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# **Biogeochemical modelling of dissolved oxygen in a changing ocean**

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## **Summary**

Secular decreases in dissolved oxygen concentration have been observed within the tropical Oxygen Minimum Zones (OMZs) and at mid- to high latitudes over the last ~ 50 years. Earth System Model (ESM) projections indicate that a reduction in the oxygen inventory of the global ocean, termed ocean deoxygenation, is a likely consequence of on-going anthropogenic warming. Current models are, however, unable to consistently reproduce the observed trends and variability of recent decades, particularly within the established tropical OMZs. Here we conduct a series of targeted hindcast model simulations using a state-of-the-art global ocean biogeochemistry model in order to explore and review biases in model distributions of oceanic oxygen. We show that the largest magnitude of uncertainty is entrained into ocean oxygen response patterns due to model parameterisation of  $p\text{CO}_2$ -sensitive C:N ratios in carbon fixation and imposed atmospheric forcing data. Inclusion of a  $p\text{CO}_2$ -sensitive C:N ratio drives historical oxygen depletion within the ocean interior due to increased organic carbon export and subsequent remineralisation. Atmospheric forcing is shown to influence simulated interannual variability in ocean oxygen, particularly due to differences in imposed variability of wind stress and heat fluxes.

## **1. Introduction**

The representation of realistic oxygen fields is an important and active area for ocean biogeochemistry model development (e.g. the Fast Met Office UK Universities Simulator [FAMOUS]; [1]) owing to the ecological importance of dissolved oxygen concentration (hereafter  $[\text{O}_2]$ ) and its sensitivity to climatic perturbation on interannual to millennial timescales [2]. Moreover, despite occupying less than 10 % of the ocean by volume, low oxygen waters could account for up to 50 % of open ocean  $\text{N}_2\text{O}$  production as a consequence of denitrification and increased  $\text{N}_2\text{O}$  yields from nitrification under low- $[\text{O}_2]$  conditions [3]. ESMs consistently project a reduction in the oxygen content of the global ocean in response to future anthropogenic climate change [4, 5], but with significant uncertainty in the spatial pattern (or “fingerprint”) and magnitude [6, 7]. Therefore, the ability of current models to reproduce the observed dynamics and distribution of ocean  $[\text{O}_2]$  needs to be scrutinised to understand uncertainties and improve projections [8, 9].

A number of persistent model biases have been identified in the  $[\text{O}_2]$  fields of ocean biogeochemistry models of differing complexity [9, 10] integrated for the historical period. Most evident is the inability of current models to reproduce the observed distribution and variability of  $[\text{O}_2]$  at low latitudes, particularly within OMZs such as the eastern tropical Pacific [11]. For example, Ocean Biogeochemistry General Circulation Models (OBGCMs) generally simulate historical  $[\text{O}_2]$  increases within the tropical thermocline

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(e.g. [12, 13]) and an overall contraction of suboxic waters [7] in response to ocean warming. These model responses are contrary to time series data compiled for the eastern tropical OMZs [14], which show marked deoxygenation trends and expansion of low-[O<sub>2</sub>] waters over the last ~ 50 years. [15] and [11] also highlight the limited capacity of current ESMs to reproduce the observed climatological distribution of [O<sub>2</sub>] in the subsurface. This systematic model bias is entrained into the biogeochemically significant [16] volume censuses of low-[O<sub>2</sub>] waters, with models variously both underestimating (e.g. HadGEM2-ES [17]) and overestimating (e.g. MPI-ESM-LR [18]; GFDL-ESM2.1 [19]) hypoxic and suboxic extent within the ocean interior. A range of dynamical and biogeochemical model deficiencies have been invoked to explain the divergence between observed and simulated [O<sub>2</sub>] at low latitudes (e.g. [1, 9]). Chief among them is the inability of coarse resolution models to explicitly simulate the mesoscale structures which resupply O<sub>2</sub> into the eastern tropical OMZs (e.g. [20]). Eddy-resolving spatial resolution has been shown to improve representation of [O<sub>2</sub>] in the Arabian Sea [21] and eastern tropical Atlantic [10] OMZs via more realistic transport processes in the physical model. However, below eddy-resolving scales, improvements in the representation of [O<sub>2</sub>] fields with increasing spatial resolution have been shown to be small (e.g. MPI-ESM [18]). Uncertainties surrounding the parameterisation of lateral [22, 15] and vertical [23] diffusion have also been shown to place important constraints on the extent of low-[O<sub>2</sub>] waters in coarse resolution ESMs. In addition, unforced variability in the equatorial trade winds has been shown to influence the simulated evolution of tropical OMZs on multidecadal timescales [24], further complicating model-data agreement in coupled models.

The representation of biological processes and their response to physical and geochemical forcing has been suggested as an important limitation on O<sub>2</sub> dynamics in ocean biogeochemistry models (e.g. [25, 26]). For example, [27] show, using an ensemble of ESM experiments, that the interactive effects of climate change and ocean acidification could drive significant alteration to biogeochemical cycles, suggesting that ocean warming should not be considered in isolation when considering future ocean deoxygenation. The potential impacts of ocean acidification on primary and export production are particularly important for oxygen cycling. Mesocosm experiments carried out using natural plankton communities [28, 29] suggest that the C:N uptake ratio in photosynthetic carbon fixation increases under elevated pCO<sub>2</sub> due to DIC over-consumption, causing the composition of exported organic material to deviate from classical Redfield stoichiometry (e.g. C:N = 6.6 [30]). Specifically, [28] report higher C:N drawdown ratios in diatom and coccolithophore-dominated mesocosm enclosures exposed to increased partial pressures of CO<sub>2</sub> (pCO<sub>2</sub> = 700 μatm, C:N = 7.1; pCO<sub>2</sub> = 1050 μatm, C:N = 8.0), whilst N:P ratios remain unchanged from Redfield proportions. The effects of stoichiometric plasticity in marine ecosystems remain largely unaccounted for in current ocean biogeochemistry models [31], which generally rely on fixed elemental ratios in the formation of organic material. However, studies using configurations of the UVic [32] and PISCES [33] models which implement a pCO<sub>2</sub> sensitive C:N ratio in primary production suggest that stoichiometric effects in response to ocean acidification could have a major impact on biogeochemical cycles. Model experiments with variable C:N stoichiometry simulate increases in cumulative carbon export of between 70 and 100 Pg C by 2100 in response to future CO<sub>2</sub> forcing. Moreover, the export of (relatively) more carbonaceous organic material from the surface in response to DIC over-consumption driven by acidification also causes biological oxygen demand to increase. As a result, these model experiments project elevated deoxygenation of the tropical thermocline (> 20 μmol L<sup>-1</sup>) and 36 – 50% increases in the volume of suboxic waters by 2100 [32, 33], compared to no change or a small [O<sub>2</sub>] increase as simulated by fixed stoichiometry ESMs [6]. [9] investigate the impact of variable C:N ratios on historical [O<sub>2</sub>] trends using an intermediate complexity ESM, and find that this effect is small for zonal mean [O<sub>2</sub>] trends at 300 dbar. However, the impact of accounting for variable stoichiometry on historical [O<sub>2</sub>] changes in more complex Plankton Functional Type (PFT) ocean biogeochemistry models (e.g. [34, 35]) remains uncertain.

Another potentially important impact of biological processes on [O<sub>2</sub>] changes relates to the well-established [36] effect of ocean acidification on the saturation state of seawater with respect to calcium

carbonate (both calcite [ $\Omega_{\text{cal}}$ ] and its less stable polymorph aragonite [ $\Omega_{\text{arag}}$ ]). A decrease in  $\Omega$  under elevated  $p\text{CO}_2$  has been shown by a number of laboratory and field studies to reduce biogenic calcification rates in some calcareous holoplankton (foraminifera, pteropods and some coccolithophores) and warm-water corals [37]. Extrapolating these results, [38] projects a  $\sim 50\%$  decline in global  $\text{CaCO}_3$  export production by 2250 using an OBGCM which accounts for reduced biocalcification rates in response to ocean acidification (SRES A1B emissions scenario). Beyond direct effects, it has been suggested by [39] that changes in the “carbonate pump” may also impact upon the export of organic material, since fluxes of POC and PIC are highly correlated beneath  $\sim 1000$  m depth (the so-called “PIC:POC rain ratio” [40, 41]). Specifically, export fluxes of dense calcareous ( $\text{CaCO}_3$ ) and siliceous ( $\text{SiO}_2$ ) biominerals provide ballast which increases the sinking speed and transfer efficiency of POC into the ocean interior. Therefore, reduced export production of  $\text{CaCO}_3$  in response to ocean acidification could impact upon the efficiency of the organic (“soft tissue”) biological pump, such that POC remineralises at shallower depths as mineral ballast fluxes weaken. This effect has been reproduced by OBGCMs which include simple ballasting submodels [38], with implications for other biogeochemical cycles including oxygen. For example, [42] demonstrated, using idealised experiments with a  $[\text{CO}_3^{2-}]$  dependency in calcification rates ( $R_{\text{CAL}}$ ), that shallower POC remineralisation depths in response to weakened ballasting exacerbates  $\text{O}_2$  depletion within established subsurface  $[\text{O}_2]$  minima. Specifically, reduced ballasting alone is shown in prognostic experiments under a SRES A1F1 emissions scenario until 2100 (with emissions declining to 0 at 2200) to reduce  $[\text{O}_2]$  by  $20 - 40 \mu\text{mol L}^{-1}$  between  $\sim 200 - 800$  m depth by the year 3000, with the largest decreases within tropical OMZs (e.g.  $> 50 \mu\text{mol L}^{-1}$  at 500 m depth in the Arabian Sea). Thus, the effects of ocean acidification on carbon export have been shown to have a major impact on  $[\text{O}_2]$  distributions and forced responses in model projections. However, no studies have so far addressed if these missing processes could reconcile the mismatch between observed and modelled  $[\text{O}_2]$ .

