

Carotenoid dietary intakes and plasma concentrations are associated with heel bone ultrasound attenuation and osteoporotic fracture risk in the EPIC-Norfolk cohort.

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Names for PubMed indexing: Hayhoe, Lentjes, Mulligan, Luben, Khaw, Welch.

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Short title: Carotenoid intake, bone status and fractures.

Keywords: Carotenoids, nutrition, bone ultrasound, fractures, osteoporosis.

1 ABSTRACT

2 Carotenoids are found in abundance in fruits and vegetables and may be involved in the
3 positive association of these foods with bone health. This study aimed to explore associations
4 of dietary carotenoid intakes and plasma concentrations with bone density status and
5 osteoporotic fracture risk in a European population. Cross-sectional analyses (n=14,803) of
6 bone density status, using calcaneal broadband ultrasound attenuation (BUA), and
7 longitudinal analyses (n=25,439) of fractures cases were conducted on data from the
8 prospective EPIC-Norfolk cohort of middle-aged and older men and women. Health and
9 lifestyle questionnaires were completed, and dietary nutrient intakes were derived from 7-day
10 food diaries. Multiple regression demonstrated significant positive trends in BUA for women
11 across quintiles of dietary alpha-carotene intake (p=0.029), beta-carotene intake (p=0.003),
12 beta-cryptoxanthin (p=0.031), combined lutein and zeaxanthin (p=0.010), and lycopene
13 (p=0.005). No significant trends across plasma carotenoid concentration quintiles were
14 apparent (n=4,570). Prentice-weighted Cox regression showed no trends in fracture risk
15 across dietary carotenoid intake quintiles (mean follow-up 12.5 years), except for lower risk
16 of wrist fracture for women with higher lutein and zeaxanthin intake (p=0.022); nevertheless,
17 inter-quintile differences in fracture risk were found for both sexes. Analysis of plasma
18 carotenoid data (mean follow-up 11.9 years) showed lower hip fracture risk in men across
19 higher plasma alpha-carotene (p=0.026) and beta-carotene (p=0.027) quintiles. This study
20 provides novel evidence that dietary carotenoid intake is relevant to bone health in men and
21 women, demonstrating that associations with bone density status and fracture risk exist for
22 dietary intake of specific carotenoids and their plasma concentrations.

23

24 INTRODUCTION

25 Nutrition is an important modifiable factor influencing bone health⁽¹⁾, and thus an optimised
26 diet could help reduce age-related osteoporotic bone deterioration and risk of fracture, an
27 increasingly critical issue in our ageing population. The significance of dietary calcium and
28 vitamin D to bone, especially during development, has been well established in the
29 literature⁽²⁾, although the true benefits of supplementation in later life has been subject to
30 recent debate⁽³⁾. Research has now begun to appreciate that other nutrients may be similarly
31 important. In particular, growing evidence supports the importance of micronutrients and
32 antioxidants abundant in fruit and vegetables, including magnesium and potassium⁽⁴⁾, and
33 vitamin C⁽⁵⁾.

34

35 Carotenoids are a class of phytochemicals found in particular abundance in yellow-orange
36 and dark-green leafy vegetables⁽⁶⁾. Their chemical structure contains a conjugated double-
37 bond chain forming a chromophore which confers a specific colour, e.g. yellow (lutein),
38 orange (β -carotene), or red (lycopene), and provides antioxidant properties and potential for
39 energy transfer reactions⁽⁶⁾. They were originally hypothesised to exert their effects on bone
40 via provitamin A activity since Vitamin A, in its active form as retinoic acid, is known to
41 regulate the balance between osteoblastic bone formation and osteoclastic bone resorption,
42 upregulate vitamin D receptors, and have an anabolic effect on bone, except at high doses
43 where it may accelerate bone resorption⁽⁷⁾. However, some carotenoids (lutein, zeaxanthin,
44 and lycopene) do not possess provitamin A activity and thus the positive effect on bone health
45 of non-provitamin A carotenoids supports the concept of a mechanism separate to vitamin A.
46 Reactive oxygen species (ROS) have been shown by *in vitro* experiments, including those
47 using human cell lines, and *in vivo* animal studies to be involved in multiple processes with
48 the potential to adversely affect bone remodelling. These include suppressing osteoblastic
49 differentiation⁽⁸⁾, increasing osteoclastogenesis^(9,10) and osteoclastic differentiation^(10,11), and
50 activating the transcription factor nuclear factor- κ B involved in bone resorption signalling⁽¹¹⁾.
51 Thus the potent independent antioxidant activity of carotenoids has the potential to reduce
52 bone resorption and lower fracture risk⁽¹²⁾. *In vitro* studies suggest that carotenoids may also
53 have direct stimulatory effects on osteoblast proliferation and differentiation^(13,14,15).

54

55 A number of epidemiological studies have investigated links between carotenoids and bone
56 health. There is some evidence of associations between higher specific carotenoid intakes and
57 greater bone density^(16,17,18,19) or lower incidence of hip fractures^(20,21), and that higher plasma

58 carotenoid concentrations are associated with greater bone density⁽²²⁾ and lower risk of
59 developing osteoporosis^(23,24). However, these studies have had limited generalisability due to
60 their focus on discrete population groups with small cohort size, and predominantly non-
61 European participants. The current study thus aimed to explore potential associations of
62 dietary carotenoid intakes and plasma concentrations (α -carotene, β -carotene, β -
63 cryptoxanthin, lutein and zeaxanthin, and lycopene) with bone density status and risk of
64 osteoporotic fractures in a general UK population of middle-aged and older men and women.
65 This was achieved using data from a large prospective cohort and performing cross-sectional
66 analysis of broadband ultrasound attenuation of the heel bone in addition to longitudinal
67 analysis of the occurrence of incident fractures of the hip, spine, and wrist.

68

69 **MATERIALS AND METHODS**

70

71 *Study population*

72 The European Prospective Investigation into Cancer and Nutrition (EPIC) was established as
73 a collaboration involving ten Western Europe countries. EPIC-Norfolk is one of the UK
74 subcohorts, described in detail previously⁽²⁵⁾. A baseline health-check was attended by 25,639
75 free-living men and women aged 39-79 years between 1993 and 1997. A second health-check
76 was attended by 17,304 of the participants aged 42-82 years between 1998 and 2000. The
77 Norfolk District Health Authority Ethics Committee approved all procedures and written
78 informed consent was provided by participants according to the Declaration of Helsinki.

79

80 *Exposure variables*

81 Dietary carotenoids: Daily dietary intakes of α -carotene, β -carotene, β -cryptoxanthin, lutein
82 and zeaxanthin, lycopene, and pre-formed retinol, were estimated from 7-day food diaries
83 using the methodology described below for dietary covariates.

84 Plasma carotenoids: Blood was sampled by peripheral venepuncture at baseline, and plasma
85 fractions with sodium citrate were stored in liquid nitrogen at -196°C until analysed by
86 reversed-phase high-performance liquid chromatography, to determine plasma α -carotene, β -
87 carotene, β -cryptoxanthin, lutein and zeaxanthin, lycopene, and retinol, concentrations⁽²⁶⁾.

88 Correlation between matched dietary and plasma continuous scale variables was assessed by
89 Pearson correlation coefficient.

90

91 *Covariates*

92 At each health-check height and weight were recorded according to standard protocols⁽²⁵⁾, and
93 participants completed a health and lifestyle questionnaire (HLQ). Smoking status was
94 categorised as *current*, *former*, or *never*; family history of osteoporosis was categorised as *yes*
95 or *no*; menopausal status (women only) was categorised as *pre-menopausal*, *peri-menopausal*
96 (*<1 year*), *peri-menopausal (1-5 years)*, or *post-menopausal*; and HRT status (women only)
97 was categorised as *current*, *former*, or *never* users. Physical activity over the preceding 12
98 months was assessed using a questionnaire which placed participants into *inactive*,
99 *moderately inactive*, *moderately active*, and *active* categories by a method validated against
100 heart-rate monitoring data⁽²⁷⁾. A 7-day food diary was used to estimate dietary intake of each
101 participant⁽²⁸⁾; participants recorded the quantity and type of all food, drink, and supplements
102 consumed within a 7-day period. Validation has shown this to be more accurate in estimating
103 dietary nutrient intake than food-frequency questionnaires (FFQ)^(25,29). DINER (Data Into
104 Nutrients for Epidemiological Research) software was used to record the 7-day food diary
105 information⁽³⁰⁾, before further translation of the data for nutrient analysis using DINERMO⁽³¹⁾.
106 All data entries were checked by nutritionists trained in use of the system⁽³¹⁾. The contribution
107 of supplements was quantified using the Vitamin and Mineral Supplement (ViMiS)
108 database⁽³²⁾.

109

110 *Outcome variables*

111 Quantitative ultrasound measurements of the calcaneus (heel bone) were taken at the second
112 health-check using a CUBA (contact ultrasound bone analyser) device (McCue Ultrasonics,
113 Winchester, UK) following standard protocols. Broadband ultrasound attenuation (BUA;
114 dB/MHz) measurements were taken at least in duplicate for each foot of the participant, and
115 the mean of the left and right measures was used for analysis. Each of the five CUBA devices
116 used in the study was calibrated daily with its physical phantom. In addition, calibration
117 between devices was checked monthly using a roving phantom. The coefficient of variation
118 was 3.5%. The CUBA method of bone density assessment has been shown capable of
119 predicting fracture risk⁽³³⁾, and is cheaper and simpler to conduct in general practice settings
120 compared to the gold-standard of Dual X-ray absorptiometry (DXA).

121

122 Fracture incidence data were collected by questionnaire at each health-check, and the East
123 Norfolk Health Authority database (ENCORE) of hospital attendances by Norfolk residents
124 was also available for data linkage to corroborate self-reported data⁽³⁴⁾. Incidence of all

125 osteoporotic fractures in the cohort, up to the end of March 2009, was thus determined by
126 retrieving data using each participant's NHS number and searching for events logged using
127 International Classification of Diseases 9 and 10 diagnostic codes for osteoporotic hip, spine,
128 or wrist fractures (the three most common sites of osteoporotic fracture⁽³⁵⁾).

