

1 **What is cost-efficient phenotyping? Optimizing costs for different scenarios**

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25 **Abstract**

26 Progress in remote sensing and robotic technologies decreases the hardware costs of
27 phenotyping. Here, we first review cost-effective imaging devices and environmental sensors,
28 and present a trade-off between investment and manpower costs. We then discuss the structure
29 of costs in various real-world scenarios. Hand-held low-cost sensors are suitable for quick and
30 infrequent plant diagnostic measurements. In experiments for genetic or agronomic analyses, (i)
31 major costs arise from plant handling and manpower; (ii) the total costs per pot/microplot are
32 similar in robotized platform or field experiments with drones, hand-held or robotized ground
33 vehicles; (iii) the cost of vehicles carrying sensors represents only 5-26% of the total costs. These
34 conclusions depend on the context, in particular for labor cost, the quantitative demand of
35 phenotyping and the number of days available for phenotypic measurements due to climatic
36 constraints. Data analysis represents 10-20% of total cost if pipelines have already been
37 developed. A trade-off exists between the initial high cost of pipeline development and labor cost
38 of manual operations. Overall, depending on the context and objectives, “cost-effective”
39 phenotyping may involve either low investment (“affordable phenotyping”), or initial high
40 investments in sensors, vehicles and pipelines that result in higher quality and lower operational
41 costs.

42 **Highlights**

- 43 - New technologies considerably reduce the costs of sensors and automated vehicles
- 44 - Low investment in sensors, vehicles or pipelines present trade-offs with labor costs
- 45 - Plant/plot handling and labor costs represent the major proportion of costs in phenotyping
46 experiments
- 47 - The costs of high-throughput experiments in the field and in automated platforms is similar
48 regardless of vehicles
- 49 - The development of software applications (e.g. imaging, phenotypic analyses, models,
50 information system) is a major part of costs

51 **Keywords** Phenotyping; Phenomics; Cost; imaging; information system; affordable;

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77 **Introduction**

78 The observation of growing plants can involve operations of different nature. For instance, when
79 a farmer visits fields to decide if and when an operation needs to be carried out, e.g. irrigation,
80 fertilization or harvest, this is essentially based on direct observations that may be helped by low-
81 throughput tools. The same tools can be used in nurseries when a breeder rapidly inspects tens
82 of thousands of plants of a population with the aim of identifying, for instance, plants of abnormal
83 aspect or with high sensitivity to a disease. At the other extreme, genome wide association
84 studies (GWAS) or genomic predictions require analysis of hundreds of lines to identify the
85 genetic variability of traits associated with plant performance in diverse conditions. This
86 translates into thousands of plants in greenhouse robotized platforms, or of microplots (i.e. a
87 plot of typically 4-10 m² with a single genotype) in field experiments. Such experiments involve
88 (i) novel technologies for collecting relevant images of each plant or microplot, able to
89 characterize the temporal and spatial variability of traits; (ii) the design and maintenance of
90 pipelines of image analyses allowing one to extract quantitative traits from images; (iii) analyses
91 of datasets originating from different installations at different scales (e.g. phenotyping platforms
92 in greenhouses or in the field at organ, plant or canopy levels); and (iv) shared information
93 systems able to manage and store data in such a way that data can be re-used or re-analyzed by
94 the scientific community [1–3].

95 The concept of “affordable phenotyping” or “cost-effective phenotyping” has developed rapidly
96 in recent years due to decreasing cost of equipment such as low-cost environmental sensors [4]
97 or smartphone-embedded and mobile imaging sensors [5]. Indeed, cost-effective phenotyping
98 approaches have been utilized to capture image- and sensor-based crop performance datasets
99 in greenhouses and in the field [6–8]. For example, ground-based portable devices [9,10] have
100 been used to estimate canopy photosynthesis rate at key developmental stages; mobile phone
101 cameras are also used to capture crop disease symptoms and plant morphology [11–15];
102 unmanned aerial vehicles (UAVs) equipped with relatively low-cost RGB (red-green-blue)
103 cameras are employed to study crop performance and field variability under different growing
104 conditions [16–18].

105 Depending on the number and complexity of operations associated with the observation of a
106 given set of phenotypic traits, the cost of equipment can represent a variable fraction of the total
107 cost of the phenotyping program. Hence, the cost of specific pieces of equipment should be
108 considered as a part of the costs of the whole phenotyping process. For example, low-cost
109 hardware can be appropriate for diagnostic or quick characterization of a few plants in a field
110 experiment. If many plants or plots have to be sampled several times during the crop cycle, this
111 may result in higher cost related to the additional human effort required for the analysis of poorly
112 calibrated and documented data, in order to obtain interpretable and heritable variables.

