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# Values of pairs involving one quadratic form and one linear form at $S$ -integral points

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## Abstract

We prove the existence of  $S$ -integral solutions of simultaneous diophantine inequalities for pairs  $(Q, L)$  involving one quadratic form and one linear form satisfying some arithmetico-geometric conditions. This result generalises previous results of Gorodnik and Borel-Prasad. The proof uses Ratner's theorem for unipotent actions on homogeneous spaces combined with an argument of strong approximation.

*Keywords:* Quadratic forms, Diophantine approximation, Algebraic groups, Strong approximation, Ratner's Orbit Closure Theorem.

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## 1. Introduction

A famous conjecture made by Oppenheim in 1929 and proved by G.A. Margulis in the mid-eighties states that given a nondegenerate indefinite real quadratic form  $Q$  in  $n \geq 3$  variables which is not proportional to a form with rational coefficients then  $Q(\mathbb{Z}^n)$  is dense in  $\mathbb{R}^n$ . It is not difficult to see that the latter statement is equivalent to the following assertion,

$$\forall \varepsilon > 0, \exists x \in \mathbb{Z}^n - \{0\}, \quad 0 < |Q(x)| < \varepsilon. \quad (1)$$

A natural generalization of the Oppenheim conjecture concerns the existence of integral solutions of system of inequalities involving several quadratic forms. More precisely given a family  $(Q_j)_{1 \leq j \leq r}$  of real nondegenerate quadratic forms in  $n$  variables we may ask whether there exist solutions to the diophantine inequalities

$$\forall \varepsilon > 0, \exists x \in \mathbb{Z}^n - \{0\}, \quad |Q_j(x)| < \varepsilon \text{ for } j = 1, \dots, r. \quad (2)$$

Such kind of problems have been intensively studied and a general solution is still an open problem when  $r > 1$ . Some partial results have been obtained and almost all of them assume two fundamental necessary conditions for (2) to hold. The first condition is the existence of nonzero real solution  $x \in \mathbb{R}^n$  ( $n \geq 3$ ):

$$Q_1(x) = \dots = Q_r(x) = 0.$$

The second condition is of arithmetical nature: it asks that for any  $(\alpha_1, \dots, \alpha_r) \in \mathbb{R}^r - \{0\}$ , the pencil forms  $\sum_{i=1}^r \alpha_i Q_i(x)$  are not proportional to a rational form. Notice that these two conditions are natural generalisation of the assumptions of the Oppenheim conjecture to the general case of systems of quadratic inequalities.

To the best of our knowledge, the most general result proving that (2) has a solution is due to W. Müller [14]. The method used by W. Müller is a variant of the circle method which applies to families for which the rank of each pencil form is greater than  $8r$ . One of the main inconvenient of those analytic methods is that it fails to work when  $n$  is small. In view of this, it is natural to try to generalise Margulis's proof to systems of quadratic forms with the help of Ratner's rigidity theorems. A first obstruction regarding this, is that the use of Ratner's theory requires that the intersection  $\bigcap_{j=1}^r SO(Q_j)$  is large enough, in the sense that it should at least contain a unipotent one-parameter subgroup. If it is the case then one can take advantage in working in low dimension in order to elucidate the structure of intermediate subgroups arising from the possibilities for the orbit closure. Unfortunately solving (2) using rigidity theorems leads to untractable situations in the general case. In [10], Gorodnik gave necessary and sufficient conditions for such systems (2) to have a solution. One of these conditions is the existence of a nonzero vector  $v \in \mathbb{R}^n$  lying in the intersection  $\bigcap_{1 \leq j \leq r} \{Q_j = 0\}$  such that for some  $v_i \neq 0$  the vector  $(\frac{v_k}{v_i} : 1 \leq k \leq nd, k \neq i)$  is well-approximable of order one. In general, the latter condition appears to be very difficult to check even for a system involving only two quadratic forms. However if we consider a pair of two quadratic forms  $Q_1$  and  $Q_2$  with  $Q_2 = L^2$  where  $L$  is a linear form, a solution for (2) has been obtained using ergodic theory. The first result in that direction is due to S.G Dani and G.A. Margulis [7] and concerns the dimension 3 for a pair  $(Q, L^2)$  consisting of one nondegenerate indefinite quadratic form and a nonzero linear form in dimension 3 such that the cone  $\{Q = 0\}$  intersects tangentially the plane  $\{L = 0\}$  and no linear combination of  $Q$  and  $L^2$  is rational. Under those conditions they proved using the original method used to prove the Oppenheim conjecture that the set  $\{(Q(x), L(x)) : x \in \mathbb{Z}^3\}$  is dense in  $\mathbb{R}^2$ . In higher dimension, the density for pairs holds if one replaces the previous transversality condition by the assumption that  $Q|_{L=0}$  is indefinite, this result is due to A.Gorodnik [9]:

**Theorem 1.1** (Gorodnik). *Let  $F = (Q, L)$  be a pair consisting of a quadratic form  $Q$  and  $L$  a nonzero linear form in dimension  $n \geq 4$  satisfying the the following conditions*

1.  $Q$  is nondegenerate.
2.  $Q|_{L=0}$  is indefinite.
3. No linear combination of  $Q$  and  $L^2$  is rational.

*Then the set  $F(\mathcal{P}(\mathbb{Z}^n))$  is dense in  $\mathbb{R}^2$  where  $\mathcal{P}(\mathbb{Z}^n)$  is the set of primitive integer vectors.*

The conclusion of the theorem implies immediately that the set  $F(\mathbb{Z}^n)$  is dense in  $\mathbb{R}^2$ . The proof of this theorem reduces to the case of the dimension 4. The condition (2) is a sufficient condition to ensure that we have  $F(\mathbb{R}^n) = \mathbb{R}^2$ . The most important obstruction to prove density for pairs is that the identity component of the stabilizer of a pair  $(Q, L)$  is no longer maximal among the connected Lie subgroups of  $G = \mathrm{SL}(4, \mathbb{R})$  in contrast with the case of the isotropy groups  $\mathrm{SO}(3, 1)^\circ$  or  $\mathrm{SO}(2, 2)^\circ$ .

The stabilizer of the pair  $(Q, L)$  is defined by the following subgroup of  $G$ ,

$$\mathrm{Stab}(Q, L) = \{h \in \mathrm{SO}(Q) \mid L(hx) = L(x)\}.$$

The pairs such that  $Q|_{L=0}$  is nondegenerate (resp. degenerate) are said to be of type (I) (resp. II). The proof of Theorem 1.1 is divided in two parts following each type and consists to apply Ratner's orbit closure theorem, and to study the action of the stabiliser on the dual space of  $\mathbb{C}^4$ . A remarkable fact is that the density is proved without showing the density of the orbit closure of

the stabilizer in the homogeneous space  $G/\Gamma$ . Indeed the intermediate subgroups which possess non-trivial irreducible components have closed orbits in  $G/\Gamma$ , in particular they are not maximal. However, one is able to classify all the complex semisimple Lie algebras in  $\mathfrak{sl}(4, \mathbb{C})$ , and Gorodnik used this classification to check density case by case using the constrain on rationality given by the condition (3). The situation for pairs of type (II) is more complicated compared with the pairs of type (I) since the dual action of the stabilizer has three irreducible components for the pairs of type (II), instead of two for the pairs of type (I).

