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2 Genetic diversity and divergence in the endangered Cape Verde warbler Acrocephalus brevipennis

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14 Abstract

15 Genetic factors play an important role in the long-term persistence of populations and species, and 16 conservation strategies should take such factors into account. We use neutral molecular markers to 17 assess diversity and divergence between the three remaining island populations of a little-studied 18 endemic passerine, the Cape Verde warbler, Acrocephalus brevipennis. Variation at both 19 microsatellite loci and the cytochrome b gene reveal low diversity within the species overall, but 20 considerable divergence among the populations on Santiago, Fogo and São Nicolau islands. The 21 genetic markers show a gradient of genetic diversity with population size, with the smallest 22 population of S. Nicolau being the least diverse, and the largest, Santiago, the most diverse. The 23 more geographically isolated population on S. Nicolau is also more divergent from Santiago and Fogo 24 than these two are from each other. The Cape Verde warbler diverged from its sister species, the 25 greater swamp warbler Acrocephalus rufescens, within the last million years, and the three 26 populations became isolated from one another 165,000–199,000 years ago. There is also evidence of 27 population bottlenecks, especially in the smallest and most isolated population of S. Nicolau. This 28 population seems to have decreased during the last century, with potential for further decreases 29 and even extinction. As the three populations are genetically distinct, with no evidence of gene flow 30 between them, we argue they should be treated as separate management units for the successful 31 conservation of this species.

32

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Keywords: microsatellites, cytochrome b, island passerine, endemic species, management units,
 Macaronesia

37 Introduction

38 Over the last few centuries many once common species have become threatened or extinct 39 throughout the world, mainly due to anthropogenic impacts (Baillie et al. 2004; Butchart et al. 2010). 40 Many of these declines and extinctions have occurred on islands (Steadman 1995; Brooks et al. 41 2002; Sax & Gaines 2008). Island species tend to be more vulnerable to extinction threats than 42 mainland species for many reasons (Frankham 1998; Sax & Gaines 2008), including smaller population and range sizes, increased vulnerability to stochastic events, evolutionary/prey naivety, 43 44 lower levels of genetic diversity and decreased gene flow (Frankham 1998; Frankham & Ralls 1998; 45 Griffin et al. 2000; Sax & Gaines 2008; Frankham et al. 2009; Sih et al. 2010). Islands and 46 archipelagos are often the focus of conservation actions not only because they contain threatened species but also because they can be biodiversity hotspots due to their high levels of endemism 47 48 (Myers et al. 2000; Emerson 2002; Kier et al. 2009). Genetic factors can contribute significantly to 49 extinction risk in the wild (Frankham & Ralls 1998; Saccheri et al. 1998; Spielman et al. 2004; 50 Frankham 2005). Higher levels of genetic variation within a population provide more potential to 51 adapt, for example to environmental changes and novel predators and parasites, whereas small, 52 genetically depauperate populations are disadvantaged in this regard, and can also suffer from inbreeding depression (Crnokrak & Roff 1999; Hedrick & Kalinowski 2000; Brook et al. 2002). Such 53 54 factors can drive small populations into an extinction vortex (Gilpin & Soulé 1986). Consequently, 55 where possible, management plans for threatened species should take genetic factors into account 56 (Brook et al. 2002; Frankham 2005).

Genetic data can be used to resolve taxonomic uncertainties, define management units and
assess the extinction risk of a species, being therefore important in informing conservation and
helping mitigate extinction (Frankham et al. 2009). This is especially important when populations
have become isolated from one another, or fragmented (Moritz 1994b; Fouquet et al. 2010; Garciadel-Rey et al. 2013). In an attempt to develop a framework for prioritizing conservation efforts, the

62 terms 'evolutionarily significant units' (ESUs) and conservation 'management units' (MUs), mostly 63 defined by genetic criteria, were coined (Ryder 1986; Moritz 1994a). Although there are many different definitions (see Fraser & Bernatchez 2001; Funk et al. 2012 for reviews), in a genetic 64 framework ESUs should be reciprocally monophyletic for mitochondrial DNA and significantly 65 66 divergent at nuclear loci (Moritz 1994b; Avise 2004), whereas the concept of MUs is applied to 67 populations which are significantly divergent at nuclear or mitochondrial loci (Ryder 1986; Moritz 68 1994a). In other terms, ESUs identify historically isolated populations, and MUs can be defined as 69 populations which show incomplete phylogenetic divergence but currently lack gene flow (Moritz 70 1994b). Although such definitions are not necessarily equivalent to subspecies (Moritz 1994b), they provide a first quantitative basis for defining conservation priorities below the species level (Funk et 71 72 <u>al. 2012</u>).

73 Different types of genetic markers can have different mutation and recombination rates or 74 transmission pathways, thus they can reveal different aspects of a species' evolutionary history 75 (Avise 2004). Microsatellites have fast mutation rates (Selkoe & Toonen 2006), and can be used to 76 infer current population structure, demography and gene flow (e.g., <u>Illera et al. 2007</u>; <u>Dool et al.</u> 77 2013; Ferchaud et al. 2015; Xenikoudakis et al. 2015). Furthermore, because of their high levels of 78 polymorphism they are also useful for assessing demographic bottlenecks (Sunnucks 2000; Garza & 79 Williamson 2001; Williamson-Natesan 2005). In contrast, mitochondrial DNA has slower mutation 80 rates, and is commonly used to infer population history dating to, and before, the Last Glacial 81 Maximum (Avise et al. 1987) circa 23 Kya ago (Clark et al. 2009). Combining data from microsatellites 82 and mitochondrial DNA can provide a clearer picture of diversity within, and divergence among, populations, and can help reconstruct their relative demographic and phylogenetic 83 histories 84 (Reynolds et al. 2015). Additionally, patterns of variation at neutral markers can provide information 85 about colonisation, gene flow, phylogeny and historical relations between populations (e.g., Hille et 86 al. 2003; Dool et al. 2013; Dutta et al. 2013; Ferchaud et al. 2015; Padilla et al. 2015). Finally, genetic

markers can be used to assess whether a lack of diversity may be contributing to current declines in
the population (<u>Allendorf & Luikart 2007</u>; <u>Frankham et al. 2009</u>) and to determine if augmentation
(<u>Weeks et al. 2011</u>) may be required to alleviate current problems, or ensure maximal adaptive
potential is retained in the species for the future.