Current ocean biogeochemistry models also generally underestimate temporal variability in  $\text{O}_2$  on interannual to decadal timescales. For example, [43, 44] report decadal scale variability in Apparent Oxygen Utilisation (AOU) as simulated by an ensemble of ocean-only hindcast model experiments for the North Pacific region to be underestimated by a factor of  $\sim 3$  relative to repeat hydrographic section data. Similarly, interannual variability in global Atmospheric Potential Oxygen fluxes have been shown to be underestimated by a factor of  $\sim 2 - 4$  in hindcast ocean biogeochemistry models relative to those estimated using global atmospheric transport inversions [26]. These results are also consistent with the model-data comparison of [8], where optimal detection methods applied to Coupled Model Intercomparison Project Phase 5 (CMIP5) ESMs show that model  $[\text{O}_2]$  responses need to be scaled up by a factor of  $\sim 2 - 4$  to match observed changes. Recent work using hindcast models [45, 46, 47] demonstrate the sensitivity of simulated trends and variability in the ocean carbon cycle to imposed atmospheric forcing. For instance, [47] find significant differences in the interannual variability of  $\text{CO}_2$  outgassing fluxes from the tropical Pacific using ocean-only OBGCM experiments forced with the JPL CCMP Ocean Surface Wind Product [48] compared to NCEP/NCAR reanalysis data [49]. Additionally, model representation of large scale physical transport processes such as the Atlantic Meridional Overturning Circulation (AMOC) have been shown to be sensitive to the choice of imposed atmospheric forcing. For example, [50] show model simulated long period variability in AMOC transport at  $26.5^\circ\text{N}$  to be significantly different between hindcast experiments which employ ECMWF ERAInterim reanalysis data [51] compared to those which calculate bulk fluxes using the Drakkar Forcing Set 3 (DFS3; [52]) blended meteorological and satellite forcing product. As a result, whilst it must be acknowledged that natural variability is also generated internally to the ocean system, ocean-only model configurations allow considerable “exogenous” variability to be directly related to the imposed atmospheric forcing. Therefore, the use of high frequency, high quality atmospheric data to calculate turbulent fluxes of heat, freshwater and momentum in hindcast models (cf. [52, 53]) could provide a mechanism for improving interannual to decadal variability in simulated  $\text{O}_2$ .

The present study explores the source of systematic biases in model representation of  $[\text{O}_2]$  with a range of physical and biogeochemical perturbation experiments conducted using a state-of-the-art global ocean biogeochemistry model. It focuses on quantifying the impact of (a) ocean acidification (variable C:N

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stoichiometry and mineral ballasting) and (b) imposed atmospheric forcing on the spatiotemporal distribution of [O<sub>2</sub>] over the last ~ 50 years.

## 2. Model description

### (a) Ocean biogeochemistry model

PlankTOM10 is a global ocean biogeochemistry model which describes lower-trophic level ecosystem dynamics explicitly based on PFTs [35, 54, 55]. It includes ten PFTs: picophytoplankton, N<sub>2</sub>-fixers, cocolithophores, mixed phytoplankton, diatoms, colonial *Phaeocystis*, bacteria, protozooplankton, mesozooplankton and macrozooplankton. The present model version, fully documented in [35], comprises 39 biogeochemical tracers, and simulates the full marine cycles of Carbon (C), Phosphorous (P), Oxygen (O<sub>2</sub>), Silicon (Si), along with simplified cycles of Nitrogen (N) and Iron (Fe). Growth of PFTs is co-limited by temperature, light, macronutrients (N, P and Si) and Fe. PlankTOM10 includes 3 detrital pools (semi-labile dissolved organic material [DOM], and small and large POC), with a fixed Redfield stoichiometry (172O : 122C : 16N : 1P [56]) in the formation and remineralisation of organic material for the standard model configuration. Ratios for Fe : C, Chl : C and Si : C (for diatoms) of organic material are variable, calculated by the model based on PFT and abiotic factors [34]. N pools are also subject to denitrification and N<sub>2</sub>-fixation processes. PlankTOM10 also includes mineral ballasting, whereby the sinking speed of large POC increases as a function of opal (SiO<sub>2</sub>) and calcite (CaCO<sub>3</sub>) content. This parameterisation applies the direct measurements of mineral ballasting by opal and calcite in copepod fecal pellets [57] to the drag equations [58]. The drag equations are solved offline by iteration in order to calculate gravitational sinking speeds of large POC ( $v_{sink}$ ) over the range of particle densities in the model. These pairs of particle densities and sinking speeds could be well represented by the following equation (2.1).

$$v_{sink} = a \times (\rho_{sw} - \rho_{par})^b \quad (2.1)$$

where  $a = 0.0303$ ,  $b = 0.6923$ ,  $\rho_{sw}$  is the density of seawater and  $\rho_{par}$  is the density of the particle, calculated by:

$$\rho_{par} = \frac{(LPOC \times CAL \times SIL \times 240 \times 100)}{LPOC + (CAL \times 100) + (SIL \times 100) + (240 \times 100)} \quad (2.2)$$

where  $LPOC$  is large particulate organic carbon,  $CAL$  is sinking calcite,  $SIL$  is sinking opal, 240 is wet weight/mol POC, 100 is the molar mass of calcite, 60 is the molar mass of opal, and  $\rho_{LPOC} = 1.08 \text{ kg L}^{-1}$ ,  $\rho_{CAL} = 1.34 \text{ kg L}^{-1}$ ,  $\rho_{SIL} = 1.2 \text{ kg L}^{-1}$  (calculated based on the data of [57]). Small POC is set to sink at a constant rate of 3 m d<sup>-1</sup>, whereas  $LPOC$  has a maximum numerically stable sinking speed set to 150 m d<sup>-1</sup>.

Biogeochemical fields are initialised from observations of the Global Ocean Data Analysis Project (GLODAP; [59]) data for Dissolved Inorganic Carbon (DIC) and alkalinity, and World Ocean Atlas 2005 for O<sub>2</sub> [60] and nutrients [61]. Biological variables are initialised as in [62], with initial concentrations available at <http://opendap.uea.ac.uk:8080/opendap/greenocean/Restart/>. As described in [35], PFTs were not impacted by initialisation and equilibrated within 3 years of model integration.. The model is forced with atmospheric CO<sub>2</sub> concentration at each timestep [63]. Dust fluxes are interpolated to daily values from the monthly fields of [64], providing surface Fe and Si inputs.

### (b) Physical model

PlankTOM10 is embedded within the Nucleus for European Modelling of the Ocean version 3.1 (NEMOv3.1) physical model, which comprises the primitive equation Océan Parallélisé version 9 (OPA9; [65]) ocean GCM coupled to the Louvain-la-Neuve Ice version 2 (LIM2; [66]) dynamic-thermodynamic sea ice model. This study employs a global configuration (ORCA2; [67]) of NEMOv3.1 where the model is discretised on a tripolar curvilinear grid with a zonal resolution of  $2^\circ$ , and a meridional resolution of  $2^\circ \times \cos(\text{latitude})$  increasing to  $\sim 0.5^\circ$  at the equator and towards the poles. In the vertical, ORCA2 has 30 z-levels with a maximum resolution of 10 m for the upper 100 m, decreasing to  $\sim 500$  m at 5 km depth. Vertical mixing is calculated using a turbulent kinetic energy model [68], with subgrid scale eddy-induced mixing processes accounted for using the parameterisation of [69]. Active tracers are initialised from World Ocean Atlas 2005 temperature [70] and salinity [71] observations. A range of atmospheric forcing data has been used to derive surface fluxes of momentum, heat and freshwater as boundary conditions to the hindcast model, as described in Section 3b.