## 2. Main results

### 2.1. $S$ -arithmetic setting

Let us recall what we mean by  $S$ -arithmetic setting by fixing some notations. Let  $k$  be a number field, that is a finite extension of  $\mathbb{Q}$  and let  $\mathcal{O}$  be the ring of integers of  $k$ . For every normalised absolute value  $|\cdot|_s$  on  $k$ , let  $k_s$  be the completion of  $k$  at  $s$ . We identify  $s$  with the specific absolute value  $|\cdot|_s$  on  $k_s$  defined by the formula  $\mu(a\Omega) = |a|_s \mu(\Omega)$ , where  $\mu$  is any Haar measure on the additive group  $k_s$ ,  $a \in k_s$  and  $\Omega$  is a measurable subset of  $k_s$  of finite measure. We denote by  $\Sigma_k$  the set of places of  $k$ . In the sequel  $S$  is a finite subset of  $\Sigma_k$  which contains the set  $S_\infty$  of archimedean places,  $k_S$  the direct sum of the fields  $k_s$  ( $s \in S$ ) and  $\mathcal{O}_S$  the ring of  $S$ -integers of  $k$  (i.e. the ring of elements  $x \in k$  such that  $|x|_s \leq 1$  for  $s \notin S$ ). We denote by  $S_f$  the set of non-archimedean places of  $S$ . For  $s \in S_f$ , the valuation ring of the local field  $k_s$  is defined to be  $\mathcal{O}_s = \{x \in k \mid |x|_s \leq 1\}$ . We denote by  $K$  an algebraic closure of  $k$  and for each  $s \in S$ , we denote  $K_s$  the algebraic closure of the completion  $k_s$ . Note that  $K_s$  is not necessarily the completion of  $K$  at  $s$ , indeed  $K_s$  is not complete at least at finite places.

In view of a generalisation of the previous results to the  $S$ -adic setting, let us consider a number field  $k$  of degree  $d$  with ring of integers  $\mathcal{O}$  and a finite set of places  $S$  containing the archimedean ones. Suppose we are given a family of  $r$  quadratic forms  $Q_1, \dots, Q_r$  with coefficients in the product of the completions  $k_s$  ( $s \in S$ ). The  $S$ -arithmetic version of the system (2) is given by the following diophantine inequalities,

$$\forall \varepsilon > 0, \exists x \in \mathcal{O}_S^n - \{0\}, \forall s \in S, |Q_{j,s}(x)|_s < \varepsilon \text{ for } j = 1, \dots, r. \quad (3)$$

In the case when the set  $S$  only contains archimedean places,  $\mathcal{O}$  is a free  $\mathbb{Z}$ -module of rank  $d$  and let us choose a basis for  $\mathcal{O}$  given by  $\omega = (\omega_1, \dots, \omega_d)$ . if we introduce the quadratic form

$$Q_j^\omega(x_{1,1}, \dots, x_{d,n}) := Q_j \left( \sum_{k=1}^d x_{k,1} \omega_k, \dots, \sum_{k=1}^d x_{k,n} \omega_k \right).$$

Then (3) reduces to the system (2)

$$\forall \varepsilon > 0, \exists x \in \mathbb{Z}^{nd} - \{0\}, |Q_j^\omega(x)| < \varepsilon \text{ for } j = 1, \dots, r. \quad (4)$$

Using such kind of restriction of scalar does not simplify the problem. Indeed this operation increases the number of variables and the hope to apply Ratner's orbit closure together with the classification of intermediate subgroups seems compromised. For example, even in the simplest case of a quadratic number field and  $n \geq 3$ , we already have to deal with quadratic forms in

at least six variables for which the classification of intermediate subgroups is out of reach. The only case for which system (3) has been solved is when  $r = 1$  and  $n \geq 3$  which corresponds to the  $S$ -arithmetic version of the Oppenheim conjecture proved by Borel and Prasad [3]. Their proof appeals to the same method used in the original proof of Margulis, namely, it shows that the orbit closure of the lattice  $\mathcal{O}_S^n$  under  $\prod_{s \in S} SO(Q_s)^+$  is dense in the homogeneous space  $\Omega_S = SL(n, k_S)/SL(n, \mathcal{O}_S)$  provided the form  $Q_S$  is an isotropic form not proportional to a form with coefficients in  $k$ . The aim of this paper is to give a  $S$ -adic version of the Theorem 1.1 corresponding to the system (3) for pairs given by  $(Q_s, L_s^2)_{s \in S}$  using Ratner's orbit closure theorem.

## 2.2. Main results

Let  $(Q, L)$  be a pair consisting of one quadratic form and one nonzero linear form on  $k_S^n$ . Equivalently,  $(Q, L)$  can be viewed as a family  $(Q_s, L_s)_{s \in S}$ , where  $Q_s$  is a quadratic form on  $k_S^n$  and  $L_s$  a nonzero linear form on  $k_S^n$ . The form  $Q$  is nondegenerate if and only if each  $Q_s$  is nondegenerate. We say that  $Q$  is isotropic if each  $Q_s$  is so, i.e. if there exists for every  $s \in S$  an element  $x_s \in k_S^n - \{0\}$  such that  $Q_s(x_s) = 0$ , in particular if  $s$  is a real place an isotropic form is also said to be indefinite. For any quadratic form  $Q$ , we denote by  $\text{rad}(Q)$  (resp.  $c(Q)$ ) the radical (resp. the isotropy cone) of  $Q$ . By definition  $Q$  is nondegenerate (resp. isotropic) if and only if  $\text{rad}(Q) \neq 0$  (resp.  $c(Q) \neq 0$ ). The form  $Q$  is said to be rational (over  $k$ ) if there exists a quadratic form  $Q_0$  on  $k^n$  and a unit  $c$  of  $k_S$  such that  $Q = c \cdot Q_0$ , and irrational otherwise. If  $G$  is a locally compact group,  $G^\circ$  denotes the connected component of the identity in  $G$  and  $G^+$  is the subgroup of  $G$  generated by its one parameter unipotent subgroups.

Given a pair  $F = (Q_s, L_s)_{s \in S}$  on  $k_S^n$ , we say that the set  $F(\mathcal{O}_S^n)$  is dense in  $k_S^2$  for the  $S$ -adic topology if for any  $(a, b) \in k_S^2$  and any  $\varepsilon > 0$ , there exists an  $S$ -integral vector  $x \in \mathcal{O}_S^n$

$$|Q_s(x) - a_s|_s < \varepsilon \text{ and } |L_s(x) - b_s|_s < \varepsilon \text{ for each } s \in S.$$

Our main result gives the required conditions for density to hold when  $S = S_\infty$ . This may be seen as an  $S$ -arithmetic version of Theorem 1.1 for archimedean places.

**Theorem 2.1.** *Assume  $S = S_\infty$  and let  $Q = (Q_s)_{s \in S}$  be a quadratic form on  $k_S^n$  and  $L = (L_s)_{s \in S}$  be a linear form on  $k_S^n$  with  $n \geq 4$  and  $L_s \neq 0$  for all  $s \in S$ . Suppose that the pair  $F = (Q, L)$  satisfies the following conditions,*

1.  $Q$  is nondegenerate.
2.  $Q|_{L=0}$  is nondegenerate and isotropic.
3. For each  $s \in S$  the forms  $\alpha_s Q_s + \beta_s L_s^2$  are irrational given any  $\alpha_s, \beta_s$  in  $k_s$  with  $(\alpha_s, \beta_s) \neq (0, 0)$ .