129

130 *Statistical analysis*

131 The High Performance Computing Cluster supported by the Research and Specialist
132 Computing Support service at the University of East Anglia was used for statistical data
133 analysis with STATA software (v.13; Stata Corp., Texas). Prior study of this population has
134 shown sex differences in age-related changes in bone, with greater deterioration evident in
135 women⁽³³⁾, and thus sex stratification was used in all our analyses. Differences between values
136 of variables for men and women were tested using t-test for continuous or chi-square for
137 categorical variables. Any p-values <0.05 were considered to be statistically significant in
138 individual analyses.

139

140 *Cross-sectional analyses*

141 Cross-sectional analyses were conducted using data taken at the second health-check,
142 combined with dietary or plasma data from the first health-check; 14,803 participants had
143 complete data for diet and ultrasound analyses, and 4,570 had complete data for plasma and
144 ultrasound analyses (see **Fig. 1**). Multivariable adjusted regression with ANCOVA was used
145 to investigate differences in calcaneal BUA across sex-specific dietary intake quintiles of
146 carotenoid or pre-formed retinol. Trend testing was achieved by treating the median values
147 for quintiles as a continuous variable⁽³⁶⁾. Each model was adjusted for important biological,
148 lifestyle, and dietary factors: age, BMI, family history of osteoporosis, menopausal and HRT
149 status in women, corticosteroid use, smoking status, physical activity, calcium intake, total
150 energy intake, and calcium and vitamin D containing supplement use, known to influence
151 BUA in this population^(33,37,38,39,40). To help correct for dietary misreporting, days of food
152 diary completed, and the ratio of energy intake to estimated energy requirement⁽⁴¹⁾, were
153 included in all diet models. A number of different models were also tested for comparison
154 purposes: models using residual adjustment for energy intake⁽⁴²⁾ where we adjusted for energy
155 prior to defining the nutrient quintiles, in place of using unadjusted nutrient quintiles and
156 adding energy as a covariate in the regression model; models including dietary fat or fibre as
157 covariates since evidence suggests these may affect dietary carotenoid absorption⁽⁴³⁾; models
158 including a variable quantifying total fruit and vegetable intake; and models combining food

159 and supplement intakes, since excluding supplements may underestimate total nutrient
160 intake⁽⁴⁴⁾. Least square means for each quintile were calculated for all models. To minimise
161 missing data exclusions, some missing values were recoded: missing menopausal status data
162 (2.8%) as pre-menopausal if <50 y and never-user of HRT, or postmenopausal if >55 y or a
163 current or former HRT user; missing smoking status data (0.7%) as former smokers.
164 Participants missing data for other variables in the multivariable model were excluded. In
165 separate analyses, calcaneal BUA was investigated across sex-specific plasma concentration
166 quintiles of specific carotenoids in a model with the covariates described above, but excluding
167 dietary and supplement use data.

168

169 *Longitudinal analyses*

170 Longitudinal analyses used data from the first health-check together with data of hospital
171 recorded fractures for cohort participants (all cohort hip, spine, and wrist fracture cases up to
172 31st March 2009; follow-up time was calculated as the time between an individual's first
173 health-check and this cut-off date, or death if earlier); data for diet and fracture analyses were
174 available for 25,439 participants, and for plasma and fracture analyses for 7,474 participants
175 (see **Fig. 1**). Prentice-weighted Cox regression was used to investigate associations between
176 incidence of fractures and sex-specific quintiles of specific carotenoid or retinol dietary
177 intakes, or plasma concentrations, using the same adjustments as BUA models. Missing
178 values were treated in the same way as in BUA models. Total risk of hip, spine, or wrist
179 fracture was calculated as the risk of the first occurrence of one of these fractures; this does
180 not consider multiple fractures and therefore the sum of the specific-site fracture incidences
181 does not sum to the total.

182

183 **RESULTS**

184 Selected characteristics are summarised in **Table 1**. The significant differences evident
185 according to sex supports our use of sex-specific model analyses. Mean dietary and
186 supplement derived intakes of specific carotenoids and pre-formed retinol are shown for the
187 study population (α -carotene, β -cryptoxanthin, lutein and zeaxanthin, and lycopene
188 supplement contributions were negligible; individual means ≤ 150 ng/day). However, no UK
189 Reference Nutrient Intake (RNI) values⁽⁴⁵⁾ for carotenoids are currently available for
190 comparison. Retinol plasma concentrations below 10 $\mu\text{g}/\text{dL}$ are considered to indicate severe
191 deficiency; 10 to 20 $\mu\text{g}/\text{dL}$ indicates mild deficiency⁽⁴⁶⁾. Three individuals (0.07%) with

192 plasma carotenoid data in the ultrasound cohort (n=4570) were mildly deficient according to
193 these criteria and one (0.02%) was severely deficient; 11 individuals (0.15%) of the fracture
194 cohort with plasma data (n=7474) were mildly deficient and three (0.04%) were severely
195 deficient.

196

197 *Correlations between dietary carotenoid intakes and plasma concentrations*

198 A number of weak, but significant, correlations were identified between dietary carotenoid
199 intakes and plasma concentrations. Dietary α -carotene intake was significantly correlated
200 with plasma α -carotene concentration in both men ($r=0.497$, $p<0.001$, $n=2355$, ultrasound
201 cohort; $r=0.496$, $p<0.001$, $n=2380$, fracture cohort) and women ($r=0.373$, $p<0.001$, $n=2201$,
202 ultrasound cohort; $r=0.368$, $p<0.001$, $n=2219$, fracture case cohort). Dietary β -carotene intake
203 was significantly correlated with plasma β -carotene concentration in both men ($r=0.311$,
204 $p<0.001$, $n=2355$, ultrasound cohort; $r=0.311$, $p<0.001$, $n=2380$, fracture cohort) and women
205 ($r=0.280$, $p<0.001$, $n=2201$, ultrasound cohort; $r=0.275$, $p<0.001$, $n=2219$, fracture case
206 cohort). Dietary β -cryptoxanthin intake was significantly correlated with plasma β -
207 cryptoxanthin concentration in both men ($r=0.395$, $p<0.001$, $n=2355$, ultrasound cohort;
208 $r=0.397$, $p<0.001$, $n=2380$, fracture cohort) and women ($r=0.390$, $p<0.001$, $n=2201$,
209 ultrasound cohort; $r=0.388$, $p<0.001$, $n=2219$, fracture case cohort). Dietary lutein and
210 zeaxanthin intake was significantly correlated with plasma lutein and zeaxanthin
211 concentration in both men ($r=0.211$, $p<0.001$, $n=2355$, ultrasound cohort; $r=0.212$, $p<0.001$,
212 $n=2380$, fracture cohort) and women ($r=0.214$, $p<0.001$, $n=2201$, ultrasound cohort; $r=0.212$,
213 $p<0.001$, $n=2219$, fracture cohort). Dietary lycopene intake was significantly correlated with
214 plasma lycopene concentration in both men ($r=0.275$, $p<0.001$, $n=2355$, ultrasound cohort;
215 $r=0.279$, $p<0.001$, $n=2380$, fracture cohort) and women ($r=0.294$, $p<0.001$, $n=2201$,
216 ultrasound cohort; $r=0.293$, $p<0.001$, $n=2219$, fracture cohort). Pre-formed dietary retinol
217 intake was not significantly correlated with plasma retinol concentration in either men
218 ($r=0.039$, $p=0.056$, $n=2355$, ultrasound cohort; $r=0.038$, $p=0.062$, $n=2380$, fracture cohort) or
219 women ($r=0.013$, $p=0.539$, $n=2201$, ultrasound cohort; $r=0.014$, $p=0.516$, $n=2219$, fracture
220 cohort).

221

222 *Associations between dietary carotenoid intakes and bone density*

223 Mean calcaneal BUA values stratified by sex and quintiles of specific dietary carotenoid or
224 pre-formed retinol intakes are shown in **Fig. 2** for the fully adjusted model (unadjusted data

225 are shown in **Supplementary Table 1**). In women, significant positive linear trends were
226 apparent across quintiles of α -carotene intake ($p=0.029$), β -carotene intake ($p=0.003$), β -
227 cryptoxanthin intake ($p=0.031$), combined lutein and zeaxanthin intakes ($p=0.010$), and
228 lycopene intake ($p=0.005$), for fully adjusted BUA; a significant negative trend was apparent
229 across retinol intake quintiles ($p=0.037$). Individual significant differences in fully adjusted
230 BUA in quintiles vs. quintile 1 were also identified for women for quintiles 3 (1.5% higher;
231 $n=1662$, $p=0.023$) and 5 (2.3% higher; $n=1662$, $p=0.001$) for β -carotene intake; and quintiles
232 4 (1.8% higher; $n=1663$, $p=0.007$) and 5 (1.7% higher; $n=1662$, $p=0.011$) for combined lutein
233 and zeaxanthin intake (see Fig. 2). The associations described between BUA and carotenoid
234 intake were no different when food and supplement contributions were combined in the
235 model, except that with the combined intake data no trend in BUA across retinol quintiles was
236 evident.

237

238 *Associations between plasma carotenoid concentrations and bone density*

239 Analysis of bone density measures according to plasma carotenoid concentration quintiles,
240 adjusting for all covariates previously described, with the exception of dietary factors, showed
241 no significant linear trends in BUA for either men or women (see **Fig. 3**). Nevertheless, a
242 significant difference in fully adjusted BUA was identified for men between quintile 2 and
243 quintile 1 for plasma lutein and zeaxanthin (3.2% higher; $n=473$, $p=0.015$). Unadjusted data
244 are shown in **Supplementary Table 2**.