113 Plant breeding programs are also potential end-users of phenomics and need to analyze whether
114 the investment in a particular phenotyping technology will achieve a justifiable increase in the
115 rate of genetic gain. It is important to acknowledge here that, at this stage, the extent to which
116 phenomics can substantially increase this rate is discussed. Breeders have been successful in
117 increasing yield, e.g. in wheat [19,20] and maize [21], essentially based on direct selection for
118 yield. The success of trait-based selection has been focused on visually observable traits such as
119 anthesis-silking interval in maize, disease symptoms, growth phenotypes [22], and flowering [23],
120 which do not require high investment. Novel breeding techniques such as genomic selection may
121 reinforce the power of yield-based selection perhaps at the expense of trait-based selection
122 [20,24], thereby decreasing the interest of phenotypic analyses to focus on increasing the
123 average yield in a given region [20]. It has been proposed that the contribution of phenomics to
124 pre-breeding may involve novel biological applications, for instance (i) where and when do
125 genotypes or alleles present in the genetic diversity present comparative advantages, and (ii)
126 whether one can make the best use of combinations of alleles controlling adaptive traits (e.g. the
127 controls of stomatal conductance or growth) as a function of environmental conditions [25].
128 These questions involve a combination of phenomics, modelling and genomic prediction to
129 assess the genetic and environmental controls of plant adaptation [25]. Addressing the above
130 questions may be essential for breeding in a context of climate change, but it is currently
131 upstream of most breeding programs. Until clear contributions of phenomics to breeding have
132 been demonstrated in particular contexts, it might be misleading to attempt to evaluate the

133 efficiency of phenomics techniques, either ‘envirotyping’ or plant measurements, in terms of cost
134 per unit genetic gain.

135 Hence, we hereby focus on the costs of all operations involved in phenomics, and not on the
136 efficiency of their costs for breeding. We first review the current imaging techniques and vehicles
137 carrying the corresponding sensors. We then present the structure of costs associated with
138 phenomics based on case studies for different experiments in the field or in indoor controlled
139 conditions and for different imaging systems.

140 **I Imaging techniques with a range of hardware costs**

141 **1.1 Handheld phenotyping technologies**

142 Small, lightweight and reusable devices considerably reduce the hardware costs associated with
143 handheld phenotyping at canopy or leaf level in field conditions, but also at plant level in indoor
144 conditions. For example, using an advanced software approach and commercially available
145 handheld digital cameras, 3D reconstructions at organ level can either be accomplished by
146 combining tens of images of a single plant taken by hand with structure-from-motion and multi-
147 view stereo techniques [26] or by using stereo camera setups and stereo image processing [27].
148 A 3D reconstruction of a plant row has been performed using a bespoke hand-held sensor
149 platform [28], while a standard RGB camera was used to record color information of scanned
150 areas. A visual-inertial and 2D LiDAR (Light Detection and Ranging) sensor contributed to the
151 reconstruction of colored 3D models of crop areas. Another device connects infrared
152 temperature sensing, GPS positioning and a normalized difference vegetation index (NDVI)
153 sensor, together with a standard laptop mounted to a hand-held pole [29]. A handheld device
154 combines light-emitting diode (LED) lights with visible and infrared sensors in a package able to
155 calculate light transmission through the surface of a leaf, fluorescence-based kinetics and
156 photosynthesis-associated variables [30]. Standard RGB cameras have been widely used to
157 characterize the canopy structure [31,32], with adaptation to smartphone cameras [15].

158 The phenotyping devices described above present several limitations. Lower investment costs
159 are most often at the expense of labor-intensive manual control and analysis, otherwise they
160 may lead to the production of non-repeatable datasets. Indeed, these approaches require human

161 decisions for the imaged area, the selection of regions of interest, and, finally, analytical software
162 to standardize and analyze the captured data [5]. Furthermore, the scale of measurement is
163 limited without costly and complex machinery. Hence, it can be considered that handheld devices
164 are most appropriate for actions with limited throughput carried out by experienced plant
165 specialists

166 **1.2 Aerial imaging for large-scale phenotyping**

167 Aerial imagery for field conditions provides a sufficient throughput to sample all the plots of a
168 field experiment (typically thousands of microplots) within a short time interval. It is efficient
169 when targeting canopy characteristics that may vary considerably within a short time interval
170 such as canopy temperature [33,34] or changes in canopy structure due to leaf rolling [35].
171 Traditional manned helicopters are still used because of the heavy payload capacity [36].
172 Nevertheless, three factors have triggered the rapid development of UAVs for field phenotyping
173 applications in the last five years: (i) the increasing autonomy reliability and payload capacity, (ii)
174 the decrease of the corresponding cost, together with an increase in sensor performance, and
175 (iii) the development of image processing software allowing to precisely compute the position of
176 the UAV corresponding to each individual image and to create an orthomosaic image map of the
177 field [17]. The high-resolution imagery provided by consumer grade RGB cameras has been used
178 to count plants and organs [37] and to evaluate the cover fraction [38]. Using the same RGB
179 cameras, the shape from motion algorithm creates the dense 3D point clouds from which plant
180 height is derived with a very good accuracy [39–42]. Light-weight LiDAR was also tentatively
181 mounted on UAVs to get a more direct estimation of plant height and canopy related traits [43].
182 Multispectral and hyperspectral images were used to assess canopy characteristics including the
183 green area index [44] and canopy temperature [34].

184 The high-throughput of UAV-based observations and its relatively affordable cost makes it
185 potentially very efficient for field phenotyping. However, it needs to operate under favorable
186 conditions, i.e. with no rain, when the illumination is relatively stable and when the wind is not
187 too strong (typically wind speed lower than 35km h⁻¹). This limits the proportion of days during
188 which this technique can be used, thereby increasing the cost per day (see Section II).