91 The Cape Verde warbler Acrocephalus brevipennis is an endangered passerine endemic to 92 Cape Verde, a volcanic archipelago ca. 500 km off West Africa, between 14-18°N and 22-26°W in 93 the Atlantic Ocean (Fig 1). It is most closely related to the greater swamp warbler Acrocephalus 94 rufescens of sub-Saharan Africa (Leisler et al. 1997; Fregin et al. 2009). The Cape Verde warbler was 95 discovered about 150 years ago on the island of São Nicolau (Keulemans 1866), and in the late 19th 96 century it was known to exist at least on Santiago, S. Nicolau and Brava (Hazevoet 1993). During the 97 20th century the population decreased, possibly due to habitat loss caused by droughts (Hazevoet 98 <u>1995</u>) and by 1995 the bird was thought to be confined to Santiago (<u>Hazevoet 1995</u>; <u>BirdLife</u> 99 International 2016). However, in 1998 the bird was rediscovered on S. Nicolau (Hazevoet et al. 100 1999), where the most recent published estimates suggested just 8–10 breeding pairs remain 101 (Hazevoet et al. 1999; Donald et al. 2004). In 2004, another population, estimated at ca. 500 102 breeding pairs, was found on Fogo (Hering & Hering 2005; Hering & Fuchs 2009). Fogo and Santiago, 103 in the southern Sotavento group of islands, are separated by ca. 60 km, whereas S. Nicolau, in the 104 northwestern Barlavento group, is separated from the former two islands by more than 160 km (Fig. 105 1). Such oceanic barriers can prevent gene flow in Acrocephalus warblers (Komdeur et al. 2004; 106 Cibois et al. 2008), especially between the Sotavento and Barlavento groups (Hazevoet 1995; Hille et 107 al. 2003), which could have important implications for this species' conservation. Currently, the Cape 108 Verde warbler's global population is estimated at a maximum of 2,200–3,000 individuals, and is 109 thought to be decreasing (BirdLife International 2016), but lack of census information means that 110 such estimates may be somewhat unreliable (BirdLife International 2016). The species has legal 111 protection status in Cape Verde but to date no countrywide conservation strategy has been

developed. An understanding of the genetic variation within, and divergence between, the three warbler populations is clearly important to the success of any future conservation programme (Frankham et al. 2009; McCartney-Melstad & Shaffer 2015).

Here we use neutral molecular markers (mtDNA and microsatellites) to study the three known remaining populations of the Cape Verde warbler. Our aims were to clarify the degree of genetic divergence between the bird and its sister species, the greater swamp warbler, to assess genetic relationships between Cape Verde warbler populations, and to measure genetic diversity within each population so that this knowledge can be used to inform future conservation actions.

120

121 Materials and methods

122 Sample collection and DNA extraction

123 Samples were collected from November 2013 to January 2014, and September to November 2014, 124 the main breeding season (Hazevoet 1995). We surveyed for warblers across all potential suitable 125 habitat types and altitudes (the maximum altitude where a bird was sampled was 1,384 m above sea 126 level) on each of the three islands to ensure that coverage was as comprehensive as possible (Fig 1, 127 Table S1). We also conducted extensive transect and point counts in January 2014 on Brava (2 128 people x 4 days), where the bird was present until 1969 (Hazevoet 1995), and in September 2014 on 129 Santo Antão (2 people x 7 days), where the vegetated valleys were thought to provide suitable habitat (<u>Hazevoet 1995</u>). When detected, birds were attracted with conspecific song playback and 130 131 caught with mist nets. Each caught bird was fitted with a unique combination of a numbered Cape 132 Verde metal ring, and three UV-resistant plastic colour rings. We determined if the birds were adults 133 or juveniles based on previously described criteria for this and other warbler species (Komdeur 1991; 134 Cramp & Perrins 1992; Baker 1997), and recorded each sampling location with a Garmin eTrex® H 135 GPS. A small ca. 40 µl blood sample was collected from each bird by brachial venipuncture and

136 stored at room temperature in 800 μ l absolute ethanol. We aimed to sample a minimum of 25–30 137 unrelated individuals per island, as this is sufficient for accurate estimation of genetic parameters in 138 microsatellite analyses (Hale et al. 2012). In addition, we used seven other Cape Verde warbler 139 samples from S. Jorge dos Órgãos (central Santiago), seven greater swamp warbler samples (one 140 from Senegal and six from Nigeria) and three Seychelles warbler Acrocephalus sechellensis samples 141 (details on additional samples, sampling sites, dates, and bird age determination in Supplementary Material and Table S1). DNA was extracted using a salt extraction protocol following Richardson et 142 143 al. (2001). Individuals were molecularly sexed following Griffiths et al. (1998).

144

145 Molecular markers

146 Cytochrome b

147 We amplified and sequenced a 1,150 bp fragment of mtDNA comprising the last 13 nucleotides of 148 the ND5 gene, 1,128 nucleotides of the cytochrome b, and 9 nucleotides in between the two genes 149 (hereafter "cytochrome b", for simplicity). To avoid amplifying nuclear copies of the mitochondrial 150 DNA (numts; Sorenson & Quinn 1998), we re-designed the primers ND5 and mt-F from Helbig et al. 151 (2005). We used BLAST (<u>Altschul et al. 1997</u>) to map the primer sequence against the mitochondrial 152 genome of the Eurasian reed warbler Acrocephalus scirpaceus, (accession number AM889139, Singh 153 et al. 2008) in GenBank (Benson et al. 2013) and re-designed them to match that genome. We checked the redesigned primer pair (ND5-Acro 5'-GGCCTAATCAAAGCCTAC-3' and mt-F-Acro 5'-154 155 GGCTTACAAGACCAATGTTT-3') for compatible annealing temperatures, hairpins, complementarity, 156 primer-dimer formation and % GC content using Primer3 (Koressaar & Remm 2007; Untergasser et al. 2012). Polymerase chain reactions (PCRs) were performed in 15 µl total volume, consisting of 7.5 157 158 μl TopTaq Master Mix (Qiagen, West Sussex, UK), 0.6 μl (10 μM) each primer, 4.8 μl ddH2O and 1.5 µl DNA. Thermal cycle conditions were 94°C for 3 min, followed by 40 cycles of 94°C for 30 s, 55°C 159

for 45 s and 72°C for 1 min, with a final extension step at 72°C for 10 min and a cooling step at 20°C
for 5 min. PCR products were quantified in a 1.5% agarose gel and inspected for signs of non-specific
annealing, i.e. ghost bands (Sorenson & Quinn 1998; Bensasson et al. 2001). Successfully amplified
products were cleaned with 5 μl of Exo and FastAP (Life Technologies, UK) to 10 μl of PCR product,
and sequenced in both directions (Eurofins, Germany). PCRs were repeated twice to confirm unique
haplotypes and to resolve any ambiguous sites.

166

167 Microsatellites

168 Individuals were genotyped at 17 polymorphic microsatellite loci, using multiplex combinations 169 adapted from Spurgin et al. (2014; Table S2), using primers designed for the Seychelles warbler 170 (Richardson et al. 2000). Each PCR included 1 µl Qiagen PCR multiplex master mix, 1 µl primer mix 171 and 1 μ l pre-dried DNA. The PCR cycling conditions were 15 min at 95°C, followed by 30 cycles of 30 172 s at 94°C, 1 min 30 s at 55 or 56°C and 1 min at 72°C, followed by a final extension step of 30 min at 173 60°C. PCR products were separated on an ABI 3730 DNA analyser (Applied Biosystems, UK), and 174 allele sizes were assigned using GeneMapper 4.0 software (Applied Biosystems, UK). Genotypes 175 were checked visually. PCRs were repeated twice to confirm unique alleles. In cases where two or 176 more amplifications resulted in conflicting genotypes for the same individual we considered the 177 individual as heterozygous. We calculated microsatellite error rate per locus according to Pompanon 178 et al. (2005). One of the markers, Ase6, could not be reliably scored due to stutter, so it was excluded from further analyses. 179