245

246 *Associations between dietary carotenoid intakes and fracture risk*

247 Fully adjusted total risk of hip, spine, or wrist fractures showed a significant negative linear
248 association in men with quintiles of dietary α -carotene ($n=11510$, $p=0.040$) and β -carotene
249 ($n=11510$, $p=0.044$) intake. A significant negative trend was also present in women for the
250 association between wrist fracture risk and lutein and zeaxanthin intake quintiles ($n=13929$,
251 $p=0.022$). **Table 2** shows all trend p values and quintile 1 vs. 5 comparisons. In men, total hip,
252 spine, and wrist fracture risk was lower in α -carotene intake quintile 5 vs. quintile 1 (0.71 (95%
253 CI: 0.53, 0.95); $p=0.020$); and hip fracture risk was lower in α -carotene intake quintile 3 vs.
254 quintile 1 (0.64 (95% CI: 0.42, 0.99); $p=0.046$), and β -cryptoxanthin intake quintile 5 vs.
255 quintile 1 (0.65 (95% CI: 0.42, 0.99); $p=0.046$). In women, hip fracture risk was lower in
256 lutein and zeaxanthin quintile 4 vs. quintile 1, (0.75 (95% CI: 0.58, 0.98); $p=0.032$). A
257 negative linear association was evident across pre-formed retinol intake quintiles for wrist

258 fracture risk (n=11510, p=0.005) in men. Also in men, compared to dietary retinol quintile 1
259 total fracture risk was lower in quintile 5 (0.71 (95% CI: 0.52, 0.97); p=0.033); wrist fracture
260 risk was lower in quintile 4 (0.44 (95% CI: 0.24, 0.81); p=0.008) and quintile 5 (0.33 (95% CI:
261 0.17, 0.65); p=0.001); and spine fracture risk was lower in quintile 3 (0.56 (95% CI: 0.33,
262 0.96); p=0.033).

263

264 The associations between carotenoid intakes and fracture risk were no different when food
265 and supplement contributions were combined in the model. However, pre-formed retinol
266 analyses showed a number of differences when supplements were included. There was no
267 significant difference in total fracture risk in men between retinol quintile 1 and 5 with the
268 combined intake data, although the differences in risk between quintile 2 and quintile 1 (0.67
269 (95% CI: 0.50, 0.90); p=0.008) and quintile 3 and quintile 1 (0.72 (95% CI: 0.53, 0.96);
270 p=0.028) were significant. Other significant retinol inter-quintile differences, in addition to
271 those found in diet only analyses, were: wrist fracture risk for men in quintile 3 vs. quintile 1
272 (0.37 (95% CI: 0.20, 0.69); p=0.002); spine fracture risk for men in quintile 2 (0.31 (95% CI:
273 0.17, 0.56); p=0.048), quintile 4 (0.59 (95% CI: 0.36, 0.96); p=0.036), and quintile 5 (0.54
274 (95% CI: 0.30, 0.97); p=0.040) vs. quintile 1; and wrist fracture risk for women in quintile 5
275 vs. quintile 1 (0.64 (95% CI: 0.43, 0.96); p=0.031).

276

277 *Associations between plasma carotenoid intakes and fracture risk*

278 In men, but not women, there was a significant linear trend for lower hip fracture risk across
279 plasma α -carotene quintiles (p=0.026) and plasma β -carotene quintiles (p=0.027) (see **Table**
280 **3**). In women, fracture risk was significantly lower in α -carotene quintile 3 than quintile 1 in
281 the fully adjusted model for both total fracture (0.70 (95% CI: 0.50, 0.96); p=0.028) and hip
282 fracture (0.63 (95% CI: 0.41, 0.97); p=0.035); hip fracture risk in women was also lower in
283 plasma retinol quintile 4 vs. quintile 1 (0.64 (95% CI: 0.41, 0.99); p=0.044).

284

285 **DISCUSSION**

286 This study has shown significant associations between dietary carotenoid intake and a
287 quantitative measure of bone density exist in a UK population cohort, after adjustment for
288 important biological, lifestyle and other dietary covariates. In women, dietary intake quintiles
289 of dietary α -carotene, β -carotene, β -cryptoxanthin, combined lutein and zeaxanthin, and
290 lycopene were all positively linearly associated with calcaneal BUA, such that individuals

291 with higher intake of each of these carotenoids had higher BUA measurements; pre-formed
292 retinol was negatively associated. Significant associations of BUA with quintiles of plasma
293 carotenoid concentration were much more limited, with no significant trends apparent, and
294 only a single inter-quintile association evident for lutein and zeaxanthin in men. Nevertheless,
295 the magnitude of the effects seen with the dietary analyses is highly relevant to bone health⁽³³⁾,
296 for example the difference between the median β -carotene intakes in quintiles 5 and 1 for
297 women (3462 and 792 $\mu\text{g}/\text{day}$) could be accounted for by the additional intake of just one
298 small carrot and yet is associated with 2.3% greater BUA. Moreover, this study included
299 longitudinal analysis of the risk of osteoporotic fracture, demonstrating significant linear
300 trends for lower risk of wrist fracture across dietary retinol quintiles in men and dietary lutein
301 and zeaxanthin quintiles in women, and lower hip fracture risk across plasma α - and β -
302 carotene concentration quintiles in men. A number of significant differences in fracture risk
303 were also shown between individual quintiles of dietary carotenoid intake or plasma
304 concentration. These include lower total hip, spine, and wrist fracture risk in the highest
305 versus lowest intake quintiles of dietary α -carotene in men, as well as lower hip fracture risk
306 in the highest β -cryptoxanthin intake quintile in men and with higher lutein and zeaxanthin
307 intake in women. This study is to our knowledge the first comprehensive epidemiological
308 analysis of the relevance of specific dietary and plasma carotenoids with bone density status
309 and risk of osteoporotic fractures in a large European mixed-sex cohort. The findings thus
310 provide an important advance to the current research evidence.

311

312 Inclusion of a variable quantifying total fruit and vegetable intake in our regression models
313 caused an attenuation of the associations of carotenoids with BUA (data not shown),
314 suggesting potential effects of other components in fruits and vegetables in addition to
315 carotenoids. However, despite this attenuation, the associations of carotenoids with BUA
316 remained significant, indicating that the effects of carotenoids independent of total fruit and
317 vegetable consumption are important. The mechanisms by which carotenoids may influence
318 bone metabolism are not fully understood, although a number of theories have been proposed.
319 Some, but not all carotenoids have pro-vitamin A activity and therefore may have effects on
320 bone health via this mediator⁽⁷⁾, all have antioxidant activity likely to be protective of bone⁽¹²⁾,
321 and members of the carotenoid family have also been shown experimentally to have direct
322 stimulatory effects on osteoblast proliferation and differentiation at physiologically relevant
323 concentrations⁽²⁰⁾.

324

325 Our results suggest that the effects on bone health may differ for specific carotenoids, a
326 situation also evident in previous carotenoid research^(16,17,21). In the Framingham Osteoporosis
327 Study, participants had lower risk of hip fracture or non-vertebral fracture if they were in the
328 highest tertile of total carotenoid or lycopene intake, respectively, but no associations were
329 evident for α - or β -carotene, β -cryptoxanthin, or lutein and zeaxanthin⁽²¹⁾. It is possible that
330 this occurrence may be due to differing ranges and magnitude of intakes for different
331 carotenoids. Indeed, specific carotenoids are found in differing concentrations in different
332 fruits and vegetables: unpublished composition analysis conducted for the EPIC-Norfolk
333 cohort showed α -carotene predominantly sourced from root vegetables, especially carrots (65%
334 of total); β -carotene also sourced significantly from carrots (35%) and other root, dark green
335 leafy, and fruiting vegetables; β -cryptoxanthin from citrus fruits, mainly oranges; lutein
336 mainly from peas (16%), with broccoli, cabbages, and other leafy vegetables providing
337 approximately 10% each; zeaxanthin mostly from citrus fruits (19% from oranges), apples
338 (>10%), and green leafy and fruiting vegetables; and lycopene from fruiting vegetables,
339 mainly tomatoes (35%) and tinned beans in tomato sauce (15%). However, it is also possible
340 that underlying mechanisms of action may be different and more potent for some carotenoids
341 compared to others. We know that all carotenoids are capable of antioxidant activity with
342 potential to counter the negative influence of oxidative stress on bone health⁽¹²⁾, but others,
343 for example β -cryptoxanthin⁽⁷⁾, have been shown to have direct effects on bone metabolism.
344 The fact that differing magnitudes of effects appear to exist leads us to speculate that the
345 universal antioxidant activity may not be the dominant mechanism for all carotenoids.
346 Another factor is the potential for differential absorption which may affect interpretation, but
347 makes the plasma data presented in this study particularly useful. Indeed, although low serum
348 concentrations of α - and β -carotene, lycopene, β -cryptoxanthin, and zeaxanthin have been
349 demonstrated in a study of Italian women with osteoporosis, and likewise for lycopene and β -
350 cryptoxanthin in US women⁽¹²⁾, only one small Japanese study has been published detailing a
351 longitudinal analysis of serum carotenoids and bone health, observing lower risk of
352 osteoporosis development with higher serum β -carotene and β -cryptoxanthin⁽²³⁾.

353

354 Our findings showed correlation between dietary intakes of carotenoids and their plasma
355 concentrations, corroborating previous studies^(47,48). The relatively weak nature of these
356 correlations has also been noted previously and attributed to various influences including

357 seasonality, obesity, and day to day variation in an individual's dietary intake and plasma
358 concentrations⁽⁴⁹⁾. No correlation was identified for dietary retinol intake and plasma retinol
359 concentration. Between extremes of severe deficiency and excess, plasma retinol is tightly
360 homeostatically controlled⁽⁵⁰⁾ which could explain the lack of correlation with dietary intake
361 in our data⁽⁴⁴⁾. Our results for bone density status in women confirm the detrimental effects of
362 higher dietary vitamin A retinol-equivalent intakes reported elsewhere⁽⁵¹⁾, and although not
363 directly replicated in associations of diet and fracture risk, plasma retinol data corroborates
364 this with a lower comparative risk of fracture in quintile 4 vs. 1 than quintile 5 vs. 1.

365

366 *Strengths and Limitations*

367 This study provides important observational evidence of associations between specific
368 carotenoid dietary intakes or plasma concentrations and bone health, in the largest European
369 study on this subject to date. Nevertheless, we were limited in the data available for analysis.
370 In particular, plasma carotenoid data was only available for a smaller subset of the full cohort
371 which may have reduced the power of our analyses. In terms of anthropometric indices, blood
372 pressure and blood lipids, the EPIC-Norfolk cohort is representative of the UK population⁽²⁵⁾.
373 We acknowledge that hospital admission data may underestimate fracture incidence,
374 particularly of spine fractures, and this could differ by sex. Furthermore, record linkage used
375 to determine fracture cases precluded the ability to discriminate between low and high trauma
376 fractures. The influence of this on our findings is expected to be small, as the proportion of
377 high trauma fracture cases in this demographic group is likely to be low⁽⁵²⁾. It is an advantage
378 of our study that data for both sexes were analysed since different effects were evident in men
379 and women, a situation often apparent in bone health. For example, data from a Chinese
380 cohort study showed that total carotenoid and α - or β -carotene and lutein/zeaxanthin were all
381 inversely associated with hip fracture risk in men, but no significant associations were
382 identified for women⁽²⁰⁾. Our data similarly shows the strongest associations for fracture risk
383 in men, although the ultrasound data is conversely more significant in women. Sex
384 differences in fruit and vegetable consumption or reporting may be responsible for differences
385 in the associations with bone identified here and in previous studies⁽⁵³⁾, although since
386 carotenoids are fat-soluble the different adiposity of men and women could also influence
387 their bioavailability and effects.

