

1 **Ecological correlates of mammal  $\beta$ -diversity in Amazonian**  
2 **land-bridge islands: from small- to large-bodied species**

3

4 **Short running-title:** Mammal  $\beta$ -diversity in land-bridge islands

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17 **Abstract**

18

19 **Aim** Mega hydroelectric dams have become one of the main drivers of biodiversity loss  
20 in the lowland tropics. Vertebrate studies in tropical reservoirs have focused on local ( $\alpha$ )  
21 diversity measures, whereas between-site ( $\beta$ ) diversity remains poorly assessed despite  
22 its pivotal importance in understanding how species diversity is structured and  
23 maintained in these anthropogenic landscapes. Here we unravel the patterns and  
24 predictors of mammal  $\beta$ -diversity including both small (SM) and midsized to large  
25 mammal species (LM) across 23 islands and 2 continuous forest sites within one of the  
26 largest South American hydroelectric reservoirs.

27 **Location** Balbina Hydroelectric Dam, Central Brazilian Amazonia.

28 **Methods** Small mammals were sampled using live and pitfall traps (48,350 trap-nights),  
29 and larger mammals using camera traps (8,160 trap-nights).  $\beta$ -diversity was examined  
30 for each group separately using multiplicative diversity decomposition of Hill numbers  
31 to test to what extent  $\beta$ -diversity of SMs and LMs was related to a set of environmental  
32 characteristics measured at different spatial scales.

33 **Results** Habitat variables, such as tree richness and percentage of old-growth trees,  
34 were the strongest predictors of  $\beta$ -diversity among sites for both mammal groups.  
35 Conversely,  $\beta$ -diversity was weakly related to patch and landscape characteristics,  
36 except for LMs, for which  $\beta$ -diversity was predicted by differences in island sizes.

37 **Main conclusions** Although island size plays a major role in structuring mammal  $\alpha$ -  
38 diversity in several land-bridge islands, local vegetation characteristics were key  
39 predictors of between-site  $\beta$ -diversity for both mammal groups within this large  
40 Amazonian archipelago. Moreover, the lower  $\beta$ -diversity of LMs between smaller  
41 islands suggests subtractive homogenization of this group. Maintaining the integrity of  
42 vegetation characteristics and preventing the formation of a large set of small islands  
43 within reservoirs should be considered in long-term management plans in both existing  
44 and planned hydropower development in lowland tropical forests.

45

46 **Keywords:** Biotic homogenization, Habitat fragmentation, Habitat quality,  
47 Hydroelectric dams, Species turnover, Tropical forest.

48

49 **Acknowledgements**

50

51 We thank all 19 volunteers and field assistants who helped during field campaigns, and  
52 Danielle Storck-Tonon for her assistance with landscape metrics. We thank M.N. da  
53 Silva for helping with species identification; the Post-Graduate Program in Ecology of  
54 the Federal University of Rio de Janeiro for additional resources, and the Uatumã  
55 Biological Reserve for providing logistic support during fieldwork. The Rufford Small  
56 Grants Foundation, RFP-Wildlife Conservation Society, The Conservation, Food and  
57 Health Foundation, and Idea Wild provided additional funding or equipment. AFP and  
58 MB were supported by the scholarship CAPES (Coordenação de Aperfeiçoamento de  
59 Pessoal de Nível Superior), further financial support was provided by grants from  
60 Fundação de Amparo à Pesquisa do Estado do Rio de Janeiro – Programa Cientistas do  
61 Nosso Estado (FAPERJ - CNE) and Conselho Nacional de Desenvolvimento Científico  
62 e Tecnológico (CNPq) to MVV, and a NERC/UK grant to CAP (No. NE/J01401X/1).

63

## 64 **Introduction**

65

66 Mega hydroelectric dams have become one of the main drivers of habitat loss and  
67 fragmentation worldwide (Jones *et al.*, 2016; Winemiller *et al.*, 2016; Gibson *et al.*,  
68 2017). In the aftermath of damming, lower elevation areas are flooded and the previous  
69 hilltops are converted into land-bridge forest islands, creating a complex archipelagic  
70 landscape within hydroelectric reservoirs. Despite their relatively flat terrain, rivers at  
71 hyper-diverse tropical developing countries are often targets for hydropower expansion  
72 (Zarf *et al.*, 2015). As such, the hydropower sector has greatly expanded in the Amazon  
73 Basin (Lees *et al.*, 2016), with 145 existing or under-construction dams that are  
74 expected to flood ~1.5 Mha of pristine forests, and 263 additional dams earmarked for  
75 construction by current government plans (ECOIA, 2016). Therefore, understanding how  
76 the biota responds to the insularization created by dams poses as pivotal for long-term  
77 conservation actions in these novel landscapes.

78         The vast majority of studies in land-bridge island systems have assessed changes  
79 in local ( $\alpha$ ) diversity (reviewed by Jones *et al.*, 2016; see also Si *et al.*, 2015, 2016),  
80 showing that biological communities isolated within land-bridge islands are prone to  
81 experience high local extinction rates (Jones *et al.*, 2016). However, local diversity  
82 typically represents only a small fraction of the regional species pool (MacArthur,  
83 1972), and restricting our inferences to such measures of diversity may mask the true  
84 impact of anthropogenic disturbances on ecosystem functioning (González-Maya *et al.*,

85 2015), further hindering the application of more effective management actions (Socolar  
86 *et al.*, 2016). To understand how the total number of species is organized and  
87 maintained in human-modified landscapes, or under alternative scenarios of  
88 anthropogenic disturbance, it is necessary to consider the variation in community  
89 composition among habitat patches ( $\beta$ -diversity; Whitakker, 1972), which is an  
90 important component of regional diversity ( $\gamma$ -diversity; Kadmon and Pulliam, 1993;  
91 Cottenie, 2005). Moreover, mechanisms generating species turnover between sites are  
92 not necessarily the same as those operating on local species diversity, but are equally  
93 important to be considered in effective management strategies (Bergamin *et al.*, 2017;  
94 Edge *et al.*, 2017). Yet studies assessing patterns of  $\beta$ -diversity within reservoir islands  
95 are restricted to birds and lizards in a Chinese dam (Si *et al.*, 2015, 2016),  
96 demonstrating the importance of further studies focused on other taxonomic groups.

97 Mammals are widely hailed as regional conservation icons and critical  
98 components of tropical forest dynamics through their ecological roles as hyper-  
99 consumers, large predators, seed dispersal vectors, and structural habitat modifiers  
100 (Dirzo *et al.*, 2014; Mangan and Adler, 2000; Terborgh *et al.*, 2001). Mammals can be  
101 extremely diverse, particularly in the Amazon, where they are represented by 427  
102 species (Mittermeier *et al.*, 2002), ranging in body mass from <15 g to >150 kg (Paglia  
103 *et al.*, 2012). As different components of the mammal fauna require different survey  
104 methods, ecological studies typically focus on surveying either small non-volant  
105 mammals (i.e., those usually sampled using live or pitfall trapping; hereafter, SMs) or  
106 mid-sized to large terrestrial mammals (i.e., those sampled using direct or indirect  
107 observation, such as camera traps; hereafter, LMs).

