1	The A	Azithromycin for Acute Exacerbations of Asthma (AZALEA) Randomized Clinical Trial
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- 73 Abstract
- 74 **Importance:** Guidelines recommend against antibiotic use to treat asthma attacks. A study with
- 75 telithromycin reported benefit, but adverse reactions limit its use.
- Objective: To determine whether azithromycin added to standard care of asthma attacks in adults
- 77 resulted in clinical benefit.
- 78 **Design**: The AZithromycin Against pLacebo in Exacerbations of Asthma (AZALEA) randomized,
- double-blind, placebo-controlled clinical trial ran from September 2011 to April 2014.
- 80 **Setting**: UK-based multi-center study in adults requesting emergency care for acute asthma
- 81 exacerbations.
- 82 **Participants**: Adults with a history of asthma for >6 months, recruited within 48 hours of
- presentation to medical care with an acute deterioration in asthma control requiring a course of
- 84 oral/systemic corticosteroids.
- 85 **Intervention**: Azithromycin 500mg daily or matched placebo for 3 days.
- 86 Main Outcomes: The primary outcome was diary card symptom score 10 days after randomization,
- 87 with an hypothesized treatment effect size of -0.3. Secondary outcomes were diary card symptom
- 88 score, quality of life questionnaires and lung function changes between exacerbation and day 10, and
- 89 time to 50% reduction in symptom score.
- 90 **Results:** Of 4582 patients screened at 31 centers, 199 of a planned 380 were randomized within 48
- 91 hours of presentation. The major reason for non-recruitment was receiving antibiotics (2044, 44.6%
- 92 of screened subjects). Median time from presentation to drug administration was 22 hours.
- 93 Exacerbation characteristics were well balanced across treatment arms and centers. The primary
- outcome asthma symptom scores in this likely underpowered study were: mean (SD) 4.14 (1.38) at
- 95 exacerbation and 2.09 (1.71) at 10 days for azithromycin; 4.18 (1.48) and 2.20 (1.51) for placebo.
- 96 Using multilevel modeling, there was no significant difference in symptom scores between
- azithromycin and placebo at day 10 (difference -0.166 [95% confidence interval -0.670 to 0.337]),
- 98 nor on any day between exacerbation and day 10. No significant between group differences were

99	observed in quality of life questionnaires or lung function between exacerbation and day 10, or in
100	time to 50% reduction in symptom score.
101	Conclusions: In this randomized population, azithromycin resulted in no statistically or clinically
102	significant benefit. For each patient randomized, >10 failed screening because they had already
103	received antibiotics.
104	Trial Registration: ClinicalTrials.gov Identifier: NCT01444469,
105	https://clinicaltrials.gov/ct2/show/NCT01444469?term=AZALEA&rank=1
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109 List of abbreviations 110 111 **AZALEA** AZithromycin Against pLacebo for acute Exacerbations of Asthma 112 FEF_{25-75%} Forced mid-expiratory flow 113 Forced expiratory flow at 50% expiration FEF_{50%} FEV_1 Forced expiratory volume in one second 114 FEV₁/FVC 115 Ratio of forced expiratory volume in one second to forced vital capacity 116 FVCForced vital capacity Lower respiratory tract infection 117 LRTI Polymerase chain reaction 118 PCR 119 PEF Peak expiratory flow 120 AQLQ Asthma quality of life questionnaire 121

