Polymorphic residues in an allelic rice NLR expand binding and 1 response to effectors of the blast pathogen 2 3 4 De la Concepcion JC*a, Franceschetti M*a, Maqbool Aa^, Saitoh Hb, Terauchi 5 R^{c,d}, Kamoun S^e & Banfield MJ^{#a} 6 ^a Department of Biological Chemistry, John Innes Centre, Norwich Research 7 Park, Norwich, NR4 7UH, UK 8 b Laboratory of Plant Symbiotic and Parasitic Microbes, Department of Molecular Microbiology, Faculty of Life Sciences, Tokyo University of 9 10 Agriculture, 1-1-1 Sakuragaoka, Setagaya-ku, Tokyo 156-8502, Japan ^c Division of Genomics and Breeding, Iwate Biotechnology Research Center, 11 Iwate 024-0003, Japan 12 13 d Laboratory of Crop Evolution, Graduate School of Agriculture, Kyoto University, Kyoto, 606-8501, Japan 14 15 ^e The Sainsbury Laboratory, Norwich Research Park, Norwich, NR4 7UH, UK 16 17 * These authors contributed equally to this work 18 ^ Current address: The Sainsbury Laboratory, Norwich Research Park, Norwich, NR4 7UH, UK 19 20 # Corresponding author. Tel: +44 (0)1603 450742, email: mark.banfield@jic.ac.uk 21 22 **ORCID IDs:** 23 Juan Carlos De la Concepcion: orcid.org/0000-0002-7642-8375 24 Marina Franceschetti: orcid.org/0000-0002-1389-6825 25 Abbas Maqbool: orcid.org/0000-0002-6189-5560 26 Hiromasa Saitoh: orcid.org/0000-0002-0124-9276 27 Ryohei Terauchi: orcid.org/0000-0002-0095-4651 28 Sophien Kamoun: orcid.org/0000-0002-0290-0315 29 Mark J Banfield: orcid.org/0000-0001-8921-3835

30 Abstract

31 Accelerated adaptive evolution is a hallmark of plant-pathogen interactions. Plant 32 intracellular immune receptors (NLRs) often occur as allelic series with differential 33 pathogen specificities. The determinants of this specificity remain largely unknown. 34 Here, we unravelled the biophysical and structural basis of expanded specificity in the allelic rice NLR receptor Pik, which responds to the effector AVR-Pik from the rice 35 36 blast pathogen Magnaporthe oryzae. Rice plants expressing the Pikm allele resist 37 infection by blast strains expressing any of three AVR-Pik effector variants, whereas 38 those expressing Pikp only respond to one. Unlike Pikp, the integrated HMA domain 39 of Pikm binds with high affinity to each of the three recognised effector variants, and 40 variation at binding interfaces between effectors and Pikp-HMA/Pikm-HMA 41 domains encodes specificity. By understanding how co-evolution has shaped the 42 response profile of an allelic NLR, we highlight how natural selection drove the 43 emergence of new receptor specificities. This work has implications for engineering of 44 NLRs with improved utility in agriculture.

Introduction

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46 The innate immune systems of plants and animals monitor the extracellular space and 47 intracellular environment for the presence and activities of microbial pathogens^{1,2}. In plants, immune receptors of the NLR (nucleotide-binding, leucine-rich repeat) 48 49 superfamily monitor the intracellular space for signatures of non-self, typically detecting translocated pathogen effector proteins either by direct-binding, or 50 51 indirectly via monitoring their activity on host targets^{3,4}. Co-evolution between 52 pathogens and hosts has driven diversification of plant NLRs, with many NLR genes 53 present in allelic series, with distinct effector recognition profiles⁵⁻¹⁵. Pathogen effectors 54 can show strong signatures of positive selection including high levels of non-55 synonymous (resulting in amino acid changes) over synonymous polymorphisms^{5,7,12,16-18}. How NLR and effector diversification contributes to gene-for-56 57 gene immunity in plants is poorly understood. Defining how allelic NLRs recognise 58 and respond to specific pathogen effectors offers new opportunities to engineer control of plant diseases^{19,20}, leading to improved global food security. 59

60 Many NLRs function synergistically, with some acting as a "sensors", to detect pathogens, and others as "helpers", required for initiation of immunity^{1,21,22}. These 61 62 NLRs can be genetically linked in pairs, with a shared promoter^{21,23-26}, or unlinked but part of a complex genetic network²⁷. One mechanism of effector recognition by sensor 63 64 NLRs is via unconventional integrated domains that likely have their evolutionary origin as host effector targets²⁸⁻³¹. Such integrated domains can act as "baits" to target 65 effectors by direct binding, or act as substrates of an effector's enzymatic activity^{28,31}. 66 67 Genetically paired NLRs with integrated domains have repeatedly evolved in rice^{29,30}, and can detect effectors from the rice blast pathogen Magnaporthe oryzae (syn. 68 69 Pyricularia oryzae), the causative agent of the most devastating disease of rice - the 70 staple crop that feeds more than half the world population^{5,25,26,32}.

The rice NLR pair Pik is comprised of Pik-1 (the sensor) and Pik-2 (the helper). This receptor pair responds to the *M. oryzae* effector AVR-Pik by direct binding to an integrated HMA (heavy metal-associated) domain, positioned between the CC (coiled-coil) and nucleotide-binding (NB) domains of Pik-1³³ (**Fig. 1a**). Both the AVR-Pik effectors and the Pik NLRs exist as an allelic series in *M. oryzae* and rice respectively, most likely arisen through co-evolutionary dynamics between pathogen and host^{5,34,35}. As such, they represent an excellent system for understanding the mechanistic basis of recognition in plant immunity. Comparison of amino acid sequence identity between the domains of paired Pik NLR alleles shows the integrated HMA domain is the most polymorphic region³⁵ (**Fig. 1a,c**), consistent with this being the direct binding region for the AVR-Pik effectors. The HMA domain also contains variable amino acids that have been used as a markers for Pik allele identification in rice³⁵. In addition, AVR-Pik is a remarkable example of an effector with an extreme signature of positive selection, as all known AVR-Pik nucleotide polymorphisms are non-synonymous, resulting in amino acid changes^{16,18} (**Fig. 1b**). Further, these polymorphisms map to