108 Small and large mammals may differ not only in their sampling methods, but  
109 also may show contrasting responses to insularization created by dams due to the  
110 intrinsic characteristics of these two mammal groups. In fact, body size is known to  
111 interact with species dispersal ability and trophic position, differently affecting  $\beta$ -  
112 diversity patterns (Soininen *et al.*, 2017). Because of lower vagility, including flotation  
113 and swimming endurance (Schoener and Schoener, 1984; Cosson *et al.*, 1999), SM  
114 assemblages should be mainly related to local habitat characteristics (Delciellos *et al.*,  
115 2015; Pardini *et al.*, 2005; Olifiers, 2002), and present a higher species turnover among  
116 islands. Conversely, the higher vagility, larger spatial requirements and smaller  
117 population sizes of LMs (Chiarello, 1999) should result in assemblages that converge  
118 across a large number of islands. These two hypotheses, related to small and large body

119 sizes, have not yet been tested comparing species turnover of SMs and LMs at the same  
120 set of sites. In addition, the effect of major environmental drivers of compositional  
121 shifts across space remains poorly understood for both groups.

122 Here, we provide the first quantitative assessment of the habitat insularization  
123 effects on  $\beta$ -diversity of SM and LM species, conducted at one of the largest man-made  
124 archipelago in South America — the 28-year old Balbina Hydroelectric Reservoir.  
125 Previous studies carried out in Balbina showed that island area and isolation were the  
126 strongest predictors of SM  $\alpha$ -diversity (AF Palmeirim, pers. comm.), whereas island  
127 area was the single best predictor for LM  $\alpha$ -diversity (Benchimol and Peres, 2015a,  
128 2015b). We test the hypothesis that the low and high vagilities of SM and LM,  
129 respectively, generate different patterns of  $\beta$ -diversity for these two groups.  
130 Multiplicative diversity decomposition of Hill numbers was used, an approach that  
131 considers the importance of rare, common and dominant species in generating  $\beta$ -  
132 diversity patterns (Jost, 2007; Tuomisto, 2010). We further examine how patterns of  $\beta$ -  
133 diversity are predicted by a set of environmental characteristics related to the local  
134 habitat structure, forest patch and landscape scales, which are widely recognised as  
135 important in enhancing mammal diversity (Chiarello, 1999; Delciellos *et al.*, 2015;  
136 Pardini *et al.*, 2005). Specifically, we predict that (1)  $\beta$ -diversity of both mammal  
137 groups should be higher for rare species, compared to dominant species; (2) between-  
138 island  $\beta$ -diversity of SMs should be higher than that of LMs; and, (3) local habitat  
139 features should be the key predictors of SM  $\beta$ -diversity, whereas LM  $\beta$ -diversity should  
140 be most affected by patch and landscape metrics, such as island size and isolation.

141

## 142 **Methods**

143

### 144 **Study area**

145 This study was carried out at 23 islands and two continuous forest sites (hereafter, CFs)  
146 in the forest archipelago of the Balbina Hydroelectric Reservoir (1°48'S, 59°29'W; Fig.  
147 1) located in the Brazilian Amazonia. This dam was created in 1986 following the  
148 permanent closure of the Uatumã River, a left-bank tributary of the Amazon River.  
149 Given the typically flat to undulating topography of the study region, a vast area of  
150 312,900 ha of primary forest was flooded within the 443,772-ha hydroelectric reservoir  
151 (FUNCATE/INPE/ANEEL, 2000). The former hilltops of the pre-inundation forest area  
152 were converted into 3,546 land-bridge islands that are widely distributed throughout the

153 reservoir lake. Islands and the neighbouring continuous forest sites consist of dense  
154 closed-canopy *terra firme* forest (Benchimol and Peres, 2015a). Forest islands within  
155 the reservoir have not been subject to logging nor hunting, but many islands  
156 experienced understorey fires during the El Niño drought of late-1997 to early-1998  
157 (Benchimol and Peres, 2015c). The mean annual temperature and rainfall in this region  
158 is 28°C and 2,376 mm, respectively (IBAMA, 1997). Part of the reservoir and a vast  
159 area of continuous forest on the left bank of the Uatumã River have been legally  
160 protected since this dam was built by the 942,786-hectare Uatumã Biological Reserve,  
161 the largest Brazilian protected area in this category.

162 We pre-selected 23 forest islands according to their size, degree of isolation and  
163 spatial distribution, so that a wide spectrum of island configurations could be sampled  
164 within the reservoir. Surveyed islands as CFs were at least 1-km apart from one another,  
165 with island size ranging from 0.83 to 1,466 ha (mean  $\pm$  SD: 213.47  $\pm$  352.31 ha; Table  
166 S1) and isolation distances to the nearest mainland varying from 44 to 11,872 m (4,503  
167  $\pm$  3,352 m).

168

### 169 **Mammal surveys**

170 Small and midsized to large mammal assemblages were sampled twice at each forest  
171 site, during two field seasons. In 2014 and 2015, SMs were sampled along two  
172 continuous periods of 16 consecutive nights, using linear trapping plots. Each plot  
173 consisted of a set of nine live trap stations (hereafter, LTs), followed by an array of  
174 three pitfall-trap units. Each LT station was placed 20 m apart from each other and  
175 included two Sherman traps (23 x 9 x 8 cm, H. B. Sherman Traps, Inc., Tallahassee,  
176 Florida) and one wire mesh trap (30 x 17.5 x 15 cm, Metal Miranda, Curitiba, Paraná).  
177 At each LT station, one trap was set on the ground, one in the understorey (~1.5 m  
178 high), and one in the (sub)canopy (>10 m high). Traps of different types were placed  
179 alternatively on the ground and in the understorey across consecutive stations, but only  
180 Sherman traps were placed in the canopy due to logistic limitations. At the forest  
181 canopy stratum, small mammals were sampled using an adaptation of the method  
182 described by Lambert *et al.* (2005). LTs were baited with a mix of bananas, peanut  
183 powder, sardines and oak florets. Pitfall traps (100 L) were also spaced apart by 20-m  
184 intervals and connected by a 50-cm high plastic fence that was buried 10 cm  
185 underground, and included 10 m of overhanging fence farther extended beyond the two  
186 external pitfalls. Due to spatial restrictions in small islands, alternative smaller trapping

187 plots were established therein. Thus, all islands smaller than 2 ha and those between 2  
188 and 10 ha were sampled by trapping plots containing only three LT stations followed by  
189 an array of one pitfall, and six LT stations followed by an array of two pitfalls,  
190 respectively. All traps were inspected daily and whenever live captures could not be  
191 identified in the field, a maximum of five voucher specimens per species per survey site  
192 were collected during the first trapping season, and deposited at the Mammal Collection  
193 of the Instituto Nacional de Pesquisas da Amazônia (INPA), in Manaus, Brazil. All  
194 other individuals recorded were weighted and tagged (Fish and Small Animal Tag, size  
195 1; National Band and Tag Co., Newport, Kentucky), so that any subsequent recaptures  
196 could be distinguished. Additionally, tissue samples were collected from all individuals  
197 recorded and deposited at the INPA Mammal Collection. However, we were not always  
198 able to identify at the species-level records of sympatric congeners of *Proechimys* spp.  
199 (*P. cuvieri* and *P. guyanensis*) and *Oecomys* spp. 1 (*O. roberti* and *O. bicolor*). Because  
200 these congeners are ecologically very similar (Jones *et al.*, 2009), we further refer to  
201 those taxa as ‘ecospecies’. To streamline, we use hereafter ‘species’ to refer to both  
202 species and ecospecies. Data collection followed ASM guidelines (Sikes *et al.*, 2016)  
203 and was approved by an institutional animal care and use Brazilian committee (SISBIO  
204 License No. 39187-4).