122 Background 123 Asthma morbidity, mortality and major health care costs result from acute attacks (exacerbations)¹. 124 The majority of asthma patients report an exacerbation in the last year, with >1/3 children and >1/4adults requiring consequent urgent medical care². 125 Respiratory viral infections are a frequent cause of asthma exacerbations in children^{3,4} and adults⁵⁻⁷. 126 Atypical bacterial (Mycoplasma and Chlamydophila (C.) pneumoniae) infection/reactivation is also 127 associated, with serologic positivity rates of 40-60% in some studies⁸⁻¹², indicating viral and atypical 128 129 bacterial infections may interact in increasing asthma exacerbation risk. People with asthma have increased susceptibility to streptococcal infections ¹³⁻¹⁵, increased carriage 130 of bacterial pathogens identified by culture¹⁶ and molecular techniques¹⁷ and impaired interferon/Th₁ 131 responses to bacterial polysaccharides ^{18,19}. Viral infection impairs antibacterial innate immune 132 responses²⁰ and increases bacterial adherence to bronchial epithelium²¹. Thus, bacterial infections are 133 134 more common and more severe in asthma, viruses increase susceptibility to bacterial infection and 135 acute wheezing episodes in children aged <3 years were associated with both bacterial and virus 136 infection²². 137 Asthma exacerbations treated with telithromycin had greater reductions in asthma symptoms, improvement in lung function and faster recovery compared to placebo¹². However, liver toxicity 138 139 limits telithromycin to life threatening infections and guidelines recommend antibiotics should NOT be administered routinely in asthma exacerbations^{23,24}. 140 141 The AZALEA study investigated the effectiveness of azithromycin when added to standard care for 142 adult patients with asthma exacerbations, closely following the telithromycin study design, with the 143 aim of providing confirmation or otherwise of those results.

Macrolide antibiotics might benefit asthma exacerbations through antimicrobial activity, anti-inflammatory properties²⁵ and azithromycin, but not telithromycin, was anti-viral²⁶ augmenting production of interferons that are deficient in asthma^{19,27}. A mechanistic/exploratory aim of AZALEA was to determine whether treatment benefitted patients with these infections.

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Methods

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149 Study design 150 This United Kingdom-based multi-center, double-blind, placebo-controlled study randomized 151 eligible patients to azithromycin 500mg daily or placebo for 3 days on day 1 (Visit 1), with post-152 therapy assessments/visits on days 5 (Visit 2) and 10 (Visit 3) and for serum sampling at six weeks 153 (Visit 4). 154 The main inclusion criteria were adults aged 18-55 years with any smoking history, aged 56-65 with 155 <20 pack year smoking history or >65 years with <5 pack year smoking history with a documented 156 history of asthma for >6 months, and recruitment within 48 hours of presentation to medical care 157 with an acute deterioration in asthma control (increased wheeze, dyspnea and/or cough) requiring a 158 course of oral/systemic corticosteroids (based on clinical judgement by attending physicians) and a peak 159 expiratory flow (PEF) or forced expiratory volume in one second (FEV₁) less than 80% predicted or 160 patient's best at presentation, at recruitment or in the time elapsed between presentation and 161 recruitment. 162 The main exclusion criteria were use of oral/systemic antibiotics within 28 days of enrolment, need 163 for intensive care, significant lung disease other than asthma, chronic use of >20mg oral 164 corticosteroid daily, known QT-interval prolongation, history of brady/tachy arrhythmias or 165 uncompensated heart failure and patients on drugs known to prolong the QT interval. 166 The primary outcome was diary card summary symptom score, with symptoms including wheezing, 167 breathlessness and coughing assessed at 10 days after randomization (as in the telithromycin study)¹². Secondary outcomes included the acute Asthma Quality of Life Questionnaire (AQLQ), the 168 169 mini AQLQ, FEV₁, forced vital capacity (FVC), FEV₁/FVC, forced mid-expiratory flow (FEF_{25-75%}), 170 forced expiratory flow at 50% expiration (FEF_{50%}), PEF and time to 50% reduction in symptom 171 score. Primary and secondary outcomes were assessed over the time course of the exacerbation to 10 172 days and sub-group analyses were planned in relation to initial standard/atypical bacteriologic and 173 virologic status.