- 86 interface residues identified in the crystal structure of the effector variant AVR-PikD
- 87 bound to the HMA domain of the NLR allele Pikp³³, suggesting they are adaptive.
- While rice plants expressing the NLR allele Pikp are resistant to M. oryzae strains
- 89 expressing the effector variant AVR-PikD, rice plants expressing the allele Pikm
- 90 respond to strains expressing AVR-PikD, AVR-PikE, or AVR-PikA³⁴ (Fig. 1b).
- 91 Importantly, neither Pikp nor Pikm respond to the stealthy effector variant AVR-PikC,
- 92 which evades detection by any known Pik NLR³⁴. The molecular mechanism by which
- 93 Pik NLR variation acts to expand effector recognition remains unclear.
- 94 Previous work established the structural basis of AVR-PikD recognition by the Pikp-1
- 95 NLR³³. Here, we reveal how co-evolutionary dynamics between a pathogen and a host
- 96 has driven the emergence of new receptor specificities. By taking advantage of our
- 97 ability to reconstruct complexes between Pik-HMA domains and AVR-Pik effectors,
- 98 and to recapitulate cell death responses (indicative of immunity) in the model plant
- 99 Nicotiana benthamiana, we show a correlation between protein binding affinities, and
- activation of immunity. By obtaining crystal structures of the Pikm-HMA domain in
- 101 complex with three different AVR-Pik variants, we define the interfaces that support
- 102 expanded effector recognition. We also obtained new structures of the Pikp-HMA
- domain in complex with the recognised effector AVR-PikD, but also with the
- 104 unrecognised AVR-PikE. Together, these structures establish a previously
- unappreciated role for the C-terminus of the HMA domain in mediating effector
- interaction. Understanding how host NLRs have evolved new specificities in response
- to pathogen effectors highlights the potential to engineer new-to-nature receptors with
- improved functions such as recognition of stealthy effector variants, and has broad
- implications for rational design of plant NLRs.

111 112	Pikm-mediated cell death in N . benthamiana recapitulates allele-specific effector responses in rice
113 114 115 116 117 118 119 120 121 122 123	Pikp-mediated cell death in <i>N. benthamiana</i> phenocopies effector variant-specific resistance in rice, with Pikp responding to AVR-PikD, but not AVR-PikE, AVR-PikA, or AVR-PikC ³³ . Here, we show that Pikm responds to each of AVR-PikD, AVR-PikE, or AVR-PikA, but not to AVR-PikC, in this assay (Fig. 1d,e, Table 1). These results match the response of rice cultivars expressing Pikm to <i>M. oryzae</i> strains encoding the effectors ³⁴ . Interestingly, we observe a qualitative hierarchy in the level of Pikm-mediated cell death in response to the effectors in the order AVR-PikD > AVR-PikE > AVR-PikA (Fig. 1d,e). To allow for direct comparison, we repeated this assay using the Pikp NLRs and the effector variants in the same expression vectors. We obtained equivalent results to those shown previously ³³ (Supplementary Fig. 1a,b). The expression of each protein was confirmed by western blot (Supplementary Fig. 1c).
124 125 126	Allele-specific effector responses in planta correlates with direct Pik-HMA interactions
127 128 129 130 131 132 133 134 135 136	We used yeast-2-hybrid (Y2H) to investigate whether the binding of effectors to the Pikp-HMA domain (henceforth Pikp-HMA) or Pikm-HMA domain (henceforth Pikm-HMA) correlates with in planta response profiles. We observed comparable growth of yeast on selective plates, and the development of blue colouration with X- α-gal (both indicative of protein/protein interactions), with Pikm-HMA and AVR-PikD, AVR-PikE, and AVR-PikA, but not AVR-PikC (Fig. 2a). While the Y2H assay with Pikm-HMA or Pikp-HMA showed comparable interaction with AVR-PikD, Pikm-HMA showed increased interaction with AVR-PikE and markedly stronger interaction with AVR-PikA (Fig. 2a). No growth was observed with Pikp-HMA and AVR-PikC. All proteins were confirmed to be expressed in yeast (Supplementary Fig. 2a).
138 139	Pikm-HMA has tighter binding affinities for AVR-Pik effectors compared to Pikp-HMA in vitro
140 141 142 143 144 145	To produce stable Pikm-HMA protein for in vitro studies, we cloned a construct with a 5-amino acid extension at the C-terminus (encompassing residues Gly186 - Asp264 of the full-length protein) compared to the previously studied Pikp-HMA ³³ . Using gel filtration with separately purified proteins, Pikm-HMA forms complexes with the effectors AVR-PikD, AVR-PikE, or AVR-PikA, but not with AVR-PikC (Fig. 2b , Supplementary Fig. 2b).
146 147	To determine the extent to which the expanded response of Pikm to AVR-Pik effectors in <i>N. benthamiana</i> is related to the strength of binding to the Pikm-HMA, we

Results

- 148 determined binding affinities by Surface Plasmon Resonance (SPR). We monitored response units (RU) following Pikm-HMA injection after capturing effectors on the 149 chip surface. Binding of Pikm-HMA to the different effectors was measured at three 150 different concentrations, and RUs normalised to R_{max} (theoretical maximum response, 151 assuming a 1:1 interaction model). From this, we ranked the order of highest to lowest 152 153 apparent affinity (Fig. 2c). We then extended the Pikm-HMA concentration range to 154 enable estimation of the equilibrium dissociation constant, K_D. Using a 1:1 kinetics interaction model, we found that Pikm-HMA bound to AVR-PikD with the highest 155 affinity (lowest K_D), followed by AVR-PikE and AVR-PikA (Fig. 2c, Supplementary 156 157 Fig. 2c-e, Supplementary Table 1). We observed no significant binding of Pikm-HMA to AVR-PikC (Fig. 2c, Supplementary Fig. 2f, Supplementary Table 1). 158
- We also produced Pikp-HMA with its equivalent 5-amino acid C-terminal extension 159 160 (including residues Gly186 - Asp263 of the full-length protein) and analysed effector binding by SPR (Fig. 2c). We ranked effector binding affinities in the order AVR-PikD 161 > AVR-PikE > AVR-PikA (with no significant binding to AVR-PikC, and assuming a 162 1:2 (effector:Pikp-HMA) interaction model, as previously observed³³). However, we 163 were only able to reliably determine the K_D for Pikp-HMA bound to AVR-PikD (**Fig.** 164 2c, Supplementary Fig. 2g), as the binding of AVR-PikE and AVR-PikA were of 165 166 insufficient quality under our assay conditions to allow KDs to be determined 167 (Supplementary Fig. 2h-i).
- Based on these results, and the interactions monitored by Y2H, we conclude that differential binding affinity to the HMA domains is the source of the allele-specific response profile in *N. benthamiana*, and of rice cultivars to *M. oryzae* strains expressing AVR-Pik variants³⁴.