189 Furthermore, the massive number of images produced and the intensive computation required
190 to accurately locate images and extract the corresponding microplots contributes to the
191 significant increase of the cost of the traits analyses derived from this technique. Except for LiDAR
192 techniques, the passive nature of UAV observations (the sun being the unique light source) makes
193 the quantification of traits prone to biases due to the specific illumination conditions at the time
194 of image acquisition [45,46]. Recently, UAV costs have increased due to legislation and training
195 issues (see section II)

196 **1.3 Imaging with ground vehicles**

197 Low-cost mobile phenotyping systems have been developed by attaching imaging components
198 to existing farm equipment. For example, a tractor can pull a trailer equipped with sensors
199 including a color camera, multiple laser distance scanners, and a hyperspectral imaging sensor
200 [6,46]. Simpler moveable carts have been designed to reduce costs by not requiring pre-existing
201 agricultural equipment, but this is limited to crops with relatively low plant height [47,48].
202 Alternatively, a large sealed box has been placed around individual plots to capture multi-spectral
203 measurements [49]. A standalone manned vehicle has been developed to carry a thermal
204 infrared camera and a low-cost LiDAR together with light riggings and height adjustable
205 mechanism [4]. Similarly, a mobile phenotyping platform has been developed, equipped with
206 fully adjustable and swappable sensors [29].

207 The hidden costs of using the phenotyping devices presented above are data calibration, data
208 management and processing. Calibrating the data captured by sensors with manned vehicles in
209 the field can be a time-consuming task due to wide variations in different sensor groups as well
210 as field regions. It is not only costly but also technically complex to consistently store large
211 quantities of images and sensor data throughout the growing season and associating important
212 metadata (e.g. a time stamp and the corresponding spatial coordinates). Furthermore, well-
213 trained, thus expensive, specialists are needed to operate these manned phenotyping devices.

214 As a result, more expensive autonomous robotic vehicles have been developed [50]. For example,
215 a fully automatic unmanned robot was specifically designed for field phenotyping applications,
216 controlled by an RTK-GPS positioning system with centimeter accuracy and equipped with

217 modular sensors including LiDAR, multispectral cameras and high resolution RGB cameras [47].
218 Other vehicles can collect images in a field, together with performing tasks such as seeding,
219 weeding, and harvesting [51]. A robot system has been used to image and analyze berry structure
220 and color in grapevine breeding [48]. Robots with specific phenotyping tasks have also been
221 developed to work alongside a static tower system [52]. Such robotic solutions offer the capacity
222 to use artificial illumination (active imaging), independent from natural illumination conditions
223 (even during the night or cloudy days).

224 **1.4 Environmental characterization and envirotyping**

225 Weather stations with data loggers are now widely available for a much reasonable price, thereby
226 making hourly environmental characterization a routine procedure. This can be extended to
227 additional measurements such as soil water content/potential and soil temperature. For
228 instance, electronic tensiometers have been deployed in a network of field experiments for a
229 limited cost [53]. The same applies to installations in controlled conditions, for which
230 measurements of local environmental conditions can be performed with a time step of minutes
231 [54]. Using an "open hardware" design strategy, soil moisture data loggers have been produced
232 using commercially available electronics and sensors [7]. Usability is increased by data
233 transmission over General Packet Radio Service (GPRS), allowing results to be collated off-site
234 without manual harvesting. In addition to GPRS, radio transmission can also be used for data
235 communication within a more complicated network of modular devices [55].

236 A specific sampling strategy is required to represent the spatial variability of environmental
237 conditions in the field while using fixed sensors. Another problem is the software R&D costs to
238 cross-reference different static devices in order to extract meaningful information from collected
239 crop image series and climate datasets using advanced computer vision and data analytic
240 packages [53]. Small workstations have been developed to provide plot level crop growth traits
241 as well as micro-environment variables [56]. Multiple sensor types can be integrated into single-
242 board computers that can then form a scalable, multi-point in-field network to assist decision
243 making processes such as crop management and line selection. Modelling is another efficient
244 method for assessing the spatial variability of environmental conditions, in particular in

245 greenhouse platforms, thereby limiting the number of environmental sensors deployed in
246 experiments [54].

247 To our knowledge, the use of sensor networks is currently the main contribution of phenomics
248 to plant breeding, via the development of ‘envirotyping’ [57–59]. It has been increasingly used
249 by breeding companies for the identification of environmental scenarios in which combinations
250 of alleles have positive effects on yield [24,53], the identification of target populations of
251 environments associated with a breeding program [24,60], or even the definition of new criteria
252 for developing commercial makes of resilient genotypes [61].