Spontaneous or induced sputum was taken where possible at exacerbation and sent for quantitative bacteria culture. A nasal mucus sample, nasal and throat swabs were taken where possible at exacerbation and these and spontaneous/induced sputum were analyzed by viral and atypical bacterial PCRs and acute and convalescent sera for atypical bacterial serology. The trial received Research Ethics Committee approval and all patients gave written informed consent. Additional methods are available in the **Online Supplement**. Statistical analyses The sample size calculations hypothesized a treatment effect size of -0.3 (SD 0.783) based on the primary outcome of the telithromycin study¹² and used a significance level of 1% with 80% power, assuming a drop-out rate of 15%¹². We proposed to recruit 190 patients to each arm. To run the trial within the project funding one-year timeline, we planned 10 centers, each recruiting ~38 patients. All patients who returned at least one diary card and received study drug were included in the intention-to-treat analyses. As the timing of greatest magnitude of any treatment effect was not known, multilevel modelling was used to calculate the estimated differences in primary and secondary outcomes between treatment arms for each day from randomization to day 10. A Cox model was used to calculate the hazard ratio for time to 50% reduction in symptom score. Details of the statistical model, model selection process and treatment of missing data are in the Online Supplement. All analyses were performed using Stata 13. A Statistical Analysis Plan was prepared by the trial statistician prior to unblinding.

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194 Results

195 Recruitment details and clinical characteristics 196 Recruitment from 31 sites (30 secondary care hospitals, 1 primary care center) lasted 2.5 years, from 197 September 2011 to April 2014. The recruitment period was longer than planned because of 198 recruitment difficulties arising from the large numbers of patients excluded. A total of 4582 patients 199 were screened of whom 390 patients met eligibility criteria, 199 were randomized, 97 to active 200 treatment, 102 to placebo (Figure 1). The major reason for non-recruitment was already receiving 201 antibiotics (2044, 44.6% of screened patients). 202 Clinical characteristics of randomized patients are summarized in **Table 1.** Study participants' mean 203 age was 39.9 years, gender 69.8% female, 30.2% male. Underlying asthma severity, smoking status, 204 exacerbation severity and median time from presentation to trial drug administration are in Table 1. 205 Pulmonary function at baseline (exacerbation, Visit 1) are in **Table 2** and include PEF 74.8% 206 predicted, FEV₁ 64.8% predicted, and FEV₁/FVC 69.2% (all means). Baseline characteristics were 207 well balanced across treatment arms and centers. 208 Of the 199 patients randomized, all attended visit 1 (randomization), 21 (11%) missed Visit 2, 28 209 (14%) missed Visit 3 and 39 (20%) missed Visit 4, 80% of patients attended all follow-up visits. 210 Missing visits/data were balanced between the treatment arms. Day 1 was defined as the day of 211 administration of study drug. 212 Primary outcome analysis 213 Mean (SD) asthma symptom scores (from 0=no symptoms to 6=severe symptoms) were 4.14 (1.38) 214 at baseline (exacerbation) and 2.09 (1.71) at day 10 for azithromycin and 4.18 (1.48) and 2.20 (1.51) 215 respectively for placebo. Using multilevel modeling, there was no statistically significant difference 216 in symptom scores between groups at day 10 (difference -0.166 [95% CI: -0.670; 0.337], Figure 2 217 and Online Supplement eTable 3). 218 Secondary outcome analyses