- 180
- 181 Data analyses

182 Cytochrome b

Unless stated otherwise, statistical analyses were performed in R v. 2.14.1 (<u>R Core Team 2016</u>).
Sequences were visually inspected in FinchTV v. 1.4.0 (Geospiza Inc., Seattle, WA, USA) and

185 manually aligned in BioEdit v. 7.0.9.0 (Hall 1999), against partial CDS sequences of a Cape Verde and 186 a greater swamp warbler (from Senegal) previously published (Fregin et al. 2009) - accession 187 numbers FJ883026 and FJ883037, respectively. The sequences were translated in MEGA v. 6 188 (Tamura et al. 2013), and checked for stop codons. We calculated the number of haplotypes and 189 segregating sites, haplotype and nucleotide diversity, Tajima's D (Tajima 1989) and Fu's FS (Fu 1997) 190 in DnaSP v. 5 (Librado & Rozas 2009), and pairwise Fst values (Wright 1951; Weir & Cockerham 1984) in Arlequin v. 3.5 (Excoffier & Lischer 2010). Significance of F_{ST} values was tested with 10,000 191 192 permutations. We calculated mean genetic distances within and among the three populations of 193 Cape Verde warbler and the greater swamp warbler in DnaSP, using the pairwise distance model. A 194 phylogenetic tree was constructed using the maximum likelihood method implemented in MEGA v. 195 6, based on the Tamura-Nei model of evolution (Tamura & Nei 1993) as selected by the Bayesian 196 Information Criterion in the same software. We used 1,000 bootstrap replicates as a test of 197 phylogeny, and a discrete Gamma distribution with four categories to model evolutionary rate 198 differences among sites. However, haplotype networks are usually more appropriate to visualise 199 relationships between haplotypes in intraspecific datasets than phylogenetic trees (Bandelt et al. 200 1999; Posada & Crandall 2001). Therefore, a median joining haplotype network was also built in 201 Network v. 4.6.1.3 (Bandelt et al. 1999).

202

203 Time to most recent common ancestor

Time to most recent common ancestor (tMRCA) of the Cape Verde and greater swamp warblers was estimated using a Bayesian phylogenetic approach in BEAST v.2.3.0 (Drummond et al. 2012; Bouckaert et al. 2014). We estimated the best-fit model of nucleotide substitution in jModelTest v. 2.17 (Guindon & Gascuel 2003; Darriba et al. 2012), which tests for the best model of evolution using the Bayesian Information Criterion (BIC), Akaike Information Criterion (AIC) and decision theory (DT). AIC selected GTR+I whereas BIC and DT selected HKY+G. We therefore used the HKY model of

210 substitution (Hasegawa et al. 1985) with a gamma site model comprising four rate categories (Yang 211 1994) and an estimated gamma shape parameter. Effective sample sizes (ESS) for all model 212 parameters exceeded the recommended minimum 200 when using the HKY model, so this model 213 was considered appropriate. The dataset was composed of unique haplotypes of Cape Verde and 214 greater swamp warblers, using the Seychelles warbler as an outgroup. Codons were partitioned into 215 3(1 + 2 + 3, frame 2) to allow each nucleotide position within the codon to be modelled separately. Empirical substitution model state frequencies were used along with an estimated HKY kappa 216 217 parameter. We used a substitution rate of 0.0105 per site per million years, which corresponds to a 218 2.1% divergence rate, generally applicable to passerine cytochrome b sequences (Weir & Schluter 219 2008). Molecular clock rate variation is highly unlikely in our dataset, which comprises a single gene 220 across closely related species with resulting low sequence information content. We therefore 221 employed a strict molecular clock. As neither fossil nor relevant biogeographical calibration points 222 were available for this clade of passerines, we utilised published molecular phylogenies to provide 223 loose bounds for the priors. We specified a uniform distribution between 1 and 12 Mya for the 224 tMRCA of the Cape Verde, greater swamp and Seychelles warblers group, as 12 Mya is the estimated 225 divergence time of the small and large groups of Acrocephalus warblers; Cape Verde, greater swamp 226 and Seychelles warblers are members of the latter group (Price 2008; Leisler & Schulze-Hagen 2011). 227 We used a diffuse uniform prior bound between the present and 1 Mya to estimate tMRCA of Cape 228 Verde and greater swamp warblers and the same for the tMRCA of the three Cape Verde warbler 229 populations. This is because, even though a divergence time has never been proposed, previous 230 phylogenetic analyses suggests that these two sister species are so similar, when compared to the 231 dated splits in the Acrocephalus phylogeny, that they are not likely to have diverged more than 1 Mya (Leisler et al. 1997; Fregin et al. 2009; Leisler & Schulze-Hagen 2011). Finally, the birth rate and 232 233 clock rate priors were both assigned diffuse gamma distributions ($\alpha = 0.001$, $\beta = 1000$) (Drummond & 234 Bouckaert 2014). The Markov chain Monte Carlo (MCMC) simulations were run with a standard 10%

burn-in. We did four runs, with a total of 100 million iterations, to check mixing and convergence,
which was assessed with ESS. Runs were combined using LogCombiner (<u>Rambaut & Drummond</u>
2015) and the results were visualised using Tracer v.1.6 (<u>Rambaut et al. 2015</u>).

238

239 Microsatellite analysis

240 For each microsatellite locus and population we tested for deviations from Hardy–Weinberg 241 equilibrium (HWE) and linkage disequilibrium (LD) using GENEPOP v. 4.0.10 (Raymond & Rousset 242 <u>1995</u>). We applied a Bonferroni sequential correction to control for type I errors (<u>Rice 1989</u>). Null 243 allele estimates were calculated in MICROCHECKER (van Oosterhout et al. 2004). Allelic richness and 244 number of private alleles in each population were calculated after controlling for differences in 245 sample size, using a rarefaction approach implemented in HP-RARE (Kalinowski 2005). Inbreeding coefficients (F_{is}) were calculated with GENEPOP. We tested for population structure by calculating 246 global and pairwise F_{ST} values across the three Cape Verde warbler populations. Global and pairwise 247 F_{ST} estimates, observed (Ho) and expected (He) heterozygosity were calculated using Arlequin v. 3.5 248 249 (Excoffier & Lischer 2010).

250 To determine the most likely number of genetic clusters (K), a Bayesian algorithm was 251 implemented in STRUCTURE v. 2.3 (Pritchard et al. 2000). The goal was to detect overall population 252 structure in the Cape Verde warbler, so we included information on population of origin in the 253 analysis. We used a no-admixture ancestry model and independent allele frequencies. However, as a 254 no-prior model may be better for revealing subtle similarities, or possible gene flow between populations (Pritchard et al. 2000; Falush et al. 2007; Hubisz et al. 2009), we also ran a model using 255 256 admixture and correlated allele frequencies, disregarding prior information on sampling location. For 257 both models, we undertook four runs of 500,000 MCMC repetitions with a burn-in of 20,000 at each 258 clustering level for K = 1-6. To assess structure within the Santiago population, we ran both analyses 259 using only the Santiago birds. The number of clusters best fitting the data was determined using 11

both log probabilities and the ad hoc ΔK test (Evanno et al. 2005), implemented in STRUCTURE HARVESTER (Earl & vonHoldt 2012). Graphical results were visualised using DISTRUCT version 1.1 (Rosenberg 2004).