253 **II Costs associated with image capture represent a limited fraction of the overall** 254 **cost of phenotyping**

255 **2.1 A method for calculating costs in field and greenhouse platforms**

256 Calculating costs with a consistent method for field and platform phenotyping is a challenging
257 task because it is associated with hypotheses and simplification that are debatable by nature. In
258 Tables 1 and 2, examples for calculation of costs are shown in the field with either automated
259 ground vehicle, a hand-driven ground vehicle (e.g. handcart or wheelbarrow style trolleys) or a
260 UAV, or in controlled conditions with a robotized phenotyping platform. Table 1 presents costs
261 associated with imaging for the typical number of plants or microplots in experiments for each
262 technique, under two scenarios: (i) in the ‘offer limited’ scenario, the use of devices is limited by
263 the availability of equipment or personnel; (ii) in the ‘demand limited’ scenario, it is limited by
264 the number of applications for experiments by public or private users. Both scenarios can co-
265 exist, for example between years depending on the amount of available funding for Plant Science,
266 or between installations depending on the demand at a given time. Table 2 presents all costs
267 associated with a typical experiment using methods presented in Table 1 in the two above
268 scenarios, including costs for infrastructure, data management and data storage. Both tables
269 result from surveys performed in the French phenotyping infrastructure Phenome-EMPHASIS.fr
270 project (www.phenome-EMPHASIS.fr), weighted with information generated from other

271 infrastructures in UK, USA and Germany. It is noteworthy that these costs correspond to
272 countries where the labor cost is high. Hence, the conclusions of this study need to be
273 contextualized.

274 In field experiments, the cost for imaging (e.g. vector and sensors) was calculated over the whole
275 lifetime of the considered device, taking into account the number of imaged plots per year
276 (number of days of use per year x number of plots measured every day), and the expected
277 lifetime of the considered device (in years). The investment cost is therefore expressed per
278 plot.day per year. The number of days of use per year differs between techniques, and varies
279 between sites with the frequency of weather limitations. For instance, this number is higher for
280 automated ground vehicles with active imaging assisted with artificial light (which can be used
281 even in very cloudy or night conditions) than for hand-held ground vehicles (limited by light
282 intensity because of passive imaging) and UAVs (limited by weather constraints, in particular
283 wind, rain, and light because of passive imaging). This results in costs relative to that of the
284 automated ground vehicle of 1.00, 0.83 and 0.67, respectively for an automated ground vehicle,
285 a hand-held ground vehicle and a UAV (Table 1). The costs also depend on the local demand for
286 the selected device: the investment cost per plot.day per year was calculated as higher if the use
287 of the device was limited due to low demand (scenario 2 in Table 1) than if the device was used
288 at full capacity (scenario 1). Additionally, the calculations shown in Table 1 also depend on the
289 expected lifetime of the considered device, which is higher for a ground vehicle than for a UAV.
290 Sensors were considered as having a shorter expected duration than vehicles because of
291 obsolescence. The labor cost was calculated by dividing the annual cost (220 working days per
292 year) by the number of days required for the considered operation and the number of microplots
293 to be sampled per year. The same calculations were considered for a robotized platform,
294 expressed per plant.day. In the case presented in Table 1, the platform was considered as being
295 used in three experiments per year, with a 90-day duration each.

296 The above information was then used for calculating the cost of a typical experiment (Table 2),
297 either in a field platform with 1,700 microplots (e.g. 284 genotypes, 2 treatments and 3
298 replicates) and 10 days of measurement to monitor the crop cycle, or in a platform with 1,700
299 plants over 90 days. The costs for plant handling, for image capture, image analysis, data analysis

300 itself and data storage considered in the analysis are presented in Table 2. Data in Tables 1 and 2
301 are presented below.

302 **2.2 A high cost for plant management**

303 Phenotyping is, by definition, associated with a field, a greenhouse or a growth chamber in which
304 experiments are carried out. Field phenotyping involves a cost of typically \$30 to \$50 USD per
305 microplot for one experiment, resulting in \$68K USD for a typical experiment involving 1,700
306 microplots necessary for genetic analyses (Table 2). This price is used internally or externally by
307 many breeding companies and includes the cost of hiring the field, plant management, irrigation
308 and harvest. Greenhouse experiments are also expensive, with a typical investment of one
309 million dollars for a greenhouse equipped with climatic control and surrounding facilities allowing
310 compost management, potting and cleaning. Another million is required for the robots
311 associated with the handling of the thousands of plants involved in genetic analyses, including
312 imaging cabins, watering and weighing stations and conveyors. With the hypothesis of a given
313 equipment used for 15 years with three experiments per year, this investment results in a cost
314 of \$67K USD for an experiment handling 1,700 plants, to which one adds a cost of \$5K USD for
315 electricity and potting compost. The cost per unit sample (microplot or plant) is therefore similar
316 to experiments either in the field or in a robotized platform (Table 2). Interestingly, some
317 platforms are in open air [62], thereby avoiding the cost of a greenhouse. This considerably
318 decreases experimental costs, provided that climatic conditions at the dedicated site allow
319 several experiments per year in open air; otherwise this approach could result in a high cost per
320 experiment if only one experiment can be accomplished per year. Overall, the high price per
321 microplot in the field or per plant in the greenhouse suggests that phenotyping experiments are
322 expensive *per se* before any phenotypic analyses are carried out.

