{Co}_2\text{O}_{5+d}$  (PBCC, Fig. 8a,b) catalyst  
14 with excellent ORR activity and remarkable  $\text{CO}_2$  tolerance under realistic operating  
15 conditions. When tested in a solid oxide fuel cell in air with 1 vol%  $\text{CO}_2$  at 750 °C, the  
16 PBCC electrode shows a specific areal resistance of  $0.024 \Omega \text{ cm}^2$ , which further  
17 increases to  $0.028 \Omega \text{ cm}^2$  after 1000 h operation (Fig. 8c). The fast ORR kinetics and  
18 excellent durability of PBCC in the air with  $\text{CO}_2$  are attributed to the surface of the  
19 PBCC electrode are much more active for oxygen exchange and more robust against  
20  $\text{CO}_2$  (Fig. 8d). Their study highlights the potential of PBCC as a highly promising  
21 material for metal-air batteries involving oxygen electrochemistry. Although this  
22 strategy can extend the life of the catalyst to some extent, it has not fundamentally

1 solved the problem of CO<sub>2</sub> poisoning. Therefore, how to solve the problem of CO<sub>2</sub>  
 2 poisoning through catalyst design still needs further study.



3  
 4 **Fig. 8** (a) Schematics of the PBCC crystal structure. (b) Refined XRD profiles of PBCC  
 5 (powder sample). (c) EIS of symmetrical cells with PBCC as an electrode in ambient  
 6 air. (d) XRD patterns of PBCC cathode after testing with CO<sub>2</sub> concentration of 1%  
 7 (green line), 5% (red line) and 10% (black line) [104]. Copyright 2018, Royal Society  
 8 of Chemistry.

9

10 **2.4.2. Water evaporation**

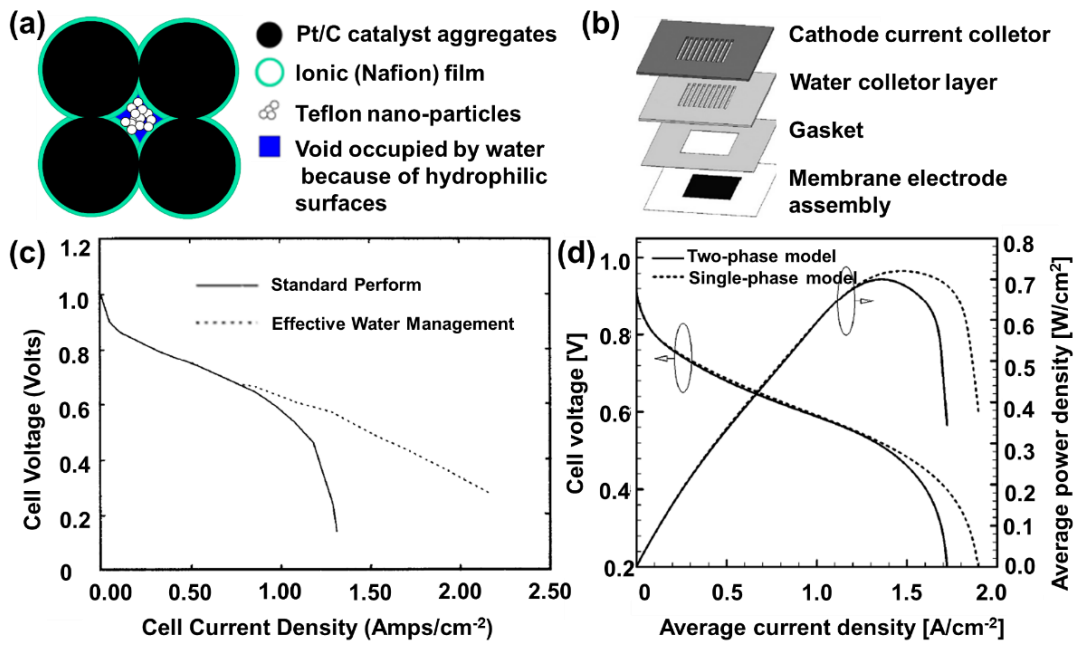
11 In addition to CO<sub>2</sub> poisoning, water evaporation is another potential challenge of  
 12 metal-air battery system [105]. In HSABs, only Na dissolution and precipitation occur  
 13 on the anode, and no water is produced. The O<sub>2</sub> reduction and evolution reactions on

1 the air electrode will be accompanied by water reaction. In general, evaporation of  
2 aqueous electrolyte leading to membrane and catalyst layer dry out, resulting in an  
3 increase in resistive and activation losses [106, 107]. Although some water management  
4 techniques have shown the good results [108, 109], they all require bulky components  
5 such as humidifiers, blowers, compressors, and it is often accompanied by significant  
6 parasitic power loss [110]. To effectively prevent the water evaporation, a good design  
7 of air electrode interface is the key to regulating water management, which can not only  
8 inhibit the water evaporation, but also control the adsorption and release of oxygen.

9 Shen et al. [111] constructed an air diffusion electrode composed of the catalytic  
10 layer and nickel foam current collector. In the catalytic layer, reduced graphene- $\text{Co}_3\text{O}_4$   
11 catalyst was mixed with polytetrafluoroethylene (PTFE, 60 wt.%) to produce a uniform  
12 membrane with a good interface. The air diffusion electrode displayed an overpotential  
13 of 1.6 V in the charge-discharge process after 100 cycles without water evaporation. In  
14 addition to PTFE, Nafion is also an effective additive for designing a good interface for  
15 air electrodes. Nguyen et al. [112] designed an air electrode structure that was  
16 composed of Nafion and Pt/carbon catalysts, in which the void space was partially filled  
17 with nano-sized hydrophobic particles Teflon (Fig. 9a). Such design not only enables  
18 efficient transport of proton and electron by Nafion and carbon materials, respectively,  
19 but also prevent the water evaporation owing to nano-sized hydrophobic particles  
20 Teflon at the interface of the air electrode. Alternatively, Fabian et al. [105] reported a  
21 water management design based on a hydrophilic and electrically conductive wick (Fig.  
22 9b). Wilkinson et al. [113] proved that the characteristic knee in the polarization curve

1 is effectively removed by water management resulting in improved stability and  
 2 performance reproducibility (Fig. 9c). Pasaogullari et al. [114] also reported that the  
 3 discharge voltage and power density were both improved after a proper design of water  
 4 management (Fig. 9d). The resulting inserted hydrophobic phase provides an  
 5 independent gas transport path for the reactant gas, which prevents the impairment of  
 6 gas transport by water evaporation in the catalytic layer of the air electrode.

7



8

9 **Fig. 9** (a) Distribution of an ideal four-phase (electronic-ionic-gas-liquid) catalyst layer  
 10 structure [112]. Copyright 2006, ECS. (b) Exploded view of the air-breathing fuel cell  
 11 cathode comprising active area membrane electrode assembly, gasket, in-plane water  
 12 collector, and cathode current collector [105]. Copyright 2010, Elsevier. (c) Polarization  
 13 curves for a single cell with and without effective water management (232 cm<sup>2</sup> active  
 14 area, Dow XUS-13204.10 membrane, P<sub>H2</sub> = P<sub>air</sub> = 4.5 bar, T<sub>cell</sub> = 70 °C) [113]. Copyright  
 15 1994, Elsevier. (d) Calculated polarization and power curves of two-channel serpentine

1 polymer electrolyte fuel cell at a cell temperature of 80 °C, inlet pressures of 1.5 atm,  
2 fully humidified inlets at 80 °C, and anode/cathode stoichiometry: 2 at 1 A/cm<sup>2</sup> [114].

3 Copyright 2005, ECS.

4

### 5 **3. Catalyst design for air electrodes**

6 In metal-air batteries, the introduction of various catalysts into the air electrode is  
7 an effective strategy for improving the reversibility and electrochemical performance  
8 of HSABs. Generally, the catalysts used in metal-air batteries should possess the  
9 following factors [115]: (1) a highly conductive and porous catalyst substrate to ensure  
10 high electron conductivity and rapid liquid/gas transportation; (2) nanostructured  
11 catalyst particles to increase catalyst utilization; (3) abundant and well-dispersed  
12 catalyst sites to improve OER/ORR kinetics; and (4) environmentally benign properties  
13 of the chemical composition of the catalyst. With the development of air electrode  
14 catalysts, the requirement for the amount of active sites on the surface of catalysts is  
15 becoming higher and higher. Here, we discuss the recent process of air electrode  
16 catalysts based on different metal-air batteries to provide theoretical guidance for the  
17 design of air electrode catalysts in HSABs according to the features of various catalysts.  
18 Furthermore, some important synthesis approaches are reviewed to guide the  
19 preparation of the desired catalysts.

#### 20 **3.1. Classification**

##### 21 **3.1.1. Carbon-based catalyst**



1        ORR is the critical step for determining the performance of various next-  
2 generation metal-air energy storage devices [116]. Pt-based electrocatalysts exhibit  
3 superior overall performance for ORR; however, the high cost and low natural  
4 abundance of Pt limit the large-scale commercialization of metal-air energy storage  
5 devices [117, 118]. In this context, doped carbon materials, such as graphene, carbon  
6 nanotubes (CNTs), carbon nanofibers (CNFs), microporous carbon sheets, and carbon  
7 aerogels are effective electrocatalysts for ORR [119, 120]. Heteroatoms, such as O  
8 [121], N [122], P [123], B [124], and S [125] have been demonstrated to alter the  
9 electronic properties of carbon frameworks and their adsorption/desorption behavior  
10 towards oxygen intermediates, thus providing additional ORR active sites and  
11 promoting the electrocatalytic kinetic processes of oxygen [126].

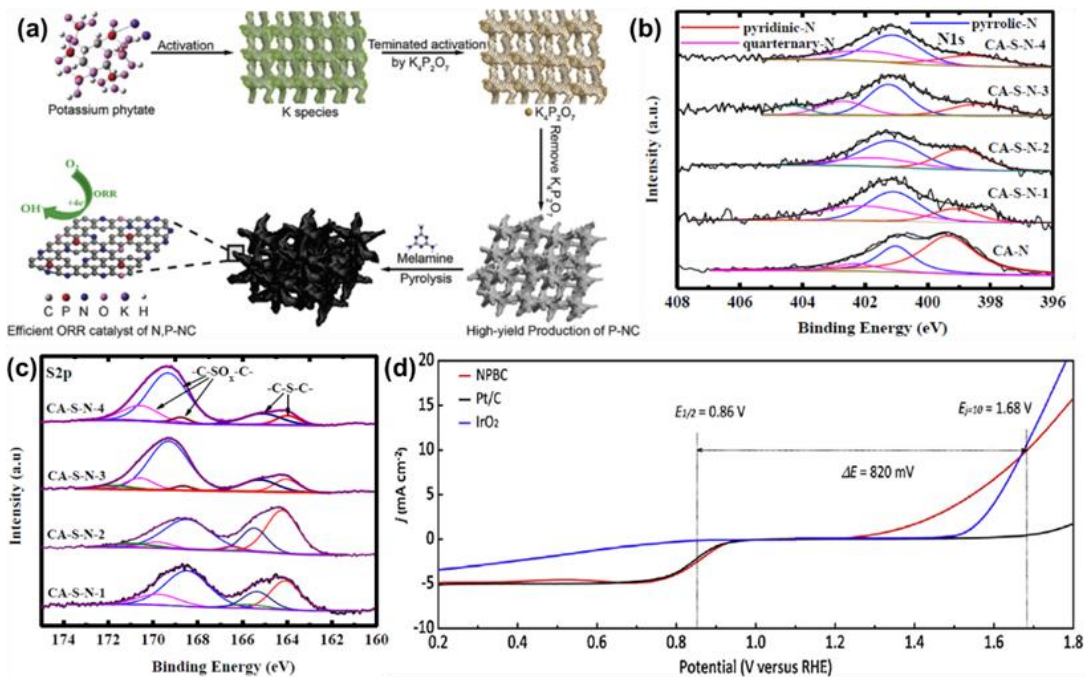
12        Ma et al. [127] activated and stabilized N-doped carbon fiber air electrode by in-  
13 situ Co embedding/coating. In Na-O<sub>2</sub> battery, the air electrode exhibited superior  
14 electrochemical performance, showing low charge overpotential, high specific capacity,  
15 and satisfactory cycle stability. These features can be attributed to the combined  
16 advantages associated with electron and mass transportation, which are facilitated by  
17 the fiber shape, binder-free and porous structure of the air electrode. Furthermore, the  
18 air electrode exhibited high conductivity and catalytic activity, which are attributed to  
19 the synergy between N-doped carbon fibers and partially oxidized Co, as well as the  
20 successful tailor of the morphology of discharge products.