205 In 2011 and 2012, LMs were sampled for two continuous periods of 30 days each  
206 using camera trapping. Each camera trap station (hereafter, CT) consisted of one digital  
207 camera (Reconyx HC 500 Hyperfire), unbaited and placed at 30–40 cm above ground.  
208 At each surveyed site, consecutive CT stations were established along linear transects,  
209 spaced by at least 500 m (except for small islands). We configured all CTs to obtain a  
210 sequence of five photos for each animal recorded, using 15-sec intervals between  
211 records. However, we only considered conspecific records at the same CT site as  
212 independent if either intervals between photos exceeded 30 min or conspecifics of  
213 different groups could be recognised on the basis of natural marks.

214 To maximise the heterogeneity of environments sampled at each site and  
215 minimise variation in trap density, sampling effort was proportional to forest patch size  
216 for both mammal groups. As such, depending on their size, islands were sampled by one  
217 to four trapping plots and two to ten CT stations; whereas both CF sites were sampled  
218 by six trapping plots and 15 CT stations. This amounted to a total sampling effort of  
219 48,350 trap-nights for SMs, and 8,160 trap-nights for LMs.

220

221 **Local habitat, patch and landscape variables**

222 In 2012, we obtained local habitat variables to describe vegetation structure and habitat  
223 quality for each forest site surveyed using floristic surveys within 0.25-ha (250 m × 10  
224 m) plots established in each focal island and CF site, in which all trees ≥10 cm diameter  
225 at breast height (DBH) were measured and identified at species-level. The number of  
226 plots surveyed per site was proportional to the area of each site, ranging from one to  
227 four (for details on floristic surveys, see Benchimol and Peres, 2015c). These floristic  
228 plots provided data on tree species richness (S.TREE), number of trees (N.TREE),  
229 percentage of old-growth live trees (OGT) that persisted from the pre-flooding period,  
230 aggregated basal area of live trees bearing fleshy-fruits (BA<sub>ff</sub>), number of woody lianas  
231 (LIANA), and a measure of ground fire severity (FIRE; see Table 1 for a description of  
232 these variables). Additionally, we conducted a semi-supervised classification to obtain  
233 four land cover classes (closed-canopy forest, open-canopy forest, bare ground, and  
234 water) using ArcMap 10.1 (ESRI, 2012), and obtained the percentage of closed-canopy  
235 forest (CC) within each island and CF site based on high-resolution multi-spectral  
236 RapidEye imagery (5-m resolution with 5-band colour imagery) of the entire study  
237 region (Table 1).

238 Patch and landscape variables were also calculated from RapidEye imagery,  
239 using ArcMap 10.1. At the patch scale, we measured island AREA, island SHAPE (total  
240 perimeter length of each focal island divided by AREA), and its nearest distance to any  
241 CF site in the mainland (DIST). At the landscape scale, we obtained for each surveyed  
242 island, the total amount of land mass area within a buffer threshold (COVER), and a  
243 proximity index that considers both area and isolation of each land mass within that  
244 buffer (PROX). Because previous studies used a 500-m radial buffer to predict both  
245 small (AF Palmeirim *et al.*, pers. comm.) and midsized to large mammal richness  
246 (Benchimol and Peres, 2015a) at the same islands, our COVER and PROX metrics  
247 considered this radius threshold for analyses (see Table 1 and Benchimol and Peres,  
248 2015a for further details on imagery processing, and patch and landscape metrics).  
249 Additionally, this buffer size minimises or eliminates overlap between neighbouring  
250 landscapes, conferring greater spatial independence.

251

252 **Data analysis**

253 We excluded from the analyses two Echimyid rodents — *Makalata didelphoides* and  
254 *Echimyys chrysurus* — which had been recorded only once throughout the study. Both



255 species feed on leaves and seeds , in addition to some fruit (Patton *et al.*, 2000), and  
256 consequently are rarely attracted to the bait used here. Due to differential sampling  
257 effort per site, species abundances were standardized for each site, considering 2,095  
258 and 319 trap-nights, which is the average sampling effort per site for SMs and LMs,  
259 respectively. Because camera trapping cannot quantify numbers of individuals, we used  
260 the number of captures for SMs rather than the number of individuals recorded, testing  
261 whether these variables were correlated. This allowed us to improve convergence in the  
262 comparison of  $\beta$ -diversity estimates for SMs and LMs. The number of SM captures was  
263 indeed highly correlated with the number of individuals (15 species detected  $\geq 5$  sites:  $r$   
264 =  $0.97 \pm 0.05$  (mean  $\pm$  SD); Table S2).

265 The accuracy of mammal surveys was assessed using the coverage estimator  
266 recommended by Chao and Jost (2012), which estimates the proportion of the total  
267 number of individuals in an assemblage that belongs to the species represented in the  
268 sample. Overall sample coverage was high, representing on average ( $\pm$  SD)  $95 \pm 0.07\%$   
269 and  $99 \pm 0.01\%$  of the SM and LM species recorded, respectively (Table S1). This  
270 indicates that our sampling effort provided satisfactory estimates of  $\beta$ -diversity within  
271 each forest site. However, to account for any undetected species, particularly of SMs,  
272 and avoid any potential bias in  $\beta$ -diversity patterns due to small differences in sample  
273 coverage among sites, we additionally assessed the expected  $\beta$ -diversity values using  
274 coverage-based extrapolations for both mammal groups (Chao and Jost, 2012, Sánchez-  
275 de-Jesús *et al.*, 2016). We further retained the expected values for subsequent analysis.

