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Evaluation of branched GDGTs and leaf wax *n*-alkane  $\delta$  <sup>2</sup>H as (paleo) environmental proxies in East Africa

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1	Evaluation of branched GDGTs and leaf wax $n$ -alkane $\delta^2$ H as
2	(paleo) environmental proxies in East Africa
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26	
27	Abstract
28	The role of mountain evolution on local climate is poorly understood and
29	potentially underestimated in climate models. One prominent example is East Africa,
30	which underwent major geodynamic changes with the onset of the East African Rift
31	System (EARS) more than 250 Myr ago. This study explores, at the regional East African
20	
32	scale, a molecular approach for terrestrially-based paleo-climatic reconstructions that
33	takes into account both changes in temperature and in altitude, potentially leading to an
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34	improved concept in paleo-climatic reconstructions. Using surface soils collected along
35	pronounced altitudinal gradients in Mt. Rungwe (n=40; Southwest Tanzania) and Mt.
36	Kenya (n=20; Central Kenya), we investigate the combination of 2 terrestrial proxies, lea
37	wax <i>n</i> -alkane $\delta^2 H$ ( $\delta^2 H_{wax}$ ) and branched glycerol dialkyl glycerol tetraether (br GDGT)
38	membrane lipids, as (paleo) elevation and (paleo) temperature proxies, respectively. At
39	the mountain scale, a weak link between $\delta^2 H_{wax}$ and altitude (R² = 0.33) is observed at
40	Mt. Kenya, but no relationship is observed at Mt. Rungwe. It is likely that additional
41	parameters, such as decreasing relative humidity (RH) or vegetation changes with
42	altitude, are outcompeting the expected <sup>2</sup> H-depletion trend along Mt. Rungwe. In
43	contrast, br GDGT-derived absolute mean annual air temperature (MAAT) and
44	temperature lapse rate (0.65 °C/100 m) for both mountains are in good agreement with
45	direct field measurements, further supporting the robustness of this molecular proxy for
46	(paleo) temperature reconstructions. At the regional scale, estimated and observed $\delta^2 H$
47	data in precipitation along 3 mountains in East Africa (Mts. Rungwe, Kenya and
48	Kilimanjaro) highlight a strong spatial heterogeneity, preventing the establishment of a
49	regional based calibration of $\delta^2 H_{\text{wax}}$ for paeloaltitudinal reconstructions. Different from
50	that, an improved regional soil calibration is developed between br GDGT distribution
51	and MAAT by combining the data from this study (Mts. Rungwe and Kenya) with
52	previous results from East African surface soils along Mts. Kilimanjaro (Tanzania) and
53	Rwenzori (Uganda). This new regional calibration, based on 105 samples, improves both
54	the R <sup>2</sup> (0.77) and RMSE (root mean square error; 2.4 °C) of br GDGT-derived MAAT
55	over the global soil calibrations previously established (R² = 0.56; RMSE = 4.2 $^{\circ}$ C) and
56	leads to more accurate (paleo) temperature reconstructions in the region.

### 1. Introduction

59	The East African Rift System (EARS) is one of the best examples of an active rift
60	system. The initial rifting in the area dates back to the Permo-Triassic and Cretaceous
61	(Macgregor, 2015). The development of this complex system with several phases of
62	rifting dramatically changed the East African landscape. Yet its potential influence on the
63	vegetation and local and African climate is hardly constrained. Previous modelling
64	(Sepulchre et al., 2006) postulated that the rise of the EARS should have greatly
65	contributed to the long term aridification of East Africa. However, these simulations only
66	compared 2 extreme scenarios: (i) no elevation and (ii) high elevation - reflecting the
67	lack of a well constrained history of the EARS rise. Moreover, paleo-climate
68	reconstructions in East Africa have long been hampered by 2 challenges: (i) traditional
69	hydrological proxies, such as lake level studies, are influenced not only by changes in the
70	amount of precipitation but also by ambient temperature; (ii) temperature changes are
71	poorly constrained because few sedimentary proxies are sensitive enough to accurately
72	record the small scale temperature variations in tropical lakes (Verschuren et al., 2009).
73	Recently, novel proxies were developed based on the analysis of fossil organic
74	compounds containing environmental information in their structures. Notably, leaf wax
75	$n$ -alkane $\delta^2$ H ( $\delta^2$ H <sub>wax</sub> ) was proposed as a (paleo) elevation proxy (Jia et al., 2008) while
76	branched glycerol dialkyl glycerol tetraether (br GDGT) membrane lipids are
77	increasingly used as a (paleo) temperature proxy (Weijers et al., 2007). The combined use
78	of these 2 proxies could thus help to better constrain the relationships between
79	topography and climate in East Africa.

80	Long chain <i>n</i> -alkanes are produced primarily by higher terrestrial plants (Eglinton
81	and Hamilton, 1967) and their $^2H/^1H$ ratio, typically expressed as $\delta^2H_{wax}$ , is linked with
82	the ${}^{2}\text{H}/{}^{1}\text{H}$ ratio of the plant water source (e.g. Sauer et al., 2001). Because <i>n</i> -alkanes are
83	well preserved in the geological archives (Eglinton and Eglinton, 2008) and their
84	hydrogen not easily exchangeable (Schimmelmann et al., 2006), the $\delta^2 H_{wax}$ is frequently
85	used in paleo-climate studies to track the variability of the <sup>2</sup> H/ <sup>1</sup> H ratio of meteoric water
86	$(\delta^2 H_p)$ , as recently reviewed by Sachse et al. (2012). The 'altitude effect', described by
87	Dansgaard (1964), is one of the physical parameters impacting the $\delta^2 H_p$ and it
88	corresponds to the progressive <sup>2</sup> H-depletion of precipitation along altitudinal gradients
89	due to successive Rayleigh distillation equilibria as the air mass rises. This effect has
90	been observed in precipitation along several mountains across the world (Araguás-
91	Araguás et al., 2000) including 2 equatorial African mountains: Mt. Cameroon
92	(Cameroon; Gonfiantini et al., 2001) and Mt. Kilimanjaro (Tanzania; Zech et al., 2015).
93	The ability of $\delta^2 H_{wax}$ to track this altitudinal effect was first shown at Mt. Gongga (China;
94	Jia et al., 2008). This relationship was further observed at several mountains in Asia,
95	Oceania and America (e.g. Luo et al., 2011; Ernst et al., 2013; Bai et al., 2015; Zhuang et
96	al., 2015).
97	Branched GDGTs represent another group of biomarkers increasingly used for
98	paleo-climate reconstructions in terrestrial archives (e.g. Peterse et al., 2011). These
99	membrane lipids (Suppl. Fig.) are ubiquitous in both terrestrial and aquatic environments
100	(Schouten et al., 2013) and are produced by yet unknown bacteria. In soils from across
101	the globe, the relative abundance of the different br GDGTs was shown to depend on
102	environmental parameters (Weijers et al., 2007), leading to the development of global