219 Multilevel modeling revealed no significant between group differences in symptom scores on any 220 day between baseline and day 10 .(Figure 2 and Online Supplement eTable 3). 221 No significant between group differences were seen in acute AQLQ, mini AQLQ (Figure 3a and 3b 222 and Online Supplement eTables 7-10) nor in any measure of lung function (Online Supplement 223 eTables 11 and 12) on any day from baseline to day 10 and there was no difference in time to 50% 224 reduction in symptom score (Hazard Ratio 1.03 [95% CI: 0.71; 1.49]) (Figure 3c). 225 Pathogen detection results 226 105 (52.7%) patients provided sputum for bacterial culture, 191 (96.0%) nasal/throat mucus/swabs 227 for virus/atypical bacterial PCR and 158 (79.4%) acute (IgM) and acute and convalescent (IgG, IgA) 228 sera for atypical bacterial serology. 229 A bacterial/atypical bacterial test positive occurred in 10.6% of patients (9.3% active, 11.8% 230 placebo). Nasal/throat swab/mucus and/or sputum virus PCRs were positive in 18.1% of patients 231 (16.5% active, 19.6% placebo). 232 Subgroup analyses 233 There were no differences in the primary outcome asthma symptom score between treatment groups 234 in patients with positive sputum bacterial culture, atypical bacterial PCR/serology or virus PCR tests 235 (including any bacteria/virus positive test) (Online Supplement eTables 13-15 and Online 236 **Supplement eFigures 6-8**), though patient numbers for these analyses were low. 237 Safety 238 Adverse events were infrequent (Online Supplement eTables 16-22), with more gastrointestinal 239 adverse events in the azithromycin group compared to placebo (35 vs 24 events respectively **Online** 240 Supplement eTable 16). There was an increased frequency of cardiac adverse events (4 vs 2 241 respectively) in the azithromycin group compared to placebo and a reduced frequency of respiratory, 242 thoracic and mediastinal (63/64 respiratory) adverse events (27 vs 37 respectively) **Online** 243 Supplement eTables 16 and 20), suggesting antibiotic therapy possibly reduced respiratory adverse 244 events in this population.

Discussion

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246 In the patients with asthma exacerbations randomized to treatment/placebo in this study, addition of 247 azithromycin to standard medical care resulted in no statistically or clinically significant therapeutic 248 benefit. The findings were consistently negative across three different symptom and quality of life 249 scores, including one previously reporting statistically and clinically significant benefit with telithromycin¹². The findings were also negative for all measures of lung function, including FEV₁ 250 which was significantly improved in the previous study¹² and for time to a 50% reduction in asthma 251 symptoms, which was significantly improved in the previous study¹². 252 253 Recruitment proved extremely challenging; initially there were 10 centers each aiming to recruit 38 254 subjects over one winter season, to recruit the planned 380 patients. Our power calculation 255 deliberately mandated large patient numbers to provide statistically robust data to settle the important 256 clinical question regarding antibiotic efficacy in this setting (for comparison the telithromycin study randomized 270 patients)¹². We also desired larger patient numbers to enhance subgroup analyses 257 258 aimed at potentially important mechanistic questions. Once recruitment obstacles became clear with 259 such widespread antibiotic usage, a total of 31 centers were enrolled, inclusion criteria were relaxed 260 to change eligibility criteria from <24 to <48 hours from time of presentation, to include older subjects with low smoking histories and recruitment was extended to 2 years and 7 months. 262 However, despite all these efforts only 199 subjects were recruited by medication-expiry and 263 funding-end dates and the study was terminated despite not reaching its recruitment target. The study 264 was therefore underpowered and a difference of 0.3 in mean symptom score between treatment arms 265 at 10 days cannot be excluded. The different outcomes of the present and previous study¹², which employed closely related therapies 266 267 in very similar study designs, requires interpretation/explanation. The antibiotics studied are 268 different, albeit related. Both drugs were used at their standard recommended doses and durations of 269 therapy. The shorter duration of treatment with azithromycin (3 days vs 10 days with telithromycin) 270 is unlikely to explain the difference in outcome, as azithromycin has a very long tissue half-life and