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Structures of Pik-HMAs in complex with AVR-Pik effectors reveals multiple interaction surfaces

Using a co-expression strategy, we obtained complexes of Pikm-HMA bound to AVR-175 PikD, AVR-PikE, or AVR-PikA. Each of these were crystallised, and X-ray diffraction 176 data were collected at the Diamond Light Source (UK) to 1.2 Å, 1.3 Å, and 1.3 Å 177 resolution respectively. Details of X-ray data collection, structure solution, and 178 179 structure completion are given in the Methods and Supplementary Table 2. The overall orientations of each component in the Pikm-HMA/effector complexes are 180 181 similar to each other, and to the previously determined Pikp-HMA/AVR-PikD structure³³ (Fig. 3a, Supplementary Fig. 3a,b, Supplementary Table 3). Interestingly, 182 the Pikm-HMA/effector structures form a 1:1 complex, in contrast to Pikp-183 184 HMA/AVR-PikD, which formed a 2:1 complex³³. Pikp-HMA dimerization is most likely an artefact of in vitro protein expression and purification. 185

Analysis of the interfaces formed between Pikm-HMA and the effectors using QtPISA³⁶ (Supplementary Table 4, Supplementary Fig. 4) reveals they are broadly

- similar to each other, although there is a trend of reducing total interface area in the
- order AVR-PikD > AVR-PikE > AVR-PikA. Graphical representation of key interface
- components (using QtPISA interaction radars³⁶, **Supplementary Fig. 4**) reveals a high
- 191 likelihood that each interface is biologically relevant: each key component value lies
- well above the 50% threshold when considered against statistical distributions derived
- 193 from the Protein DataBank (PDB) (see **Methods** and ³⁶).
- 194 Three predominant regions can be identified within each Pikm-HMA/effector
- interface (Fig. 3b, Fig. 1c). These regions (interfaces) are defined here from the HMA
- side as: interface 1, N-terminal residues Glu188 Lys191; interface 2, residues from β2
- and β3 (Ser219 Val233), and Lys195 from β1; interface 3, residues from β4 to the C-
- 198 terminus (Met254 Asp264) (**Fig. 3b, Fig. 1c**).
- 199 Interface 1 is a minor component of the Pikm-HMA/effector interaction, with a single,
- weak hydrogen bond formed by the side-chain of Lys191 (to the main-chain carbonyl
- 201 group of Thr69 of the effector), and a hydrophobic interface contributed by the side
- 202 chain of Met189 (to the side chain of Ile49 of the effector). Interface 2 is more extensive,
- and predominately interacts with AVR-Pik residues from the N-terminal extension of
- the conserved MAX effector fold³⁷, including Arg39 Phe44 and His46 Ile49. This
- interface includes the polymorphic residues at positions 46, 47, and 48 of the effector
- variants³⁴ (**Fig. 1b**, **Fig. 3a-d**). Interface 2 also includes salt-bridge/hydrogen bond
- 207 interactions via the side chains of Asp225 (to Arg64 of the effectors), and Lys195 (to
- Asp66 of the effectors, **Fig. 3a**). Finally, interface 3 includes both main-chain hydrogen
- bonding interactions between $\beta4$ of the HMA and $\beta3$ of the effectors, and inserts the
- side-chain of Lys262 into a surface pocket on the effector lined by residues Glu53,
- 211 Tyr71, Ser72, and Trp74. Lys262 makes a number of interactions in this pocket,
- including salt-bridge/hydrogen bonds with the side-chains of Glu53 and Ser72 (Fig.
- 213 3a, Fig. 4a).
- 214 We also obtained crystal structures of Pikp-HMA, with the 5-amino acid extension at
- 215 the C-terminus of the HMA, bound to AVR-PikD or AVR-PikE at 1.35 Å and 1.9 Å
- 216 resolution respectively (see Methods, Supplementary Table 2, Supplementary Fig.
- 3c,d). The Pikp/AVR-PikE combination does not give rise to responses in planta, but
- 218 we were able to obtain the complex in solution. The new structure of the Pikp-
- 219 HMA/AVR-PikD complex is essentially identical to that previously determined³³,
- except for the 5-amino acid extension. Interface analysis with QtPISA (Supplementary
- **Table 4**, **Supplementary Fig. 4**) reveals that the Pikp-HMA/AVR-PikD complex has
- broadly similar properties to those of Pikm-HMA/effectors (total interface area and
- key component values well above the 50% threshold in interaction radars). In contrast,
- while the Pikp-HMA/AVR-PikE interface shows a broadly similar total interface area
- 225 to the other complexes, the total calculated binding energy is reduced (area of the
- polygon in **Supplementary Fig. 4**), and 5 out of 6 key interface components fall below
- polygon in Supplementally Fig. 4), and 3 out of 6 key interface components fair being
- 227 the 50% threshold, questioning this interface's biological relevance.

Structural changes at interface 2 underpin differential effector recognition by Pikm

- Effector variants AVR-PikD, AVR-PikE, and AVR-PikA differ at amino acid positions 230 46, 47 and 48, which localise to interface 2 (Fig. 1b, Fig. 3b). Pikp-HMA binds AVR-231 PikD(His46) via hydrogen bonds with residues Ser218 and Glu23033. In Pikm, the Ser 232 is conserved, but Glu230 is replaced by Val231 at the structurally equivalent position, 233 resulting in the loss of a direct hydrogen bond. Despite this, AVR-PikD(His46) 234 occupies the same position in both complexes (Fig. 3c). Surprisingly, in the Pikm-235 236 HMA/AVR-PikE complex, AVR-PikE(Asn46) is rotated out of the binding pocket, 237 well away from Val231 (Fig. 3d), and a water molecule occupies the resulting space. Hydrogen bonds are formed between AVR-PikE(Asn46:Nδ2) and both Pikm-238 HMA(Ser219:OH) and the new water molecule. This configuration impacts the 239 240 position of effector residues Phe44 - Gly48, pushing them away from the HMA, further 241 altering interactions across interface 2. These structural changes correlate with reduced 242 binding affinity of AVR-PikE with Pikm-HMA compared to AVR-PikD. In the Pikm-HMA/AVR-PikA complex, Asn46 is rotated even further out of the HMA pocket, and 243 while a hydrogen bond is still formed with Pikm-HMA(Ser219:OH), this is 244 significantly different in orientation (Fig. 3d). These changes serve to move residues 245 Asn46 - Pro50 of AVR-PikA further away from the HMA, and again these structural 246 observations correlate with reduced effector binding affinity. Interestingly, the 247 248 polymorphic residues in AVR-PikA (Ala47 and Asp48) have no direct role in Pikm-HMA interaction. The polymorphisms in AVR-Pik do not significantly alter 249 protein/protein interactions across interfaces 1 and 3, and these regions appear to 250 stabilise the complexes. 251
- We conclude that the structural changes at interface 2 underlie the weaker binding affinities of Pikm-HMA for AVR-PikE and AVR-PikA, compared to AVR-PikD.

