

1 **Long-acting beta-agonist in combination or separate inhaler as step-up therapy for**  
2 **children with uncontrolled asthma receiving inhaled corticosteroids**

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16 Key words: asthma, child, inhaled corticosteroid, long-acting beta-agonist, step-up therapy

17 Abbreviations:

18 ADEPT Anonymized Data Ethics Protocols and Transparency

19 aOR Adjusted Odds Ratio

20 aRR Adjusted Rate Ratio

21 BTS/SIGN The British Thoracic Society and Scottish Intercollegiate Guidelines Network

22 CPRD Clinical Practice Research Database

23 FDA Food and Drug Administration

24 FDC Fixed Dose Combination inhaler

25 ICS Inhaled Corticosteroids

26 LABA Long Acting Beta Agonist

27 OCS Oral Corticosteroids

28 OPCRD Optimum Patient Care Research Database

29 NICE National Institute for Health and Care Excellence

30 SABA Short Acting Beta Agonist

31 **Funding.** This study was funded by the Respiratory Effectiveness Group

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33 Word count: 3499.

34

35 **What is already known about this topic?**

36 Clinical trials provide no evidence to support recommendations that children with asthma  
37 prescribed long-acting  $\beta_2$ -agonist (LABA) should receive treatment as a fixed-dose  
38 combination inhaler and not by addition of a separate inhaler to inhaled corticosteroids (ICS).

39 **What does this article add to our knowledge?**

40 In a matched cohort study, LABA treatment as a separate inhaler was associated with poorer  
41 asthma control and increased risk for exacerbation compared to fixed-dose combination  
42 inhaler.

43 **How does this study impact current management guidelines?**

44 These findings support British Thoracic Society, NICE asthma guideline and Food and US  
45 Drug Administration recommendations to prescribe add-on LABA as a fixed-dose combination  
46 inhaler with ICS in children

47

48 **ABSTRACT**

49 **Background** Adding a long-acting  $\beta_2$ -agonist (LABA) to inhaled corticosteroids (ICS) using a  
50 fixed-dose combination (FDC) inhaler containing ICS and LABA is the UK guideline-  
51 recommended step-up option for children aged >4 years with uncontrolled asthma on ICS  
52 monotherapy. The evidence of benefit of FDC inhalers over adding a separate LABA inhaler  
53 to ICS therapy is limited.

54 **Objective:** Our aim was to compare outcomes for FDC versus separate LABA+ICS inhalers  
55 for children by analyzing routinely-acquired clinical and prescribing data.

56 **Methods** This matched cohort study used large UK primary care databases to study children  
57 prescribed their first step-up from ICS monotherapy at 5–12 years of age as add-on LABA,  
58 either via separate LABA inhaler or FDC inhaler. A baseline year was examined to  
59 characterize patients and identify potential confounders; outcomes were examined during the  
60 subsequent year. The primary outcome was adjusted odds ratio for overall asthma control,  
61 defined as no asthma-related hospital admission, emergency room visit prescription for oral  
62 corticosteroids and  $\leq 200$   $\mu\text{g}/\text{day}$  salbutamol.

63 **Results** After matching, there were 1330 children in each cohort (mean age [SD] 9 [2] years;  
64 59% male). All measures of asthma exacerbations and control improved during the outcome  
65 year in both cohorts. In the separate ICS+LABA cohort, the odds of achieving overall asthma  
66 control were lower (adjusted odds ratio, 0.77 [95% CI 0.66-0.91]  $P = 0.001$ ) compared with  
67 the FDC cohort.

68 **Conclusion** Our results demonstrate a small but significant benefit of add-on LABA therapy  
69 as FDC over separate inhaler and support current recommendations.

70

71

## 72 INTRODUCTION

73 Asthma is common amongst children in the UK, with an estimated 8%, or 1.1 million children,  
74 prescribed current asthma therapy.<sup>1,2</sup> The British Thoracic Society and Scottish Intercollegiate  
75 Guidelines Network (BTS/SIGN) guideline for the management of asthma recommends a  
76 stepwise approach to therapy to maintain symptom control and minimize future risk of  
77 exacerbations. Inhaled corticosteroids (ICS), prescribed at step 2 of the current BTS/SIGN  
78 guideline, are effective controller medications for most children with persistent asthma,  
79 although from 10–25% of children require additional therapy.<sup>3–6</sup> Adding a long-acting  $\beta_2$ -  
80 agonist (LABA) to ICS is the preferred step-up option (step 3) recommended by the BTS/SIGN  
81 for children ages 5–12 years with uncontrolled asthma on ICS monotherapy.<sup>3</sup>

82 Guidance from the UK National Institute for Health and Care Excellence (NICE)  
83 identifies a fixed-dose combination (FDC) inhaler containing ICS and LABA as the optimal  
84 means of adding a LABA, preferred over adding LABA as a separate inhaler,<sup>2</sup> but some  
85 children continue to be prescribed separates. One risk of prescribing LABA as a separate  
86 inhaler is its use without concomitant ICS therapy, and the National Review of Asthma Deaths  
87 recommended that LABA “should be prescribed with an inhaled corticosteroid in a single  
88 combination inhaler”.<sup>7</sup>

