

1 **New insights into the regulatory pathways associated with the activation of the stringent response**  
2 **in bacterial resistance to the PBP-2 targeted antibiotics, mecillinam and OP0595/RG6080**

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7 **Running title:** Mechanisms of resistance to PBP2-targetted antibiotics

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9 **Keywords:** Enterobacteriaceae, Escherichia coli, resistance mechanisms,  $\beta$ -lactams, penicillin binding  
10 proteins

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19 BACKGROUND: The diazabicyclooctane  $\beta$ -lactamase inhibitor OP0595 (RG6080) also acts as an  
20 antibiotic, targeting penicillin-binding protein 2 (PBP2) in Enterobacteriaceae but this activity is  
21 vulnerable to mutational resistance. We used whole genome sequencing (WGS) to investigate the  
22 basis of this resistance. METHODS: Twenty OP0595-selected mutants, comprising four derived from  
23 each of five different *Escherichia coli* strains, were sequenced on Illumina HiSeq. Reads from each  
24 mutant were mapped to the assembled genome of the corresponding parent. A variant-calling file  
25 generated with Samtools was parsed to determine genetic alterations. RESULTS: Besides OP0595, the  
26 mutants consistently showed decreased susceptibility to mecillinam, which likewise targets PBP2, and  
27 grew as stable round forms in the presence of subinhibitory concentrations of OP0595. Among the 20  
28 mutants, 18 had alterations in genes encoding tRNA synthase and modification functions liable to  
29 induce expression of the RpoS sigma factor through activation of the stringent response or had  
30 mutations suppressing inactivators of RpoS or the stringent response signal-degrading enzyme,  
31 SpoT. TolB was inactivated in one mutant: this activates RscBC regulation and was previously  
32 associated with mecillinam resistance. The mechanism of resistance remained unidentified in one  
33 mutant. Both the RpoS and RscBC systems regulate genes of cell division, including *ftsAQZ* that can  
34 compensate for loss or inhibition of PBP2, allowing survival of the challenged bacteria as stable round  
35 forms, as seen. CONCLUSIONS: WGS identified the global stringent response signal, entailing  
36 induction of RpoS, as the main mediator of mutational resistance to OP0595 in *E. coli*.

37

## 38 **Introduction**

39 Production of  $\beta$ -lactamases is the prevalent mode of resistance to  $\beta$ -lactam antibiotics in Gram-  
40 negative bacteria. To counter this, several new  $\beta$ -lactamase inhibitors are under clinical development,  
41 including several diazabicyclooctanes, such as OP0595 (RG6080).<sup>1</sup>

42 OP0595 inhibits Class A and C serine  $\beta$ -lactamases and, also acts as an antibiotic, targeting  
43 penicillin-binding protein 2 (PBP2) of Enterobacteriaceae as with mecillinam. Furthermore, and  
44 independently of  $\beta$ -lactamase inhibition, OP0595 acts as an 'enhancer,' synergising  $\beta$ -lactams that  
45 bind to PBP-3.<sup>1</sup> Its antimicrobial activity is vulnerable to high-frequency mutational resistance and we  
46 used WGS to investigate its genetic basis.<sup>1,2</sup>

## 47 **Materials and methods**

### 48 *Selection and characterisation of OP0595-resistant mutants*

49 OP0595-resistant mutants from five different *E. coli* strains were selected by applying overnight broth  
50 culture on Muller-Hinton agar containing OP0595 at 16 mg/L.<sup>2</sup> Parent and mutant cell shapes were  
51 investigated under microscopy after 2h incubation in broth supplemented with OP0595 at multiples  
52 of MICs for the parent strains. Images were taken after bacterial staining with 1.5% phosphotungstic  
53 acid, using a JEM-1400 transmission electron microscope (JEOL, Peabody, MA, USA) fitted with an  
54 AMTX XR60 camera. Susceptibility testing was performed by agar dilutions according to BSAC  
55 guidelines.<sup>3</sup>

### 56 *Sequencing and bioinformatics*

57 Parent and mutant DNA were extracted on the QIASymphony automated platform (QIAGEN, Hilden,  
58 Germany) used according to the manufacturer's instruction. Paired-end reads of 2 x 100 nucleotides  
59 with over 30 times depth of coverage were generated for each sequenced DNA on a HiSeq Illumina  
60 instrument using the Nextera XT DNA sample preparation kit (Illumina, San Diego, CA, USA). Reads