### 3. Simulation set-up

As outlined in Table 1, ocean biogeochemistry (Sect. 3a) and atmospheric forcing (Sect. 3b) sensitivity experiments are carried out using the PlankTOM10-NEMOv3.1 model to explore and review impacts on simulated historical distributions of  $[O_2]$ . In order to isolate the impact of perturbations, model fields are generally presented relative to a baseline reference configuration. This approach is consistent with other model studies which evaluate the impact of incorporating new processes [72] or anthropogenic impacts [73] via comparison with an unperturbed experiment. As a result, non-equilibrium artefacts and other dynamical processes are removed, such that this analysis focuses on understanding the impact of these imposed changes on hindcast biogeochemical tracers. This work therefore presents a synthesis of sensitivity experiments, and differs from other studies (e.g. [9]) focusing on model-data comparison of absolute changes in  $[O_2]$  over the historical period. This established approach (e.g. 46) allows for representation of trends and variability in a “process-level” model study to be improved due to the relatively short hindcast period over which observed vertical gradients in  $[O_2]$  are preserved.

Results from ocean acidification experiments are presented averaged over the period 2003 – 2013 (Sect. 4a), allowing for the largest adjustments to initial conditions to occur over the first  $\sim 50$  model years. Detrended results from the ensemble of atmospheric forcing experiments are presented over their common time period (1958 – 2005) to avoid sampling biases associated with differing temporal ranges (Sect. 4b).

#### (a) Ocean acidification experiments

Two biogeochemistry perturbation experiments are conducted over the historical period to investigate the impact of ocean acidification on the spatiotemporal distribution of  $[O_2]$ , alongside a baseline (*REF*) experiment following the standard model configuration. These comprise explicit representation within PlankTOM10 of (1) a  $pCO_2$  sensitive C:N ratio in primary production (*STO10*) and (2) a  $pCO_2$  sensitive calcification rate ( $R_{CAL}$ ) and associated impacts on the PIC:POC rain ratio (*BAL10*). Ocean biogeochemistry experiments are conducted using a common atmospheric forcing (*REF*; Sect. 3b).

For the *STO10* experiment, a change in the C:N ratio of organic matter via increased photosynthetic carbon fixation in response to ocean acidification is parameterised using the results of mesocosm experiments carried out on natural plankton communities under elevated  $pCO_2$  [28]. A non-dimensional “ $CO_2$ -sensitivity” factor is used to provide a relationship between  $pCO_2$  model forcing and the C:N ratio in simulated organic carbon production and remineralisation. Following the prognostic model study of [32], a linear relationship between imposed  $pCO_2$  and C:N is derived based upon the results of [28] for measured C:N values provided at  $pCO_2$  values of 350  $\mu\text{atm}$ , 700  $\mu\text{atm}$ , and 1050  $\mu\text{atm}$ . Also following [32], this  $CO_2$ -sensitivity factor is re-scaled back to an assumed pre-industrial  $pCO_2$  of 280 ppm which increases the C:N for a given  $pCO_2$ , therefore our estimate provides an upper bound for this overconsumption effect

over the historical period. In *STO10* this factor is multiplied by the rate of net organic carbon production and used to calculate a variable ( $p\text{CO}_2$ -sensitive) O:C ratio in organic material. Variable C:N ratios in organic material are linked to imposed atmospheric  $p\text{CO}_2$  rather than surface water  $p\text{CO}_2$ , enabling direct comparison with a fixed C:N ratio *REF* experiment. In support of this, [32] show that simulated changes in  $[\text{O}_2]$  over longer (centennial) timescales are insensitive to this distinction.

For the *BAL10* experiment, the impact of a  $p\text{CO}_2$  sensitive biogenic calcification rate ( $R_{\text{CAL}}$ ) on marine biogeochemical cycles is parameterised using results from laboratory manipulations carried out with coccolithophore species, in which the PIC:POC rain ratio is measured to decrease under elevated  $[\text{CO}_{2(\text{aq})}]$  [74]. PlankTOM10 includes explicit representation of coccolithophores as a calcifying PFT with growth rates based on observations. Thus, the experimentally-derived parameterisation can be applied directly to coccolithophore responses rather than more generically. Following [74] and [38], the  $\text{CaCO}_3:\text{C}_{\text{ORG}}$  production ratio ( $R_{\text{CaCO}_3/\text{C}_{\text{ORG}}}$ ) is parameterised in *BAL10* as a function of  $p\text{CO}_2$ :

$$R_{\text{CaCO}_3/\text{C}_{\text{ORG}}} = A \cdot \left( 1 - \frac{1}{1 + \left( \frac{p\text{CO}_2^{\text{obs}}}{p\text{CO}_2^{\text{preind}}} - 1 \right)^n} \right) \quad (3.1)$$

where  $A = 4.4 \times 10^{-4}$  (based on [74]),  $p\text{CO}_2^{\text{preind}} = 277.32$  ppm and  $p\text{CO}_2^{\text{obs}}$  is prescribed from observations [63].

## (b) Atmospheric forcing experiments

Gridded atmospheric data are used to prescribe surface boundary conditions to ocean models. In this study, a series of model experiments are conducted using different atmospheric data to investigate the sensitivity of variability in simulated ocean  $[\text{O}_2]$  to imposed forcing. Simulations are carried out using four different atmospheric forcing data products (Table 2). These experiments also differ in terms of the bulk formulae used to provide turbulent fluxes of momentum, heat and freshwater to the physical ocean model.

As summarised in Table 2, the baseline *REF* experiment uses a CLIO bulk formulation [75] to calculate surface boundary conditions from daily frequency NCEP/NCAR 10 m air temperature ( $\theta_{10}$ ), 10 m  $u$  and  $v$  wind components ( $U_{10}, V_{10}$ ), total precipitation rate ( $\text{ppt}_n$ ), 10 m specific humidity ( $q_{10}$ ) and total cloud cover ( $\text{tcdc}$ ). Three other experiments (*CORE2*, *DFS4*, *IPSL*) are conducted, all of which employ a more recent bulk formulation (*CORE*; [53, 76]), which requires a slightly different set of surface variables, including downwelling shortwave ( $\text{rad}_{\text{sw}}$ ) and longwave ( $\text{rad}_{\text{lw}}$ ) radiation and precipitation as a total precipitation rate ( $\text{precip}$ ) and solid fraction ( $\text{snow}$ ).

*CORE2* is forced with Version 2 of the Common Ocean-Ice Reference Experiments Inter-annually Varying Forcing (*COREv2-IAF*) dataset [53, 76]. *COREv2-IAF* is a hybrid forcing product, which applies corrections to known biases in NCEP/NCAR reanalysis state variables ( $U_{10}, V_{10}, \theta_{10}, q_{10}$ ) and utilises satellite derived radiative flux (ISCCP-FD [77]) and precipitation (merged GCGCS product [76]) estimates so as to limit the imbalance in model heat and freshwater budgets.

*DFS4* is forced with the DRAKKAR Atmospheric Forcing Set Version 4.3 (*DFS4.3* [52]) data. Following the *COREv2-IAF* approach, *DFS4.3* is also a hybrid forcing product using satellite radiation (ISCCP-FD) and precipitation (GCGCS). Surface atmospheric state variables are, however, provided from ERA-40 ECMWF reanalysis data [78], with adjustments as described in [52]. ERA-40 is considered a “second generation” reanalysis product, with improvements in terms of resolution, data assimilation methods, and atmospheric models.

*IPSL* is forced with model data generated from the output of a CMIP5 ESM. Historical integrations of the *IPSL-CM5A-LR* ESM [79] were conducted under CMIP5 (1850 – 2005) with climatic forcings prescribed

from observations [80]. Here, output from one ensemble member of the “historical” experiments (*r1i1p1*) was processed to extract atmospheric data according to the approach of *DFS4* and *CORE2*, however temporal frequency was limited by the availability of model output. Thus, daily frequency  $U_{10}$ ,  $V_{10}$ ,  $\theta_2$ ,  $q_2$ ,  $rad_{sw}$  and  $rad_{lw}$  were used along with monthly mean *precip* and *snow*, with modifications made to the physical model set-up to account for changes in temporal frequency. IPSL-CM5A-LR ESM was selected in order to limit the physical inconsistency with PlankTOM10-NEMOv3.1, since IPSL-CM5A-LR also utilises the NEMO physical model, whilst also reducing errors associated with spatial interpolation. Other studies have conducted prognostic ocean-only OBGCM experiments using CMIP5 atmospheric data (e.g. [81]), however the impact of an ESM-derived forcing in hindcast model configurations remains underanalysed.

## 4. Results

### (a) Ocean acidification experiments

For *STO10*, the effects of ocean acidification on the C:N ratio of organic carbon production drives changes to simulated POC export from the euphotic zone (Fig. 1a) and DIC distribution (Fig. 1b – 1c). Globally, POC export at 100 m is higher by more than 0.2 mol C m<sup>-2</sup> y<sup>-1</sup> relative to the fixed C:N ratio *REF* experiment, corresponding to a ~ 20 % area mean increase. The higher POC export is most pronounced (> 0.3 mol C m<sup>-2</sup> y<sup>-1</sup>) within established high-production regions of the global ocean, such as the eastern boundary upwelling system of the equatorial Pacific (Fig. 1a). Comparable increases in export are also found at mid- to high-latitudes (40 – 60°) within the subpolar North Pacific, North Atlantic and Southern Ocean, where existing high rates of annual primary production associated with the spring blooms are accentuated. In contrast, POC export fluxes show a smaller increase within the mid-latitude oligotrophic gyres, however this corresponds to a similar relative increase (15 – 20 %) when compared to more productive regions. Coeval historical decreases in DIC at 100 m depth across much of the tropical and mid-latitude ocean (up to 3 µmol L<sup>-1</sup>) are consistent with carbon overconsumption within the euphotic zone in response to the CO<sub>2</sub> fertilisation (Fig. 1b). Lower DIC concentrations ([DIC]) simulated within the euphotic zone are opposed by zonal mean [DIC] increases (> 5 µmol L<sup>-1</sup>) at depth, associated with a strengthened “soft tissue” pump as more exported POC is remineralised (Fig. 1c). Elevated remineralisation driven alteration to the DIC profile at intermediate depths is associated with high POC sinking speeds and model underestimation of upper ocean bacterial biomass (0 – 200 m; [35]).