388

389 Accurate estimation of dietary nutrient intake is critical to the validity of the findings of this
390 type of study. The quantitative 7-day food diary method used here has been validated

391 previously and is expected to provide more precise dietary intake figures compared to FFQs
392 or 24-hour recall methods⁽³¹⁾. Dietary and lifestyle data used in longitudinal analyses were
393 collected at baseline and thus variation in food consumption and lifestyle behaviours could
394 have influenced our findings. We have focused our attention on models using nutrient
395 composition data from food intake only, thus potentially underestimate total nutrient intakes
396 including supplements. Carotenoids from supplements have been suggested to have greater
397 bioavailability than those derived from foods and thus may make an important contribution to
398 plasma carotenoid concentrations⁽⁶⁾. In this cohort, no fundamental differences were apparent
399 between models combining food and supplement contributions and those using food
400 contributions only, although some additional inter-quintile differences in fracture risk were
401 apparent for pre-formed retinol analyses when supplements were included, a likely result of
402 extension of the upper intake range. Previous studies have shown absorption of carotenoids is
403 positively associated with dietary lipid intake, in particular monounsaturated fatty acids, and
404 may also be affected by dietary fibre⁽⁴³⁾. However, in our dietary BUA model, the effect of
405 inclusion of dietary fat or fibre was minimal (data not shown). Food preparation may also
406 affect carotenoid stability, which combined with food carotenoid content variability due to
407 cultivation practices, season, and ripening status⁽⁶⁾ may have reduced the accuracy of
408 carotenoid intake estimations from the food diaries used in this study. In addition to the direct
409 influence of dietary carotenoid intake, plasma carotenoid concentrations are influenced by the
410 rate of uptake into, and efflux from, other tissues⁽⁵⁴⁾. Inter-individual variability in these
411 processes may thus make plasma concentrations less reliable as a biomarker of dietary intake
412 and may partly explain the discrepancies between diet and plasma results presented here.
413 Indeed it has been suggested that adipose tissue concentrations are likely to give a better
414 indication of long-term carotenoid status^(55,56). Metabolism and absorption of carotenoids and
415 thus their measurable plasma concentrations may also be influenced by other physiological or
416 lifestyle factors, including inflammatory profile⁽⁵⁷⁾, adiposity⁽⁵⁸⁾, and smoking⁽⁵⁹⁾.
417 Inflammatory profile may be particularly relevant to the cohort analysed here, since chronic
418 low-grade inflammation is common in older populations, and thus should be investigated by
419 future studies with reference to bone health.

420

421 **Conclusions**

422 This study has shown positive associations of dietary intake and plasma concentration of
423 specific carotenoids with a quantitative ultrasound measure of bone density status and lower
424 fracture risk in a general population group. The results are insufficiently consistent to make

425 definitive conclusions, but are nevertheless supportive of the hypothesis that dietary intakes of
426 fruit and vegetables rich in carotenoids and other antioxidants are beneficial to adult bone,
427 which once confirmed by clinical trial may provide a valuable approach for public health
428 strategies to improve bone health in our ageing population.

429

430 **Acknowledgements**

431 The authors thank the EPIC staff and the participants enrolled in the study.

432

433 **Financial Support**

434 The EPIC-Norfolk study received grants from the Medical Research Council (G9502233) and
435 Cancer Research UK (SP2024-0201 and SP2024-0204).

436

437 **Conflict of Interest**

438 None.

439

440 **Authorship**

441 AAW developed the research question with RPGH who analysed the data and drafted the
442 manuscript. AAW also arranged data collection in conjunction with RNL, who implemented
443 record linkage. MAHL and AAM prepared dietary and supplement data. K-TK is principal
444 investigator of the EPIC-Norfolk Study. All authors contributed to data interpretation, review
445 of the manuscript and its approval.

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Fig. 1 – Study population flowchart.

Fig. 2 – Fully adjusted calcaneal BUA of 6490 men and 8313 women from the EPIC-Norfolk cohort, stratified by sex and dietary intake quintiles of specific carotenoids or retinol.

Full Model: age, BMI, family history of osteoporosis, menopausal and HRT status in women, corticosteroid use, smoking status, physical activity, calcium intake, total energy intake, calcium and vitamin D containing supplement use, days of food diary completed, and the ratio of energy intake to estimated energy requirement.

Retinol as pre-formed intake only.

Data plotted as mean \pm SD. * = P value <0.05 vs. Quintile 1; ** = P value <0.01, according to ANCOVA.

α -carotene intake (mean \pm SD; $\mu\text{g/day}$) per quintile (Q). *Men:* mean, 406 \pm 363; Q1, 40 \pm 36; Q2, 188 \pm 41; Q3, 339 \pm 46; Q4, 515 \pm 60; Q5, 948 \pm 399. *Women:* mean, 403 \pm 356; Q1, 50 \pm 40; Q2, 196 \pm 40; Q3, 337 \pm 44; Q4, 509 \pm 60; Q5, 922 \pm 416.

β -carotene intake (mean \pm SD; $\mu\text{g/day}$) per quintile (Q). *Men:* mean, 2069 \pm 1207; Q1, 757 \pm 254; Q2, 1366 \pm 146; Q3, 1871 \pm 150; Q4, 2472 \pm 212; Q5, 3877 \pm 1199. *Women:* mean, 2036 \pm 1206; Q1, 758 \pm 247; Q2, 1352 \pm 139; Q3, 1832 \pm 142; Q4, 2428 \pm 206; Q5, 3813 \pm 1294.

β -cryptoxanthin intake (mean \pm SD; $\mu\text{g/day}$) per quintile (Q). *Men:* mean, 406 \pm 569; Q1, 15 \pm 9; Q2, 56 \pm 17; Q3, 168 \pm 52; Q4, 447 \pm 123; Q5, 1343 \pm 622. *Women:* mean, 455 \pm 570; Q1, 25 \pm 13; Q2, 89 \pm 29; Q3, 243 \pm 61; Q4, 540 \pm 124; Q5, 1380 \pm 613.

Lutein and zeaxanthin intake (mean \pm SD; $\mu\text{g/day}$) per quintile (Q). *Men:* mean, 1095 \pm 870; Q1, 334 \pm 127; Q2, 642 \pm 72; Q3, 899 \pm 80; Q4, 1244 \pm 130; Q5, 2355 \pm 1144. *Women:* mean, 1136 \pm 930; Q1, 363 \pm 123; Q2, 659 \pm 71; Q3, 915 \pm 80; Q4, 1263 \pm 132; Q5, 2482 \pm 1256.

Lycopene intake (mean \pm SD; $\mu\text{g/day}$) per quintile (Q). *Men:* mean, 1428 \pm 1671; Q1, 126 \pm 117; Q2, 556 \pm 121; Q3, 1028 \pm 160; Q4, 1693 \pm 242; Q5, 3735 \pm 2416. *Women:* mean, 1289 \pm 1365; Q1, 147 \pm 116; Q2, 524 \pm 104; Q3, 932 \pm 134; Q4, 1546 \pm 233; Q5, 3297 \pm 1764.

Retinol intake (mean \pm SD; $\mu\text{g/day}$) per quintile (Q). *Men:* mean, 773 \pm 1297; Q1, 177 \pm 52; Q2, 295 \pm 29; Q3, 403 \pm 35; Q4, 561 \pm 68; Q5, 2431 \pm 2212. *Women:* mean, 622 \pm 1159; Q1, 138 \pm 41; Q2, 233 \pm 22; Q3, 309 \pm 25; Q4, 425 \pm 45; Q5, 2004 \pm 2069.

Fig. 3 – Fully adjusted calcaneal BUA of 2362 men and 2208 women from the EPIC-Norfolk cohort, stratified by sex and plasma concentration quintiles of specific carotenoids or retinol.

Full Model: age, BMI, smoking status, physical activity, family history of osteoporosis, menopausal and HRT status in women, and corticosteroid use.

Data plotted as mean \pm SD. * = P value <0.05 vs. Quintile 1, according to ANCOVA.

α -carotene (mean \pm SD; $\mu\text{g/dL}$) per quintile (Q). *Men:* mean, 7.7 ± 5.7 ; Q1, 2.5 ± 0.8 ; Q2, 4.6 ± 0.5 ; Q3, 6.5 ± 0.6 ; Q4, 8.9 ± 0.9 ; Q5, 16.0 ± 7.3 . *Women:* mean, 10.2 ± 6.9 ; Q1, 3.5 ± 1.1 ; Q2, 6.1 ± 0.6 ; Q3, 8.5 ± 0.8 ; Q4, 12.0 ± 1.2 ; Q5, 20.8 ± 7.2 .

β -carotene (mean \pm SD; $\mu\text{g/dL}$) per quintile (Q). *Men:* mean, 20.0 ± 12.4 ; Q1, 7.9 ± 2.1 ; Q2, 12.9 ± 1.2 ; Q3, 17.4 ± 1.5 ; Q4, 23.5 ± 2.1 ; Q5, 38.3 ± 14.1 . *Women:* mean, 26.7 ± 16.2 ; Q1, 10.7 ± 2.8 ; Q2, 17.4 ± 1.6 ; Q3, 23.4 ± 1.7 ; Q4, 31.0 ± 2.6 ; Q5, 50.9 ± 18.3 .