61 were trimmed to remove low-quality nucleotides using Trimmomatic 0.32  
62 (<http://www.usadellab.org/cms/?page=trimmomatic>), specifying a sliding window of 4 with average  
63 Phred quality of 30 and 50 as the minimum read length to be conserved. Trimmed reads for parents  
64 and mutants were assembled into contigs with VelvetOptimiser 2.1.9 software  
65 (<http://bioinformatics.net.au/software.velvetoptimiser.shtml>), using *k-mer* values from 55 to 75. Only  
66 contigs  $\geq 300$  bp were used in further analysis. Reads from the four mutants of each set were  
67 individually mapped to the assembled contigs of the corresponding parent, using Bowtie2  
68 (<http://bowtie-bio.sourceforge.net/bowtie2>) in a global alignment mode to generate a sequence  
69 alignment/map (SAM) file, which was used to generate a variant-calling file (VCF) using the Samtools  
70 0.1.18 algorithm (<http://samtools.sourceforge.net>) with default settings. Base polymorphisms and  
71 small indels were detected using an in-house Python script which parsed the VCF file line-by-line to  
72 determine the base calls at each nucleotide position, with filtering based on the read coverage ( $\geq 5$   
73 reads), frequency of polymorphic bases ( $\geq 80$  %) and the overall quality of the variant call (base  
74 mapping  $\geq 25$  Phred score). Potential large deletions or insertions were checked in the VCF by filtering  
75 for the read coverage ( $\leq 2$ ) and the frequency of the read- start and end information ( $\geq 50$  %). All  
76 detected base polymorphisms were manually confirmed on Tablet 1.14  
77 (<https://ics.hutton.ac.uk/tablet/>) and suspect genomes carrying large genetic alterations were  
78 visualized using Mauve 2.3.1 software (<http://darlinglab.org/mauve/mauve.html>). The Illumina  
79 sequences generated in this study are deposited and available in the European Nucleotide Archive  
80 (ENA) under the study accession number PRJEB12745 (<http://www.ebi.ac.uk/ena/data>).

## 81 **Results and Discussion**

82 MICs of OP0595 for the twenty mutants exceeded 32 mg/L, compared with 0.5-1 mg/l for their  
83 susceptible parent strains.<sup>2</sup> The mutants exhibited at least an eight fold increase in resistance to  
84 mecillinam, which also solely targets PBP2, whereas MIC shifts of  $\beta$ -lactam antibiotics targeting other  
85 PBPs were variable and lacked any consistent trend.<sup>2</sup> To elucidate these resistance traits, parent and

86 mutant genomes were sequenced and various alterations were identified in multiple regions of the  
87 chromosome. These ranged from single nucleotide substitutions to deletions or insertions of 2 to 3767  
88 nucleotides. Based on the annotation of *E. coli* published genomes, we located alterations to coding  
89 fractions of the genome that were inferred to result in amino acid changes or loss in 19 of the 20  
90 mutants, including eight cases where replacements generated a premature translation-termination  
91 codon (Table 1). Only one mutant (EC-4 M2) had an alteration in a non-coding intergenic region,  
92 involving an insertion sequence 225 bp upstream from the transcription start of the global two-  
93 component system *arcA* gene (Table 1).

94 Fifteen different altered genes were detected among the twenty OP0595-resistant mutants. These  
95 did not include *pbp2*, which encodes the OP0595 target, PBP2. Rather, seven genes, namely *lysS*, *alaS*,  
96 *aspS*, *ileS*, *cca*, *hemL* and *mnmA*, variously altered in 10/20 mutants, encoded aminoacyl tRNA  
97 synthesis and modification functions (Table 1). Alterations in the coding sequences of *alaS*, *aspS* and  
98 other (e.g., *argS*, *thrS*, *leuS* and *gltX*) tRNA synthetase genes have previously been associated with  
99 mecillinam resistance, and are known to result in increased intracellular levels of the stringent  
100 response signal mediator guanosine-3',5'-bisdiphosphate (ppGpp).<sup>4-6</sup> The stringent response is a  
101 widespread global regulatory system, activated in response to various stresses. Production of ppGpp  
102 depends on the ribosome-associated protein RelA, which is activated under amino acid limitation, and  
103 when uncharged tRNAs bind the ribosomal A site.<sup>7,8</sup> Degradation of ppGpp, upon return of favourable  
104 conditions, is catalyzed by SpoT, a bifunctional enzyme that can also synthesize ppGpp in response to  
105 carbon, fatty acid and iron limitation, although less efficiently than RelA.<sup>7,8</sup> ppGpp primarily regulates  
106 gene transcription and is required for the expression of the sigma factor RpoS, which is known to  
107 regulate multiple genes and, in particular, those associated with cell division at stationary phase,  
108 including the *ftsAQZ* operon, activation of which may be the effector mechanism for resistance to  
109 PBP2-targeted agents.<sup>9,10</sup> The alterations identified in genes encoding aminoacyl tRNA synthesis and  
110 modification functions in these 10 OP0595-resistant mutants (Table 1) would be expected to decrease