is likely to have remained at the rapeutic doses in the lung for around 10 days²⁸. Azithromycin, but not telithromycin has anti-viral activity²⁶, so this is an unlikely explanation. In terms of anti-bacterial activity against relevant respiratory bacteria, telithromycin is reportedly more active than azithromycin against S. pneumoniae, but has similar activity against both M. catarrhalis and H. influenzae²⁹⁻³¹. Since the present study only detected 3 S. pneumoniae, 1 M. catarrhalis and no H. influenzae infections in the active treatment arm, differences in activity against these organisms seem unlikely to explain the differing outcomes. In terms of anti-inflammatory activities, both drugs reportedly have similar activities when compared²⁵. A remarkable finding of this study was the number of patients (2044) excluded as they were already receiving antibiotic therapy for their asthma exacerbation, despite treatment guidelines recommending such therapy should not be routinely given^{23,24}. For each patient randomized, more than 10 were excluded for this reason. This important finding has obvious and worrying implications regarding antibiotic stewardship³², in addition, such high antibiotic usage may also have directly influenced study outcome as it is possible that patients who might potentially have benefitted from antibiotic therapy for their asthma exacerbation (through having sputum production, sputum purulence, fever), were excluded from the study through already having received them. The population remaining to be randomized could theoretically have been selected against for antibiotic responsiveness, through having no clinical indication that antibiotic therapy might be of benefit. This is possible as patients being screened had often been seen by their primary care practitioner, by emergency room medical staff and by a member of the on call respiratory/medical team, so in many instances three independent doctors/teams had assessed them, including their suitability for antibiotics. It is likely therefore that those not prescribed antibiotics were negatively selected against, for suitability for antibiotics. This interpretation is supported by the very low bacterial/atypical bacterial positivity rate found in this study: only 9.3% of azithromycin treated subjects. It is also possible that the population randomized were in other ways not representative of the larger population screened, as over 2000 other patients were excluded from the study for other reasons

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(Figure 1). The telithromycin study did not report numbers of patients screened¹², so it is not 297 298 possible to determine to what extent these caveats may also have applied to that study. 299 A further difference is that all patients randomized to this study were required to be prescribed 300 oral/systemic corticosteroid treatment, while in the telithromycin study only 34.1% of patients randomized to active treatment required corticosteroid therapy¹². Requirement for corticosteroid 301 302 treatment in this study was designed to reduce the number of milder exacerbations studied. However, 303 if our study included largely non-bacterially infected subjects, this could have resulted in us studying possible anti-inflammatory effects of azithromycin, in the face of the powerful anti-inflammatory 304 305 effects of corticosteroids, with predictably negative results. 306 The clinical characteristics of the patients in our study compared to those in the telithromycin study 307 were similar in terms of mean age (39.9 years in our study, vs 39.5 in the telithromycin study), 308 gender (30.2% male vs 32%), smoking status (mean of 3.44 pack years vs 2.15), exacerbation 309 symptom score severity (4.16 vs 2.9) and lung function at exacerbation (PEF 74.8% predicted vs 55.2%, FEV₁ 64.8% predicted vs 67.2%, FEV₁/FVC 69.2% vs 72%)¹². Differences in clinical 310 311 characteristics do not seem a likely explanation for the difference in outcome of the two studies. 312 The studies differed strikingly in one regard: 61% of telithromycin-treated but only 5.2% of azithromycin-treated patients had a positive test for current atypical bacterial infection¹². Both 313 314 studies used similar sampling and detection methods, though the laboratories performing the analyses 315 differed (GR Micro, London UK for telithromycin, Prof Johnston's laboratory for this study). PCR 316 detection rates were very low in both studies (3 positive in the telithromycin study and 0 positive in 317 this study). In contrast, serological positives differed markedly: the telithromycin study positives 318 were almost all C. pneumoniae IgM positives, while in our study only one sample was IgM positive 319 for this organism. Both studies used the same assay (Medac C. pneumoniae IgM sandwich ELISA, 320 Medac, Hamburg, Germany) so the discrepancy between the results of this assay is difficult to 321 explain. This major difference in frequency of C. pneumoniae IgM positivity may have contributed 322 to the difference in clinical outcomes between the two studies.