89 The benefit of FDC over addition of separate LABA inhaler to ICS treatment for children  
90 with asthma is unclear. Two clinical trials, where adherence was closely monitored, found no  
91 difference in symptoms after 3<sup>8</sup> and 6 months<sup>9</sup> treatment between groups randomized to  
92 LABA as separate inhaler or FDC. However, patient behaviours and clinical outcomes are  
93 often different in the context of a clinical trial as opposed to ‘real-life’ usual clinical care. One  
94 database study observed reduced need for short-acting  $\beta_2$ -agonist (SABA) and oral  
95 corticosteroid treatment in children treated with LABA as an FDC compared with additional  
96 inhaler,<sup>4</sup> but importantly there was no matching at baseline for factors known to be different  
97 between groups, including age and obesity.<sup>10</sup> We have recently reported that children stepped  
98 up to LABA as a separate inhaler are younger and on a lower dose of ICS compared with

99 those stepped up to FDC,<sup>10</sup> and these baseline differences might explain the apparent  
100 superiority of FDC over LABA as separate inhaler.

101 Rigorously conducted observational research can provide information about outcomes  
102 of asthma therapy under conditions of usual clinical practice to complement information from  
103 controlled trials.<sup>11</sup> Results of prior retrospective observational studies suggest that adherence  
104 and refill persistence may be better with a combination inhaler, at least among adults and  
105 adolescents.<sup>12-14</sup> In turn, better adherence and persistence could lead to better outcomes. The  
106 aim of this large population-based observational study was to evaluate whether outcomes  
107 differ between children with asthma stepped up to add-on LABA as separate vs. FDC inhalers.  
108 Our hypothesis was that children stepped up to separate inhalers would have increased odds  
109 for poor asthma control compared with matched children stepped up to FDC.

110

## 111 **METHODS**

### 112 **Data source and permissions**

113 In a matched cohort study, we sourced medical record and prescribing data from two large  
114 primary care databases including ~15% of children in the UK, as previously described.<sup>10</sup>  
115 Duplicate records from individual children were identified and removed. The Clinical Practice  
116 Research Datalink (CPRD; formerly General Practice Research Database), which is well-  
117 validated and used frequently for observational research, is the world's largest repository of  
118 anonymized longitudinal data from primary care, drawing from over 600 subscribing practices  
119 throughout the UK.<sup>15,16</sup> The Optimum Patient Care Research Database (OPCRD) is a quality-  
120 controlled primary care research database that contains anonymous routine medical record  
121 data and patient-completed questionnaire data from over 400 practices throughout the UK  
122 caring for approximately a half million patients with asthma.<sup>17</sup> Data were available from  
123 January 1990 through April 2012 for the CPRD and through December 2012 for the OPCRD.

124 The study was conducted to standards recommended for observational research<sup>18</sup> and  
125 is registered with the European Network of Centres for Pharmacoepidemiology and  
126 Pharmacovigilance (ref ENCEPP/SDPP/10483). Use of the data was approved in 2010 by the  
127 Independent Scientific Advisory Committee of the (then) General Practice Research  
128 Database. The OPCRD has been approved by the Trent Multi Centre Research Ethics  
129 Committee for clinical research use, and the protocol for this study was approved by the  
130 Anonymized Data Ethics Protocols and Transparency (ADEPT) committee, the independent  
131 scientific advisory committee for the OPCRD. Further background information is in the online  
132 supplementary material.

### 133 **Inclusion and exclusion criteria**

134 Inclusion criteria were: a Read code diagnosis of asthma or with  $\geq 2$  inhaler prescriptions  
135 including  $\geq 1$  for ICS in the previous 12 months (the latter comprise 2% of the study  
136 population<sup>10</sup>); prescribed a step-up with LABA from ICS monotherapy at 5–12 years of age;  
137 registered in the database for  $\geq 2$  sequential years, including 1 baseline year before the date  
138 of therapy step-up (the *index date*). Exclusion criteria were: cystic fibrosis or any chronic

139 respiratory disease other than asthma; receipt of add-on therapy (including combination  
140 inhaler) at any time prior to the index date; treatment with >7 consecutive days oral  
141 corticosteroids (OCS) during the baseline year; multiple step-up therapies on the index date;  
142  $\geq 50\%$  increase or decrease in ICS dose on the index date (the latter ensured that we studied  
143 outcomes of addition of LABA independent of change in ICS).

#### 144 **Study Outcomes**

145 The primary endpoint, previously described,<sup>19-21</sup> was overall asthma control (expressed as an  
146 adjusted odds ratio, aOR) and this includes both components of the American Thoracic  
147 Society/European Respiratory Society<sup>22</sup> definition of asthma control, i.e. the level of clinical  
148 asthma control (as evidenced here by short acting beta agonist use) and the risk of future  
149 adverse events (as evidenced here by a history of adverse events including hospitalisation,  
150 ED visit and receipt of OCS). Overall asthma control is defined in table 1. A prescription for  
151 antibiotics in conjunction with a respiratory consultation was included in the definition of an  
152 acute respiratory event (and absence of same in the definitions of asthma control) because in  
153 clinical practice antibiotics may be prescribed for an asthma exacerbation.<sup>23-25</sup> Secondary  
154 outcomes were acute respiratory events, severe exacerbation,<sup>26</sup> risk-domain measure of  
155 asthma control (to give insight into risk for future exacerbation)<sup>19-21</sup> and treatment stability  
156 (see table 1 for definitions). Medication use during the 12 months after the index date was  
157 also compared between cohorts. Statement re coding for hospitalisation, ER and outpatients.