21        Luo et al. [128] reported a self-terminated activation strategy for the high-yield  
22 production of nitrogen and phosphorus co-doped nanoporous carbon (NP-NC) by using

1 potassium phytate as a carbonaceous source (Fig. 10a). Benefiting from the high surface  
2 area of  $1294 \text{ m}^2 \text{ g}^{-1}$  and trimodal nanoporous structure, the resulting N, P-co-doped  
3 catalyst exhibited excellent ORR activity that was close to that of Pt/C, high catalytic  
4 current density, excellent methanol tolerance, and durability. As a cathode catalyst for  
5 ZABs, NP-NC exhibits current and power densities that are comparable to those of  
6 state-of-the-art 20% Pt/C. Chandrasekaran et al. [129] obtained N- and S-doped porous  
7 carbon monoliths by subjecting polyisocyanurate gels to thermal treatment at  $1000 \text{ }^\circ\text{C}$   
8 with elemental sulfur under inert conditions. The optimized sulfur concentration (5.6  
9 wt%) into the carbon matrix displayed excellent oxygen reduction activity with direct  
10 four-electron transfer relative to its pristine counterparts by providing more  $-\text{C}-\text{S}-\text{C}-$   
11 active species with additional oxygen adsorption sites than oxidized sulfur species (Fig.  
12 10b, c). It has been reported that N-doping can promote electron donation from the  
13 catalyst to the  $\text{O}_2$  molecule, thus facilitating ORR [130]. When a P site is oxidized and  
14 bound to an N co-dopant, it stabilizes the graphitic N and activates a neighboring C site  
15 for effective OER. Therefore, P and N co-doped carbons show bifunctional ORR and  
16 OER activities [131].

17 Wang et al. [132] demonstrated a non-polluting fabrication of porous carbon self-  
18 doped with N and P heteroatoms from spirulina that is an industrially cultivated  
19 microalgae that is rich in proteins and phosphates. The N, P self-doped biocarbon  
20 (NPBC) exhibited large specific surface area ( $786.1 \text{ m}^2 \text{ g}^{-1}$ ), improved pore volume  
21 ( $0.73 \text{ cm}^3 \text{ g}^{-1}$ ), and doping levels of 2.15 at.% for N and 0.97 at.% for P. Furthermore,  
22 such biocarbon can deliver bifunctional activity in catalyzing ORR and OER (Fig. 10d).

1



2

3 **Fig. 10** (a) Schematic of NP-NC electrocatalyst preparation [128]. Copyright 2018,  
 4 Elsevier. (b) High-resolution N1s spectra and (c) high-resolution S2p spectra of S, N-  
 5 doped carbon [129]. Copyright 2018, American Chemical Society. (d) ORR and OER  
 6 polarization curves of NPBC, Pt/C, and IrO<sub>2</sub>/C in 0.1 mol L<sup>-1</sup> KOH solution [130].  
 7 Copyright 2017, Elsevier.

8

9 Heteroatom-doped carbon materials have been explored as alternative efficient  
 10 ORR catalysts and are expected to replace the traditional Pt-based catalyst electrode.  
 11 Generally, element doping, such as N, P, B, S, etc., can further improve the ORR  
 12 performances in metal-air batteries due to the modification of surface structure,  
 13 electronic properties, and adsorption/desorption behaviors towards oxygen  
 14 intermediates. Especially, N and P elements lead to superior catalytic activity for ORR  
 15 due to prominent modification of the specific surface area and pore volume of carbon

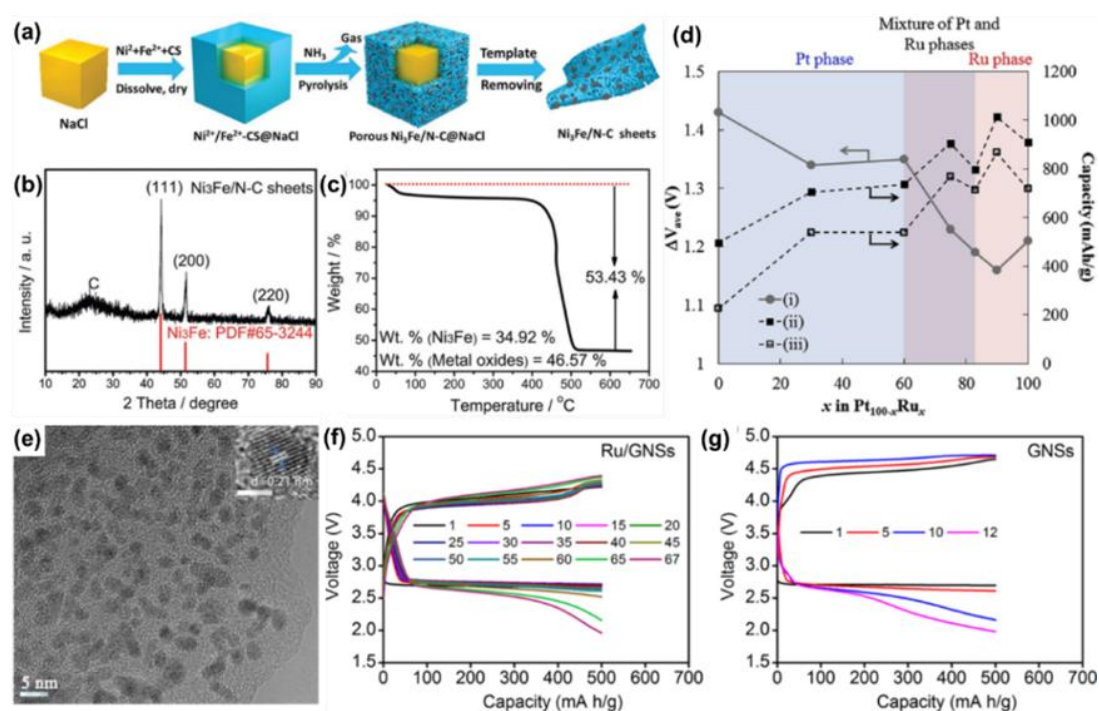
1 materials. However, the fact that carbon material catalysts are easily oxidized to carbon  
2 oxides during charging, showing the instability and shortcomings of carbon-based  
3 catalysts [133, 134].

### 4 5 **3.1.2. Metal-based catalyst**

6 Metal-based catalysts are rising for energy conversion and energy storage devices  
7 owing to their superior OER performance and satisfactory cycle performance [135].  
8 Materials based on precious metals, such as Ru and Ir [136, 137], and transition metals  
9 (Co and Fe) have been developed as OER catalysts for metal-air batteries [138–140].  
10 Noble metal (Pd, Pt, Ru, and Au) and some metal alloys (Pt<sub>3</sub>Co and Pd<sub>3</sub>Co) as catalysts  
11 have shown satisfactory electrochemical properties, which can improve the cyclability  
12 and reduce the large overpotential [141–144]. Cho et al. [144] reported that a LAB cell  
13 employed with Pd<sub>3</sub>Co/KetjenBlack EC600JD (KB) as electrocatalyst exhibits a  
14 charging overpotential of 4.2 V, which is 200 mV lower than that of a LAB cell with a  
15 typical MnO<sub>2</sub>/KB electrocatalyst. In addition, Pd<sub>3</sub>Co/KB exhibited excellent cyclability  
16 (1000 mAh g<sup>-1</sup>) for 35 cycles.

17 To improve its ORR performance, Fu et al. [145] modified the metal catalyst by  
18 carbon materials and synthesized Ni<sub>3</sub>Fe nanoparticles (NPs) embedded in N-doped  
19 porous graphitic carbon sheets (Ni<sub>3</sub>Fe/N-C sheets, Fig. 11a–11c). They demonstrated  
20 that the Ni<sub>3</sub>Fe/N-C sheets possess improved ORR and OER performance. Composites  
21 can be stabilized by embedding Ni<sub>3</sub>Fe NPs within graphitic carbon sheets. The  
22 stabilized composites exhibit long-term cycling stability. Yui et al. [146] prepared

1 Pt<sub>100-x</sub>Ru<sub>x</sub> (0 ≤ x ≤ 100) electrocatalysts through the formic acid reduction method. The  
 2 prepared air electrodes were examined in 1 mol L<sup>-1</sup> LiTfSA/TEGDME electrolyte  
 3 solution (Fig. 11d). Among the studied samples, the optimized Pt<sub>10</sub>Ru<sub>90</sub>/carbon sample  
 4 showed the largest discharge capacity (1014 mAh g<sup>-1</sup>) and the lowest average charge  
 5 voltage (3.74 V). The optimized sample exhibited comparatively decent cycle stability  
 6 with a discharge capacity of over 800 mAh g<sup>-1</sup> at the 8th cycle. Wang et al. [147]  
 7 developed graphene nanosheets functionalized with monodispersed Ru (101) NPs with  
 8 an average size of 2 nm (Fig. 11e). A low charge voltage of 4.02 V with a high  
 9 Coulombic efficiency of 89.2% and a satisfactory cycle stability with 500 mAh g<sup>-1</sup>  
 10 capacity retained at 67 cycles were achieved in LABs (Figs. 11f and 11g).



11  
 12 **Fig. 11** (a) Schematic of the formation of Ni<sub>3</sub>Fe/N-C sheets. (b) XRD pattern and (c)  
 13 TGA curve of the Ni<sub>3</sub>Fe/N-C sheets [145]. Copyright 2017, Wiley. (d) ΔV<sub>ave</sub> and  
 14 discharge/charge capacity for LAB cells incorporating air electrodes loaded with

1 various composition ratios of Pt<sub>100-x</sub>Ru<sub>x</sub>/KB: (i)  $\Delta V_{ave}$ , (ii) discharge capacity, and (iii)  
2 charge capacity [146]. Copyright 2017, Elsevier. (e) TEM images of Ru/GNSs. The  
3 inset is the corresponding HRTEM image of a single Ru particle with the white scale  
4 bar of 2 nm. Cycling performance of (f) Ru/GNSs and (g) GNSs cathodes in CO<sub>2</sub> with  
5 2% O<sub>2</sub> atmosphere at a limited capacity of 500 mAh g<sup>-1</sup> [147]. Copyright 2017,  
6 American Chemical Society.

7  
8 Thus far, metal-based materials have emerged as promising electrocatalysts with  
9 highly active and stable OER electrocatalytic performance owing to the decent  
10 Coulombic efficiency, cycle stability, and low overpotential in metal-air batteries.  
11 Although the ORR performance of the metal-based catalyst/carbon composites is  
12 enhanced, its synthesis requires complex procedures, such as hydrothermal methods  
13 and subsequent high-temperature annealing treatment. A simple, large-scale, and low-  
14 cost synthetic approach for the fabrication of these catalysts is needed to develop.

