- **Potentiation of imipenem by relebactam for** *Pseudomonas*
- 2 aeruginosa from bacteraemia and respiratory infections
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- 10 Short running title: Imipenem/relebactam versus P. aeruginosa

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12 Synopsis

13 **Background**. Imipenem resistance in *Pseudomonas aeruginosa* most often entails 14 loss of the 'carbapenem-specific' porin OprD; more rarely it reflects acquired 15 carbapenemases. Loss of OprD only confers resistance to imipenem if AmpC β-16 lactamase is expressed, and we investigated whether this mechanism was 17 overcome by relebactam, a developmental diazabicyclooctane β -lactamase inhibitor. 18 Methods. Consecutive P. aeruginosa isolates causing bacteraemia or hospital-onset 19 lower respiratory tract infections were collected between 2014 and 2016 under the 20 aegis of the BSAC Resistance Surveillance Programme. Imipenem MICs were 21 determined centrally by BSAC agar dilution, with relebactam at a fixed concentration (4 mg/L). 22

Results. For most imipenem-susceptible *P. aeruginosa* (726/759, 95.6%) the MICs 23 24 of imipenem alone were 0.5-2 mg/L and were decreased 3- to 4-fold by addition of 25 relebactam, as based on geometric means or modes. For most imipenem-nonsusceptible P. aeruginosa (82/92, 89%), imipenem MICs were 8-16 mg/L, and were 26 27 reduced to 1-2 mg/L by relebactam. These patterns applied regardless of whether the isolates were susceptible to penicillins and cephalosporins or had phenotypes 28 29 suggesting derepressed AmpC or upregulated efflux. Imipenem MICs for five P. 30 aeruginosa with MBLs remained high (≥16 mg/L) regardless of relebactam.

Conclusions. Potentiation of imipenem by relebactam was almost universal, according with the view that endogenous pseudomonal AmpC ordinarily protects against this carbapenem to a small degree. Imipenem MICs were reduced to the current breakpoint, or lower, except for MBL-producers. Potentiation was not compromised by derepression of AmpC or upregulation of efflux.

36

37 Introduction

Pseudomonas aeruginosa is the third-most frequent Gram-negative agent of
bacteraemia, with 8.1 reports/100000 population for England, Wales and Northern
Ireland,¹ and with this rate increasing by around 16% since 2009.¹ *P. aeruginosa* is
also among the most prevalent agents of hospital-acquired and ventilator-associated
pneumonia, accounting for up to a quarter of cases.²

43 As a species, *P. aeruginosa* is less susceptible than Enterobacteriaceae to most antibiotics³ reflecting inherent impermeability and efflux-based mechanisms. 44 Moreover, it can readily mutate to become resistant to those β -lactams, 45 fluoroguinolones and aminoglycosides that ordinarily are active. In the case of 46 47 imipenem, mutational resistance almost always arises via functional loss of OprD, a 'carbapenem-specific' porin.⁴ This 'impermeability-mediated resistance' requires 48 49 continued inducible or derepressed expression of the endogenous AmpC β-50 lactamase,⁵ which has a feeble activity against imipenem that becomes significantly 51 protective once entry of the drug is restricted by porin loss. The involvement of AmpC is demonstrated by imipenem MICs being reduced if the AmpC enzyme is lost 52 53 by mutation⁵ or is inactivated with penems (e.g. BRL42715)⁶ or bridged monobactams (e.g. Ro 48-1256).7 54

Relebactam (MK-7655, Merck) is a diazabicyclooctane β-lactamase inhibitor,⁸
being developed in combination with imipenem. The combination's spectrum
includes Enterobacteriaceae with KPC carbapenemases and combinations of AmpC
β-lactamase and impermeability as well as *P. aeruginosa*.^{9, 10} Using isolates
submitted to the BSAC Resistance Surveillance Programme,¹¹ we examined its
activity against *P. aeruginosa* isolates in relation to their phenotypic resistance to
imipenem and other agents.