263

264 Bottleneck tests

265 We used three methods to assess evidence of population bottlenecks: the heterozygosity excess test 266 (Cornuet & Luikart 1996) implemented in BOTTLENECK v. 1.2.02 (Piry et al. 1999); the mode shift test (Luikart et al. 1998), implemented in the same software; and the M-ratio test (Garza & 267 268 Williamson 2001), as well as a modified version of this last test which accounts for monomorphic 269 alleles (Excoffier et al. 2005), in Arlequin. Heterozygosity and mode-shift tests use excess 270 heterozygosity and allele frequency data to detect recent bottlenecks, i.e. bottlenecks that have 271 occurred within the past dozen generations (Luikart et al. 1998). M-ratio tests (Garza & Williamson 272 2001; Excoffier et al. 2005) are based on the reasoning that a bottlenecked population will lose some 273 rare alleles, and this loss will not depend on allele size; therefore a bottlenecked population will 274 show gaps in the allele size distribution. M-ratio tests can detect bottleneck signatures over longer 275 periods than heterozygosity or shift mode tests, as M-ratios are likely to change more slowly than 276 heterozygosity after a bottleneck (Garza & Williamson 2001; Williamson-Natesan 2005; Peery et al. 277 <u>2012</u>).

The distances between these islands are unequal (see Fig 1), and dispersal patterns can be differently influenced by the direction of the prevailing winds (<u>Hazevoet 1995</u>; <u>Correia 1996</u>; <u>Hille_et</u> <u>al. 2003</u>). The islands have different geography, age, and habitat types available for the birds (<u>Hazevoet 1995</u>) and there are only three populations. It is therefore not possible to ascertain the effects of isolation by distance (<u>Wright 1943</u>), resistance (a metric which combines Euclidean distances and spatial heterogeneity) (<u>McRae & Nürnberger 2006</u>) or environment factors (<u>Wang &</u> <u>Bradburd 2014</u>) on the genetic structure of the Cape Verde warbler using these data.

286 Results

287 Cytochrome b

We observed 16 cytochrome b haplotypes in the Cape Verde warbler, five haplotypes in the greater 288 289 swamp warbler and one haplotype in the Seychelles warbler (Table 1; Fig 2 and 3). The Cape Verde 290 warbler populations do not share haplotypes except for the most common one, shared between 291 Santiago and Fogo (Fig 2 and 3). The sequences have been deposited in the National Center for 292 Biotechnology Information (NCBI) GenBank database (accession nos. KX518324–KX518347). Where 293 the same haplotype was found in two different populations, we submitted two identical sequences. 294 Basic diversity indexes are given in Table 1. Pairwise genetic distances between haplotype sequences 295 within and among Cape Verde warbler populations were very low in all cases, ranging between 0.1% 296 and 0.5% (Table 2). The Santiago population (the largest) showed the highest diversity within the 297 Cape Verde warbler, in terms of number of haplotypes, number of segregating sites, haplotype and 298 nucleotide diversity (Table 1, Fig 4). Nevertheless, haplotype and nucleotide diversity in the greater 299 swamp warbler were much higher, despite the limited number of samples screened (Table 1). The 300 population of S. Nicolau exhibits the lowest levels of diversity, while Fogo is intermediate (Table 1, 301 Fig 4). Diversity levels for the Cape Verde warbler population overall are similar to those for the 302 Santiago population (Table 1). The neutrality tests (Tajima's D and Fu's FS), which reflect population 303 expansion or contraction in the absence of selective forces, were not significant but showed 304 tendencies for population expansion on Santiago, and contraction on Fogo and S. Nicolau (Table 1).

The maximum likelihood phylogenetic tree and the haplotype network show that the greater swamp warbler haplotypes cluster in one distinct group (Fig 2 and 3). Among the Cape Verde warbler haplotypes, some nodes have low bootstrap support values because of the low information content of the sequences, as seen in their very low genetic distances (Table 2). Importantly, the S.

Nicolau haplotypes seem to have derived from the most common haplotype (labelled CVW_ST_FG in
 Fig 2), which is found on Santiago and Fogo but not on S. Nicolau (Fig 2 and 3). Apart from this most
 common haplotype, there are no shared haplotypes between the three populations.

312

313 Time to the most recent common ancestor

314 The tMRCA estimate was sampled from an approximately log-normal distribution; hence geometric 315 means are provided (Morrison 2008). The tMRCA for the Cape Verde and greater swamp warbler 316 was estimated at about 292 Kya, with upper confidence limits well within the last million years 317 (Table 3). The tMRCA of S. Nicolau and the two Sotavento populations was estimated at 199 Kya, 318 and the tMRCA for Santiago and Fogo at 165 Kya, both with upper 95% confidence limits within the 319 last 650 thousand years (Table 3). The lower 95% confidence limits for the tMRCA for all Cape Verde 320 warbler populations, and for the Sotavento populations, was over 30 Kya ago, i.e., prior to the Last 321 Glacial Maximum (Clark et al. 2009).

322

323 Microsatellites

324 Genetic diversity measurements are given in Table 4. The estimated error rate per locus was 0.25%. Evidence for null alleles was found in microsatellite loci Ase11 and Ase22 with null allele frequencies 325 326 of 0.309 and 0.164, respectively, using the Oosterhout algorithm (van Oosterhout et al. 2004), so 327 these were removed from subsequent analyses. Ase13 and Ase48 were in strong linkage 328 disequilibrium (P < 0.001) across all populations. There was no difference between results of summary statistics using either only Ase13 or only Ase48 (Mantel test on F_{ST} matrices, P = 0.172; 329 Wilcoxon tests on He and Ho, all P > 0.742), so Ase48 was removed from further analyses. After 330 331 removing these markers, we found no deviations from HWE or significant LD between loci. 332 Heterozygosity, allelic richness and number of private alleles show a clear gradient, with highest 14

values in Santiago, intermediate in Fogo and lowest in S. Nicolau, concurring with the mtDNA results
(Table 4 and Fig 4). The inbreeding coefficient (F_{is}) is very close to random expectation for all the
populations of the Cape Verde warbler, i.e. between -0.0030 and 0.0047 (Table 4).

Global F_{ST} was 0.33 for cytochrome b and 0.16 for microsatellites (both P < 0.001), showing pronounced overall differentiation among the populations. Pairwise F_{ST} values are shown in Table 5. Differentiation between the Santiago and Fogo populations was moderate to high, and high between those populations and that of S. Nicolau. The pattern of differentiation identified among populations was the same for mitochondrial and microsatellite markers.

The Evanno method supports two main genetic clusters (k = 2; highest ΔK = 342.51, Fig S1 and S2), one including the S. Nicolau population and one with the Sotavento populations (Santiago and Fogo, Fig S2). However, when k = 3 the plot shows clear separation between the three island populations (Fig 5). This indicates that even though the main differences are between S. Nicolau and the Sotavento populations, there are also marked differences between Santiago and Fogo. For the analyses using only the Santiago population, the best k = 1 (results not shown), showing no evidence of structure within the Santiago population.