276 Patterns of mammal  $\beta$ -diversity were analysed using multiplicative diversity  
277 decomposition of Hill numbers:  ${}^qD_\beta = {}^qD_\gamma / {}^qD_\alpha$ . Here,  ${}^qD_\gamma$  corresponds to the observed  
278 total number of species ( $\gamma$ -diversity);  ${}^qD_\alpha$  to the mean local number of species recorded  
279 per site ( $\alpha$ -diversity); and,  ${}^qD_\beta$  to the ‘effective number of completely distinct  
280 communities’ ( $\beta$ -diversity). The equations for  ${}^qD_\gamma$  and  ${}^qD_\alpha$  are detailed elsewhere (Jost,  
281 2007; Tuomisto, 2010);  ${}^qD_\beta$  was calculated for each pairwise comparison of forest sites  
282 (i.e. islands and CF sites;  $N = 300$ ) and ranges between 1, when both communities are  
283 identical, and 2, when both communities are completely distinct from each other (Jost,  
284 2007). In addition,  $\beta$ -diversity depends on the parameter  $q$ , which determines the  
285 sensitivity of the measure to species relative abundances (Jost, 2007; Tuomisto, 2010).  
286 We considered  $\beta$ -diversity estimates of order 0 ( ${}^0D_\beta$ ), 1 ( ${}^1D_\beta$ ) and 2 ( ${}^2D_\beta$ ), in which  ${}^0D_\beta$   
287 gives disproportionate weight to rare species, as it is not sensitive to species  
288 abundances;  ${}^1D_\beta$  weights each species according to its abundance in the community,

289 measuring the turnover of ‘common’ or ‘typical’ species in the community; and  ${}^2D_{\beta}$   
290 favours very abundant species and is therefore interpreted as the turnover of ‘dominant’  
291 species in the community (Jost, 2007; Tuomisto, 2010). These analyses were performed  
292 using the ‘*entropart*’ R package (Marcon and Herault, 2013). Further, we used paired *t*-  
293 tests (Zar, 1999) to compare  $\beta$ -diversity in the different *q* orders between each mammal  
294 group. We used Mantel tests performed using the ‘*vegan*’ R package (Oksanen *et al.*,  
295 2017) to assess whether  $\beta$ -diversity was correlated to site location (i.e., geographic  
296 distance matrix among all sites) and to the matrices showing between-site differences in  
297 local habitat variables (i.e., S.TREE, N.TREE, OGT, BA<sub>ff</sub>, LIANA, FIRE, CC), in patch  
298 (i.e., AREA, SHAPE, DIST), and in landscape (i.e., COVER, PROX). All analyses were  
299 performed using R (R Development Core Team, 2013), assuming a significance level of  
300 0.05 (Zar, 1999).

301

## 302 **Results**

303

304 We obtained 1,481 captures of SMs ( $N = 853$  individuals) representing 20 species (17  
305 genera; 3 families), and 6,290 camera-trapping records of LMs representing 22 species  
306 (18 genera; 13 families; Table S3). The number of species per site ranged from 2 to 15  
307 (mean  $\pm$  SD =  $7.0 \pm 4.2$  species) for SMs, and from 1 to 19 ( $8.8 \pm 5.8$ ) for LMs. The  
308 most abundant SM species was *Marmosa demerarae*, an arboreal marsupial recorded at  
309 18 sites and corresponding to nearly one-third of all captures ( $N = 499$ ). Despite the  
310 local commonness of this species, others were often similarly abundant (e.g., *Didelphis*  
311 *marsupialis* and *Proechimys* spp.), and whenever absent, this species was replaced by  
312 other locally common species (e.g., *Philander opossum*, *Hylaeamys megacephalus* and  
313 *Marmosa murina*; Fig. 2a). Considering LMs, the red acouchy *Myoprocta acouchy* was  
314 the most abundant species, detected at 21 sites and accounting for 59% of all records ( $N$   
315 = 3,593). This small-bodied dasyproctid rodent was consistently the most abundant  
316 species at nearly all islands larger than 5 ha ( $N = 20$ ) and mainland CF sites (Fig. 2b). At  
317 each site, the number of uncommon or occasional species, i.e. those recorded only once  
318 or twice, averaged 41.5% ( $\pm 19.9$ ) and 33.1% ( $\pm 31.2$ ) for SM and LM assemblages,  
319 respectively.

320

## 321 **Patterns and predictors of $\beta$ -diversity**

322 Both SMs and LMs exhibited similarly high  $\beta$ -diversity (SM:  $1.63 \pm 0.27$ ; LM:  $1.62 \pm$   
323  $0.28$ ;  $P = 0.624$ ) when considering only the number of species ( $q = 0$ ). When species  
324 abundance was considered ( $q = 1$  and  $2$ ), however,  $\beta$ -diversity was significantly higher  
325 ( $P < 0.0001$ ) for SM ( ${}^1\beta = 1.48 \pm 0.28$ ;  ${}^2\beta = 1.47 \pm 0.31$ ) than for LM assemblages ( ${}^1\beta =$   
326  $1.34 \pm 0.31$ ;  ${}^2\beta = 1.32 \pm 0.37$ ; Fig. 3). Overall, levels of  $\beta$ -diversity of both groups  
327 decreased when species were weighted proportionally to their abundances, especially  
328 for LM assemblages. In fact,  $\beta$ -diversity of LMs was 1.23 times higher considering rare  
329 species than when only common or dominant species were considered (Fig. 3).

330 For both small and medium to large mammals,  $\beta$ -diversity was more strongly  
331 related to environmental variation among sites than to their spatial setting in the  
332 landscape. Indeed,  $\beta$ -diversity estimates (for any order  $q$ ) of both mammal groups were  
333 not influenced by geographic distance among forest sites (Table 2). Local habitat  
334 variables were the most important predictors of  $\beta$ -diversity for both small and mid-sized  
335 to large mammals. In particular, differences in tree species richness, percentage of old-  
336 growth tree and basal area of trees bearing fleshy fruiting increased mammal  $\beta$ -diversity  
337 among sites (Table 2). Additionally,  $\beta$ -diversity of LMs was significantly positively  
338 related to greater differences in the number of lianas among sites.

339 Patch and landscape variables influenced the  $\beta$ -diversity for some  $q$  orders,  
340 especially for LMs. In fact,  $\beta$ -diversity of LMs was influenced by some measures of  
341 isolation (including COVER, PROX and DIST), particularly when considering only rare  
342 species ( ${}^0\beta$ ; Table 2). Island size influenced  $\beta$ -diversity of LMs at all orders of  $q$ , but  
343 explained patterns of  $\beta$ -diversity for SMs only when rare species were considered  
344 (Table 2). In other words, across the archipelagic landscape of Balbina,  $\beta$ -diversity for  
345 SMs was generally high, compared to that of LMs, regardless of pairwise differences in  
346 island sizes. On the other hand,  $\beta$ -diversity for LMs was higher between islands of  
347 contrasting sizes, and lower between small islands, or between large islands and CF  
348 sites (Fig. S1).

349

## 350 **Discussion**

351

352 Habitat insularization in the aftermath of river damming has led to wholesale local  
353 extinctions of tropical forest species (Jones *et al.*, 2016). However, understanding how  
354 diversity is organized and maintained in biological communities is still poorly  
355 investigated in archipelagic systems, including islands created by hydroelectric

356 reservoirs (Si *et al.*, 2015, 2016). Indeed, no study to date had examined patterns of  $\beta$ -  
357 diversity for any taxonomic group within a major Neotropical reservoir, a region  
358 experiencing a boom in dam building (Lees *et al.*, 2016). Hence, this is the first study  
359 that examines the main predictors of  $\beta$ -diversity for both small and mid-sized to large  
360 terrestrial and arboreal mammals within a fragmented tropical forest landscape. As  
361 expected, when considering common and dominant species, SMs exhibited higher levels  
362 of  $\beta$ -diversity than LMs. We also showed that habitat quality plays a major role in  
363 mammal species turnover for both groups, with patch and landscape variables exerting a  
364 key influence on  $\beta$ -diversity of only mid-sized to large-bodied mammals. In particular,  
365 the severe local extinctions of LMs in smaller islands (Benchimol and Peres, 2015a)  
366 resulted in the biotic homogenization of assemblages therein.