*Then the set  $F(\mathcal{O}^n)$  is dense in  $k_S^2$ .*

Whenever  $S$  contains in addition non-archimedean places, one can easily deduce from Gorodnik's theorem a weaker conclusion than the one appearing in Theorem 2.1.

**Corollary 2.2.** *Assume  $S$  be a finite set of places of  $k$  such that  $S \supsetneq S_\infty$ . Let  $(Q, L)$  be a pair satisfying conditions of Theorem 2.1 for  $S$ . Then for any  $\varepsilon > 0$ , there exists  $x \in \mathcal{O}_S^n - \{0\}$  such that*

$$|Q_s(x)|_s < \varepsilon \text{ and } |L_s(x)|_s < \varepsilon \text{ for each } s \in S.$$

### 2.3. Remarks.

(1) The proof of Theorem 2.1 reduces to dimension 4, (see § 3) this reduction is necessary since the proof of Theorem 2.1 relies essentially on classification of intermediate subgroups and requires low dimension<sup>1</sup>. The reduction process is made possible by the weak approximation property applied to Grassmanian varieties.

(2) The conclusion of Corollary 2.2 follows immediately from Theorem 1.1 when  $k = \mathbb{Q}$  and  $S = \{p_1, \dots, p_r\} \cup \{\infty\}$  where the  $p_i$  are distinct primes. In this case we have  $\mathbb{Q}_S = \prod_{p_i} \mathbb{Q}_{p_i} \times \mathbb{R}$  and  $\mathcal{O}_S = \mathbb{Z}_S = \mathbb{Z}[1/(p_1 \dots p_r)]$ . Given any  $\varepsilon > 0$ , there exists an integer  $N$  sufficiently divisible by the primes  $p$  in  $S$  such that for any  $y \in \mathbb{Z}^n$  we have

$$N^2|Q(y)|_p < \varepsilon \text{ and } N|L(y)|_p < \varepsilon \text{ for any } p \in S.$$

From Theorem 1.1 there exists a non-zero integer  $x \in \mathbb{Z}^n$  such that

$$|Q(x)| < \varepsilon/N^2 \text{ and } |L(x)| < \varepsilon/N.$$

Thus  $Nx \in \mathcal{O}_S^n$  satisfies the conclusion of Corollary 2.2.

(3) The proof of Theorem 2.1 relies on Ratner's Theorem which gives a precise description of the closure orbits of lattices under the action of a Lie group generated by its unipotent one parameter subgroups. We need to apply an  $S$ -adic version of Ratner's theorem in order to find an integral solution simultaneously at all places. We treat first the case when  $S = S_\infty$ , by Weil's restriction of scalars we can use results of [9] to elucidate the structure of the intermediate subgroups. This is exactly where we need to work in dimension 4, indeed the proof relies on the classifications of semisimple Lie algebras in  $\mathfrak{sl}(4, \mathbb{C})$  which contain the Lie algebra of the stabilizer. For a general finite set of places  $S$  containing both archimedean and nonarchimedean places, we need to use strong approximation for number fields in order to prove Corollary 2.2.

(4) For Theorem 2.1 even if we assume that  $\alpha Q + \beta L^2$  is irrational, it can be possible that the pencil form  $\alpha_s Q_s + \beta_s L_s^2$  is rational for some place  $s$ , in this situation it is not possible to apply Ratner's theorem. It can be possible that the result is still true in this situation but there are serious obstacles to (see § 8).

(5) Unfortunately we are not able to show the density of  $F(\mathcal{O}_S^n)$  under the conditions of theorem 2.1 when  $S$  contains both archimedean and non-archimedean places with our method.

(6) It should be noticed that it can be possible that  $|Q_s(x)|_s$  and  $|L_s(x)|_s$  are both zero for any  $s \in S$  and  $x \in \mathcal{O}_S^n$  as in the conclusion of Corollary 2.2.

(7) In the real case, one can hope to relax condition (2) by only asking  $\alpha Q + \beta L^2$  to be isotropic as it is conjectured by Gorodnik (see § 8, Conjecture 8.1). The major issue is that reduction to lower dimension fails to hold.

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<sup>1</sup>The classification of intermediate Lie subgroups becomes rapidly unfeasible when the dimension exceeds four.

### 3. Weak approximation, rationality and reduction to dimension 4

#### 3.1. Weak approximation in number fields and Grassmannian varieties

Number fields satisfy a nice *local-global principle* called the weak approximation which can be seen as a refinement of the Chinese remainder theorem.

**Theorem 3.1** (Weak approximation in number fields). *Let  $S$  be a finite set of  $\Sigma_k$ . Let us give  $\alpha_s \in k_s$  for each  $s \in S$ . Then there exists an  $\alpha \in k$  which is arbitrarily close to  $\alpha_s$  for all  $s \in S$  with respect to the  $s$ -adic topology.*

**Proof.** (See e.g. [12], Theorem1, p.35)

One can reformulate this theorem as follows: the diagonal embedding  $k \hookrightarrow \prod_{s \in S} k_s$  is dense, the product being equipped with the product of the  $s$ -adic topologies.

**Definition 3.2** (Weak approximation in algebraic varieties). *Let  $X$  be an algebraic variety defined over  $k$ , then  $X$  is said to satisfy the weak approximation property with respect to a finite set of places  $S$  if the diagonal embedding  $X(k) \hookrightarrow \prod_{s \in S} X(k_s)$  is dense for the  $S$ -adic topology.*

To prove reduction we need to introduce a useful class of algebraic varieties which satisfies weak approximation,

**Definition 3.3.** *Let  $V$  be a  $k$ -vector space of dimension  $n \geq 1$  and for each  $1 \leq m \leq n$  let us define the set*

$$\mathcal{G}_m(V) = \left\{ k\text{-vector subspaces } W \subset V \text{ with } \dim W = m \right\}.$$

*This is an algebraic variety defined over  $K$  called the Grassmannian variety, if  $V = k^n$  the set of  $k$ -rational points of  $\mathcal{G}_m(V)$  is simply denoted  $\mathcal{G}_{n,m}(k)$ .*

**Proposition 3.4.** *Let be given two integers  $1 \leq m \leq n$ , then the Grassmannian variety  $\mathcal{G}_{n,m}$  satisfies the weak approximation property with respect to  $S$ , that is,*

$$\mathcal{G}_{n,m}(k) \hookrightarrow \prod_{s \in S} \mathcal{G}_{n,m}(k_s) \text{ is dense.}$$

*Proof.* Let us give a family  $(V_s)_{s \in S}$  of  $k_s$ -vector subspaces of dimension  $m$  in  $k_s^n$  for each  $s \in S$ . Each of these subspaces  $V_s$  are determined by  $m$  linearly independent vectors in  $k_s^n$ . For each of the  $V_s$ , the coefficients of these vectors in the standard basis of  $k_s^n$  give rise to a  $m \times n$ -matrix  $A_s$  with coefficients in  $k_s$ . By weak approximation property in  $k_s^{nm}$  we obtain a matrix  $B \in \mathcal{M}_{m,n}(k)$  such that for any  $s \in S$ ,  $B_s$  is arbitrarily close to  $A_s$ . Since the rank is locally constant and  $B_s$  is in a sufficiently small open neighbourhood of  $A_s$ , we get that  $\text{rank } A_s = \text{rank } B_s$ . Let  $V'$  be the vector subspace generated by the  $n$  columns of  $B$ , obviously  $V' \in \mathcal{G}_{n,m}(k)$  and  $V'_s$  is arbitrary close to  $V_s$  for all  $s \in S$ .