323 **2.3 Investing in an appropriate environmental characterization results in comparatively low** 324 **cost for a high return.**

325 The cost of environmental sensors has decreased rapidly (see section 1.4): climate sensors for
326 temperature and humidity normally cost less than \$5 USD per unit. Commercial devices can
327 provide, for a few thousand dollars, hourly measurements of the main environmental variables

328 necessary to characterize an experiment site, including light, air temperature, relative humidity,
329 rainfall, and wind speed. Soil water potential can also be characterized for a few hundred dollars
330 with tensiometers, and soil water content for a few thousand dollars with capacitive sensors. In
331 the calculations presented in Tables 1 and 2, this investment results in a cost of less than \$10K
332 USD per field, with an assumption that the installed devices can last for about four years. An
333 appropriate environmental characterization is therefore a cheap investment compared with
334 plant management. Importantly, it allows joint analyses of several experiments both in the field
335 and greenhouse, thereby improving one's ability to analyze datasets based on environmental
336 scenarios or regression analyses [53,63]. Most breeding companies have now invested in this
337 domain. Their feedback (personal communication), consistent with our perception, is that the
338 major cost associated with environmental characterization is manpower because sensors have
339 to be installed, then checked regularly and datasets need to be collected and then analyzed by
340 semi-automated methods. In particular, for detection of outlier dates or sites, extra human costs
341 are inevitable when many sensors are deployed under natural conditions.

342 **2.4 Imaging costs: a trade-off between investment and labor costs**

343 Imaging costs reported in Table 1 include the cost of the vector (e.g. manual measurements, UAV,
344 ground vehicles), imaging hardware and associated software. These costs can range from a few
345 dollars, in case of a person carrying a cell phone equipped with an imaging software, to hundreds
346 of thousand dollars for a fully-equipped ground vehicle.

347 *2.4.1 The choice of a vehicle mostly depends on the demand for microplots per year.*

348 Portable devices have shown their ability to collect plant images in the field but their throughput
349 is low and they require experienced specialists (see Section 1.1). This limits their application to
350 relatively infrequent phenotyping for decision making or characterization of outlier genotypes.
351 We have therefore not considered them in the calculations of Tables 1 and 2, because they
352 respond to a different use in relation to the costs associated with high-throughput phenotyping.
353 UAVs are relatively cheap (a few thousand dollars) and can cover typically 4,000 microplots per
354 day in 2-3 flights, resulting in a low-cost investment per plot.day. However, their expected
355 lifespan is typically two years and their use is limited by weather conditions such as rainfall, wind

356 and cloud coverage. Significant costs for insurance may occur in some countries. The manpower
357 costs may be high in some countries due to civil aviation rules requiring authorizations and
358 permits, leading to a cost of tens of thousands of dollars for training at least three persons per
359 site. A calculation based on a throughput of 4,000 microplots per day, 40 available days per year,
360 a lifetime of two years and personnel costs, still results in the lower cost compared with other
361 vehicles (\$0.29 USD per plot.day per year, scenario 1 Table 1). This cost is increased to \$0.98 USD
362 per plot.day per year in case of a lower demand of only 4,000 microplots per year (scenario 2,
363 Table 1).

364 Hand-held ground vehicles have a cost of a few ten thousand dollars, excluding sensors. They can
365 reach a throughput of around 100 microplots per hour. However, this approach struggles if
366 aiming at measuring thousands of plots with high frequency. Indeed, it requires well-trained
367 personnel who can manage the device, but who also accepts to push it for weeks during key
368 developmental stages, sometimes in bad weather conditions. This can cause difficulties in the
369 management of the personnel. We have considered a throughput of 800 microplots per day over
370 50 days per year, which is probably a maximum in many countries but can be extended in others.
371 The corresponding cost in Table 1 is \$0.98 USD per microplot.day per year. This cost is valid in
372 the two hypotheses for demand in Table 1, because this method is associated with a lower
373 throughput than UAVs.

374 Automated ground vehicles can be used over a larger number of days than hand-held ground
375 vehicles and UAVs, calculated as 60 days per year in Table 1 (5 months, 12 days per month). This
376 can be increased in case of fully automated vehicles equipped with active imaging (with
377 autonomous lighting), which allows their use in any conditions including during the night. Their
378 investment cost is high and essentially depends on the plant species used in experiments. For
379 instance, a vehicle allowing imaging cereal crops with 60 cm height grown in rows can lead to an
380 investment of typically \$300K USD, but the investment increases if the vehicle must also be used
381 for phenotyping tall species such as sorghum or maize, and/or crops that are not grown in rows
382 such as canola (typically \$500K USD). Taking into account the total investment, a throughput of
383 1,200 microplots per day, a lifetime of 20 years and the personnel costs, the cost is \$1.02 USD

384 per microplot.day per year in scenario 1 with a fully occupied usage, but will increase to \$1.67
385 USD per microplot.day per year in scenario 2 with a limited demand.

386 Hence, the investment cost corresponding to vectors largely depends on the use of the chosen
387 vector. For instance, robotized and hand-held ground vehicles result in similar costs if they are
388 used to their maximum potential (i.e. a high demand), whereas the robotized ground vehicle is
389 the most expensive option in a scenario with a limited demand. Similarly, UAVs appear to be a
390 low-cost option in the scenario with a high demand, whereas costs of UAVs and ground vehicles
391 are higher with a lower demand. An alternative solution for UAVs might be to rely on specialized
392 companies that carry out measurements. However, the economic models for such services in
393 phenotyping experiments are not yet stabilized.

