

Detecting clinical change with the CDR-FTLD: differences between FTLD and AD dementia

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Objective: To investigate the psychometric properties of the Clinical Dementia Scale—frontotemporal lobar degeneration (CDR-FTLD) psychometric properties using Rasch analysis and its sensitivity distinguishing disease progression between FTLD and Alzheimer's disease (AD).

Methods: Of 603 consecutive patients from the National Alzheimer Coordinating Center dataset (FTLD = 350; AD = 253), 120 FTLDs were included in a Rasch analysis to verify CDR-FTLD psychometric properties; 483 (FTLD = 230; AD = 253) were included to analyse disease progression, with 195 (FTLD = 82; AD = 113) followed-up (24 months).

Results: The CDR-FTLD demonstrated good consistency, construct and concurrent validity and correlated well with mini-mental state examination (MMSE) and disease duration ($p < 0.05$). At baseline, FTLD showed greater dementia severity than AD after matched for MMSE and disease duration ($p < 0.001$). Independent Rasch analyses demonstrated different patterns of progression for FTLD and AD in terms of the domains initially and then subsequently affected with disease progression. At follow-up, although MMSE showed significant changes ($p < 0.05$), these were greater on the CDR-FTLD ($p < 0.001$).

Conclusion: The CDR-FTLD satisfactorily measures dementia severity and change in FTLD, distinguishing disease progression between FTLD and AD, with clear implications for care, prognosis and future clinical trials. © 2016 The Authors. *International Journal of Geriatric Psychiatry* published by John Wiley & Sons, Ltd.

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Introduction

The ability to detect clinical change and attribution of accurate disease severity in frontotemporal lobar degeneration (FTLD) is critical for appropriate characterization of research cohorts, prognosis, clinical management and future trials. In the spectrum of clinical disorders due to Alzheimer's disease (AD), the Clinical Dementia Rating Scale (CDR) (Morris, 1993) is widely regarded as an excellent instrument for rating severity. It is extensively used in drug trials and research studies (Schneider *et al.*, 1997; Rattinger *et al.*, 2015). Specific dementia staging tools in FTLD have not achieved the same kind of consensus. The

Frontotemporal Dementia Rating Scale was published in 2010 (Mioshi *et al.*, 2010), demonstrating ability to detect differences in disease progression in frontotemporal dementia (FTD) subtypes and over time. As such, specific tools such as the CDR or Frontotemporal Dementia Rating Scale have demonstrated capability to detect clinical change in different dementia subtypes, but tools that can be reliably applied in both disease groups are limited.

The Clinical Dementia Rating - FTLD scale-modified (CDR-FTLD) (Knopman *et al.*, 2008) addresses this issue with the addition of two extra domains, language and behaviour, making it more sensitive to FTLD subtypes, while enhancing description of disease

progression in the AD spectrum. It has been shown ability to detect significant change in FTLN-simulated trials, but its applicability in AD clinical trials has not been tested yet.

Here, we applied Rasch modelling to understand the performance of the CDR-FTLD. Rasch analysis is a powerful methodology based on item-response theory, able to investigate items from a scale concomitantly with the performance of patients in these same items, allowing for a direct comparison. Patients and items can be placed in the same continuum, providing great insight into patient performance as well as item difficulty, making this method very appropriate for the validation of disease progression assessment tools.

This study aimed to (i) verify psychometric properties of the CDR-FTLD via Rasch analysis; (ii) investigate if the CDR-FTLD could reveal different patterns of disease progression between FTLN and AD dementia; and (3) examine if the CDR-FTLD could detect longitudinal changes in FTLN and AD dementia.