103	calibrations between br GDGT distribution and mean annual air temperature (MAAT)
104	and pH (Weijers et al., 2007; Peterse et al., 2012). These compounds can therefore be
105	used as paleo-thermometers. Soil-derived br GDGTs have been investigated along
106	several mountain transects (e.g.; Peterse et al., 2009; Ernst et al., 2013; Anderson et al.,
107	2014) with a high variability in temperature (as temperature decreases with altitude). In
108	the previously published papers, the related br GDGT-derived MAAT were found to (i)
109	be in agreement with local measured MAAT and (ii) relate with altitude (i.e. following
110	the natural temperature gradient), even though a high degree of scatter was observed for
111	some case studies (e.g. Mt. Gongga; Peterse et al., 2009).
112	The combination of $\delta^2 H_{\text{wax}}$ and br GDGT provided promising results which
113	significantly expand their potential for (paleo) climate reconstruction. In modern soils,
114	they were found to both correlate with altitude along Mt. Gongga (China, Jia et al., 2008
115	and Peterse et al., 2009), Mt. Meghalaya (India, Ernst et al., 2013) and the Southern Alps
116	(New Zealand, Zhuang et al., 2015) implying that $\delta^2 H_{wax}$ was recording the altitude effective to the second of the second
117	in $\delta^2\!H_p$ and br GDGTs the natural temperature gradient. In geological archives, the
118	approach has been employed to reconstruct the early Eocene elevation and climatic
119	history of the Sierra Nevada (Hren et al., 2010). It was deduced from the analysis of
120	$\delta^2 H_{wax}$ from fossil leaves and br GDGTs from the sediment matrix that, during the
121	Eocene Climatic Optimum, Sierra Nevada elevation was high (> 2 km) with warmer air
122	temperature than today. In East Africa, however, altitudinal transects from the southern
123	slope of Mt. Kilimanjaro (Tanzania) was investigated by several groups (Sinninghe
124	Damsté et al., 2008; Peterse et al., 2009; Zech et al., 2015), resulting in inconsistent
125	results. While estimated air temperatures derived from the analysis of br GDGTs were

126	consistent with the observational temperature data (Sinninghe Damsté et al., 2008), no
127	clear altitudinal trend was observed for $\delta^2 H_{wax}$ (Peterse et al., 2009; Zech et al., 2015).
128	This prompts the question regarding the relative importance of site (mountain)-related
129	and regional (East African)-related controls on the link between altitude and soil $\delta^2 H_{wax}$
130	record.
131	In the present study, $\delta^2 H_{wax}$ and br GDGTs were analysed in 60 surface soils
132	along 2 East African mountains – Mt. Rungwe (n=40; Southwest Tanzania; Fig. 1) and
133	Mt. Kenya (n=20; Central Kenya; Fig. 1) – to further test their joint applicability as
134	(paleo) environmental proxies in this climatically sensitive area. Our results were then
135	combined with previously published data for 2 additional East African mountains (Mt.
136	Rwenzori, Uganda, Loomis et al., 2011; Mt. Kilimanjaro, Tanzania, Peterse et al., 2009
137	Sinninghe Damsté et al., 2008 and Zech et al., 2015) to potentially generate regional
138	calibrations of $\delta^2 H_{\text{wax}}$ variations with elevation and br GDGT variations with
139	temperature. This could improve the precision/quality of regional scale (paleo) elevation
140	and (paleo) temperature reconstructions in comparison with global calibrations. The
141	ultimate goal of this work is to introduce improved tools for terrestrially-based paleo-
142	climatic reconstructions in East Africa that take into account not only temperature but
143	also altitude changes, and which could then be used to reconstruct the evolution of
144	topography and climate dynamics over geological time in the EARS.
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149	2.1. Study sites
150	Br GDGTs and <i>n</i> -alkanes were analysed in 40 surface soils collected between 500
151	and 2800 m along the gentle then steep slope of Mt. Rungwe in 2012 and 2014, and in 20
152	samples collected between 1900 and 3300 m along the steady gentle slope of Mt. Kenya
153	in 2013 (Table 1). About 50-60 g of soil were collected in the A horizon (0-5 cm) and
154	kept at room temperature before shipping to France by plane.
155	Mt. Rungwe is located in the Southwest of Tanzania (9° S, 33° E; Fig. 1) and
156	experiences a typical tropical climate, with alternations between a hot and humid (from
157	November to May) season and a colder and drier season (from June to October;
158	Delalande et al., 2008). Natural vegetation along the altitudinal transect belongs to the
159	Zambezian Miombo-type woodland at low altitude and Afromontane vegetation at higher
160	altitude (e.g. Vincens et al., 2003). Agriculture – including banana, rice, cocoa, tea,
161	coffee, maize cultivations – is practiced up to 1500 m leading to partial deforestation of
162	the mountain slopes (Williamson et al., 2014). MAAT was continuously measured with
163	temperature loggers (weather station, DAVIS, Instruments, Hayward, CA, USA) at 540
164	m, 920 m and 1720 m and varied from 25.6 °C at 540 m to 22.6 °C at 920 m and 16.9 °C
165	at 1720 m, leading to a temperature gradient of 0.7 °C/100 m.
166	Mt. Kenya is the highest mountain in Kenya (5199 m.a.s.l.) and is located on the
167	equator (0° S 37° E; Fig. 1). The twice-yearly passage of the Intertropical Convergence
168	Zone (ITCZ) leads to a bimodal rainfall pattern characterized by the onset and duration of
169	the long rains (March to May) and short rains (October to November), the other months
170	experiencing a drier climate (Camberlin et al., 2014). Present day vegetation is marked by