Sputum culture for standard bacteria was not performed in the telithromycin study¹². In the present study 105 (52.8%) subjects provided sputum for bacterial culture and positivity was observed in 6.0% (4.1% active, 7.8% placebo), These results, together with the negative outcomes in relation to therapy, suggest that the role of standard bacterial infection in the population studied was unlikely to be important. Interpretation of the outcome of this study must be considered in the light of prior knowledge that non-infectious agents can also trigger exacerbations, and of other randomized placebo controlled studies investigating the effects of similar therapies in acute wheezing episodes. In addition to the telithromycin study reporting positive outcomes in asthma exacerbations in adults¹², azithromycin treatment during bronchiolitis in infancy was reported to reduce nasal lavage IL-8, the occurrence of post-bronchiolitic wheezing³³ and the duration of acute episodes of asthma-like symptoms in 1-3 year old children³⁴. Furthermore, in 1-6 year old children with histories of recurrent severe lower respiratory tract infections (LRTIs), azithromycin early during an apparent RTI reduced the likelihood of severe LRTI³⁵. Finally low-dose azithromycin prophylaxis for 6 months in subjects with exacerbation-prone severe asthma did not reduce the primary outcome (rate of severe exacerbations and LRTIs requiring treatment with antibiotics) however in a predefined subgroup analysis according to inflammatory phenotype, azithromycin benefitted subjects with noneosinophilic severe asthma³⁶. We therefore carried out a similar post hoc analysis, but found no evidence of benefit in this subgroup (Online Supplement). Thus further study of azithromycin in acute exacerbations of asthma in adults and children in settings of low antibiotic usage and stratifying on blood/sputum cell counts seems justified. In conclusion, in the patients randomized to treatment/placebo in this study, addition of azithromycin to standard medical care resulted in no statistically significant, or clinically important benefit. For each patient randomized, more than 10 were excluded because they had already received antibiotics.

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450	Figure legends
451	
452	Figure 1. CONSORT diagram of the AZALEA trial.
453	
454	Figure 2: Primary outcome symptom diary scores from randomization to day 10.
455	Data are mean with standard error (SE) bars.
456	
457	Figure 3: Secondary outcome acute and mini AQLQ scores from randomization to day 10 and
458	time to 50% reduction in symptom diary score.
	time to 50% reduction in symptom diary score. (a) Acute AQLQ and (b) mini AQLQ mean scores and standard error (SE) bars by visits for each
459	
458 459 460 461	(a) Acute AQLQ and (b) mini AQLQ mean scores and standard error (SE) bars by visits for each
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Table 1: Baseline characteristics of			
patients by treatment group	Active	Placebo	
N	97	102	
Age (years), median (IQR)	39.1 (28.9, 49.5)	36.15 (25.4, 49.3)	
Gender			
Female	64 (66.0%)	75 (73.5%)	
Male	33 (34.0%)	27 (26.5%)	
Asthma Severity (N = 198) ³⁷			
step 1: mild intermittent asthma	7 (7.2%)	13 (12.9%)	
step 2: regular preventer therapy	30 (30.9%)	26 (25.7%)	
step 3: initial add-on therapy	31 (32.0%)	27 (26.7%)	
step 4: persistent poor control	22 (22.7%)	22 (21.8%)	
step 5: continuous/frequent oral steroids	7 (7.2%)	13 (12.9%)	
Smoking status			
never smoked	60 (61.9%)	61 (60.4%)	
former smoker	26 (26.8%)	19 (18.8%)	
current smoker	11 (11.3%)	21 (20.8%)	
Pack years, median (IQR) (min/max) (N=75)*	5 (1, 15)	5 (2, 12)	
(current/former smokers)	(0/127)	(0/22)	
Asthma Exacerbation (N = 198)			
Mild Asthma Exacerbation	5 (5.2%)	3 (3.0%)	
Moderate Asthma Exacerbation	26 (26.8%)	35 (34.7%)	
Acute Severe Asthma	61 (62.9%)	56 (55.4%)	
Life Threatening Asthma	4 (4.1%)	7 (6.9%)	
Near-Fatal Asthma	1 (1.0%)	0 (0.0%)	
Time from presentation to study drug, median			
(IQR) (N = 192)	21 (12, 29)	22 (14, 28)	
(IQR) (N = 192)	21 (12, 29)	22 (14, 28)	