Methods

Participants

The National Alzheimer Coordinating Center (NACC) developed a database containing extensive clinical information on participants from 34 past and present Alzheimer's Disease Centres (ADCs) in the USA. The NACC database was interrogated on 2 November 2012 to identify patients diagnosed with FTLN or AD according to international criteria. The dataset contained 11 902 patients who were assessed between September 2005 and August 2012. Of those, 603 patients were consecutively included if fulfilling the following criteria: for FTLN (Neary *et al.*, 1998; Gorno-Tempini *et al.*, 2011; Rascovsky *et al.*, 2011) ($n=350$), diagnosis of behavioural variant FTD, primary progressive aphasia semantic variant or non-fluent variant and no concurrent diagnosis of progressive supranuclear palsy, corticobasal degeneration, depression or other psychiatric disease at their first visit, as recorded in the NACC database. Inclusion criteria for dementia due to AD ($n=253$) were diagnosis of possible or probable AD (McKhann *et al.*, 2011) and no concurrent diagnosis of vascular dementia, dementia with Lewy bodies, depression or other psychiatric disease at the first visit. The NACC protocol and diagnostic criteria have been extensively published.

Participants were consecutively included to the FTLN test sample 1 ($n=120$), the FTLN replication sample 2 ($n=230$) or the AD dementia replication

sample 3 ($n=253$). Of the replication sample, 82/230 patients with FTLN had 1–3 follow-up visits; 113/253 patients with AD dementia had follow-up data (Figure 1). Follow-up data were taken from the visit that took place approximately 24 months following baseline.

Instruments

Clinical Dementia Rating Scale for FTLN. The CDR-FTLD is an extended version of the CDR and includes two additional domains: language and behaviour. It has shown to be more sensitive to FTLN than the original CDR, where it was 27% more sensitive in detecting decline over 12 months than the standard CDR score (Knopman *et al.*, 2011). The 'sum of boxes', that is, the sum of the individual domain ratings, was used to determine global dementia severity.

General cognitive assessment. The mini-mental state examination (MMSE) (Folstein *et al.*, 1975) was used at baseline and follow-up visits. The MMSE is a global cognitive assessment widely used in clinical and research dementia centres. The maximum score is 30, with two cut-offs: 24 (sensitivity 66%, specificity 99%) and 27 (sensitivity 89%, specificity 91%) indicative of cognitive decline yielding different rates of sensitivity and specificity for dementia (O'Bryant *et al.*, 2008).

Statistical analyses

For the investigation of the psychometric properties of the CDR-FTLD and its validation, a number of metrics were generated by a Rasch analysis (Sample 1) to verify scale item suitability, internal validity and unidimensionality. Rasch analysis allows for the simultaneous verification of the ranking of items in a scale (very difficult to easy), while concomitantly ranking all patients in order of ability (less severe to more severe). Concurrent validity of the scale was checked against the MMSE and length of symptoms, using IBM SPSS 20. The Rasch analyses steps were analysed using Winsteps 7.

For the investigation of the clinical change in FTLN and AD dementia, another two independent Rasch analyses were conducted, one with each diagnostic subgroup (Samples 2 and 3), which revealed the clinical progression of each dementia subtype. Given the violation of normality in the distribution of the CDR-FTLD scores for both FTLN and AD dementia, non-parametric repeated measures Wilcoxon test was

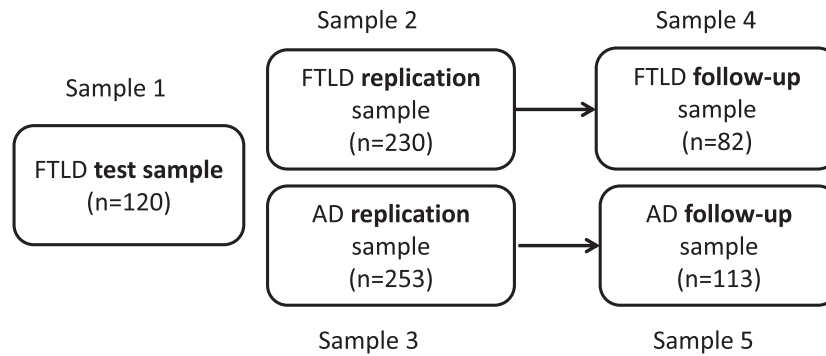


Figure 1 Schematic representation of data samples used in the study.

applied in a subset of patients with follow-up visits (average 24 months) to verify the ability of the scale in detecting change over time. Non-parametric Spearman rank correlation analyses were also performed between the CDR-FTLD and the MMSE and length of symptoms.