For *BAL10*, the global mean ratio of CaCO<sub>3</sub> to POC export production (EXP<sub>CaCO<sub>3</sub></sub>/EXP<sub>POC</sub>) at 100 m decreases by 4.9 % relative to *REF*, consistent with a reduced rate of biogenic calcification in response to ocean acidification. The largest decreases in EXP<sub>CaCO<sub>3</sub></sub>/EXP<sub>POC</sub> occur within the tropical Indian Ocean and eastern tropical Atlantic Ocean, and in mid- to high-latitude regions of the North Atlantic and North Pacific (Fig. 2a). Reductions in EXP<sub>CaCO<sub>3</sub></sub>/EXP<sub>POC</sub> of up to ~ 0.01 are similar in magnitude to those reported by [42] in [CO<sub>3</sub><sup>2-</sup>] -sensitive *R<sub>CaL</sub>* experiments for 2003 – 2013. Within the North Pacific, marked decreases in EXP<sub>CaCO<sub>3</sub></sub>/EXP<sub>POC</sub> are centered on the North Pacific Current (NPC) region. The eastward flowing NPC is a major transverse surface current which bisects the subtropical and subarctic North Pacific and plays an important role in the resupply of nutrients and oxygen into the interior of the Alaskan gyre [82], where secular [O<sub>2</sub>] decreases have been observed [83]. Thus, EXP<sub>CaCO<sub>3</sub></sub>/EXP<sub>POC</sub> reductions in the NPC could have important downstream implications for biogeochemical cycles in the eastern subpolar North Pacific and California Current region [84].

In *BAL10*, reduced export of CaCO<sub>3</sub> mineral ballast from the surface ocean causes a global mean reduction in model simulated gravitational sinking speeds for large POC (*vsink*) between 0 – 2000 m depth of 0.2 m d<sup>-1</sup> (0.4 %). The spatial pattern of *vsink* reductions is most pronounced (> 0.5 m d<sup>-1</sup>) where EXP<sub>CaCO<sub>3</sub></sub>/EXP<sub>POC</sub> decreases are largest (Fig. 2b). As such, perturbation to the PIC : POC “rain ratio” can be invoked to explain coeval changes in sinking speeds of large POC.

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Changes in the carbon cycle impact upon the spatiotemporal distribution of  $[O_2]$  in *STO10* via changes to the rate of oxygen production and consumption. Mirroring the pattern of DIC concentration changes (Fig. 1c), zonal mean  $[O_2]$  in *STO10* increases by up to  $6 \mu\text{mol L}^{-1}$  within the more productive (sub)tropical euphotic zone (Fig. 3a). These near-surface  $[O_2]$  increases are opposed by marked deoxygenation throughout much of the ocean interior, particularly at mid- to high- latitudes, where biological oxygen demand rises as more carbonaceous ( $> \text{C:N}$  ratio) organic material is remineralised at depth. Subsurface  $[O_2]$  depletion reaches a zonal mean maximum of  $> 10 \mu\text{mol L}^{-1}$  within intermediate waters of the subpolar North Atlantic ( $\sim 60^\circ\text{N}$ ). The signature of depth-averaged zonal mean  $[O_2]$  change scales with latitude, such that the largest  $[O_2]$  decreases ( $\geq 2 \mu\text{mol L}^{-1}$ ) occur poleward of  $60^\circ$  in regions of deep water renewal. As such, the inclusion of acidification effects in organic carbon production could act to augment the historical fingerprint of climate-driven ocean deoxygenation produced by fixed stoichiometry models [6].

The inclusion of a variable C:N ratio in carbon fixation in *STO10* also impacts upon the characteristics of simulated low- $[O_2]$  waters. Decreases in minimum  $[O_2]$  values are most pronounced ( $> 8 \mu\text{mol L}^{-1}$ ) within the subpolar North Atlantic, and for the Indian Ocean and eastern equatorial Pacific OMZs (not shown). Associated with this intensification of low- $[O_2]$  conditions, *STO10* simulates an increase in the number of suboxic (+ 2 %,  $[O_2] \leq 5 \mu\text{mol L}^{-1}$ ) and hypoxic (13 %,  $[O_2] \leq 60 \mu\text{mol L}^{-1}$ ) grid cells, relative to *REF*. Expansion of low- $[O_2]$  waters also impacts upon nitrogen cycling, with the promotion of denitrification processes under suboxic conditions where nitrate is used as an oxidant in the remineralisation of organic material. Global area mean denitrification rates increase by  $0.27 \mu\text{mol N m}^{-3} \text{y}^{-1}$  (34 %, 0 – 2000 m), associated with oxygen depletion in response to elevated POC export fluxes.

Compared with *STO10*, changes in the carbon cycle associated with *BAL10* cause almost no change in zonal mean  $[O_2]$  relative to *REF* (Fig. 3b). However, in agreement with the prognostic model results of [42], *BAL10* reproduces a small  $[O_2]$  decrease relative to *REF* within the ventilated thermocline (100 – 1000 m), which is likely to be exacerbated in response to future  $p\text{CO}_2$  forcing. Aside from imposed  $p\text{CO}_2$ , the more muted magnitude of  $[O_2]$  change simulated by *BAL10* can also be attributed to the relatively small overall global export production which dampens the impact of any acidification driven reductions in  $\text{EXP}_{\text{CaCO}_3}$  on  $\text{EXP}_{\text{CaCO}_3}/\text{EXP}_{\text{POC}}$ . However, generally the results of *BAL10* suggest that the inclusion of a  $p\text{CO}_2$ -sensitive biocalcification rate in an ocean biogeochemistry model does not impact significantly upon simulated  $O_2$  dynamics for the historical period, despite alteration to  $\text{EXP}_{\text{CaCO}_3}/\text{EXP}_{\text{POC}}$  and sinking speeds of large POC.

## (b) Atmospheric forcing experiments

Simulated standard deviation in annual mean thermocline (300 m)  $[O_2]$  is presented as a metric of interannual variability following other model studies which assess the bulk variability properties of upper ocean  $O_2$  using either a  $\sim 300$  m depth interval [85] or surface fluxes [25, 86]. Variability differs between model experiments (Fig. 4), with *DFS4* exhibiting the largest area mean variability ( $\sigma_{[O_2]} = 2.4 \mu\text{mol L}^{-1}$ ) when compared to *CORE* ( $\sigma_{[O_2]} = 1.9 \mu\text{mol L}^{-1}$ ) and *IPSL* ( $\sigma_{[O_2]} = 1.8 \mu\text{mol L}^{-1}$ ). Differences in temporal frequency of input forcing data used for the *IPSL* CORE experiment (Table 2) are found to have only a small impact on simulated  $\sigma_{[O_2]}$  (2 % change in area mean  $\sigma_{[O_2]}$ ) in sensitivity analyses carried out with *DFS4* at the temporal frequency of *IPSL* (Fig. S1). Elevated  $\sigma_{[O_2]}$  in the *DFS4.3* experiment suggests that ERA-40 derived forcing products generate more exogenous variability in passive tracer fields of ocean-only models when compared to NCEP/NCAR (*REF*, *CORE2*) based atmospheric data. However, a number of other differences between forcing products (Table 2) could also contribute to the larger interannual variability in *DFS4*, for instance the alteration to surface fluxes caused by referencing of *DFS4.3* surface air temperature and specific humidity at 2 m rather than 10 m.

$[O_2]$  fields are also taken from biogeochemical output of the IPSL-CM5A-LR CMIP5 “historical” experiment (*ESM*; Fig. 4e) used to derive the atmospheric forcing for *IPSL*. As a result, direct comparison can be drawn between variability in the coupled IPSL-CM5A-LR ESM configuration and the ocean-only PlankTOM10-NEMOv3.1 experiment conducted using atmospheric fields from this integration. The coupled IPSL-CM5A-LR model (*ESM*) simulates more variability in thermocline  $[O_2]$  (area mean  $\sigma_{[O_2]} = 2.7 \mu\text{mol L}^{-1}$ ) relative to the ensemble mean of all ocean-only atmospheric forcing experiments ( $\sigma_{[O_2]} = 2.1 \mu\text{mol L}^{-1}$ ). Since *IPSL* and *ESM* experiments include identical atmospheric forcings along with similar physical and biogeochemical model components (*IPSL* = PlankTOM10-NEMOv3.1; *ESM* = PISCES-NEMOv3.2 [79]) the residual interannual variability between experiments ( $\sigma_{[O_2]}^{ESM - IPSL} = \sim 1 \mu\text{mol L}^{-1}$ ) can plausibly be attributed to that which is generated internally to the ocean-atmosphere system under a coupled formulation.