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Interactions across interface 3 contribute more to Pikm-HMA than Pikp-HMA binding to AVR-PikD

- As observed at interface 3 for the Pikm/effector complexes (Fig. 4a), a Lys residue 257 from Pikp-HMA (Lys262) locates to the binding pocket on the effector containing 258 Glu53 and Ser72 (Fig. 4b). However, this Lys is shifted one residue to the C-terminus 259 in the sequence of Pikp-1 (Fig. 1c). This results in a different conformation of Pikp-260 HMA residues Ala260 and Asn261 when compared to Pikm-HMA (Val261 and 261 Lys262), changing the interactions across interface 3. The most dramatic difference is 262 the "looping-out" of Pikp-HMA(Asn261), to retain Lys262 in the effector binding 263 pocket (Fig. 4b, Fig. 5d,e), which affects the packing of Pikp-HMA(Ala260) (Val261 in 264
- 265 Pikm-HMA) and hydrophobic packing of the side-chain of Lys262.
- Pik alleles also differ in the composition of residues at interfaces 1 and 2. Of most
- significance are the changes at interface 2 that contact AVR-PikD(His46), as discussed
- above and Fig. 3c.

- We propose that Pikm has evolved more robust interactions across interface 3
- 270 compared to Pikp to compensate for loss of binding, such as direct hydrogen bonds,
- 271 at interface 2.

- 273 Interactions across interfaces 2 and 3 underpin specificity of Pikp to AVR-PikD over
- 274 AVR-PikE
- 275 Underpinning the global analysis of the Pikp-HMA/AVR-PikD and Pikp-
- 276 HMA/AVR-PikE complexes are extensive differences at interfaces 2 and 3. At interface
- 2, AVR-PikE(Asn46) is fully rotated out of the AVR-PikD(His46) binding pocket (Fig.
- 278 5a-c). A hydrogen bond is still formed between AVR-PikE(Asn46) and Pikp-
- 279 HMA(Ser218), but in a very different orientation (Fig. 5a-c). This results in residues
- 280 Asn46-Pro50 moving away from the HMA. This re-configuration is coupled with
- changes at interface 3 (Fig. 5d,f,g). Interestingly, in the Pikp-HMA/AVR-PikE
- 282 complex, Lys262 adopts a similar orientation to that found in the Pikm-HMA
- complexes (Fig. 5e,f,g). But to enable this, residues Ser258 Asn261 adopts a
- dramatically different position, looping-out residues Gln259 and Ala260 from their
- positions in the Pikm-HMA complex (Fig. 5e,f,g), with consequent impacts on this
- interface.
- We conclude that interface 2 is key for effector recognition by Pikp and, unlike for
- Pikm, interfaces 1 and 3 are not able to compensate to enable productive binding.

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- Mutations at separate interfaces have differential effects on Pik-HMA/effector
- 291 interactions and immunity phenotypes
- We subsequently tested whether mutations in the effectors at interfaces 2 and 3 have
- 293 differential effects on Pik-HMA binding and responses by Y2H, SPR and in N.
- benthamiana. We used the previously characterised AVR-PikD(His46Glu) mutant at
- interface 2, and a Glu53Arg mutant at interface 3 in AVR-PikD, AVR-PikE, and AVR-
- 296 PikA. While AVR-PikD(His46) occupies a central position at interface 2, AVR-
- 297 Pik(Glu53) locates to the Pik-HMA(Lys262) binding pocket, at the periphery of
- interface 3.
- 299 As previously observed (although without the C-terminal extension³³), the AVR-
- 300 PikD(His46Glu) mutant essentially blocks the Pikp-HMA/effector interaction in Y2H
- 301 and SPR, and abolishes Pikp-mediated cell death in N. benthamiana (Fig. 6a-c,
- 302 **Supplementary Fig. 5**). Interestingly, AVR-PikD(His46Glu) interacts with Pikm-HMA
- in Y2H (Fig. 6a). However, when measured by SPR, Pikm-HMA binding to this mutant
- is reduced to ~11% compared to wild-type (**Fig. 6b**). This reduction of binding in vitro
- 305 is reflected in N. benthamiana, where we observe weak AVR-PikD(His46Glu)-
- dependent Pikm cell death (Fig. 6c, Supplementary Fig. 5b-d).

307 For each of the Glu53Arg effector mutants, we observe little impact on Pikm-HMA 308 interaction in Y2H compared to wild-type, except a reduced interaction of AVR-PikA(Glu53Arg) (Fig. 6a). Interestingly, the Glu53Arg mutant in AVR-PikE abolishes 309 interaction of this effector with Pikp-HMA in Y2H. Using SPR, the AVR-310 311 Pik(Glu53Arg) mutants show reduced binding to both Pik-HMA domains when 312 compared pairwise with wild-type in each effector background (Fig. 6b). However, in 313 each case, the Glu53Arg mutant has a greater effect in Pikm-HMA binding compared to Pikp-HMA. Surprisingly, in the *N. benthamiana* cell death assay, we observe a slight 314 increase in the AVR-PikD(Glu53Arg)-dependent cell death compared to wild-type for 315 both Pikp and Pikm (Fig. 6c, Supplementary Fig. 5b-d). However, we see a reduction 316 in intensity of Pikm-mediated cell death for the effector variants AVR-PikE(Glu53Arg) 317 and AVR-PikA(Glu53Arg) (Fig. 6c, Supplementary Fig. 5b-d). 318

We conclude that interactions across interface 2 are critical for effector recognition by Pikp, and important for Pikm, and interface 3 has an important role in the extended

321 response of Pikm to AVR-PikE and AVR-PikA.

Discussion

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Despite intensive study, 25 years since the cloning of the first plant NLRs³⁸⁻⁴⁰ very little 323 is known about the molecular mechanistic basis of how these proteins recognise 324 pathogen effectors and initiate immune signalling. The recent identification of plant 325 NLRs with integrated domains²⁸⁻³⁰ has enabled new opportunities to investigate how 326 these receptors directly recognise pathogen effectors at the biochemical and structural 327 level, and how these binding events are linked to disease resistance^{33,41-44}. Here we 328 329 have generated five structures of different complexes between the integrated domains 330 of an allelic NLR (Pik), and the variants of the effector (AVR-Pik) they recognise. When 331 combined with analysis of biophysical interactions in vitro, and cell death responses in the model plant N. benthamiana, these structures provide new understanding, and 332 333 unexpected findings, on how co-evolution has driven the emergence of new plant NLR 334 receptor specificities.