171	five distinguishable altitudinal zones, (Bremond et al., 2008; Hamilton, 1982) from the
172	mountain forest belt at its base (1960-2500 m.a.s.l.) to Afroalpine at its top (3400-
173	4200 m.a.s.l.), with Bamboo and Ericaceous zones in between. Based on meteorological
174	data from weather stations along Mt. Kenya and in Central Kenya (Camberlin et al.,
175	2014; Kenya Meteorological Department, 1984; Kenya Meteorological Department;
176	Smith, 1993), temperature lapse rate along Mount Kenya was determined as 0.63 °C/100
177	m.
178	
179	2.2. Sample preparation
180	Samples were frozen and freeze-dried at their arrival in Paris (France) and then
181	kept at -18 °C prior further treatment. Sample preparation was identical to that detailed
182	by Coffinet et al. (2014). Briefly, soils were extracted ( $3 \times 5$ min) with dichloromethane
183	(DCM): methanol (MeOH) (9:1, v:v) using an accelerated solvent extractor (ASE 100 –
184	Dionex; $100^{\circ}$ C, $10 \times 10^{6}$ Pa). The extract was separated into 2 fractions through a 2 cm
185	diam. column of Al <sub>2</sub> O <sub>3</sub> (activated overnight at 150 °C) using heptane:DCM (9:1, v:v) and
186	DCM:MeOH (1:1, v:v) respectively. The 2 fractions were then rotary evaporated, re-
187	dissolved in 1 ml heptane and centrifuged using an Eppendorf Mini Spin centrifuge (1
188	min, 7000 rpm) prior to further analysis.
189	
190	2.3. n-Alkane analysis
191	n-Alkanes were analysed at UPMC, Paris, France by gas chromatography coupled
192	to a mass spectrometer (GC-MS) using an Agilent Network 6890 GC System coupled
193	with a 5973 Mass Selective Detector, with electron impact at 70eV. 1 µl was injected and

194	the separation was achieved using a Restek RXI-5 Sil MS silica capillary column (30 m $\times$
195	$0.25\ mm$ i.d., $0.50\ \mu m$ film thickness) with He as the carrier gas at 1 ml/min flow rate.
196	The GC oven initial temperature was set to 50 °C and then increased to 320 °C at a 4
197	°C/min. Samples were injected in splitless mode and the injector temperature was 280 °C.
198	n-Alkane hydrogen isotopic composition was measured at Newcastle University,
199	UK using a Delta V+ isotope-ratio mass spectrometer (IRMS, ThermoFisher) connected
200	to a GC Ultra Trace (ThermoFisher), a Finnigan GC Combustion III (ThermoFisher) and
201	a high temperature conversion (HTC) system set up at 1400 °C. The GC oven initial
202	temperature was set to 50 °C and then increased to 250 °C at 15 °C/min and from 250 °C
203	to 320 °C at 5 °C/min. The GC oven was held at 320 °C for 15 min. Every sample was
204	analysed in duplicate and the <sup>2</sup> H/ <sup>1</sup> H ratio was reported on the VSMOW (Vienna standard
205	mean ocean water) scale to determine compound specific $\delta^2 H_{Cn}$ (‰). The $H_3$ factor was
206	measured daily and varied between 2.48 and 2.61 during the course of analysis.
207	Sample $n$ -alkane $\delta^2$ H values were corrected individually using an $n$ -alkane standard ( $n$ -
208	C16 to <i>n</i> -C30; mix A5) and 5α-androstane standard (A. Schimmelmann, Indiana
209	University), run at the beginning and at the end of each sample sequence. Standard error
210	of the measurements of the long chain $n$ -alkanes ( $C_{25}$ - $C_{31}$ ) from this standard mix ranged
211	between 0.3% and 1.1%.
212	
213	2.4. Br GDGT analysis
214	Br GDGT analysis was performed at UPMC, Paris, France by high pressure liquid
215	chromatography coupled to a mass spectrometer with an atmospheric pressure chemical
216	ionization source (HPLC-APCI-MS). Samples from Mt. Rungwe collected in 2014 were

- 217 analysed with a Shimadzu LCMS-2020, as described by Coffinet et al. (2015), whereas
- samples from Mt. Kenya and those from Mt. Rungwe collected in 2012 were analysed
- 219 with an Agilent 1100 series HPLC instrument equipped with an automatic injector and
- 220 coupled to a PE Sciex API 3000 mass spectrometer, using a procedure described by
- 221 Coffinet et al. (2014). Similar chromatographic conditions were used on the 2 machines
- 222 and samples from Coffinet et al. (2014) were re-run with the Shimadzu LCMS-2020 to
- assess the method reproducibility between the 2 LCMS apparatus (mean errors: 0.03 for
- MBT, 0.05 for CBT and 0.28 °C for MAAT).
- Semi-quantification of br GDGTs was performed by comparing the integrated
- signal of the respective compound with the signal of a C<sub>46</sub> synthesised internal standard
- 227 (Huguet et al., 2006) assuming their response factors to be identical.
- The MBT' (methylation index of branched tetraethers; eq. 1; Peterse et al., 2012)
- and CBT (cyclisation ratio of branched tetraethers; eq. 2; Weijers et al., 2007) indices
- 230 were determined from the following equations:

231 
$$MBT' = \frac{[Ia + Ib + Ic]}{[IIIa] + [IIa + IIb + IIc] + [Ia + Ib + Ic]} (1)$$

232 
$$CBT = -\log\left(\frac{[IIb] + [Ib]}{[IIa] + [Ia]}\right) (2)$$

- 233 Roman numerals refer to the structures in the Supplementary Figure.
- Based on triplicate injections, the maximal analytical error for the different indices was:
- 235 0.09 for MBT' and 0.03 for CBT.

- 236 MAAT was estimated from the global soil calibration developed by Peterse et al., 237 (2012; Eq. 3):
- 238  $MAAT = 0.81 5.67 \times CBT + 31.0 \times MBT'$  (3)

239

240

#### 2.5. Estimation of the H isotopic composition of precipitation

241 To characterize the H isotope composition of precipitation ( $\delta^2 H_p$ ) at the studied 242 sites, results from previous publications were gathered (Rieti-Shati et al., 2010; Nivet et 243 al., 2015; Zech et al., 2015). Due to the limited number of instrumental data,  $\delta^2 H_p$  was 244 also estimated with the Online Isotopes in Precipitation Calculator (OIPC; Bowen, 2016; 245 Bowen and Revenaugh, 2003). The OIPC model was developed to allow point estimation 246 of  $\delta^2 H_p$ . It is based on a spatial interpolation from the 340 Global Network for Isotope in 247 Precipitation (GNIP) station database. This interpolation includes the latitude and altitude 248 effects on the isotopic composition of precipitation but in some regions, including East 249 Africa, it is limited by additional sources of uncertainty. In East Africa, the major source 250 of uncertainty is the small number of GNIP stations which lowers the dataset resolution (Bowen and Revenaugh, 2003; West et al., 2004) while the  $\delta^2 H_p$  variability is there 251 252 especially high (due to the intertropical context and the orographic complexity). In 253 addition, Bowen and Wilkinson (2002) pointed out 2 high altitude stations (Addis-Abeba 254 and Entebbe) where the measured isotopic composition of precipitation was anomalously 255 enriched in heavy isotopes, leading to an increased uncertainty in the prediction of  $\delta^2 H_p$ 256 in this region. For the estimations along the 3 studied mountains, the 95% confidence 257 level of the model varied from 3-4% at low altitudes to 6% at higher altitudes.