111 the aminoacyl tRNA levels in the cell, mimicking the amino acid starvation stress conditions that  
112 activate RelA to produce ppGpp.

113 Five of the remaining 10 mutants had alterations in the coding sequence or potential regulatory region  
114 of the global two-component systems *arcA* or the cytochrome D-ubiquinol oxidase subunit *cydA* (Table  
115 1). Inactivation of either *arcA* or *cydA* has been shown to increase the expression of the sigma  
116 regulator factor *rpoS*.<sup>11, 12</sup> Of the final five mutants, one had an alteration in the ppGpp degrading  
117 enzyme SpoT and one had the 50S rRNA methyltransferase Rlm inactivated, together with a possibly  
118 insignificant mutation in *ribE*. Mutations in rRNA methyltransferase result in a slow-growing  
119 phenotype, which also may induce the stringent response.<sup>13</sup> Another mutant had alterations in the  
120 RNA polymerase subunit RpoC, which interacts with RpoS and, although the mechanism of linkage is  
121 uncertain, alteration to the second subunit of the RNA polymerase, RpoB, was previously associated  
122 with mecillinam resistance.<sup>14</sup> Another mutant had inactivation of TolB, a periplasmic component of  
123 the Tol-Pal system involved in maintaining outer membrane integrity.<sup>15</sup> Release of periplasmic  
124 components into the extracellular medium of *tol-pal* mutants leads to osmosensitivity and activates  
125 the sensor protein RcsC which, with RscB, regulates expression of *ftsAQZ* independently from the RpoS  
126 pathway.<sup>16-20</sup> Alterations of RcsBC regulation have been associated with mecillinam resistance in *E.*  
127 *coli* mutants.<sup>21</sup> The origin of resistance remained unclear in one mutant (EC-3 M-4), which had  
128 alteration only in *bcsC*, which encodes a cellulose synthase

129 Induction of either the RpoS or RscCB regulatory pathways stimulates expression of FtsZ, the  
130 possible mediator. Of the six promoters identified upstream of *ftsZ* in *E. coli*, *ftsQ1p* is recognized by  
131 RpoS whereas *ftsA1p* is stimulated by the two-component system RcsBC.<sup>16, 22-25</sup> FtsZ is widely  
132 conserved among prokaryotes and shares a common ancestor with eukaryotic tubulin.<sup>26</sup> It can  
133 modulate membrane plasticity, and overexpression in *E. coli* has been reported to allow stable growth  
134 as round-cell shape to compensate PBP2 loss.<sup>27</sup> All the OP0595-resistant mutants exhibited spherical  
135 forms after two hours incubation in broth supplemented with sub-MICs of OP0595 (Figure 1), an

136 observation in keeping with previous data for mecillinam and with the view that resistance to these  
137 agents entails compensation for inhibition of PBP2, not modification or shielding of this target.<sup>28</sup>

138           Combining whole genome sequencing with published experimental data of altered genes in  
139 OP0595-resistant mutants is sufficient to elucidate the underlying molecular mechanisms (Figure 2).  
140 In brief, the main mechanism of resistance to OP0595 is activation of RpoS either: (i) through  
141 stimulation of the stress stringent response, or (ii) by inactivation of RpoS suppressors, such as AcrAB  
142 and CydA (Figure 2). Activation of the RcsCB regulation system, previously identified in mecillinam-  
143 resistant mutants, also can potentially lead to OP0595 resistance.<sup>5, 21</sup> Both RpoS and RcsC regulate  
144 genes encoding cell division functions, specifically *ftsAQZ*, that can compensate for PBP2 loss or  
145 inhibition, allowing survival of the challenged bacteria as stable round forms. This hypothesis is in  
146 keeping with the observed morphological effects and with the fact that OP0595 continues to act as a  
147  $\beta$ -lactamase inhibitor and as a  $\beta$ -lactamase-inhibition independent synergist ('enhancer') of PBP3-  
148 targeted antibiotics against Enterobacteriaceae that are resistant to its direct antibacterial activity.<sup>1, 2</sup>