Simulated interannual variability scales with latitude for all model experiments, such that  $\sigma_{[O_2]}$  is most pronounced ( $\sigma \geq 4 \mu\text{mol L}^{-1}$ ) poleward of  $40^\circ$  in both hemispheres. All model experiments exhibit an elevated  $\sigma_{[O_2]}$  signal of up to  $10 \mu\text{mol L}^{-1}$  within the north-western subpolar gyre of the North Atlantic and subpolar central and western North Pacific (Fig. 4). These regional patterns have been identified in other  $O_2$  modelling studies (e.g. [25, 85]) and are associated with the NAO and PDO, respectively, which provide the dominant source of Northern Hemisphere climate variability on interannual to decadal timescales. All experiments converge on a maximum  $\sigma_{[O_2]}$  of  $\sim 6 \mu\text{mol L}^{-1}$  at  $\sim 60^\circ\text{N}$  consistent with variability associated with the dominant climate modes, whereas the signal of interannual variability in the Southern Ocean is less certain – with zonal mean  $\sigma_{[O_2]}$  ranging from  $1 - 7 \mu\text{mol L}^{-1}$  for different atmospheric forcings south of  $60^\circ\text{S}$  (Fig. 4f). Particularly, the *CORE2* and *IPSL* experiments simulate  $\sigma_{[O_2]} \leq 2 \mu\text{mol L}^{-1}$  across much of the Southern Ocean whereas zonal mean  $\sigma_{[O_2]}$  exceeds  $4 \mu\text{mol L}^{-1}$  for *REF*, *DFS4* and *ESM* (Fig. 4f).

Interannual variability in windspeed ( $\sigma_{wspd}$ ; Fig. 5a) and near-surface air temperature ( $\sigma_\theta$ ; Fig. 5b) also differ between imposed atmospheric forcing products. *DFS4.3* exhibits the largest zonal mean variability in windspeed and near-surface air temperature, consistent with the largest simulated  $\sigma_{[O_2]}$  of all ocean-only experiments (Fig. 4c). However, *DFS4.3* windspeed and near-surface air temperature data do not reproduce the meridional structure of  $\sigma_{wspd}$  and  $\sigma_\theta$  exhibited by all other forcing fields. Excluding *DFS4.3*, the largest inter-forcing divergence in  $\sigma_{wspd}$  occurs in the tropics ( $20^\circ\text{S} - 20^\circ\text{N}$ ) where elevated interannual variability in *COREv2-IAF* tropical windspeeds ( $\sim 0.5 \text{ m s}^{-1}$ ) relative to *IPSL-CM5A-LR* derived winds ( $\sim 0.25 \text{ m s}^{-1}$ ) can be invoked to explain the more muted tropical variability in thermocline  $[O_2]$  ( $\sigma_{[O_2]}$ ) of *IPSL* compared to *CORE2* (Fig. 4f). In contrast, larger interannual variability in  $\sigma_{wspd}$  does not generate a first-order response in  $\sigma_{[O_2]}$  at mid- to high-latitudes, with, for example, elevated variance in the westerlies over the Southern Ocean for *IPSL* not being associated with a coeval increase in  $\sigma_{[O_2]}$  (Fig. 4d).

The largest deviation in zonal mean  $\sigma_\theta$  between atmospheric datasets occurs poleward of  $60^\circ\text{S}$ , where *COREv2-IAF* exhibits lower interannual variability ( $\sigma_\theta = \sim 0.4 \text{ K}$ ) when compared to *IPSL-CM5A-LR* ( $\sigma_\theta = \sim 0.8 \text{ K}$ ) and NCEP/NCAR reanalysis ( $\sigma_\theta = \sim 1.2 \text{ K}$ ) derived near-surface air temperatures. These  $\sigma_\theta$  differences track the inter-experiment divergence in  $\sigma_{[O_2]}$  for the Southern Ocean, such that model biases in the simulation of thermocline  $[O_2]$  variability agree with differences in the magnitude of imposed  $\sigma_\theta$ . Specifically, reduced interannual variability in near-surface air temperature in *CORE2* and *IPSL* can be related to lower  $\sigma_{[O_2]}$  relative to *REF*, which exhibits elevated  $\sigma_\theta$  and, therefore,  $\sigma_{[O_2]}$  poleward of  $60^\circ\text{S}$ . This modulation of tropical  $O_2$  variability by windspeed and extratropical (subpolar)  $O_2$  variability by surface heat flux has been reproduced by other forced ocean biogeochemistry models investigating variability in North Atlantic  $O_2$  fluxes [86].

## 5. Discussion and summary

To date, much research has focused on understanding the impact of biogeochemical [27, 32, 33] and physical [6, 7] processes in OBGCMs on simulated future climate-driven perturbation to the global oxygen inventory. This work, rather, aims to better constrain the implications of the interrelated physical-

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biogeochemical drivers for  $[O_2]$  dynamics over the historical period, towards reconciling the well-documented [9] discrepancies between model-simulated and observed  $[O_2]$  changes. As highlighted by [2], a “critical first step” in our understanding of oxygen dynamics in a warming world is the development of models at regional and global scales with the capacity to reproduce observed trends and variability. Accordingly, continued efforts to improve model-data agreement in  $[O_2]$  at the process level are required, in order to gain a mechanistic understanding of observed changes in oceanic oxygen towards improved predictions of future change. To this end, model experiments conducted here reveal that the sign and magnitude of  $[O_2]$  change over the last ~ 50 years depend critically on ocean acidification feedbacks and prescribed air-sea fluxes of heat, water and momentum.

Our results suggest that explicit representation of observationally-based ocean acidification impacts on photosynthetic carbon drawdown yields major changes to the spatiotemporal distribution of simulated POC export and, consequently, subsurface  $O_2$  utilisation. Historical POC export changes associated with the inclusion of a  $pCO_2$ -sensitive C:N ratio are similar in magnitude but differ in sign to the absolute historical changes predicted by current fixed stoichiometry models as a result of secular ocean warming. For example, [87] report POC export at 100 m decreases by ~ 0.5 mol C  $m^{-2} y^{-1}$  for much of the extrapolar ocean between 1960 and 2006 in hindcast simulations of the CCSM3-BEC model. With the inclusion of a  $pCO_2$ -sensitive C:N ratio, POC export at 100 m increases by > 0.3 mol C  $m^{-2} y^{-1}$ , suggesting that stoichiometric effects could act to compensate a significant component of the “direct” climate-driven reduction in export production. Thus, as highlighted by [33] the inclusion of stoichiometric plasticity in the next generation of ESMs could alter the classical view that historical [87] and future [88] anthropogenic forcing drives a simulated reduction in global marine production, the observational basis for which remains contested [89, 90]. Equally, [87] report increases in POC export of up to 0.6 mol C  $m^{-2} y^{-1}$  in subpolar (light-limited) regimes, associated with historical density stratification increases in their hindcast model. In this case, the inclusion of ocean acidification effects on organic carbon drawdown could act to amplify secular increases in POC export change associated with ocean warming. Development of high complexity ocean biogeochemistry models which dynamically resolve stoichiometry (e.g. ERSEM [91]) provide a framework for investigating diverse ecosystem responses to changes in environmental conditions.

A strengthened “soft tissue” pump associated with the inclusion of a  $pCO_2$ -sensitive C:N ratio also drives major changes to simulated remineralisation within the ocean interior, as evidenced by stronger gradients in  $[DIC]$  and  $[O_2]$ . Coeval subsurface  $[O_2]$  decreases and a reduction to the global inventory of  $O_2$  are consistent with model projections which include  $pCO_2$ -sensitive C:N ratios in carbon fixation (e.g. [32, 33]). However, counter to published model experiments, the spatial pattern of  $[O_2]$  decrease associated with carbon overconsumption in this study follows the absolute fingerprint of (observed) ventilation-driven deoxygenation (see [92]), with the most pronounced  $O_2$  depletion occurring at mid- to high- latitudes, rather than focused within the tropical OMZs. This result does not, therefore, support the suggestion (e.g. [4]) that inclusion of a  $pCO_2$ -sensitive C:N ratio in carbon fixation could invoke a net deoxygenation of the tropical thermocline for the historical period, in agreement with recent hindcast [9] and prognostic [27] ESM experiments which include variable stoichiometry. Rather, stoichiometric effects in response to historical ocean acidification are shown here to bring about elevated oxygen depletion in mid- to high latitude regions of water renewal, thus providing a biogeochemical amplifier for (chiefly) physically driven simulated  $[O_2]$  changes (e.g. [6]). Accordingly, the inclusion of these effects in the next generation of ESMs could act to reduce the discrepancy between observed [91] and more muted ESM simulated historical deoxygenation trends. Additionally, consistent with prognostic model studies, the inclusion of a  $pCO_2$ -sensitive C:N ratio here produces an increase in the modelled volume of low- $O_2$  waters. Although, due to the smaller imposed  $pCO_2$  forcing, increases in suboxic volume simulated for the historical period (+ 2 % for 2013) are smaller than that projected for 2100 in variable stoichiometry models (+ 36 – 50 % [32, 33]). Further expansion of suboxic and hypoxic water bodies in response to anthropogenic forcing has

important implications for the global marine nitrogen cycle, with a coeval increase in simulated denitrification rates (34 %; 0 – 2000 m) associated with the elevated consumption of nitrate ( $\text{NO}_3^{2-}$ ) in microbial decomposition of organic material under suboxic conditions. A series of parallel PlankTOM10-NEMOv3.1 sensitivity experiments carried out using a diagnostic  $\text{N}_2\text{O}$  model [73] also indicate that  $p\text{CO}_2$ -sensitive C:N ratios drive enhanced historical marine  $\text{N}_2\text{O}$  production via promotion of high-yield low- $\text{O}_2$  processes (e.g. denitrification, nitrifier-denitrification).

