

## Ultrafast Structure and Dynamics in the Thermally Activated Delayed Fluorescence of a Carbene-Metal-Amide

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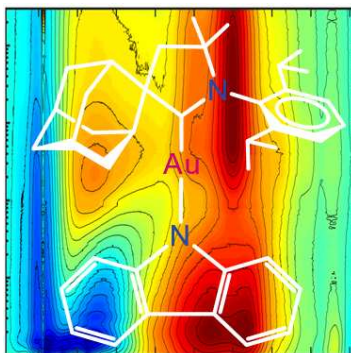
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3 **Ultrafast Structure and Dynamics in the Thermally Activated Delayed Fluorescence**  
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5 **of a Carbene-Metal-Amide**  
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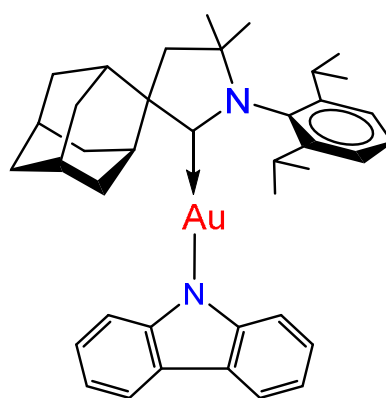
14 **Abstract:** Thermally activated delayed fluorescence has enormous potential for the development of  
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16 efficient light emitting diodes. A recently discovered class of molecules (the carbene – metal –  
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18 amides, CMAs) are exceptionally promising as they combine the small singlet - triplet energy gap  
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20 required for thermal activation with a large transition moment for emission. Calculations suggest  
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22 excited state structural dynamics modulate the critical coupling between singlet and triplet, but  
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24 disagree on the nature of those dynamics. Here we report ultrafast time-resolved transient  
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26 absorption and Raman studies of CMA photodynamics. The measurements reveal complex structural  
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28 evolution following intersystem crossing on the tens to hundreds of picoseconds timescale, and a  
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30 change in the low-frequency vibrational spectrum between singlet and triplet states. The latter is  
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32 assigned to changes in Raman active modes localized on the metal centre.  
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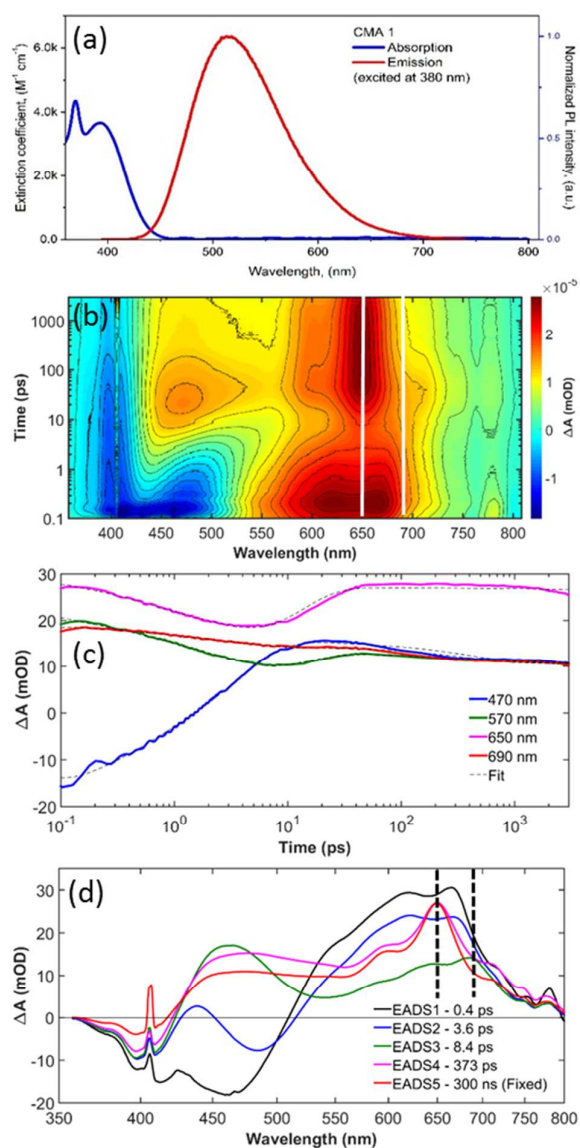
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3 Molecules that exhibit thermally activated delayed fluorescence (TADF) by means of reverse  
4 intersystem crossing (RISC) are critical components in organic light emitting diodes.<sup>1,2</sup> In the  
5 conversion of electricity to light, excitations populating triplet states are lost to emission, due to the  
6 low transition moment and competing radiationless processes. In TADF triplet excitations contribute  
7 through RISC to an emissive singlet, enhancing conversion efficiency fourfold. This requires a  
8 combination of properties including low singlet-triplet energy barrier ( $\Delta E_{ST}$ ), large cross section for  
9 RISC and high transition moment for fluorescence.<sup>1,3</sup>