### 16 **3.1.3. Metal oxide-based catalyst**

17 As a class of bifunctional ORR and OER catalysts, metal oxides are extensively  
18 studied because of their high activity, stability, and low-cost in alkaline solutions for  
19 metal-air batteries [148–150]. Moreover, the electrochemical activity of transition  
20 metal oxides can be improved by altering their compositions, crystal structures, and  
21 morphologies [151]. In particular, the bifunctional properties of binary transition metal  
22 oxides are easier to achieve. Mohamed et al. [152] fabricated ternary spinel FeCo<sub>2</sub>O<sub>4</sub>

1 porous nanorods as a bifunctional catalyst for Li-O<sub>2</sub> batteries. The active sites of the  
2 materials easily adsorbed oxygen because of the extreme high Co<sup>3+</sup> content on the  
3 FeCo<sub>2</sub>O<sub>4</sub> surface; exhibiting low overpotential and high capacity. Xu et al. [153]  
4 designed and synthesized the CoFe<sub>2</sub>O<sub>4</sub>@CNTs nanoparticles as bifunctional catalysts  
5 for rechargeable zinc-air batteries, exhibiting high power density (333.7 mW cm<sup>-2</sup>),  
6 high specific capacity (732 mAh g<sup>-1</sup>), and good rechargeability (1200 stable charge-  
7 discharge cycles). The enhanced performance of the ZABs is attributed to CNTs  
8 exhibiting efficient ORR and the crystalline structure of CoFe<sub>2</sub>O<sub>4</sub> is beneficial for OER.

9 Reducing the dimension of catalysts is an important strategy for improving the  
10 bifunctional properties of catalysts. Ryu et al. [154] proposed a high-aspect ratio 1D  
11 Co<sub>3</sub>O<sub>4</sub> nanofiber as bifunctional composite catalysts for Li-O<sub>2</sub> batteries with large  
12 capacities and stable cycle. The battery exhibits a high first discharge capacity of 10 500  
13 mAh g<sup>-1</sup> and superior cyclability for 80 cycles with a limited capacity of 1000 mAh g<sup>-1</sup>  
14 owing to the large surface area, facile electron transport, and rapid O<sub>2</sub> diffusion.

15 Yin et al. [155] utilized liquid redox mediators to control the morphology of the  
16 discharged products in SABs. When Co<sub>3</sub>O<sub>4</sub> nanowires were used as air electrodes, the  
17 discharge product tended to be nanoflake-like properties. However, after the addition  
18 of ferrocene to the electrolyte, the discharge product tended to behave like nanofilms,  
19 and the battery can reach 570 cycles. Hu et al. [156] used MnO<sub>2</sub> nanocomposite catalyst  
20 integrated with N,N'-bis(salicylidene)ethylene diaminocobalt (II) (CoII-salen) in the  
21 electrolyte for LABs. Such combination has two advantages: (1) the coating layer of δ-  
22 MnO<sub>2</sub> on the MnO<sub>2</sub> nanocomposite catalyzes Li<sub>2</sub>O<sub>2</sub> decomposition and suppresses side

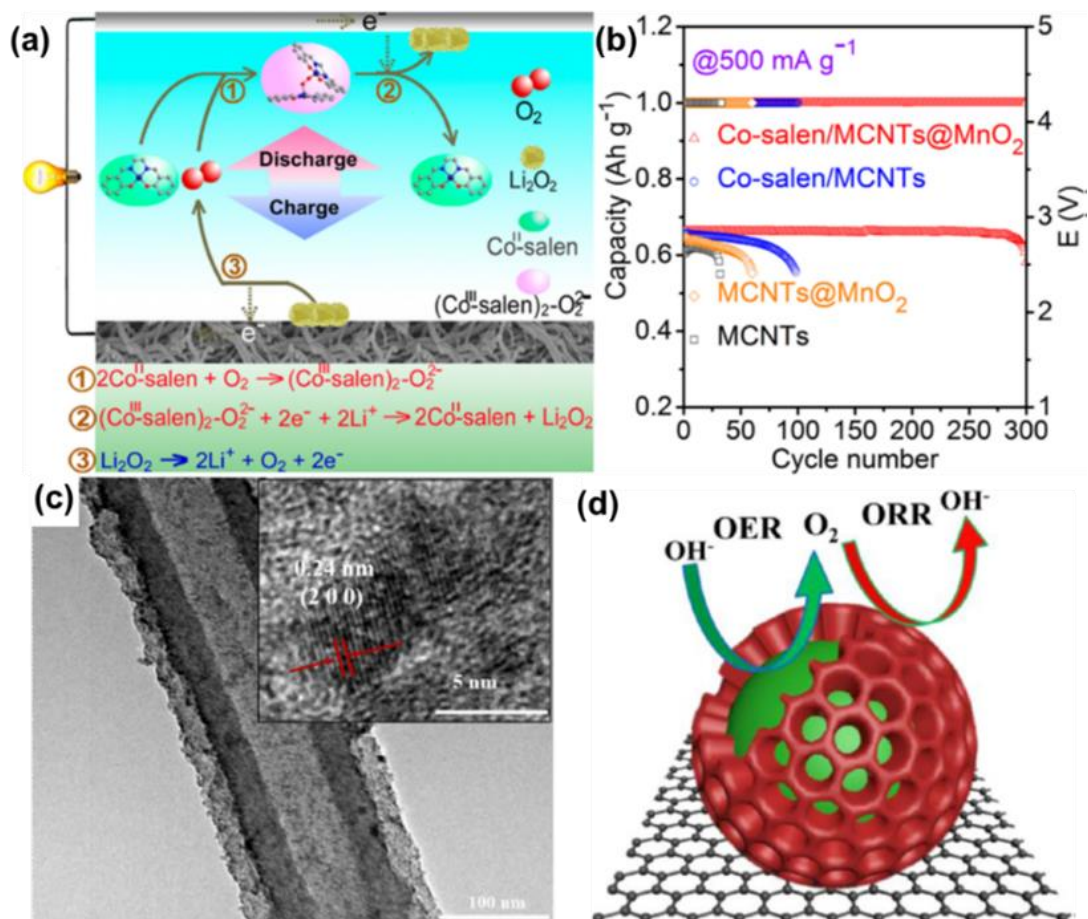
1 reactions, and (2) CoII-salen works as a mobile O<sub>2</sub>-carrier and accelerates Li<sub>2</sub>O<sub>2</sub>  
2 formation through the reaction of CoIII-salen (Fig. 12a). LAB with a Co-salen/MnO<sub>2</sub>  
3 catalyst displayed a discharge capacity of 18 100 mAh g<sup>-1</sup> with a mean discharge  
4 voltage of 2.8 V and a capacity of 1000 mAh g<sup>-1</sup> after 300 cycles at 500 mA g<sup>-1</sup> (0.15  
5 mA cm<sup>-2</sup>; Fig. 12b). Zhang et al. [157] reported a bifunctional catalyst of ruthenium  
6 dioxide (RuO<sub>2</sub>)-decorated carbonized tubular polypyrrole (CTPPy) with two special  
7 features: (1) a high content of graphitic N and (2) a large number of RuO<sub>2</sub> NPs (Fig.  
8 12c). The battery delivered a large discharge capacity of 10 095 mAh g<sup>-1</sup> at a current  
9 density of 200 mA g<sup>-1</sup>, a high rate capacity of 6758 mAh g<sup>-1</sup> at a current density of  
10 1000 mA g<sup>-1</sup>, and long cycling life of 55 cycles at a current density of 500 mA g<sup>-1</sup>.  
11 Cheng et al. [158] designed ultrafine NiFeO NPs in a porous amorphous MnO<sub>x</sub> layer.  
12 The NiFeO-core contributed to the high activity for the OER, and the amorphous MnO<sub>x</sub>  
13 shell was an active phase for the ORR, signifying the synergistic effect of the NiFeO  
14 core and MnO<sub>x</sub> shell (Fig. 12d). The synergistic effect is related to the electron drawing  
15 of the NiFeO core from the MnO<sub>x</sub> shell, decreasing the affinity and adsorption energy  
16 of oxygen on the MnO<sub>x</sub> shell and substantially increasing the ORR kinetics.

17 Compared to carbon- and metal-based catalysts, metal oxide catalysts endow  
18 bifunctionality for ORR and OER. The electrocatalytic activity of metal oxide-based  
19 catalysts can be enhanced by tailoring the crystal structure and the morphology.  
20 Although metal oxides have good ORR and OER properties, they usually exhibit poor  
21 conductivity in batteries. Rational design of air electrode composed of desirable metal  
22 oxides and carbon materials could significantly improve the electrocatalytic activity



1 and further extend the cycle life of metal-air batteries, which is an emerging area for  
 2 future research.

3



4  
 5 **Fig. 12** (a) Schematic and reaction mechanism of the LABs with CoII-salen in the  
 6 electrolyte during discharge and charge process. (b) Cyclability comparison with the  
 7 controlled capacity of 1000 mAh g<sup>-1</sup> (0.30 mAh cm<sup>-2</sup>) at 500 mA g<sup>-1</sup> (0.15 mA cm<sup>-2</sup>)  
 8 [156]. Copyright 2017, American Chemical Society. (c) TEM image of RuO<sub>2</sub>-  
 9 decorated CTPPy. The inset represents the lattice fringes of RuO<sub>2</sub> nanoparticle [157].  
 10 Copyright 2017, Elsevier. (d) Scheme showing the bifunctional activity and structural  
 11 confinement of NiFeO@MnOx core-shell structured catalysts for OER and ORR [158].  
 12 Copyright 2017, American Chemical Society.

### 1 3.1.4. Perovskite-based catalysts

2 Among bifunctional catalysts, perovskite oxides have high ionic/electronic  
3 conductivity. It can solve the problem of insufficient conductivity of metal oxides.  
4 Moreover, compared to traditional metal oxides, the specific structure of perovskite  
5 oxides can provide rich oxygen vacancies because the  $ABO_3$  structure allows elemental  
6 substitution at A and/or B sites over a wide composition range [159]. These perovskite  
7 oxides possess desirable catalytic performance without the need of carbon hosts owing  
8 to the ease of crystal structure adjustment and reversal of crystal defects [160]. Oxygen  
9 vacancies can enhance the transfer of oxygen ions, and the optimized chemical  
10 composition can improve ORR and OER performance [161, 162]. The abovementioned  
11 characteristics make them highly suitable for metal-air batteries.