High levels of diversifying selection in allelic plant NLRs and pathogen effectors suggest direct interaction between the proteins. Previous studies where structures of the effectors, but not the interacting NLR domain, were available showed that distributed surface-presented residues on the effectors defined NLR recognition specificity, mediated by polymorphic LRR domains 14,15. The integrated HMA domains are the most polymorphic regions of the rice Pik-1/Pik-2 paired NLRs, and Pik-HMA amino acids that form the interfaces with effectors are likely under the strongest selective pressure. Therefore, during the course of plant-pathogen co-evolution, at least two alternative solutions for recognising divergent effectors have emerged. One of these involves the integration and diversification of non-canonical domains in the NLR architecture. The second involves diversification of LRR domains. An important question raised by these studies is what has driven the emergence of these different systems? An advantage of the integrated domain is that (once stably incorporated) it may tolerate accelerated accumulation of mutations, followed by selection for function, as mutations may be less likely to disrupt to the overall structure and function of the NLRs.

One outcome from this work is the surprising plasticity of the Pik-HMA interfaces that supports differential recognition of AVR-Pik variants. Interactions across interface 2 are important for effector binding by Pikp-HMA and Pikm-HMA. Disruption of interface 2 by amino acid polymorphisms in AVR-PikE and AVR-PikA eliminates Pikp-mediated cell death in planta, and weakens Pikm-mediated cell death. The unique polymorphism that defines AVR-PikC (Ala67Asp) also maps to interface 2, and may result in a steric clash preventing, or severely reducing, Pik-HMA binding. Our structural data support a conclusion that more favourable interactions across interface 3 have evolved in Pikm-HMA to, in-part, compensate for the impact of AVR-Pik variation at interface 2, and support cell-death signalling. Our biophysical data suggest that quantitative binding differences, visualised as disruption of interfaces in the structures, underpins differential effector recognition by Pik-HMAs, and a threshold of binding is required for activation of response in planta. These insights will inform

future structure/function studies to address whether rational engineering of Pik-HMA effector-binding interfaces can generate NLR receptors with improved recognition profiles. Ultimately, we must understand how recognition of effectors, through either integrated domains or other mechanisms, results in triggering of immune responses in the context of the full-length proteins and, potentially, oligomeric states.

370	Methods
371	Gene cloning
372	For details of gene cloning, please see Supplementary Methods .
373	Expression and purification of proteins for in vitro binding studies
374 375 376 377 378 379 380	pOPINM encoding Pikm-HMA or Pikp-HMA was transformed into <i>E. coli</i> SHuffle cells ⁴⁵ . Inoculated cell cultures were grown in auto induction media ⁴⁶ at 30 °C for 6h and 18 °C overnight. Cells were harvested and proteins extracted as previously reported ³³ . AVR-Pik effectors with a cleavable N-terminal SUMO or MBP tag and a non-cleavable C-terminal 6xHis tag were produced in and purified from <i>E. coli</i> SHuffle cells as previously described ³³ using either auto induction media ⁴⁶ , or Power Broth (Molecular Dimensions).
381 382 383 384 385 386	Protein concentration of AVR-Pik effectors was determined by absorption at 280 nm using a NanoVue spectrophotometer (GE Lifesciences). Measurements were corrected using the molar extinction coefficient 25,105 M ⁻¹ cm ⁻¹ , as calculated by Expasy (http://web.expasy.org/protparam). Due the lack of aromatic residues in Pik-HMA domains, protein concentrations were measured using a Direct Detect® Infrared Spectrometer (Merck).
387	Co-expression and purification of Pik-HMA/AVR-Pik effectors for crystallisation.
388 389 390 391 392 393 394 395	Relevant Pik-HMA domains and AVR-Pik effectors were co-expressed in SHuffle cells following co-transformation of pOPINM:Pik-HMA and pOPINA:AVR-Pik, as previously described ³³ . Cells were grown in autoinduction media (supplemented with both carbenicillin and kanamycin), harvested, and processed as described in the Supplementary Methods . Protein concentrations were measured by absorbance at 280 nm using a NanoVue spectrophotometer and an extinction coefficient of 25,105 M ⁻¹ cm ⁻¹ for Pikm-HMA complexes, and 26,720 M ⁻¹ cm ⁻¹ for Pikp-HMA complexes, as calculated by Expasy (http://web.expasy.org/protparam).
396	Protein:protein interaction: Analytical gel filtration
397 398 399 400 401 402	Pikm-HMA and the AVR-Pik effectors were mixed in a molar ratio of 2:1 and incubated on ice for 60 min. In each case a sample volume of 110 μ l was separated a 4°C on a Superdex 75 10/300 size exclusion column (GE Healthcare), pre-equilibrated in buffer B, and at a flow rate of 0.5 ml/min. Fractions of 0.5 ml were collected for analysis by SDS-PAGE. The Superdex 75 10/300 column has a void volume of 7.4 m and a total volume of 24 ml.

Protein:protein interaction: Surface plasmon resonance

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Surface plasmon resonance (SPR) experiments were performed on a Biacore T200 system (GE Healthcare) using an NTA sensor chip (GE Healthcare). All proteins were prepared in SPR running buffer (20 mM HEPES pH 7.5, 860 mM NaCl, 0.1% Tween

- 407 20). Details of the cycling conditions are given in the **Supplementary Methods**.
- 408 The equilibrium dissociation constants (K_D) for Pikm-HMA binding to AVR-Pik
- 409 alleles, and Pikp-HMA binding to AVR-PikD, were determined from multicycle
- 410 kinetics curves using the Biacore T200 BiaEvaluation software (GE Healthcare), with a
- 411 1:1 or 2:1 fit model respectively. For the interaction between Pikp-HMA and AVR-PikE
- and AVR-PikA, and for both Pik-HMAs and the AVR-Pik mutants, it was not possible
- 413 to accurately determine the K_D due to the insufficient quality of the data. In these cases,
- 414 the level of binding was expressed as a percentage of the theoretical maximum
- response (R_{max}) normalized for the amount of ligand immobilized on the chip. SPR
- data was exported and plotted using Microsoft Excel. Each experiment was repeated
- a minimum of 3 times, with similar results.

