

1 META-ANALYSIS OF THE RANDOMISED EORTC AND CHORUS
2 TRIALS COMPARING NEOADJUVANT VERSUS UPFRONT
3 DEBULKING SURGERY IN ADVANCED OVARIAN, FALLOPIAN
4 OR PERITONEAL CANCERS.

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36 **ABSTRACT**

37 **Background and aims.** Two prospective randomised trials, comparing neoadjuvant
38 chemotherapy (NACT) with upfront debulking surgery (UDS) in advanced ovarian cancer
39 (EORTC 55971 and MRC CHORUS) had a pre-planned meta-analysis arranged, to examine
40 the long term outcomes of the trials, and identify any preferable therapeutic approaches for
41 subgroup populations.

42 **Methods.** The data from both trials were merged with a database lock for the EORTC study
43 on June 6th, 2015 and CHORUS May 20th, 2015. The analysis was undertaken by the EORTC
44 statistical Team.

45 **Results.** 1220 women were randomised. The overall median follow-up was 7.6 years (EORTC
46 9.2 and CHORUS 5.9 years). Median age was 63 years (range 25-88 years) and median size of
47 the largest metastatic tumour at diagnosis was 8 cm (range 0-50 cm). FIGO Stage
48 distribution was II-IIIB (4.5%), IIIC (68.1%), IV (18.9%) with 8.5% of data missing. Median
49 overall survival (OS) for EORTC and CHORUS was significantly different at 2.5 and 2.0 years
50 respectively, ($p=0.004$). When combined, there was no statistically significant difference
51 regarding the median progression-free survival (PFS), at 0.9 and 1 year, UDS and NACT or
52 OS at 2.2 and 2.3 years respectively. Women with Stage IV disease had a significantly better
53 PFS and OS with NACT compared with UDS (HR: 0.77 and 0.76; both, $p=0.050$ and 0.048).
54 However, in women with stage IIIC with metastatic tumours at diagnosis ≤ 5 cm, PFS was
55 significantly prolonged with UDS (HR:1.34 and HR:1.26; $p = 0.02$), without significantly
56 impacting on OS.

57 **Conclusion.** Long term follow-up data in this meta-analysis confirm that NACT and UDS
58 result in similar PFS and OS in advanced ovarian cancer. However, women with stage IV

59 disease had a better OS and PFS with NACT while women with stage IIIC with metastases ≤ 5

60 cm had a better PFS with UDS.

61

62 INTRODUCTION

63 Over 70% of women with ovarian cancer present with advanced disease, and ~~h~~
64 usually have a very poor prognosis (1). Since Griffiths reported In 1975 (2) the association
65 between reduced residual tumour load and improved survival rates following debulking
66 surgery, primary surgery has been embedded in clinical practice as an essential , or even a
67 mandatory, therapeutic strategy.(3) However, to date, there are still no prospective
68 randomised controlled trials available proving that primary debulking surgery improves the
69 prognosis of patients with advanced ovarian cancer.

70 An alternative approach to primary debulking surgery, is neoadjuvant chemotherapy,
71 administered before attempting cytoreductive surgery. In 2010 the first randomised trial
72 comparing neoadjuvant chemotherapy (NACT) followed by interval debulking surgery with
73 primary debulking surgery (UDS) was published (4). This randomised EORTC study showed a
74 similar overall and progression-free survival in women with FIGO (International Federation
75 of Gynecology and Obstetrics) stage IIIC or IV ovarian cancer with both treatment strategies
76 and a lower operative morbidity with NACT. These results were later confirmed in the
77 randomised CHORUS trial (5) and resulted in the acceptance of NACT followed by IDS as an
78 alternative for UDS in stage IIIC and IV ovarian cancer (6). However, the selection of women
79 with advanced ovarian cancer for NACT or UDS remains controversial (7).

80 In 2003, while the accrual of the EORTC study was ongoing but prior to the start of
81 the CHORUS trial, we (EORTC/MRC) planned the current meta-analysis with the aim of
82 analysing the long-term follow-up of both trials and to identify subgroups who might benefit
83 more or less from NACT compared with UDS.

