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## Three-dimensional rendering of otolith growth using phase contrast synchrotron tomography --Manuscript Draft--

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<b>Abstract:</b>	We present a three-dimensional computer reconstruction of a plaice ( <i>Pleuronectes platessa</i> ) otolith from data acquired by the Diamond Light synchrotron, beamline I12, X-ray source, a high energy (53 - 150 keV) source particularly well suited to the study of dense objects. Our data allowed non-destructive rendering of otolith structure, and for the first time allows examination of otolith annuli (internal ring structures) to be analysed in X-ray tomographic images.

## Ethics questionnaire for JFB

This questionnaire relates to the Editorial published in JFB **68**, 1-2, which you have been asked to read. Please note that submitted manuscripts will only be considered if the experimental methods employed are ethically justified. PLEASE SUBMIT THE COMPLETED QUESTIONNAIRE WITH YOUR MANUSCRIPT ONLINE THROUGH EDITORIAL MANAGER.

**Corresponding author's name:** Mark Henry Fisher

**Question 1:** If the fishes have been collected as part of faunal surveys, have the fishes, where feasible, been killed rapidly or returned to the wild after being held in aquaria?

Yes

No

**Question 2:** What method was used if they were killed?

The study used a single specimen (plaice) caught by a CEFAS survey vessel.

**Question 3:** If you have undertaken experimental work, has the care and use of experimental animals complied with local and or national animal welfare laws, guidelines and policies?

Yes

No

If 'Yes', state these and provide suitable evidence (*e.g.* for the U.K. a Home Office PPL number is sufficient) that protocols have undergone an ethical review process by an institutional animal care and use (or similar) committee, a local ethics committee, or by appropriately qualified scientific and lay colleagues.

N/A

Please read the exceptions below (Questions 4 to 7). If any of these exceptions apply to your study, complete the appropriate section. Otherwise leave blank.

If 'No', because these laws do not exist in your country, please state this.

Please read the exceptions below (Questions 4 to 7). If any of these exceptions apply to your study, complete the appropriate section. Otherwise leave blank.

**Question 4:** Did you use experimental conditions that severely distressed the animals?

Yes

No

If 'Yes', state the conditions and how they can be justified.

N/A

**Question 5:** Did you use humane endpoints that minimized adverse effects?

Yes

No

**Question 6:** Have you performed surgical procedures?

Yes

No

If 'Yes', have you suitably described these in your manuscript?

**Question 7:** If the procedures caused more than slight pain or distress, did you use appropriate sedation, analgesia and anaesthesia, with appropriate post-operative care?

Yes

No

If 'Yes', outline these.

If 'No', did any of your procedures involve sentient, un-anaesthetized animals paralysed by chemical agents such as muscle relaxants?

Yes

No

If 'Yes', provide details. Normally these procedures will be considered unacceptable by JFB.

If 'No', did any of the procedures, particularly those that involve lethal endpoints, cause adverse effects or lasting harm to a sentient animal?

Yes

No

If 'Yes', provide details. Normally these procedures will be considered unacceptable by JFB unless any harm caused can be justified against the benefit gained.

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1 Three-dimensional rendering of otolith growth using phase contrast synchrotron tomography

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14

15 **Abstract**

16 We present a three-dimensional computer reconstruction of a plaice (*Pleuronectes platessa*)  
17 otolith from data acquired by the Diamond Light synchrotron, beamline I12, X-ray source, a  
18 high energy (53 – 150 keV) source particularly well suited to the study of dense objects. Our  
19 data allowed non-destructive rendering of otolith structure, and for the first time allows  
20 examination of otolith annuli (internal ring structures) to be analysed in X-ray tomographic  
21 images.

22

23 **Keywords**

24 3-D Reconstruction; Fish Ageing; Otolith Growth; Phase Contrast; Synchrotron Tomography.