### 3.2. Rationality criterion for polynomial maps

Let us recall that  $K$  denotes an algebraic closure of  $k$  and  $K_s$  an algebraic closure of  $k_s$  for each  $s \in S$ . The following result gives a nice criterion in order to prove that a polynomial map is defined over  $k$  (see also Proposition 3.1.10, [22]).

**Proposition 3.5.** *Let be given  $s \in S$  and  $f : K_s^n \rightarrow K_s$  be a regular map. Suppose there exists a  $k$ -rational subspace  $V$  in  $k^n$  which is Zariski dense in  $K_s^n$  and such that  $f(V) \subset k$ . Then  $f$  is defined over  $k$ .*

**Proof.** Since  $f$  is regular, there exists a  $\alpha_1, \dots, \alpha_r \in K$  such that  $\{1, \alpha_1, \dots, \alpha_r\}$  are linearly independent over  $k$  so that we can write  $f = f_0 + \sum_{i=1}^r \alpha_i f_i$  where  $f_0, f_1, \dots, f_r$  are polynomials with coefficients in  $k$ . By assumption,  $f(V) \subset k$  and the linear independence of the family  $\{1, \alpha_1, \alpha_2, \dots, \alpha_r\}$ , it implies that  $f(x) = f_0(x)$  for all  $x \in V$ . Since the Zariski density of  $V$  in  $K_s^n$  is equivalent to  $V$  having dimension  $n$ , we obtain  $f = f_0$  on all  $K_s^n$  and  $f$  is defined over  $k$ .

### 3.3. Reduction of Theorem 2.1 to the dimension 4

**Proposition 3.6.** *Let  $F = (Q, L)$  be a pair consisting of a quadratic form  $Q$  and a nonzero linear form  $L$  in  $k_s^n$  ( $n \geq 5$ ) such that*

- (1)  $Q$  is nondegenerate
- (2)  $Q|_{L=0}$  is isotropic
- (3) Any quadratic form  $\alpha_s Q_s + \beta_s L_s^2$  with  $\alpha_s, \beta_s$  in  $k_s$  such that  $(\alpha_s, \beta_s) \neq (0, 0)$  for all  $s \in S$  is irrational.

*Then there exists a  $k$ -rational subspace  $V$  of  $k^n$  of codimension 1 such that  $F|_{V_S}$  satisfies the conditions (1)(2)(3), moreover  $V$  can be chosen such that  $Q|_{\{L=0\} \cap V_S}$  is nondegenerate.*

**Proof.** When  $s$  is an archimedean real place, it is proved in ([9], Proposition 4) that there exists a subspace  $V_s$  of  $k^n$  of codimension 1 such that  $F_s|_{V_s}$  verifies conditions (1)(2)(3). (therein the condition that  $Q_s|_{L_s=0}$  is nondegenerate for  $s \in S$  refers to the condition  $Q_s|_{L_s=0}$  of type (I) in [9]). The proof non-archimedean case of ([9], Proposition 4) is analog to the real case. Therefore there exists a subspace  $V_s$  of  $k^n$  of codimension 1 such that  $F_s|_{V_s}$  verifies conditions (1)(2)(3). Hence for any  $s \in S$  we may find  $V_s$  a subspace of  $k^n$  of codimension 1 so that the conditions (1)(2)(3) are satisfied by  $F_s|_{V_s}$  and one can choose  $V_s$  to be such that  $Q_s|_{\{L_s=0\} \cap V_s}$  is nondegenerate.

Assume that  $n \geq 5$ . Let us give  $s \in S$  and  $V_s$  a  $k$ -subspace of codimension 1 in  $k_s^n$  such that the restriction of  $Q_s$  on  $V_s$  is non-degenerate and isotropic. Let us define  $\mathcal{H}_s := SO(Q_s)$  the  $k_s$ -algebraic subgroup of the orthogonal group, the set of  $k_s$ -points  $H_s = \mathcal{H}_s(K_s)$  is a Lie group over the algebraic closure  $K_s$  of  $k_s$ . For our needs, we introduce the following lemma which is valid for any field of characteristic zero (see e.g. [18], §3.1, Corollary 2).

**Lemma 3.7.** *Let  $G \times X \rightarrow X$  a  $K$ -defined action of a  $K$ -algebraic group on  $K$ -algebraic variety. If  $x \in X(K)$  and  $Y$  is the closure of the orbit  $Gx$  then for any open  $F \subset G(K)$ , the set  $Fx$  is open on  $Y(K)$ . In particular  $Gx$  is open in  $Y(K)$ .*

Following Borel and Prasad ([3] Proposition 1.3), let us consider the  $k_s$ -action of the group  $H_s$  on the Grassmanian variety  $\mathcal{G}_{n-1,n}$  of the hyperplanes over  $k_s$ . Since  $Q|_{V_s}$  is non-degenerate we can use the previous lemma above in order to infer that the orbit  $H_s V$  is open in  $\mathcal{G}_{n-1,n}(k_s)$  for the  $S$ -adic topology. Moreover by weak approximation in  $k_S$  we can find a rational subspace in  $V'$  of codimension 1 in  $k^n$  such that  $V' \otimes_k k_s$  is arbitrarily close to  $V_s$  for all  $s \in S$ , in particular they belong to the same open orbit under  $H_s$ . We have established that  $F_{s|V_s}$  satisfies conditions (1) and (2), it is equivalent to say that

$$\text{rad}(Q_s) \cap V_s = \{0\} \text{ and } c(Q_s|_{L_s=0}) \cap V_s \neq \{0\}. (*)$$

The condition (2) remains true if we replace  $V_s$  by any subspace sufficiently close to  $V_s$ . Since the subspace  $\text{rad}(Q_s)$  is invariant under the action of the orthogonal group  $\text{SO}(Q_s)$ , the condition (1) above is verified by any element of  $\mathcal{G}_{n-1,n}(k_s)$  which lies in the orbit of  $V_s$  under  $\text{SO}(Q_s)$ . In particular,  $V' \otimes_k k_s$  satisfies (\*) for each  $s \in S$ . Hence we obtain a  $k$ -rational subspace  $V'$  of  $k^n$  such that  $F_{s|V'_s}$  satisfies the conditions (1)(2). It remains to find such  $V$  such that in addition  $F_{s|V_s}$  satisfies condition (3). Let us put

$$\mathcal{V} = \left\{ V \in \mathcal{G}_{n-1,n}(k) \mid F_{s|V_s} \text{ satisfies conditions (1)(2)} \right\}.$$

It is nonempty because it contains  $V'$ . Suppose there exists no  $V$  in  $\mathcal{V}$  for which  $F_{s|V_s}$  satisfies condition (3), that is to say that for any  $V \in \mathcal{V}$ , it should exist some  $s \in S$  and some  $(\alpha_s, \beta_s) \in k_s^2 - \{(0,0)\}$ , such that the quadratic form  $\alpha_s Q_s(x) + \beta_s L_s(x)^2|_{V_s}$  is rational. Let us consider the map  $f : k_s^n \rightarrow k_s$  given by

$$f : x \mapsto \alpha_s Q_s(x) + \beta_s L_s(x)^2.$$

Clearly  $f$  is a regular function on  $K_s^n$  and for each  $V \in \mathcal{V}$  we have  $f(V(k)) \subset k$ . The Zariski density of  $\bigcup_{V \in \mathcal{V}} V(k)$  in  $K_s^n$  implies by Proposition 3.5 that  $f$  is defined over  $k$ . In other words,  $\alpha_s Q_s(x) + \beta_s L_s(x)^2$  is rational over  $k$ , contradiction. Hence there exists  $V \in \mathcal{V}$  such that  $F_{s|V_s}$  satisfies condition (3).