62 Materials and methods

63 Consecutive *P. aeruginosa* isolates causing bacteraemia and hospital-onset lower 64 respiratory tract infection (HO-LRTI) were submitted to the BSAC Resistance 65 Surveillance Programme from sentinel laboratories in the UK and Ireland (see 66 http://www.bsacsurv.org). Bloodstream isolates were collected from Jan 2015 to Dec 2015 and HO-LRTI isolates from Oct 2014 to Sept 2016. Thirty-eight laboratories 67 68 participated in the first 12-month period, collecting seven isolates per infection type, 69 whereas 24 laboratories participated in the latter 12-month period, collecting 10 70 isolates per infection type. HO-LRTIs were defined as arising >48 h after hospital admission. Respiratory isolates from cystic fibrosis patients were excluded, as were 71 72 repeat isolates from the same patient within 14 days.

Isolates were re-identified centrally by MALDI-TOF and BSAC agar dilution was used to determine MICs,¹² with relebactam combined with imipenem at a fixed concentration of 4 mg/L. Breakpoints followed EUCAST criteria (v9.0, 2019)¹³ and it was assumed that imipenem/relebactam breakpoints would broadly follow those of imipenem (S \leq 4 mg/L, R >4 mg/L for *P. aeruginosa*).

78 Isolates were categorised according to their susceptibility to 79 piperacillin/tazobactam 16 mg/L, ceftazidime 8 mg/L and carbenicillin 128 mg/L; 80 these values correspond to EUCAST breakpoints for the first two agents,¹³ whilst the value for carbenicillin corresponds to the BSAC legacy breakpoint;¹⁴ more 81 importantly all three values correspond to ECOFFS.¹⁵ Isolates susceptible to all 82 83 three agents on these criteria were categorised as 'wild-type'; those non-susceptible to either or both of ceftazidime and piperacillin but susceptible to carbencillin were 84 85 categorised as likely AmpC derepressed and those with carbenicillin resistance and 86 proportionate rises in ceftazidime and piperacillin/tazobactam MICs (irrespective of

87 whether or not these conferred non-susceptibility) were categorised as having upregulated efflux. The principles of this interpretive reading were outlined 88 previously,¹⁶ although the present analysis was constrained by a limited range of 89 90 antibiotics. These wild type, AmpC-derepressed and upregulated efflux groups, also a final cluster of unclassifiable isolates, were then categorised as imipenem-91 92 susceptible (MIC ≤ 4 mg/L) and or impenem non-susceptible (MIC > 8 mg/L). Lastly, 93 isolates with broad resistance to all β -lactams and with ceftazidime MIC >128 mg/L 94 or imipenem >64 mg/L were subjected to PCR for carbapenemase (*bla*_{IMP}, *bla*_{NDM} 95 and bla_{VIM})¹⁷ and ESBL (bla_{VEB} ¹⁸ and bla_{PER} ¹⁹) genes.

96 **Results**

In total, 851 *P. aeruginosa* isolates were tested; 433 from bacteraemia and 418 from HO-LRTI. MIC distributions of imipenem alone and with relebactam for this entire collection are depicted in Figure 1, which shows that addition of relebactam reduced imipenem MICs for almost all isolates. To better understand this behaviour, we divided the collection based on their resistance phenotypes to agents other than imipenem itself, using the interpretive reading principles outlined previously.¹⁶

103 Most (706/851, 83%) isolates were susceptible to all of 104 piperacillin/tazobactam, ceftazidime and carbenicillin, both in relation to breakpoints 105 and to ECOFFs: 669 of these 706 wild-type isolates were susceptible also to imipenem, whereas 37 were non-susceptible, implying OprD loss in isolation (Table 106 107 1). Twenty-two isolates had profiles suggesting de-repression of AmpC, with non-108 susceptibility to either or (mostly) both of piperacillin/tazobactam and ceftazidime but 109 retained susceptibility to carbenicillin 128 mg/L: 13 of these were susceptible to 110 imipenem 4 mg/L and nine were non-susceptible, implying OprD loss (Table 1). 111 Next, 102 isolates had non-susceptibility to carbenicillin 128 mg/L, without evidence

112 of ESBL or MBL activity, and with broadly proportionate rises in ceftazidime and piperacillin/tazobactam MICs, implying upregulated efflux: 73 of these remained 113 114 susceptible to imipenem 4 mg/L whereas 29 were non-susceptible (Table 1), 115 implying that they also lacked functional OprD. Two highly carbenicillin-resistant 116 isolates were identified, by PCR, as having ESBLs (1 PER, 1 VEB) and five had MBLs (4 VIM and 1 NDM); all of these seven were non-susceptible to imipenem 117 118 (Table 1). Finally, after defining these groups, we were left with 14 isolates, all carbenicillin resistant, that had anomalous profiles, mostly with disproportionately 119 120 high resistance to ceftazidime relative to carbenicillin or with high-level resistance to 121 carbenicillin and piperacillin/tazobactam, but not ceftazidime (Table 1); 4 were 122 imipenem susceptible and 10 were resistant. These isolates may have mixed, or 123 unsuspected resistance mechanisms.