258	3. Results
259	
260	3.1. $\delta^2$ Hp and $\delta^2$ Hwax along the altitudinal transects at Mt. Kenya and Mt. Rungwe
261	The $\delta^2 H_p$ values along Mts. Kenya, Rungwe and Kilimanjaro obtained using the
262	OIPC (the Online Isotopes in Precipitation Calculator; Bowen, 2016; Bowen and
263	Revenaugh, 2003; Fig. 2) span from -42% at 3270 m.a.s.l. to -23% at 1900 m.a.s.l. along
264	Mt. Kenya and from -49% at 2800 m.a.s.l. to -16% at 520 m.a.s.l. along Mt. Rungwe and
265	from -45% at 3245 m.a.s.l. to -23% at 1727 m.a.s.l along Mt. Kilimanjaro.
266	n-Alkanes were analysed in 20 soil samples collected between 1900 to 3160 m
267	along Mt. Kenya and in 40 soil samples collected between 500 and 2800 m along Mt.
268	Rungwe. The distribution parameters of these compounds (chain length range, $C_n$ range;
269	average chain length, ACL; carbon preference index, CPI; cf. Supplementary Table 1) do
270	not show any statistically relevant correlation with altitude. Along the two transects, long
271	chain $n$ -alkanes with odd-over-even predominance were the most abundant, as reflected
272	by overall high ACL ( $28 \pm 2$ ; Suppl. Table 1) and CPI values (between 3 and 18; Suppl.
273	Table 1). These results indicate that $n$ -alkanes present in the soils originate predominantly
274	from higher plants. The $\delta^2 H$ values are comprised between -109% and -177% (mean -
275	143‰) at Mt. Rungwe, and between -116‰ and -167‰ at Mt. Kenya (mean -141‰;
276	Suppl. Table 1). The weighted mean $\delta^2 H_{\text{wax}}$ of the long chain <i>n</i> -alkanes (C <sub>27</sub> , C <sub>29</sub> , C <sub>31</sub> )
277	varied between -166‰ and -125‰ (Table 1) along Mt. Rungwe and between -158‰ and
278	-119‰ along the slope at Mt. Kenya.
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282	3.2. Br GDGT-derived mean annual air temperature (MAAT) along the altitudinal
283	transects at Mts. Rungwe and Kenya
284	Br GDGTs were analysed along Mt. Kenya in the same soil samples as those
285	analysed for <i>n</i> -alkanes (n=20). Br GDGT proxies and corresponding temperature
286	estimates were previously determined in 20 surface soils collected at Mt. Rungwe in 2012
287	(Coffinet et al., 2014). This dataset was extended in the present study by the analysis of
288	br GDGTs in 16 additional soil samples (same sample set as for the <i>n</i> -alkane analysis
289	except 3 of them which were not analysed, see details in Table 1) collected along Mt.
290	Rungwe. The distribution of br GDGTs was similar in soils collected in 2012 and 2014,
291	as shown by the comparable ranges of MBT' and CBT values in these samples (cf. Suppl
292	Table 2).
293	Br GDGT-derived MAATs were estimated using the global soil calibration
294	(Peterse et al., 2012) and varied from 9.3 °C at 3270 m.a.s.l. to 16.9 °C at 1900 m.a.s.l.
295	along Mt. Kenya and from 12.2 °C at 2055 m.a.s.l. to 22.5 °C at 529 m.a.s.l. (Table 1).
296	
297	4. Discussion
298	
299	4.1. Variation of $\delta^2$ H of <i>n</i> -alkanes in East Africa
300	In East Africa, the altitude effect on the hydrogen isotopic ratio of precipitation
301	$(\delta^2 H_p)$ was reported along Mt. Kilimanjaro above 2000 m.a.s.l. (1.4%/100 m) while it
302	tends to be much weaker at lower altitudes (Zech et al., 2015 and Fig. 2). As Gonfiantini
303	et al. (2001) observed at Mt. Cameroon (Cameroon), the altitudinal gradient in the $\delta^2 H_p$
304	seems to increase with altitude. Along Mt. Rungwe, the establishment of a long term

305	monitoring of the isotopic composition of precipitation is ongoing (Nivet et al., 2015).
306	Preliminary data indicate values ranging from -16.9% at 1720 m.a.s.l. to -12.9% at 920
307	m.a.s.l. and -11.3% at 540 m.a.s.l. (Fig. 2) but more data are needed at higher altitudes to
308	compare with Mts. Kilimanjaro and Cameroon trends. Along Mt. Kenya, Rieti-Shati et al.
309	(2000) suggested the altitude effect to be absent based on precipitation events collected
310	between 3000 and 4000 m.a.s.l. and groundwater collection above 4500 m.a.s.l. However
311	this assumption is based on a very limited dataset from high altitude sampling sites on the
312	western side of the mountain whereas sampling for the present study was performed on
313	the North-East slope of Mt. Kenya. Long-term monitoring of precipitation along a wide
314	elevation range of this slope side at Mt. Kenya is needed to precisely assess the variation
315	of its isotopic composition.
316	Because of this limited number of measured isotopic values of precipitation along
317	the studied mountains, $\delta^2 H_p$ had to be estimated using the OIPC (the Online Isotopes in
318	Precipitation Calculator; Bowen, 2016; Bowen and Revenaugh, 2003; Fig. 2). A <sup>2</sup> H-
319	depletion lapse rate of 1.5 %/100 m was computed for Mts. Rungwe and Kenya and of
320	1.4%/100 m for Mt. Kilimanjaro, similar to the one measured by Zech et al. (2015)
321	above 2000 m.a.s.l. (Fig. 2). Nevertheless, along the latter, the OIPC data were observed
322	to be shifted from the measured ones, particularly at higher altitudes, towards more
323	depleted values and to a higher extent than the 95% confidence level estimated by the
324	model (ca. 11% difference; Fig. 2). Such a shift was already observed and discussed in
325	Kenya by Soderberg et al. (2013) and is likely due to the low number of GNIP stations
326	whilst the rain composition exhibits a high spatial variability in the region. Therefore the
327	absolute values derived from the OIPC must be treated with caution. However, they