466 Table2: Baseline (exacerbation) pulmonary function by treatment arm

	Active					
Pulmonary function	N	Mean	SD	P25	Median	P75
FEV ₁ (liters)	95	1.9	0.7	1.4	1.8	2.5
FEV ₁ %predicted (%)	93	63.2	21.8	48	63	79
FVC(liters)	96	2.8	1.0	2.0	2.7	3.5
FEV ₁ /FVC ratio	94	69.7	13.3	62.0	70.0	79.0
FEF _{25-75%} (liters/sec)	80	1.6	0.9	0.9	1.4	2.1
FEF _{50%} (liters/sec)	76	1.9	1.1	1.1	1.7	2.6
PEF(liters/min)	95	288	108	211	283	361
PEF %predicted (%)	94	76.6	108.6	47.0	67.5	79.0
	Place	1				
Pulmonary function	N	Mean	SD	P25	Median	P75
FEV ₁ (liters)	96	2.1	0.8	1.5	2.0	2.6
FEV ₁ %predicted (%)	96	66.3	21.0	52.5	64.0	84.0
FVC(liters)	96	3.1	1.0	2.4	3.0	3.6
FEV ₁ /FVC ratio	96	68.8	13.7	58.0	69.0	79.5
FEF _{25-75%} (liters/sec)	87	1.7	1.1	0.9	1.4	2.4
FEF _{50%} (liters/sec)	84	2.0	1.3	1.1	1.7	2.8
PEF(liters/min)	97	320	102	247	335	389
PEF %predicted (%)	96	72.9	21.4	56.5	74.0	90.0

SD = standard deviation, P25 = 25th percentile, P75 = 75th percentile

Figure 1. CONSORT diagram of the AZALEA trial

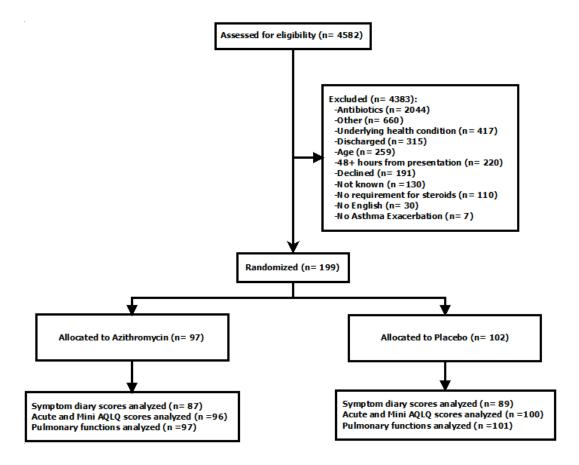


Figure 2: Primary outcome symptom diary scores from randomization to day 10.

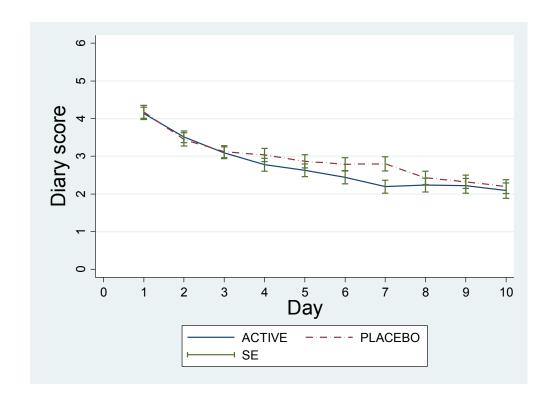
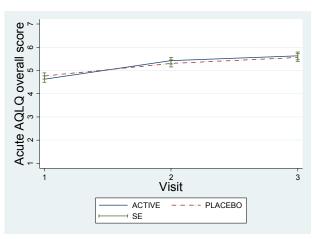
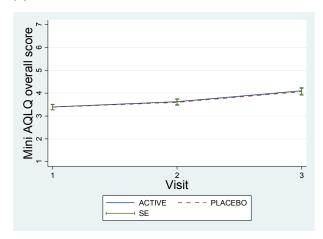


Figure 3: Secondary outcome acute and mini AQLQ scores from randomization to day 10 and time to 50% reduction in symptom diary score.

(a)



(b)



(c)