84

85 **Materials and methods**

86 *Eligibility and study design*

87 The eligibility criteria and study design of the EORTC and CHORUS trials have
88 previously been reported (4,5). In short, in the EORTC trial eligible women had biopsy proven
89 Stage IIIC or IV invasive epithelial ovarian, primary peritoneal, or fallopian tube carcinoma. If
90 a biopsy was not available, fine needle aspiration showing an adenocarcinoma was
91 acceptable under the following conditions: presence of a pelvic (ovarian) mass; and presence
92 of metastases of ≥ 2 cm (measured during diagnostic laparoscopy or laparotomy, and if not
93 done, based on CT findings) outside the pelvis and a CA125 (KU/L)/CEA (ng/mL) ratio > 25 . If
94 the CA125/CEA ratio was less, investigations to exclude a gastrointestinal carcinoma were
95 necessary before entry. In the CHORUS trial the inclusion criteria were similar, but women
96 with apparent stage IIIA and IIIB were also eligible and a histological or cytological
97 confirmation of diagnosis was not required prior to randomization. In both trials
98 randomization was to primary debulking surgery followed by at least 6 courses of platinum-
99 based chemotherapy, versus three courses of neoadjuvant platinum-based chemotherapy
100 followed by interval debulking surgery in all women showing a response or stable disease,
101 and then at least 3 further courses of platinum based chemotherapy. In women randomised
102 to primary debulking whose surgery was completed without optimal cytoreduction, interval
103 debulking surgery was permitted if stable disease or response was documented and these
104 patients were included for analyses in the primary debulking surgery arm. Randomisation
105 was done for the EORTC trial at the EORTC Headquarters after stratification with a
106 minimization technique to stratify for institution, method of biopsy (imaging-guided,
107 laparoscopy, laparotomy, or fine needle aspiration), Stage IIIC or IV, and largest tumor size

108 (excluding ovaries) before surgery (less than 5, 5 – 10, 10 - 20 cm, or more than 20 cm). In
109 the CHORUS trial the random assignment was performed centrally at the MRC CTU (Medical
110 Research Council Clinical Trials Unit) using a minimisation method with a random element,
111 and stratified the women according to randomizing Centre, largest radiological tumour size,
112 clinical FIGO stage, and pre-specified chemotherapy regimen.

113

114 *Statistical design of the meta-analysis*

115 The meta-analysis was designed in 2003 by the CIs of the two trials (IV and SK) and
116 members of the EORTC /MRC trials committees. The databases were examined and arranged
117 to ensure appropriate information was collected to permit merging of both for the agreed
118 meta-analysis. The women were followed until the data base lock. The meta-analysis was
119 done based on the individuals data, i.e. *all* data were merged instead of using only the
120 summary data from each trial. The data were gathered at the EORTC Headquarters and
121 analyzed in cooperation with the authors by the EORTC statistician (CC).

122 The pooled dataset was estimated to contain between 800 to 900 events (deaths).
123 Assuming a median overall survival (OS) of 3 years, this allows assessment of non-inferiority
124 with a one-sided type I error of 0.05 and a power of 80% where inferiority is considered as
125 an increase of more than 18-19% in hazard. Similarly, it would allow a 90% power in
126 excluding a hazard increase of 22-23%. Applying a two-sided test of superiority at 5%, the
127 dataset would allow the detection of an 18% increase in hazard with 80% power.

128 The analysis was performed according to the intent to treat policy: all randomized
129 patients are included in the principal analysis, whatever their eligibility and evaluability
130 status. A per-protocol population served as supportive analysis. The definitions applied for

131 overall and progression-free survival are previously published. (4). Overall and progression-
132 free survival were estimated by the Kaplan-Meier method and overall survival compared via
133 the log rank test. Multivariate time-to-event analysis was performed using a Cox
134 proportional hazards model, with univariate screening followed by a multivariate stepwise
135 variable selection procedure (8). All results were checked for homogeneity among the two
136 studies and stratified per trial.