394 *2.4.2 The cost of imaging devices is similar to those of vehicles that carry sensors*

395 The costs of cameras (several hundred dollars per unit), portable multi-spectral devices (\$5-10K
396 USD), and mobile LiDAR (\$10-200K USD, depending on the resolution) are also high. The lifespan
397 of multi-spectral sensors and LiDAR can be several years, but they have been limited to four years
398 in Table 1 because of obsolescence. Personnel costs result in around \$0.2-0.4 USD per
399 microplot.day in European conditions, which can vary due to the frequency of phenotyping,
400 selected imaging sensors, and associated training costs. On these bases, the cost of imaging was
401 similar to ground vehicles, but much higher than UAVs.

402 **2.5 Costs of typical experiments**

403 The remaining costs need to be calculated for a typical experiment. We have considered
404 experiments with 1,700 microplots in the field or with 1,700 plants in a robotized platform,
405 together with the costs for plant handling as described in section 2.2, image capture in section
406 2.4, plus the costs of image analysis, data analysis and data storage presented below.

407 *2.5.1 Image analysis: a tradeoff between investment in automated workflows and day-to-day* 408 *labor costs.*

409 With the advances in computer vision algorithms and machine learning based classification
410 methods [5,64,65], many image analysis tasks can be accomplished automatically in a high-

411 throughput fashion. A tradeoff therefore exists between the time dedicated to the development
412 of imaging pipelines and that dedicated to day-to-day image analysis. Several public packages are
413 under development and will hopefully relieve the bottleneck of image analysis [66–68]. This is
414 already largely the case in automated phenotyping platforms, in which routine traits (e.g. plant
415 volume, area or height) are extracted automatically in real time [69–71]; however, sound
416 automatic workflows remain to be required for image series acquired by UAVs or ground
417 vehicles. In both cases, the design of a specific pipeline can result in a cost of nearly \$250-500K
418 USD, if the pipeline is aimed at being sufficiently flexible for different types of users. Much
419 cheaper data acquisition tools are commercially available, designed by companies or plant
420 research laboratories. However, they are often proprietary, designed for specific requests and
421 hence not flexible enough for wider applications. An interesting alternative is that public
422 consortia develop and release flexible analytic workflows, which can then be used and
423 continuously developed by the scientific community through an ‘open science and open source’
424 approach. This is currently carried out by different consortia.

425 A cost tradeoff also exists between the quality of images and the time for image analysis. For
426 example, if a standard imaging protocol has not been properly conveyed to end-users (e.g. how
427 to ensure lighting condition and image clarity, how to minimize color distortion, and how to select
428 regions of interest), extra computational work is required to improve the quality of raw data
429 captured by low-cost devices, different formats of raw data might require ongoing licensing or
430 extra fees to carry out trait analysis as well as continued maintenance for future references.

431 The costs in Table 2 are based on the hypothesis of existing workflows and therefore do not
432 consider the cost of their development. With this hypothesis, they still represent 10-20% of the
433 cost of image capture. As stated above, this cost increases by hundreds of thousands of dollars if
434 the cost of developing workflows is taken into account. It is also considerably higher if image
435 analysis is performed manually.

436

437 *2.5.2 High costs for data analysis resulting in the identification of traits*

438 The datasets resulting from phenotyping projects are difficult to analyze because they are
439 voluminous, complex, heterogeneous, plagued with errors and only can be handled with up-to-
440 date scientific and mathematical tools. For example, a recent project (EU DROPS) required four
441 full-time PhD students, engineers or post-docs, three technicians and two permanent scientists
442 for four years to conduct data analysis related tasks. This involved compiling and cleaning the
443 datasets collected in fields and greenhouse experiments, designing novel tools for extracting
444 traits from the raw data, and performing cross-scale analyses and genetic analysis. Overall, this
445 procedure recorded a cost of about half a million dollars, i.e. about the same amount dedicated
446 to image analysis in the hypotheses of Table 2.

447 A tradeoff exists between the time dedicated for data capture and analysis. Currently, many
448 phenotyping projects rely on analytic software solutions that are either customized for specific
449 hardware or based on proprietary or specialized software solutions. Similarly, data collected with
450 cost-effective phenotyping approaches are often analyzed manually, which is time consuming,
451 prone to errors and expensive due to additional human costs. Developing workflows with a
452 reproducible data analysis strategy therefore corresponds to a high extra-cost for individual
453 experiments, but it can be considered as a good investment at the level of a broader scientific
454 community, because, in this way, data can be shared, re-used and re-analyzed.

455 Overall, the cost of data analysis is the most underestimated part of many phenotyping projects.
456 In the same way as for image analysis, data analysis costs presented in Table 2 are based on the
457 availability of existing workflows. They considerably increase if workflows need to be developed
458 during the projects, or the whole analysis is performed manually. Based on these hypotheses,
459 the costs required for estimating trait values are similar to those of image analysis. Together,
460 costs of image and data analysis represent 30-200% of the cost of image capture, a factor that is
461 rarely considered for the overall costs of phenotyping.