149 **Acknowledgments**

150 We would like to thank Matthew Hannah for his assistance with electronic microscopy

151 **Funding**

152 The study was commissioned and funded by Meiji Seika Pharma.

153 **Transparency declarations**

154 DML: Advisory Boards or ad hoc consultancy – Accelerate, Achaogen, Adenium, Alere, Allecra,  
155 Altermune, Astellas, AstraZeneca, Auspherix, Basilea, Bayer, BioVersys, Cubist, Curetis, Cycle, Discuva,  
156 Forest, GSK, Meiji, Pfizer, Roche, Shionogi, Tetrphase, VenatoRx, Wockhardt; Paid lectures – AOP  
157 Orphan, Astellas, AstraZeneca, Bruker, Curetis, Merck, Pfizer, Leo; shareholdings in– Dechra, GSK,  
158 Merck, Perkin Elmer, Pfizer amounting to <10% of portfolio value. The remaining authors have none  
159 to declare



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228 **Table 1.** Gene alterations associated with OP0595 resistance from this study.

Isolate		Alterations		Genes	Functions
		nucleotides	Amino acids		
<b>EC-1</b>	M-1	T=>C	R337C	<i>rpoC</i>	DNA-directed RNA polymerase
	M-2	del-TGTTGCG	L136*	<b><i>cca</i></b>	tRNA nucleotidyl transferase
	M-3	A=>G	G144S	<b><i>hemL</i></b>	glutamate-1-semialdehyde-2,1-aminomutase
	M-4	A=>G	G474S	<b><i>lysS</i></b>	lysine tRNA ligase
<b>EC-2</b>	M-1	A=>C	V54G	<i>ribE</i>	riboflavin synthase beta chain
	M-1	G=>A	W91*	<i>rlm</i>	50S rRNA methyltransferase
	M-2	C=>T	T36A	<b><i>alaS</i></b>	alanyl-tRNA synthetase
	M-3	G=>A	Q192*	<i>tolB</i>	periplasmic protein, TonB-independent uptake of group A colicins
	M-4	G=>A	Q371*	<i>cydA</i>	cytochrome d ubiquinol oxidase, subunit I
<b>EC-3</b>	M-1	del AG	L351*	<i>arcB</i>	aerobic respiration control sensor protein
	M-2	C=>A	E335*	<b><i>mnmA</i></b>	tRNA (Gln, Lys, Glu) 5-methylaminomethyl-2-thiouridylase methyltransferase
	M-3	del 3767 bp		<b><i>lysS</i></b>	lysine tRNA ligase - isopentenyl-diphosphate isomerase <sup>§</sup> - hypothetical <sup>§</sup> - purine permease <sup>§</sup>
	M-4	C=>G	P1019A	<i>bcsC</i>	cellulose synthase subunit
<b>EC-4</b>	M-1	C=>T	P555S	<b><i>aspS</i></b>	aspartyl-tRNA synthetase
	M-2	IS insertion		intergenic	225-bp upstream <i>arcA</i> - potential regulatory region
	M-3	A=>T	V74E	<i>spoT</i>	guanosine-3',5'-bis(diphosphate)
	M-4	G=>T	E329*	<i>cydA</i>	cytochrome d ubiquinol oxidase, subunit I
<b>EC-5</b>	M-1	T=>C	F197L	<b><i>aspS</i></b>	aspartyl-tRNA synthetase
	M-2	Ins-ACGCGTATT	133-LRV	<b><i>cca</i></b>	tRNA nucleotidyl transferase
	M-3	Ins-TAC	153Y	<b><i>ileS</i></b>	isoleucyl-tRNA ligase
	M-4	del-TGATGTCC	I152*	<i>arcA</i>	DNA-binding response regulator in two-component regulatory system with ArcB

229

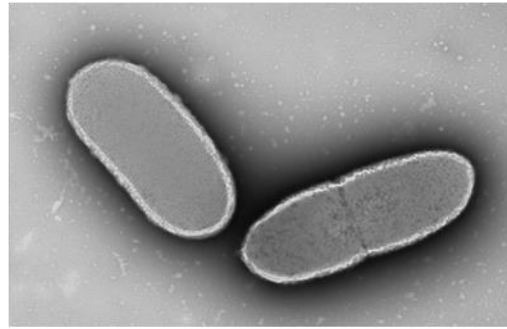
230 All annotations were in relation to the published *E. coli* MG1665 genome (GenBank: U00096).

