

1 **Preprint**

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10 *Agriculture, Ecosystems and Environment*, **235**, 340-348 (2016)

11

12 doi: 10.1016/j.agee.2016.11.005

13

14 Published at <http://www.sciencedirect.com/science/article/pii/S0167880916305424>

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16 **Effects of excluding grazing on the vegetation and soils of degraded sparse-elm**
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18

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31

32 **Abstract**

33 Livestock grazing is a crucial cause of vegetation degradation and desertification in sandy lands.
34 The sparse-elm grassland of Horqin Sandy Land, China has suffered severe degradation of
35 biodiversity and ecosystem services. Management to exclude grazing is often necessary for
36 ecological restoration, especially in arid and semi-arid regions. We report effects on vegetation and
37 soils in a 10-year experiment to exclude livestock, completely or seasonally, in comparison with a
38 continuously grazed area in Horqin. Complete exclusion of grazing and restriction of grazing to
39 summer both led to significantly increased plant cover and density relative to the grazed control.
40 Species richness increased, reflected in higher Shannon-Wiener indices; only complete exclusion
41 increased the Simpson diversity index, whereas Pielou evenness was significantly lowest under
42 seasonal grazing. Exclosure treatments were also associated with improved soil texture, and
43 increased water retention, available nitrogen, total nitrogen, total carbon and total phosphorus. Soil
44 pH and C/N ratio were highest under the seasonal grazing regime. The results indicated that
45 exclosure management indeed improved biodiversity and ecosystem services in an erosion-prone
46 region. Although total exclosure was most effective in restoration of degraded sparse-elm grassland,
47 seasonal grazing management was highly beneficial and represented a good compromise with
48 resource utilization and economic development.

49

50 **Keywords** exclosure management; soil; vegetation; degraded grassland; Horqin Sandy Land

51

52

53 **1. Introduction**

54

55 As the most widely distributed and largest terrestrial ecosystem, grasslands are highly susceptible to
56 human activities, especially long-term, continuous livestock grazing (White *et al.*, 2000; Molles,
57 2008). In arid and semi-arid regions, 73% of grassland ecosystems have suffered some degree
58 of degradation (Foley *et al.*, 2005; Bai *et al.*, 2012). As a result, intense and increasing interest has
59 been focused on changes in vegetation and soil physicochemical properties in response to grazing.

60 Numerous studies have reported effects on vegetation cover, species diversity and land productivity
61 (Wu *et al.*, 2009; Schönbach *et al.*, 2010; Deléglise *et al.*, 2011; Su *et al.*, 2015). Other studies have
62 drawn attention to modifications in nutrient availability and destruction of topsoil structure by
63 livestock grazing and trampling (Wienhold *et al.*, 2001; Su *et al.*, 2005; Pei *et al.*, 2008; Li *et al.*,
64 2011; Miao *et al.*, 2014). Both vegetation degradation and soil deterioration have direct influences
65 on ecological function and ecosystem services, and are thus a threat to socio-economic and cultural
66 development (Jeddi and Chaieb, 2010; Zhang *et al.*, 2011).

67
68 Various measures have been implemented to limit further degradation and enhance ecosystem
69 recovery. The most fundamental and economical approach to restoration involves management to
70 exclude livestock and their damaging activities, taking advantage of the natural resilience of
71 ecosystems to achieve recovery (Frank *et al.*, 2014; Su *et al.*, 2015). Indeed, previous studies have
72 confirmed the value of grazing exclusion without any additional measures in the successful
73 restoration of natural vegetation in moderately degraded areas, and also reported improved nutrient
74 availability and water conservation (Jeddi and Chaieb, 2010; Deléglise *et al.*, 2011; Wang *et al.*,
75 2016). Whether this straightforward approach would be successful in such severely degraded
76 grassland is not known, there having been very few quantitative studies, despite the growing
77 appreciation of increasingly serious desertification and socio-economic losses.

78
79 The Horqin Sandy Land, located in the southeast of the Mongolian plateau, is in the semi-arid agro-
80 pastoral transition zone of northern China (Jiang *et al.*, 2003; Tang *et al.*, 2014). Sparse-elm
81 grassland constitutes the main original landscape, which is characterized by either isolated or (more
82 often) groups of the sandy elm tree (*Ulmus pumila* var. *sabulosa*), and well developed grass-shrub
83 vegetation. This community structure has proved to have the greatest stability and best adaptability
84 to sandy soil in these arid and semi-arid regions (Li *et al.*, 2004; Yuan *et al.*, 2012). Horqin Sandy
85 Land represents a traditional Mongolian landscape, where stock grazing has provided the main
86 source of income for herdsmen since ancient times (Katoh *et al.*, 1998; Chang *et al.*, 2003).
87 However, intensive human disturbance from excessive grazing, over-cultivation and gathering of
88 firewood has become increasingly pronounced under the influence of settlement and warfare since
89 the early 20th century (Cao *et al.*, 2008; Miao *et al.*, 2014). The local population has increased four-
90 fold over the past 40 years and most herdsmen, lacking formal education, have little awareness of
91 environmental protection (Chang *et al.*, 2003). Furthermore, the drive for economic benefit has
92 increased stocking rates dramatically to 3.5-4.5 sheep units ha⁻¹, or nearly three times higher than
93 the local recommended livestock capacity (1.5 sheep units ha⁻¹) since the household contract
94 responsibility system, which advocated that village lands should be allocated to individual

95 households, started in the 1980s (Liu and Diamond, 2005; Han *et al.*, 2008). Overgrazing has
96 induced serious land desertification and has had a catastrophic influence on local productivity and
97 life (Jiang *et al.*, 2003). The average above-ground dry biomass has been only 60-200 g m⁻² over the
98 past few decades. Consequently, herdsman have had the expense of purchasing extra grass from
99 other places for livestock feeding through the long winter (Yang and Dong, 2010; Jiang *et al.*, 2011).