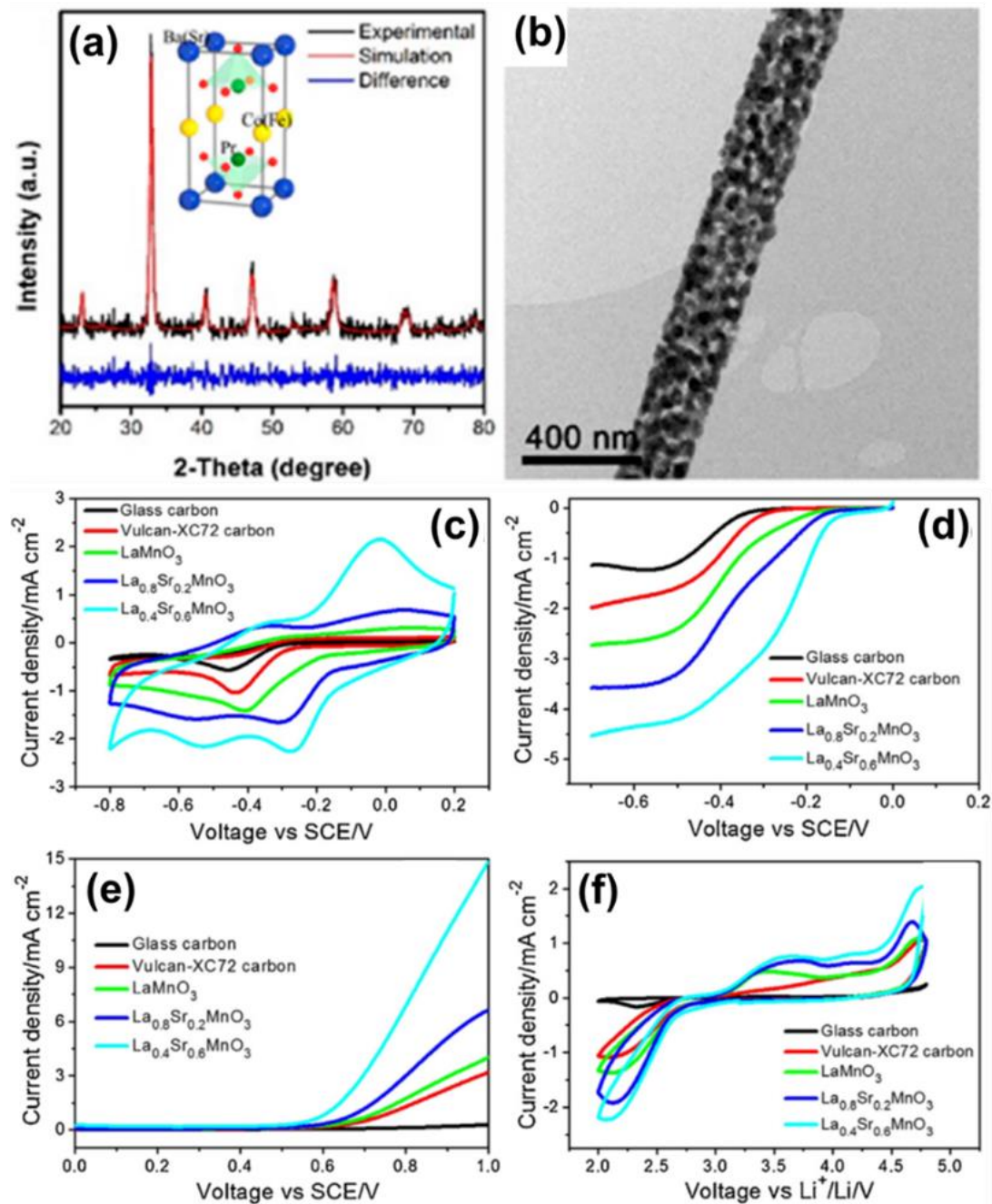
12 Sunarso et al. [163] illustrated that  $LaCoO_3$  exhibited enhanced catalytic activity  
13 and rechargeable stability for metal-air batteries. Xu et al. [164] prepared porous  
14  $La_{0.75}Sr_{0.25}MnO_3$  nanotubes with high surface areas through electrospinning.  
15  $La_{0.75}Sr_{0.25}MnO_3$  nanotubes prolong the cycling life and lower the overvoltage of  
16 lithium-oxygen batteries.

17 Xue et al. [165] synthesized strontium-doped  $(La_{1-x}Sr_x)_{0.98}MnO_3$  (LSM,  $x = 0.2-$   
18  $0.5$ ) perovskites with the A-site deficiencies, Mn valence and the O species by a  
19 modified solid-liquid method. The AAB with 50% LSM exhibited the power density of  
20  $191.3 \text{ mW cm}^{-2}$ . Gong et al. [166] proposed that perovskite  $LaNiO_3$  is an efficient  
21 bifunctional catalyst for OER and ORR. They showed that  $LaNiO_3$  display substantially

1 reduced discharge/charge voltage gap of 0.77 V at 50 mA g<sup>-1</sup> with decent rate capability  
2 and considerable cycle stability.

3 The catalytic activity of perovskite can be further improved by controlling the  
4 electronic structure of perovskite via element doping. Bu et al. [167] prepared  
5 mesoporous (5-10 nm) nanofibers (300 nm) of various cation-ordered  
6 PrBa<sub>0.5</sub>Sr<sub>0.5</sub>Co<sub>2-x</sub>Fe<sub>x</sub>O<sub>5+δ</sub> ( $x = 0, 0.5, 1, 1.5, \text{ and } 2$ ) perovskites via electrospinning. The  
7 synthesized PrBa<sub>0.5</sub>Sr<sub>0.5</sub>Co<sub>2-x</sub>Fe<sub>x</sub>O<sub>5+δ</sub> possesses tetragonal (P4/mmm) structure with  
8 lattice parameters of  $a = 3.871 \text{ \AA}$  and  $c = 7.757 \text{ \AA}$  (Fig. 13a and Fig. 13b). The well-  
9 controlled B-site metal ratio and large surface area ( $\sim 20 \text{ m}^2 \text{ g}^{-1}$ ) of the mesoporous  
10 nanofiber result in high performance of the ORR and OER and stability in ZABs. Zhao  
11 et al. [168] prepared Sr-doped perovskite oxides La<sub>1-x</sub>Sr<sub>x</sub>MnO<sub>3</sub> ( $x = 0, 0.2, 0.6$ ) catalysts  
12 for the oxygen cathode in rechargeable LABs. These oxides possessed higher catalytic  
13 activities than that of the pure LaMnO<sub>3</sub> (Fig. 13c). La<sub>0.4</sub>Sr<sub>0.6</sub>MnO<sub>3</sub> had a lower  
14 overpotential between the OER and ORR than La<sub>0.8</sub>Sr<sub>0.2</sub>MnO<sub>3</sub> and LaMnO<sub>3</sub> (Figs. 13d–  
15 13f). The cell with La<sub>0.4</sub>Sr<sub>0.6</sub>MnO<sub>3</sub> as the catalyst can be cycled at a limited capacity of  
16 200 mAh g<sup>-1</sup> for 71 cycles. Although perovskite materials have exhibited desirable  
17 bifunctional catalytic activities and good conductivity, it is well known that the  
18 structural stability of perovskite has been the biggest obstacle to its application, which  
19 seriously affects the cycle performance of HSABs.

20



1  
 2 **Fig. 13** (a) Refined XRD profiles of the  $\text{PrBa}_{0.5}\text{Sr}_{0.5}\text{Co}_{2-x}\text{Fe}_x\text{O}_{5+\delta}$  nanofiber (PBSCF-  
 3 NF). (b) TEM image of the PBSCF-NF [167]. Copyright 2017, American Chemical  
 4 Society. (c) Cyclic voltammograms of glassy carbon, VC, and  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$  ( $x = 0,$   
 5  $0.2, 0.6$ ) tested in  $\text{O}_2$ -saturated  $0.1 \text{ mol L}^{-1}$  KOH solution at  $50 \text{ mV s}^{-1}$ . (d) ORR  
 6 (negative scan) polarization profiles of glassy carbon, VC,  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$  ( $x = 0, 0.2,$   
 7  $0.6$ ), and Pt/C at  $1600 \text{ rpm}$  and  $10 \text{ mV s}^{-1}$  in  $\text{O}_2$ -saturated  $0.1 \text{ mol L}^{-1}$  KOH solution.

1 (e) OER (positive scan) polarization profiles of glassy carbon, VC,  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$  ( $x =$   
2 0, 0.2, 0.6), and Pt/C at 1600 rpm. (f) Cyclic voltammograms of glassy carbon, VC, and  
3  $\text{La}_{1-x}\text{Sr}_x\text{MnO}_3$  ( $x = 0, 0.2, 0.6$ ) within a potential window of 2–4.8 vs  $\text{Li}^+/\text{Li}/\text{V}$  in  $\text{O}_2$ -  
4 saturated electrolyte containing  $1 \text{ mol L}^{-1}$   $\text{LiCF}_3\text{SO}_3$  in TEGDME at a scan rate of 10  
5  $\text{mV s}^{-1}$  and a rotating rate of 900 rpm [168]. Copyright 2017, Elsevier.

6

### 7 **3.1.5. Metal-organic framework-based catalyst**

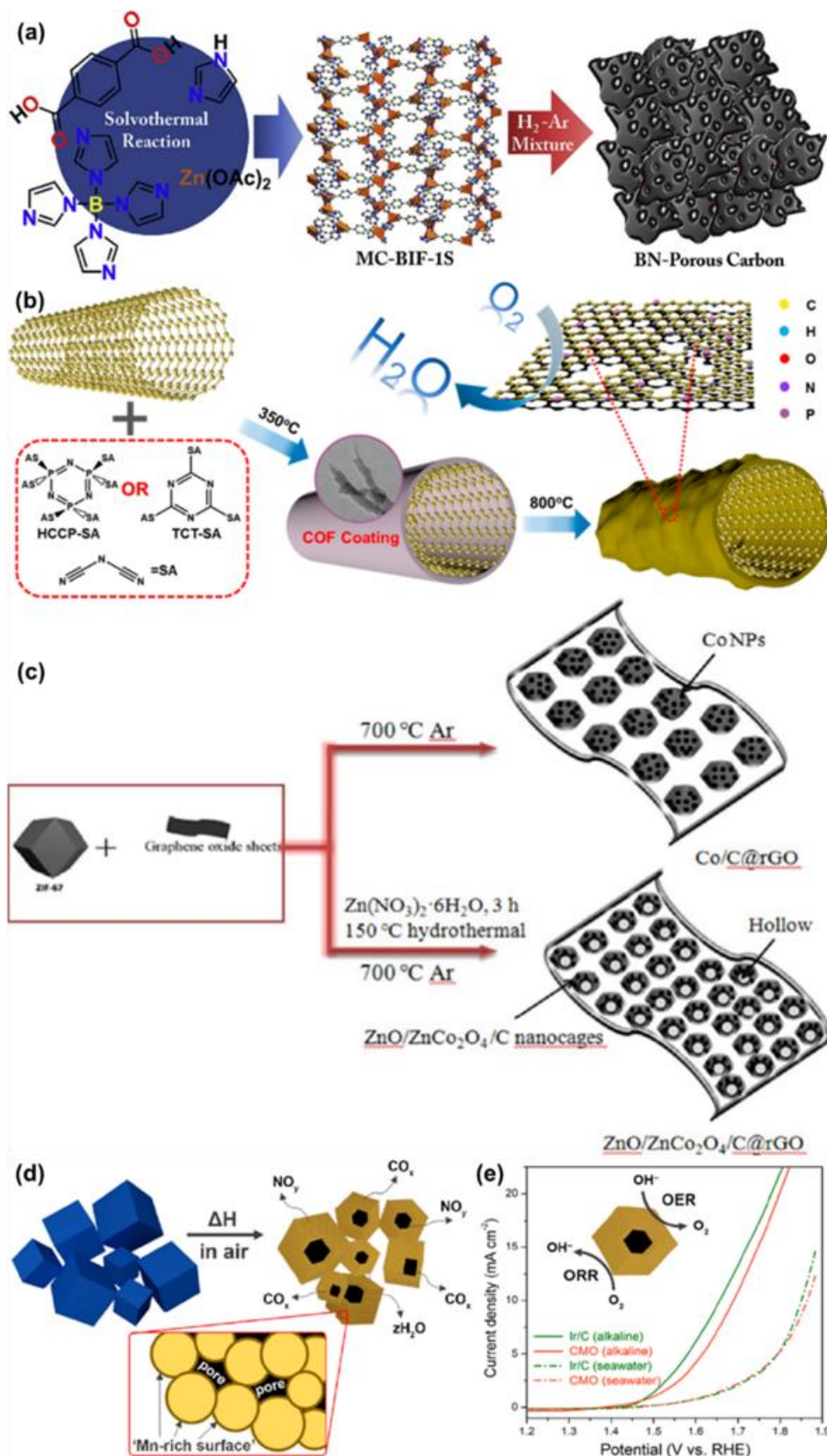
8 Recently, metal-organic frameworks (MOFs) have attracted increasing attention  
9 because of their fascinating architectures and excellent pore properties [169]. Previous  
10 research demonstrated the application of MOFs as precursors for the synthesis of  
11 porous carbon and metal oxides for gas storage and energy conversion and storage  
12 [170–172]. MOF-derived nanostructured materials are promising bifunctional  
13 electrocatalysts owing to their large specific surface area, robust morphology, and  
14 porosity [173]. Li et al. [174] fabricated an N-doped  $\text{Fe}/\text{Fe}_3\text{C}@$ graphitic layer/CNT  
15 hybrid derived from MOFs. This hybrid exhibited outstanding bifunctional  
16 electrocatalytic activity for ORR and OER due to the graphitic layer/CNT structures  
17 with highly active N and  $\text{Fe}/\text{Fe}_3\text{C}$  sites. The performance of MOFs derivatives can be  
18 further improved by controlling their composition and structure. Zhu et al. [175]  
19 reported an innovative approach for synthesizing N and S co-doped honeycomb-like  
20 porous carbon immobilizing  $\text{Co}_9\text{S}_8$  NPs using an Al-based MOF (MIL-101-NH<sub>2</sub>),  
21 resulting in highly efficient catalytic activity for ORR and high durability under alkaline  
22 conditions. Dou et al. [176] prepared a highly efficient bifunctional electrocatalyst Co-

1 embedded CNT/porous carbon (originated from ZIF-67) toward the ORR and OER. It  
2 showed satisfactory cycling stabilities in the ZAB at  $2 \text{ mA cm}^{-2}$  after 100 cycles and  
3 the potential attenuations of the ORR and OER are lower than 10%.