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33 **Scheme 1** Structure of CMA1

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35 The Carbene – Metal – Amides (CMAs) exhibit these requirements to an unusually high degree.<sup>4-5</sup>  
36 CMAs consist of copper, silver or gold ions coordinated to a carbazolate anion and carbene ligand,  
37 which acts as strong  $\sigma$ -donor and, by virtue of its empty carbon p-orbital, an acceptor (Scheme 1).  
38 Excitation involves ligand-to-ligand charge transfer (LLCT), in which the molecular dipole moment is  
39 reduced in magnitude and reversed in direction.<sup>4</sup> CMA based OLED devices have been fabricated  
40 with near-100% internal quantum efficiency and short (sub-microsecond) excited state lifetimes.<sup>4</sup>  
41 These findings stimulated intense activity in theory and experiment, aimed at understanding this  
42 unique photophysical behaviour, and optimising efficiency of TADF in CMAs. The excited state  
43 structure of CMAs, how it evolves with time and how that evolution modifies TADF have emerged as  
44 critical aspects. Calculations point to a role for excited state bending and twisting of substituents  
45 about the central metal atom.<sup>6-8</sup> However, they reach different conclusions on how structural

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3 evolution modifies TADF, indicating the need for measurements of structural dynamics. Here we  
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5 provide the first such insight through ultrafast Transient Absorption (TA)<sup>9-10</sup> and Femtosecond  
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7 Stimulated Raman Spectroscopy (FSRS),<sup>10-12</sup> applied to one of the most efficient CMAs (CMA1,  
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9 Scheme 1). CMA1 was synthesised<sup>5, 13</sup> and characterised in device applications and through TA,  
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11 Raman and photoluminescence.<sup>4-5</sup> Here we show that the femtosecond to nanosecond TA kinetics in  
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13 CMA1 are more complex than for simple TADF, revealing structural evolution after ISC, and that  
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15 singlet and triplet excited states show significant differences in low-frequency vibrational spectra.  
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18 Figure 1A displays steady-state and time-resolved measurements of CMA1 in chlorobenzene. The  
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20 emission is a single broad band with an approximate mirror image relationship to the lowest energy  
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22 absorption, with a Stokes loss of 126 nm (ca 6300 cm<sup>-1</sup>). Such a large Stokes loss in a slightly polar  
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24 solvent suggests a structure change between absorbing and emitting states. Figure 1B shows TA  
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26 difference spectra following excitation at 405 nm (80 fs, 400 nJ/pulse, see supplementary  
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28 information, SI); Fig 1C shows kinetics at selected wavelengths. At around 400 nm a ground state  
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30 bleach (negative  $\Delta A$ ) is observed at  $t = 0$ , which recovers about 50% in 500 ps and then remains  
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32 constant, reflecting population trapped in the long-lived (350 ns<sup>4</sup>) triplet state. Around 480 nm there  
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34 is a second apparent bleach, assigned to stimulated emission on the basis of its match to the  
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36 emission. This evolves into a TA within 10 ps, subsequently decaying to a constant level after 500 ps.  