137 The size of the largest metastases before randomization was measured in the EORTC
138 study during diagnostic laparoscopy or laparotomy, and if not done, based on CT findings. In
139 the CHORUS trial these measurements were based on CT radiologic imaging only. Subgroup
140 analyses according to the stratification factors which were common in both trials
141 (randomizing Centre, largest tumor size (excluding ovaries) before surgery (less than 5, 5 –
142 10, 10 - 20 cm, or more than 20 cm), and clinical FIGO stage) was planned.

143

144 **Results**

145 *Patient characteristics*

146 The patient data of both trials were updated and merged in one data base (data base
147 lock EORTC June 6, 2015 and CHORUS May 20, 2015). 1220 patients were randomised.
148 Median follow-up was 7.6 years (EORTC 9.2 and CHORUS 5.9 years). The characteristics of
149 the patients by study and study arm are summarised in Table 1 and 2, respectively. The
150 baseline characteristics were well balanced between both treatment groups. For details on
151 size of residual tumor, residual tumor per country, type of surgery, number of courses and
152 type of chemotherapy, and time to (re)initiation of chemotherapy we refer to the original
153 papers.

154 *Overall survival and progression-free survival*

155 Overall survival (OS) was significantly better in the EORTC trial compared with the
156 CHORUS trial (median, respectively 2.52 and 1.95 years; Hazard ratio (HR): 1.20, 95%
157 Confidence Intervals (CI): 1.06-1.36; $p = 0.004$) (Figure 1), but progression-free survival (PFS)
158 was similar (median respectively 0.96 and 0.93 years; HR 0.94, 95% CI: 0.84-1.06; not
159 significant) (Supplemental file page 1).

160 Overall survival (Figure 2) and progression-free survival (Supplemental file page 2)
161 were similar for NACT and UDS (median respectively for OS 2.30 and 2.24 years, HR: 0.97,
162 95% CI: 0.88-1.09; and for PFS respectively 0.97 and 0.93 years, HR: 0.98, 95% CI: 0.87-1.09;
163 both not significant). Overall and progression-free survival per study and treatment arm are
164 presented in the Supplemental file (page 3 and 4).

165 Median overall survival was significantly different for Stage IV compared with Stage III
166 and Stage II (median respectively, 1.94, 2.50 and 3.75 years; HR 2.75 and 1.92 for Stage III
167 and IV versus stage II, $p = 0.000$; see Supplemental file page 5). Overall survival was similar
168 for NACT and UDS in Stage IIIC patients (median respectively, 2.56 and 2.37 years; HR: 1.04,
169 95% CI: 0.90-1.21; not significant; Supplemental file page 6). Progression-free survival was
170 similar for NACT and UDS in Stage IIIC (median respectively, 1.02 and 0.97 years; HR: 1.05,
171 95% CI: 0.92-1.21; not significant; Supplemental file page 7). However, in Stage IV NACT
172 resulted in significantly better overall survival than UDS (Figure 3) (median respectively, 2.02
173 and 1.77 years; HR: 0.76, 95% CI: 0.58-1.00, $p = 0.048$). Also PFS was significantly better in
174 Stage IV disease with NACT than with UDS (median respectively, 0.88 and 0.81 years; HR:
175 0.77, 95% CI: 0.59-1.00, $p = 0.050$) (Supplemental file page 8).

176 Overall survival was significantly worse with increasing size of the largest metastasis
177 at the time of randomization (Supplemental file page 9). In patients with Stage IIIC disease
178 and a largest metastatic tumour size < 5 cm the progression-free survival was better with
179 UDS than with NACT (Figure 4, respectively median 1.02 and 1.00; HR: 1.34, 95% CI: 1.04-
180 1.73; p=0.021), but the overall survival was not significantly different (median respectively,
181 2.75 and 2.51 years; HR: 1.26, 95% CI: 0.96-1.65; not significant).