**Corollary 3.8.** *It suffices to prove Theorem 2.1 for  $n = 4$ .*

**Proof.** It follows from the proposition by descending induction on  $n$ .

### 3.4. Adeles and strong approximation for number fields

The set of adeles  $\mathbb{A}$  of  $k$  is the subset of the direct product  $\prod_{s \in \Sigma_k} k_s$  consisting of those  $x = (x_s)$  such that  $x \in \mathcal{O}_s$  for almost all  $s \in \Sigma_k$ . The set of adeles  $\mathbb{A}$  is a locally topological ring with respect to the adèle topology given by the base of open sets of the form  $\prod_{s \in S} U_s \times \prod_{s \notin S} \mathcal{O}_s$  where  $S \subset \Sigma_k$  is finite with  $S \supset S_\infty$  and  $U_s$  are open subsets of  $k_s$  for each  $s \in S$ . reversemarginpar For any finite subset  $S \subset \Sigma_k$  with  $S \supset S_\infty$ , the ring of  $S$ -integral adeles is defined by:

$$\mathbb{A}(S) = \prod_{s \in S} k_s \times \prod_{s \notin S} \mathcal{O}_s, \text{ thus we can see that } \mathbb{A} = \bigcup_{S \supset S_\infty} \mathbb{A}(S).$$

We define also  $\mathbb{A}_S$  to be the image of  $\mathbb{A}$  onto  $\prod_{s \notin S} k_s$ , clearly  $\mathbb{A} = k_S \times \mathbb{A}_S$ .

**Theorem 3.9** (Strong approximation). *If  $S \neq \emptyset$  the image of  $k$  under the diagonal embedding is dense in  $\mathbb{A}_S$ .*

#### 4. Stabilizers of pairs $(Q, L)$

For each  $s \in S$  let us define  $G_s = \mathrm{SL}_4(k_s)$ ,  $G_S = \prod_{s \in S} \mathrm{SL}_4(k_s) = \mathrm{SL}_4(k_S)$ . Let  $F = (Q, L)$  be a pair on  $k_S^4$  satisfying the conditions (1)(2)(3) of Theorem 2.1.

For every  $s \in S$  we realize  $Q_s$  on a four-dimensional quadratic vector space  $(k_s^4, Q_s)$  over  $k_s$  equipped with the standard basis  $\mathcal{B} = \{e_1, \dots, e_4\}$ . For each  $s \in S$ , let us define  $H_s$  to be the stabilizer of the pair  $F_s$  under the action of  $G_s$ , in other words

$$H_s = \left\{ g \in G_s \mid Q_s \circ g = Q_s, L_s \circ g = L_s \right\}.$$

Equivalently one can write  $H_s = \left\{ g \in \mathrm{SO}(Q_s) \mid L_s \circ g = L_s \right\}$ , clearly it is a linear algebraic group defined over  $k_s$ . Also let us define  $V_s = \{L_s = 0\}$ , it is an hyperplane of  $k_s^4$  which induces a quadratic isotropic subspace  $(V_s, Q_{s|V_s})$  of dimension 3 in  $k_s^4$ . We have two cases following  $(V_s, Q_{s|V_s})$  is nondegenerate or not. If  $s$  is a real place the first case corresponds to pairs of type (I) in the terminology of [9].

**Lemma 4.1.** *Let be given a pair  $(Q, L)$  satisfying the conditions of Theorem 2.1 in dimension 4. Then the stabilizer of  $(Q, L)$  under the action of  $G$  is of the form (up to conjugation)*

$$H = \left\{ \left( \begin{array}{c|c} A & 0 \\ \hline 0 & 1 \end{array} \right) \mid A \in \mathrm{SO}(Q_{|L=0}) \right\} \subseteq \mathrm{SL}_4(\bar{k}_s).$$

*In particular,  $H$  is semisimple. Moreover, any quadratic form  $\tilde{Q}$  which is  $H$ -invariant is of the form  $\alpha Q + \beta L^2$  for some  $\alpha, \beta \in k_S$  not both zero.*

*Proof.* Since  $(V_s, Q_{s|V_s})$  is nondegenerate, one can write the the following decomposition  $k_s^4 = V_s \oplus V_s^\perp$  where  $V_s^\perp$  the orthogonal complement w.r.t.  $Q$ . Since  $\dim V_s^\perp = 1$  there exists some nonzero  $u$  vector of  $k_s^4$  such that  $V_s^\perp = \langle u \rangle$  with  $L_s(u) \neq 0$ . Moreover by definition  $L_s$  is  $H_s$ -invariant so  $V_s$  is  $H_s$ -invariant. Moreover any element of  $h \in H_s$  is in particular an element of  $\mathrm{SO}(Q_s)$ , that is,  $h^T = h$  hence  $V_s^\perp = \langle u \rangle$  is also  $H_s$ -invariant. Then for any  $h \in H_s$ , the restriction  $h|_{V_s}$  induces an automorphism of  $V_s$  and  $h u = u$ . Let us put  $w_4 = u$ , and complete with a basis  $\{w_1, w_2, w_3\}$  of  $V_s$  to obtain the following matrix representation of  $H_s$  up to a  $k_s$ -isomorphism of  $k_s^4$ ,

$$H_s \simeq \left\{ \left( \begin{array}{c|c} A & 0 \\ \hline 0 & 1 \end{array} \right) \mid A \in \mathrm{SO}(Q_{s|V_s}) \right\} \subseteq \mathrm{SL}_4(K_s).$$

It is well-known that the orthogonal group of a nondegenerate quadratic form is a semisimple group. The last statement is the Lemma 9 in [9].

##### *S-adic products*

Now let  $F = (Q_s, L_s)_{s \in S}$  be a pair satisfying the conditions of the main theorem. Let  $\mathcal{H}_s$  be the algebraic group defined over  $k_s$  such that  $\mathcal{H}_s(k_s) = H_s$ . Given any subgroup  $H$ , the notation  $H^+$  denotes the subgroup of  $H$  generated by its one-dimensional unipotent subgroups. Let us put

$$H_S = \prod_{s \in S} H_s \text{ and } H_S^+ = \prod_{s \in S} H_s^+.$$

Therefore  $H_S$  is an algebraic subgroup of  $SL_4(k_S)$  which leaves invariant the pair  $F = (Q, L)$  with respect to the  $S$ -basis  $\mathcal{B}' = \{w_1, w_2, w_3, w_4\}$  as in the previous lemma. In other words, we have

$$H_S \simeq \left\{ \left( \begin{array}{c|c} A & 0 \\ \hline 0 & 1 \end{array} \right) \mid A \in SO(Q|_{V_S}) \right\} \subseteq \prod_{s \in S} SL_4(K_s)$$

and

$$H_S^+ \simeq \left\{ \left( \begin{array}{c|c} A & 0 \\ \hline 0 & 1 \end{array} \right)^+ \mid A \in SO(Q|_{V_S})^+ \right\} \subseteq \prod_{s \in S} SL_4(K_s).$$

## 5. Topological rigidity in $S$ -adic homogeneous spaces

### 5.1. Ratner's topological rigidity theorem for unipotent groups actions

In this section, we are assuming that  $S$  is the set of archimedean places in  $\Sigma_k$  i.e.  $S = S_\infty$ . However, we allow ourselves to use the explicit notation  $S_\infty$  if necessary.