As noted by [93], however, caution is required when extrapolating the results of mesocosm experiments carried out with one natural plankton assemblage [28] to the global scale for all phytoplankton taxa and biogeographical provinces. Therefore, whilst model parameterisations based around the results of [28] comprise an aggregation of data points across nine large mesocosm enclosures at varying  $p\text{CO}_2$ , further experimental evidence from diverse marine systems under different environmental conditions is required to better constrain this potentially significant ocean carbon cycle feedback in models. To this end, [94] highlight that, despite good agreement within unicellular cultures towards elevated C:N ratios in response to acidification, reported stoichiometric changes within  $\text{CO}_2$  manipulation experiments carried out on natural plankton communities are much more variable. Differences between results are attributed in part to changing experimental practice, such as “batch-mode” versus continuous culture incubation methods. However, a number of external biological factors are also invoked to explain the inconsistent response of natural assemblages to elevated  $p\text{CO}_2$ , including differing zooplankton grazing rates or community composition between oceanographic regimes. For instance, [95] demonstrate for the Arctic pelagic ecosystem that elemental stoichiometry changes within phytoplankton biomass depend critically on the nature of growth-limiting factors within the heterotrophic community. Additional uncertainties are entrained into biogeochemical model experiments which include variable C:N ratios due to the lack of a process-level understanding for the excess carbon uptake reported by [28] in response to rising  $p\text{CO}_2$ . For instance, as suggested by [96], additional fixed DIC could be released as Dissolved Organic Carbon (DOC), and contribute to carbon export through the formation of transparent exopolymer particles (TEPs, [97]) via increased aggregation and particle sinking.

Our results also suggest that accounting for  $p\text{CO}_2$ -driven perturbation to biogenic calcification rates has only a negligible impact on model simulated  $[\text{O}_2]$  dynamics for the historical period. However, as highlighted by [98] unresolved questions regarding the observed “form and sensitivity” of ocean acidification impacts on calcification introduce uncertainties into the parameterisations adopted in global models such as PlankTOM10. Moreover, the impact of ocean acidification on the “PIC:POC rain ratio” must be considered alongside other external influences on the biological pump, particularly changes in export production in response to ocean warming [88, 6]. For instance, model simulated historical [87] and future [88, 6] reductions in overall export production associated with increased density stratification may act to moderate the impact of any acidification driven reductions in  $\text{CaCO}_3$  production on  $\text{EXP}_{\text{CaCO}_3}/\text{EXP}_{\text{POC}}$ . Although, whilst this study suggests that  $p\text{CO}_2$ -calcification effects may be less important for biogeochemical cycles over the historical period, these processes may remain relevant on centennial [38] and millennial [42] timescales.

Finally, our results suggest that imposed atmospheric forcing plays a major role in modulating interannual variability in subsurface  $[\text{O}_2]$ . Particularly, in agreement with forced ocean biogeochemistry model results of [86] for the North Atlantic, simulated interannual variability in  $[\text{O}_2]$  is shown here to be primarily associated with heat fluxes ( $\sigma_\theta$ ) in extratropical (mainly subpolar) regions and wind stress ( $\sigma_{wspd}$ ) in the tropics. Large uncertainties between prescribed meteorological datasets in these regions propagate into simulated thermocline  $[\text{O}_2]$ , consistent with a recent inter-comparison of surface reanalysis data, which attributes elevated multiproduct inconsistency in the tropics and extratropics chiefly to wind stress and heat flux uncertainties, respectively [99]. The important role of tropical zonal wind stress in controlling variations in model simulated low- $\text{O}_2$  water bodies has been demonstrated in recent work [100, 24] providing further motivation for the provision of appropriate wind forcing to ocean-only models investigating  $\text{O}_2$  dynamics.

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Whilst all atmospheric forcing products used here provide surface fluxes which are *a priori* representative of observed changes in meteorological variables (aside from *IPSL*), data choices still place major constraints on simulated changes in ocean properties. Further work is required to better understand the biases in atmospheric forcing datasets, both in terms of comparing meteorological fields (e.g. [99]) and assessing how uncertainties in these prescribed forcings (and bulk formulae) impact upon the evolution of hindcast variables. Towards this objective, the Coordinated Ocean-ice Reference Experiments (COREs) project proposes a standard protocol for running hindcast ocean-ice models, emphasising the need for models to be integrated using different atmospheric forcings in order to “assess implications on the ocean and sea ice climate of various atmospheric reanalysis or observational products” [101]. However, the majority of recent physical [102] and biogeochemical [103] multi-model hindcast studies remain focused on investigating the implications of a common atmospheric forcing for a range of ocean models. This approach assumes that all data products provide an equally appropriate representation of historical changes in observed air-sea fluxes. Rather, as argued here, a multifaceted approach is required in order to better evaluate surface meteorological data products, involving both multi-model inter-comparison under a common atmospheric forcing (e.g. COREv2-IAF; [102]) and ensembles of different atmospheric forcing experiments using a common ocean model.

In this study we have presented an analysis of processes using a relatively coarse global ocean biogeochemistry model, which shares some of the shortcomings on the representation of  $[O_2]$  as similar models noted in Section 1. To ensure the model shortcomings had a limited effect on the process-based results presented here, we initialized the model with observations and removed the ensuing drift by isolating processes using the *REF* reference simulation. This methodology is unlikely to influence the main conclusions of our analysis on the potential influence of specific processes and the large role of atmospheric forcing for variability, but it could have a larger influence on  $N_2O$  fluxes which are more regulated by narrow ranges in low  $[O_2]$ . Further work could examine the interactions between physical and biogeochemical factors using a higher-resolution model, particularly to better quantify processes that influence oceanic  $N_2O$  emissions.

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# Additional information

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[endap/greenocean/Restart](#).

Forcing and CMIP5 data used in this study are publically available.

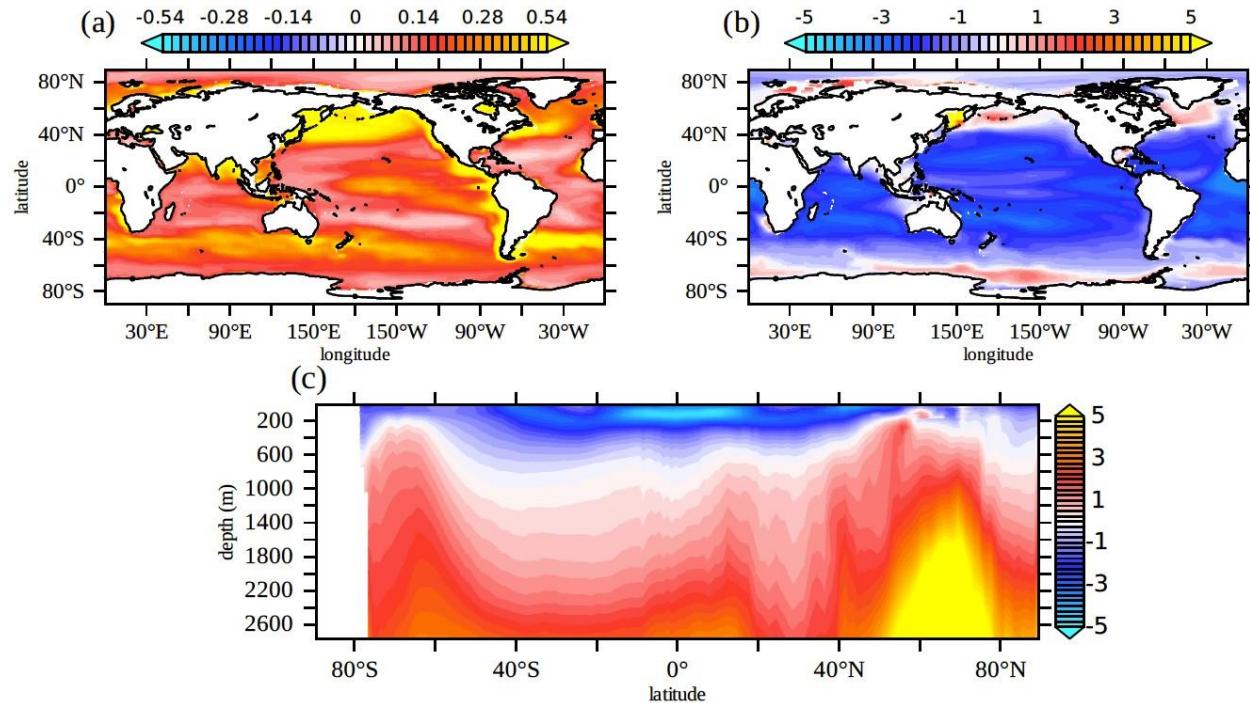
## Competing Interests

The authors declare that they have no competing interests.