#### 158 **Calculations of medication use**

159 We calculated the average daily doses of SABA and of ICS during the baseline and outcome  
160 years as the [number of inhalers x doses per inhaler] divided by 365 multiplied by strength (in  
161  $\mu\text{g}$ ). For ICS doses we used the beclomethasone dipropionate (BDP)-equivalent doses for the  
162 calculations, thus a 1:1 ratio for budesonide: BDP, 2:1 for fluticasone propionate: BDP, and  
163 2:1 for extrafine beclomethasone (Qvar): BDP. The ICS medication possession ratio (MPR)  
164 was calculated as the number of days coverage of the drug prescribed divided by 365  
165 multiplied by 100 and expressed as <80% (non-adherent) and  $\geq 80\%$  (adherent).<sup>27,28</sup> The  
166 separate LABA inhalers that were available during the study period contained salmeterol or

167 formoterol; the FDC ICS/LABA inhalers contained fluticasone-salmeterol (Seretide),  
168 budesonide-formoterol (Symbicort), and extrafine beclomethasone-formoterol (Fostair).

### 169 **Statistical analyses and sample size**

170 Children in the two treatment cohorts (separate ICS+LABA and FDC ICS/LABA) were  
171 matched sequentially 1:1 on the following criteria which were either known to differ at base  
172 line<sup>4</sup>:year of index date ( $\pm 3$  years), age (exact year), baseline year number of severe  
173 exacerbations (0 or  $\geq 1$ ), prior ICS daily dose ( $\leq 150$ , 151–250, 251–500, or  $> 500$   $\mu\text{g}/\text{day}$ ), and  
174 baseline year mean daily SABA dose (0, 1–200,  $> 200$   $\mu\text{g}/\text{day}$ ). Bespoke software was used  
175 to randomly select unique matched patient pairs when more than one match was possible.

176 Data were prepared for analysis by investigating potential outliers, transforming  
177 skewed data (e.g., log transformation), and categorizing heavily skewed data; missing data  
178 were investigated for type and reason for missingness. All matched unadjusted baseline and  
179 outcome data were tabulated using summary statistics and compared using conditional  
180 logistic regression and an intention-to-treat analysis, whereby all children were included in the  
181 outcome year analyses.

182 The rates of adverse respiratory events and severe exacerbations during the outcome  
183 year were compared using a negative binomial regression model to estimate adjusted ratio  
184 ratios (aRR) and 95% CIs, with FDC ICS/LABA cohort as the reference cohort. General  
185 estimating equations were used to account for the correlation within matched pairs.<sup>29</sup> The  
186 model used empirical standard errors for more robust confidence intervals (CIs) and adjusted  
187 for potential baseline confounders.

188 Conditional logistic regression models were used to estimate adjusted odds ratios  
189 (aOR) and 95% CIs for the dichotomous outcomes, e.g. overall asthma control, with FDC  
190 ICS/LABA as the reference, and adjusted for potential confounding factors.

191 For all multivariable models, those variables that were significantly different or showed  
192 a trend towards a difference ( $P < 0.10$ ) between the treatment cohorts at baseline were  
193 included as potential confounding factors along with any strongly predictive variables.  
194 Potential confounders examined are listed in the online supplementary material (Table S1).

195 Variables were examined for collinearity and clinical importance and were then removed in a  
196 backwards stepwise procedure until all confounding variables remaining in the multivariable  
197 model had  $P < 0.1$  (see online supplementary material for further details).

198 No prospective power calculation was carried out since our sample size was  
199 determined by the number of eligible children in CPRD and OPCR. The analyses were  
200 carried out using IBM SPSS Statistics version 21 (SPSS Statistics, IBM, Somers, NY, USA),  
201 SAS version 9.3 (SAS Institute, Marlow, Buckinghamshire, UK), and Microsoft Excel 2007  
202 (Microsoft, Bellevue, WA, USA); statistically significant results were defined as  $P < 0.05$ .

## 203 RESULTS

### 204 Patients

205 Overall, 1390 and 3771 children were eligible for the FDC ICS/LABA and separate  
206 ICS+LABA cohorts, respectively (see figure in supplementary file). Ninety seven percent of  
207 children had a diagnosis of asthma and 70% were from OOPCRD. After matching there were  
208 1330 children in each cohort, of mean age (SD) 9 (2) years, and 59% were male (table 2). The  
209 two cohorts were similar in characteristics apart from the separate ICS+LABA cohort having  
210 higher dose ICS at baseline, higher annualized ICS dose and the LABA step up occurring one  
211 year earlier (i.e. 2005 versus 2006) compared to the FDC cohort, table 2 and table S3. The  
212 cohorts were well-matched for indicators of baseline asthma severity and control table 3.

213

### 214 Outcomes

#### 215 Primary outcome

216 The proportion of children who achieved overall asthma control was 35% before the index  
217 data and 43% afterwards among the FDC cohort and corresponding proportions were 35%  
218 and 37% among the ICS+LABA cohort; the adjusted odds ratio (aOR) for children in the  
219 ICS+LABA cohort achieve control relative to the FDC cohort was 0.77 (0.66–0.91;  $P = 0.001$ ),  
220 table 3, figure 1.