Let us consider the  $S$ -adic linear group defined over  $k_S$ ,  $G_S = SL_n(k_S)$ . It is not difficult to see that  $G_S$  is a Lie group which has a discrete subgroup a finite covolume  $\Gamma_S = SL_n(\mathcal{O}_S)$ . Let us define  $\Omega_S$  to be the quotient space given by  $G_S/\Gamma_S$  where  $G_S = SL_n(k_S)$ . A well-known easy fact says that the homogeneous space  $\Omega_S$  is one-to-one with the space of unimodular free  $\mathcal{O}_S$ -modules of maximal rank in  $k_S^n$ . For every  $s \in S$ , let  $\mathcal{U}_s$  be a  $k_s$ -subgroup of  $G_s$  generated by its one-parameter unipotent subgroups. We denote by  $\mathcal{U} = \prod_{s \in S} \mathcal{U}_s(k_s)$  the product subgroup of  $G_S$ . We are interested in the left action of  $\mathcal{U}$  on the homogeneous space  $\Omega_S$  and more particularly with the closure of such orbits taken with respect to the topology on  $\Omega_S$  induced by the projection  $\pi : G_S \rightarrow \Omega_S$ . If  $x \in \Omega_S$  it turns out that the closure of the orbit  $\mathcal{U}x$  is also an orbit of  $x$ . The following result is the generalisation of Ratner's orbit closure theorem for  $S$ -products proven independently by Margulis-Tomanov and Ratner (see [15], [20]).

**Theorem 5.1** (Ratner's Theorem for  $S$ -adic groups). *For each  $x \in \Omega_S$ , there exists a closed subgroup  $M = M(x) \subset G_S$  containing  $\mathcal{U}$  such that the closure of the orbit  $\mathcal{U}x$  coincides with  $Mx$  and  $Mx$  admits  $M$ -invariant probability measure.*

In the real case, this result was conjectured by Raghunathan who stated it with  $G = SL(3, \mathbb{R})$ ,  $U = SO(2, 1)^\circ$  and  $\Gamma = SL(3, \mathbb{Z})$ . He noticed that the proof of this Conjecture should lead to a solution of the Oppenheim conjecture. Despite appearances, the proof of this theorem is measure theoretic and consists to classify ergodic measures under the action of the unipotent flow on the homogeneous space  $\Omega$ . In both proofs made by Margulis-Tomanov ([15]) and Ratner ([20]) the notion of entropy plays a central role for the measure classification.

5.2. *The structure of intermediate subgroups in the archimedean case.*

The application of Ratner's Theorem 5.1 above gives a nice description of the orbit closure under the action of a subgroup  $H^+$  generated by unipotent one parameter subgroups in  $G_S$ . Considering a unimodular lattice  $x \in \Omega_S$ , we get that

$$\overline{H^+x} = L.x$$

for some closed connected subgroup  $L$  (depending on  $x$ ) such that  $H^+ \subset L \subset G$ .

The following theorem due to N. Shah gives extra information about the structure of the intermediate subgroups arising from Ratner's orbit closure theorem above,

**Theorem 5.2** ([21], Prop. 3.2). *Let  $G = \mathcal{G}(\mathbb{R})^\circ$  with  $\mathcal{G}$  an algebraic subgroup of  $SL(n, \mathbb{C})$  defined over  $\mathbb{Q}$  and  $\Gamma = G \cap SL(n, \mathbb{Z})$ . Let  $H$  be a subgroup of  $G$  generated by its unipotent one parameter subgroups and assume that*

Com-  
ment  
(1)

$$\overline{H\Gamma} = P\Gamma \text{ where } P \text{ is a closed connected subgroup of } G$$

*such that  $P \cap \Gamma$  has finite covolume in  $P$ . Then  $P = \tilde{P}(\mathbb{R})^\circ$  where  $\tilde{P}$  is the smallest  $\mathbb{Q}$ -subgroup of  $\mathcal{G}$  whose group of real points contains  $H$ .*

By combining Ratner's theorem and previous proposition, we obtain immediately the following corollary stated in the set-up of the previous proposition.

**Corollary 5.3** ([3], Corollary 7.2). *Let  $g \in G$  such that  $x = g.0$  where  $0$  is the coset of  $\Gamma$  in  $G/\Gamma$ . Then  $g^{-1}Lg = \tilde{P}(\mathbb{R})$  where  $\tilde{P}$  is the smallest subgroup of whose group of real points contains  $g^{-1}Hg$ .*

Com-  
ment  
(2)

To use the results of this section for our purposes, we need to reduce to the case when  $k = \mathbb{Q}$ . This can be done by using the functor of restriction of scalars for algebraic groups.

**Proposition 5.4.** *Let  $k$  be a number field. Given any algebraic group  $G \subset GL_n(\bar{k})$  defined over  $k$ , there exists an algebraic group  $G'$  defined over  $\mathbb{Q}$  such that  $G'(\mathbb{Q}) \simeq G(k)$ .*

*Proof.* See e.g. [18], §2.1.2 for a general construction for finite separable extensions.

**Definition 5.5** (Restriction of scalars). *We denote  $G'$  by  $\mathcal{R}_{k/\mathbb{Q}}(G)$  and it is called the algebraic group associated to  $G$  obtained by restriction of scalars from  $k$  to  $\mathbb{Q}$ .*

The operation  $\mathcal{R}_{k/\mathbb{Q}}$  defines a functor from the category of  $k$ -groups to the category of  $\mathbb{Q}$ -groups. This functor has a nice arithmetic property regarding the set of integral points, given any algebraic group defined over  $k$ , we have<sup>2</sup>

$$\mathcal{R}_{k/\mathbb{Q}}(G)(\mathbb{Z}) \simeq G(\mathcal{O}_k)$$

Assume that  $G = SL_{n|k}$  viewed as an algebraic group defined over  $k$  and all the places are archimedean i.e.  $S = S_\infty$ . The fact that  $k_S = k \otimes_{\mathbb{Q}} \mathbb{R}$  implies  $G_S = \mathcal{R}_{k/\mathbb{Q}}(G)(\mathbb{R})$  and the intermediate subgroups have still an interesting structure by means of restriction of scalars

**Proposition 5.6** ([3], Prop. 7.3). *Let  $H_s$  be a closed subgroup of  $G_s = SL_n(k_s)$  for each  $s \in S_\infty$  and  $H$  the product of the  $H_s$ . Then the smallest  $\mathbb{Q}$ -algebraic subgroup  $\mathcal{L}$  of  $\mathcal{G}$  whose group of real points contains  $H$  is of the form  $\mathcal{L} = \mathcal{R}_{k/\mathbb{Q}}\mathcal{L}'$ , where  $\mathcal{L}'$  is a connected  $k$ -subgroup of  $\mathcal{G}$ .*

<sup>2</sup>This result will not be used in the sequel.