25

### 3-D rendering of otolith growth

26 Fish otoliths have long played an important role in sustainable fisheries management  
27 (Campana 2005, Popper et al. 2005). The stock assessment models currently used rely on  
28 species specific age profiles obtained from the seasonal patterns of growth marks that their  
29 otoliths exhibit (Brophy 2014). Since the shape of otoliths is known to vary both within and  
30 between species due to the combined influences of genetic and environmental factors otolith  
31 shape is becoming increasingly important in determining the structure of mixed assemblages  
32 of fish stocks (Cadrin et al. 2014). Evidence of otolith microstructure, recovered by electron  
33 microscopy (Campana & Neilson 1985) and more recent chemical analysis of material at  
34 specific points in the accretion time-series and investigations using Raman  
35 microspectrometry have highlighted the physico-chemical characteristics of fish otoliths  
36 (Jolivet et al. 2008, Sturrock et al. 2012). But although these methods provide increasingly  
37 detailed information, all are invasive, ultimately resulting in degradation or even destruction  
38 of the tissue once the sampling is performed.

39  
40 With X-ray tomography comes the possibility of recovering a full three-dimensional (3-D)  
41 otolith model of shape, density and composition, hitherto only approximated by stacking  
42 ablated serial sections (Bailey et al. 1995). Preliminary attempts to achieve this using  
43 conventional absorption X-ray micro-CT were reported by Hamrin et al. (Hamrin et al. 1999),  
44 but only the outer shape of the otolith was recovered (Arneri et al. ). Although the ambition of the implementation of phase-contrast micro-CT was  
45 to reveal the internal otolith structure, this ultimately proved unsuccessful. Working with  
46 SkyScan (<http://bruker-microct.com>) we recently repeated this experiment using a SkyScan  
47 1172 desktop microCT with 5 micron spot size source operating at 60 kV. The detector used  
48 a 12-bit CCD camera fitted with Gallium Oxide scintillator, coupled by fibre optic  
49 connection. Our results show that a polychromatic X-ray source was unable to resolve

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51 sufficient X-ray absorption contrast to differentiate seasonal growth marks from the bulk  
52 composition within the otolith. These results are consistent with those reported previously by  
53 Hamrin et al. in 1999.

54

55 To investigate if higher energy X-rays could be used to recover internal growth features we  
56 initiated an experiment at Diamond Light (<http://www.diamond.ac.uk/>) to examine an otolith  
57 of the flatfish, plaice (*Pleuronectes platessa*). For this experiment we obtained X-ray images  
58 from a section of the otolith near the rostrum (highlighted in microscope image Fig. 1) with  
59 the aim of demonstrating proof of principle. The field of view is limited in this preliminary  
60 study due as the specimen was presented using general purpose mount. The images were  
61 processed using the method presented by Paganin et.al. (Paganin et al. 2002) that allows  
62 simultaneous phase and amplitude to be extracted from a single defocused image, then three-  
63 dimensional images were reconstructed using filtered back-projection (Kak & Slaney 1988).  
64 A reconstruction of the otolith is shown in Fig. 2.

65

66 Internal growth marks (rings or annuli) are visible in the individual slices (Fig. 3). The slice  
67 exhibits a number of primary rings, suggesting a fish age of 5 years. Software rendering  
68 programs such as DISECT (<http://www.disectsystems.com/>) and TOMOMASK  
69 (<http://www.tomomask.com/>) offer many possibilities for analyses of the reconstructed  
70 otolith volume. For example, while physical sections are limited to flat planes the virtual 3-D  
71 reconstructed volume can be cut along any curved surface or manifold. We illustrate this in  
72 Fig. 4 by reconstructing a surface orthogonal to the x-y plane along a spline curve fitted to the  
73 locus of maximum seasonal growth in a selection of slices (an example curve is plotted in  
74 Fig. 3). The reconstruction in Fig. 4 illustrates that otolith growth is complex and non-linear.  
75 This in turn highlights possible errors resulting from assessing age and growth of individuals

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76 from plane sections (current operational practice). An animation of the 3-D reconstruction  
77 and a virtual fly-through otolith can be found at  
78 [https://www.youtube.com/channel/UCbvdIX\\_NY1EhJeJcYODG6tg](https://www.youtube.com/channel/UCbvdIX_NY1EhJeJcYODG6tg). Although some imaging  
79 artefacts are evident in the reconstruction (e.g. the corona around the air/otolith interface),  
80 this proof of principle study provides a glimpse of the possibilities for otolith imaging using  
81 phase contrast synchrotron radiation and also demonstrates the potential for further 3-D  
82 rendered tomographic reconstructions using the Diamond Light I12 beamline.