4 Qian et al. [177] synthesized dual-doped and metal-free porous carbon materials  
5 with high specific surface areas as efficient ORR/OER bifunctional electrocatalysts by  
6 pyrolyzing a MOF containing Zn, N, and B (Fig. 14a). The resultant carbon materials  
7 exhibited high ORR and OER catalytic activities. This finding indicated that MOFs  
8 could be used as precursors for the synthesis of metal-free ORR/OER bifunctional  
9 cathodic electrocatalysts with excellent potential in rechargeable ZABs. Li et al. [178]  
10 synthesized N, P-containing MOF coated on CNTs as high-performance catalysts (Fig.  
11 14b). The MOF coating played essential roles in (1) accelerating mass and charge  
12 transfer, (2) promoting ORR activity, and (3) facilitating the formation of numerous  
13 catalytic active sites on the CNTs, which exhibited improved stability that is  
14 comparable to that of Pt/C cathode for ZABs. In addition, another advantage of MOFs-  
15 based catalysts is that their composition and structure can be designed according to  
16 requirements. Liu et al. [179] synthesized an rGO-supported  $\text{ZnO/ZnCo}_2\text{O}_4$  NP-  
17 embedded carbon hollow nanocage ( $\text{ZnO/ZnCo}_2\text{O}_4/\text{C}@r\text{GO}$ ) hybrid by using a ZIF-  
18 67/GO/zinc nitrate composite as the precursor (Fig. 14c). The nanocomposite material  
19 exhibited excellent electrocatalytic activity toward ORR and superior stability under  
20 alkaline conditions. Abirami et al. [56] applied MOF-derived porous Co manganese  
21 oxide (CMO) nanocubes as efficient bifunctional electrocatalysts in rechargeable  
22 seawater HSABs (Fig. 14d). The improved performance was due to the large surface

1 areas and high oxidation states of the randomly distributed Co and Mn cations in the  
2 CMO nanocubes (Fig. 14e).

3 MOF-based catalysts can be efficient bifunctional electrocatalysts owing to their  
4 high specific surface areas and porosities, which can be easily controlled by optimizing  
5 the thermal annealing treatment [180, 181]. To further enhance the electrocatalytic  
6 performance of MOF-based catalysts, further research can be conducted to optimize the  
7 porosity of MOF-derived carbon host. However, MOF-based catalysts also face some  
8 shortcomings, such as the complex synthesis process, high cost, low yield of the  
9 prepared catalyst from the MOF precursors. Therefore, the exploration of simple, large-  
10 scale, and cost-effective approach to synthesize desirable MOF-based bifunctional  
11 catalysts is necessary for the development of high-performance HSABs.



1

2 **Fig. 14** (a) Synthetic scheme of BNPCs [177]. Copyright 2017, Elsevier. (b) Illustration

3 of N,P-C preparation [178]. Copyright 2017, American Chemical Society. (c)



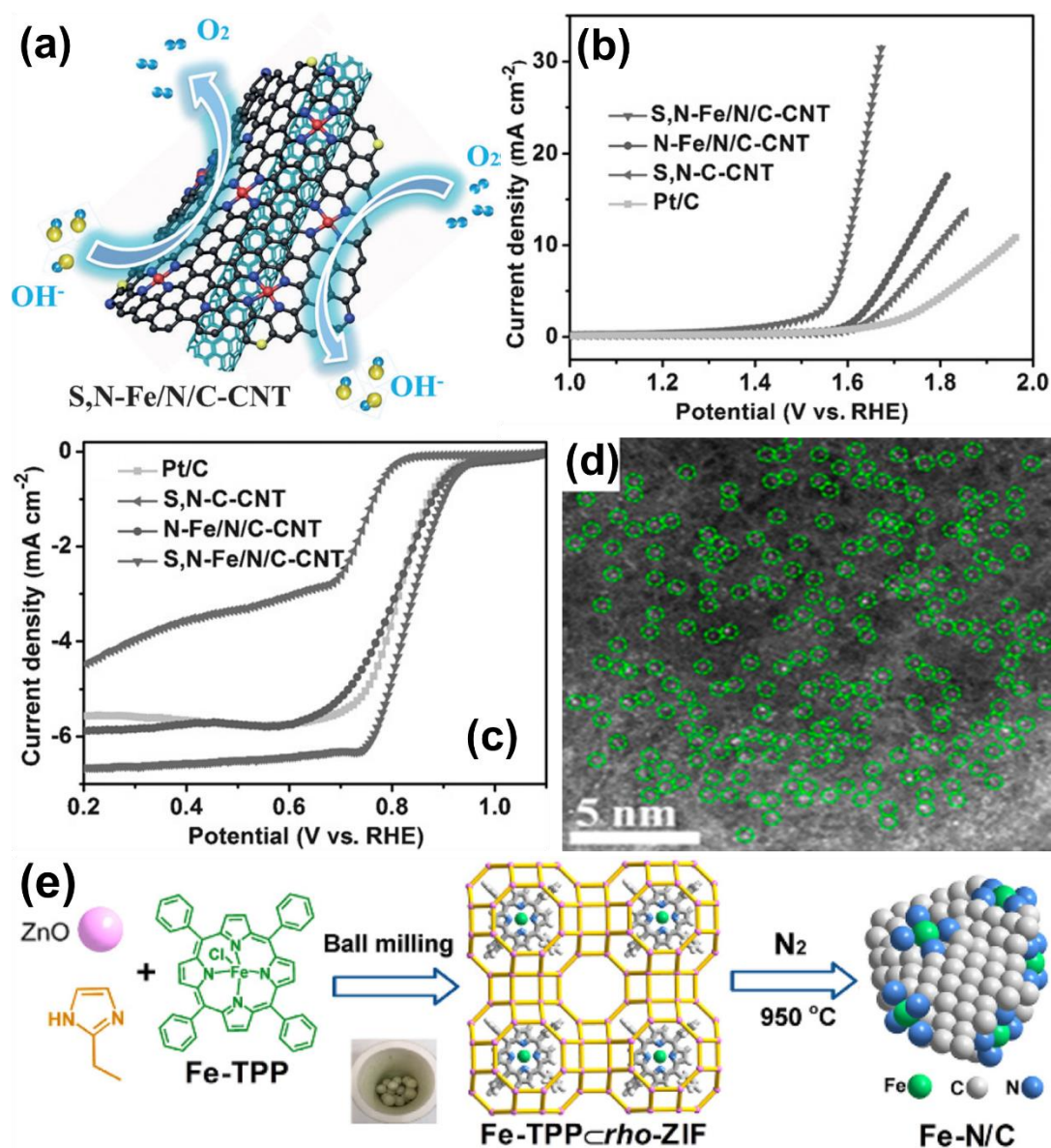
1 Schematic of the synthesis of Co/C@rGO and ZnO/ZnCo<sub>2</sub>O<sub>4</sub>/C@rGO hybrids [179].  
2 Copyright 2017, American Chemical Society. (d) Schematic of the morphological  
3 evolution of porous CMO nanocubes by air annealing. (e) Anodic polarization curves  
4 for the OER measured in 0.1 M NaOH (solid) and seawater (dash) of the CMO catalyst  
5 compared to 20 wt.% Ir/C [56]. Copyright 2016, American Chemical Society.

6

### 7 **3.1.6. Single-atom catalyst**

8 The cost and efficiency of catalysts are crucial factors for practical applications.  
9 For this purpose, single-atom catalysts (SACs) may be a breakthrough point in the  
10 electrocatalysis community due to their outstanding advantage of maximum atom  
11 utilization efficiency [182]. Early to 1990s, the first preparation of SACs was reported  
12 based on silica-supported zirconium and tantalum complexes by Lecuyer's group [183].  
13 SACs supported carbon materials are becoming one of the most promising candidates  
14 [184, 185]. For example, carbon materials have good electrical conductivity and  
15 provide anchoring sites for SACs, manipulating the charge density and electronic  
16 structure of the metal atoms due to strong interfacial interactions of metal atoms and  
17 carbon atoms [186]. Recently, SACs of some transition metals such as iron, cobalt and  
18 nickel, supported on carbon substrates have emerged as a promising and low-cost  
19 electrocatalysts because of maximal atom utilization ( $\approx 100\%$ ) and high efficiency for a  
20 range of reactions involved in electrochemical energy conversion and storage, such as  
21 the oxygen reduction, oxygen evolution, etc [186]. Such attributes may be exploited for  
22 the enhancement of the electrocatalytic performance due to the lower binding energy

1 caused by shorter bond length. Chen et al. [187] designed the atomically dispersed iron-  
2 nitrogen sites supported on hierarchical carbon nanotubes co-decorated with nitrogen  
3 and sulfur (S,N-Fe/N/C-CNT) for ZABs(Fig. 15a). The polarization curve of the S,N-  
4 Fe/N/C-CNT catalyst exhibited a small overpotential of 370 mV at a current density of  
5 10 mA cm<sup>2</sup> (Fig. 15b), having a half-wave potential of 0.85 V (Pt/C=0.82 V). A high  
6 limiting current density of 6.68 mAcm<sup>-2</sup> was obtained at a potential of 0.2 V (Fig. 15c).  
7 Han et al. [188] performed aberration-corrected high angle annular dark-field scanning  
8 transmission electron microscopy (AC-HAADF-STEM) measurements to elucidate the  
9 existing form of isolated cobalt atoms anchored on hollow N-doped carbon spheres  
10 (ISAS-Co/ HNCS, Fig. 15d).



1

2 **Fig. 15** (a) Scheme for S,N-Fe/N/C-CNT, (b) IR-corrected OER polarization curves,

3 and (c) ORR polarization curves of S,N-Fe/N/C-CNT, N-Fe/ N/C-CNT, S,N-C-CNT

4 samples, and commercial Pt/C, in 0.1m KOH solution [187]. Copyright 2016, Wiley.

5 (d) AC HAADF-STEM image of ISAS-Co/HNCS; isolated bright dots marked with

6 light-green circles are cobalt atoms [188]. Copyright 2017, American Chemical Society.

7 (e) The schematic illustration for the non-solution fabrication process of Fe-N/C [189].