## 6. Proof of the Theorem 2.1

Let  $F = (Q, L)$  be a pair in  $k_S^n$  which satisfies the conditions of Theorem 2.1. After § 3, we know that it suffices to show it for  $n = 4$ . By condition (3) all the forms  $\alpha_s Q_s + \beta_s L_s^2$  are irrational for each  $\alpha_s, \beta_s$  in  $k_s$  such that  $(\alpha_s, \beta_s) \neq (0, 0)$  for any  $s \in S$ . Let  $g \in G_S$  be the matrix of the basis  $\mathcal{B}'_S$  with respect to the standard basis of  $k_S^4$ . By definition  $g^{-1}H_S g$  leaves invariant the pair  $F = (Q_s, L_s)_{s \in S}$ , and  $H_S^+$  is generated by one-dimensional unipotent subgroups. We consider  $\Gamma_S$  as an element of the homogeneous space  $\Omega_S$ . By applying Ratner's Theorem 5.1, one obtains

$$\overline{g^{-1}H_S^+ g \Gamma_S} = P \Gamma_S \quad (5)$$

where  $P$  is a closed subgroup of  $G_S$  which contains  $g^{-1}H_S^+ g$ .

Since we assume that  $S = S_\infty$ , we have  $\mathcal{O}_S^4 = \mathcal{O}^4$ . Let us write  $k_\infty, H_\infty$  and  $G_\infty$  respectively for  $k_{S_\infty}, H_{S_\infty}$  and  $G_{S_\infty}$ . One can also note that  $H_\infty^+$  is nothing else than the component of the identity  $H_\infty^\circ$ . Using equality (5) one deduces that the set  $F(\mathcal{O}^4)$  is dense in  $k_\infty^2$ . Indeed, we are going to adapt the proof of ([9], Proposition 10) to the  $S_\infty$ -products, as follows<sup>3</sup>. We first reduce the ground field from  $k$  to the field of rational numbers. To achieve this we realise  $G_\infty$  as the group of real points of an algebraic group  $\mathcal{G}$  defined over  $\mathbb{Q}$ . In view of Proposition 5.6 this is given explicitly by taking  $\mathcal{G} = R_{k/\mathbb{Q}}\mathrm{SL}_4$  where  $R_{k/\mathbb{Q}}$  is the functor restriction of scalars of the field extension  $k/\mathbb{Q}$  and where  $\mathrm{SL}_4$  is regarded as the usual algebraic group over  $k$ . In other words,  $G_\infty = \mathcal{G}(\mathbb{R})$  with  $\mathcal{G}$  an algebraic group defined over  $\mathbb{Q}$ . Now let us precise the structure of  $P$ . From Corollary 5.3 above, we infer that there exists an algebraic group  $\tilde{P}$  defined over  $\mathbb{Q}$  which is the smallest  $\mathbb{Q}$ -algebraic group whose group of real points contains  $g^{-1}H_\infty^\circ g$ . In the other hand, Proposition 5.2 implies that  $P = \tilde{P}(\mathbb{R})^\circ$  and the unipotent radical  $\mathcal{U}$  of  $\tilde{P}$  is also defined over  $\mathbb{Q}$ . Thus equality (5) may be read as

$$\overline{g^{-1}H_\infty^\circ g \Gamma} = \tilde{P}(\mathbb{R})^\circ \Gamma. \quad (6)$$

Let us set the group  $U = \mathcal{U}(\mathbb{R})$ , then for each  $s \in S_\infty$ , we define  $P_s$  (resp.  $U_s$ ) to be the intersection of  $P$  (resp.  $U$ ) with  $G_s$ , where  $G_s$  is identified with the subgroup of  $G$  consisting of elements  $(g_t)_{t \in S}$  with  $g_t = 1$  for all  $s \neq t$ .

**Lemma 6.1.** *If  $P_s$  acts irreducibly on  $\mathbb{C}^4$ , then  $P_s = G_s$ . Otherwise,  $P_s = M_s U_s$  where*

$$M_s = u g_s^{-1} \left( \begin{array}{c|c} \mathrm{SL}_3 & 0 \\ \hline 0 & 1 \end{array} \right) g_s u^{-1} \text{ for some } u \in U_s.$$

*Proof the Lemma.* This result is the core of the proof of Proposition 10 in [9] for which we recall the outlines. If  $P_s$  acts irreducibly on  $\mathbb{C}^4$ , then  $P_s$  is semisimple and the classification of irreducible semisimple Lie groups in  $\mathrm{SL}_4$  implies that  $P_s$  is equal either to  $G_s$  or  $SO(B_s)$  for some nondegenerate form  $B_s$  (Proposition 7 and Lemma 8, [9]). Such form  $B_s$  being  $H_s$ -invariant is necessarily of the form  $\alpha_s Q_s + \beta_s L_s^2$  for some  $(\alpha_s, \beta_s) \neq (0, 0)$  (Lemma 4.1). As seen before  $P_s$  is defined over  $\mathbb{Q}$ , so that  $B_s$  is forced to be rational which is a contradiction. Hence  $P_s = G_s$ . For the second assertion, we consider the induced action of  $P_s$  on the space  $\mathcal{L}$  of linear forms in  $\mathbb{C}^4$ , it is reducible by hypothesis. There only two reducible components in  $\mathcal{L}$  under  $H$ , namely

<sup>3</sup>For more precisions, the reader is invited to read the original proof which is similar.

$\langle x_1, x_2, x_3 \rangle$  and  $\langle x_4 \rangle$ . Since  $P_s$  contains  $g^{-1}H_s g$ , there are only two  $P_s$ -invariant subspaces in  $\mathcal{L}$ , which are given by  $\mathcal{L}_1 = \langle L_1, L_2, L_3 \rangle$  and  $\mathcal{L}_2 = \langle L_4 \rangle$  where  $L_i(x) = (gx)_i$  for  $i = 1, \dots, 4$ , note that  $L_4 = L$ . Since  $P_s$  is defined over  $\mathbb{Q}$ , one infers that  $M$  is semisimple thus admitting a Levi decomposition

$$P_s = M_s U_s$$

where  $M_s$  and  $U_s$  are respectively a Levi subgroup and the unipotent radical of  $P_s$ . The Levi subgroup  $M_s$  is defined over  $\mathbb{Q}$  since  $P_s$  is. Also as seen above,  $\mathcal{U}$  is defined over  $\mathbb{Q}$  and Malcev's theorem ensures that the Levi subgroups are unique up to conjugacy (e.g. see §4.3 [16]), in particular

$$g_s^{-1}H_s^\circ g_s \subseteq u^{-1}M_s u \quad \text{for some } u \in U_s. \quad (7)$$

Moreover this inclusion is strict, indeed  $H_s$  leaves invariant any linear combination of  $Q_s$  and  $L_s^2$  which by assumption are always irrational thus  $H_s$  is not defined over  $\mathbb{Q}$ . The latter fact and the maximality of  $\text{SO}(Q|_{L=0})$  in  $\text{SL}_3$  ( $Q|_{L=0}$  is isotropic) give the equality

$$M_s = u g_s^{-1} \left( \begin{array}{c|c} \text{SL}_3 & 0 \\ \hline 0 & 1 \end{array} \right) g_s u^{-1}.$$

This achieves the proof of the Lemma.