182

183 **Discussion**

184 This pre-planned meta-analysis of the updated data from the EORTC and
185 CHORUS trials on NACT versus UDS, confirms with long-term follow-up that NACT results in a
186 similar overall survival compared with UDS in women with advanced ovarian carcinoma FIGO
187 Stage IIIC and IV. In addition it revealed that progression-free and overall survival was
188 significantly better with NACT than with UDS in patients diagnosed with Stage IV disease.
189 However, women at Stage IIIC disease with the largest metastatic tumour mass of less than
190 5 cm had a significantly better progression-free survival with UDS. For those with Stage III
191 disease and larger sized metastatic disease, either approach resulted in the same overall
192 survival. These findings indicate that when deciding on a treatment strategy, not only
193 should the risk of perioperative morbidity (6) and the possibility to debulk the patient to
194 zero residual tumor (7) be taken into account, but also FIGO stage and the extent of the
195 metastatic disease at presentation.

196 Though in both studies, a cytological diagnoses of malignancy was permitted,
197 with the evolution of our knowledge regarding ovarian cancer disease subtypes, presently
198 only histology can distinguish between high and low grade serous tumours [9]. This is

199 important, as low grade tumours are less susceptible to chemotherapeutic regimes and
200 primary surgery is an important and preferential intervention in this group [10]. Thus to
201 achieve a well-informed decision, histology should be obtained, combined with extensive
202 radiological imaging. Obtaining tissue may be by image guided biopsy, though a
203 laparoscopic approach affords additional information on disease spread which can be
204 included in the decision making process, besides ensuring sufficient tissue for diagnostic
205 purposes. (11-13)

206 Applying the findings of this meta-analysis to the care of every woman with
207 stage IIIC or IV ovarian cancer must always be combined with the clinical picture. For
208 example, the women in these studies had metastatic disease with a high tumour burden at
209 presentation, and many had a poor performance status. But this clinical scenario is not
210 uncommon and indeed improving outcomes for this population is as important (if not more
211 so) than those who have much better survival patterns. Accepting the caveats implicit
212 within all clinical trials, the results regarding the clinical management of stage IV disease,
213 are derived from one of the largest cohort of women with stage IV disease in phase III
214 studies. With this evidence, it can be recommended that NACT becomes the standard of
215 care for this population, and primary surgery only used for the exceptional woman with
216 Stage IV- selected on an individual basis.

217

218 **Table 1. Baseline characteristics by study**

	EORTC (n= 670) (%)	Chorus (n=550) (%)	TOTAL (n=1220) (%)
Median Age (years)	62	65	63
Largest metastatic tumor size (mm)	80	80	80
CA125 at entry (KU/L)	1161	1016	1089
WHO performance			
0	300 (44.8)	171 (31.1)	471 (38.6)
1	284 (42.4)	271 (49.3)	555 (45.5)
2	85 (12.5)	102 (18.5)	186 (15.2)
3	0 (0)	5 (0.9)	5 (0.4)
Missing	2 (0.3)	1 (0.2)	3 (0.2)
FIGO stage			
II	0 (0)	19 (3.5)	19 (1.6)
IIIA	0 (0)	14 (2.5)	14 (1.1)
IIIB	1 (0.1)	21 (3.8)	22 (1.8)
IIIC	510 (76.1)	321 (58.4)	831 (68.1)
IV	158 (23.6)	72 (13.1)	230 (18.9)
Missing	1 (0.1)	103 (18.7)	104 (8.5)