100

101 In order to redress the balance between the pastoralists' profits and ecosystem services, major top-
102 down enclosure projects "Returning Grazing Land to Protected Grassland" and "Ecological
103 Migration" have been carried out by the Chinese government in this region since the beginning of
104 21st century (Liu and Diamond, 2005; Reynolds *et al.*, 2007). However, because of the relatively
105 limited remaining area of sparse-elm grassland, it has attracted little attention, with few quantitative
106 studies of the impact on either vegetation or soil properties after enclosure management. Therefore,
107 the specific objectives of our experiments were to examine the effects of enclosure measures on
108 vegetation characteristics and soil properties in the degraded sparse-elm grassland of Horqin Sandy
109 Land, in order to provide strategies for supporting restoration and utilization of degraded grassland
110 ecosystems in this region.

111

112 2. Material and methods

113

114 2.1 Experimental area

115 The experiment was conducted in Baiyanhwa (a name meaning 'beautiful and prosperous flat' in
116 Mongolian) near the Wulanaodu Desertification Experimental Station of the Institute of Applied
117 Ecology, Chinese Academy of Sciences (43°02' N, 119°39' E, 480 m a.s.l). It was located in the
118 degraded sparse-elm grassland within the western Horqin Sandy Land in Wengniute Banner, China
119 (Figure.1). This area is characterized by a temperate continental climate, with a mean annual
120 temperature of 7.3 °C and mean annual precipitation of 318 mm from 1980 to 2014 (Liu *et al.*,
121 2014). Nearly 70% of the rainfall is concentrated between June and August in the growing season
122 (Figure 2). Annual average wind speed is 4.4 m s⁻¹; the windy season is from March to June (Liu *et*
123 *al.*, 2012b; Miao *et al.*, 2014). The soils are classified as Orthi-sandic Entisols according to the
124 FAO- UNESCO soil taxonomy classification system. They are highly susceptible to wind erosion
125 because of their coarse texture and loose structure (Cao *et al.*, 2008). Sparse-elm grassland
126 alternates with gentle undulating lowlands to constitute the main landscape, which is regarded as
127 the traditional pasture. There are also gentle undulating dunes with inter-dunal lowlands, and small
128 areas of grassland with good water availability that are reclaimed for planting crops in the growing
129 season. The indigenous species of tree is the sandy elm, *Ulmus pumila* var. *sabulosa*; other

130 important species include the shrub *Caragana microphylla* and annual and perennial herbs, such as
131 *Chenopodium acuminatum*, *Artemisia scoparia* and *Carex duriuscula*.

132

133 2.2 Experimental design

134 Historically, the experimental area once belonged to a people's commune (a Chinese village
135 government that carried out collective economics before the 1980s) and had undergone moderate
136 grazing since the 1990s. It lies in a homogeneous flat-land landscape. Three adjoining enclosure
137 management areas were established on 10 April 2005 with: complete grazing exclusion (EX),
138 seasonal grazing (SG) and continuous grazing (CG) control (Figure 3). The complete grazing
139 exclusion area of c. 35 ha in total was surrounded by 1.2 m high cement blocks, surmounted with a
140 barbed wire fence (1 m high x 3.5 m wide) and no livestock was permitted within it during the
141 experiment. The seasonal grazing area was c. 25 ha, with the same enclosure mechanism, but
142 livestock (3.5 sheep units ha⁻¹) were allowed to enter during the summer and autumn (from 15 July
143 to 15 September) of each year. Adjacent large areas followed a year-round, continuous pattern of
144 free grazing, with 3.5 sheep units ha⁻¹, representing the traditional grazing regime for local
145 pastoralists. During the period of the experiment, daily guard patrols enforced the restricted grazing
146 regimes.

147

148 After 10 years of enclosure management, six representative sampling plots (c. 6 ha in total) were
149 randomly chosen within each experimental area. At the peak of the growing period (mid-August) in
150 2014, a standard field vegetation survey method was adopted within them. A typical transect (150 m
151 long) was randomly located in each plot. Eight quadrats were established at 20-m intervals for
152 vegetation and soil sampling within each transect. Concentric quadrats of 2 m x 2 m and 1 m x 1 m
153 were used to survey shrubs and herbs, respectively. Plant species were recorded and counted, and
154 vegetation cover was estimated visually by skilled workers who have been engaged in this work for
155 30 years. Then three random soil cores (0-20 cm depth) were obtained in each quadrat along a
156 diagonal and mixed into a single composite sample for each transect.

157

158 2.3 Soil analysis

159 Soil penetration resistance was measured using a soil penetrometer (SC-900, Spectrum, USA) and
160 soil bulk density was measured using the cores of known volume. Soil water content was obtained
161 by the gravimetric method. All soil samples were air-dried and passed through a 1-mm sieve to
162 remove debris and litter. Particle size distribution was determined using an Intelligent Granularity
163 Laser (Mastersizer 2000, Malvern, England) after boiling 5 g soil samples with 0.5 M Na₂OP₂O₅
164 solution (5 ml) and cooling. Soil pH was measured in a soil-water aqueous extract (1:2 by mass)

165 after 30 min shaking at low speed (Orion Star 310p, Thermo, USA). 10 g of air-dried soil was
 166 extracted using 2M KCl solution (50 ml) and then the extracted solutions were measured for NH_4^+ -
 167 N and NO_3^- -N, concentrations using a Smart Chem 200 (Westco Scientific Instruments, Brookfield,
 168 CT, USA). Soil total C and N content were analyzed with an Elemental Analyzer (Vario MICRO
 169 cube; Elementar Analysen Systeme GmbH, HessenHanau, Germany). The total phosphorus (TP)
 170 concentration was determined using an Auto Analyzer (AA3, Bran + Luebb GmbH, Germany).