367

### 368 **Patterns of mammal $\beta$ -diversity**

369 For both SMs and LMs,  $\beta$ -diversity estimates were higher when considering rare  
370 species. This is expected given the observed patterns of dominance, with only one or  
371 two records obtained for more than one third of all SM and LM species detected,  
372 respectively. Other studies in fragmented forest landscapes showed similar results for  
373 small mammal (Püttker *et al.*, 2015), plant (Arroyo-Rodríguez *et al.*, 2013) and bird  
374 assemblages (Si *et al.*, 2016; Morante-Filho *et al.*, 2016). Thus, to maintain the regional  
375 pool of species ( $\gamma$ -diversity), including rare mammal species, conservation efforts at  
376 Balbina and analogous landscapes must therefore cover a reasonable range of habitat  
377 patches (Meza-Parral and Pineda, 2015; Socolar *et al.*, 2016). Nevertheless, the LM  
378 species exhibiting a small number of occurrences, including jaguar, tapir and giant  
379 anteaters, which are able to transverse the aquatic matrix and visit multiple land masses  
380 (Benchimol and Peres, 2015b), may actually correspond to transient species, rather than  
381 true residents in forest islands created by dams (Terborgh *et al.*, 1997). This can inflate  
382 differences in species composition of LM between sites when considering only species  
383 richness. In contrast, differences in SM species composition for  $q = 0$  could be  
384 underestimated due to the lower probability of arboreal species to approach any trap in  
385 the three-dimensional forest canopy. Although our sampling effort provided satisfactory  
386 estimates of species richness for both mammal groups, we minimised possible  
387 underestimates for SMs, or overestimates for LMs, by using expected  $\beta$ -diversity values  
388 (Chao and Jost, 2012).

389 We also considered abundance-based measures of  $\beta$ -diversity (i.e.,  $q = 1$  and  $q =$   
390 2), which are dominated by common species, given their importance to inform  
391 ecosystem processes (Socolar *et al.*, 2016). As such, the SM species turnover was  
392 higher than that for LMs. Indeed, while only one LM species (*M. acouchy*) was  
393 consistently the commonest species across nearly all forest sites surveyed, whereas local  
394 composition of common SM species was much more variable across the spectrum of  
395 island sizes/CF sites (Fig. 2). Differences in vagility between SMs and LMs could also  
396 partly explain the higher abundance-based  $\beta$ -diversities of SMs. However, the larger  
397 effect of abundance-based  $\beta$ -diversity on SMs, compared to those based on species  
398 richness only, suggest an additional mechanism. SM assemblages are closely linked to  
399 local habitat conditions, being mainly determined by local characteristics related to  
400 habitat structure (e.g., overstorey and understorey vegetation density and number of  
401 fallen logs; Delciellos *et al.*, 2015; Olifiers, 2002). Such trophic and structural resources  
402 for small mammals tend to be patchy distributed, so that populations are often clustered  
403 over large forest areas (Charles-Dominique *et al.*, 1981). This may contribute with the  
404 overall higher abundance-based  $\beta$ -diversity values recorded for SMs. Interestingly, such  
405 heterogeneity in SM assemblages was also recorded between smaller islands, where  
406 only a reduced set of species persist (Palmeirim *et al.* in revision). Indeed, habitat  
407 conditions are highly variable across Balbina forest islands, for example, in terms of  
408 vertical stratification of the vegetation (Benchimol and Peres, 2015c). Such a link to  
409 local habitat conditions may lead to multiple compositional pathways in which SM  
410 species differ in abundance between sites according to locally available resource  
411 spectra, habitat structure and ecological niches, as observed for plant species (Arroyo-  
412 Rodriguez *et al.*, 2013).

413 In the case of the LM assemblages, the observed correlation between  $\beta$ -diversity  
414 and differences in island sizes indicates that these mammal assemblages share a more  
415 similar species composition either between larger islands and CF sites, or between  
416 smaller islands (Fig. S1). That is expected for larger islands/CF sites, which harbour the  
417 same full, or nearly full, species assemblage (Benchimol and Peres, 2015a). Yet, the  
418 lower LM  $\beta$ -diversity between smaller islands, occupied by a smaller subset of species  
419 (Benchimol and Peres, 2015a), denotes a subtractive homogenization in species  
420 composition of LMs (Karp *et al.*, 2012; Püttker *et al.*, 2015; Socolar *et al.*, 2016) —  
421 involving the hyperdominance of a similar subset of species (Chase, 2007). Common  
422 species typically have relatively high dispersal abilities and generalist habits (Vellend *et*

423 *al.*, 2007; Karp *et al.*, 2012). Such unidirectional pattern of species turnover is expected  
424 to promote cascading effects onto lower trophic levels, which can further disrupt the  
425 structure of the entire forest ecosystem at small islands (Tabarelli *et al.*, 2012). In  
426 Balbina, where 94.7% of all 3,546 islands are smaller than 100 ha, evidence for biotic  
427 homogenization suggests that any ecosystem functions provided by LMs are  
428 compromised across most of the landscape, further posing a major threat to the  
429 maintenance of regional scale biodiversity (Olden *et al.*, 2011; Solar *et al.*, 2015).

430

### 431 **Predictors of mammal $\beta$ -diversity**

432 We expected assemblages of SMs, rather than those of LMs, to be context-dependent in  
433 terms of local habitat structure. The unexpected association between  $\beta$ -diversity of LMs  
434 and local habitat variables could be related to the large range of body sizes covered in  
435 this group, from small-bodied (e.g. the squirrel *Guerlinguetus aestuans*, 210 g), to very  
436 large-bodied species (e.g. jaguar, 158 kg; lowland tapir, 260 kg). As such, variables  
437 related to local habitat-quality – tree species richness, prevalence of old-growth trees  
438 and basal area of trees bearing fleshy fruits – played a major role in predicting species  
439 turnover for both mammal groups. Those latter two habitat variables can increase the  
440 amount of food and structural resources available to at least small mammal species  
441 (Malcolm, 1991), while the proportion of old-growth trees remaining in the islands is a  
442 proxy of the degree of forest ecosystem integrity (Benchimol and Peres, 2015c). All of  
443 these habitat variables may therefore represent a gradient of forest habitat quality for  
444 mammals (Delciellos *et al.*, 2015; Lomolino and Perault, 2000; Pardini *et al.*, 2005,  
445 2009). Therefore, maintaining habitat integrity should preclude the homogenization of  
446 mammal species assemblages across the landscape.