83

84 Previous synchrotron studies of otoliths have used Synchrotron Rapid Scanning X-ray  
85 Fluorescence (SRS-XRF) to investigate trace elements (e.g. (Doubleday et al. 2014, Limburg  
86 et al. 2007)). This technique is inherently limited to an examination of the otolith surface and  
87 so may require thin sections of material to be prepared, resulting in damage to the specimen.  
88 The preliminary data from this study are encouraging because they illustrate the potential for  
89 more accurate measurement of total seasonal accreted volume (as opposed to growth  
90 estimates from 2-D sections). 3-D analysis is also potentially more robust to anomalous  
91 secondary growth signatures that do not correspond to seasonal deposits. Such artefacts  
92 continue to challenge even the most experienced readers of 2-D otolith sections thereby  
93 contributing to uncertainties in age estimates, and consequently stock assessments  
94 (de Pontual et al. 2006). Currently, synchrotron studies are very expensive but as the  
95 technology becomes more accessible virtual otolith studies using computer graphics could  
96 provide a historical perspective for each individual within their environmental context.

97

98 We hope to build on this preliminary study through funded access to the beamline to allow us  
99 to render whole otolith specimens, investigate species specific growth traits, and factors that  
100 affect the *direction* of maximal accretion, which seems to change with age (Fig. 3). This may

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101 help in understanding why certain species are particularly problematic for human otolith  
102 readers and provide a valuable insight into how and why the accreted biomineralisation is  
103 related to anatomy, physiology and lifecycle. Preliminary observations of the specimen  
104 suggest the study was totally non-invasive and that the otolith in this study was undamaged  
105 by the experimental process. This feature of tomographic analysis could be particularly  
106 valuable, for example where the otoliths of individual fish have been recovered accompanied  
107 by archival data storage tags which may have recorded ambient experience of the same fish  
108 for periods over seasons or sometimes years (Sturrock et al. 2012). Such otoliths with  
109 accompanying “ground-truth” data represent a rich resource of information concerning  
110 individual lifetime movements.

111

112 We would like to thank Mr. N. Corps of Bruker-MicroCT for his help in repeating the  
113 MicroCT study and Diamond Light Ltd. for donating the I12 beam time used in this study.

114

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166

1 Fig. 1: Microscope image of plaice otolith captured after exposure to synchrotron radiation.  
2 (Note: Area highlighted indicates the approximate location of the volume reconstructed by X-  
3 ray tomography).

4 Fig. 2: 3-D tomographic rendering of a section of plaice otolith highlighted in Fig. 1  
5 recovered by the high energy Joint Engineering, Environmental and Processing (JEEP) I12  
6 synchrotron beamline at the UK's national synchrotron science facility, Diamond Light  
7 (<http://www.diamond.ac.uk/>). The otolith was imaged using monochromatic X-rays of  
8 wavelength  $\lambda=0.0234$  nm (53 keV) and propagation phase contrast (Davis et al. 1995, Snigirev  
9 et al. 1995) inherent in such monochromatic X-ray images, was exploited to observe small  
10 variations in the sample. The camera was placed 1000mm beyond the sample to obtain this  
11 effect. This technique has been previously used with success for fossils and other specimens  
12 exhibiting weak variation in contrast (Tafforeau et al. 2006). The detector used was a  
13 CdWO<sub>4</sub> (Cadmium Tungstate) scintillator viewed through bespoke radiation-hard  
14 microscope optics (SILL, Germany) by a PCO.EDGE (PCO, Germany) camera having  
15 2560x2150 pixels, the resulting image pixel size being 5 $\mu$ m square.

16 Fig. 3: Spline curve fitted to a set of maximal annular growth features identified in one of the  
17 image slices. Note: The direction of maximal growth varies throughout the life of the fish.

18 Fig. 4: Virtual section through the otolith taken along the curved surface orthogonal to the  
19 spline curve shown in Fig. 3.