328	should depict the likely trend of $\delta^2 H_p$ related to the altitude effect and allow comparison
329	between the three studied sites. Notably, the $\delta^2 H_p$ varies from one mountain to another
330	(Fig. 2). At a given altitude, 870 m.a.s.l. for example, $\delta^2 H_p$ equals -22%, -12%, -8%
331	along Mts. Rungwe, Kilimanjaro and Kenya, respectively. More specifically, the more
332	northern the latitude, the more enriched the $\delta^2 H_p$ . This illustrates that, in addition to
333	altitude, a latitudinal effect is also likely to impact the $\delta^2 H_p$ distribution in East Africa, as
334	previously noted at the global scale by Dansgaard (1964). No calibration of $\delta^2 H_p$ with
335	altitude could thus be deduced at the regional, East African, scale and the altitudinal
336	effect in $\delta^2 H_\text{p}$ could only be tracked at the mountain scale. Consequently, in the
337	following, the ability of $\delta^2 H$ of <i>n</i> -alkanes ( $\delta^2 H_{wax}$ ) to track the altitudinal effect on $\delta^2 H_p$
338	was solely investigated at the mountain scale for each study site.
339	The concentration-weighted mean values of $\delta^2\!H$ $C_{27}-C_{31}$ alkanes ( $\delta^2\!H_{wax},$ Table
340	1) were plotted against altitude for Mt. Kenya and Mt. Rungwe (Fig. 3A-B). A
341	statistically significant, though scattered, <sup>2</sup> H-depletion trend was observed along Mt.
342	Kenya (-1.2%/100 m; Fig. 3A; $p < 0.05$ ) close to the gradient observed for precipitation
343	along Mt. Kilimanjaro (-1.4%/100 m, Fig. 2). This result indirectly suggests the
344	existence of an altitude effect in $\delta^2 H_p$ along Mt. Kenya, unlike what was previously
345	reported by Rieti-Shati et al. (2000). The $\delta^2 H_{wax}$ values along Mt. Kenya support the
346	hypothesis that $n$ -alkanes are able to record the altitude effect in their ${}^{2}H/{}^{1}H$ ratio,
347	consistent with evidence from several other mountain systems in Asia (e.g. Jia et al.,
348	2008; Bai et al., 2015) and New Zealand (Zhuang et al., 2015). In contrast, no trend was
349	noticed at Mt. Rungwe (Fig. 3B, $p > 0.05$ ), as previously observed along Mt. Kilimanjaro
350	(Peterse et al., 2009; Zech et al., 2015).

351	For these two mountains (Rungwe and Kilimanjaro), we suggest that the altitude
352	effect observed in the precipitation may have been overprinted during the biosynthesis of
353	<i>n</i> -alkanes and/or their diagenetic reworking in the soil. As reviewed by Sachse et al.
354	(2012), lipid biosynthesis comprises several steps where <sup>2</sup> H/ <sup>1</sup> H fractionation can occur.
355	The magnitude of fractionation on the end-product $\delta^2 H_{wax}$ signal is still poorly
356	constrained for most plant species. Notably, Sachse et al. (2012) highlighted several
357	parameters that could have a high impact on the $\delta^2 H_{\text{wax}}$ fractionation, such as vegetation
358	changes, deposition processes and leaf physiology. Several studies pointed out large
359	variability in the leaf-derived $\delta^2 H_{\text{wax}}$ of different species within a same site (e.g.
360	Chikaraishi and Naraoka, 2007; Pedentchouk et al., 2008). Thus, the important vegetation
361	changes with altitude documented along Mts. Kilimanjaro and Rungwe (Bremond et al.,
362	2008; Williamson et al., 2014) could have influenced the $\delta^2 H_{wax}$ signal, leading to an
363	overprinting of the altitude effect on the $\delta^2 H$ of the precipitation. Moreover, Zech et al.
364	(2015) postulated that along Mt. Kilimanjaro the altitudinal effect should be outcompeted
365	by the increasing evaporative <sup>2</sup> H-enrichment of the leaf water due to changes in humidity
366	with increasing altitude. The impact of these potential additional effects could be
367	enhanced in East Africa, where the altitude effects on $\delta^2 H_p$ (Fig. 2) are in the lower range
368	of the gradients measured across the world (between -1 and -4%/100 m, Araguás-
369	Araguás et al., 2000).
370	The results from this study highlight the complexity of the H isotopic signal
371	recorded in <i>n</i> -alkanes from East African soils. The altitude effect on $\delta^2 H_p$ was recorded in
372	the $\delta^2 H_{wax}$ signal at only one altitudinal transect (Mt. Kenya). This suggests that the use
373	of $\delta^2 H_{wax}$ as a (paleo) elevation proxy might be site-dependent. This study also points to

the competitive impact of different hydroclimatic and biogeochemical factors on the soil
$\delta^2 H_{\text{wax}}$ record. Unfortunately the lack of extensive environmental monitoring in these
remote locations prevented us from determining which of these factors are overprinting
the $\delta^2 H_{\text{wax}}$ signal at the other two mountains. Notably, more instrmental data are needed
to improve the estimation of the $\delta^2 H_p$ in East Africa. These observations probably also
hold for other mountainous regions across the world and should therefore be taken into
consideration in regional scale (paleo) elevation studies. The application of $\delta^2 H_{\text{wax}}$ for
(paleo) elevation reconstructions must therefore be limited to the mountain scale and
should always be accompanied by detailed surveys of the environmental setting of the
study site.