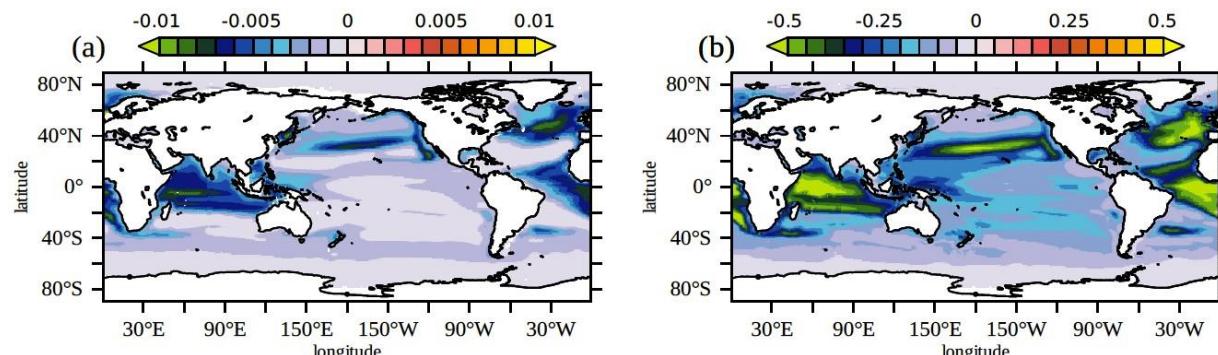
## Authors' Contributions

Conception and design of the study by all authors. Model development and testing by E.B., C.L.Q. and O.A. Model runs, analysis and first manuscript draft by O.A. All authors contributed to the interpretation of results and drafting of the final manuscript.

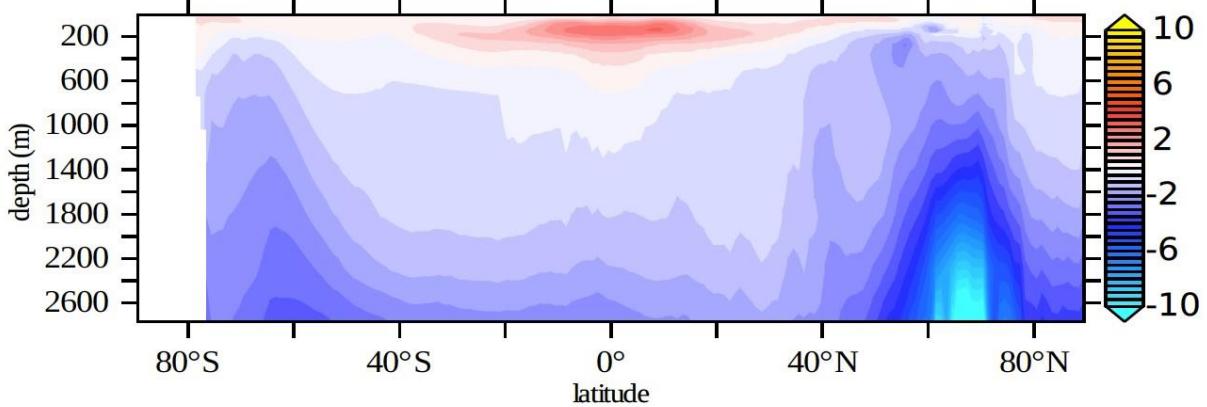
# Figures



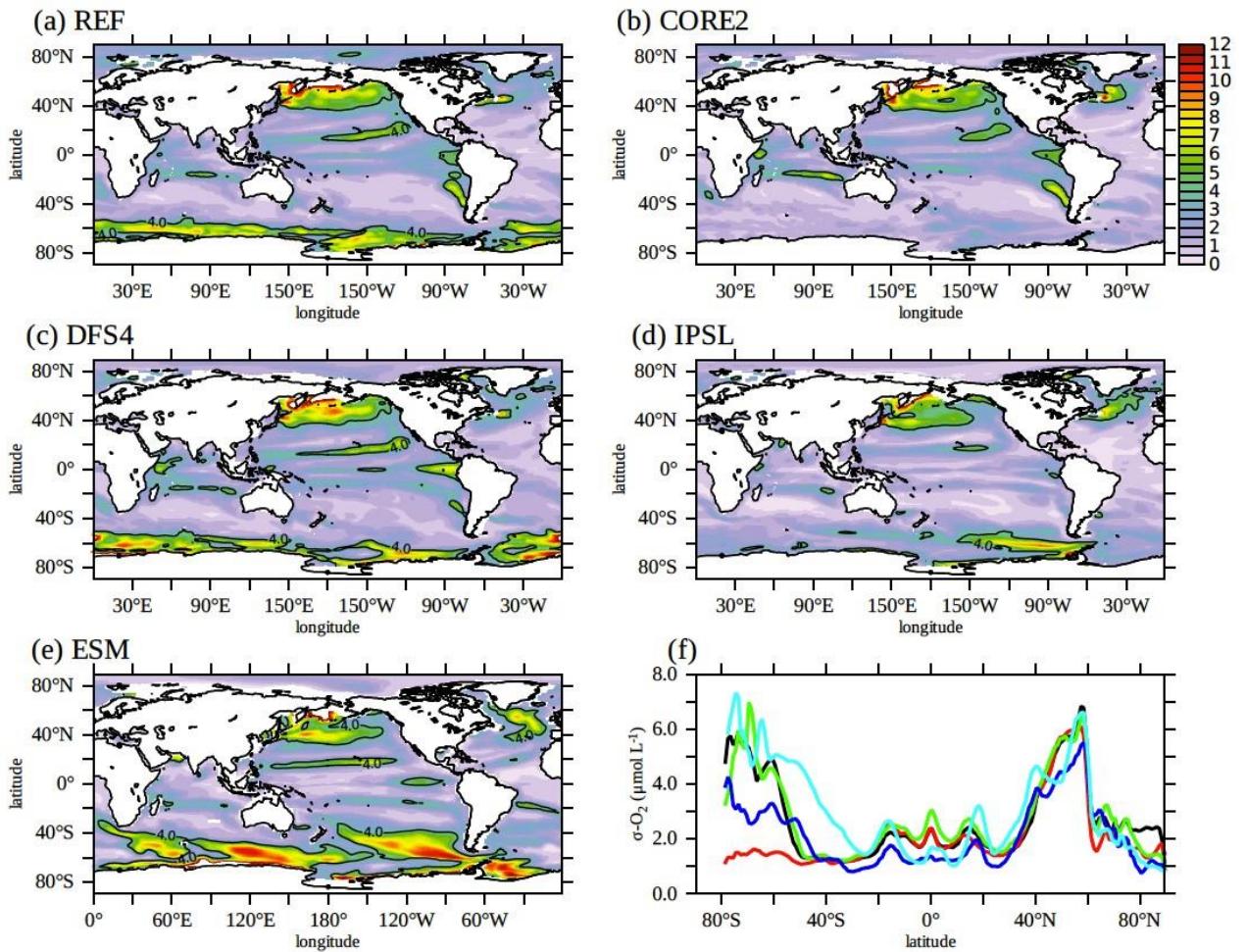
**Fig. 1.** Differences in modelled ocean carbon cycle variables (*STO10* simulation minus *REF*) averaged over 2003 – 2013. (A) POC export (mol C m<sup>-2</sup> y<sup>-1</sup>) at 100 m depth, (B) Concentration of DIC (μmol L<sup>-1</sup>) at 100 m depth, (C) zonal mean DIC concentration.