171

172 2.4 Calculation

173 An Importance Value (Curtis and McIntosh, 1951) was calculated for each species as a
 174 comprehensive index reflecting its function and status in the community. The classic formula was
 175 reconfigured to be appropriate and practical for grassland survey data. A common formula for the
 176 grassland is:

$$177 \text{ Importance Value (IV)} = (\text{RA} + \text{RH} + \text{RC}) / 3 \quad (1)$$

178 where RA, RH and RC are the relative abundance, relative height and relative cover, respectively,
 179 representing the ratios of number of individuals, height and cover of a species to the total number
 180 of individuals, height and cover in each quadrat.

181 Biodiversity and heterogeneity were quantified by using the Simpson index (D), the Shannon-
 182 Wiener index (H) and the Pielou evenness index (E). The Shannon-Wiener index (H) measures the
 183 species richness and the equitability (evenness) of individual species distributions (Shannon, 1948).
 184 The Simpson index (D) expresses the probability that two individuals taken at random from the
 185 community represent the same species (Simpson 1949). The Pielou evenness index (E) is a measure
 186 of the relative abundance of different species making up the richness of an area (Pielou, 1975).

187 Indices were calculated using the equations:

$$188 D = 1 / \sum_{i=1}^s P_i^2 \quad (2)$$

$$189 H = - \sum_{i=1}^s (P_i \ln P_i) \quad (3)$$

$$190 E = \frac{H}{\ln S} \quad (4)$$

191 where S is the total number of species, and P_i is the proportion of individuals belonging to species i.

192

193 2.5 Statistical analysis

194 As it was impossible to replicate the large enclosure treatments, the pseudo-replicated approach of
 195 (Frank and Follett, 1995) was adopted, which considered each plot as a replicate for summary
 196 statistics. Values from all quadrats within a plot were averaged. All data were tested for normality
 197 and homogeneity of variance prior to analysis. The parameters for vegetation characteristics and
 198 soil properties were compared for significant differences by one-way ANOVA followed by a LSD

199 post hoc test at $P < 0.05$. Pearson correlation coefficients were adopted to examine the relationship
200 between vegetation characteristics and soil properties. All statistical analyses were carried out SPSS
201 21.0 (SPSS Inc., Chicago, USA) and graphs were drawn with Origin Pro 9.0 (Origin Lab Corp,
202 USA).

203

204 3. Results

205

206 3.1 Species composition and Importance Value

207 The total numbers of plant species recorded increased dramatically from 6 in the grazed control to
208 23 under seasonal grazing and 31 with the complete enclosure of livestock. There were also
209 distinctive differences in species composition between treatments (Table 1). The continuous grazing
210 treatment was dominated in importance by two species of annual grass *Setaria viridis* and *Tribulus*
211 *terrestris*. The perennial forb *Carex duriuscula* became the most important under seasonal grazing,
212 with the annuals *Tragus birtesonianus*, *Chloris virgata* and *Lappula myosotis* also prominent.
213 *Setaria viridis*, *Artemisia scoparia*, and *Chenopodium acuminatum* were amongst the most
214 important of the numerous species under continuous enclosure. Many species were represented in
215 both the seasonal grazing and ungrazed treatments, including notably the shrub *Caragana*
216 *microphylla*. Remarkably, seedlings of *Ulmus pulima* var. *sabulosa*, although present in all three
217 treatments, were most important in the continuously grazed and control treatments.

218

219 3.2 Diversity index and vegetation characteristics

220 Vegetation cover and Shannon-Weiner diversity index increased significantly ($P < 0.05$) as grazing
221 pressure was reduced (Figure 4A, 4B). The Simpson index was also significantly higher in the
222 continuous enclosure treatment than in either grazing treatment (Figure 4C). The Pielou evenness
223 index varied less between treatments but was significantly lower under seasonal grazing (Figure
224 4D). On the other hand, the total density of plants was much the highest under seasonal grazing at
225 191 individuals m^{-2} , being 15.2 and 2.2 times greater than those in freely grazed and enclosed areas,
226 respectively (Figure 4E); all differences were significant.

227

228 3.3 Soil physical properties

229 After 10 years of grazing management, there were substantial differences in particle size
230 distribution between the treatments, with generally greater fine fractions and smaller coarse
231 fractions associated with reduced grazing pressure (Table 2). The most striking differences were in
232 the opposing trends in the coarse sand (>0.25 mm) and coarse silt (0.01-0.05 mm) fractions; the
233 former dropped significantly from continuous grazing (44%) to seasonal grazing (37%) and again to

234 ungrazed (23%) conditions; the latter increased concomitantly from 5%, to 10% and to 16 %,
235 respectively. Other fractions showed less significant or less consistent trends.

236

237 Soil water content was consistently low, restricted by persistent drought and generally coarse
238 texture, but it was nevertheless significantly greater in soils from the continuous enclosure than in
239 those from treatments that had been freely or seasonally grazed (Figure 5A). Soil bulk density
240 showed the inverse trend, being significantly lower in the enclosure than in the other two treatments
241 (Figure 5B). Soil penetration resistance (Figure 5C), was greatest in the seasonally grazed treatment
242 (237.2 kPa) and lowest under continuous grazing (161.2 kPa).