231 Genes shown in **bold** font encode synthesis or modification of amino-acyl tRNAs. (\*) indicated stop codon (§) genes in the deleted DNA fragment that are unlikely to be  
 232 associated with resistance.

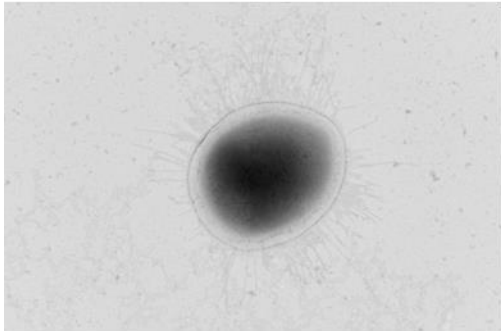
233 **Figure 1.** Parent and their OP0595-selected mutants after two hours incubation with OP0595 at 2 x MIC for the parent strains (1-2 mg/L); these concentrations are  $\leq 1/16^{\text{th}}$   
234 the MIC for the mutants. The distinction is that the mutants conserve their round shapes in the absence of OP0595 and can survive the inhibition of PBP2, whereas their  
235 parents cannot.



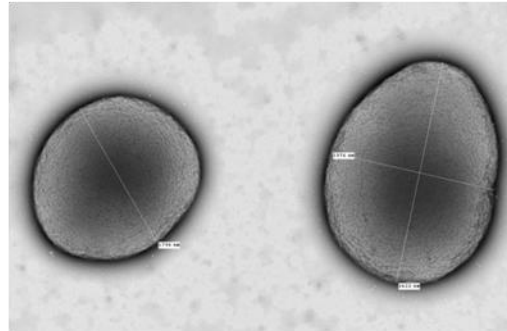
EC-C1 Parent



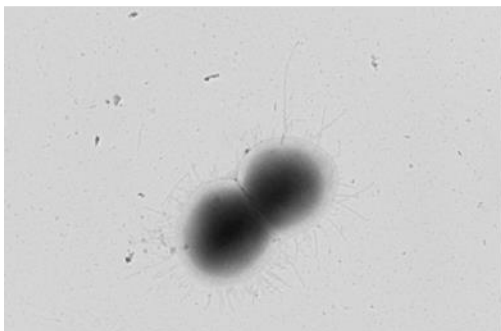
EC-5-a Parent



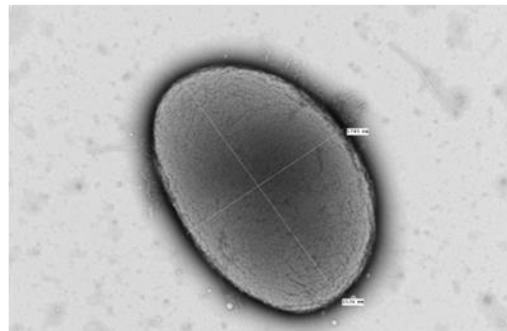
EC-C1 Parent + OP595 (1mg/L)



EC-5 Parent + OP595 (2mg/L)