β -cryptoxanthin (mean \pm SD; $\mu\text{g/dL}$) per quintile (Q). *Men:* mean, 7.6 ± 6.1 ; Q1, 2.2 ± 0.7 ; Q2, 4.0 ± 0.5 ; Q3, 6.0 ± 0.6 ; Q4, 8.8 ± 1.0 ; Q5, 17.0 ± 7.0 . *Women:* mean, 10.8 ± 8.6 ; Q1, 3.2 ± 0.9 ; Q2, 5.7 ± 0.7 ; Q3, 8.5 ± 0.9 ; Q4, 12.5 ± 1.6 ; Q5, 23.9 ± 10.3 .

Lutein & zeaxanthin (mean \pm SD; $\mu\text{g/dL}$) per quintile (Q). *Men:* mean, 19.8 ± 8.5 ; Q1, 10.5 ± 2.0 ; Q2, 14.9 ± 1.0 ; Q3, 18.2 ± 1.0 ; Q4, 22.8 ± 1.6 ; Q5, 32.8 ± 7.8 . *Women:* mean, 21.1 ± 9.4 ; Q1, 11.0 ± 2.0 ; Q2, 15.5 ± 1.1 ; Q3, 19.5 ± 1.1 ; Q4, 24.0 ± 1.6 ; Q5, 35.5 ± 8.9 .

Lycopene (mean \pm SD; $\mu\text{g/dL}$) per quintile (Q). *Men:* mean, 30.0 ± 17.7 ; Q1, 10.3 ± 3.5 ; Q2, 19.0 ± 2.1 ; Q3, 26.7 ± 2.4 ; Q4, 36.6 ± 3.5 ; Q5, 57.5 ± 14.4 . *Women:* mean, 32.0 ± 18.3 ; Q1, 10.9 ± 3.5 ; Q2, 20.4 ± 2.4 ; Q3, 28.9 ± 2.6 ; Q4, 39.4 ± 3.6 ; Q5, 60.3 ± 14.0 .

Retinol (mean \pm SD; $\mu\text{g/dL}$) per quintile (Q). *Men:* mean, 52.8 ± 12.2 ; Q1, 37.9 ± 4.8 ; Q2, 46.1 ± 1.7 ; Q3, 51.4 ± 1.7 ; Q4, 57.7 ± 2.1 ; Q5, 70.8 ± 9.6 . *Women:* mean, 49.7 ± 12.0 ; Q1, 35.0 ± 3.9 ; Q2, 43.1 ± 1.6 ; Q3, 48.5 ± 1.6 ; Q4, 54.6 ± 1.9 ; Q5, 67.4 ± 9.6 .

Table 1 – Selected characteristics of the ultrasound analysis cohort (n=14803) and the fracture cohort (n=25,439) from EPIC-Norfolk, stratified by sex.

Selected Characteristics	Ultrasound cohort ^a			Fracture cohort ^b		
	Men n=6490	Women n=8313	P ^c	Men n=11510	Women n=13929	P ^c
Age (years)	62.9 \pm 9.0	61.6 \pm 9.0	<0.001	59.7 \pm 9.3	58.9 \pm 9.3	<0.001
BMI (kg/m ²)	26.9 \pm 3.3	26.5 \pm 4.4	<0.001	26.5 \pm 3.3	26.2 \pm 4.3	<0.001
BUA (dB/MHz)	90.1 \pm 17.5	72.1 \pm 16.5	<0.001	--	--	

Dietary derived intake						
Alpha-carotene (µg/day)	406 ± 363	403 ± 356	0.601	390 ± 366	389 ± 387	0.862
Beta-carotene (µg/day)	2069 ± 1207	2036 ± 1206	0.108	1988 ± 1220	1958 ± 1291	0.061
Beta-cryptoxanthin (µg/day)	406 ± 569	455 ± 570	<0.001	378 ± 574	426 ± 557	<0.001
Lutein & zeaxanthin (µg/day)	1095 ± 870	1136 ± 930	0.006	1048 ± 884	1087 ± 1013	0.001
Lycopene (µg/day)	1428 ± 1671	1289 ± 1365	<0.001	1385 ± 1750	1238 ± 1470	0.001
Retinol ^d (µg/day)	773 ± 1297	622 ± 1159	<0.001	780 ± 1571	610 ± 1239	<0.001
Calcium intake (mg/day)	942 ± 289	784 ± 243	<0.001	919 ± 298	766 ± 249	<0.001
Total energy intake (kcal/day)	2285 ± 502	1731 ± 379	<0.001	2240 ± 527	1694 ± 395	<0.001
Supplement derived intake						
Beta-carotene (µg/day)	39 ± 673	68 ± 833	0.023	41 ± 706	65 ± 804	0.012
Retinol (µg/day)	202 ± 402	256 ± 421	<0.001	180 ± 383	238 ± 417	<0.001
Ca containing supplement use	102 (1.6)	505 (6.1)	<0.001	165 (1.4)	746 (5.4)	<0.001
VitD containing supplement use	1621 (25.0)	2773 (33.4)	<0.001	2570 (22.3)	4273 (30.7)	<0.001
Plasma concentration						
Alpha-carotene (µg/dL)	7.7 ± 5.7 ^e	10.2 ± 6.9 ^f	<0.001	7.2 ± 5.6 ^g	9.7 ± 7.4 ^h	<0.001
Beta-carotene (µg/dL)	20.0 ± 12.4 ^e	26.7 ± 16.2 ^f	<0.001	19.2 ± 12.0 ^g	25.7 ± 16.1 ^h	<0.001
Beta-cryptoxanthin (µg/dL)	7.6 ± 6.1 ^e	10.8 ± 8.6 ^f	<0.001	7.2 ± 5.9 ^g	10.5 ± 9.0 ^h	<0.001
Lutein & zeaxanthin (µg/dL)	19.8 ± 8.5 ^e	21.1 ± 9.4 ^f	<0.001	19.2 ± 8.5 ^g	20.9 ± 9.6 ^h	<0.001
Lycopene (µg/dL)	30.0 ± 17.7 ^e	32.0 ± 18.3 ^f	<0.001	29.0 ± 19.6 ^g	30.7 ± 18.4 ^h	<0.001
Retinol (µg/dL)	52.8 ± 12.2 ^e	49.7 ± 12.0 ^f	<0.001	52.5 ± 12.8 ^g	50.1 ± 12.7 ^h	<0.001
Smoking			<0.001			<0.001
Current	555 (8.6)	721 (8.7)		1471 (12.8)	1691 (12.1)	
Former	3609 (55.6)	2697 (32.4)		6233 (54.2)	4446 (31.9)	
Never	2326 (35.8)	4895 (58.9)		3806 (33.1)	7792 (55.9)	
Physical activity			<0.001			<0.001
Inactive	1792 (27.6)	2188 (26.3)		3549 (30.8)	4232 (30.4)	
Moderately inactive	1626 (25.1)	2714 (32.6)		2833 (24.6)	4469 (32.1)	
Moderately active	1615 (24.9)	1990 (23.9)		2650 (23.0)	3096 (22.2)	
Active	1457 (22.5)	1421 (17.1)		2478 (21.5)	2132 (15.3)	
Family history of osteoporosis			0.001			0.001
No	6313 (97.3)	7792 (93.7)		11203 (97.3)	13120 (96.6)	
Yes	177 (2.7)	521 (6.3)		307 (2.7)	809 (3.4)	
Corticosteroid use			0.391			0.077
Current or former (>3 months)	272 (4.2)	426 (5.1)		351 (3.0)	480 (3.4)	
Never (<3 months)	6218 (95.8)	7887 (94.9)		11159 (97.0)	13449 (96.6)	
Menopausal status						
Pre-menopausal	--	484 (5.8)		--	2342 (16.8)	
Peri-menopausal (<1 y)	--	272 (3.3)		--	754 (5.4)	
Peri-menopausal (1-5 y)	--	1461 (17.6)		--	2494 (17.9)	
Post-menopausal	--	6096 (73.3)		--	8339 (59.9)	

HRT				
Current	--	1764 (21.2)	--	2824 (20.3)
Former	--	1490 (17.9)	--	1582 (11.4)
Never	--	5059 (60.9)	--	9523 (68.4)

^aUltrasound group characteristics at 2nd health-check (time of ultrasound). ^bFracture group characteristics at 1st health-check or time of consent. ^cDifferences between men and women using t-test for continuous or chi-square for categorical variables. ^dRetinol as pre-formed intake only. ^en=2362. ^fn=2208. ^gn=3817. ^hn=3657. Values are mean \pm SD or frequency (percentage).

Table 2 – Risk of hip, spine, and wrist fractures in the EPIC-Norfolk cohort population at follow-up *versus* baseline, stratified by sex and dietary intake quintiles of specific carotenoids or retinol (Prentice-weighted Cox proportional hazard ratio and 95% CI, quintile 1 as reference).