#### 221 Secondary outcomes

222 The rate of acute respiratory events was greater among the ICS+LABA cohort compared to  
223 the FDC group (adjusted rate ratio [aRR] 1.21; 1.04–1.39;  $P = 0.012$ ; table 3, figure 1). The  
224 percentage of children with  $\geq 1$  severe exacerbations was 13% during the baseline year for  
225 both cohorts and in the outcome year was 7% for the FDC cohort and 9% for the ICS+LABA  
226 cohort; the aRR for severe exacerbations among the children prescribed ICS+LABA relative  
227 to FDC was 1.31 (95% CI; 0.99–1.72;  $P = 0.056$ ; table 3, figure 1). Relative to the FDC cohort,  
228 children in the ICS+LABA as separate cohort were at reduced odds for achieving risk-  
229 domain asthma control (aOR 0.74; 0.61–0.89;  $P = 0.003$ ) and achieving treatment stability  
230 (aOR 0.67; 0.57–0.79;  $P < 0.001$ ), table 3, figure 1. There were no significant differences

231 between cohorts for medication possession ratio being >80% or for severe exacerbations. In  
232 the follow up year there were 6 hospitalizations for asthma in each cohort ( $P = 0.99$ ). There  
233 were 16 children in the FDC cohort and 3 in the separates cohort treated for thrush during the  
234 follow up year ( $P = 0.008$ , see on line supplement). Compared to the baseline year, more  
235 children in the separates cohort (29.9% in baseline year and 19.6% in follow up year) received  
236 treatment with antibiotics during the follow up year than in the FDC cohort (28.6% and 22.5%  
237 respectively,  $p=0.041$ ). There was a trend which approached significance for a greater  
238 proportion of the separates cohort to receive oral corticosteroids compared to the FDC cohort  
239 during the follow up year (8.8% versus 6.5%,  $p=0.084$ ) but no difference in the number with  
240 asthma-related hospital admissions and GP consultations for asthma.

#### 241 Asthma prescribing during outcome year

242 Asthma therapy prescribed during the outcome year, as well as changes in therapy, are  
243 summarized in table 4. Children in the FDC cohort typically received one fewer SABA inhaler  
244 in the outcome year (3 versus 4, table 4) compared with the ICS+LABA as separates. Children  
245 in the FDC ICS/LABA cohort were more likely to have an increase in ICS dose compared with  
246 the ICS+LABA as separates (10% vs. 4%;  $P < 0.001$ ) but no more likely to have LTRA added.  
247 Seventeen percent of children in the ICS+LABA as separates cohort were started on an FDC  
248 during the outcome year. The proportion of children with MPR>80% was 33% in the FDC  
249 cohort and was 31% for the ICS+LABA as separates cohort (aOR 0.87 [0.72–1.06]). During  
250 the outcome year the median daily ICS dose was 219  $\mu\text{g}$  for both cohorts, 231 children in the  
251 separates cohort switched to FDC, 17 in the FDC switched to separates and LTRA treatment  
252 was started in 122 in the FDC cohort and 112 in the separates cohort.

253

254 **DISCUSSION**

255 The aim of this matched cohort study was to provide evidence to support guidelines  
256 recommending that children receiving LABA as an add-on to ICS treatment should be  
257 prescribed a fixed combination inhaler and not an additional separate LABA inhaler, as  
258 prescribing of separates remains very common in UK clinical practice despite  
259 recommendations.<sup>2,3</sup> The main finding was that children prescribed add-on LABA with ICS as  
260 separate inhalers had a 30% greater odds of not having controlled asthma compared with  
261 children prescribed FDC. Additionally the use of separate inhalers was associated with a 21%  
262 greater exacerbation rate compared with those who received FDC. The fact that 17% of  
263 children in the separate LABA cohort were prescribed an FDC inhaler during the outcome year  
264 suggests that prescribers may be trialing LABA as a separate, but our data suggest that the  
265 trial should be with FDC in the first instance. Our results provide additional evidence that  
266 supports guideline recommendations for LABA to be prescribed as FDC, and not as separate,  
267 inhaler.<sup>2,3</sup>

268 Although significant, the improvement in outcomes for those treated with FDC was only  
269 improved by a small degree compared with treatment with separate ICS and LABA inhalers  
270 (figure 1). We present our results as odds ratios, and the effect size is small when presented  
271 as a likelihood ratio for achieving control (0.9 for the separates cohort compared to the FDC  
272 cohort), or number needed to treat (17 children would require treatment with FDC instead of  
273 separate in order to mean one child achieved control). This small effect may be partly  
274 explained by improvement in all outcomes in both groups as the children became older. An  
275 additional factor may be that adherence was relatively poor for all participants (22-33%).  
276 Overall, relatively few children prescribed LABA in our study achieved overall asthma control  
277 (35-43%), and whilst this is partly related to the moderate severity of their disease this study  
278 highlights the burden of respiratory morbidity in children with asthma which can be at least  
279 partly improved by FDC prescription in place of ICS and LABA separates, typically one fewer  
280 SABA canister per annum.