436

Protein:protein interaction: Yeast-2-hybrid analyses

- 419 The Matchmaker® Gold Yeast Two-Hybrid System (Takara Bio USA) was used to
- 420 detect protein-protein interactions between Pik-HMAs and AVR-Pik effectors. DNA
- 421 encoding Pik-HMAs in pGBKT7 was co-transformed with either the individual AVR-
- 422 Pik variants or mutants in pGADT7, into chemically competent Saccharomyces cerevisiae
- 423 Y2HGold cells (Takara Bio, USA). Single colonies grown on selection plates were
- 424 inoculated in 5 ml of SD-Leu-Trp and grown overnight at 30°C. Saturated culture was
- 425 then used to make serial dilutions of OD_{600} 1, 1-1, 1-2, 1-3, respectively. Five μ l of each
- dilution was then spotted on a SD-Leu-Trp plate as a growth control, and also on a SD-Leu-
- 427 Trp-Ade-His plate containing X-α-gal and aureobasidine, as detailed in the user manual.
- 428 Plates were imaged after incubation for 60 72 hr at 30 °C. Each experiment was
- repeated a minimum of 3 times, with similar results.
- 430 To confirm protein expression in yeast, total protein was extracted from transformed
- colonies by boiling the cells for 10 minutes in LDS Runblue® sample buffer. Samples
- 432 were centrifugated and the supernatant was subjected to SDS-PAGE prior to western
- 433 blotting. The resulting membranes were probed with Anti-GAL4 DNA-BD (Sigma) for
- 434 HMA domains in pGBKT7 and Anti-GAL4 Activation Domain (Sigma) antibodies for
- 435 AVR-Pik effectors in pGADT7.

N. benthamiana cell death assays

- 437 Transient gene-expression in planta was performed by delivering T-DNA constructs
- 438 with Agrobacterium tumefaciens GV3101 strain into 4-week old N. benthamiana plants
- grown at 22–25 °C with high light intensity. Pik-1, Pik-2, AVR-Pik and P19 were mixed
- at OD₆₀₀ 0.4, 0.4, 0.6 and 0.1, respectively. Detached leaves were imaged at 5 dpi from
- 441 the abaxial side. Images are representative of three independent experiments, with
- internal repeats. The cell death index used for scoring is as presented previously³³ (also
- included in **Supplementary Fig. 1d**). Scoring for all replicas is presented as boxplots,
- generated using R v3.4.3 (https://www.r-project.org/) and the graphic package
- ggplot247. The centre line represents the median, box limits are upper and lower

- quartiles, whiskers are 1.5x interquartile range, and all data points are represented as
- 447 dots.
- The presence of each protein, as expressed in representative assays, was determined
- by SDS-PAGE/western blot. For this, leaf tissue was frozen, and ground to fine
- powder in liquid nitrogen using a pestle and mortar. Leaf powder was mixed with 2
- 451 times weight/volume ice-cold extraction buffer (10% glycerol, 25 mM Tris pH 7.5, 1
- 452 mM EDTA, 150 mM NaCl, 2% w/v PVPP, 10 mM DTT, 1x protease inhibitor cocktail
- 453 (Sigma), 0.1% Tween 20 (Sigma)), centrifuged at 4,200g/4 °C for 20-30 min, and the
- 454 supernatant filtered (0.45 μm).

455 Crystallization, data collection and structure solution

- 456 For crystallization, Pik-HMA/AVR-Pik complexes were concentrated in buffer B (see
- 457 **Supplementary Methods**). Sitting drop, vapor diffusion crystallization trials were set
- 458 up in 96 well plates, using an Oryx nano robot (Douglas Instruments, United
- 459 Kingdom). Plates were incubated at 20°C, and crystals typically appeared after 24 48
- 460 hours. For data collection, all crystals were harvested from the Morpheus® HT-96
- 461 screen (Molecular Dimensions), and snap-frozen in liquid nitrogen. Details of each
- crystallisation condition are given in the **Supplementary Methods**.
- 463 X-ray data sets were collected at the Diamond Light Source (Oxford, UK). The data
- were processed using the xia2 pipeline⁴⁸ and AIMLESS⁴⁹, as implemented in CCP4⁵⁰.
- The structures were solved by molecular replacement using PHASER⁵¹ and the Pikp-
- 466 HMA/AVR-PikD structure³³. The final structures were obtained through iterative
- 467 cycles of manual rebuilding and refinement using COOT⁵² and REFMAC5⁵³, as
- implemented in CCP450. Structures were validated using the tools provided in COOT
- and MOLPROBITY⁵⁴.

470

Protein interface analyses

- 471 Protein interface analyses were performed using QtPISA³⁶. For each complex, one Pik-
- 472 HMA/AVR-Pik effector assembly was used as a representative example. QtPISA
- 473 interaction radars³⁶ were produced using the reference parameter "Total Binding
- Energy". The area of the polygon indicates the likelihood of the interface to constitute
- part of a biological assembly (the greater the area the more likely). The scales along the
- beams compare key interface properties to statistical distributions derived from the
- 477 Protein Databank. In general, if the radar area is contained within the 50% probability
- 478 circle then the interface is considered superficial, and its biological relevance is
- 479 questionable. In cases where the radar area is expanded outside the 50% probability
- 480 circle, the interface is considered more likely to be significant and biologically
- 481 relevant³⁶.

482 <u>Data availability</u>

- 483 The co-ordinates and structure factors have been deposited in the Protein Data Bank
- with accession codes 6FU9 (Pikm-HMA/AVR-PikD), 6FUB (Pikm-HMA/AVR-PikE),
- 485 <u>6FUD</u> (Pikm-HMA/AVR-PikA), <u>6G10</u> (Pikp-HMA/AVR-PikD) and <u>6G11</u> (Pikp-
- 486 HMA/AVR-PikE).