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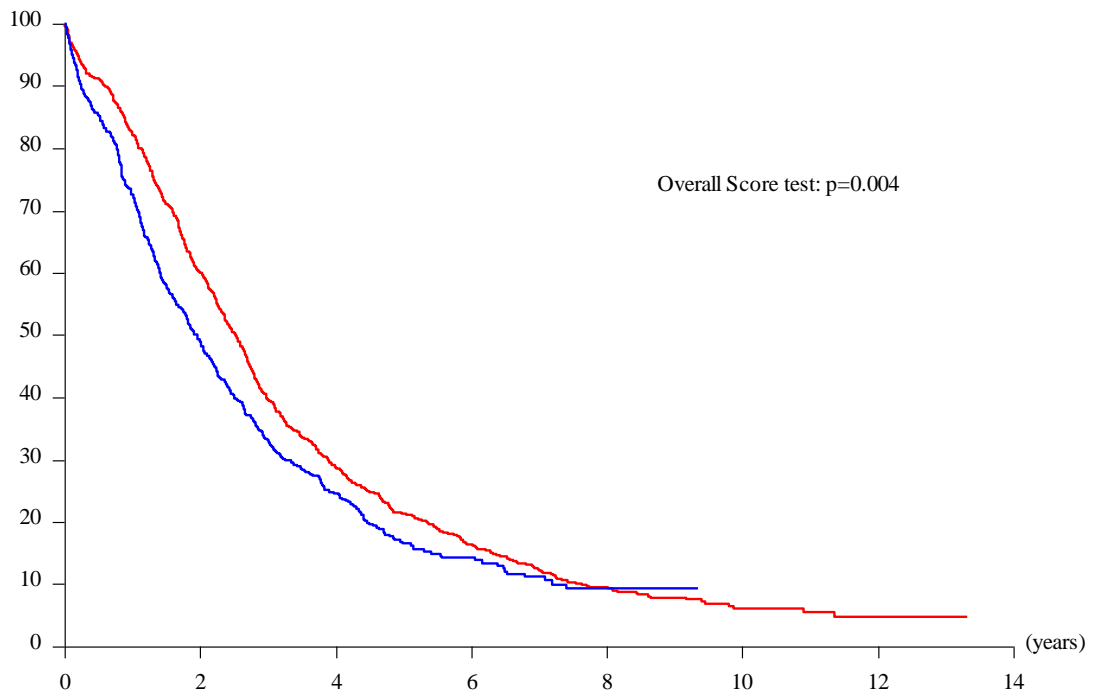
222 **Table 2. Baseline characteristics by allocated treatment**

	UDS (n=612) (%)	NACT (n=608) (%)	TOTAL (n=1220) (%)
Median Age (years)	63	64	63
Largest metastatic tumor size (mm)	80	80	80
CA125 at entry (KU/L)	1039	1137	1089
WHO performance			
0	236 (38.6)	235 (38.7)	471 (38.6)
1	279 (45.6)	276 (45.4)	555 (45.5)
2	93 (15.2)	93 (15.3)	186 (15.2)
3	1 (0.2)	4 (0.7)	5 (0.4)
Missing	3 (0.5)	0 (0)	3 (0.2)
FIGO stage			
II	12 (2.0)	7 (1.2)	19 (1.6)
IIIA	7 (1.1)	7 (1.2)	14 (1.1)
IIIB	9 (1.5)	13 (2.1)	22 (1.8)
IIIC	433 (70.8)	398 (65.5)	831 (68.1)
IV	118 (19.3)	112 (18.4)	230 (18.9)
Missing	33 (5.4)	71 (11.7)	104 (8.5)

223

224 **Figure 1.** Overall survival according to study.