Men		Fracture incidence and risk							
		Total fractures		Hip fracture		Spine fracture		Wrist fracture	
(µg/day)		Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio
Alpha-carotene intake	Q1	111/2302	1.00 (ref)	57/2302	1.00 (ref)	33/2302	1.00 (ref)	28/2302	1.00 (ref)
(µg/day)	Q5	85/2302	0.71 (0.53-0.95)*	44/2302	0.71 (0.47-1.06)	22/2302	0.61 (0.35-1.07)	23/2302	0.79 (0.45-1.40)
	Total	467/11510	<i>P trend = 0.040</i>	228/11510	<i>P trend = 0.111</i>	149/11510	<i>P trend = 0.096</i>	115/11510	<i>P trend = 0.730</i>
Beta-carotene intake	Q1	103/2302	1.00 (ref)	55/2302	1.00 (ref)	31/2302	1.00 (ref)	23/2302	1.00 (ref)
(µg/day)	Q5	85/2302	0.77 (0.57-1.03)	39/2302	0.70 (0.46-1.07)	27/2302	0.78 (0.46-1.33)	25/2302	0.93 (0.52-1.68)
	Total	467/11510	<i>P trend = 0.044</i>	228/11510	<i>P trend = 0.181</i>	149/11510	<i>P trend = 0.132</i>	115/11510	<i>P trend = 0.540</i>
Beta-cryptoxanthin intake	Q1	102/2302	1.00 (ref)	59/2302	1.00 (ref)	22/2302	1.00 (ref)	25/2302	1.00 (ref)
(µg/day)	Q5	79/2302	0.80 (0.59-1.08)	36/2302	0.65 (0.42-0.99)*	29/2302	1.38 (0.78-2.44)	18/2302	0.69 (0.37-1.28)
	Total	467/11510	<i>P trend = 0.115</i>	228/11510	<i>P trend = 0.190</i>	149/11510	<i>P trend = 0.846</i>	115/11510	<i>P trend = 0.088</i>
Lutein & zeaxanthin	Q1	96/2302	1.00 (ref)	48/2302	1.00 (ref)	31/2302	1.00 (ref)	26/2302	1.00 (ref)
(µg/day)	Q5	81/2302	0.82 (0.61-1.12)	41/2302	0.90 (0.56-1.38)	25/2302	0.74 (0.43-1.27)	20/2302	0.70 (0.39-1.27)
	Total	467/11510	<i>P trend = 0.143</i>	228/11510	<i>P trend = 0.929</i>	149/11510	<i>P trend = 0.131</i>	115/2302	<i>P trend = 0.230</i>
Lycopene	Q1	109/2303	1.00 (ref)	61/2303	1.00 (ref)	33/2303	1.00 (ref)	23/2303	1.00 (ref)
(µg/day)	Q5	69/2302	0.79 (0.58-1.07)	35/2302	0.85 (0.56-1.31)	19/2302	0.67 (0.38-1.20)	19/2302	0.83 (0.44-1.56)
	Total	467/11510	<i>P trend = 0.137</i>	228/11510	<i>P trend = 0.386</i>	149/11510	<i>P trend = 0.298</i>	115/11510	<i>P trend = 0.552</i>
Retinol	Q1	105/2302	1.00 (ref)	41/2302	1.00 (ref)	40/2302	1.00 (ref)	29/2302	1.00 (ref)
(µg/day)	Q5	467/11510	0.71 (0.52-0.97)*	44/2302	1.11 (0.70-1.77)	28/2302	0.61 (0.36-1.05)	16/2302	0.33 (0.17-0.65)**
	Total	260/6538	<i>P trend = 0.106</i>	228/11510	<i>P trend = 0.966</i>	149/11510	<i>P trend = 0.404</i>	115/11510	<i>P trend = 0.005</i>

Women

Alpha-carotene intake (µg/day)	Q1	233/2786	1.00 (ref)	142/2786	1.00 (ref)	42/2786	1.00 (ref)	73/2786	1.00 (ref)
	Q5	223/2785	0.97 (0.80-1.16)	127/2785	0.89 (0.69-1.13)	53/2785	1.42 (0.94-2.15)	72/2785	0.98 (0.70-1.37)
	Total	1165/1392	<i>P trend = 0.372</i>	665/1392	<i>P trend = 0.172</i>	249/1392	<i>P trend = 0.129</i>	398/13929	<i>P trend = 0.777</i>
Beta-carotene intake (µg/day)	Q1	254/2786	1.00 (ref)	153/2786	1.00 (ref)	48/2786	1.00 (ref)	84/2786	1.00 (ref)
	Q5	218/2785	0.88 (0.73-1.07)	121/2785	0.81 (0.63-1.04)	54/2785	1.29 (0.86-1.92)	73/2785	0.87 (0.63-1.20)
	Total	1165/1392	<i>P trend = 0.340</i>	665/1392	<i>P trend = 0.203</i>	249/1392	<i>P trend = 0.224</i>	398/13929	<i>P trend = 0.558</i>
Beta-cryptoxanthin (µg/day)	Q1	260/2786	1.00 (ref)	154/2786	1.00 (ref)	60/2786	1.00 (ref)	86/2786	1.00 (ref)
	Q5	223/2785	0.89 (0.74-1.07)	120/2785	0.82 (0.64-1.04)	45/2785	0.85 (0.57-1.26)	84/2785	1.00 (0.73-1.36)
	Total	1165/1392	<i>P trend = 0.646</i>	665/1392	<i>P trend = 0.293</i>	249/1392	<i>P trend = 0.831</i>	398/13929	<i>P trend = 0.708</i>
Lutein & zeaxanthin (µg/day)	Q1	246/2786	1.00 (ref)	141/2786	1.00 (ref)	52/2786	1.00 (ref)	88/2786	1.00 (ref)
	Q5	221/2785	0.93 (0.78-1.13)	134/2785	1.01 (0.79-1.29)	46/2785	1.00 (0.66-1.50)	64/2785	0.72 (0.52-1.00)
	Total	1165/1392	<i>P trend = 0.123</i>	665/1392	<i>P trend = 0.545</i>	249/1392	<i>P trend = 0.884</i>	398/13929	<i>P trend = 0.022</i>
Lycopene (µg/day)	Q1	267/2787	1.00 (ref)	151/2787	1.00 (ref)	58/2787	1.00 (ref)	87/2787	1.00 (ref)
	Q5	185/2785	0.92 (0.76-1.12)	92/2785	0.89 (0.68-1.16)	43/2785	1.08 (0.72-1.61)	72/2785	0.99 (0.71-1.36)
	Total	1165/1392	<i>P trend = 0.150</i>	665/1392	<i>P trend = 0.097</i>	249/1392	<i>P trend = 0.475</i>	398/13929	<i>P trend = 0.700</i>
Retinol (µg/day)	Q1	222/2786	1.00 (ref)	120/2786	1.00 (ref)	57/2786	1.00 (ref)	84/2786	1.00 (ref)
	Q5	240/2785	0.93 (0.76-1.14)	149/2785	1.00 (0.77-1.31)	44/2785	0.68 (0.44-1.05)	72/2785	0.81 (0.57-1.15)
	Total	1165/1392	<i>P trend = 0.449</i>	665/1392	<i>P trend = 0.864</i>	249/1392	<i>P trend = 0.171</i>	398/13929	<i>P trend = 0.194</i>

Total risk is for the first occurrence of one of these fractures and therefore the sum of the specific-site fracture incidences do not sum to the total.

Full model: age, BMI, family history of osteoporosis, menopausal and HRT status in women, corticosteroid use, smoking status, physical activity, calcium intake, total energy intake, calcium and vitamin D containing supplement use, days of food diary completed, and the ratio of energy intake to estimated energy requirement.

Retinol as pre-formed intake only.

* $p < 0.05$; ** $p < 0.01$ versus quintile 1, according to ANCOVA.

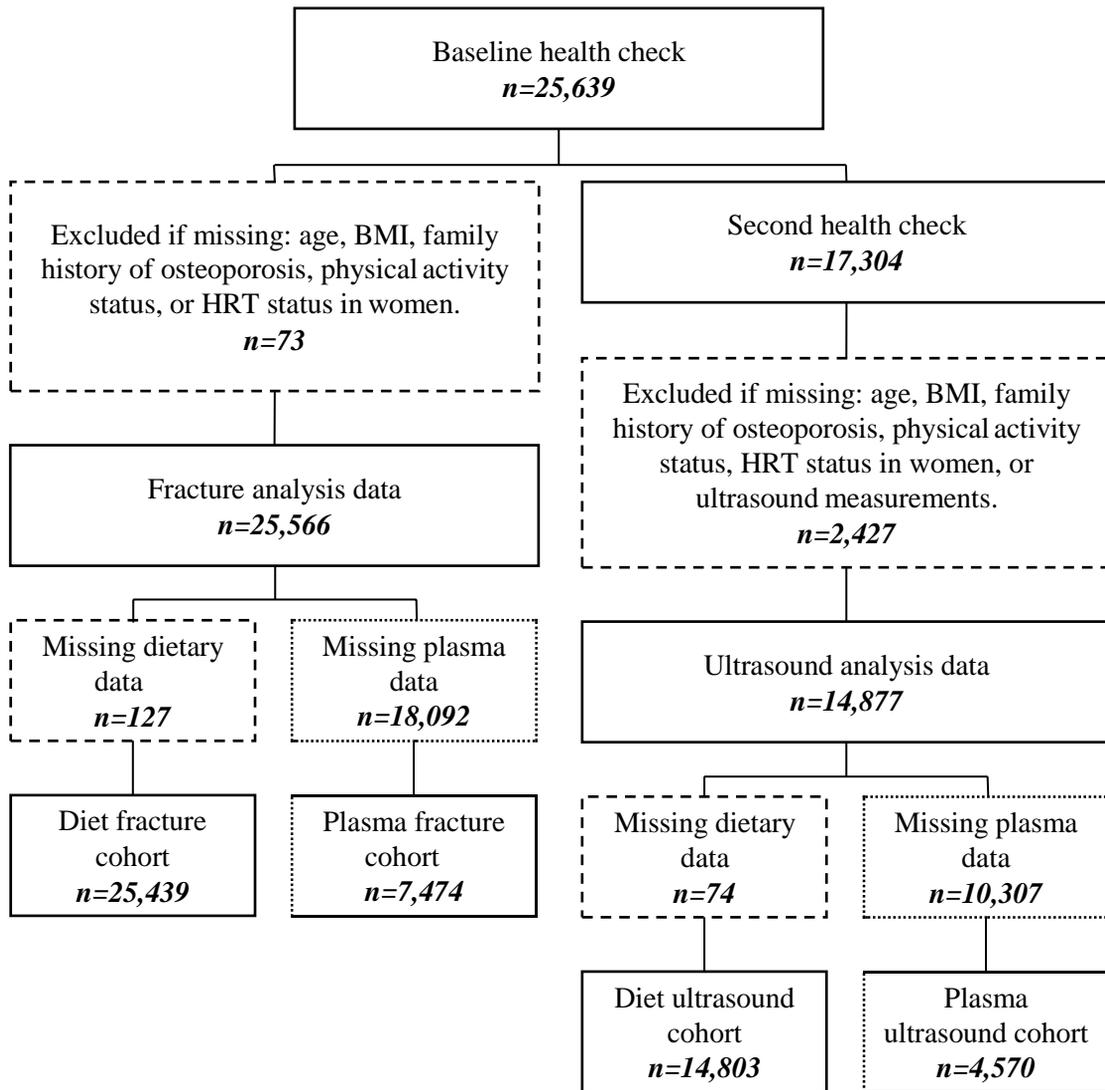
Table 3 – Risk of hip, spine, and wrist fractures in the EPIC-Norfolk cohort population at follow-up *versus* baseline, stratified by sex and serum concentration quintiles of specific carotenoids or retinol (Prentice-weighted Cox proportional hazard ratio and 95% CI, quintile 1 as reference).