348

349 Bottleneck tests

All tests show a clear signal of a past population bottleneck in the S. Nicolau population (Table 6). The M-ratio tests and modified M-ratio tests range between 0.15 and 0.30 (Table 6), well below the critical value of 0.68 (Garza & Williamson 2001), suggesting bottlenecks have occurred on all islands. However the shift mode and heterozygosity tests (using the strict stepwise mutation model) did not detect bottlenecks for the Santiago and Fogo populations (Table 6).

355

356 Discussion

357 Overall we found low genetic diversity within, but considerable divergence among, the three 358 remaining populations of Cape Verde warbler. There is a gradient of genetic diversity decreasing 359 from Santiago through Fogo to S. Nicolau (Fig 4). The gradient of genetic diversity from the larger to 360 the smaller island is consistent with expectations derived from population and island size theories 361 (MacArthur & Wilson 1967; Frankham 1996; Frankham et al. 2009). Santiago possibly holds the 362 largest population, estimated at ca. 500 pairs in the 1980s, when the warbler was thought to be absent from the north part of the island (Hazevoet 1995). As birds can now be found all across this 363 364 island, if the density and territory size are relatively constant, the actual population size is likely to be 365 considerably higher (pers. obs.). However, to our knowledge there is no information on density or 366 territory sizes for this island, and no censuses that could provide a reliable basis for an accurate 367 estimate have been carried out on Santiago; thus estimates must be taken with extreme caution 368 (BirdLife International 2016). Clearly, it would be advisable to undertake thorough population 369 censuses on Santiago to better inform conservation. The population on Fogo is medium-sized, with 370 ca. 500 pairs (Hering & Fuchs 2009) and birds limited to the well-vegetated north-eastern part of the 371 island. On S. Nicolau there is a very small population (8-10 pairs; <u>Hazevoet et al. 1999</u>; <u>Donald et al.</u> 372 2004, 12-13 pairs; this study) limited to the reed patches and mango tree valleys within a small (< 20 373 km²) central part of the island (pers. obs.).

Genetic divergence is greatest between S. Nicolau and the two Sotavento populations of Santiago and Fogo (Table 5). Our results are similar to those of previous studies addressing genetic divergence in other Cape Verdean taxa including geckos (<u>Arnold et al. 2008; Vasconcelos et al. 2012</u>), skinks (<u>Brehm et al. 2001; Brown et al. 2001</u>) and kestrels (<u>Hille et al. 2003</u>). There is usually a clear genetic differentiation between the populations on the Barlavento and Sotavento island groups (e.g., <u>Brown et al. 2001; Hille et al. 2003</u>), except where the focal species occupy micro-habitat niches and/or have very limited dispersal capacities. In such cases, they can be genetically divergent even among closely located islands (<u>Brehm et al. 2001</u>; <u>Arnold et al. 2008</u>; <u>Vasconcelos et al. 2012</u>);
 nevertheless the differentiation between Barlavento and Sotavento island groups is always present.

383 The warbler population on Santiago is widespread, inhabits a diverse range of habitats, from 384 sugarcane plantations to mountain forests, and is genetically the most diverse of the three (Tables 1 385 and 5, Fig 3 and 4), but we did not find any population substructure. Considering that, based on area of occurrence of the warbler alone, it is potentially the largest population, and the fact that it is the 386 387 most genetically diverse, we would argue that the Santiago population is currently of least 388 conservation concern of the three populations. Although the Fogo population was only discovered in 389 2004 (Hering & Hering 2005), our results indicate that the island was colonised well before the Last 390 Glacial Maximum (> 30 Kya) and has been isolated and diverging ever since. This population seems 391 to be of medium conservation concern. Taken together, our results indicate that the split between 392 the Santiago and Fogo populations was more recent than between those two and S. Nicolau. Current 393 demographic trends in any of the three populations are unknown.

394 When the Cape Verde warbler was discovered on S. Nicolau in the 1860s, it was said to be 395 "numerous" (Keulemans 1866). However, by the 1920s it was considered extinct on the island, and 396 was only rediscovered in the 1990s (Hazevoet 1995; Hazevoet et al. 1999). One specimen, collected 397 in the 1970s and housed in the Zoological Centre in Lisbon, is testimony that a remnant population 398 persisted between the 1920s and the 1990s (Hazevoet et al. 1999). Our results support the 399 occurrence of a severe bottleneck in this population, in agreement with the anecdotal evidence 400 (Hazevoet et al. 1999; Donald et al. 2004). This population has lower diversity, different 401 microsatellite allele frequencies and private mitochondrial alleles when compared to Santiago and 402 Fogo. Phylogenetically the S. Nicolau birds group with the other Cape Verde warbler populations 403 rather than with the greater swamp warbler (Fig 2). Additionally, the tMRCA of S. Nicolau and the 404 two Sotavento populations is 199 kya. Consequently, the current S. Nicolau population cannot have been derived from birds that arrived from Santiago or Fogo in the late 20th century. It is also highly 405 17

406 unlikely that S. Nicolau was recolonised by greater swamp warblers dispersing directly from Africa 407 after the original population was extinct. There is a strong indication that a bottleneck has occurred 408 in this population, and based on our own sampling effort we estimate a maximum of 20–25 breeding 409 pairs currently on the island. S. Nicolau is known to have suffered from severe droughts during the 410 last century (Sena-Martins & Moreno 1986; Hazevoet 1995; Correia 1996) and this and other factors, 411 such as predation and/or pathogens, may have reduced the warbler population. However, we 412 cannot accurately estimate by how much it has decreased given that there is no reported estimate 413 of its original size, and assessing possible habitat loss is beyond the scope of this paper. Thus, any 414 links between bottlenecks and constraints on population size are only speculative at this point. 415 Clearly, the warbler population on S. Nicolau should be considered to be of high conservation 416 concern.

417 The estimated tMRCA between the three Cape Verde warbler populations (165–199 Kya) is 418 not that much more recent than the tMRCA between the Cape Verde and greater swamp warblers 419 (292 Kya; Table 3), suggesting that there was little gene flow between the Cape Verde warbler 420 populations after the colonisation of the islands. However, divergence dates have an associated 421 error (95% HPD; Table 3) and the use of different markers, substitution rates, calibration methods or 422 molecular clocks can lead to variation in estimated tMRCA and divergence times (e.g. Ho 2007; 423 Yoshikawa et al. 2008; Cibois et al. 2011). Consequently tMRCA must be treated with caution. For 424 example, the molecular substitution rate we used was 2.1% sequence divergence per million years 425 (Weir & Schluter 2008). However, there is evidence that no universal molecular clock rate exists for 426 birds, and that substitution rates can be higher than 2.1% for recently diverged sister species or for 427 intraspecific datasets (García-Moreno 2004; Lovette 2004; Subramanian et al. 2009). Nevertheless, it 428 is clear that the Cape Verde warbler diverged from its sister species within the last million years 429 (geometric mean estimate = 292 Kya), as previously suggested (Hazevoet 1995; Fregin et al. 2009), 430 and that the three Cape Verde warbler populations have been isolated from each other since before

the Last Glacial Maximum (> 30 Kya) and perhaps much longer (199 Kya). That Santiago and Fogo
share the same most common cytochrome b haplotype (Fig 2 and 3), and have a tMRCA estimate of
165 Kya suggest that the split between these two populations was more recent than the split
between them and S. Nicolau (199 Kya), with which they share no cytochrome b haplotypes.