243

244 3.4 Soil chemical status

245 The two stages in the reduction of grazing intensity resulted in progressive, significant increases in
246 total carbon, total nitrogen and inorganic (available) nitrogen in the soil (Table 3). Total phosphorus
247 increased similarly but that in soil from the enclosure was not significantly greater than in soil from
248 the seasonally grazed treatment (Table 3). The C/N ratio in the soil was considerably enhanced in
249 both treatments with restricted grazing, relative to that under continuous grazing. The same
250 significant trend was seen in pH, although the absolute differences in pH were small (Table 3).

251

252 3.5 Correlations between vegetation characteristics and soil properties

253 Taken across the three treatments, there were many significant correlations between vegetation
254 characteristics and soil properties (Table 4). Most striking were the strong, positive associations
255 between the Shannon-Wiener Diversity Index and available nitrogen, total nitrogen, total carbon
256 and water content in the soil. There were similarly strong positive correlations between vegetation
257 cover and available nitrogen, total nitrogen, total carbon and C/N ratio. The Simpson Diversity
258 Index was significantly correlated with the same soil characteristics and also pH. The highest
259 correlation of all was between the Simpson Index and soil C/N ratio. Significant correlations
260 between soil bulk density and Shannon-Wiener Index and vegetation cover were both negative. The
261 Pielou evenness index showed no significant correlations.

262

263 4. Discussion

264

265 4.1 Changes in the vegetation characteristics in response to enclosure

266 Vegetation characteristics are generally regarded as important indicators for evaluating the
267 restoration process (Wilkins *et al.*, 2003; Wang *et al.*, 2012) and in the case of the degraded sparse-
268 elm grassland, our research suggested that the enclosure treatments had been highly effective after a

269 10-year experiment to exclude livestock; there had been dramatic changes in the vegetation cover,
270 composition and biodiversity. Under both enclosure regimes the relief from grazing allowed the
271 development of much greater vegetation cover and individual plant density. Cover was greatest with
272 complete exclusion of grazing but plant density was maximized by seasonal grazing, probably
273 because of changes in species composition (Table 1).

274

275 One of the most conspicuous results of enclosure was the great increase in the number of species
276 recorded. This was in agreement with the results reported by Jeddi and Chaieb (2010) and Pei et al
277 (2008), who noted that cessation of grazing enhanced the numbers of species and their cover in
278 degraded arid environments of South Tunisia in Africa and the Alxa desert steppe of China,
279 respectively. The source of the additional species is not entirely clear but a possible reason is likely
280 to involve rarefaction; some species previously too rare to have been recorded could have become
281 more abundant. Another possibility is the existence of a long-term seed bank in soils from which
282 recruitment occurred under reduced grazing. Alternatively, species may have arrived by dispersal
283 from more distant refugia (Li *et al.*, 2014; Qian *et al.*, 2016). The changes in species richness were
284 also evident in the Shannon-Weiner and Simpson biodiversity indices, both of which were greatest
285 under complete enclosure, although the Simpson index under seasonal grazing was no greater than
286 under continuous grazing. These findings were similar to those in degraded alpine meadow and
287 sandy grassland (Wang *et al.*, 2012; Yuan *et al.*, 2012). In contrast, the Pielou evenness index was
288 unaffected by complete exclusion and somewhat reduced under seasonal exclusion of grazing. This
289 reduction could be explained by the dominance of *Carex duriuscula*, with high overall density
290 relative to vegetation cover under seasonal grazing; no species achieved comparable dominance in
291 the other two treatments, where evenness was probably maintained by resource limitation on
292 species' competitive intensity in the heterogeneous environments (Zhang, 1998; Wu *et al.*, 2009).

293

294 The effects of grazing management were also reflected in the resulting species composition,
295 depending particularly on the palatability of different species for livestock (Li *et al.*, 2008; Wu *et al.*,
296 2009; Jeddi and Chaieb, 2010). Some species such as *Erodium stephanianum* and *Lespedeza*
297 *davurica* only became abundant in the complete exclusion treatment and others, such as *Cyperus*
298 *rotundus* and *Trigonella korshinskyi* were seen only there. They all have high palatability and are
299 usually regarded as fine forage. However, these highly palatable species were largely displaced by
300 the less palatable and less desirable species, *Chloris virgata*, *Setaria viridis*, *Tragus bertesonianus*
301 and *Tribulus terrestris* in the seasonal grazing treatment. Indeed the weed *Setaria viridis* and the
302 agriculturally worthless species *Tribulus terrestris* provided the majority of the plant composition
303 under continual grazing. Thus the results could be explained by the effects of grazing selectivity and

304 trampling by domestic herbivores, which modify plant species abundance and vegetation cover. The
305 consequence of this is that exclosure management ameliorates habitat quality and provides
306 improved ecosystem services (Grime, 2002; Wal *et al.*, 2004; Su *et al.*, 2005). Comparable findings
307 were found by Li *et al.* (2008) and Jing *et al.* (2014) who reported that exclosure management
308 similarly isolated rangelands from disturbances by livestock and accelerated the recovery of
309 degraded pasture species.

310

311 The only potentially undesirable effect of grazing management was on seedlings of the sandy elm
312 (*Ulmus pumila* var. *sabulosa*) themselves. They were more abundant and had a higher importance
313 under continual grazing than in either of the grazing management treatments. It seems that grazing
314 was conducive to the establishment, survival and growth of these woody plants perhaps through
315 increasing the potential for contact of their seeds with the soil surface, and then by reducing
316 competition from herbaceous species in the early stages of growth (Wu *et al.*, 2009; Tang *et al.*,
317 2013).