447 Variables at both the forest patch and landscape scale also predicted  $\beta$ -diversity,  
448 particularly for LMs. As stated above, at the patch scale, island area predicted  $\beta$ -  
449 diversity of LMs, while  $\beta$ -diversity of SMs was only predicted by area when rare  
450 species were considered. In comparison to SMs, most LMs require larger areas and  
451 sustain lower population densities (Wright *et al.*, 1998). Therefore, assemblages of  
452 large-bodied mammals are expected to be greatly affected by the remaining habitat area  
453 in fragmented landscapes (Chiarello, 1999; Michalski and Peres, 2005; Newmark,  
454 1996). Indeed, forest area alone explained 91% of the overall variation in species  
455 richness for medium and large-sized vertebrates surveyed at 37 Balbina islands  
456 (Benchimol and Peres, 2015a). In the case of SMs, island area predicted the turnover of

457 only rare species, which probably matches those species with the largest spatial  
458 requirements or higher habitat specificity, both of which are primarily accommodated  
459 by larger forest sites (Palmeirim et al., in revision). Although large islands and mainland  
460 forest sites can retain a larger number of rare species, those sites must still meet  
461 appropriate conditions in terms of habitat quality to sustain viable populations.

462 Our results also indicate that  $\beta$ -diversity of LMs was further predicted by site  
463 isolation at both the patch and landscape scale (i.e., forest cover, proximity and distance  
464 to the mainland) when considering only species richness ( $q = 0$ ). The ability of species  
465 to disperse between fragments is one of the main determinants of population persistence  
466 in fragmented landscapes (Moilanen and Hanski, 1998; Schooley and Wiens, 2004),  
467 including the Balbina archipelago, where intrinsic species swimming capacity was  
468 positively related to island occupancy rates for LMs (Benchimol and Peres, 2015a).  
469 Isolation-related variables also account for the availability of neighbouring habitat, and  
470 therefore to the probability of recolonization events. Thus, both species ability to  
471 disperse and habitat availability seem to shape the turnover of rare LM species (cf.  
472 Rabelo *et al.*, 2017).

473

#### 474 **Conservation implications**

475 This study highlights the importance of considering  $\beta$ -diversity to propose conservation  
476 recommendations in anthropogenic landscapes, and improves our understanding of the  
477 pervasive impact of mega hydropower dams on tropical forest biodiversity. First, we  
478 revealed that predictors of mammal  $\beta$ -diversity failed to match those observed in  
479 previous vertebrate  $\alpha$ -diversity studies carried out in the same study landscape. While  
480 the number of SM species was related to island area and proximity (Palmeirim et al., in  
481 revision), the species turnover of small mammals was primarily predicted by local  
482 habitat characteristics. Likewise, although island size is a powerful predictor of large  
483 mammal species richness (Benchimol and Peres, 2015a), the species turnover of LMs  
484 was additionally driven by a set of local habitat variables. Other studies also report  
485 divergent drivers of either  $\alpha$ - or  $\beta$ -diversity, for example in stream fish communities  
486 (Edge *et al.*, 2017). Therefore, focusing on predictors of  $\alpha$ -diversity alone would fail to  
487 understand drivers of high species turnover and consequently cannot ensure guidelines  
488 for long-term conservation of full mammal assemblages in fragmented tropical forest  
489 landscapes.

490 In land-bridge islands isolated within hydroelectric reservoirs, edge effects tend  
491 to be stronger than in non-insular fragments, entailing more drastic changes in the forest  
492 structure, particularly in smaller islands (Benchimol and Peres, 2015c). This further  
493 represents a problem in maintaining the Balbina mammal regional diversity, where only  
494 <10% of all islands are >100 ha. In any case, this study illustrates a relatively benign  
495 scenario in terms of mammal  $\beta$ -diversity 28 years after damming, mainly because the  
496 Balbina archipelago has been effectively protected by the largest biological reserve in  
497 Brazil. In the long-term, as the Balbina islands become more degraded by edge effects,  
498 insular mammal assemblages, particularly those of larger bodied species, may become  
499 even more homogeneous, through further decays in  $\beta$ -diversity. Yet, this will depend on  
500 how edge effects will continue to impact insular forest structure, which may lead to  
501 either homogenization or differentiation, being stronger in smaller and more infrequent  
502 in larger islands (Benchimol and Peres, 2015c). Because non-volant mammals also  
503 provide key ecological services for ecosystem maintenance, their loss can substantially  
504 affect tropical forest functioning (Dirzo *et al.* 2014). Therefore, future assessments of  
505 hydropower development should carefully weigh the environmental partition of  
506 biodiversity loss along all other environmental and socioeconomic costs.

507

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697

698 **Biosketches**

699 The authors of this study form an interdisciplinary team from a range of institutions in  
700 Brazil and the UK, and have research interests and expertise that cover the fields of  
701 tropical ecology and conservation science, including the impacts of habitat change and  
702 degradation in Amazonian and Atlantic forest landscapes.

703 Author contributions: A.F.P., M.B. and J.C.M.F conceived the ideas; A.F.P. and M.B.  
704 collected the data; A.F.P. and J.C.M.F. conducted the data analysis, A.F.P. led the  
705 writing; and all authors contributed with comments and revisions to all drafts of the  
706 manuscript.

707

708 **Tables**

709

710 **Table 1.** Local habitat quality, patch and landscape variables measured, potentially  
 711 affecting mammal  $\beta$ -diversity among 25 forest sites within the Balbina Hydroelectric  
 712 Reservoir archipelagic landscape. The overall range, mean and standard deviation are  
 713 provided for each variable.

714

Name (code name)	Variable description	Range (mean $\pm$ SD)
Local habitat scale		
Trees richness (S.TREES)	Number of tree species $\geq$ 10 cm DBH per 0.25-ha forest plots within each focal island and mainland site.	14 – 70.5 (54.6 $\pm$ 11.5)
Number of trees (N.TREES)	Density of trees $\geq$ 10 cm DBH obtained from floristic surveys in 0.25-ha forest plots within each focal island and mainland site.	84 – 176 (123.4 $\pm$ 22.8)
Closed-canopy forest (CC)	Percentage of closed-canopy forest within each forest site.	37.5 – 10.65 % (76.2 $\pm$ 15.5)
Fire severity (FIRE)	Fire severity within each forest site, scored on an ordinal scale based on the extent of each forest site affected by surface (understorey) fires and the number of charred trees and height of char marks on each tree.	0 – 3 (1.96 $\pm$ 0.60)
Old-growth trees (OGT)	Percentage of old-growth trees calculated from floristic surveys in 0.25-ha forest plots within each focal island and mainland site.	10.71 – 82.34% (64.1 $\pm$ 17.0)
Basal area of trees bearing fleshy fruits(BA <sub>FP</sub> )	Basal area of trees bearing fleshy fruits, derived from floristic surveys of all live trees $\geq$ 10 cm DBH in 0.25-ha forest plots within each focal island and mainland site.	12.1 –35.0 cm (20.6 $\pm$ 5.0)
Lianas (LIANA)	Mean number of woody lianas (> 2.5 cm DBH) calculated from floristic surveys in 0.25-ha forest plots within each focal island and mainland site.	0 – 40.5 (21.5 $\pm$ 10.3)
Patch scale		