Overall survival



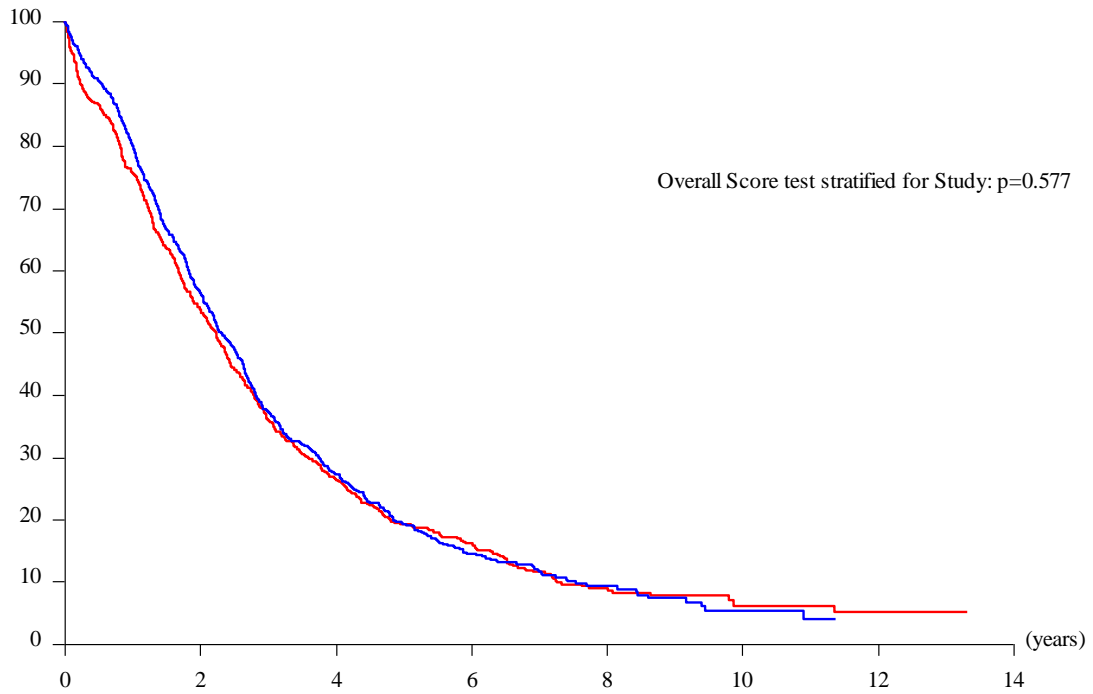
O	N	Number of patients at risk :						Study
602	670	395	185	102	42	14	3	EORTC 55971
451	550	265	110	37	7	0	0	MRC CHORUS

225

226 **Figure 2.** Overall survival according to treatment arm.