124 Modal MICs of all agents for all groups are shown in Table 1, along with ranges and geometric means for imipenem and imipenem/relebactam only. Addition 125 126 of relebactam 4 mg/L typically reduced the MIC of imipenem by around 3-fold (based 127 on geometric means) or 4-fold (based on modes) for isolates in the imipenem-128 susceptible groups, from around 1 mg/L to 0.25 mg/L. MIC reduction for imipenemresistant groups, excepting the carbapenemase producers, were larger, typically 129 130 from 16 mg/L to around 1 -2 mg/L, based on modes, and 7- to 14-fold, based on 131 geometric means.

132 No synergy was seen between imipenem and relebactam for the five MBL 133 producers, where imipenem MICs remained almost equally high when relebactam 134 was present (geometric mean 64 mg/L) or absent (geometric mean 55.7 mg/L).

135 **Discussion**

136 Potentiation of imipenem by relebactam was almost universal for *P. aeruginosa*, 137 supporting the view that endogenous AmpC, whether inducible or de-repressed, 138 protects against the action of imipenem for this species to some degree.⁵ Addition of 139 relebactam to imipenem typically resulted in a 3- to 4-fold reduction of imipenem MIC 140 for imipenem-susceptible *P. aeruginosa*, regardless of their other resistance, and an 8- to 16-fold reduction for imipenem-non-susceptible P. aeruginosa lacking MBLs. 141 142 Relebactam 4 mg/L brought imipenem MICs to the EUCAST breakpoint (4 mg/L), or 143 below, for 87/92 (95%) imipenem-non-susceptible P. aeruginosa, the exceptions being the five MBL producers. Continued potentiation against carbenicillin-resistant 144 145 isolates, inferred to have up-regulated efflux, is notable insofar as it implies that this 146 trait does not impede periplasmic accumulation of sufficient relebactam to inhibit 147 AmpC activity. Also interesting, albeit based on just two isolates, is the activity of 148 imipenem/relebactam, at 2-4 mg/L, against the isolates with ESBLs. ESBL-149 producing *P. aeruginosa*, mostly with VEB enzymes (as in one of the present two) are increasingly seen in the UK.²⁰ Many are imports but PHE is aware of at least 150 151 one UK-based outbreak. Most of these ESBL-positive *P. aeruginosa* are also (as here) resistant to carbapenems, presumably owing to OprD loss, and are highly 152 resistant to all other β -lactams, including ceftolozane/tazobactam¹⁶ and (usually) 153 ceftazidime/avibactam.²⁰ They present treatment challenges as great as for 154 carbapenemase producers. The continued resistance of MBL-producing P. 155 156 aeruginosa to imipenem/relebactam is predictable; MBLs are not inhibited by relebactam or other diazabicyclooctane β -lactamase inhibitors.¹⁰ 157 While the work presented here represents *P. aeruginosa* isolated from the UK 158 159 and Ireland only, our findings corroborate with those of a European study: P.

160 aeruginosa isolates (n=1705) collected from intra-abdominal, urinary tract, and lower 161 respiratory tract infections from patients from 17 European countries, including three 162 laboratories (n=121 isolates) from the UK. This surveillance found that, whereas 163 72% (1228/1705) of isolates were susceptible to unprotected imipenem, this proportion rose to 94.7% (1615/1705) for imipenem/relebactam, with 81% (387/477) 164 of the imipenem-non-susceptible isolates were rendered susceptible.²¹ Similar 165 166 surveillance of *P. aeruginosa* isolates (n=845) from patients located across 21 US found that 70.3% (594/845) were susceptible to imipenem whereas 94.2% (796/845) 167 were susceptible to imipenem/relebactam. Of the imipenem-non-susceptible isolates, 168 169 80.5% (202/251) were rendered susceptible by the addition of relebactam.²² 170 A limitation of our studies is that the isolates were only categorised in terms of phenotype and not (except for the few ESBL and carbapenemase producers) 171 172 genotype. Diversity may exist among the up-regulated efflux group in terms of (i) the particular pumps affected,²³ (ii) whether pump expression or specificity is altered, 173 174 and (iii) whether up-regulation reflects changes to direct or pleiotropic regulators. 175 Likewise, there may be sequence variation among AmpC enzymes, affecting substrate specificity,²⁴ and the genetic lesions causing inactivation of OprD are 176 177 extremely variable.²⁵ Such variations does not however negate the fact that the 178 great majority of *P. aeruginosa* isolates (except those from cystic fibrosis, which are more complex) can readily be grouped by phenotype.^{16, 26-28} Isolates with 179 180 anomalous, difficult-to-assign profiles comprised only 14 of the 851 studied here, 181 forming the 'mixed/uncertain' group in Table 1. We conclude that the addition of relebactam gave a generalised potentiation 182