318

319 4.2 Changes in soil properties in response to exclosure

320 Although less obvious than the changes to vegetation characteristics, grazing management
321 potentially can also facilitate changes in soil properties as part of the process of restoration (Su *et al.*,
322 2005; Liebig *et al.*, 2006; Mekuria *et al.*, 2007), and this appeared to be the case in the sparse-elm
323 grassland of the Horqin Sandy Land. As wind erosion tends preferentially to remove the finer, more
324 mobile soil particles, it leads to coarsening and degradation of the soil structure (Gomes *et al.*,
325 2003). Although this would have happened historically, it is reasonable to assume that the soil in the
326 three treatment areas would have been similar in composition at the beginning of the experiment.
327 However, the mechanism leading to larger fractions of fine particles after 10 years of grazing
328 exclusion is not clear. The increased cover and the height of the vegetation resulting from lower
329 grazing pressure would have increased the surface roughness and thus favored the interception and
330 deposition of fine soil particles carried by the wind (Fearnehough *et al.*, 1998; Li *et al.*, 2008; Pei *et al.*,
331 2008). In any event, an increase in the fraction of fine soil particles as a consequence of
332 exclosure managements was in conformity with reported results from western Inner Mongolia,
333 China (Fu *et al.*, 2002; Su *et al.*, 2005; Pei *et al.*, 2008).

334

335 The negative impact of livestock on grassland depends on effects of their trampling, as well as
336 removal of vegetation by grazing or browsing (Su *et al.*, 2002; Zhu *et al.*, 2007; Li *et al.*, 2011).
337 Release from trampling may have contributed to the progressive decrease in soil bulk density with
338 exclosure; however, it was associated with an inverse trend for organic matter content (manifested

339 in measurements of total carbon) which would also have reduced bulk density. The trends in organic
340 matter, bulk density and fine particle fractions might also explain the greater ability of soil to retain
341 water after the exclusion of grazing. Soil resistance to penetration was a measurement designed to
342 mimic the resistance that roots encounter in the process of growth (Barber, 1994; Mullins *et al.*,
343 1994). Frequent trampling would be expected to increase resistance, causing a rearrangement of soil
344 particles and creating a barrier to root growth (Barber, 1994; Brevik, 2013). The high value for soil
345 penetration resistance after seasonal grazing was inconsistent with previous studies (Xie and Wittig,
346 2004; Zhao *et al.*, 2004; Li *et al.*, 2008), which suggested that a decrease in soil penetration
347 resistance should accompany grazing exclusion. The anomalous result might have been due to
348 different underlying soil aggregation characteristics and spatial patterns of soil porosity in the
349 different treatment areas (Abdelmagid *et al.*, 1987; Franzluebbbers *et al.*, 2000).

350

351 Grazing exclusion would be expected to reduce nutrient losses, both directly from grazing and
352 indirectly by enhancing the accumulation and incorporation of litter in the soil (Abril and Bucher,
353 2001; Harris *et al.*, 2007; Liu *et al.*, 2012a). The rapid decomposition and mineralization of animal
354 dung would promote nutrient losses by leaching. Progressive exclusion of grazing in Horqin Sandy
355 Land indeed generally resulted in increasing concentrations of the main nutrients: available N, total
356 N, total C and total P. These results confirmed previous studies (Naeth *et al.*, 1991; Xie and Wittig,
357 2004) that such enclosure management facilitates nutrient accumulation and fixation. Soil pH is
358 potentially an important factor because it can influence nutrient availability and assimilation, thus
359 affecting plant growth and development. The finding of the highest value under seasonal grazing
360 was not consistent with previous studies by Jeddi and Chaieb (2010) and Wu *et al.* (2009) who
361 conducted grazing exclusion managements in the south of Tunisia and in the Qinghai-Tibetan
362 Plateau, respectively. However, the differences between our treatments were small. Such variations
363 in pH depend on the secretion of organic acids and amounts of CO₂ released by roots, as well as
364 microorganism metabolic activities in the rhizosphere (Hinsinger *et al.*, 2003; Jones *et al.*, 2004). In
365 principle, changes of pH could influence mineralization and nutrient accumulation in arid and semi-
366 arid regions (Chen *et al.*, 2013). The C/N ratio was significantly higher under seasonal grazing and
367 grazing exclusion than in the continual grazing treatment, which demonstrated that enclosure
368 management had influenced the concentration of total C to a greater degree than total N in the
369 process of vegetation restoration. Similar results were obtained by Su *et al.* (2005) and Pei *et al.*
370 (2008) in the semi-arid sandy grassland of northern China.

371

372 4.3 Implications of enclosure management

373 The management of grazing by enclosure had beneficial influences on vegetation composition and

374 cover, and on soil properties, all of which was consistent with established views of effective
375 ecological restoration. The improvements in soil physical properties and increasing litter
376 accumulation associated with reduced grazing and trampling should benefit ecosystem services that
377 depend on soil organic matter decomposition and nutrient dynamics. Thus grazing management
378 promoted the recovery of this grassland ecosystem and helped to suppress desertification in an
379 ecologically fragile area (Liebig *et al.*, 2006; Loydi *et al.*, 2012; Wang *et al.*, 2016). The significant
380 correlations among a range of vegetation and soil characteristics were suggestive of a holistic
381 recovery process; in particular, positive correlations between Simpson and Shannon-Weiner indices
382 of diversity and AN, TN and TC were very striking, as were those between plant cover and AN, TN,
383 TC and C/N ratio. Such findings are in general agreement with those from previous studies by Liu
384 *et al.* (2012) and Miao *et al.* (2014), which also highlighted the interactions between vegetation
385 structure and soil nutrient availability. The causal relationships underlying these correlations are
386 likely to be complex: although organic matter accumulation associated with increased vegetation
387 cover undoubtedly affected soil properties, the ameliorated soil conditions may well have promoted
388 establishment of a wider range of species and therefore biodiversity.