462

463 *2.5.3 Costs associated with data storage and organization ensure the possibility of reusing*
464 *datasets*

465 The datasets collected above carry more information than any group can handle alone. It is
466 therefore vital for the plant science community to ensure that datasets can be managed in a way
467 that they can be accessed and re-analyzed by scientists that have not been involved in the data
468 collection. By doing that, researchers should be able to trace the history of plants, re-analyze
469 sensor- and image-based datasets with existing or new methods and check sensors in case of
470 inconsistencies. This requires information systems capable of collecting, managing, and
471 presenting thousands of data points and images collected in multiple experiments, together with
472 necessary metadata (FAIR standard: findable, accessible, interoperable and reusable). Such
473 information systems are based on elaborate protocols to describe content and format of
474 phenotypic information [56,72], as well as a standardized description of all involved objects (i.e.
475 plants, organs, sensors, phenotyping facilities) via ontologies [73,74].

476 The cost for elaborating such information systems involves tens of person-months of computer
477 scientists. As stated in earlier paragraphs, this requires an effort at the level of international
478 consortium. The costs in the hypotheses of Table 2 are based on a pre-existing information
479 system and only consider the cost of data storage (\$32 USD per terabyte per year).

480 **III An unexpected structure of costs has large consequences on conclusions about** 481 **cost effectiveness**

482 An overall inspection of Table 2 results in a view of phenotyping costs that largely differs from an
483 initial intuition that one might have. In the hypotheses considered in Table 2:

484 - The cost for handling microplots or plants is by far the highest and is similar in the field and in
485 robotized platforms. The former was based on current costs in most breeding companies; the
486 latter was considered the cost of the greenhouse and of the robot. The cost of microplot or
487 plant handling represents 65-77% of the total cost of phenotyping, across types of vehicles,
488 hypotheses or location of experiments in a field or a robotized greenhouse.

489 - The labor cost represents a large proportion of the total cost, from 30% to 100% of the cost of
490 vehicles and sensors for data analysis, plus the costs associated with image capture itself. As
491 stated above, these costs are under-estimated in Table 2, because they assume that pipelines
492 already exist for image analysis, trait measurements and associated information systems. These

493 costs would considerably increase if the development of pipelines was taken into account, or if
494 all the data processing was considered as manually accomplished.

495 - Investment itself represents only 10-20% of the total of phenotyping costs, whereas most
496 discussions on costs focus on investment.

497 Hence, phenotyping may be one of the few cases in which intuition about cost-effectiveness is
498 not appropriate because (i) it tends to considerably under-estimate personnel and structural
499 costs, (ii) it may lead to choosing tools that are immediately usable and relatively affordable;
500 however, the examples shown previously indicate that heavier investment could result in a more
501 efficient chain for extracting meaningful information.

502 Other non-intuitive facts also emerge from Table 2 through comparing experiments in robotized
503 platforms in the greenhouse or in the field with imaging based on different vehicles. First, the
504 costs of experiments in a robotized platform are similar to those in the field (Table 2). Second,
505 the total costs of phenotyping do not greatly differ with the choice of vehicles in field
506 experiments. As discussed above, the optimum choice in terms of cost depends on scenarios: for
507 a high demand of phenotyping, the three vehicles result in similar costs, with a slightly lower cost
508 for UAVs; costs increase with a lower demand for the three vehicles, with a slight cost advantage
509 for hand-held ground vehicles. However, these differences are small and context dependent, so
510 a pure cost analysis does not result in an obvious choice between field and platform experiments,
511 or for one of the three considered vehicles.

512 Overall, the above shows that the cost of phenotyping experiment is high if all related costs are
513 considered (Table 2). However, this statement needs to be contextualized. In some cases, light
514 phenotyping represents a small marginal cost of an operation or experiment that is carried out,
515 for instance, when a farmer needs to take an adequate decision or a breeder needs to keep track
516 of some simple operations, the cost of crop management is not considered and the need for data
517 analysis and storage is limited. Mobile phones or inexpensive UAV flights for light phenotyping
518 are therefore highly valuable in these cases. In the other extreme, a phenotyping project aiming
519 to characterize hundreds of genotypes needs to take all costs into account, resulting in a high
520 overall cost for plant handling together with a high manpower cost for data analysis and data
521 storage. Investment in vehicles and imaging devices therefore represent a limited proportion of

522 the total cost. In this case, the choice of vehicle (UAV vs ground vehicle), location, and
523 experiments (field vs platform) should be taken into consideration together with other factors,
524 i.e. the nature and the precision of the desired traits as well as the constraints linked to the
525 management of personnel.

526 Numerous trade-offs have been presented here between investment and operational costs, for
527 example, the choice of vehicles, imaging techniques, or image analysis workflows. Hence,
528 ‘affordable phenotyping’, considered as the way to obtain a maximum of images in a minimum
529 time frame with low investment costs, may be counter-productive in many cases. Similarly, the
530 development of analysis pipelines represents a large investment but often lead to cheaper and
531 more reproducible datasets than manual or tailored analysis in the long term. These trade-offs
532 depend on local conditions, such as the availability, the cost of manpower, and the number of
533 days, during which a given device can be used per year due to climatic or other constraints. None
534 of the devices or techniques discussed above can be considered as cost-effective or cost-
535 ineffective *per se*, as nearly all of them can be considered adequate for specific tasks under
536 defined conditions and ineffective in other circumstances.