8 Copyright 2018, Elsevier.

1 Very recently, Xu et al. [190] reported a rational design of highly efficient and  
2 cost-effective SACs, revealing that the local structural and chemical environment of the  
3 active centers can serve as a universal descriptor to predict the ORR and OER activities.  
4 Zhu et al. [191] synthesized the Fe–N-doped carbon nanotube aerogels (Fe-N-CNTAs)  
5 using tellurium nanowires as hard templates in the presence of nitrogen-containing  
6 small molecule and inorganic salt for ZABs. It showed high ORR activity and much  
7 better stability than the Pt/C catalysts in alkaline medium. Shen et al. [192] synthesized  
8 a sulfur-doped Fe/N/C electrocatalyst (Fe/SNC) by a template casting method to  
9 produce high-density atomically dispersed Fe@N@C sites that outperforms  
10 commercial Pt/C for the ORR in alkaline solutions. The Fe/SNC catalyst has a high  
11 half-wave potential of 0.77 V and Pt/C-comparable stability. Especially, MOFs have  
12 been extensively used as a template to synthesize SACs [189, 193, 194]. Wei et al. [189]  
13 prepared a new precursor of host-guest Fe-TPP@rho-ZIF (FeTPP =  
14 tetraphenylporphyrin iron; rho-ZIF = zeolitic imidazolate framework with the rho  
15 topology) by the one-pot mechanochemical method, that was pyrolyzed into Fe-N/C  
16 SACs for ZABs (Fig. 15e). The catalyst showed high ORR activity, good methanol  
17 tolerance and high durability. These studies concluded that low-cost SACs are  
18 undoubtedly the potential catalyst for air electrode in metal-air batteries because of their  
19 high utilization rate and catalytic performance [194-196].

### 21 3.1.7. Others

1 In addition to carbon-based, metal-based, transition metal oxide-based,  
2 perovskite-based, MOF-based catalysts and SACs, molybdenum sulfides ( $\text{MoS}_2$ ) and  
3 their composite materials have been demonstrated as an effective catalyst for metal-air  
4 batteries. As a representative layered structure of 2D S–Mo–S layers,  $\text{MoS}_2$  presents  
5 exotic structure-property relationship in wide applications, such as transistors,  
6 electrochemical hydrogen storage, and batteries [197]. Nevertheless, one of the key  
7 challenges associated with  $\text{MoS}_2$  materials is the sluggish reaction kinetics, which  
8 restricts active material utilization [198]. Several approaches have been exploited to  
9 enhance the electrocatalytic activity of  $\text{MoS}_2$  by controlling its atomic composition.

10 Zhang et al. [199] reported a novel and effective strategy to distort the lattice  
11 structure by constructing a metastable  $\text{MoSSe}$  solid solution and tune its catalytic  
12 activity. When evaluated as an oxygen electrode for deep-cycle Li– $\text{O}_2$  batteries, the  
13 metastable  $\text{MoSSe}$  solid solution delivered a high specific capacity of  $\sim 730 \text{ mAh g}^{-1}$   
14 with stable discharge–charge overpotential of 0.49 V in 30 cycles. Cui et al. [200]  
15 demonstrated a novel and highly efficient bimetallic carbide-based bifunctional catalyst,  
16 consisting of iron-molybdenum carbide ( $\text{Fe}_3\text{Mo}_3\text{C}$ ) and IrMn nanoalloys.  $\text{Fe}_3\text{Mo}_3\text{C}$  acts  
17 as a highly efficient electrocatalyst for ORR and robust support that is chemically stable  
18 in alkaline media and over the potential range for ORR and OER. ZABs with  
19 IrMn/ $\text{Fe}_3\text{Mo}_3\text{C}$  air electrode exhibits long-term cycling performance of over 200 h with  
20 high efficiency (56.1%).

21

22

## 1 **3.2. Preparation approaches**

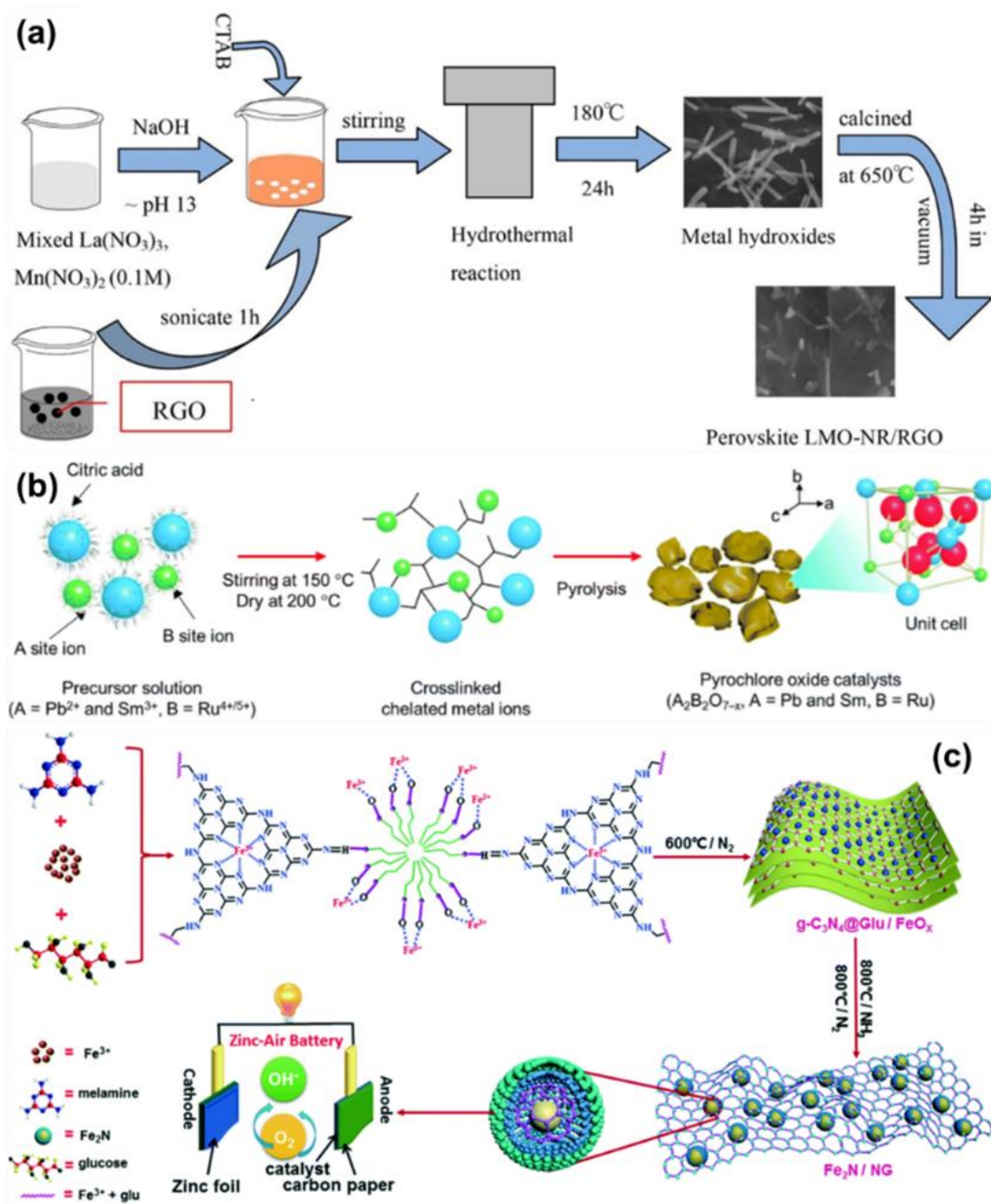
### 2 **3.2.1. Wet-chemistry method**

3 Numerous electrocatalysts have been produced for metal-air batteries through  
4 various synthesis methods, such as the hydrothermal method [201], solvothermal  
5 method [202], sol-gel method [203], precipitation method [204], template method [205],  
6 and the combination of these methods [204, 207]. These methods offer different  
7 advantages. For instance, the hydrothermal method provides an effective means to  
8 control catalyst morphology, whereas the sol-gel process can obtain homogeneous  
9 powder catalysts. The precipitation method offers the scale-up capability to prepare  
10 simple and controllable features. The template method can tailor advanced structures to  
11 meet the requirements. Examples of traditionally synthesized catalysts are highlighted  
12 to provide technical guidance for the synthesis of HSAB catalysts.

13 Hu et al. [208] synthesized perovskite  $\text{LaMnO}_3$  nanorod/reduced graphene oxides  
14 (LMO-NR/RGO) through a simple hydrothermal reaction (Fig. 16a), and Ag-decorated  
15 LMO-NR/RGO composites were obtained through a traditional silver mirror reaction.  
16 Ag/LMO-NR/RGO acted as an effective catalyst for ORR and OER in an alkaline  
17 medium with enhanced electrochemical durability owing to the synergistic effect of the  
18 three components. Using the sol-gel method, Park et al. [209] presented highly pure  
19 single crystalline pyrochlore NPs with metallic conduction ( $\text{Pb}_2\text{Ru}_2\text{O}_{6.5}$ ) as an efficient  
20 bifunctional oxygen electrocatalyst. In the sol-gel process (Fig. 16b), the A- and B-site  
21 cations were crosslinked using citric acid as the chelating agent. Then, the pyrochlore  
22 oxides were crystallized using a heat treatment method at 650 °C for  $\text{Pb}_2\text{Ru}_2\text{O}_{6.5}$  and

1 1050 °C for  $\text{Sm}_2\text{Ru}_2\text{O}_7$ .  $\text{Pb}_2\text{Ru}_2\text{O}_{6.5}$  exhibited outstanding bifunctional catalytic  
2 activities and stabilities for ORR and OER in aqueous ZABs.

3 Senthilkumar et al. [21] synthesized bifunctional noble metal-free  $\text{Co}_3(\text{PO}_4)_2$   
4 nanostructures through the facile precipitation technique and evaluated their  
5 electrocatalytic activities.  $\text{Co}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  and  $\text{NH}_4\text{H}_2\text{PO}_4$  were dissolved in distilled  
6  $\text{H}_2\text{O}$ . NaOH aqueous solution (1 M) was added, and the pH was adjusted to 7. After 5  
7 h of stirring, the precipitate was collected and dried at 80 °C overnight. After calcination  
8 at 700 °C for 5 h, the pure phase of  $\text{Co}_3(\text{PO}_4)_2$  was obtained. As an electrocatalyst,  
9  $\text{Co}_3(\text{PO}_4)_2$  delivered low overpotential of 0.59 V, and its round trip energy efficiency  
10 reached 83%. The SAB exhibited stable cycle performance of up to 50 cycles. Chen et  
11 al. [210] reported an easy and effective soft-template method to in-situ encode  $\text{Fe}_2\text{N}$   
12 NPs on the surface of N-doped graphene-like carbon (NC; Fig. 16c). The prepared  $\text{Fe}_2\text{N}$   
13 NPs were covered with a few carbon layers, which promoted the connection of Fe–NX  
14 clusters with graphene to facilitate the formation of Fe–N–C active sites. The Fe–NX  
15 and NC units enabled different catalytic functionalities and resulted in excellent  
16 catalytic performance.



1

2 **Fig. 16** (a) Schematic of the hydrothermal synthesis of LMO-NR/RGO [208].

3 Copyright 2017, Elsevier. (b) Preparation and characterization of the morphologies and

4 structures of highly pure single crystalline pyrochlore oxide NPs ( $\text{A}_2\text{B}_2\text{O}_{7-x}$ , A = Pb and

5 Sm, B = Ru). Schematic of the preparation of highly pure single crystalline pyrochlore

6 oxide NPs. During the sol-gel process, A-site cations (blue spheres) are crosslinked

7 with B-site cations (green spheres) by citric acid (black lines). After heat treatment at



1 different temperatures (650 °C for  $\text{Pb}_2\text{Ru}_2\text{O}_{6.5}$  and 1050 °C for  $\text{Sm}_2\text{Ru}_2\text{O}_7$ ), the  
2 powdered pyrochlore members (red-brown solid figures) are synthesized [209].  
3 Copyright 2017, Royal Society of Chemistry. (c) Schematic of the preparation and  
4 features of  $\text{Fe}_2\text{N}@NC$  [210]. Copyright 2017, Royal Society of Chemistry.