389

390 Even though water deficit is regarded as the greatest constraint in arid and semi-arid lands (Jiang *et al.*
391 *et al.*, 2003; Dulamsuren *et al.*, 2009), it is remarkable that vegetation could recover so well during a
392 period when the mean annual precipitation in the growing season of 213mm was lower than the
393 long-term (34-year) average of 242mm. Hence this experiment proved all the more convincingly the
394 effectiveness of exclosure management in severely degraded regions. Further work could examine
395 more closely the timing and local stocking-level of livestock species for a sustainable operational
396 model of husbandry in sparse-elm grasslands (Hulme *et al.*, 2001). However, from the perspective
397 of balancing ecological restoration and economic development, grazing restricted to the growing
398 season appears to be a pragmatic approach, which takes into consideration the carrying capacity of
399 the grassland and the incomes of herdsman to some extent. In addition, a suite of integrated
400 measures (artificial reseeded, intensive forage planting and straw silage technology) could also be
401 incorporated into the process of exclosure management to ease grazing pressure (Normile, 2007;
402 Jiang *et al.*, 2011; Xu *et al.*, 2014), and to the benefit of ecosystem sustainability and development
403 in the sandy grassland.

404

405 **5. Conclusions**

406

407 For the degraded sparse-elm grassland, exclosure management represents a straightforward and
408 efficient method for ecological restoration and management. Field vegetation survey and soil

409 analyses demonstrated that enclosure treatments had a profound impact on both vegetation
 410 characteristics and soil properties. Management contributed to promoting the number and cover of
 411 plant species, and hence their Shannon-Weiner and Simpson Indices, as well as the overall density
 412 of individuals in the community. It was also associated with changes to the texture and bulk density
 413 of the topsoil, and influenced soil penetration resistance. Most significantly for the restoration of
 414 ecosystem services, soil nutrient concentrations (total carbon, total nitrogen, available nitrogen and
 415 total phosphorus) were all increased by the enclosure of grazing animals. These results indicate that
 416 the degraded grassland ecosystems of the erosion-prone region in Horqin Sandy Land are readily
 417 amenable to restoration by enclosure management, without any additional artificial measures, over a
 418 reasonable period of time. In order to pursue ecological conservation and sustainable economic
 419 development, appropriate management measures such as seasonal enclosure managements should
 420 be applied to these degraded arid and semi-arid lands.

421

422 **Acknowledgements**

423

424 This research was supported by National Natural Science Foundation of China (31370706). Thanks
 425 are due to Yongming Luo, Hongmei Wang, Xuehua Li and Quanlai Zhou for valuable
 426 meteorological dataset from Wulanaodu Desertification Experimental Station, Institute of Applied
 427 Ecology, Chinese Academy of Sciences. We acknowledge Meiyu Jia and Xu Han, and the
 428 Mongolians Kingchuang and Baterbain for preliminary vegetation survey and soil-sample
 429 collections; Shaonan Huang for help with soil analyses in the laboratory.

430

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- 575

576 Table 1. Species composition and Importance Values for grazing exclusion (EX), seasonal grazing
 577 (SG) and continuous grazing (CG) treatments
 578

Species	Life Form	Importance Value		
		EX	SG	CG
<i>Allium ramosum</i>	PF	0.002		
<i>Amaranthus retroflexus</i>	AF		0.001	
<i>Aristidaad scensionis</i>	AG	0.005	0.042	
<i>Artemisia lavandulaefolia</i>	PF	0.054		
<i>Artemisia scoparia</i>	AF	0.144	0.051	
<i>Artemisia sieversiana</i>	BF	0.026		
<i>Asparagus dauricus</i>	PF	0.002		
<i>Bassia dasyphylla</i>	AF	0.002		
<i>Calamagrostis pseudophragmites</i>	PG	0.002		
<i>Caragana microphylla</i>	SS	0.040	0.019	
<i>Carex duriuscula</i>	PF	0.004	0.422	
<i>Chenopodium acuminatum</i>	AF	0.164	0.005	0.005
<i>Chloris virgata</i>	AG	0.003	0.088	
<i>Cleistogenes squarrosa</i>	PG	0.026	0.004	
<i>Corispermum candelabrum</i>	AF	0.041	0.006	0.051
<i>Cuscuta chinensis</i>	AF	0.006		
<i>Cynanchum thesioides</i>	PF	0.002		
<i>Cyperus rotundus</i>	PF	0.016		
<i>Diarthron linifolium</i>	AF	0.027	0.034	
<i>Digitaria sanguinalis</i>	AG	0.001		
<i>Echinops gmelini</i>	AF		0.002	
<i>Enneapogon desvauxii</i>	AG	0.002	0.035	
<i>Eragrostis pilosa</i>	AG	0.042	0.012	
<i>Erodium stephanianum</i>	PF	0.047	0.003	
<i>Euphorbia humifusa</i>	AF		0.004	
<i>Lappula myosostis</i>	AF		0.075	
<i>Lespedeza davurica</i>	PF	0.067	0.012	
<i>Leymus chinensis</i>	PG	0.002		
<i>Melilotus officinalis</i>	BF		0.001	
<i>Portulaca oleracea</i>	AF			0.005
<i>Salsola ruthenica</i>	AF	0.050	0.002	
<i>Saposhnikovia divaricata</i>	PF	0.004		
<i>Setaria viridis</i>	AG	0.205	0.022	0.546
<i>Tragus bertesonianus</i>	AG	0.082	0.096	
<i>Tribulus terrestris</i>	AF	0.012	0.062	0.292
<i>Trigonella korshinskyi</i>	PF	0.007		
<i>Ulmus pulima var. sabulosa</i>	T	0.001	0.002	0.096

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AF, annual forb; AG, annual grass; BF, biennial forb; PF, perennial forb; PG, perennial grass; SS, semi-shrub.