Let us define the subgroup

$$M'_s := u^{-1}M_s u = g_s^{-1} \left( \begin{array}{c|c} \text{SL}_3 & 0 \\ \hline 0 & 1 \end{array} \right) g_s.$$

By the previous Lemma 6.1, one can rephrase equality (6) in the following way

$$\overline{g^{-1}H_\infty^\circ g \Gamma} = M'(\mathbb{R})^\circ \mathcal{U}(\mathbb{R}) \Gamma. \quad (8)$$

Now let be given  $(a, b) \in k_\infty^2$  and let us choose  $x \in \mathcal{O}^4 - \langle g^{-1}e_4 \rangle$ . It is not difficult to see that there exists  $m \in M'(\mathbb{R})^\circ$  and  $u \in \mathcal{U}(\mathbb{R})$  such that

$$F(mux) = (Q(mux), L(mux)) = (a, b).$$

Using density in (8), we infer that there exists  $h_n \in g^{-1}H_\infty^\circ g$  and  $\gamma_n \in \Gamma$  such that

$$h_n \gamma_n \rightarrow mu \text{ as } n \rightarrow \infty.$$

We conclude that

$$F(\gamma_n x) = F(h_n \gamma_n x) \rightarrow F(umx) = (a, b) \text{ as } n \rightarrow \infty.$$

Hence  $F(\mathcal{O}^4)$  is dense in  $k_\infty^2$  and Theorem 2.1 is proved.

## 7. Proof of Corollary 2.2

Now let us assume  $S \neq S_\infty$  and let be given  $s \in S_f$ . The set  $\mathcal{O}^4$  is bounded in  $k_s^4$ , thus for any neighbourhood  $U$  of the origin in  $k_s^4$  one can find an integer  $a_s \in \mathcal{O}_s$  such that  $a_s \cdot \mathcal{O}^4 \subset U$ . In other words, given any  $\varepsilon > 0$  one can find  $a_s \in \mathcal{O}_s$  such that :

$$|Q_s(a_s x)|_s \leq \varepsilon \quad \text{and} \quad |L_s(a_s x)|_s \leq \varepsilon \quad \text{for all } x \in \mathcal{O}^4. \quad (9)$$

Thus for each  $s \in S_f$ , we can associate an integer  $a_s \in \mathcal{O}_s$  satisfying the previous inequalities. By strong approximation one can find  $a \in \mathcal{O}$  such that  $|a|_s = |a_s|_s$  for all  $s \in S_f$ . Put  $\|a\|_\infty = \max_{s \in S_\infty} |a|_s$ , by Theorem 2.1 we can find  $x \in \mathcal{O}^4 - \{0\}$  such that:

$$|Q_s(x)|_s \leq \varepsilon / \|a\|_\infty^2 \quad \text{and} \quad |L_s(x)|_s \leq \varepsilon / \|a\|_\infty \quad \text{for all } s \in S_\infty.$$

We immediately obtain for all  $s \in S_\infty$

$$|Q_s(a_s x)|_s = |a_s|_s^2 |Q_s(x)|_s \leq \varepsilon \quad \text{and} \quad |L_s(a_s x)|_s = |a_s|_s |L_s(x)|_s \leq \varepsilon. \quad (10)$$

Let us consider the initial choice of  $\varepsilon > 0$ . By combining (10) with the choice of  $a$  satisfying (9), we obtain a nonzero element  $y = a \cdot x \in \mathcal{O}^4$  satisfying the conclusion of Corollary 2.2, i.e.

$$|Q_s(y)|_s \leq \varepsilon \quad \text{and} \quad |L_s(y)|_s \leq \varepsilon \quad \text{for all } s \in S.$$

## 8. Comments and open problems

### *Irrationality of the pencils forms*

The rationality condition in Theorem 2.1, namely asking irrationality of all the pencils of  $Q$  and  $L^2$  at all places of  $S$  is more restrictive than assuming irrationality of the pencils over  $k_S$ . Indeed the latter condition leaves the possibility that some pencil could be rational at some place(s) of  $S$ . In this case, using Ratner's theorem and therefore the classification of intermediate subgroups cannot be achieved by our methods. By analogy with the work of Borel and Prasad in the case of a family of quadratic forms  $(Q_s)_{s \in S}$ , it may be possible to apply strong approximation and avoiding reduction of dimension. The problem is that this method does not give integral solutions  $x \in \mathcal{O}_S^n$  of inequalities  $|Q(x)| \leq \varepsilon$  and  $|L(x)| \leq \varepsilon$  but only nonzero integral solutions of the pencil forms  $|\alpha Q(x) + \beta L^2(x)| \leq \varepsilon$  which may depend on the coefficients  $\alpha$  and  $\beta$ . The most serious issue is to eliminate the dependance on the coefficients, that is, to replace the valid assertion

$$\forall \varepsilon > 0, \forall P \in \mathbb{P}^1(k_S), \exists x \in \mathcal{O}_S^n - \{0\}, \quad |\tilde{Q}_P(x)|_S \leq \varepsilon$$

by the one we would like

$$\forall \varepsilon > 0, \exists x \in \mathcal{O}_S^n - \{0\}, \forall P \in \mathbb{P}^1(k_S), \quad |\tilde{Q}_P(x)|_S \leq \varepsilon.$$

Indeed if one is able to do so, such  $x$  will satisfies those inequalities for both  $P_1 = [0 : 1]$  and  $P_2 = [0 : 1]$  and by homogeneity it would give the solution of our problem.

### *Towards density*

It should be possible to obtain the density of  $F(\mathcal{O}_S^n)$  for a pair  $F = (Q, L)$  over  $k_S$  under the same assumptions generalising those of Theorem 1.1. For this we need an analog of Lemma 6 of [9] for nonarchimedean completions which has no clear reason to fail in characteristic zero. A significant difference with the classical Oppenheim conjecture is that the stabilizer of such pairs is no more maximal, and the classification of intermediate subgroups is much more involved. Unfortunately we are not able to prove Lemma 6.1 for non archimedean completions and to avoid the use of strong approximation.

### *Some Open problems*

We conclude by mentioning two conjectures of Gorodnik (see [9], conjecture 15) and (see [10], conjecture 13) which concerns the assumption (2) of Theorem 1.1 in the real case. It is conjectured that the condition  $Q|_{L=0}$  is isotropic can be replaced by the weaker assumption that the pencil  $\alpha Q + \beta L^2$  is isotropic for any real numbers  $\alpha, \beta$  such that  $(\alpha, \beta) \neq (0, 0)$ .

**Conjecture 8.1** (Gorodnik). *Let  $F = (Q, L)$  be a pair consisting of one nondegenerate quadratic and one nonzero linear form in dimension  $n \geq 4$ . Suppose that*

1. *For every  $\beta \in \mathbb{R}$ ,  $Q + \beta L^2$  is indefinite.*
2. *For every  $(\alpha, \beta) \neq (0, 0)$ , with  $\alpha, \beta \in \mathbb{R}$ ,  $\alpha Q + \beta L^2$  is irrational.*

*Then  $F(\mathcal{P}(\mathbb{Z}^n))$  is dense in  $\mathbb{R}^2$ .*

The first condition is necessary for density to hold. The main issue is that this condition (contrarily to the condition that  $Q|_{L=0}$  is indefinite) does not allow us to reduce to the four dimensional case. Hence all the strategy of the proof of Theorem 1.1 becomes needless regarding the impossibility to classify all the intermediate subgroups in higher dimension.

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