#### 4.2. East African regional br GDGT calibration

Linear altitudinal gradients of measured mean annual air temperatures (MAAT) were observed along all the investigated East African mountains: 0.56 °C/100 m in Mt. Kilimanjaro (Tanzania, Sinninghe Damsté et al., 2008),, 0.53 °C/100 m in Mt. Rwenzori (Uganda, Loomis et al., 2011), 0.73 °C/100 m in Mt. Rungwe (Tanzania, Coffinet et al., 2014, Williamson et al., 2014) and 0.63 °C/100 m in Mt. Kenya (Kenya, Camberlin et al., 2014; Kenya Meteorological Department, 1984; Kenya Meteorological Department; Smith, 1993). The goal of this study was to investigate whether it is possible to establish a regional East African framework for the use of soil derived-br GDGTs as a paleothermometer. Thus, the measured MAAT data from all the local East African transects mentioned above were combined to calculate a regional temperature lapse rate (Fig. 4). This rate, determined as 0.65 °C/100 m, with 97% of the MAAT variance being

397	explained by altitudinal variations (Fig. 4), is similar to the one commonly used in low
398	precision studies (Rolland, 2003; Grab, 2013 and references therein) and will allow us to
399	assess the effect of temperature changes on br GDGT distribution at the regional scale.
400	In addition to Mts. Kenya and Rungwe investigated in the present study, br
401	GDGT distribution was previously investigated along two additional East African
402	mountains, Mt. Rwenzori (Uganda; Loomis et al., 2011) and Mt. Kilimanjaro (Tanzania,
403	Sinninghe Damsté et al., 2008). Br GDGT-derived MAATs were estimated using the
404	global soil calibration (Peterse et al., 2012; Weijers et al., 2007) and were found to
405	successfully record the decrease in MAAT with altitude along the slopes of these two
406	previously studied mountains. However, the soils sampled at the highest elevations in Mt.
407	Rwenzori exhibited a significant cold bias (Loomis et al., 2011). The authors suggested
408	that the water saturation of these soils may additionally impact the br GDGT distribution.
409	An impact of extreme soil water content (either aridity or saturation) on br GDGT
410	distribution and corresponding temperature estimates has indeed been reported in several
411	publications (e.g. Huguet et al., 2010 Dirghangi et al., 2013; Menges et al. 2014; Dang et
412	al., 2016).
413	Br GDGT-derived MAATs calculated using the soil calibration by Peterse et al.
414	(2012) were also shown to decrease linearly with altitude along Mts. Rungwe and Kenya
415	$(R^2 = 0.79 \text{ and } 0.66 \text{ respectively; figures not shown})$ . Combined together, these four
416	studies highlight the robustness of br GDGTs as a paleo-temperature proxy, at least for
417	East Africa (MAAT lapse-rate = $0.4$ °C/100 m, $R^2 = 0.63$ ; Fig. 5C). Despite this positive
418	assessment, the application of the global soil calibration still leads to a substantial

419 uncertainty in temperature reconstruction (Root Mean Square Error (RMSE) = 4.2 °C, 420 Peterse et al., 2012;  $R^2 = 0.56$ , Fig. 5A). 421 Combination of the new and previously published (Sinninghe Damsté et al., 2008; 422 Loomis et al., 2011; Coffinet et al., 2014) data from East Africa, leads to a br GDGT 423 dataset from in total 105 soils. Because of the potential additional impact of water 424 saturation on brGDGT distribution and thus associated temperature estimates, the high 425 elevation samples from Loomis et al. (2011) were not used in this dataset. Based on this 426 integrated sample set, a least square multiple linear regression was performed between br 427 GDGT distribution (MBT' and CBT indices) and the available MAAT for the four sites 428 (Mt. Kenya, Rwenzori, Kilimanjaro and Rungwe). The following equation was obtained:  $MAAT = -8.76 \times CBT + 24.24 \times MBT' + 9.60$  (R<sup>2</sup> = 0.77, RMSE = 2.4 °C) (4) 429 This regional soil calibration (Fig. 5B) strongly improves both the R<sup>2</sup> and RMSE 430 431 of br GDGT-reconstructed MAAT over the global soil calibration derived from globally distributed soils (Fig. 5A, Peterse et al., 2012). The new East African calibration 432 433 produces robust quantitative temperatures from br GDGT distributions in soils as it takes 434 into account the regional specificities in soil water content, soil type, biome type, all of 435 which that could potentially impact br GDGT abundance and distribution (e.g. Dirghangi 436 et al., 2013; Menges et al., 2014). This highlights the necessity for regional 437 determinations of the relationship between temperature and br GDGT distribution in order to improve past-temperature estimates. But it also points to the question of defining 439 coherent regions over the globe with a relevant scale. 440 The East African soil calibration developed in this study was applied to the 441 MAAT reconstruction in modern soils along all four altitudinal transects (Fig. 5D). The

resultant br GDGT-derived MAAT lapse rate (0.52 °C/100 m; Fig. 5D) is closer to the	e
measured one (0.65 °C/100 m; Fig. 5D) than when using the global soil calibration (0	.41
°C/100 m; 5C), but still underestimates the actual lapse rate by ca. 20 %. This points t	:0
the unsuitability of using br GDGTs alone to reconstruct past elevation changes.	7
Independently of that, the correlation between MAAT estimates and altitude is higher	
when using the new regional soil calibration ( $R^2 = 0.80$ ; Fig. 5D) than the global one	(R²
= 0.63; Fig. 5C), and closer to the correlation found between instrumental MAAT and	l
altitude ( $R^2 = 0.97$ ; Fig. 4) Therefore, the consistency and increase in quality of this	new
regional calibration for temperature reconstruction calls for its wider application in	
paleosol-based paleo-climatic reconstructions in East Africa. So far, in this region, br	
GDGT-derived proxies have only been used in lacustrine sedimentary records (e.g.	
Tierney et al., 2010; Sinninghe Damsté et al., 2012). This regional but valuable climat	tic
information can also be deduced from paleosol sequences (e.g. Hatté et al., 2001; Goo	ke
et al., 2014), which are abundant in East Africa due to the high rate of volcanic activit	y
(e.g. in the Rungwe volcanic province, Fontijn et al., 2010). First applications of br	
GDGTs in such soil settings from across the world have revealed promising results (e	.g.
Peterse et al., 2011; Zech et al., 2012).	

## 5. Conclusions

 $\delta^2 H_{wax}$  and br GDGT distributions were determined in 60 surface soils collected along altitudinal transects of two East African mountains (Mt. Rungwe in Tanzania and Mt. Kenya in Kenya). The obtained values were combined with data from altitudinal gradients of previous studies in the region to assess the applicability of integrated  $\delta^2 H_{wax}$ 

and br GDGTs data sets as coupled paleo-topography and paleo-temperature proxies,
respectively, in East Africa. Variations in $\delta^2 H_{\text{wax}}$ do not systematically document
altitudinal changes, with only one out of the three investigated mountains showing a
positive relationship – even though the altitude effect was present in the $\delta^2 H$ of
precipitation. At the regional (East African) scale, coupling of estimated and observed
$\delta^2 H_p$ data highlights their spatial heterogeneity. The use of $\delta^2 H_{wax}$ as a paleo-elevation
proxy must therefore be restricted to site (mountain)-specific studies. In contrast, br
GDGT-derived MAAT reliably track measured MAAT gradients along the four studied
mountains (Mts Rungwe, Kenya, Kilimanjaro and Rwenzori). The large dataset of both
measured and br GDGT-derived MAAT in the study area enabled to establish a new
regional East African soil calibration between br GDGT distribution and MAAT. This
new calibration improves the accuracy of MAAT reconstruction for the studied region, in
comparison to other global soil calibrations, and highlights the potential of br GDGTs as
paleo-thermometers in recent and ancient East African soils.