Island size (AREA)	Island area of each focal island ( $\log_{10} x$ ).	0.83 – 1466 ha (199.0 ± 344.1)
Island shape (SHAPE)	Perimeter length of each focal island divided by the total island area.	0.004 – 0.106 (0.018 ± 0.022)
Distance (DIST)	Euclidean distance from each island to the nearest neighbouring mainland forest site.	0 – 11,872 m (4,503 ± 3,352)
Landscape scale		
Forest cover (COVER)	Percentage of land mass area within a 500 m-buffer.	5.91 – 100% (37.50 ± 22.28)
Proximity (PROX)	The sum of all island areas divided by the squared sum of edge-to-edge distances from each focal island to all islands within a 500 m-buffer. Instead of considering the area of each island within the buffer (as in McGarigal <i>et al.</i> , 2012), we considered the total (“true”) area of each island.	0.44 – 10.65 (3.45 ± 1.84)

715



716 **Table 2.** Correlation between  $\beta$ -diversity estimates among forest sites of small and  
717 mid-sized to large mammals and site location (geographic distance among sampled sites),  
718 inter-site differences ( $\Delta$ ) in landscape, patch and local habitat variables at 25 forest sites  
719 sampled at the Balbina Hydroelectric Reservoir. Three orders of  $q$  (0, 1 and 2), which  
720 determines the sensitivity of each  $\beta$ -diversity component to the relative abundance of  
721 species. Pearson correlation coefficients and significance were calculated using Mantel  
722 tests (\*  $P < 0.05$ ; \*\*  $P \leq 0.001$ ).  
723

Variables	$\beta$ -diversity order	Small	Midsized–large
Site location	0	0.046	0.015
	1	0.070	0.051
	2	0.085	0.069
<i>Local habitat scale</i>			
$\Delta$ S.TREES	0	0.307*	0.430**
	1	0.331*	0.427*
	2	0.327*	0.387*
$\Delta$ N.TREES	0	0.133	0.083
	1	0.039	0.076
	2	0.007	0.049
$\Delta$ OGT	0	0.305*	0.319**
	1	0.312*	0.476*
	2	0.293*	0.445*
$\Delta$ BAFF	0	0.161	0.245*
	1	0.269*	0.396*
	2	0.275*	0.434*
$\Delta$ LIANA	0	0.056	0.357**
	1	0.075	0.287*
	2	0.083	0.244*
$\Delta$ FIRE	0	-0.181	0.201*
	1	-0.145	-0.026
	2	-0.142	-0.048
	0	0.070	0.114

$\Delta$ CC	1	0.113	0.191
	2	0.100	0.151
<hr/> <i>Patch scale</i>			
$\Delta$ AREA	0	0.293*	0.751**
	1	0.141	0.465**
	2	0.150	0.418*
<hr/>			
$\Delta$ SHAPE	0	0.007	0.078
	1	-0.214	-0.063
	2	-0.213	-0.088
<hr/>			
$\Delta$ DIST	0	-0.070	0.214*
	1	-0.167	-0.075
	2	-0.156	-0.082
<hr/> <i>Landscape scale</i>			
$\Delta$ COVER	0	0.105	0.386**
	1	0.053*	0.199
	2	0.074	0.182
<hr/>			
$\Delta$ PROX	0	0.071	0.369*
	1	-0.134	0.043
	2	-0.127	0.019
<hr/>			

725 **Figure captions**

726

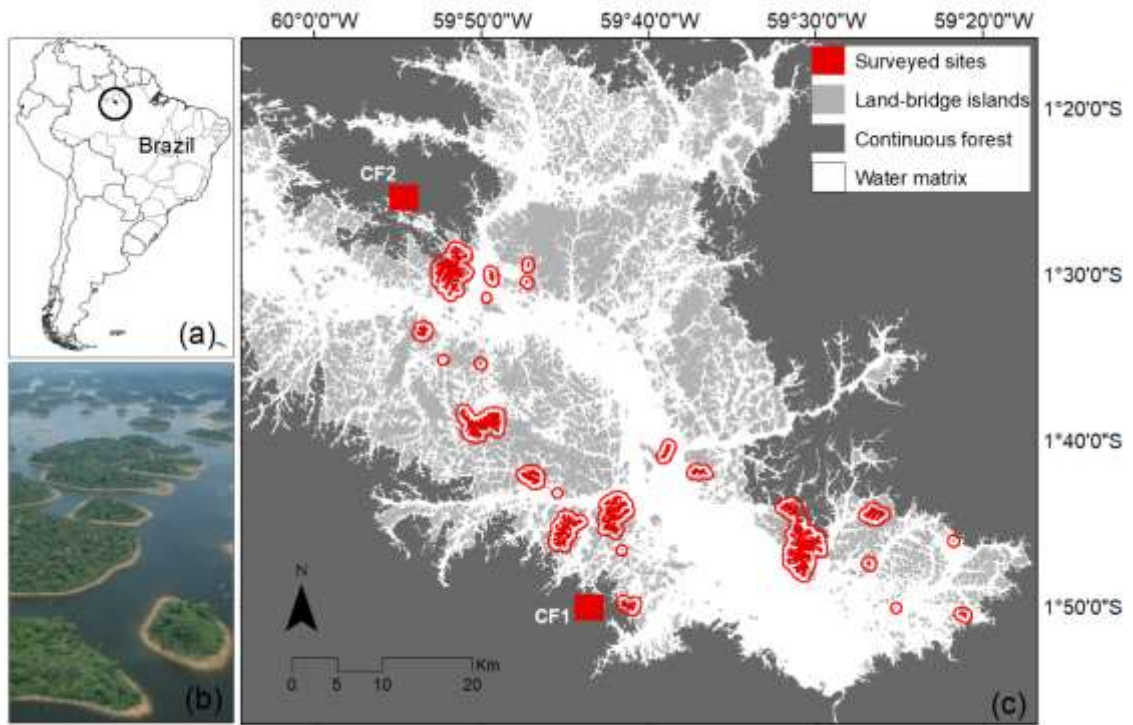
727 **Figure 1.** (a) Overview of the Balbina Hydroelectric Reservoir location in the Central  
728 Brazilian Amazon; (b) aerial photograph illustrating the archipelagic landscape (photo  
729 credit: E. M. Venticinque); and (c) spatial distribution of the 23 land-bridge islands (in  
730 red and highlighting the 500-m buffer polygons) and two continuous forest sites  
731 surveyed in the mainland (CF<sub>1</sub> and CF<sub>2</sub>; red rectangles).