281           There is little prior published work comparing outcomes with FDC versus separate  
282 inhalers for children prescribed add-on LABA yet many thousands of children are prescribed  
283 LABA each year. Outcomes were similar with FDC versus separate inhalers for children in two  
284 relatively short double-blind, double-dummy trials,<sup>8,9</sup> although one trial did observe a greater  
285 increase in peak expiratory flow in children receiving FDC compared with ICS+LABA as  
286 separates.<sup>9</sup> These studies<sup>8,9</sup> might have been underpowered to detect differences between  
287 two effective treatments, and additionally it is well-recognized that clinical trials recruit  
288 individuals whose disease is exceptionally stable and whose adherence behavior is not  
289 generalizable to the whole population and this potentially reduces the ability of clinical trials to  
290 detect a difference in outcome between treatment groups.<sup>18</sup> A recent retrospective  
291 observational database study observed that children prescribed FDC inhalers received fewer  
292 acute oral corticosteroid courses and in 2 of the 4 years studied, also less reliever medication  
293 than those prescribed separate inhalers.<sup>4</sup> One possible explanation for the findings of Elkout  
294 et al.<sup>4</sup> is that the apparent benefit of FDC is due to children receiving separates being at  
295 increased risk for adverse outcomes *per se* and our previous work confirms that younger  
296 children are more likely to be prescribed separate inhalers<sup>10</sup> and are also more likely to have  
297 exacerbations.<sup>30</sup> The present study applied a matched cohort analysis and although there  
298 were small differences between cohorts in ICS dose at baseline where any effect would  
299 minimize any benefit of step up to FDC we are able to conclude that the benefit of FDC over  
300 separates is not explained by differences at baseline.

301           The use of an FDC ICS/LABA inhaler has several theoretical benefits over two  
302 separate inhalers. The concurrent delivery of a bronchodilator (LABA) may provide a  
303 symptomatic benefit with use of FDC inhalers that promotes inhaler use, and thus improved  
304 adherence with treatment and increased consumption of concomitant ICS.<sup>31,32</sup> Other authors  
305 have hypothesized there may be a biochemical synergy between ICS and LABA with their co-  
306 deposition in the airways.<sup>33,34</sup> Moreover, an important advantage of combining ICS and LABA  
307 in one inhaler is the prevention of LABA use as monotherapy, which carries potential increased  
308 risk of asthma-related mortality and since 2005 is accompanied by a Food and Drug

309 Administration (FDA) “black box” warning in the US.<sup>35,36</sup> A 2010 FDA recommendation was  
310 that “a FDC product...be used to ensure compliance with concomitant therapy in pediatric and  
311 adolescent patients”.<sup>37</sup> Conversely, an advantage of prescribing separate inhalers is the ability  
312 to titrate ICS dose independently of the LABA.

313         The assumption of better LABA adherence with use of a single FDC ICS/LABA inhaler  
314 rather than two separate inhalers is generally acknowledged.<sup>2</sup> We found no evidence for  
315 improved ICS adherence between cohorts, in terms of refill prescription rates, but the  
316 increased number of children treated for thrush in the FDC compared to separates suggests  
317 increased adherence with ICS in the FDC cohort. Some retrospective observational studies  
318 find that FDC inhalers are associated with better adherence and refill persistence by both  
319 adults and adolescents with asthma,<sup>12-14</sup> but this finding is not seen in all studies. For example,  
320 in one randomized controlled trial (patient ages 16–65 years) where covert electronic  
321 monitoring was used, similar adherence was found with FDC and separate inhaler therapy.<sup>38</sup>  
322 In a retrospective observational study, and consistent with our findings, Elkout et al.<sup>39</sup> found  
323 that MPR was similar for children prescribed separate ICS+LABA inhalers and FDC LABA/ICS  
324 and it is possible that although separate ICS and LABA inhalers are issued with equal  
325 frequency, adherence with ICS is higher compared with LABA separate. Clearly more  
326 research is needed in this area but the limited data from children presented here and from  
327 adults elsewhere<sup>38</sup> suggest that FDC is associated with superior outcomes compared with ICS  
328 plus LABA as separates and this difference may be explained by different taking behavior,  
329 e.g. taking more separates when symptomatic.

330         Treatment with a “SMART” regimen has never been recommended for children in the  
331 UK, and our study cannot give insight into the potential benefits of this practice. There is  
332 evidence of reduced exacerbations in children randomized to a “SMART” regimen compared  
333 with FDC<sup>40</sup> but this work has not been confirmed elsewhere or incorporated into guidelines to  
334 date.

335         Antibiotics are not recommended for the treatment of acute asthma exacerbations in  
336 any age group, but since antibiotics are commonly prescribed for childhood asthma

337 exacerbations<sup>23-25</sup> failure to consider antibiotic prescribing will result in missing a large number  
338 of exacerbations. One study of 60 million asthma exacerbations reported that one in six  
339 pediatric exacerbations were treated with antibiotics, and only 26% of those treated with  
340 antibiotics received corticosteroid treatment (i.e. 12% of all exacerbations)<sup>25</sup> and would not be  
341 identified as an exacerbation.