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682	Figure captions
683 684 685	<b>Fig. 1.</b> Map of East Africa. The different sites mentioned in this study are represented with a star. A zoom-in is made for Mt. Kenya and Mt. Rungwe, the 2 sites specifically investigated in this study, and altitudinal profiles of the corresponding transects are
686 687	shown.
688	Fig. 2. $\delta^2 H_p$ estimations from the OIPC (the Online Isotopes in Precipitation Calculator;
689	Bowen, 2016; Bowen and Revenaugh, 2003) along Mt. Rungwe, Tanzania (red segment).
690	Mt. Kenya, Kenya (green segment) and Mt. Kilimanjaro, Tanzania (blue segment)
691 692	together with on field $\delta^2 H_p$ measurements along Mt. Kilimanjaro (blue circles; Zech et al., 2015) and Mt. Rungwe (red diamonds; Nivet et al. 2015). Note that the delimitations
693	of each segment corresponds to the altitudinal range of the sample set investigated in the
694	present study for each mount.
695	present study for each mount.
696	Fig. 3. $\delta^2 H_{\text{wax}}$ values (A) along the altitudinal transects at Mt. Kenya, Kenya and (B)
697	along the altitudinal transect at Mt Rungwe, Tanzania.
698	
699	Fig. 4. Measured mean annual air temperature (MAAT) along altitudinal gradients in
700	East Africa: Mt Rungwe (red diamonds; Coffinet et al., 2014); Mt. Kenya (green
701	triangles; Camberlin et al., 2014), Mt. Rwenzori (purple squares; Loomis et al., 2011),
702 703	Mt. Kilimanjaro (blue circles; Sinninghe Damsté et al., 2008)
703	Fig. 5. Comparison of the accuracy and precision of (A) the global soil MBT'/CBT
705	calibration (Peterse et al., 2012) and (B) the new East African regional MBT'/CBT
706	calibration developed in this study, the 1:1 line being represented in dashed grey as a
707	reference. Application of these 2 calibrations to reconstruct br GDGT-derived MAAT
708	variation with altitude in East Africa: (C) the global soil calibration (Peterse et al., 2012),
709	(D) the new East African soil calibration where the black solid line is the derived linear
710	regression obtained from br GDGT-derived MAAT and the dashed black line is the
711	measured MAAT altitudinal gradient (as obtained in Fig. 3). Colours correspond to each
712	mountain of the region: Mt Rungwe (red diamonds; Mt. Rungwe, this study and Coffinet
713 714	et al., 2014); Mt. Kenya (green triangles; this study), Mt. Rwenzori (purple squares; Loomis et al., 2011), Mt. Kilimanjaro (blue circles; Sinninghe Damsté et al., 2008);
715	Loomis et al., 2011), Wit. Kinmanjaro (blue circles, Simmighe Daniste et al., 2008),
716	
717	<b>Table 1.</b> Weighted average $\delta^2 H$ of $C_{27}$ , $C_{29}$ and $C_{31}$ <i>n</i> -alkanes (denoted $\delta^2 H_{\text{wax}}$ ) together
718	with br GDGT-derived mean annual air temperature (MAAT) from Peterse et al. (2012)
719	calibration and from the regional calibration developed in this study.
720	
721	

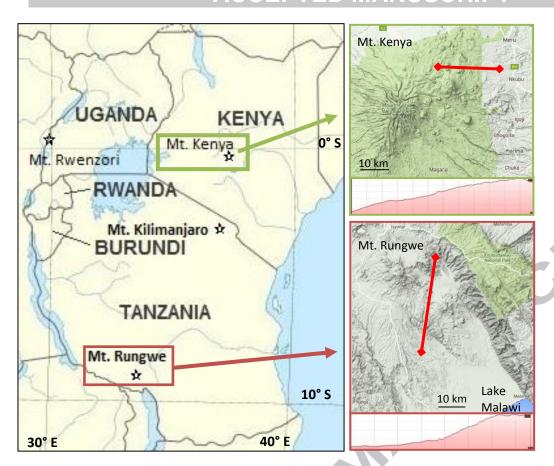


Figure 1.

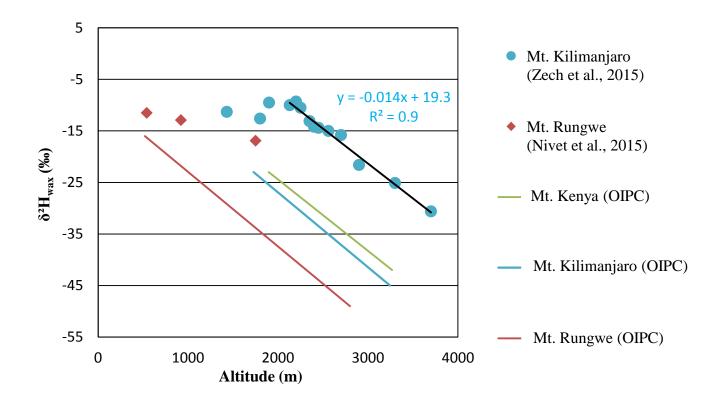


Figure 2.

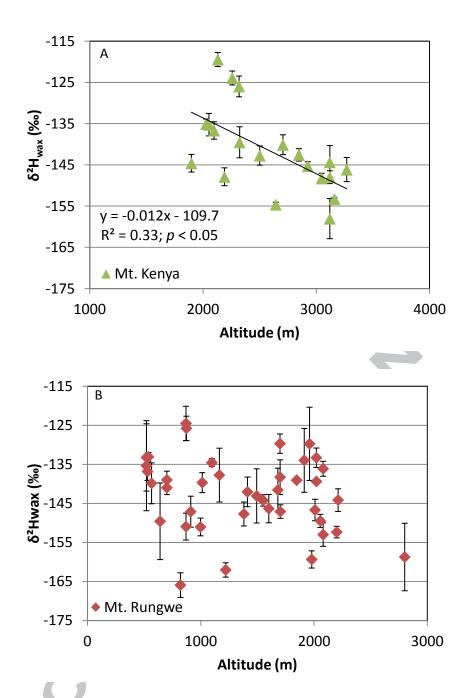


Figure 3.