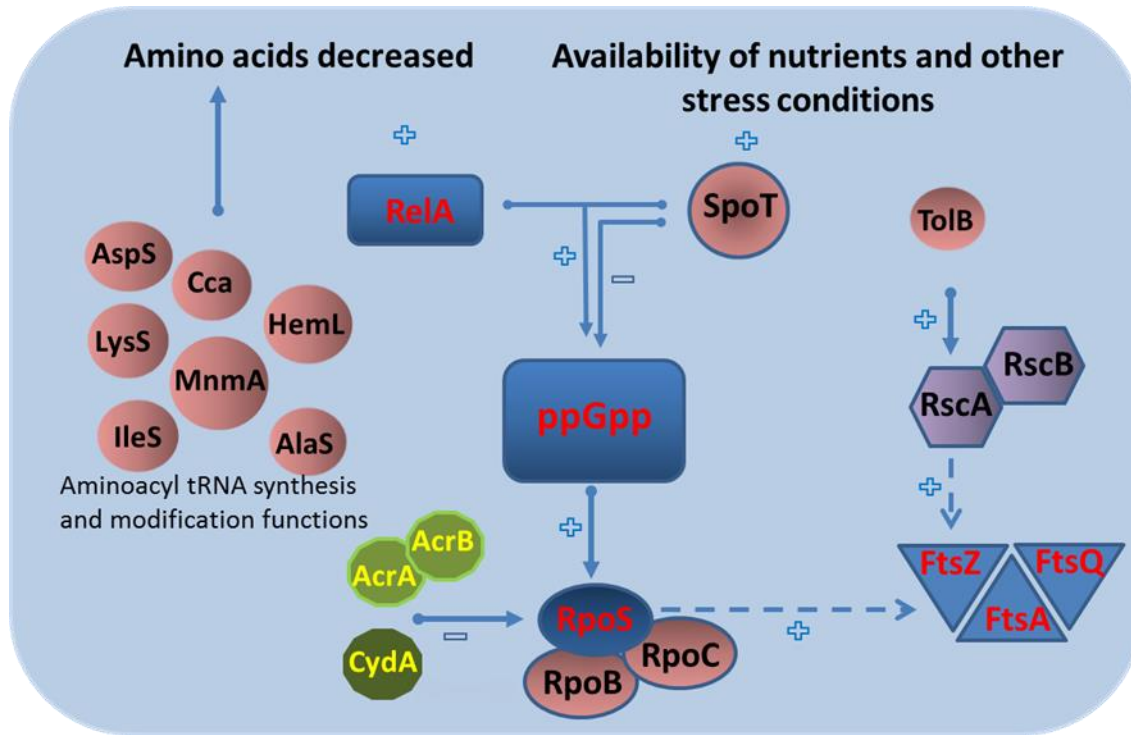


EC-C1 mutant-1 + OP595 (1mg/L)



EC-5-1 mutant-1 + OP595 (2mg/L)

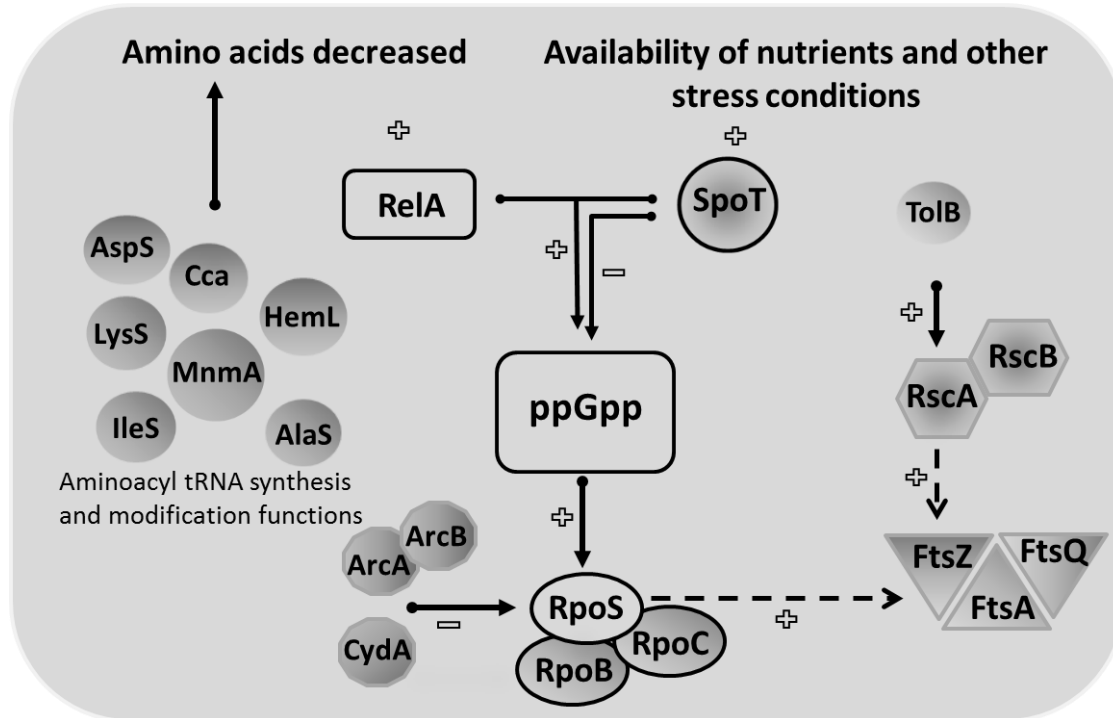
237 **Figure 2.** Proposed mechanism(s) of resistance to the antimicrobial activity of OP0595



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245 Alternative version for printed copy:

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