582 Table 2. Soil particle size distributions for continuous grazing (CG), seasonal grazing (SG) and
 583 grazing exclusion (EX) treatments
 584

Treatment	Particle Size Distribution (%)				
	Coarse Sand (>0.25mm)	Fine Sand (0.05-0.25mm)	Coarse Silt (0.01-0.05mm)	Fine Silt (0.005-0.01mm)	Clay (<0.005mm)
CG	43.68±1.95a	46.37±1.55b	5.42±0.78c	1.07±0.19b	3.47±0.37b
SG	36.58±2.79b	48.07±2.73b	10.27±1.63b	1.51±0.30a	3.57±0.40b
EX	23.43±2.03c	54.23±1.57a	15.98±0.90a	1.60±0.25a	4.75±1.02a

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Means with the different letters within the same variable represent significant differences at P<0.05.

588 Table 3. Chemical properties of soil for continuous grazing (CG), seasonal grazing (SG) and
 589 grazing exclusion (EX) treatments
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Treatment	pH	Available N mg/kg	Total N g/kg	Total C g/kg	Total P g/kg	C/N
CG	6.68±0.05b	0.83±0.14c	0.09±0.01c	0.41±0.05c	0.18±0.02a	4.56±0.60b
SG	7.34±0.11a	1.65±0.27b	0.35±0.05b	3.73±0.12b	0.19±0.01ab	10.85±1.42a
EX	7.11±0.27a	2.31±0.38a	0.65±0.04a	6.21±0.34a	0.21±0.02b	9.58±0.65a

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Means with the different letters within the same variable represent significant differences at $P < 0.05$.

594 Table 4. Pearson correlation coefficients between vegetation characteristics and soil properties in
 595 the three grazing treatments

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	pH	AN	TN	TC	TP	C/N	SWC	BD	SP
Density	0.81*	0.37	0.37	0.47	0.16	0.81*	0.21	-0.03	0.73*
Simpson									
Index	0.77*	0.80*	0.74*	0.83*	0.39	0.91**	0.61	-0.50	0.76*
Shannon-									
Weiner	0.43	0.89**	0.89**	0.89**	0.56	0.59	0.85**	-0.82*	0.29
Index									
Pielou Index	-0.41	0.16	0.16	0.08	0.24	-0.33	0.28	-0.36	-0.64
Cover	0.67	0.89**	0.92**	0.96**	0.54	0.85**	0.79*	-0.67*	0.70*

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598 AN, available nitrogen; TN, total nitrogen; TC, total carbon; TP, total phosphorus; C/N, the ratio of carbon and
 599 nitrogen; SWC, soil water content; BD, bulk density; SP, soil compaction. * and ** represent significance at $P < 0.05$
 600 and $P < 0.01$, respectively; $N=18$.

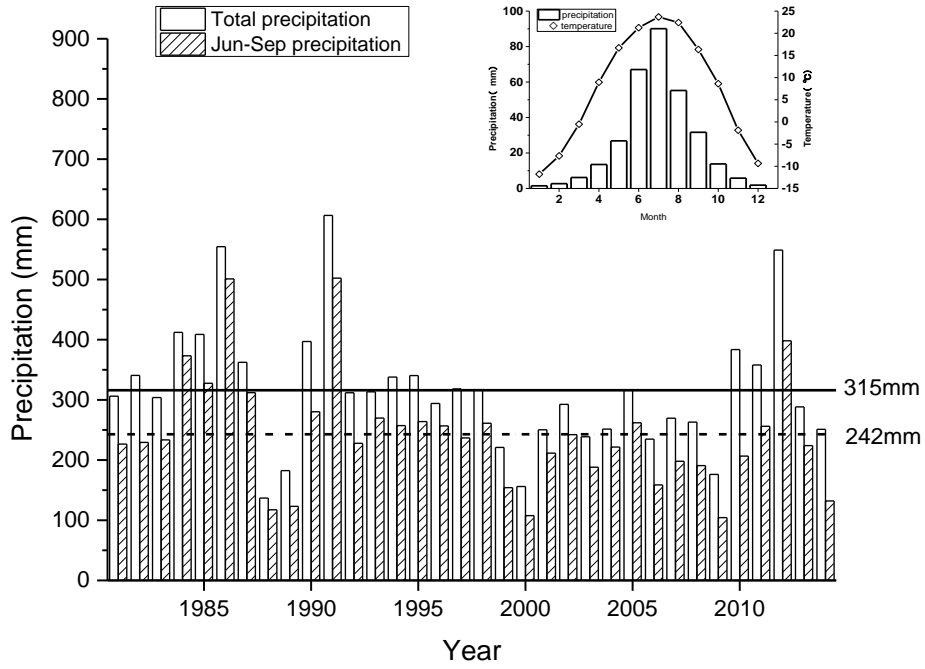
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Figure. 1 The geographic location of the study area in Horqin Sandy Land, China.