537 It is therefore essential that costs are reasoned in relation to (i) the precision, repeatability and
538 heritability required in a given phenotyping task (ii) local personnel costs (training, data transfer,
539 data calibration, data analysis and data management) that greatly vary between projects and
540 countries, (iii) the cost per unit plot or trait, which can largely differ between methods depending
541 on local climatic and economic conditions. If all of these elements are taken into account, ‘cost
542 effective’ phenotyping may in some cases involve low investment (‘affordable phenotyping’), and
543 in other cases involve an initial high investment that results in low operational costs together
544 with high quality outcomes. Finally, for breeding purpose, phenotyping costs also need to be
545 analyzed in terms of their contribution to the rate of genetic gain. Direct ratios cannot be
546 established at this stage because of uncertainties about the scalability of measured traits towards
547 yield in the absence of case studies combining phenomics, modelling and genomic prediction
548 [75]. However, one piece of equipment and associated methods in phenomics have already
549 shown their contribution to breeding: it has been observed here that the investment in sensor
550 networks for environmental characterization has a clear value for interpretation of the genotype

551 x environment interaction, and for weighing the investment in specific breeding programs in
552 relation to the frequency of corresponding target populations of environments.

553

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561

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813 Table 1. Imaging costs involving vehicle, sensors, associated software and personnel in field experiments or in a robotized platform,
 814 for two scenarios of demand for phenotyping (offer or demand-limited) and, in the field, three categories of vehicles (vectors) carrying
 815 sensors (automated or hand-held ground vehicle or unmanned aerial vehicle (UAV)). Costs are expressed in US dollars per plot.day per
 816 year (field) or plant.day per year (robotized platform), with the principles of calculations in the panel “vector”. Costs of manpower are
 817 calculated per year and plot.day or plant.day. Two scenarios are considered for field conditions: in scenario 1 (offer limited), the
 818 demand for phenotyping exceeds the capacity of the system; in scenario 2 (demand limited) the demand represents a maximum of
 819 4000 microplots per year.

	Hypotheses for each scenario	Days of use year ⁻¹	Throughput, μ plot or plant day ⁻¹	Vector			Sensors	Manpower + training		Maintenance		Cost imaging
				Expected duration, year	Investment k\$	Investment \$ per plot per day vector life	Equivalent calculation, 4 year life	\$ year ⁻¹	per plot day per year	\$ year ⁻¹	\$ per plot day.plot per year	\$ per plot day per year
High throughput field experiments, 'offer limited'	Limited by availability of equipment and personnel.											
Automated ground vehicle		60	1200	20	430	0.30	0.24	19564	0.2717	15000	0.2083	1.02
Hand-held ground vehicle		50	800	15	50	0.08	0.44	15553	0.3888	3000	0.0750	0.98
UAV		40	4000	2	10	0.03	0.09	24545	0.1534	2000	0.0125	0.29
High throughput field experiments, 'demand limited'	Limited by the demand for microplot per year. 40000 μ plots year ⁻¹											
Automated ground vehicle		33	1200	20	430	0.54	0.44	12873	0.3218	15000	0.3750	1.67
Hand-held ground vehicle		50	800	15	50	0.08	0.44	15553	0.3888	3000	0.0750	0.98
UAV		10	4000	2	10	0.13	0.38	17018	0.4255	2000	0.0500	0.98
Robotized indoor platform	Limited by availability of equipment and personnel.	270	1700	15	1000	0.15	0.02	103618	0.2257	15000	0.0327	0.42

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822 Table 2 Distribution of costs in typical experiments in the field (1,700 microplots with 10 days of observation) or robotized platforms
 823 (1700 plants with 90 days). Hypotheses are as above. The cost of microplot or plant handling represents either the current costs per
 824 plot (field) or the cost of greenhouse plus robot, together with manpower (robotized platform). Note that the cost of the robot was
 825 considered in “investment” in Table 1 but is in “plant handling” in Table 2 for easier comparison with the field. Robots are used for
 826 both plant handling and imaging in robotized platforms. ‘Meas’ stands for ‘measurements’

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		Cost μ plots or plant handling k\$	Image capture k\$	Image analysis k\$	Trait analysis k\$	Data storage 10 years, k\$	Total k\$	% investment
High throughput field experiments, 'offer limited'								
Automated ground vehicle	1700 μ plots, 10 days meas	68.0	17.4	3.5	5.3	1.5	96	18.2
Hand-held ground vehicle	1700 μ plots, 10 days meas	68.0	16.7	5.3	7.1	0.7	98	17.1
UAV	1700 μ plots, 10 days meas	68.0	4.9	7.1	10.6	0.2	91	5.4
High throughputfield experiments, 'demand limited'								
Automated ground vehicle	1700 μ plots, 10 days meas	68.0	28.4	3.5	5.3	1.5	107	26.6
Hand-held ground vehicle	1700 μ plots, 10 days meas	68.0	16.7	5.3	7.1	0.7	98	17.1
UAV	1700 μ plots, 10 days meas	68.0	16.6	7.1	10.6	0.2	103	16.2
Robotized platform the cost of robot is in the 'handling' column	1700 plants, 90 days	71.2	9.0	1.8	10.6	2.6	95	9.5

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