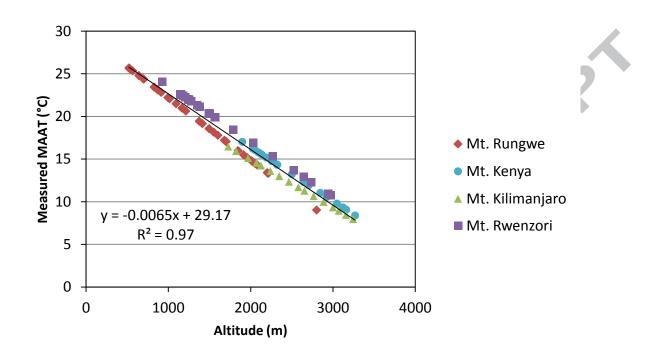


Figure 4.

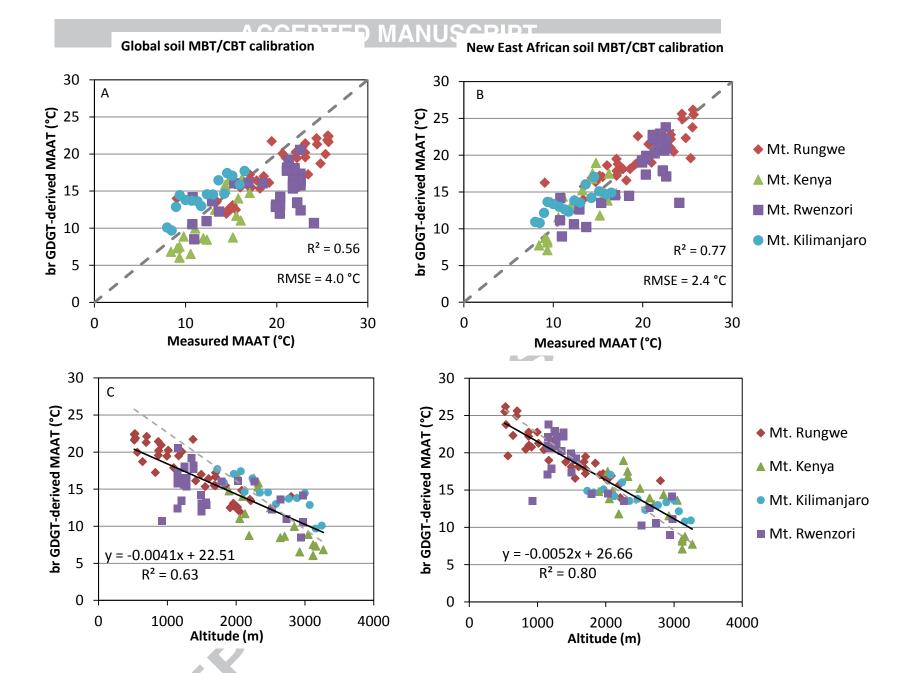


Figure 5.

Table 1. 

camp.	n°	altitude (m.a.s.l.)	MAAT (Peterse et al 2012)	MAAT (this study)	δ <sup>2</sup> H <sub>wax</sub> <sup>a</sup> (%o)	camp.	n°	altitude (m.a.s.l.)	MAAT (Peterse et al 2012)	MAAT (this study)	δ <sup>2</sup> H <sub>wax</sub> (%o)	camp.	n°	altitude (m.a.s.l.)	MAAT (Peterse et al 2012)	MAAT (this study)	δ <sup>2</sup> H <sub>wax</sub> (%ο)
Mt.	1	520	21.6	25.9	-133±9	Mt.	22	537	21.9	24.5	-133±1	Mt.	41	3160	10.0	9.6	-153±0
Rungwe	2	520	22.4	26.0	-135±12	Rungwe	23	565	20.0	20.8	-140±5	Kenya	42	3119	10.2	9.4	-148±2
(2012)	3	529	22.5	26.6	-137±2	(2014)	24	700	22.1	26.1	-141±2	(2013)	43	3119	10.2	8.9	-158±5
	4	640	18.7	22.8	-150±10		25	873	20.2	22.0	-126±3		<b>44</b>	3119	10.2	8.1	-144±4
	5	700	21.3	25.4	-139±2		26	911	20.9	21.9	-147±4		45	3268	9.3	8.7	-146±3
	6	820	17.3	21.0	-166±3		27	1013	20.2	22.2	-140±3		46	3047	10.3	13.9	-148±1
	7	869	19.6	22.8	-151±3		28	1164	19.5	20.2	-138±7		47	2924	11.7	11.8	-145±1
	8	869	21.4	23.6	-125±4		29	1412	16.1	19.4	-142±4		48	2846	11.9	14.7	-143±2
	9	997	19.5	23.3	-151±2		30	1493	17.0	19.0	-143±7		49	2705	13.0	13.4	$-140\pm2$
	10	1097	17.9	21.1	-135±1		31	1600	16.4	18.9	-146±4		50	2642	13.2	14.1	-155±1
	11	1220	20.1	22.3	-162±2		32	1699	16.7	18.8	-130±2		51	2500	13.8	15.9	-143±2
	12	1380	21.7	23.5	-148±3		33	1700	15.5	18.0	-138±4		52	2323	14.7	17.8	-140±4
	13	1550	15.4	17.5	-144±1		34	1913	12.5	17.3	-134±8		53	2189	15.5	12.4	-148±2
	14	1680	15.5	19.3	-142±6		35	1960	13.1	17.6	-130±9		54	2097	16.2	15.8	-137±2
	15	1702	17.2	20.2	-147±2		36	2008	12.4	17.0	-147±3		55	2052	16.4	14.5	-135±3
	16	1846	15.5	19.2	-139±1		37	2212	13.5	15.0	-144±3		56	1897	16.9	15.9	-145±2
	17	2020	12.8	16.8	-133±2		38	2080	n.a. <sup>b</sup>	n.a.	-136±2		57	2027	16.4	18.5	-135±1
	18	2055	12.2	17.1	-149±2		39	2021	n.a.	n.a.	-139±0		58	2130	15.8	15.0	-119±2
	19	2080	14.8	17.7	-153±1		40	1979	n.a.	n.a.	-159±2		59	2258	15.1	19.6	-124±2
	20 21	2200 2800	13.6 14.0	16.5 17.0	-152±1 -159±9								60	2318	14.9	18.2	-126±3

726 727 athe analytical error of at least duplicate measurements is given bnot analysed for GDGT content.