342 This study has several strengths. We drew on well-maintained and stable datasets  
343 containing medical record information for approximately 15% of children in the UK through  
344 2012. A full baseline year was used for confounder definition, and a full outcome year for  
345 examining asthma-related outcomes to capture infrequent events such as exacerbations and  
346 eliminate the effect of seasonal variations in allergy. A rigorous matching process was used,  
347 which was informed by our previous work that identified differences between children receiving  
348 LABA as separate inhaler or FDC,<sup>10</sup> and this resulted in two cohorts with similar demographic  
349 characteristics and baseline indicators of asthma severity and control; adjustments were made  
350 for minor residual confounding. We studied children receiving their first therapy step-up with  
351 add-on LABA, thereby reducing potential effects of declining persistence with therapy over  
352 time.<sup>14</sup>

353 Our study has a number of limitations. First, as in all studies of this nature the patient  
354 outcomes were inferred from prescribing information which brings the benefits of a large  
355 representative sample size but which cannot capture aspects of asthma control such as  
356 nocturnal or exertional symptoms, however we are able to capture use of relieving medication  
357 which is a valid index of asthma control. We cannot rule out the possibility of undetected  
358 residual confounding in this study, although our matching and analytic methods were designed  
359 to minimize this possibility. Despite matching for index data the FDC cohort was identified one  
360 year after the ICS/LABA cohort, reflecting the later introduction of FDC to clinical practice  
361 compared to separate LABA inhaler, but we do not believe that this difference has substantially  
362 affected the outcome. Our matching ensured that the children in cohort were prescribed the  
363 same ICS dose (400 µg) but we acknowledge that the separates cohort had received less ICS  
364 over the previous year compared to the FDC cohort (143 versus 164 µg) and do not believe

365 that this difference has affected the difference seen between cohorts. Moreover, as in any  
366 observational study there was the potential for bias, for example, differential prescribing with  
367 regard to add-on LABA inhaler choice that could in turn influence outcomes. Missingness was  
368 present but was equally distributed across the two cohorts, e.g. only 60% of children had  
369 height and weight data available. The children with the most severe asthma, i.e. maintenance  
370 oral corticosteroids, were excluded from the analysis and our results cannot necessarily be  
371 extrapolated to this very small group of patients. Children with small changes in ICS dose  
372 than recommended (i.e. <50%) were also excluded from our analysis meaning that our results  
373 cannot be extrapolated to this clinical setting. We acknowledge that the definition of asthma  
374 used may have resulted in inclusion of children without asthma and exclusion of children with  
375 (unrecognized) asthma, but the aim of this study was to compare outcomes between groups  
376 of children with asthma and not outcomes between groups with and without asthma so the  
377 inclusion criteria for asthma diagnosis are not likely to affect the results. Finally, we used an  
378 intention-to-treat analysis but know that 17% of the ICS+LABA cohort received FDC during  
379 the follow up and this will underestimate the true clinical benefit of FDC over ICS+LABA.

380 In concluding, routinely acquired healthcare data are a valuable source for determining  
381 treatment benefits in a real world setting and complement results from clinical trials. Our  
382 results, which are based on data collected from 2660 children, provide evidence that for the  
383 whole population LABA treatment in children should be administered as an FDC and not as a  
384 separate inhaler.

385

386 **Competing interests**

387 MT. Neither MT nor any member of his close family has any shares in pharmaceutical  
 388 companies. In the last 3 years he has received speaker's honoraria for speaking at sponsored  
 389 meetings or satellite symposia at conferences from the following companies marketing  
 390 respiratory and allergy products: Aerocrine, Astra Zeneca, Boehringer Ingelheim, GSK, MSD,  
 391 Teva. He has received honoraria for attending advisory panels with; Aerocrine, Almirall, Astra  
 392 Zeneca, BI, Chiesi, GSK, MSD, Novartis. He has received sponsorship to attend international  
 393 scientific meetings from: GSK, Astra Zeneca, Mundipharma. He has received funding for  
 394 research projects from: GSK, Almirall. He is chief medical adviser to the charity Asthma UK,  
 395 a member of the BTS SIGN Asthma guideline group and the NICE Asthma guideline group.

396 DP. Board Membership: Aerocrine, Almirall, Amgen, AstraZeneca, Boehringer Ingelheim,  
 397 Chiesi, Meda, Mundipharma, Napp, Novartis, and Teva. Consultancy: Almirall, Amgen,  
 398 AstraZeneca, Boehringer Ingelheim, Chiesi, GlaxoSmithKline, Meda, Mundipharma, Napp,  
 399 Novartis, Pfizer, and Teva. Grants/Grants Pending: UK National Health Service, British Lung  
 400 Foundation, Aerocrine, AstraZeneca, Boehringer Ingelheim, Chiesi, Eli Lilly, GlaxoSmithKline,  
 401 Meda, Merck, Mundipharma, Novartis, Orion, Pfizer, Respiratory Effectiveness Group,  
 402 Takeda, Teva, and Zentiva. Payments for lectures/speaking: Almirall, AstraZeneca,  
 403 Boehringer Ingelheim, Chiesi, Cipla, GlaxoSmithKline, Kyorin, Meda, Merck, Mundipharma,  
 404 Novartis, Pfizer, SkyePharma, Takeda, and Teva. Payment for manuscript preparation:  
 405 Mundipharma and Teva. Patents (planned, pending or issued): AKL Ltd