Results

Patient characteristics

The FTLD and AD groups were well matched for the time of disease duration (Table 1). The proportion of men and women was dissimilar in both groups, which had also different rates of married people, but mostly had English as their first language. General cognitive scores were matched for FTLD and AD dementia, but severity of dementia was greater for FTLD, as defined by the CDR-FTLD ‘sum of boxes’.

Validation of the CDR-FTLD: psychometric properties (Sample 1)

The CDR-FTLD fulfils all criteria for a valid scale. In regard to items (construct validity), the mean square

infit [$M=1.12$ ($SD=0.76$), $Z=-0.5$ ($SD=3.9$)] and outfit [$M=1.26$ ($SD=0.94$), $Z=0$ ($SD=3.7$)] were within the desired values (0.60–1.49; $Z=-2$ to 2). Unidimensionality was low (29%; desired raw variance would be 50%) because the scale is inherently not unidimensional: It contains different dimensions such as memory, language and behaviour. Test consistency was excellent (0.93), very close to Cronbach’s alpha of 0.95 (Bland and Altman, 1997). Item separation was 3.78, which is also very close to the desired value of 3.0 (Linacre and Wright, 2000) (Table 2).

Concurrent validity of the CDR-FTLD was confirmed by correlations with the MMSE: There was a significant correlation for both patients with FTLD ($r=-0.591$, $p<0.001$) and patients with AD ($r=-0.778$, $p<0.001$). There were also significant correlations between the CDR-FTLD and length of symptoms for both disease groups (FTLD: $r=0.348$, $p<0.001$) and AD dementia: $r=0.386$, $p<0.001$).

Is clinical change in FTLD different from AD?

Two independent Rasch analyses (Samples 2 and 3, Figure 1) were conducted for the investigation of clinical change in FTLD and AD using the CDR-

Table 1 Demographic and clinical characteristics of patients with FTLD and AD at baseline (Samples 2 and 3). Means; SD in brackets

	FTLD ($n=230$)	AD dementia ($n=253$)	FTLD versus AD dementia
Age, years	63.9 (8.97)	74.8 (10.0)	* $p<0.001$
Disease duration, years	4.8 (3.5)	4.4 (3.0)	* $p=0.169$
Male, %	57.6	44.7	** $p<0.001$
Primary language, English %	91.7	91.7	**n.s.
Marital status, married %	78.6	66.4	** $p<0.001$
MMSE (max 30)	21.7 (7.5)	22.4 (12.5)	* $p=0.089$
CDR-FTLD sum of boxes (max 24)	8.4 (5.8)	4.7 (3.7)	* $p<0.001$

* t -test, $p>0.05$.

**Chi-square, $p<0.05$.

Table 2 Demographic and clinical characteristics of patients with FTLD and AD at follow-up (Sample 3). Means; SD in brackets

	FTLD (<i>n</i> = 82)	AD dementia (<i>n</i> = 113)	FTLD versus AD dementia
Age, years	66.6 (9.4)	78.3 (9.2)	* <i>p</i> < 0.001
Disease duration, years	6.8 (3.0)	7.1 (3.6)	* <i>p</i> = 0.541
Male, %	57.8	55.8	** <i>p</i> = 0.772
Primary language, English %	88.0	90.3	** <i>p</i> = 0.139
Marital status, married %	77.1	62.8	** <i>p</i> < 0.05
MMSE (max 30)	22.34 (7.2)	22.5 (5.6)	* <i>p</i> = 0.763
CDR FTLD sum boxes (max 24)	8.3 (5.3)	5.0 (3.8)	* <i>p</i> = 0.001
Time between baseline and follow-up visit (months)	22.1 (1.0)	26.3 (11.7)	* <i>p</i> = 0.01

**t*-test, *p* > 0.05.

**Chi-square, *p* < 0.05.

FTLD items. In this analysis, the CDR-FTLD items were ranked according to the higher assignment of severity exhibited by the patient sample, in this case, each diagnostic group. Figure 2 shows that items with high values are areas affected very early in the disease course, while low value items referring to domains preserved until late in the disease course.