182 We conclude that the addition of relebactam gave a generalised potentiation 183 of the carbapenem against *P. aeruginosa* and provides a potentially valuable option 184 against those with 'impermeability-type' resistance to imipenem, regardless of their

- 185 AmpC and efflux status. Its potential against ESBL-producing *P. aeruginosa*
- 186 deserves further exploration, given their growing importance.

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200 Transparency Declaration

- 201 DML: Advisory Boards or ad-hoc consultancy: Accelerate, Achaogen, Adenium,
- 202 Allecra, AstraZeneca, Auspherix, Basilea, BioVersys, Centauri, Discuva, Meiji,
- 203 Merck, Pfizer, Roche, Shionogi, Tetraphase, VenatoRx, Wockhardt, Zealand, Paid
- 204 lectures: AstraZeneca, Beckman-Coulter, Cardiome, Merck and Nordic. Relevant
- shareholdings in Dechra, GSK, Merck, Perkin Elmer, Pfizer amounting to <10% of
- 206 portfolio. Contract research: Achaogen, Allecra, AstraZeneca, Melinta, Meiji, Merck,
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Table 1. Summary of MIC parameters according to resistance phenotype.

Phenotype	n	Modal MIC (mg/L)					MIC range (mg/L)		Geometric mean MIC	
									(mg/L)	
Antimicrobial Agent		CAR	TZP	CAZ	IMP	IMP/REL	IMP	IMP/REL	IMP	IMP/REL
CAR-S, TZP-S, CAZ-S (wild-type)			I						I	
Imipenem S	669	64	4	2	1	0.25	0.06-4	0.06-2	0.77	0.27
Imipenem N/S	37	64	4	2	16	1	8-32	0.5-4	11.9	0.93
CAR-S, NS to either or both of TZP/CAZ (derepressed for AmpC)										
Imipenem S	13	128	32	8	1	0.25	0.5-2	0.125-0.5	1.0	0.33
Imipenem N/S	9	128	32	16	16	1	8-32	0.5-2	13.7	0.93
CAR-NS, proportionately raised TZP/CAZ (upregula	ted efflux)						I	
Imipenem S	73	256	16	4	0.5-1	0.25	0.25-4	0.125-2	0.99	0.35
Imipenem N/S	29	>256	16-32	8	16	2	8-32	1-4	12.0	1.8
ESBL-positive	2	Too few					8-16	2-4	11.3	2.8
Carbapenemase-positive*	5	>256	32	16	32	32	32 ->256	16->256	64.0	55.7
Uncertain/mixed										
Imipenem S	4	Too few					0.5-4	0.25-4	2	0.59
Imipenem N/S	10	>256	256/>256	64	16	1-4	8-32	0.5-4	16	1.7

Key: CAR, carbenicillin; CAZ, ceftazidime; IMP, imipenem; IMP/REL, imipenem with 4 mg/L relebactam; TZP, piperacillin/tazobactam;

- 293 S, susceptible; NS, non-susceptible. *All five carbapenemase-positive isolates were MBL-positive: four have *bla*VIM and one *bla*NDM.
- lsolates with a MIC ≥128mg/L to carbenicillin and susceptibility to the other agents were categorised within the wild-type category and
- 295 may include those with very minor up-regulations of efflux or acquired penicillinase.





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298 The solid black line indicates the EUCAST breakpoint for imipenem and *P. aeruginosa* (S ≤4 mg/L; R >4 mg/L).

299 * indicates the modal MIC distribution for each agent