Acknowledgements

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495 Figures

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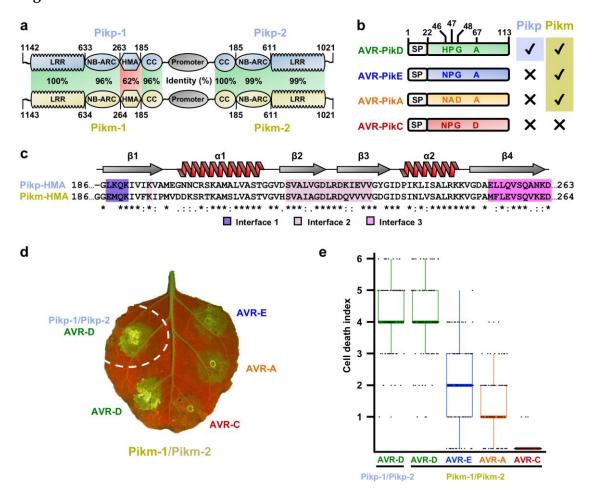


Figure 1: The Pikm-mediated cell death response to AVR-Pik effector variants in N. benthamiana phenocopies the Pikm resistance profile in rice. (a) Schematic representations of Pik NLR alleles. The sensor NLR (Pik-1) and helper NLR (Pik-2) share a common promoter and the same overall domain architecture. Pikp-1/Pikp-2 (top) are shown in ice blue, and Pikm-1/Pikm-2 (bottom) are shown in gold. Pairwise protein sequence identity between each domain is indicated, highlighting diversification of the integrated HMA domain, (b) Schematic representations of AVR-Pik variants with amino acid polymorphisms shown (single letter code, SP = Signal Peptide), along with their Pikp- or Pikm-mediated response profiles in rice³⁴, (c) Amino acid sequence alignment of Pikp-1 and Pikm-1 HMA domains. Secondary structure features of the HMA fold are shown above, and the residues located to the interfaces described in the text and Figure 3 are highlighted in purple (interface 1), pink (interface 2), and magenta (interface 3), (d) Representative leaf image showing Pikm-mediated cell death to AVR-Pik variants as autofluorescence under UV-light, Pikp-mediated cell death with AVR-PikD is included as a positive control (surrounded by dashed circle, no Pikm-1/Pikm-2 in this spot), (e) Box-plots showing repeats of the cell death assay, for each sample the number of repeats was 90. The cell-death scoring scale used is shown in Supplementary Fig. 1d. For brevity, effectors are labelled without the 'Pik' designation in panels (d) and (e) and, where appropriate, in Figs. 2 -6.

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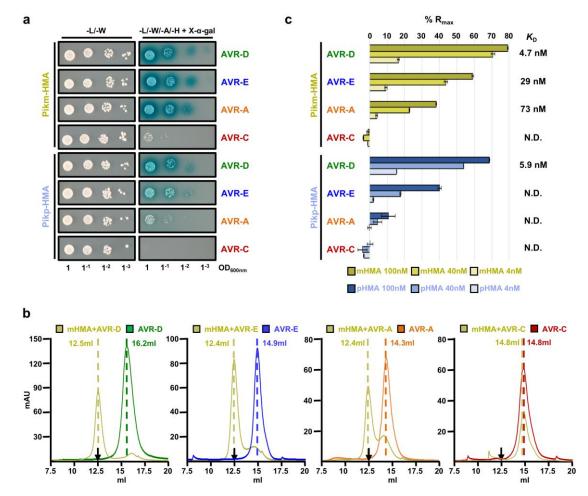


Figure 2: Different affinities underpin recognition and response of Pik NLR alleles to AVR-Pik effector variants. (a) Yeast-2-hybrid demonstrates binding of effector variants to both the Pikm- and Pikp-HMA domains, control plate for yeast growth is on the left, with selective plate on the right, (b) Analytical gel filtration confirms that Pikm-HMA forms complexes with AVR-PikD, AVR-PikE, and AVR-PikA in vitro, but not AVR-PikC. Note that earlier elution correlates with increased molecular mass. Retention volumes for peaks are labelled (black arrow indicates Pikm-HMA elution volume, Pikm-HMA does not absorb light at 280 nm). SDS-PAGE with relevant fractions are shown in **Supplementary Fig. 2b**. (c) Surface Plasmon Resonance (SPR) reveals in vitro binding affinity between Pik-HMA domains and effectors correlates with in planta responses. %R_{max} is the percentage of the theoretical maximum response, assuming a 1:1 binding model for Pikm (effector:HMA), and a 1:2 binding model for Pikp, at the HMA concentrations shown. Bars represent the average of 3 measurements, with corresponding standard deviation. Where K_D values are given, a wider range of HMA concentrations were used for this calculation (see **Supplementary Fig. 2c-e, g)**, N.D. = Not Determined.

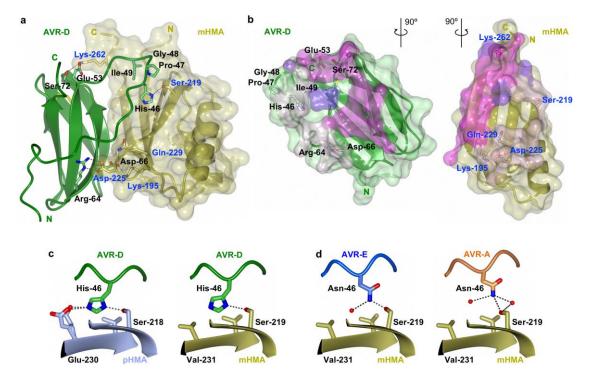


Figure 3: Structures of Pikm-HMA in complex with AVR-Pik effectors. (a) Schematic representation of the structure of Pikm-HMA in complex with AVR-PikD. Pikm-HMA is shown in gold cartoon representation with selected side chains as sticks; the molecular surface of this domain is also shown. AVR-PikD is shown in green cartoon, with selected side chains as sticks. Hydrogen bonds/salt bridges are shown as dashed lines and the di-sulfide bond as yellow bars, (b) Buried surface area of AVR-PikD and Pikm-HMA shown from the perspective of the partner (change in orientation from panel (a) indicated). The buried surfaces are coloured according to interfaces described in the text (interface 1 is in purple, interface 2 is in pink, interface 3 is magenta), (c) Close-up views (part of interface 2) of the orientation and interactions of AVR-PikD(His46) in the Pikp-HMA and Pikm-HMA complexes, (d) Close-up views (part of interface 2) of the orientation and interactions of AVR-PikE(Asn46), left, and AVR-PikA(Asn46), right, in complex with Pikm-HMA. Water molecules are shown as red spheres.

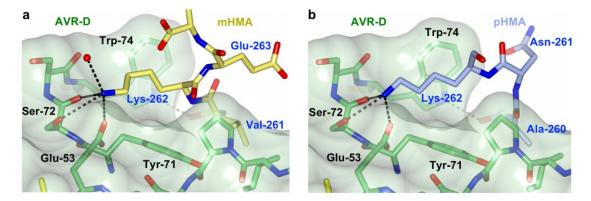


Figure 4: Different interactions at interface 3 in the complexes of Pikm-HMA and Pikp-HMA with AVR-PikD support recognition and response. Close-up view of the interactions across interface 3 in the (a) Pikm-HMA and (b) Pikp-HMA complexes with AVR-PikD, showing different conformations for the C-terminal regions of the HMA domains. In particular, note the looping-out of Asn261 of Pikp-HMA, and the different orientation of the Lys262 sidechain. In each panel, AVR-PikD is shown in green cartoon, with side chains as sticks, the molecular surface of the effector is also shown. The Pik-HMA domains are coloured as labelled.