5

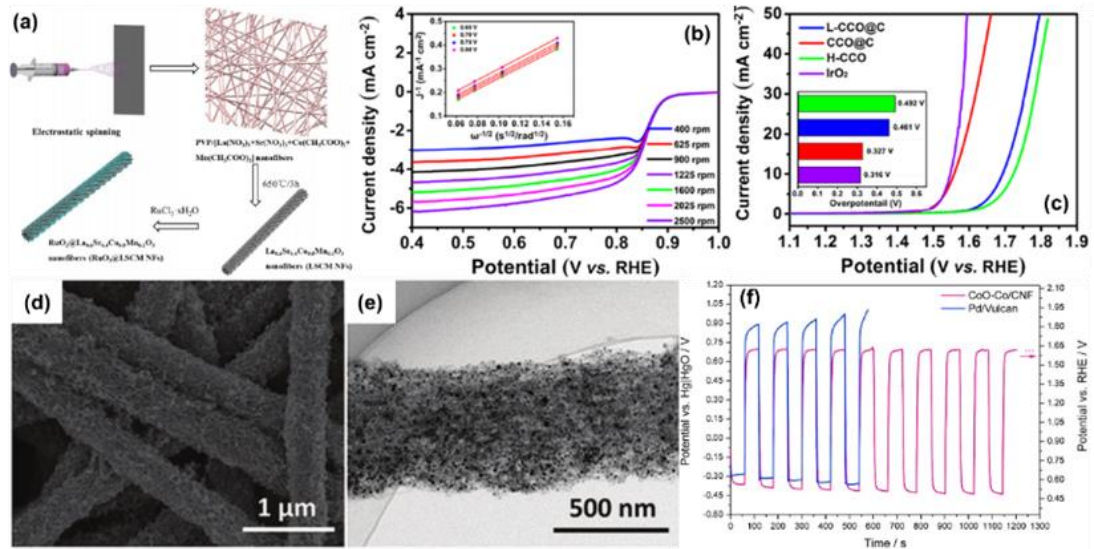
### 6 **3.2.2. Electrospinning**

7 Compared with traditional wet-chemistry methods, electrospinning is a simple and  
8 effective technology that offers greater advantages in the design of materials structure  
9 and morphology without using any template [211, 212], having applications in  
10 biomedical materials, filtration and protection, catalysis, energy, food engineering,  
11 cosmetics and polymer electrolyte membrane in fuel cell [213-215]. Generally, the  
12 structures and morphologies of products are highly dependent on the molecular weights  
13 of the polymer, solution properties (concentration, viscosity, conductivity, surface  
14 tension, and liquid flow), electromotive force, distance between capillary and collection  
15 screen, and environmental parameters (temperature, humidity, and indoor air flow rate)  
16 [216–218]. Liu et al. [219] prepared 1D  $\text{FeVO}_4$  nanobelts with a width of about 400 nm  
17 through a simple electrospinning process followed by calcination. Wang et al. [220]  
18 synthesized a branch-like hierarchical  $\gamma\text{-Bi}_2\text{MoO}_6$  nanostructure and 1D  $\text{Bi}_2\text{Mo}_x\text{W}_{1-x}\text{O}_6$   
19 ( $x = 0, 0.2, 0.5, 0.67, \text{ and } 1$ ) [221] by combining electrospinning and sintering  
20 techniques. Cao et al. [222] prepared a 3D hierarchical porous CNFs with different  
21 loading amounts of Co through electrospinning combined with a thermal annealing  
22 process. Wang et al. [223] designed a novel nanofibrous bifunctional electrocatalyst

1 film, consisting of metallic manganese sulfide and Co encapsulated by N-doped CNFs  
2 (CMS/NCNFs), using a continuous electrospinning method followed by carbonization.  
3 The CMS/NCNF bifunctional catalyst exhibited ORR and OER performance that is  
4 comparable to those of commercial precious metal-based catalysts.

5 Especially in the design of one-dimensional nanomaterials, electrospinning  
6 technology has more obvious advantages. Zhang et al. [224] prepared porous ultra long  
7  $\text{La}_{0.6}\text{Sr}_{0.4}\text{Co}_{0.8}\text{Mn}_{0.2}\text{O}_3$  nanofibers (LSCM NFs) through electrospinning (Fig. 17a).  
8  $\text{RuO}_2$  NPs were deposited on the surface of LSCM NFs by chemical impregnation. The  
9  $\text{Li-O}_2$  cell with  $\text{RuO}_2$ @LSCM NF catalyst exhibited high specific discharge capacity  
10 ( $12741.7 \text{ mAh g}^{-1}$ ), improved cyclability and rate capability, and low voltage gap (0.79  
11 V at  $10 \text{ mA cm}^{-2}$ ). Wang et al. [225] produced a mesoporous N-doped thin-walled  
12  $\text{CuCo}_2\text{O}_4$ @C nanotubes by electrospinning technique. As a bifunctional oxygen  
13 electrocatalyst for ZABs, the as-prepared  $\text{CuCo}_2\text{O}_4$ @C with a specific surface area of  
14  $514 \text{ m}^2 \text{ g}^{-1}$  exhibited a positive half-wave potential of 0.85 V for the ORR and a low  
15 overpotential of 327 mV at  $10 \text{ mA cm}^{-2}$  for the OER (Fig. 17b, c). Zhao et al. [226]  
16 developed an in-situ growth method to produce 1D structure-controllable zeolitic  
17 imidazolate frameworks (ZIFs)/polyacrylonitrile (PAN) core/shell fiber (PAN@ZIFs)  
18 through electrospinning. The fiber diameter (after pyrolysis) was 500 nm, with <20 nm  
19 metal particles existed in the interior of the optimized catalyst (Figs. 17d and 17e),  
20 which exhibited prominent bifunctional ORR/OER catalytic activity and durability.  
21 Through electrospinning, Alegre et al. [227] synthesized CNFs with cobalt oxide and  
22 Co (CoO-Co/CNFs) as bifunctional air electrodes for metal-air batteries. These CoO-

1 Co/CNFs exhibited polarization at high electrochemical potentials (2 V vs RHE), rapid  
 2 charge-discharge cycles at  $\pm 80 \text{ mA cm}^{-2}$ , and long durability through charging for 12 h  
 3 at  $60 \text{ mA cm}^{-2}$  and discharging for 8 h at  $-80 \text{ mA cm}^{-2}$  (Fig. 17f).



4 **Fig. 17** (a) Schematic of the synthesis of LSCM NFs and RuO<sub>2</sub>@LSCM NFs [224].  
 5 Copyright 2017, American Chemical Society. (b) ORR polarization curves of the  
 6 CCO@C nanotubes at different rotation speeds and the corresponding K–L plots at  
 7 different potentials (inset). (c) OER polarization curves of L-CCO@C, CCO@C, H-  
 8 CCO, IrO<sub>2</sub>, and the overpotential schematic at  $10 \text{ mA cm}^{-2}$  (inset) [225]. Copyright 2017,  
 9 American Chemical Society. (d) SEM and (e) TEM images of CNF@Zn/CoNC [226].  
 10 Copyright 2018, Elsevier. (f) Charge/discharge cycles at  $\pm 80 \text{ mA cm}^{-2}$  of the assessed  
 11 catalysts [227]. Copyright 2017, Elsevier.

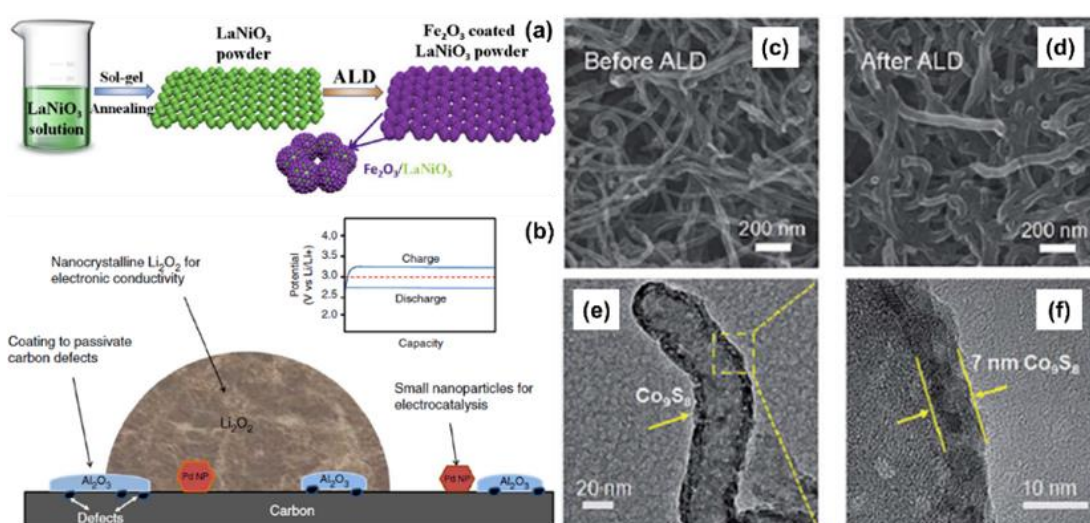
### 14 3.2.3. Atomic Layer Deposition

15 Atomic layer deposition (ALD) is an advanced deposition technique for preparing  
 16 ultrathin films and low-dimensional nanomaterials, which employs self-limiting

1 chemical reactions to control film thickness and composition [228]. Uniform,  
2 conformal and permeable coatings can be formed on the surfaces with complex  
3 topographies [229]. ALD is ideal for designing highly efficient and durable catalysts  
4 for batteries [230]. Numerous metal oxides, such as  $\text{Al}_2\text{O}_3$ ,  $\text{ZnO}$ ,  $\text{ZrO}_2$ ,  $\text{TiO}_2$ , and  $\text{Fe}_2\text{O}_3$ ,  
5 have been researched using ALD [231, 232]. Lei et al. [233] first demonstrated the ALD  
6 of Pd NPs on a porous carbon support as a catalyst for LABs. Xie et al. [234] reported  
7 the ALD of Ru NPs on the 3D nanostructured  $\text{TiSi}_2$  substrate as a high-performance  
8 catalyst for LABs. Schroeder et al. [235] reported the ALD of  $\text{RuO}_2$  on multiwalled  
9 CNT sponge substrates as long life air electrodes for LABs. Cheng et al. [236, 237]  
10 reported the ALD of stabilized Pt/ZrC and Pt/ $\text{ZrO}_2$  electrocatalysts for ORR in fuel cells.  
11 They also reported a novel hierarchical nanostructured  $\text{Co}_3\text{O}_4$  decorated on CNTs by  
12 ALD ( $\text{CNT}@\text{Co}_3\text{O}_4$ ) and used it as a catalyst for SABs [115]. Well-dispersed ALD of  
13  $\text{Co}_3\text{O}_4$  on CNTs served as functionalized active sites and enabled rapid electron  
14 exchange and high oxygen reduction/evolution activities

15 Different types of catalysts can be designed by ALD technology, including oxides,  
16 carbon materials, perovskite, and their composites. Gong et al. [166] proposed an  
17 integrated ALD iron oxide and perovskite  $\text{LaNiO}_3$  as a novel catalyst for OER and ORR.  
18 Fig. 18a illustrates the fabrication of the integrated  $\text{LaNiO}_3$ - $\text{Fe}_2\text{O}_3$  core/shell structure.  
19 The  $\text{LaNiO}_3$  particles aggregated and formed a continuous 2D network structure via the  
20 ALD preparation. The synthesized 2D network is highly suitable for electrode structure  
21 in Li- $\text{O}_2$  batteries because the interconnected channels can benefit electronic and ionic  
22 transportation. Lu et al. [238] prepared a cathode that utilized the ALD of Pd NPs on a

1 carbon surface with an alumina coating for the passivation of carbon defect sites (Fig.  
 2 18b), achieving a low charge overpotential of 0.02 V. Li et al. [239] synthesized a novel  
 3 and efficient bifunctional OER/ORR catalyst of  $\text{Co}_9\text{S}_8/\text{CNT}$  through ALD (Figs. 18c  
 4 and 18d). TEM results revealed that a uniform 7 nm  $\text{Co}_9\text{S}_8$  layer was conformally  
 5 coated on the entire CNT, demonstrating that the ALD coating layer was highly uniform  
 6 and conformal (Figs. 18e and 18f).