As such, the patients with FTLD showed early susceptibility to impairment in judgement and problem solving; next, it was behaviour, compartment and personality and community affairs (Figure 2). Language, memory and orientation were affected later on, and the last domain to be affected was personal care.

For the patients with AD dementia, the expected profile of clinical change was distinct from that of FTLD. Memory was by far the most susceptible domain to be affected, followed by community affairs, judgement and problem solving, orientation and home and hobbies. Language and behaviour were only

affected much later in the clinical course, together with personal care.

Clinical decline at follow-up

At baseline, both groups were matched for MMSE scores and length of symptoms, but the CDR-FTLD scores were clearly distinct (FTLD > AD dementia, *p* < 0.001). At follow-up (average 24 months), the CDR-FTLD sum of boxes (max 24) were significantly lower for both FTLD and AD (both *ps* < 0.001), reflecting sensitivity to change over time (Figure 3).

Discussion

This study systematically investigated patterns of disease progression in FTLD and AD at different stages with a well-validated staging measure. The results

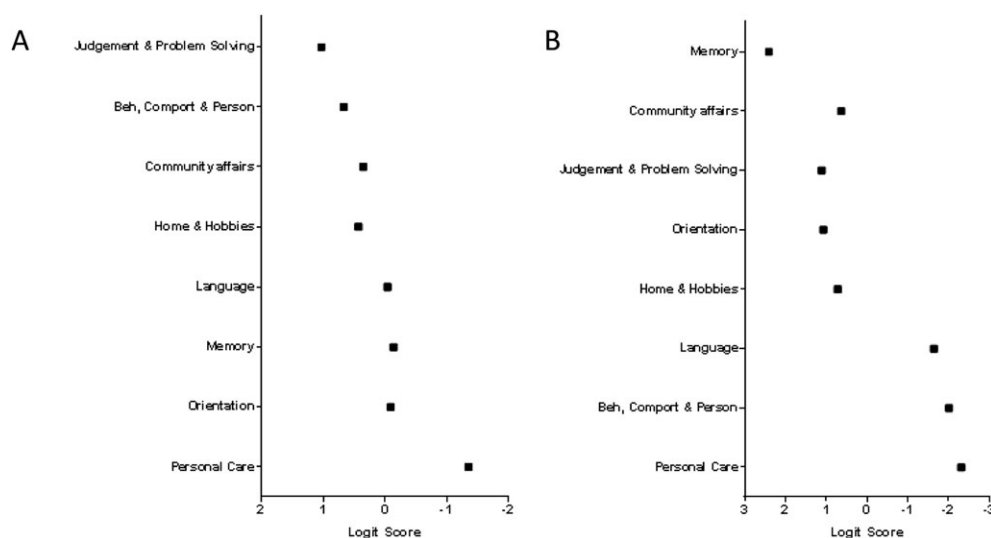


Figure 2 Distribution of Clinical Dementia Scale—frontotemporal lobar degeneration items for (A) patients with frontotemporal lobar degeneration TLD and (B) Alzheimer's disease. Higher logit scores represent greater severity.