Men		Fracture incidence and risk							
		Total fractures		Hip fracture		Spine fracture		Wrist fracture	
		Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio	Incidence	Hazard ratio
Alpha-carotene (µg/dL)	Q1	32/764	1.00 (ref)	18/764	1.00 (ref)	9/764	1.00 (ref)	8/764	1.00 (ref)
	Q5	28/763	0.69 (0.41-1.16)	12/763	0.52 (0.25-1.11)	9/763	0.92 (0.35-2.39)	7/763	0.60 (0.21-1.73)
	Total	175/3817	<i>P trend = 0.062</i>	88/3817	<i>P trend = 0.026</i>	63/3817	<i>P trend = 0.594</i>	33/3817	<i>P trend = 0.474</i>
Beta-carotene (µg/dL)	Q1	33/764	1.00 (ref)	18/764	1.00 (ref)	10/764	1.00 (ref)	7/764	1.00 (ref)
	Q5	41/763	1.00 (0.62-1.63)	13/763	0.52 (0.25-1.09)	16/763	1.65 (0.72-3.82)	13/763	1.46 (0.54-3.90)
	Total	175/3817	<i>P trend = 0.744</i>	88/3817	<i>P trend = 0.027</i>	63/3817	<i>P trend = 0.151</i>	33/3817	<i>P trend = 0.360</i>
Beta-cryptoxanthin (µg/dL)	Q1	29/764	1.00 (ref)	16/764	1.00 (ref)	10/764	1.00 (ref)	6/764	1.00 (ref)
	Q5	35/763	1.12 (0.68-1.85)	16/763	0.91 (0.45-1.85)	15/763	1.53 (0.67-3.48)	4/763	0.58 (0.16-2.09)
	Total	175/3817	<i>P trend = 0.655</i>	88/3817	<i>P trend = 0.282</i>	63/3817	<i>P trend = 0.360</i>	33/3817	<i>P trend = 0.239</i>
Lutein & zeaxanthin (µg/dL)	Q1	29/764	1.00 (ref)	12/764	1.00 (ref)	16/764	1.00 (ref)	1/764	1.00 (ref)
	Q5	37/763	1.07 (0.65-1.75)	13/763	0.85 (0.39-1.90)	18/763	1.04 (0.52-2.09)	6/763	5.15 (0.61-43.4)
	Total	175/3817	<i>P trend = 0.970</i>	88/3817	<i>P trend = 0.809</i>	63/3817	<i>P trend = 0.840</i>	33/3817	<i>P trend = 0.947</i>
Lycopene (µg/dL)	Q1	44/764	1.00 (ref)	27/764	1.00 (ref)	12/764	1.00 (ref)	7/764	1.00 (ref)
	Q5	29/763	0.79 (0.49-1.29)	10/763	0.54 (0.26-1.13)	15/763	1.40 (0.64-3.08)	6/763	0.82 (0.26-2.57)
	Total	175/3817	<i>P trend = 0.339</i>	88/3817	<i>P trend = 0.107</i>	63/3817	<i>P trend = 0.529</i>	33/3817	<i>P trend = 0.659</i>
Retinol (µg/dL)	Q1	42/764	1.00 (ref)	23/764	1.00 (ref)	16/764	1.00 (ref)	5/764	1.00 (ref)
	Q5	34/763	0.76 (0.49-1.20)	16/763	0.67 (0.35-1.27)	14/763	0.84 (0.41-1.72)	5/763	0.93 (0.27-3.23)
	Total	175/3817	<i>P trend = 0.293</i>	88/3817	<i>P trend = 0.475</i>	63/3817	<i>P trend = 0.482</i>	33/3817	<i>P trend = 0.723</i>
Women									

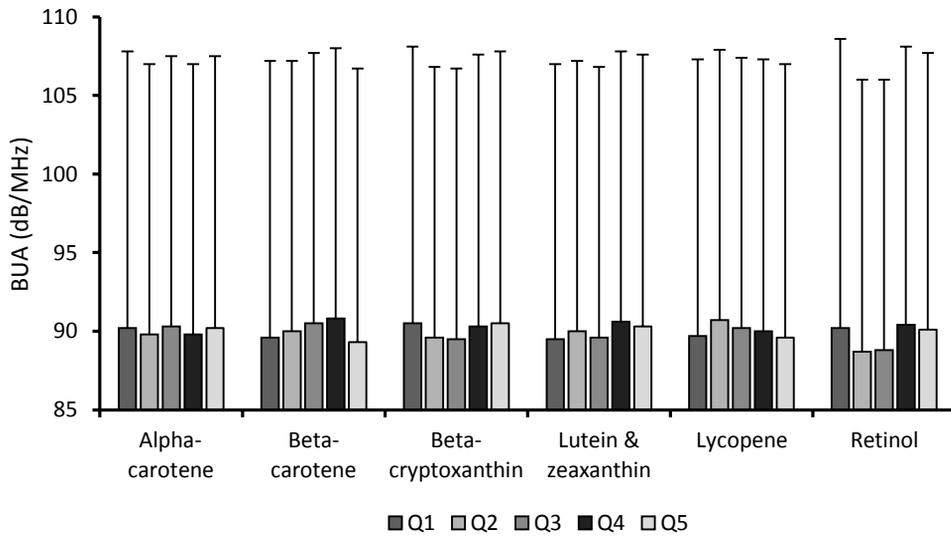
Alpha-carotene	Q1	84/732	1.00 (ref)	50/732	1.00 (ref)	22/732	1.00 (ref)	24/732	1.00 (ref)
(µg/dL)	Q5	81/731	0.79 (0.57-1.08)	47/731	0.74 (0.49-1.12)	15/731	0.60 (0.30-1.20)	32/731	1.15 (0.66-2.01)
	Total	386/3657	<i>P trend</i> = 0.122	232/3657	<i>P trend</i> = 0.265	89/3657	<i>P trend</i> = 0.278	121/3657	<i>P trend</i> = 0.211
Beta-carotene	Q1	56/732	1.00 (ref)	29/732	1.00 (ref)	20/732	1.00 (ref)	16/732	1.00 (ref)
(µg/dL)	Q5	78/731	0.96 (0.67-1.38)	48/731	1.00 (0.62-1.63)	15/731	0.50 (0.25-1.02)	26/731	1.27 (0.66-2.45)
	Total	386/3657	<i>P trend</i> = 0.378	232/3657	<i>P trend</i> = 0.249	89/3657	<i>P trend</i> = 0.160	121/3657	<i>P trend</i> = 0.563
Beta-cryptoxanthin	Q1	73/732	1.00 (ref)	42/732	1.00 (ref)	17/732	1.00 (ref)	22/732	1.00 (ref)
(µg/dL)	Q5	85/731	0.98 (0.72-1.35)	51/731	0.97 (0.64-1.46)	15/731	0.75 (0.37-1.52)	29/731	1.16 (0.66-2.04)
	Total	386/3657	<i>P trend</i> = 0.873	232/3657	<i>P trend</i> = 0.651	89/3657	<i>P trend</i> = 0.215	121/3657	<i>P trend</i> = 0.180
Lutein & zeaxanthin	Q1	58/732	1.00 (ref)	31/732	1.00 (ref)	17/732	1.00 (ref)	20/732	1.00 (ref)
(µg/dL)	Q5	81/731	1.02 (0.72-1.44)	55/731	1.20 (0.77-1.90)	16/731	0.69 (0.34-1.40)	22/731	0.86 (0.46-1.60)
	Total	386/3657	<i>P trend</i> = 0.862	232/3657	<i>P trend</i> = 0.752	89/3657	<i>P trend</i> = 0.120	121/3657	<i>P trend</i> = 0.580
Lycopene	Q1	99/732	1.00 (ref)	61/732	1.00 (ref)	20/732	1.00 (ref)	30/732	1.00 (ref)
(µg/dL)	Q5	63/731	0.85 (0.62-1.18)	38/731	0.96 (0.63-1.46)	14/731	0.97 (0.48-1.96)	22/731	0.87 (0.49-1.54)
	Total	386/3657	<i>P trend</i> = 0.722	232/3657	<i>P trend</i> = 0.971	89/3657	<i>P trend</i> = 0.071	121/3657	<i>P trend</i> = 0.575
Retinol	Q1	71/732	1.00 (ref)	46/732	1.00 (ref)	12/732	1.00 (ref)	22/732	1.00 (ref)
(µg/dL)	Q5	79/731	1.00 (0.72-1.39)	51/731	0.98 (0.65-1.46)	21/731	1.51 (0.74-3.10)	19/731	0.81 (0.43-1.50)
	Total	386/3657	<i>P trend</i> = 0.605	232/3657	<i>P trend</i> = 0.473	89/3657	<i>P trend</i> = 0.270	121/3657	<i>P trend</i> = 0.405

Total risk is for the first occurrence of one of these fractures and therefore the sum of the specific-site fracture incidences do not sum to the total.

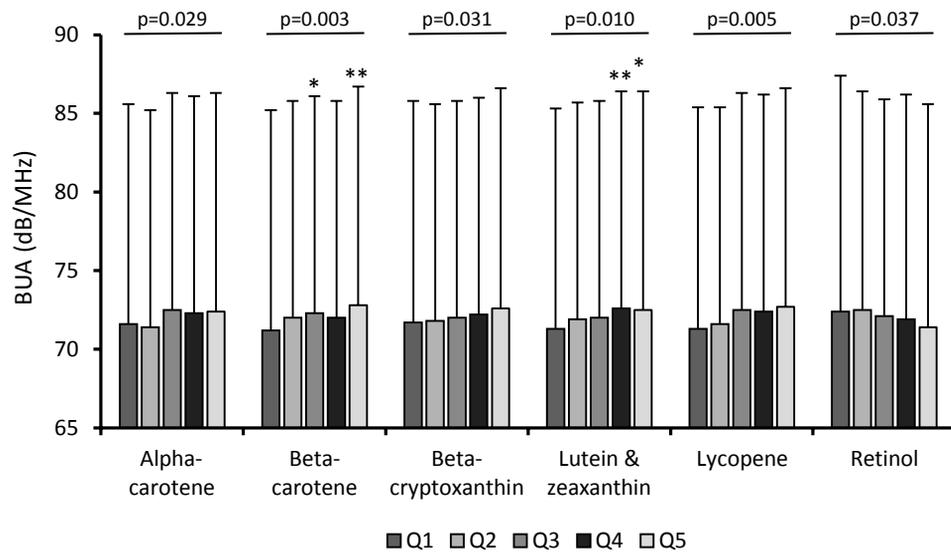
Full model: age, BMI, family history of osteoporosis, menopausal and HRT status in women, corticosteroid use, smoking status, physical activity.