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39 Around 650 nm there is a prompt (i.e. formed within the <100 fs time resolution) TA, characteristic  
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41 of excited state absorption, which initially decays in <10 ps but then rises, ultimately forming the  
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43 long-lived triplet. Figure 1B shows that the transient formed after 10 ps narrows on a sub-  
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45 nanosecond time-scale to form the relaxed long-lived triplet. This spectral narrowing between  
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47 singlet and triplet state TA is evident in the data at 690 nm (Figure 1C), where the rising triplet  
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49 contribution is less marked than at 650 nm. These data provide the rationale for selecting 650 and  
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51 690 nm as resonant Raman pump wavelengths for FSRS (below), as they yield selectivity in probing  
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53 singlet and triplet state Raman (Figure 1B-D).  
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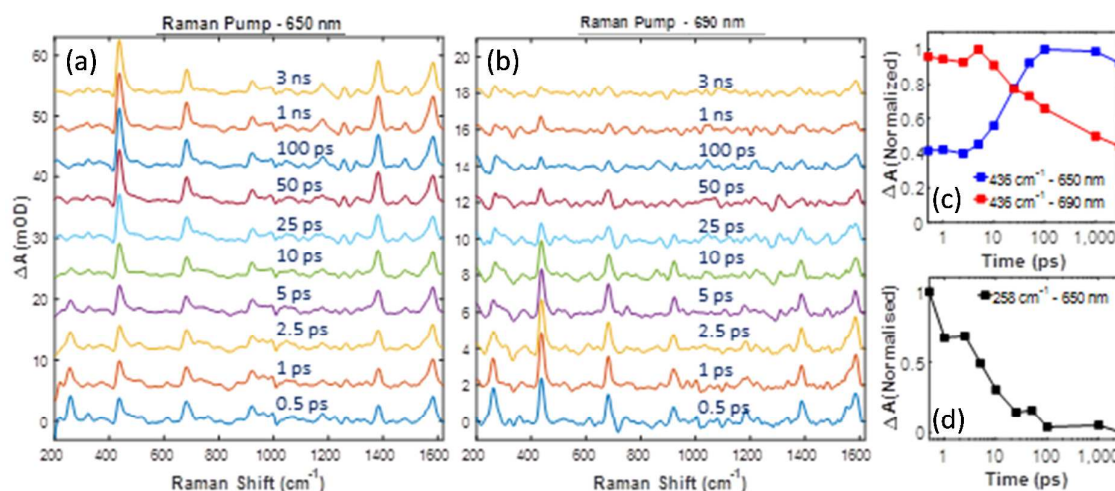
**Figure 1** Steady-state and transient electronic spectroscopy of CMA1 in chlorobenzene. (a) Steady-state absorption (blue) and emission (red). (b) Complete TA data set, plotted on a log timescale; the 650 and 690 nm wavelengths of the Raman pump are marked in white. (c) Transient kinetics at selected wavelengths 470 nm (blue) 570 nm (green) 650 nm (magenta) 690 nm (red); solid lines are data and dashed lines are the fit. The oscillation in the 470 nm data reflects coherently excited vibrational modes. (d) EADS recovered from the analysis of (b) in terms of a series of first-order steps: EADS1, (black) 0.4 ps; EADS2 (blue) 3.6 ps; EADS3 (green), 8.4 ps; EADS4 (magenta) 373 ps; Final EADS (red) 300 ns. Raman pump wavelengths used are again indicated by vertical dash lines.

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3 The TA data were subjected to global kinetic analysis in terms of successive first order rate  
4 constants.<sup>14</sup> The resulting evolution associated difference spectra (EADS) are shown in Figure 1D (fits  
5 to experimental data are included in Fig 1C). The expectation for a three level system exhibiting  
6 TADF (i.e. ground and excited singlet state,  $S_1$ , connected by an allowed transition, and a triplet state  
7 thermally coupled to  $S_1$ ) is biexponential kinetics, with the fast component dominated by  $k_{ISC}^{-1}$  and  
8 slow reflecting the triplet lifetime, with amplitude depending on  $k_{RISC}$ . The data are more complex,  
9 requiring four first order steps leading to the final EADS.

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11 The earliest EADS (EADS1) shows stimulated emission at 460 nm and broad TA between 500 and 750  
12 nm, which relaxes with a 0.4 ps time constant to an intermediate, EADS2. EADS2 has the same TA as  
13 EADS1 but a red-shifted stimulated emission, revealing energy relaxation in  $S_1$ . We assign this to  
14 ultrafast structural evolution, consistent with the large Stokes loss (Figure 1A). EADS2 relaxes to  
15 EADS3 in 3.7 ps, a process dominated by conversion of the stimulated emission to TA between 450  
16 and 500 nm, accompanied by decay of the broad  $S_1$  absorption (650 nm); thus 3.7 ps reflects  $k_{ISC}^{-1}$ , in  
17 agreement with an earlier photoluminescence study.<sup>4</sup> EADS3 decays in 8.4 ps to EADS4, in which  
18 characteristic features of the triplet state begin to appear, notably around 650 nm. In addition the  
19 TA around 460 nm has flattened. There is further evolution from EADS4 in 373 ps, to populate the  
20 final spectrally narrowed feature at 650 nm, associated with the relaxed long-lived triplet; this does  
21 not evolve further on the nanosecond timescale. While the change from EADS4 is subtle it is  
22 apparent in the experimental data as continuing evolution up to 500 ps (Figure 1C).