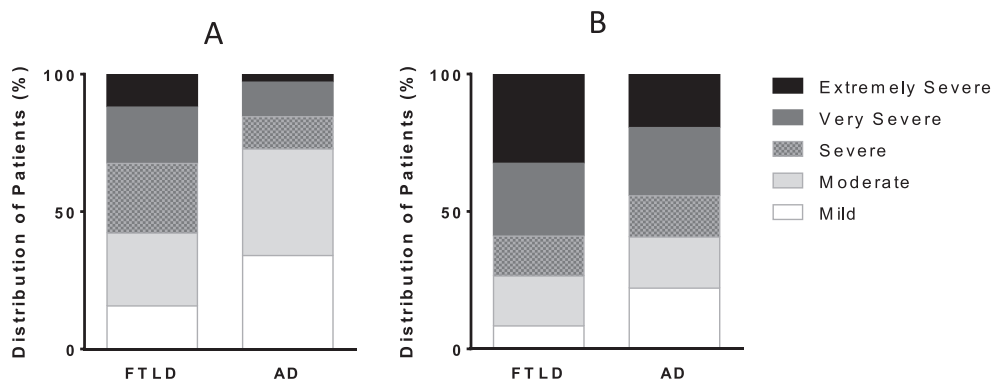


Figure 3 (A) Baseline scores; (B) Follow up scores Distribution of CDR-FTLD sum of boxes severity for FTL D and AD at (A) baseline and (B) follow up.

confirm that the CDR-FTLD provided a clear distinction of clinical changes in the two dementia subtypes, with evident implications for clinical management and future trials of pharmacological and non-pharmacological interventions.

The CDR-FTLD can detect clinical change in FTL D and satisfies required psychometric properties in a number of parameters. Item suitability and item separation were confirmed, with test consistency considered excellent. The measure was strongly associated with global cognitive changes, as measured by the MMSE and length of symptoms, while demonstrating a distinct clinical pattern of progression difference between FTL D and AD dementia. This study went beyond prior analyses (Knopman *et al.*, 2011) and confirmed the utility of the CDR-FTLD in detecting changes in FTL D and AD dementia over time using Rasch analysis.

The application of Rasch modelling in the process of validating scales is very robust (Bond and Fox, 2015), given its roots in item-response theory. Item-response theory models the relationship between abilities measured (e.g. domains in dementia progression) and responses (e.g. patients' performance in the scale) in a mathematical way. In this way, items and respondents are placed in the same dimension and can be compared directly. Traditional approaches in validating health scales tend to rely on classic test theory, which relies on correlations and may be limited in its applicability when tests adopt Likert scales (Churchill, 1979), such as the CDR-FTLD.

Another advantage of Rasch analysis in validating assessments lies in its strength to determine the most important aspect of test validity, namely construct validity (Wampold, 1998). Construct validity refers to the ability of the scale in measuring things hierarchically, which in the case of the CDR-FTLD, fits seamlessly in confirming disease progression in FTL D. The validation Rasch results demonstrated that the

items of the CDR-FTLD can effectively order well a patient sample in a disease continuum, from very mild to very severe. Importantly, the replication sample not only confirmed the test applicability in FTL D but also in an independent sample of AD dementia.

By examining the clinical changes in FTL D in a data-driven approach such as Rasch analysis, our results can guide future interventions to clear targets of disease-modifying therapies (as well as non-pharmacological approaches) at different dementia stages.

The limitations in this study include the merging of FTL D subtypes, and for this reason, the differences in variants could not be investigated here. We also lacked autopsy confirmation in both FTL D and AD dementia subgroups, but as with most published studies, we relied on clinical diagnoses made by expert clinicians in the US Alzheimer centres. The strengths of our study include the Rasch analysis methodology for the validation of the CDR-FTLD in FTL D, large clinical samples, as well as validation in independent cohorts of patients with FTL D and AD, which have also contributed to a greater understanding of the variances in disease progression in the two dementia subtypes.

The understanding of differences in the course of dementia subtypes and validation of appropriate measures not only inform future trials and clinical research, but it can also provide clinical teams with an instrument that can objectively measure relevant health and social care needs at different stages of FTL D.

Key points

- The CDR-FTLD satisfactorily measures dementia severity and change in FTL D, distinguishing disease progression between FTL D and AD, with clear implications for care, prognosis and future clinical trials.

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