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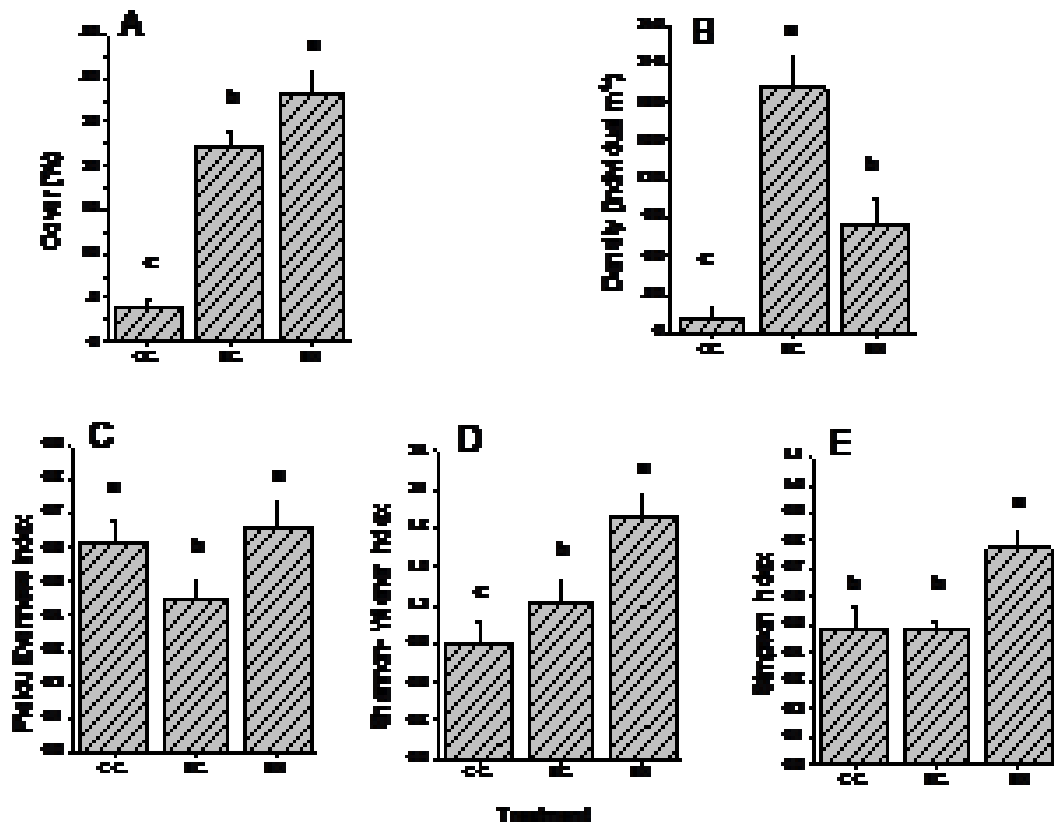


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 637 Figure 2.Changes in precipitation and temperature from 1980 to 2014 at Wulanaodu District. The
 638 top panel shows the average monthly precipitation (mm) and temperature (°C); the solid line shows
 639 the average annual precipitation and the dashed line shows the average precipitation from June to
 640 September.
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Figure 3 The appearance of the three treatments in the grazing experiment: (A) complete grazing exclusion (EX); (B) seasonal grazing (SG); and (C) continuous grazing control (CG).



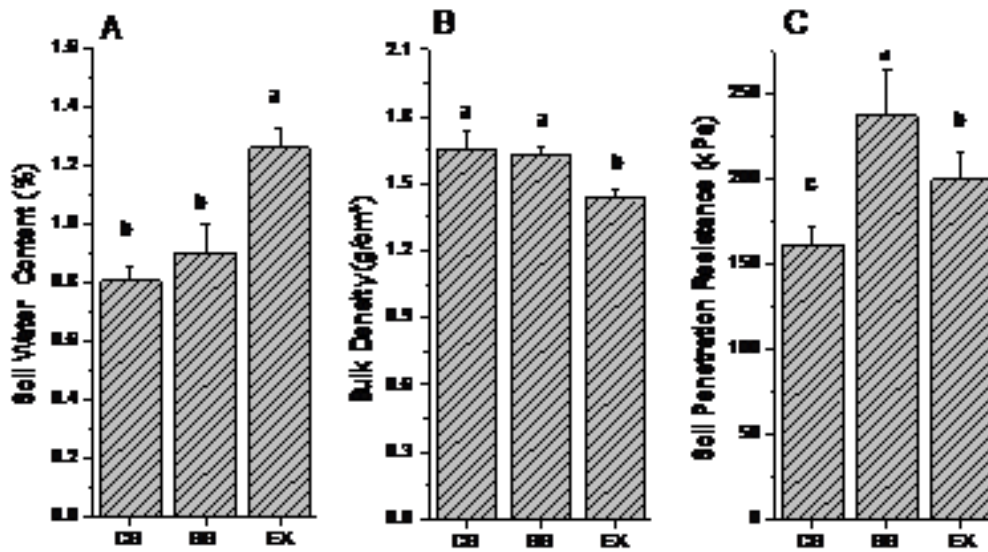
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667 Figure 4. Changes in (A) vegetation cover, (B) Shannon-Wiener diversity index, (C) Simpson index,
 668 (D) Pielou evenness index and (E) vegetation density for continuous grazing (CG), grazing
 669 exclusion (EX) and seasonal grazing (SG) treatments. Different letters above histograms among
 670 different treatment represent significant differences at $P < 0.05$.

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675 Figure 5. Soil physical properties for continuous grazing (CG), grazing exclusion (EX) and seasonal
676 grazing (SG) treatments: (A) soil water content; (B) bulk density; and (C) resistance to penetration.
677 Different letters above histograms among different treatment represent significant differences at
678 $P < 0.05$.

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