406 Payment for the development of educational materials: GSK, Novartis. Stock/Stock options:  
 407 Shares in AKL Ltd which produces phytopharmaceuticals and owns 80% of Research in Real  
 408 Life Ltd and its subsidiary social enterprise Optimum Patient Care. Payment for  
 409 travel/accommodations/meeting expenses: Aerocrine, Boehringer Ingelheim, Mundipharma,  
 410 Napp, Novartis, and Teva. Funding for patient enrolment or completion of research: Almirall,  
 411 Chiesi, Teva, and Zentiva. Peer reviewer for grant committees: Medical Research Council

412 (2014), Efficacy and Mechanism Evaluation programme (2012), HTA (2014). Unrestricted  
413 funding for investigator-initiated studies: Aerocrine, AKL Ltd, Almirall, Boehringer Ingelheim,  
414 Chiesi, Meda, Mundipharma, Napp, Novartis, Orion, Takeda, Teva, Zentiva.

415 At the time of the study analyses, AB and KR were employees of RiRL, which has conducted  
416 paid research in respiratory disease on behalf of the following organizations in the past 5  
417 years: Aerocrine, AKL Ltd, Almirall, Boehringer Ingelheim, Chiesi, GlaxoSmithKline, Meda,  
418 Mundipharma, Napp, Novartis, Orion, Takeda, Teva, Zentiva.

419 ST and CM have no conflicts of interest to declare.

#### 420 **Contributorship**

421 ST, CM, MT and DP conceived the idea for the analysis. KR and AB analyzed the data. EVH  
422 and ST wrote the first draft of the paper. All authors made contributions to the final paper.

423

#### 424 **Acknowledgements**

425 The authors thank Prof Stanley Szeffler for his comments on the paper.

426

427

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550 12 April 2016].  
551

552 **Table 1** Definitions of database-derived study secondary outcomes. Definitions of oral  
 553 corticosteroid use and respiratory consultation are provided in the supplement.

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### **Study endpoints**

#### **Primary endpoint**

Overall asthma control. All of the following: no asthma-related hospital admission; no emergency room or outpatient attendance for asthma; no prescription for OCS or antibiotic with evidence of respiratory consultation; average daily prescribed dose of  $\leq 200$   $\mu\text{g}/\text{day}$  salbutamol or  $\leq 500$   $\mu\text{g}/\text{day}$  terbutaline (equivalent to  $\leq 2$  puffs daily of reliever medication).

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### **Secondary endpoints (*determined over 12 months*)**

#### **Acute respiratory events**

Acute course of oral corticosteroids (with associated evidence of a respiratory consultation) or asthma-related hospitalization or emergency room attendance or antibiotic prescription with evidence of a respiratory consultation.

#### **Rate of severe exacerbations\***

Acute course of oral corticosteroids (with associated evidence of a respiratory consultation) or asthma-related hospitalization or emergency room attendance

#### **Risk-domain asthma control:**

No asthma-related hospital admission, emergency room attendance, or outpatient department attendance, **and** no prescription for acute course of oral corticosteroids with evidence of a respiratory consultation, **and** no antibiotic prescription with evidence of a respiratory consultation.

#### **Treatment stability:**

Risk-domain asthma control achieved (see above) **and** no additional therapy during the outcome year as either

a.

554

555 **Table 2 Baseline characteristics of children prescribed add-on LABA as FDC ICS/LABA inhaler or separate ICS+LABA inhalers:**  
 556 **matched cohorts**

Characteristic		FDC ICS/LABA (n=1330)	Separate ICS + LABA (n=1330)	p Value for difference between cohorts
Male sex, n (%)		780 (58.6)	779 (58.6)	0.97†
Age at index date, mean (SD)		9.4 (2.2)	9.4 (2.2)	n/a†
Weight categories‡	Not obese or overweight (i.e. <91th BMI centile)	571 (42.9)	542 (40.8)	0.11
	Overweight (i.e. 91–97th BMI centile)	118 (8.9)	111 (8.3)	
	Obese (i.e. ≥98th BMI centile)	101 (7.6)	136 (10.2)	
	Missing BMI data	540 (40.6)	541 (40.7)	
Recorded comorbidity, n (%)	Rhinitis diagnosis	295 (22.2)	333 (25.0)	0.083
	Eczema diagnosis	664 (49.9)	658 (49.5)	0.81

Year of index date, median (IQR)		2006 (2004–2008)	2005 (2003–2007)	<0.001
Year since first asthma script, median (IQR)		3 (1–5)	3 (1–6)	0.29
Median (IQR) annualized daily ICS dose, $\mu\text{g}/\text{d}$ ¶		143 (82–247)	164 (99–274)	0.001
ICS dose prescribed before index date, n (%)	$\leq 150 \mu\text{g}/\text{d}$	0 (0)	0 (0)	n/a†
	151–250 $\mu\text{g}/\text{d}$	248 (18.6)	248 (18.6)	
	251–500 $\mu\text{g}/\text{d}$	1000 (75.2)	1000 (75.2)	
	>500 $\mu\text{g}/\text{d}$	82 (6.2)	82 (6.2)	
Median ICS (IQR) ICS dose at index date ( $\mu\text{g}/\text{d}$ )		400 [400,400]	400 [400, 400]	n/a†
Mean daily SABA dose, n (%)¶	0 $\mu\text{g}/\text{d}$	21 (1.6)	21 (1.6)	n/a†
	$\leq 200 \mu\text{g}/\text{d}$	652 (49.0)	652 (49.0)	

	>200 µg/d	657 (49.4)	657 (49.4)	

557 †Matching variable.