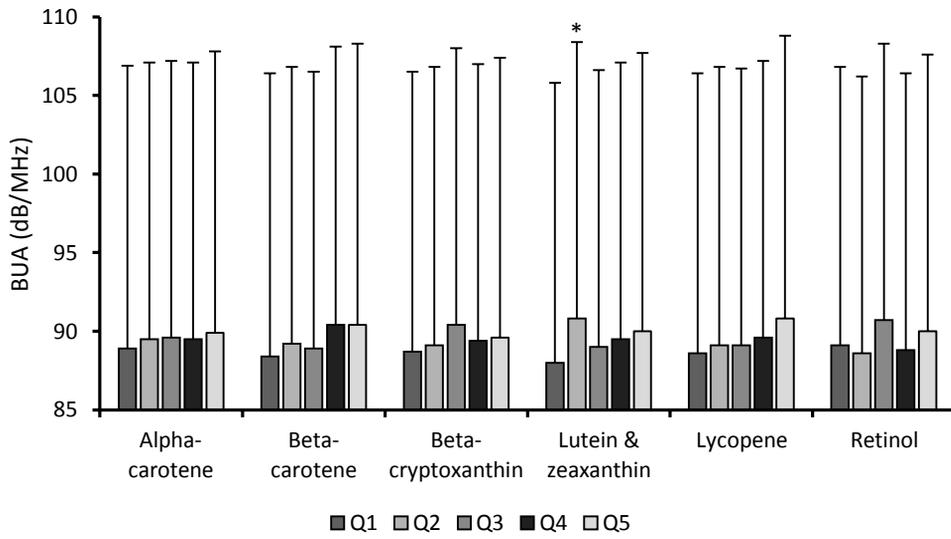
Men



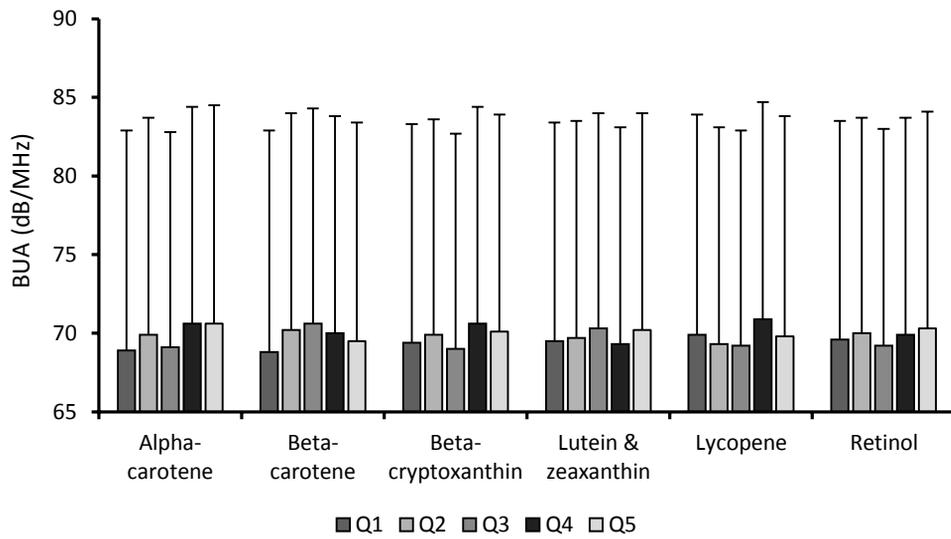
Women



Men



Women



SUPPLEMENTARY TABLES – Hayhoe *et al*, 2017

Table 1 – Unadjusted calcaneal BUA of 6490 men and 8313 women from the EPIC-Norfolk cohort, stratified by sex and dietary intake quintiles of specific carotenoids or retinol.

Men	Dietary carotenoid intake						P for trend
	Total	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	
	n=6490	n=1298	n=1298	n=1298	n=1298	n=1298	
Alpha-carotene (µg/day)	90.1 ± 17.5	90.0 ± 17.2	89.7 ± 17.4	90.3 ± 17.7	89.8 ± 17.6	90.4 ± 17.7	0.587
Beta-carotene (µg/day)	90.1 ± 17.5	89.3 ± 17.2	89.9 ± 17.5	90.6 ± 17.7*	90.9 ± 17.3*	89.6 ± 17.9	0.520
Beta-cryptoxanthin (µg/day)	90.1 ± 17.5	89.9 ± 17.9	89.5 ± 17.5	89.4 ± 17.6	90.6 ± 17.0	90.9 ± 17.5	0.019
Lutein & zeaxanthin (µg/day)	90.1 ± 17.5	89.1 ± 17.0	89.9 ± 17.8	89.9 ± 17.5	90.8 ± 17.2*	90.6 ± 18.1*	0.027
Lycopene (µg/day)	90.1 ± 17.5	89.4 ± 18.2	90.3 ± 17.5	90.2 ± 16.9	90.2 ± 17.3	90.2 ± 17.7	0.468
Retinol (µg/day)	90.1 ± 17.5	90.0 ± 17.9	89.7 ± 18.0	90.3 ± 17.4	90.6 ± 17.3	89.7 ± 17.0	0.569
Women	n=8313	n=1663	n=1663	n=1662	n=1663	n=1662	P for trend
Alpha-carotene (µg/day)	72.1 ± 16.5	71.6 ± 17.0	71.5 ± 16.0	72.3 ± 16.3	72.2 ± 16.2	72.7 ± 16.8	0.032
Beta-carotene (µg/day)	72.1 ± 16.5	70.9 ± 16.2	72.0 ± 16.4	72.1 ± 16.4*	72.2 ± 16.5*	73.1 ± 16.9***	0.001
Beta-cryptoxanthin (µg/day)	72.1 ± 16.5	71.0 ± 16.5	71.6 ± 17.0	72.1 ± 16.4	72.6 ± 16.3**	73.0 ± 16.1***	<0.001
Lutein & zeaxanthin (µg/day)	72.1 ± 16.5	70.4 ± 16.4	71.8 ± 16.5*	72.2 ± 16.1**	73.5 ± 16.3***	72.4 ± 17.0**	0.001
Lycopene (µg/day)	72.1 ± 16.5	70.1 ± 16.2	70.5 ± 16.6	72.2 ± 16.4***	73.3 ± 16.1***	74.3 ± 16.8***	<0.001
Retinol (µg/day)	72.1 ± 16.5	72.6 ± 17.0	72.6 ± 16.6	71.9 ± 16.4	72.1 ± 16.1	71.0 ± 16.3**	0.002

Data as mean ± SD. * p<0.05; ** p<0.01; *** p<0.001 vs. Quintile 1, according to ANCOVA.

SUPPLEMENTARY TABLES – Hayhoe *et al*, 2017

Table 2 – Unadjusted calcaneal BUA of 2362 men and 2208 women from the EPIC-Norfolk cohort, stratified by sex and plasma concentration quintiles of specific carotenoids or retinol.

Men	Plasma carotenoid concentration						P for trend
	Total	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	
	n=2362	n=473	n=472	n=473	n=472	n=472	
Alpha-carotene (µg/dL)	89.5 ± 17.8	88.9 ± 18.2	89.5 ± 17.1	89.7 ± 17.4	89.5 ± 18.0	89.8 ± 18.2	0.555
Beta-carotene (µg/dL)	89.5 ± 17.8	88.8 ± 17.7	89.4 ± 17.5	88.8 ± 16.8	90.3 ± 18.1	89.9 ± 18.8	0.247
Beta-cryptoxanthin (µg/dL)	89.5 ± 17.8	88.5 ± 18.1	89.2 ± 17.4	90.4 ± 17.5	89.4 ± 18.0	89.8 ± 17.9	0.407
Lutein & zeaxanthin (µg/dL)	89.5 ± 17.8	88.3 ± 17.5	90.8 ± 17.4*	89.2 ± 17.9	89.2 ± 18.1	89.8 ± 17.9	0.568
Lycopene (µg/dL)	89.5 ± 17.8	88.5 ± 17.8	89.0 ± 17.5	89.0 ± 17.3	89.7 ± 17.6	91.1 ± 18.5*	0.015
Retinol (µg/dL)	89.5 ± 17.8	88.8 ± 17.8	88.9 ± 17.7	90.8 ± 17.8	88.9 ± 17.8	89.9 ± 17.6	0.357
Women	n=2208	n=442	n=442	n=441	n=442	n=441	P for trend
Alpha-carotene (µg/dL)	69.8 ± 16.2	69.6 ± 16.8	70.3 ± 16.0	69.0 ± 15.8	70.5 ± 16.5	69.6 ± 16.0	0.939
Beta-carotene (µg/dL)	69.8 ± 16.2	71.4 ± 16.5	71.1 ± 15.8	70.4 ± 16.4	68.6 ± 16.0**	67.4 ± 16.1***	<0.001
Beta-cryptoxanthin (µg/dL)	69.8 ± 16.2	69.7 ± 16.8	70.1 ± 17.4	69.3 ± 16.5	70.1 ± 15.6	69.8 ± 14.7	0.919
Lutein & zeaxanthin (µg/dL)	69.8 ± 16.2	71.1 ± 16.2	70.1 ± 17.0	70.7 ± 16.0	68.5 ± 16.0*	68.6 ± 15.7*	0.008
Lycopene (µg/dL)	69.8 ± 16.2	67.2 ± 16.3	68.6 ± 16.4	69.3 ± 15.8*	72.3 ± 15.8***	71.6 ± 16.2***	<0.001
Retinol (µg/dL)	69.8 ± 16.2	70.7 ± 17.2	70.1 ± 16.4	68.2 ± 15.4*	69.7 ± 16.1	70.3 ± 15.9	0.781

Data as mean ± SD. * p<0.05; ** p<0.01; *** p<0.001 vs. Quintile 1, according to ANCOVA.