435 Our results indicate that the populations have been through bottlenecks of variable 436 intensity, with particularly strong evidence for the S. Nicolau population (Table 6). The M-ratio, 437 which tests for occurrence of gaps in allele size range, can still show a bottleneck signal long after 438 the signal is no longer detectable with heterozygosity or shift mode tests (Garza & Williamson 2001; 439 Williamson-Natesan 2005; Peery et al. 2012). It is tempting to infer that, even though all the warbler 440 populations appear to have undergone bottlenecks, the one affecting S. Nicolau occurred more 441 recently, or is still ongoing, while in Fogo and Santiago the populations have stopped decreasing, or 442 are even increasing in size. Contrasting results from M-ratio and heterozygosity tests are commonly 443 used to infer differences in the timing of bottlenecks (Garza & Williamson 2001; Williamson-Natesan 444 2005; Peery et al. 2012), but these tests can be unequally affected if assumptions are violated (Peery 445 et al. 2012), so results must be interpreted with caution. Therefore, even though our data show that 446 the S. Nicolau population has been recently, or still is, bottlenecked, and that evidence for 447 bottlenecks in the other two populations is not as strong, this does not exclude the possibility that 448 the other populations are currently decreasing as well.

Despite extensive surveys, we did not find warblers on either Brava or Santo Antão, consistent with results from previous work (H. Dinis & E. Ramos, *pers. comm*.). This means that the Brava population, last reported in 1969 (<u>Hazevoet 1995</u>), is very likely to be extinct. A possible explanation is that, as the second smallest island in the archipelago, it would not have had sufficient suitable habitat to support a population through the drought periods that the archipelago suffered in the 1970s and 1980s (<u>Hazevoet 1995</u>; <u>Correia 1996</u>). In the case of Santo Antão, a lack of historical

information means we are unable to make any inferences about the possible presence of apopulation there in the past.

The three populations of the Cape Verde warbler fall under the definition of MUs, but not 457 458 under the definition of ESUs. There is no evidence of gene flow among them, they are genetically 459 distinct, but there is sharing of one mitochondrial haplotype at least between Fogo and Santiago. 460 The population of S. Nicolau is especially distinct, as it does not share mitochondrial haplotypes with either of the Sotavento populations, even though they are not reciprocally monophyletic. From a 461 462 conservation genetics perspective, it may appear prudent to manage all three populations as 463 separate units, such as suggested for similar situations in other taxa (Fouquet et al. 2010; Garcia-del-464 <u>Rey et al. 2013</u>; <u>Reynolds et al. 2015</u>). However, the decision to preserve each population's genetic uniqueness in the face of future risks of inbreeding depression or a limited adaptive potential is not 465 466 straightforward (Amos & Balmford 2001; Miller et al. 2009; Weeks et al. 2011; Coleman et al. 2013; 467 Weeks et al. 2016). Augmentation of the number of individuals on some islands, to help prevent 468 inbreeding and maximise adaptive potential, may be beneficial to the populations' chances of 469 survival (Weeks et al. 2011; Weeks et al. 2016). However, such actions could potentially lead to outbreeding depression (Edmands 2007) and the relative potential costs and benefits must therefore 470 471 be assessed carefully. In this work, we did not investigate potential direct threats to any of the three 472 populations, such as pathogens, predation or habitat destruction; we discuss our findings solely from 473 a conservation genetics perspective.

474

475 Conservation conclusions and recommendations

Our genetic data suggest that each of the three remaining populations of the Cape Verde warbler
should be treated as different MUs (<u>Ryder 1986</u>; <u>Moritz 1994b</u>), with different conservation
priorities (high on S. Nicolau, medium on Fogo, low on Santiago). Special attention should be given

479 to the more genetically divergent (and most genetically depauperate) population on S. Nicolau. 480 Territory occupancy is currently being monitored at several locations on Santiago and Fogo (A. 481 Rendall, J. Mascarenhas, A. Rodrigues, pers. comm.). Fewer conservation actions have been directed 482 to S. Nicolau, where monitoring has been irregular over the last few years (L. Oliveira, pers. comm.). 483 From previous surveys (Hazevoet et al. 1999; Donald et al. 2004) and our own sampling effort, we 484 estimate that S. Nicolau currently holds a maximum of 20–25 breeding pairs (minimum 12; pers. 485 obs.) and therefore could be considered to be on the verge of extinction. At the moment, the 486 relative roles of genetic versus ecological factors in constraining population sizes on any of the 487 islands are not clear and further information on this topic is required to help inform conservation 488 actions. It is important to undertake baseline studies on this species (e.g. population sizes estimates, 489 breeding behaviour, productivity, diet, habitat and predation), preferably on all islands as ecological 490 differences might exist between populations. Until such studies are undertaken, managers should 491 incorporate in their plans actions that take this bird's habitat into account. For example, in the 492 Natural Park of Serra Malagueta, not all the invasive shrubs were removed in a recent restoration 493 action because some warblers were nesting there (J. Mascarenhas, pers. comm.). Direct 494 conservation actions and the use of augmentation in the population of S. Nicolau should be 495 considered to help conserve this population. We hope that this genetic analysis will be of use to 496 practitioners in refining action plans to maximise the conservation outcome for this endemic 497 species.

498

552 Acknowledgements

553 We thank Andrew Power, Jaelsa Moreira, Josh Jenkins Shaw, Torbjörn Blixt, Naya Sena, Jeroen 554 Arnoys, INIDA and Natural Parks of Serra Malagueta, Fogo and Monte Gordo for help with fieldwork; 555 Juan Carlos Illera, Martim Melo, Martin Haase, the University of Oslo Natural History Museum and the Seychelles Warbler Research Group for extra samples; Urban Olsson, Juan Carlos Illera and Lewis 556 557 Spurgin for input on molecular work; and Eduardo Garcia-del-Rey and an anonymous reviewer for 558 valuable comments on the manuscript. Samples were collected under permits 36/2013 and 35/2014 559 issued by the General Direction for the Environment of the Republic of Cape Verde. This work was supported by a Fundação para a Ciência e Tecnologia (FCT Doctoral Research 560 Grant 561 SFRH/BD/84102/2012), through POPH funds from the European Social Fund (study design and data 562 analysis), Rufford Foundation, African Bird Club, BirdLife International, Royal Society for the 563 Protection of Birds (fieldwork), University of East Anglia and A. G. Leventis Foundation (molecular 564 work).

565

566 Author contributions

567 DSR designed the study with input from IB and NJC. HRB, DSR, IB and NJC worked to raise the 568 funding. HRB collected the samples, except where stated otherwise, did the molecular work and 569 drafted the manuscript. HRB and DJW analysed the data with input from DSR. All authors had input 570 into earlier drafts and agreed on the final manuscript.