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24 The initial sub-picosecond spectral shift was assigned to fast structural reorganization in  $S_1$ ;  
25 calculations on CMA2 (where Cu replaces Au) suggest a lengthening of the carbene C-N bond on  
26 excitation,<sup>7</sup> which could occur on this timescale. Solvation dynamics may also contribute, as  
27 calculations suggest a significant decrease in dipole moment on excitation.<sup>6</sup> However, the polar  
28 solvation spectral shift in weakly polar chlorobenzene is expected to be small, so the shift leading to  
29 EADS2 is more likely solute structural reorganization. Assignment of the 3.7 ps EADS2 to EADS3  
30 relaxation to ISC is in excellent agreement with calculation.<sup>8</sup>

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3 Later EADS (EADS3 to EADS5) reveal evolution between ISC and formation of the final relaxed triplet.  
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5 The analysis recovers two relaxation phases, an initial fast one (8 ps) to an unrelaxed triplet, and a  
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7 slower one (373 ps) forming the relaxed triplet state, which is coupled to emission through RISC.  
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9 These relaxation processes are not accompanied by large spectral shifts, which might modify  $\Delta E_{ST}$ ,  
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11 but reflect a structural relaxation, with resultant modulation of  $k_{RISC}$ . Calculations showed that  
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13 excited state structural transformations, ligand twisting or deformation, occur on energetically flat  
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15 or downhill surfaces, and that both singlet – triplet mixing and radiative transition moment are a  
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17 function of these coordinates. We suggest that the intermediates observed here report on this  
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19 structural evolution, and reflect changes in electronic structure accompanying state mixing. Such  
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21 mixing may be promoted by rotation of the carbazolyl moiety;<sup>6-7</sup> diffusive orientational relaxation of  
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23 molecules of similar size occur on the 10 – 100 ps timescale,<sup>15</sup> consistent with this mechanism.<sup>6</sup>  
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26 FSRS (Figure 2) provides access to Raman spectra of resonant excited states, and thus a route to  
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28 structural dynamics. The tuneable narrowband (<10  $\text{cm}^{-1}$ ) ‘Raman pump’ pulse (see SI) was set at  
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30 either 650 nm to be resonant with the narrow relaxed triplet state TA, or 690 nm to be resonant  
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32 with  $S_1$  (Figure 1B,D). We measure FSRS on the Stokes (Raman gain) side and the red side of the TA  
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34 to yield simpler lineshapes.<sup>16-17</sup> Figure 2A displays time-resolved FSRS, reflecting formation of the  
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36 relaxed triplet from the  $S_1$  state, while Figure 2B plots the corresponding data for the broader  
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38 underlying singlet state. That different resonance conditions favour one state over the other is  
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40 evident in the time dependence; the amplitude of the spectra at 690 nm decay monotonically, while  
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42 the 650 nm data increase with time. Thus early time data in Fig. 2B are characteristic of  $S_1$ , while  
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44 later data in Fig. 2A reflect the triplet state Raman (earlier time 650 nm data has contributions from  
45  
46 both). The degree of selectivity is demonstrated by evolution of the 436  $\text{cm}^{-1}$  peak common to both  
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48 states (Fig. 2C), with amplitude decaying at 490 nm but rising at 650 nm, reflecting the respective  
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50 resonance condition (Figure 2B,D).  
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**Figure 2** FSRS data for CMA1 in chlorobenzene. (a) Stack plot of Raman spectra recovered with 650 nm Raman pump. (b) as for (a) but 690 nm Raman pump. (c) Time dependent signal of the  $436 \text{ cm}^{-1}$  amplitude for 690 nm (red) and 650 nm (blue) pump. (d) Time dependent FSRS signal at  $258 \text{ cm}^{-1}$  (650 nm pump).