227

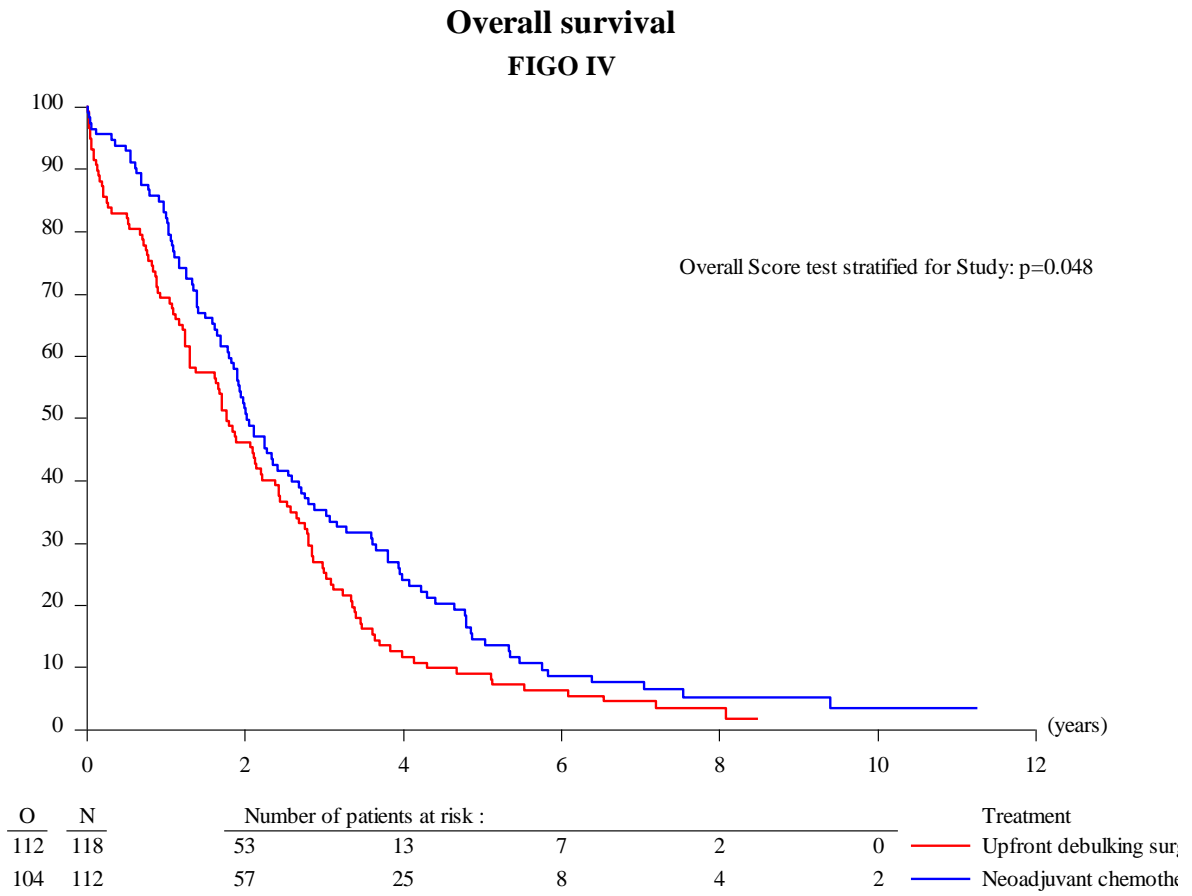
Overall survival



O	N	Number of patients at risk :						Treatment
528	612	322	149	74	27	7	3	— Upfront debulking s
525	608	338	146	65	22	7	0	— Neoadjuvant chemo

228

229 **Figure 3.** Overall survival according to treatment arm in Stage IV patients.



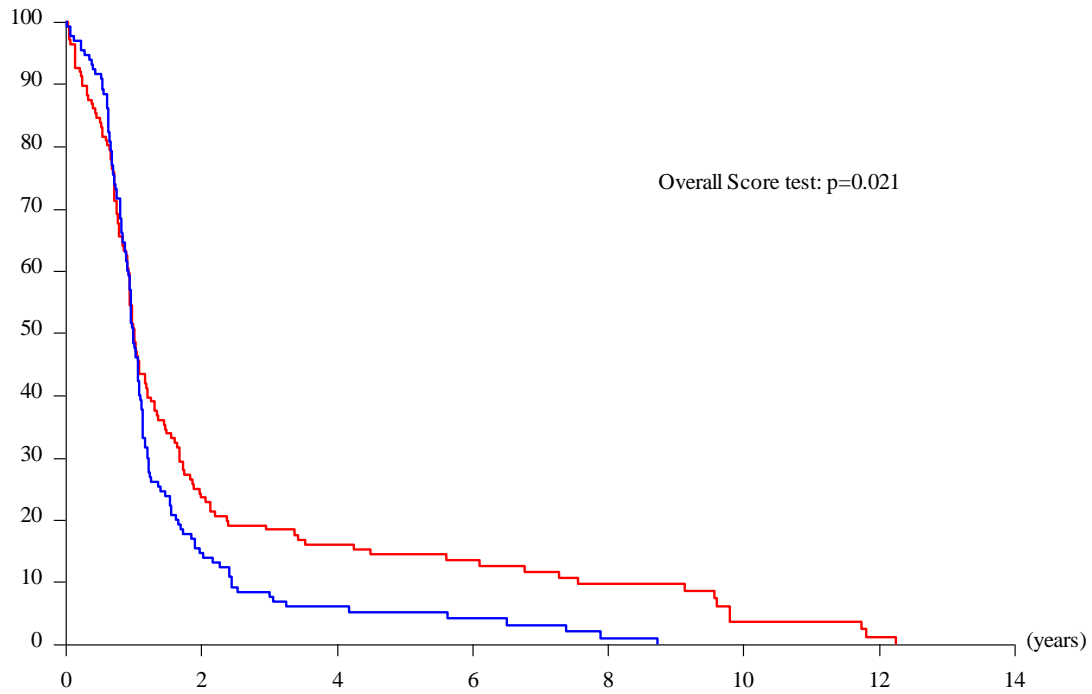
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233 **Figure 4.** Progression-free survival in 266 patients with FIGO IIIc and largest metastatic
 234 tumour size < 5 cm at entry.

PFS



O	N	Number of patients at risk :								Trtm arm
129	136	32	21	15	10	3	1		— UDS	
128	130	19	7	4	1	0	0		— NACT	

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