7  
 8 **Fig. 18** (a) Fabrication schematics of integrated ALD- $\text{Fe}_2\text{O}_3/\text{LaNiO}_3$  catalyst with  
 9 core/shell structure [166]. Copyright 2018, Elsevier. (b)  $\text{Al}_2\text{O}_3$  coating, palladium NPs,  
 10 and nanocrystalline lithium peroxide decrease overpotential. Inset shows a hypothetical  
 11 charge/discharge voltage profile versus capacity [238]. Copyright 2014, Springer. SEM  
 12 images of (c) uncoated CNTs and (d) ALD  $\text{Co}_9\text{S}_8/\text{CNT}$ . (e and f) TEM images of an  
 13 ALD  $\text{Co}_9\text{S}_8$ -coated CNT [239]. Copyright 2017, Royal Society of Chemistry.

14  
 15 Various synthesis approaches can be selected according to the requirements of the  
 16 catalysts for HSABs. The following principles can be applied in the selection: (1) the

1 hydrothermal approach provides an ease of controlling of the morphology; (2) the sol-  
2 gel approach offers homogeneous uniform at molecular-level for powder catalysts; (3)  
3 the precipitation approach is simple and mass-scalable; and (4) the template,  
4 electrospinning, and ALD approaches enable the design of advanced structures. In  
5 electrospinning, the structures and morphologies of the products can be optimized by  
6 adjusting polymer molecular weight, solution properties, electromotive force, the  
7 distance between capillary and collection screen, and environmental parameters.  
8 Meanwhile, ALD approach not only enables highly uniform and conformal coatings on  
9 the surfaces of conductive current collectors with complex topographies, but also  
10 allows infiltration on mesoporous materials. The structure and dimension control of  
11 bifunctional catalysts by electrospinning and ALD technology will be an important way  
12 to improve their activity and utilization. It is anticipated that ALD technique is ideal for  
13 designing highly efficient and durable catalysts for metal-air batteries. The future  
14 development of next-generation high-performance HSABs could be realized by  
15 combining various synthesis methods for obtaining catalysts with superior structural  
16 and electrocatalytic properties.

17

## 18 **4. Perspectives on HSABs**

### 19 **4.1. Anode**

20 In HSABs, Na metal anode plays a critical role in the performance and safety issue  
21 of the batteries. Studies have shown that Na dendrite growth is the key factor to affect  
22 the lifetime of HSABs. Moreover, the dissolution and the precipitation rates of Na metal

1 during fast charging and discharging processes directly affect the performance of  
2 HSABs. Therefore, protection of Na metal by traditional methods such as surface  
3 treatment of Na anode and electrolyte modification for high-rate performance HSABs  
4 will be an important research direction. Our study in suppression of dendritic lithium  
5 formation in Li-ion battery suggested that construction of porosity in metallic anode  
6 may be an effective method for increasing its specific surface area, which may  
7 accelerate the dissolution and the precipitation of metallic anode in electrochemical  
8 processes, leading to inhibition of the growth of the dendrites [240]. Very recently,  
9 our study [22] have proved that liquid-Na anode can effectively improve the high-rate  
10 performance and the reversibility of HSABs. These studies may provide new insights  
11 into tackling the growth of Na dendrite at the high-rate performance of HSABs.

12

## 13 **4.2. Electrolyte**

14 The electrolyte in HSABs is divided into the aqueous electrolyte and aprotic  
15 electrolyte. The aqueous electrolyte is NaOH solution and its concentration is mostly  
16 0.1 M or 1 M. How the higher concentration of aqueous electrolyte affects the charge-  
17 discharge voltage profile of HSABs, that has not been clearly investigated. As the  
18 selection of aprotic electrolyte in HSABs has been followed from the traditional SABs,  
19 the development of a new electrolyte system is necessary. In particular, the influence of  
20 various electrolyte additives on battery charge-discharge voltage profile, battery  
21 polarization, the formation of good SEI film and battery stability are critical areas for  
22 future research on high-performance HSABs.

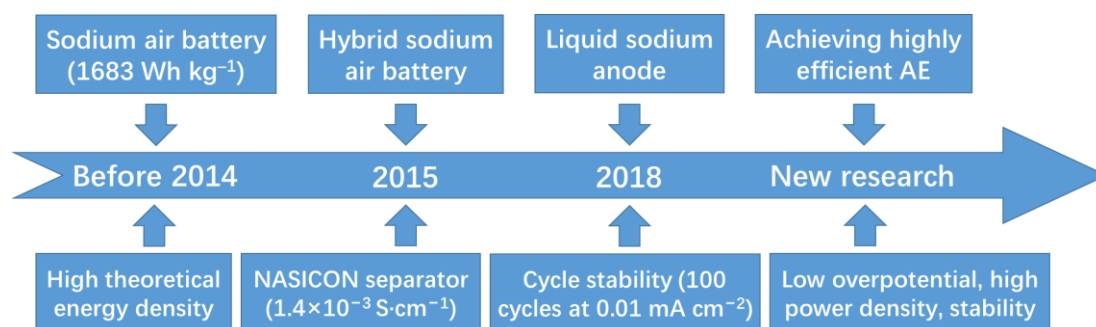
### 1 **4.3. Air electrode**

2 The greatest advantage of metal-air batteries is their intrinsic high energy density  
3 because oxygen is free from the air. Besides the dissolution and the deposition of metals  
4 on the anode, effective operation of metal-air batteries relies on the reactions of oxygen  
5 reduction and oxygen evolution on air electrode. The compositions and the structural  
6 stability of catalysts are the key factors affecting the HSABs' performance. However,  
7 related research is rarely studied so far. More studies on the compositions and structure  
8 of the catalysts after battery cycling test or conducting in-situ analysis the compositions  
9 and structure of catalysts during the charge-discharge processes are necessary for the  
10 future research of HSABs, which provide theoretical guidance for improving the cycle  
11 stability of catalysts.

12 Similar to ZABs, alkaline water electrolyzer, and fuel cells, the performance of  
13 HSABs relies on highly efficient air electrodes. In general, air electrodes of metal-air  
14 batteries should possess low overpotential, high bifunctional catalytic activities, high  
15 stability, high CO<sub>2</sub> tolerance, and good water management ability [241, 242]. Although  
16 HSAB research is still in its infancy, the development of highly efficient air electrode  
17 is expected to play a critical role in the future development of high-performance HSABs  
18 (Fig. 19).

19





**Fig. 19** Research progress and development trend of HSABs.

There are four key areas for achieving a highly efficient air electrode for HSABs.

Firstly, the catalytic activity of electrocatalysts directly affects the power density of HSABs [243, 244], In catalyst design for air electrodes (Section 3), we reviewed the classification of electrocatalysts based on some metal-air batteries. MOFs have high catalytic potential because of their high specific surface area and tunable porous structure. Different MOFs derivatives can be obtained by adjusting the heat treatment process. A careful design of SAC-carbon composites using MOFs as precursors can provide anticipated highly efficient electrocatalysts for HSABs. Moreover, using electrospinning and ALD technology to design the structure and the morphology of the air electrode will be conducive to the realization of the expected performance of the catalyst. Secondly, the stability of electrocatalysts is vital to the cycle life of HSABs. Although catalysts do not participate in the formation of reaction products in the catalytic process, the compositions and the structure of electrocatalysts may be destroyed due to the erosion of alkaline electrolyte and the impact of charge-discharge current. To improve the cycle life of metal-air batteries, the evolution of the catalyst's stability needs to be investigated. Thirdly, CO<sub>2</sub> poisoning may be one of the potential

1 influencing factors affecting the cycle performance of HSABs. Previous researches on  
2 alkaline water electrolyzer and fuel cells have proved that an effective way to solve this  
3 problem is to design and synthesize CO<sub>2</sub> tolerant catalysts with abundant active sites  
4 [104]. Fourthly, the architectural design of air electrode with a good interface is a key  
5 to control water management. In addition, it is necessary to study the failure mechanism  
6 of the air electrode during charge-discharge cycles.

7 As an important component of HSABs, the architectural design of the air electrode  
8 is very important. To avoid the battery failure caused by the volatilization of aqueous  
9 electrolyte in HSABs, a sandwich structure of the air electrode can be utilized,  
10 consisting of a waterproof gas permeable layer, a current collector and a catalytic layer.  
11 The fuel cell research has proved that it is a good choice to prepare the waterproof gas  
12 permeable layer and the catalytic layer by using PTFE and Nafion [111, 112]. However,  
13 the current collector should be further designed to achieve higher infiltration and  
14 flexibility for adhering the waterproof gas permeable layer and the catalytic layer.  
15 Moreover, the amount of PTFE and Nafion in the catalytic layer needs to be optimized  
16 to prevent the occlusion of the catalytic active sites in the catalytic layer.

17

## 18 **5. Conclusions and outlook**

19 Global energy crisis and environmental pollution from fossil fuels have aroused  
20 widespread concern in the development of high-performance energy storage systems  
21 that can integrate with intermittent renewable energy sources. HSABs have received  
22 considerable attention owing to their high theoretical specific energy, high sodium

1 abundance, low-cost, and environment-friendliness. Compared with SABs, HASBs  
2 have a simple reaction process, aqueous discharge product, and high theoretical  
3 operation voltage. These merits pave the way for next-generation high-performance  
4 energy storage systems. Electrocatalysts with distinct characteristics can be synthesized  
5 by various synthesis approaches, providing a rich selection for the design of air  
6 electrodes for HSABs.

7 Many HSABs have been studied at the laboratory level by using coin cells, and  
8 their electrochemical performance has continuously improved. Nevertheless, studies in  
9 this field are at an early stage. Many challenges in the components of HSABs need to  
10 be addressed before practical industrial applications become possible. First, producing  
11 large-scale cells with good current discharge performance and cycle stability remains  
12 challenging. A complete cell encapsulation with aqueous and aprotic electrolytes can  
13 reduce the overpotential and improve cycle life. However, the use of NASICON  
14 separator may be the bottleneck to the large-scale manufacturing of HSABs. In addition,  
15 various energy and electronic devices have been made flexible for military and other  
16 special applications. Flexible sodium-ion conductor materials need to be developed.  
17 Second, dendrites formation on Na anode surface during the electrochemical cycle pose  
18 safety hazards and accelerate the deterioration of battery performance. The design of  
19 self-healing of Na dendrite anode structure is an urgent issue. Third, the selection of  
20 aprotic electrolyte is another issue that need to be addressed. The types of aprotic  
21 electrolytes are highly diverse. The electrolyte considerably affects the operating  
22 voltage of a battery and the formation of SEI films on Na anode surfaces. The

1 electrolyte type, modification, and additive addition can directly affect battery  
2 performance. Finally, the design of air electrodes, especially the synthesis and  
3 optimization of electrocatalysts, have a significant impact on the development of high-  
4 performance HSABs. Among various electrocatalysts, SACs/carbon composites are  
5 potential bifunctional electrocatalysts in metal-air batteries because they exhibit high  
6 efficiency in ORR and OER. Adjusting the structure and morphology of the  
7 electrocatalyst by utilizing special techniques, such as electrospinning and ALD, are  
8 effective approaches for improving HSAB performance.

9       In summary, HSABs are promising high-energy and high-power energy storage  
10 devices. As an emerging battery technology, HSABs production presents enormous  
11 opportunities, as well as challenges. Extra efforts are necessary for the (1) development  
12 of simple yet efficient methods for the synthesis and the modification of the  
13 electrocatalysts in air electrode with excellent electrochemical performance; (2)  
14 exploration of other types of Na ion conductor separator for the production of large-  
15 scale HSABs; and (3) development of effective approaches to suppress Na dendrite  
16 growth. HSABs are expected to be developed as competitive technologies for energy  
17 storage and as a solution for various energy-related challenges. Nevertheless, future  
18 development in HSABs should be enthralling.

19

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5

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