The ground state Raman of solid CMA1 has a cluster of intense modes at low wavenumber ( $<100 \text{ cm}^{-1}$ ) assigned to ligand torsion, and a further cluster above  $1000 \text{ cm}^{-1}$ , reflecting ring-stretch and CH bending modes.<sup>4</sup> The excited state spectra in Figure 2 are very different, with strong Raman activity at  $258$  and  $436 \text{ cm}^{-1}$  (where there is only weak ground state activity<sup>4</sup>) and additional modes in the ring-stretch region. These changes in vibrational spectra between ground and excited states point to a structure change. Calculations suggest that electronic excitation involves charge transfer from carbazole to carbene, accompanied by a decreased dipole moment.<sup>4,6</sup> Such a change in electronic structure may give rise to modified bond strengths, manifested in vibrational spectra; in the absence of accurate calculations of excited state frequencies, more detailed assignment is not possible.

In contrast, Raman spectra of singlet and triplet states are very similar from  $400 \text{ cm}^{-1}$  to  $>1000 \text{ cm}^{-1}$ ; only the  $1388 \text{ cm}^{-1}$  peak undergoes a small (ca  $5 \text{ cm}^{-1}$ ) red shift between singlet and triplet states, indicating weakening of a ring stretch in the carbazole ligand on ISC.<sup>4,6</sup> This similarity implies similar



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3 structures for the strongly mixed near degenerate  $S_1$  and  $T_1$  states. In general, the dominant  
4 contributions to the higher wavenumber Raman spectra probably arise from ligand ring localised  
5 modes, which are apparently not strongly perturbed on ISC.  
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9 The only striking difference between singlet and triplet states lies in their low-frequency Raman  
10 activity, where a strong  $258\text{ cm}^{-1}$  mode makes a major contribution to the singlet state (690 nm), but  
11 decays in 8 ps (Figure 2D). This mode is also observed in the 650 nm data, but not beyond 10 ps,  
12 where the triplet is formed and stabilising. Thus, the  $258\text{ cm}^{-1}$  mode reflects a distinct difference  
13 between singlet and triplet states. The  $258\text{ cm}^{-1}$  matches calculations for carbazole ring modes which  
14 appear with low amplitude in the ground state spectrum. However, there is no reason to expect  
15 these modes to be absent in the triplet state or strongly resonantly enhanced. Its appearance  
16 specifically in  $S_1$  could reflect intramolecular carbazole torsion relative to carbene,<sup>6</sup> following  
17 excitation of the nearly co-planar ground state (in which the  $258\text{ cm}^{-1}$  mode is weak or absent<sup>4</sup>).  
18 However, that torsion potential is rather flat<sup>6</sup> and the ligand relatively massive leading to a  
19 calculated wavenumber in the ground electronic state of  $< 20\text{ cm}^{-1}$ , a much lower frequency than  
20  $258\text{ cm}^{-1}$ . A second source of low-frequency activity is modes involving the heavy Au ion. A recent  
21 calculation for CMA2 ( $M = \text{Cu}$ ) pointed to excited state bending and elongation of the carbon-metal-  
22 nitrogen bond, enhancing spin-orbit coupling.<sup>7</sup> The structure changes associated with that relaxation  
23 could modulate low-frequency Raman activity. Thus, we tentatively assign the difference between  $S_1$   
24 and  $T_1$  low-frequency Raman to structural changes reflected in C–Au–N localised modes upon  
25 change in electronic state.  
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46 In conclusion, we report ultrafast dynamics in the near ideal TADF molecule CMA1. Measurements  
47 of TA show a complex hierarchy including sub-picosecond structural relaxation and 3.7 ps ISC. This is  
48 followed by relaxation in the triplet state associated with the appearance of a new intermediate in  
49  $< 10\text{ ps}$ , and formation of the relaxed triplet state in hundreds of picoseconds, demonstrating  
50 structural dynamics in the triplet manifold. Structural evolution was interrogated by FSRS, which  
51 revealed marked difference between ground and excited states spectra, and between singlet and  
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3 triplet states, notably suppression of a strong low-frequency mode in  $S_1$  on triplet state formation. It  
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5 was suggested that this reflects structural relaxation around the central metal atom. These  
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7 measurements are being extended to a range of CMAs.  
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13  
14 EP/R042357/1). M.B. is an ERC Advanced Investigator Award holder (grant no. 338944-GOCAT).  
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### 19 **Supporting Information**

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22 Additional details on the TA and FSRS methodology, and an example of the background subtraction  
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24 procedure required for the generation of the data in Figure 2.  
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