732 **Figure 2.** Rank-abundance (ln) distribution curves for (a) small mammals and (b)  
733 midsized to large mammals across all 25 surveyed sites within the Balbina  
734 Hydroelectric Reservoir. Each data point represents the abundance of each species at  
735 each site and is colour-coded by species. Lines connect species abundances at the same  
736 site. Sites are ordered left to right in terms of area, from smallest to largest. Due to  
737 overlapping symbology, we indicate species code names only for the most abundant  
738 species (ln (abundance) > 2.85 and 2.50, for small and midsized to large mammals,  
739 respectively). Abundant small mammal species include: *Philander opossum* (Phil),  
740 *Marmosa murina* (Mmuri), *Marmosa demerarae* (Mdem), *Hylaeamys megacephalus*  
741 (*Hyla*), *Didelphis marsupialis* (Didel), *Oecomys* sp. 1 (Oeco1) and *Proechimys* sp.  
742 (*Proe*); midsized to large mammal species include: *Dasyopus novemcinctus* (Dno),  
743 *Myoprocta acouchy* (Myo), *Cuniculus paca* (Cu), *Tapirus terrestris* (Tap), *Mazama*  
744 *americana* (Ma), *Hydrochoerus hydrochaeris* (Hyd), *Dasyprocta leporina* (Das), *Pecari*  
745 *tajacu* (Pec), *Dasyopus kappleri* (Dk).

746 **Figure 3.** Mean  $\beta$ -diversity estimates of small and medium sized mammals surveyed at  
747 25 forest sites within the Balbina archipelago.  $\beta$ -diversity was assessed using three  
748 orders of  $q$  (0, 1 and 2), which determines the sensitivity of the measure to relative  
749 species abundances. For each mammal group, we indicate the mean  $\beta$ -diversity for all  
750 pairwise sites ( $N = 300$ ), and the corresponding 95% confidence intervals.

751

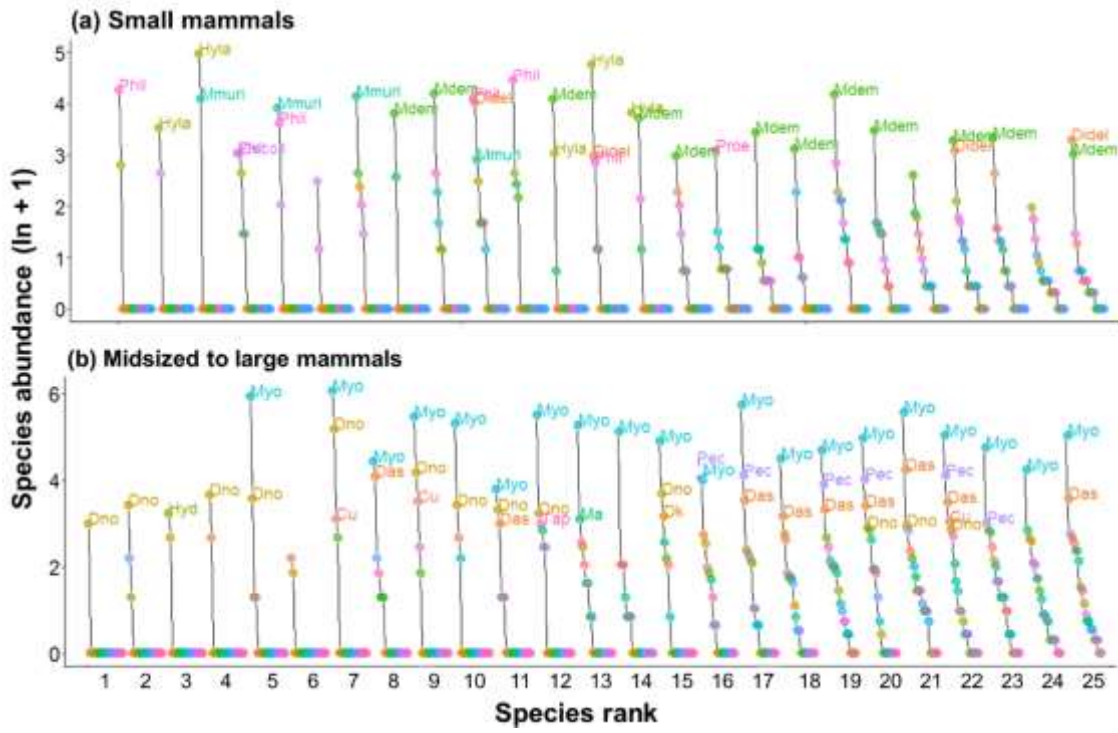
752 **Figures**



753

754 **Fig. 1**

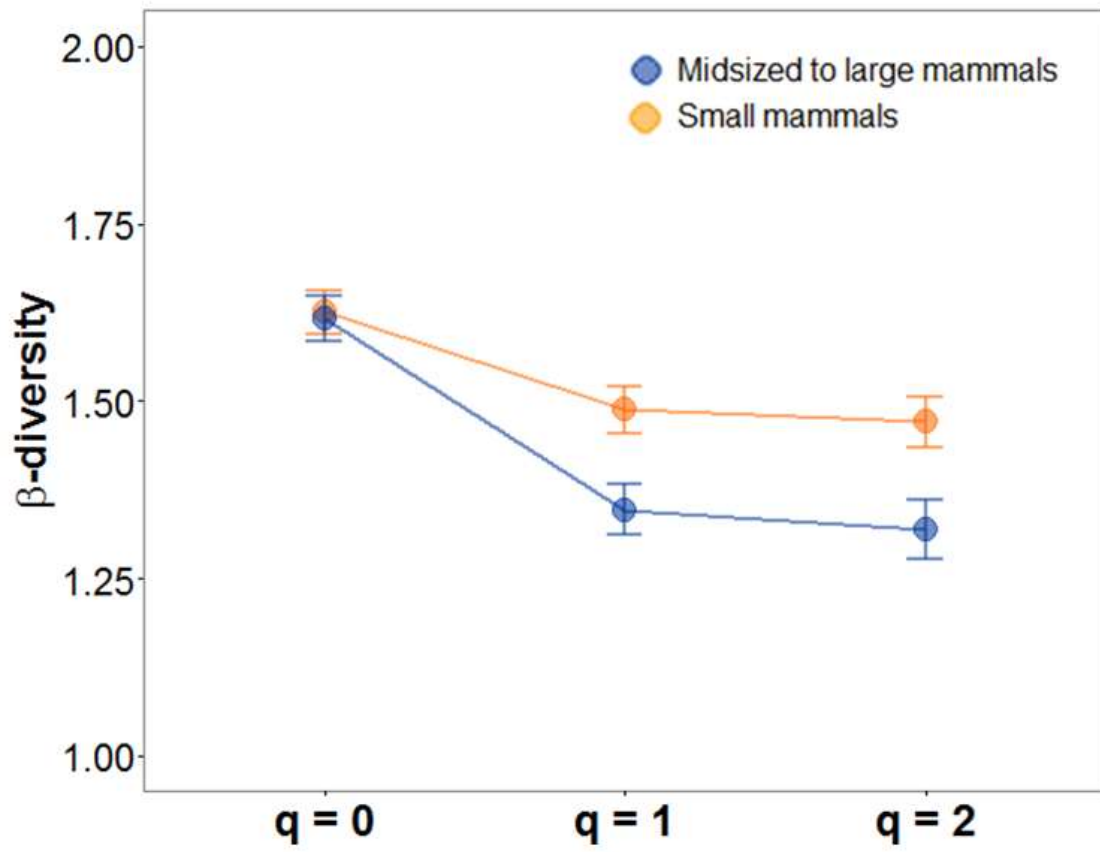
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756

757 **Fig. 2**

758



759

760 Fig. 3