558 ‡ Cut offs for overweight and obese recommended by the Royal College of Paediatrics and Child Health.<sup>41</sup>

559 ¶¶The doses of ICS and SABA were averaged over the baseline year using the formula [number of inhalers x doses per inhaler] divided by 365 x  
 560 strength (in µg). ICS doses were standardized to equivalence with standard-particle beclomethasone; thus, the actual doses of budesonide were  
 561 used, and doses of extrafine beclomethasone and fluticasone were doubled.

562 BMI, body mass index; CPRD, Clinical Practice Research Datalink; FDC, fixed-dose combination; ICS, inhaled corticosteroid; IQR, interquartile  
 563 range; LABA, long-acting β-agonist; n/a, not applicable; OPCR, Optimum Patient Care Database; SD, standard deviation.

564

565 **Table 3** Study endpoints, and their components, during the baseline and outcome years. Negative binomial logistic regression models which  
 566 yield adjusted. Unadjusted p values are presented here. Adjusted Odds Ratio and Rate Ratio with p values are presented in figure one.

Characteristic	Baseline year			Outcome year		
	FDC ICS/LABA (n=1330)	Separate ICS + LABA (n=1330)	p value for difference between groups	FDC ICS/LABA (n=1330)	Separate ICS + LABA (n=1330)	p value for difference between groups during the follow up years relative to baseline year without adjustment
Achieve overall asthma control	469 (35.3)	464 (34.7)	0.59	543 (43.1)	495(37.2)	0.001
Acute respiratory events, mean (SD)	0.49 (0.84)	0.54 (0.92)	0.084	0.32 (0.71)	0.39 (0.75)	0.011

Acute respiratory events, n (%)	0	883 (66.4)	857 (64.4)	0.21	1031 (77.5)	966 (72.6)	0.003
	1	300 (22.6)	316 (23.8)		217 (16.3)	256 (19.2)	
	≥2	147 (11.1)	157 (11.8)		82 (6.2)	108 (8.1)	
Severe exacerbations, n (%)	0	1157 (87.0)	1157 (87.0)	0.54†	1237 (93.0)	1205 (90.6)	0.056
	1	136 (10.2)	131 (9.8)		68 (5.1)	98 (7.4)	
	≥2	37 (2.8)	42 (3.2)		25 (1.9)	27 (2.0)	
Achieved risk-domain asthma control, n (%)		846(65.1)	820480 (63.9)	0.21	999 (77.4)	973 (72.5)	0.003
Achieved treatment stability, n (%)		n/a	n/a	n/a	842 (65.6)	947 (56.9)	<0.001

567 †Matching variable. Note: severe exacerbations were matched as 0 or ≥1.

568 FDC, fixed-dose combination; GP, general practice; ICS, inhaled corticosteroid; IQR, interquartile range; LABA, long-acting β-agonist; n/a, not

569 applicable; SABA, short-acting β-agonist.

570 **Table 4** Asthma therapy prescribed during the outcome year

<b>Outcome</b>	<b>FDC ICS/LABA (n=1330)</b>	<b>Separate ICS + LABA (n=1330)</b>	<b>p value for difference between groups</b>
SABA inhalers, median (IQR)	3 (2–6)	4 (2–7)	<0.001
Change in therapy (any time), n (%)	244 (18.3)	326 (24.5)	<0.001
Increase in ICS dose $\geq$ 50% (any time)	133 (10.0)	58 (4.4)	<0.001

571 †The doses of ICS and SABA were averaged over the outcome year using the formula  
572 [number of inhalers x doses per inhaler] divided by 365 x strength (in  $\mu$ g). SABA doses were  
573 converted to puffs using the formula 100  $\mu$ g = 1 puff. The doses of ICS were standardized to  
574 equivalence with standard-particle beclomethasone; thus, the actual doses of budesonide  
575 were used, and doses of extrafine beclomethasone and fluticasone were doubled.  
576 FDC, fixed-dose combination; ICS, inhaled corticosteroid; IQR, interquartile range; LABA,  
577 long-acting  $\beta$ -agonist; LTRA, leukotriene receptor antagonist; n/a, not applicable (comparison  
578 not possible because of 0 or low number); SABA, short-acting  $\beta_2$ -agonist.

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580

581 **FIGURE LEGEND**

582

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584

585 **Figure 1.** Adjusted asthma-related outcome measures comparing matched treatment  
586 cohorts during 1 outcome year. adjOR/adjRR, adjusted odds ratio/rate ratio; FDC, fixed-dose  
587 combination; ICS, inhaled corticosteroid; LABA, long-acting  $\beta_2$ -agonist; SABA, short-acting  
588  $\beta_2$ -agonist

589 \*Adjusted for nonsteroidal anti-inflammatory drugs

590 †Adjusted for baseline acute respiratory events and paracetamol prescription

591 ‡Adjusted for baseline severe exacerbations and number of asthma and non-asthma  
592 consultations

593 §Adjusted for paracetamol prescription

594 ¶Adjusted for data source

595

596