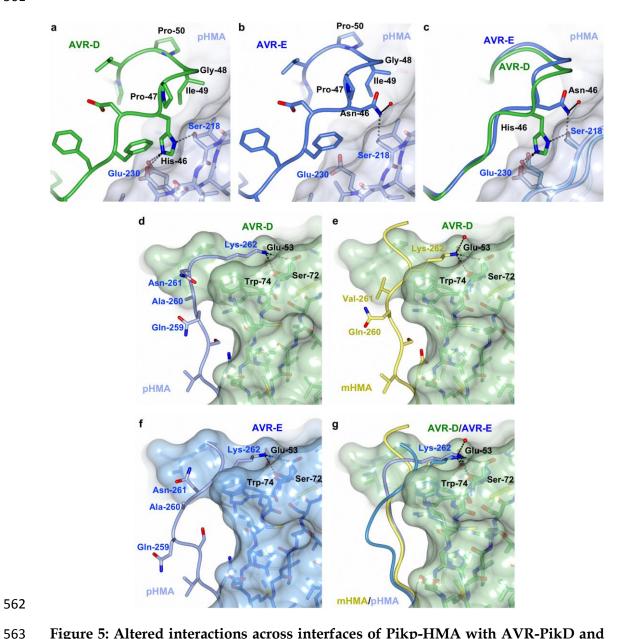


Figure 5: Altered interactions across interfaces of Pikp-HMA with AVR-PikD and with AVR-PikE underpin differences in recognition and response. (a, b) Zoom-in views of the interactions across interface 2 in the Pikp-HMA complexes with AVR-PikD and AVR-PikE. In each panel the Pikp-HMA domain is shown as ice-blue sticks, the molecular surface is also shown. Effector variant residues are coloured as labelled and shown in Cα-worm with sidechain representation, (c) Superposition of panels (a) and (b), with only selected sidechains shown for clarity. The polymorphism at position 46 occupies a very different position, fully flipped out of the His46 binding pocket in the AVR-PikE structure, which alters the position of residues Asn44-Pro50 relative to the Pikp-HMA domain, (d-f) Zoom-in views of the interactions across interface 3 in the Pikp-HMA complex with AVR-PikD, Pikm-HMA complex with AVR-PikD, and Pikp-HMA with AVR-PikE. In each panel the effector is shown as sticks, and the molecular surface is also shown and coloured as labelled. Pik-HMA residues are coloured as labelled and shown in Cα-worm with sidechain representation. The

looping-out of Asn261 in Pikp compared to Pikm, when in complex with AVR-PikD, is seen in panels **d** and **e**, and the displacement of residues Gln259 and Ala260 in Pikp, between the complexes with AVR-PikD or AVR-PikE, is seen in panels **d** and **f**, **(g)** Superposition of panels **(d-f)**, with only the sidechain of Pik-HMA Lys262, and only the surface of AVR-PikD, shown for clarity.

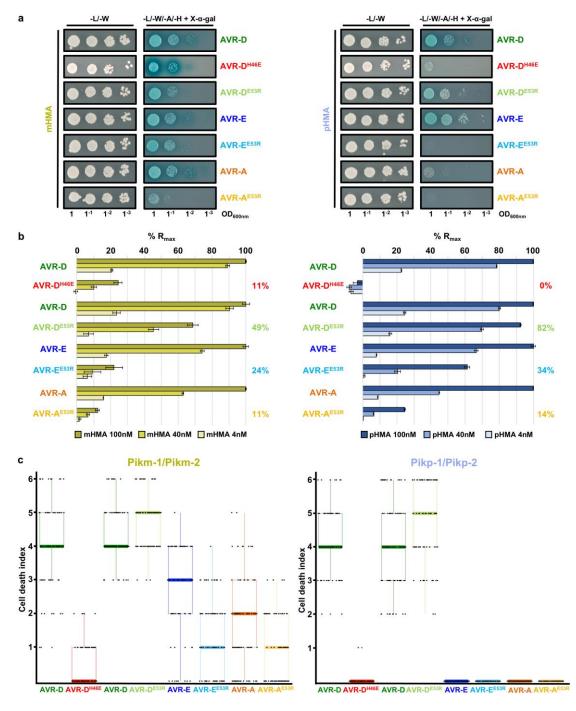


Figure 6: Mutations at different interfaces in the Pik-HMA/effector complexes have differential effects on interactions and phenotypes. (a) Effector mutations at positions 46 and 53 perturb interactions with Pikm- and Pikp-HMA domains as assayed by Y2H, (b) Changes in in vitro binding for effector mutants with Pikm- and Pikp-HMA domains, as measured by SPR. $R_{\rm max}$ was calculated as described in the text. To emphasise the altered binding for each effector mutant, the averaged difference $R_{\rm max}$, across the 3 different concentrations measured, is shown. Bars represent the average of 3 measurements, with corresponding standard deviation (c)

- Box-plots of Pikm- or Pikp-mediated cell death triggered by the effector mutants, for
- each sample the number of repeats was 90.

Table 1: Summary Table detailing the various interactions and phenotypes between Pik NLR alleles and effector variants in this study.

		AVR-D	AVR-E	AVR-A	AVR-C	AVR-D ^{H46}	E AVR-D ^{E53R}	AVR-E ^{E53} F	AVR-AE53R
Interaction in	Pikp	+++	++	+	-	+	++	-	-
Y2H	Pikm	+++	+++	+++	+	+++	++	++	+
Interaction in	Pikp	+++	++	+	-	-	+++	+	-
SPR	Pikm	+++	+++	++	-	+	++	+	-/+
Recognition in	Pikp	+++*	+*	(-)	(-)	_*	N.D.	N.D.	N.D.
rice plants	Pikm	(+++)	(+++)	(+++)	(-)	N.D.	N.D.	N.D.	N.D.
CD response in	Pikp	+++	-	-	-	-	+++	-	-
N. benthamiana	Pikm	+++	++	+	-	+	+++	+	+

Y2H = yeast-2-hybrid, SPR = Surface Plasmon Resonance, Recognition in rice plant Pikp = rice cv. K60, Pikm= rice cv.
 Tsuyuake, CD = cell death, N.D. not determined, parenthesis from³⁴, *from³³. SPR and Y2H interactions used the isolated HMA domains, *in planta* experiments were performed with full length proteins.

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