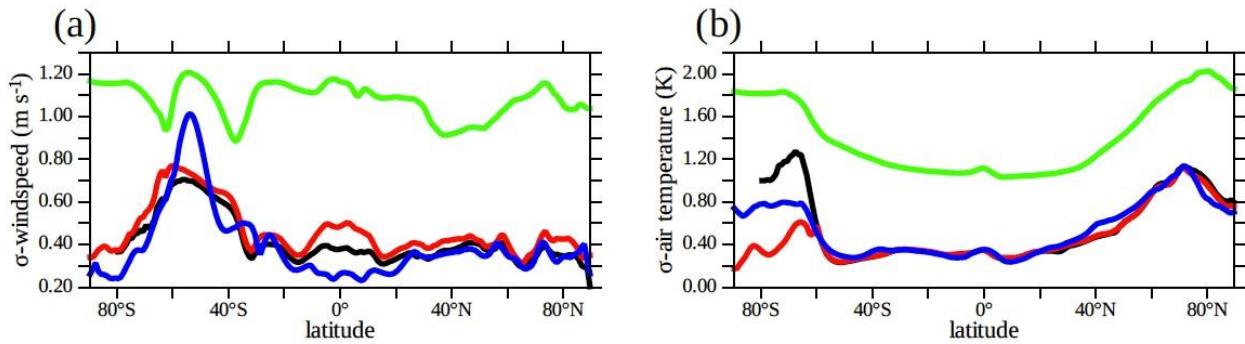
**Fig. 2.** Differences in modelled ocean carbon cycle variables (*BAL10* simulation minus *REF*) averaged over 2003 – 2013. (A) EXP<sub>CaCO<sub>3</sub></sub>/EXPPOC at 100 m depth and (B) gravitational sinking speeds for large POC *vsink* (m d<sup>-1</sup>) between 0 - 2000 m.



**Fig. 3.** Difference in modelled zonal mean [O<sub>2</sub>] (μmol L<sup>-1</sup>) compared with simulation *REF* for (A) *STO10*, (B) *BAL10* averaged over 2003 – 2013 (blue colours indicate historical deoxygenation relative to *REF*).



**Fig. 4.** Interannual variability ( $\sigma$ ) in modelled annual mean [O<sub>2</sub>] (μmol L<sup>-1</sup>) at 300 m depth for a range of model experiments. (A) *REF* (black in Panel F), (B) *CORE2* (red), (C) *DFS4* (green), (D) *IPSL* (blue) and (E) *ESM* (turquoise). [O<sub>2</sub>] contours are overlain in black for  $\sigma_{[O_2]} = 4 \mu\text{mol L}^{-1}$ . Zonal mean inter-annual variability in [O<sub>2</sub>] at 300 m for all model experiments is presented in Panel F. A boxcar (low-pass) filter is applied in order to diagnose secular trends in subsurface [O<sub>2</sub>], with the 10-year running mean being removed at each grid point in order to retain only an estimate of inter-annual (unforced) variability. The interannual variability is computed over the 1958 – 2005 time period, common to all forcing products.



**Fig. 5.** Interannual variability ( $\sigma$ ) in de-trended zonal mean (A) windspeed ( $\text{m s}^{-1}$ ) and (B) near-surface air temperature ( $\theta$ ; K) for NCEP/NCAR reanalysis (black), COREv2-IAF (red) and DFS4.3 (green) products, and IPSL-CM5A-LR derived atmospheric fields (blue). Following [45] windspeed is plotted as a measure of momentum flux rather than wind stress since the latter has a strong dependency on the choice of drag coefficient.

## Tables

**Table 1.** Summary of the model configurations used in each ocean-only model experiment.

Model experiment	Time period	Atmospheric Forcing	$p\text{CO}_2$ -sensitive C:N ratio	$p\text{CO}_2$ -sensitive $R_{\text{CAL}}$	
<i>REF</i>	1948 - 2013	NCEP/NCAR	No	No	
<i>STO10</i>	1948 - 2013	NCEP/NCAR	Yes	No	
<i>BAL10</i>	1948 - 2013	NCEP/NCAR	No	Yes	
<i>CORE2</i>	1948 - 2007	COREv2-IAF	No	No	
<i>DFS4</i>	1958 - 2006	DFS4.3	No	No	
<i>IPSL</i>	1948 - 2005	IPSL-CM5A-LR	No	No	

**Table 2.** Summary of atmospheric forcing datasets used in model experiments. The temporal frequency of meteorological surface variables is provided in parentheses ( $di = 6$  hourly [diurnal];  $d = daily$ ,  $m = monthly$ ).

EXP	Dataset	Time period	Bulk formulation	$U_{10}, V_{10}, \theta, q$	$\text{rad}_{sw}, \text{rad}_{lw}$	$\text{precip}, \text{snow}$
<i>REF</i> <sup>1</sup>	NCEP/NCAR	1948 - 2013	CLIO	NCEP/NCAR ( $d$ )	N/A <sup>2</sup>	NCEP/NCAR ( $d$ ) <sup>6</sup>
<i>CORE2</i>	COREv2-IAF	1948 - 2007	CORE	NCEP/NCAR <sup>3</sup> ( $di$ )	ISCCP-FD <sup>3, 5</sup> ( $d$ )	GCGCS <sup>7</sup> ( $m$ )
<i>DFS4</i>	DFS4.3	1958 - 2006	CORE	ERA-40 <sup>4</sup> ( $di$ )	ISCCP-FD <sup>4, 5</sup> ( $d$ )	GCGCS <sup>7</sup> ( $m$ )
<i>IPSL</i>	IPSL-CM5A-LR	1948 - 2005	CORE	CMIP5 ( $d$ )	CMIP5 ( $d$ )	CMIP5 ( $m$ )

<sup>1</sup>The baseline *REF* atmospheric forcing configuration is used for all ocean biogeochemistry experiments

<sup>2</sup>Radiative fluxes calculated from total cloud cover ( $tcdc$ ) following [49]

<sup>3</sup>Bias corrections applied as described in [53, 76]

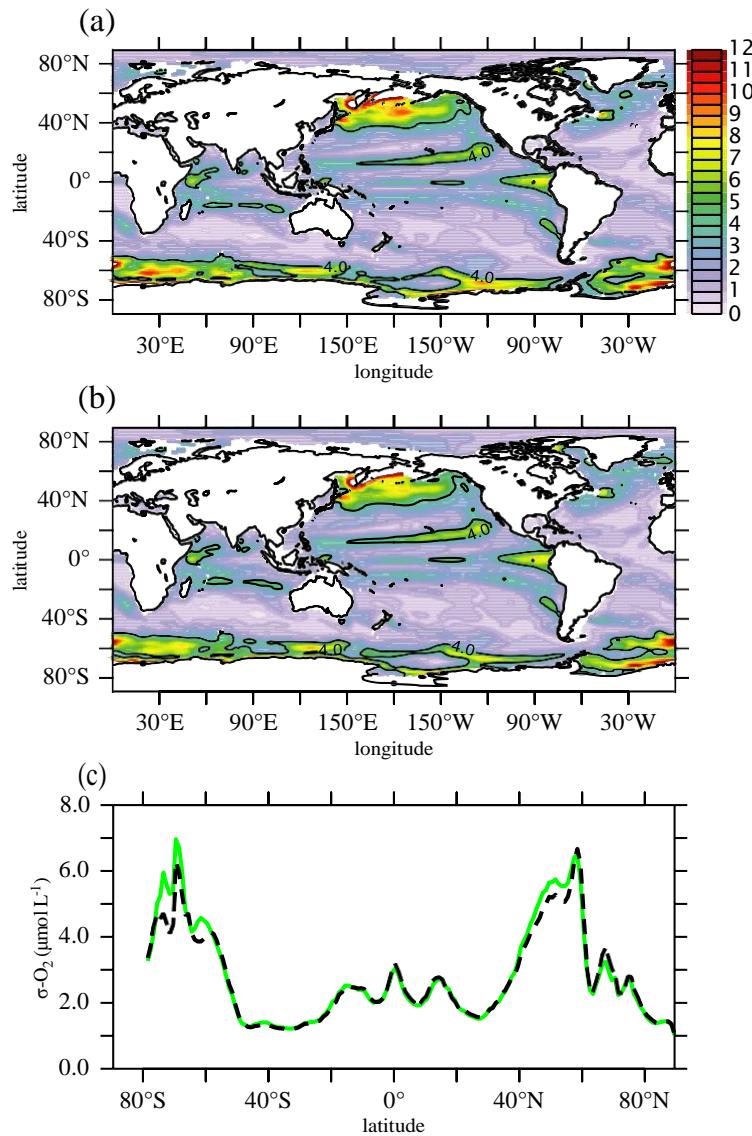
<sup>4</sup>Bias corrections applied as described in [52]

<sup>5</sup>Forcing provided as a climatological mean annual cycle for prior to 1984

<sup>6</sup>Total precipitation rate used without solid fraction ( $snow$ )

<sup>7</sup>Forcing provided as a climatological mean annual cycle for prior to 1979

## **Supplementary Material**



**Fig. S1.** Interannual variability ( $\sigma$ ) in modeled annual mean  $[O_2]$  ( $\mu\text{mol L}^{-1}$ ) at 300 m depth for **(A)** DFS4 (green), **(B)** DFS4 (dotted black) using daily frequency input atmospheric forcing for  $U_{10}$ ,  $V_{10}$ ,  $\theta$  and  $q$ . Expressed as zonal mean  $\sigma_{[O_2]}$  at 300 m in panel **(C)**.