571

572 Data accession statement

Haplotype sequences have been submitted to GenBank (accession numbers KX518324 -KX518347).

575 Conflict of interest

576 The authors declare that they have no conflict of interest.

578 Compliance with ethical standards

579 This work has been approved by the BIO Ethical Review Committee of the University of East Anglia.

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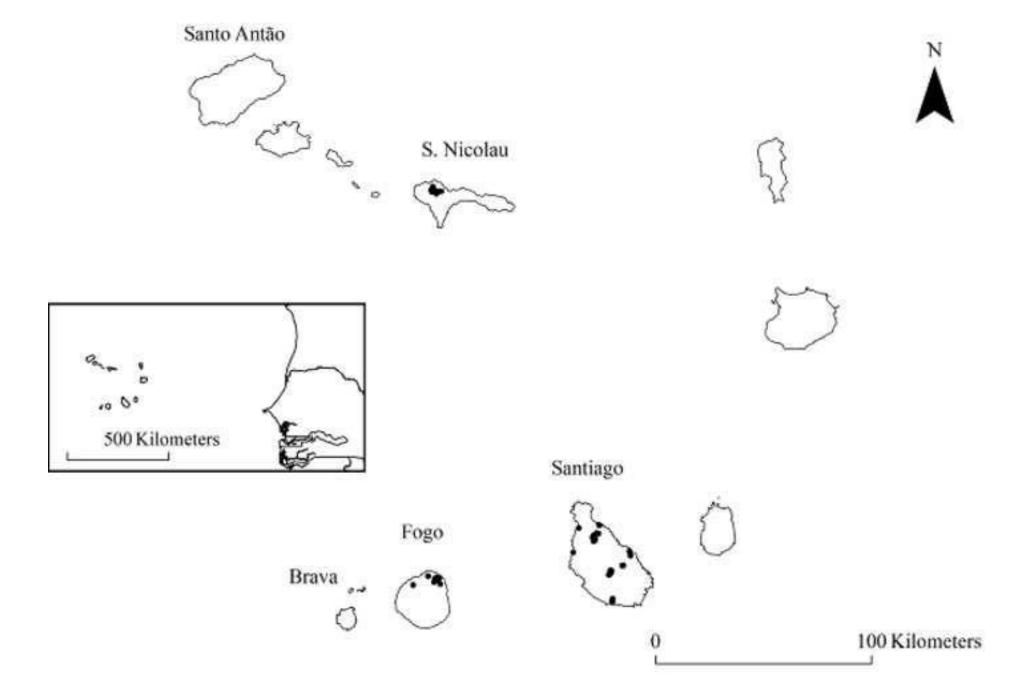
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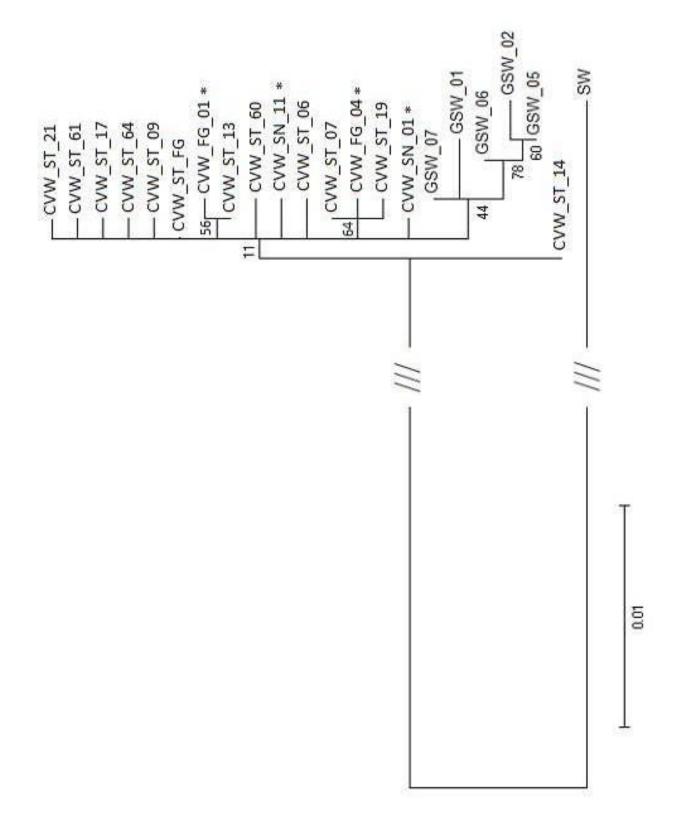
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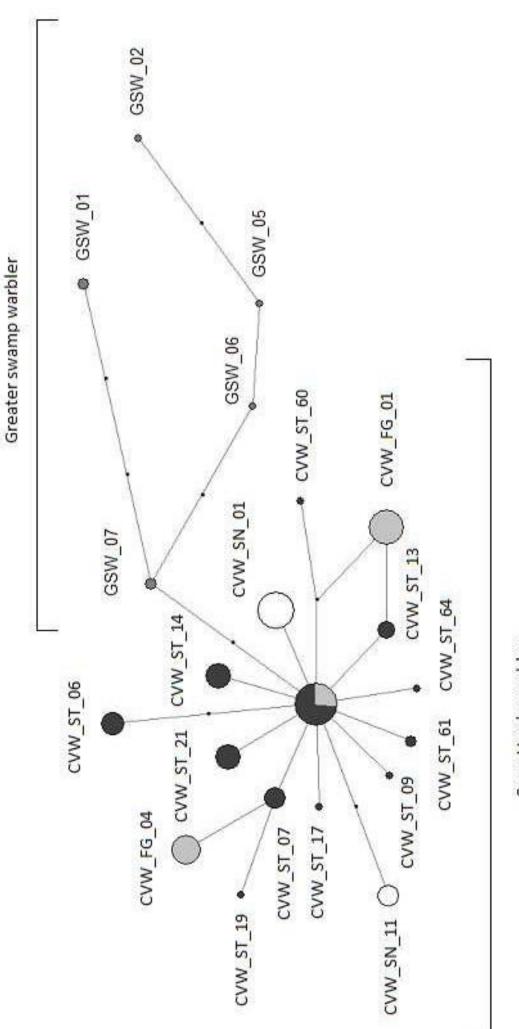
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865 Figure captions

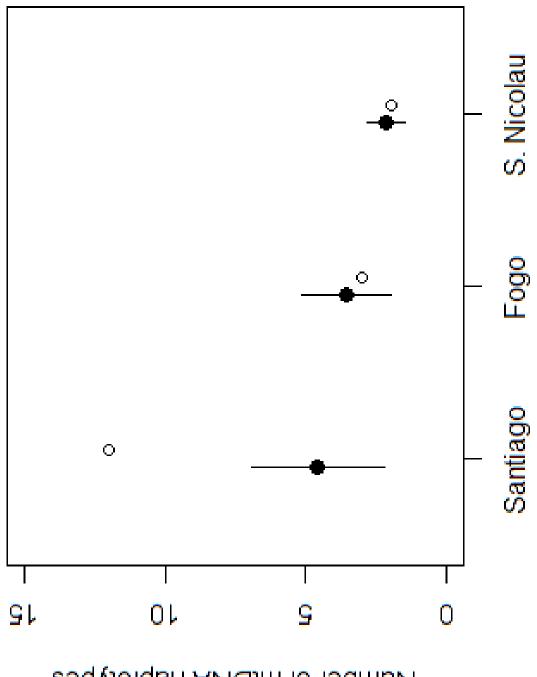
- **Fig. 1** The Cape Verde archipelago with the locations where Cape Verde warblers were sampled in 2013 and 2014.
- 867 Inset shows the archipelago in relation to West Africa. For details on sampling sites and dates, see main text868 and supplementary material
- Fig. 2 Maximum likelihood phylogenetic analysis of the Cape Verde warbler (CVW, n = 145) and greater swamp
 warbler (GSW, n = 7) species, based on cytochrome b; haplotypes names are coded by island (ST = Santiago,
 FG = Fogo, SN = S. Nicolau); asterisks mark haplotypes only found on Fogo or S. Nicolau; the Seychelles
 warbler haplotype (SW, n = 3) is used as an outgroup
- Fig. 3 Median-joining haplotype network based on cytochrome b of the Cape Verde warbler (CVW, n = 145) and the greater swamp warbler (GSW, n = 7). Haplotypes found in the Cape Verde warbler populations are represented in dark grey for Santiago, light grey for Fogo and white for S. Nicolau; the central haplotype is the most common one (labelled CVW_ST_FG in Fig. 2); haplotypes found in the greater swamp warbler, in medium grey, cluster separately. The size of the circles is proportional to the number of individuals that share that haplotype. Black dots represent intermediate (unsampled) haplotypes, with 1 bp between consecutive haplotypes
- Fig. 4 Gradient of decreasing genetic diversity with estimated population size: rarefied allelic richness (full circles, n =
 131; error bars = SD) and number of haplotypes (empty circles, n = 145) for each of the Cape Verde warbler
 (CVW) populations, ordered by decreasing population size from Santiago to S. Nicolau
- Fig. 5 STRUCTURE plot showing k = 3 distinct genetic clusters in the Cape Verde warbler samples where Santiago, n =
 66; Fogo, n = 38; and S. Nicolau, n = 27. Each bar represents an individual's proportional probability of
 membership to each cluster, given in dark grey, light grey and white, respectively



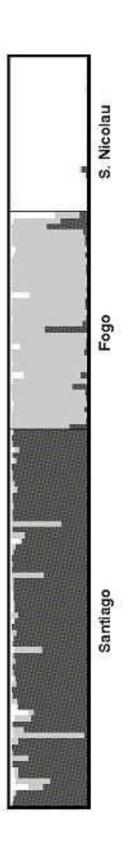








Rarefied allelic richness Number of mtDNA haplotypes



1 Tables

2	Table 1 Genetic diversity and demography in the Cape Verde warbler (CVW) and greater swamp
3	warbler (GSW) based on cytochrome b; N = number of individuals sequenced; S =
4	segregating sites; h = number of haplotypes; Hd = haplotype diversity; Pi = nucleotide
5	diversity; for Tajima's D, all P > 0.05 and for Fu's FS, all P > 0.02 (non-significant)

Population	Ν	S	h	Hd ± SD	Pi ± SD	Tajima's D	Fu's FS
All CVW	145	17	16	0.887 ± 0.011	0.00182 ± 0.00009	-0.887	-4.335
Santiago	72	13	12	0.841 ± 0.024	0.00134 ± 0.00011	-1.222	-4.356
Fogo	43	4	3	0.642 ± 0.035	0.00172 ± 0.00008	2.601	4.450
S. Nicolau	30	3	2	0.370 ± 0.084	0.00097 ± 0.00022	1.097	3.704
GSW	7	8	5	0.905 ± 0.103	0.00315 ± 0.00063	0.569	-0.333

7	Table 2 Estimates of evolutionary divergence among haplotype pairs (pairwise genetic
8	distances), between the Cape Verde warbler populations and greater swamp warbler
9	(GSW), based on cytochrome b sequences: within (diagonal in underlined italic) and
10	among population (below diagonal)

Population	Santiago Fogo		S. Nicolau	GSW
Santiago	<u>0.001</u>			
Fogo	0.002	<u>0.002</u>		
S. Nicolau	0.002	0.002	<u>0.001</u>	
GSW	0.004	0.005	0.005	<u>0.003</u>

Table 3 Estimates of the time to the most recent common ancestor (tMRCA; geometric mean
 shown) for the Seychelles warbler (SW), Cape Verde warbler (CVW) and greater swamp
 warbler (GSW), based on the cytochrome b; HPD = highest posterior density; Mya =
 million years; Kya = thousand years

Split	tMRCA	95% HPD
Seychelles warbler / Cape Verde warbler and greater swamp warbler	3.23 Mya	1.00–9.63 Mya
Cape Verde warbler / greater swamp warbler	292 Куа	67–872 Kya
S. Nicolau / Santiago and Fogo	199 Куа	40–615 Kya
Santiago / Fogo	165 Kya	32–511 Kya

Table 4 Genetic diversity for the Cape Verde warbler (CVW) populations, based on 13
 microsatellite loci and using only unrelated birds (N); observed (Ho) and expected (He)
 heterozygosity, allelic richness, private alleles and inbreeding coefficient (F_{IS})

F	Population	Ν	Ho ± SD	He ± SD	Allelic richness ± SD	Private alleles ± SD	Fıs
4	All CVW	131	0.483 ±0.181	0.543 ±0.207	5.536 ±3327	na	0.1100
S	Santiago	66	0.567 ±0.196	0.565 ±0.195	4.598 ±2.446	1.290 ±1.271	-0.0030
F	ogo	38	0.507 ±0.241	0.509 ±0.228	3.574 ±1.651	0.362 ±0.596	0.0047
S	5. Nicolau	27	0.355 ±0.120	0.355 ±0.126	2.151 ±0.685	0.183 ±0.376	0.0018

Table 5 Pairwise F_{ST} values for the Cape Verde warbler populations of Santiago, Fogo and S.23Nicolau showing microsatellite pairwise F_{ST} above the solid line and Cytochrome b F_{ST} values24below; all values are significant at P < 0.001

Population	Santiago	Fogo	S. Nicolau
Santiago		0.062	0.232
Fogo	0.256		0.275
S. Nicolau	0.349	0.450	

Table 6 Wilcoxon test for heterozygosity excess *P*-values (IAM = infinite allele model; TPM = two
 phase model with either 70% or 90% of stepwise mutations and SMM = stepwise

mutation model), mode shift test and Garza-Williamson's M and modified M ratio indexes for bottleneck detection in the three Cape Verde warbler populations

ТРМ				Shift			
Population	ΙΑΜ	(70%)	(90%)	SMM	mode test	M index	Modified M index
Santiago	0.0006	0.0210	0.0327	0.1465	no	0.307 ±0.117	0.289 ±0.120
Fogo	0.0002	0.0057	0.0061	0.0640	no	0.272 ±0.135	0.221 ±0.098
S. Nicolau	0.0002	0.0005	0.0005	0.0024	yes	0.201 ±0.106	0.151 ±0.101