

1 **Title: Dickkopf-3 is upregulated in osteoarthritis and has a**
2 **chondroprotective role**

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25 **Running title: Dickkopf-3 in osteoarthritis**

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50 **ABSTRACT**

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52 **Objective** Dickkopf-3 (Dkk3) is a non-canonical member of the Dkk family of
53 Wnt antagonists and its upregulation has been reported in microarray analysis of
54 cartilage from mouse models of osteoarthritis (OA). In this study we assessed
55 Dkk3 expression in human OA cartilage to ascertain its potential role in
56 chondrocyte signaling and cartilage maintenance.

57

58 **Methods** Dkk3 expression was analysed in human adult OA cartilage and
59 synovial tissues and during chondrogenesis of ATDC5 and human mesenchymal
60 stem cells. The role of Dkk3 in cartilage maintenance was analysed by incubation
61 of bovine and human cartilage explants with interleukin-1 β (IL1 β) and
62 oncostatin-M (OSM). Dkk3 expression was measured in cartilage following
63 murine hip avulsion. Whether Dkk3 influenced Wnt, TGF β and activin cell
64 signaling was assessed in primary human chondrocytes and SW1353
65 chondrosarcoma cells using RT-qPCR and luminescence assays.

66

67 **Results** Increased gene and protein levels of Dkk3 were detected in human OA
68 cartilage, synovial tissue and synovial fluid. *DKK3* expression was decreased
69 during chondrogenesis of both ATDC5 cells and humans MSCs. Dkk3 inhibited
70 IL1 β and OSM-mediated proteoglycan loss from human and bovine cartilage
71 explants and collagen loss from bovine cartilage explans. Cartilage *DKK3*
72 expression was decreased following hip avulsion injury. TGF β signaling was
73 enhanced by Dkk3 and Wnt3a and activin signaling were inhibited.

74

75 **Conclusions** We provide evidence that Dkk3 is upregulated in OA and may have
76 a protective effect on cartilage integrity by preventing proteoglycan loss and
77 helping to restore OA-relevant signaling pathway activity. Targeting Dkk3 may
78 be a novel approach in the treatment of OA.

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80 **Key words:** Cartilage, Wnt, Dickkopf, TGF β , osteoarthritis

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99 **INTRODUCTION**

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101 Osteoarthritis (OA) is characterized by loss of articular cartilage, joint pain and
102 instability. The mechanisms regulating disease pathogenesis remain elusive
103 with a combination of genetic, inflammatory, mechanical and metabolic factors
104 implicated.[1-3]

105

106 Chondrocytes from OA cartilage exhibit a disrupted phenotype, hallmarks of
107 which include; altered synthesis of extracellular matrix (ECM) and ECM-
108 degrading enzymes, altered cell signaling activity and increased proliferation.[4]
109 Dysregulation of cell signaling pathways likely contributes to OA pathogenesis by
110 reducing the chondrocyte's ability to maintain cartilage integrity, leading to or
111 exacerbating the phenotypic shift associated with OA. The Wnt and TGF β
112 signaling pathways have been strongly implicated in OA pathogenesis.[5, 6]

113

114 Dickkopf-3 (Dkk3) is a structurally and functionally divergent member of the
115 Dkk family of Wnt antagonists. Dkk3 activates or inhibits Wnt signaling in a
116 tissue dependent manner and its impact on cartilage Wnt signaling is
117 unknown.[7-9] Dkk3 is a tumour suppressor that inhibits proliferation of cancer
118 cells and is downregulated in several types of human cancer.[8-10] It can
119 modulate inflammatory cell activity, maintain tissue organisation via TGF β
120 signaling and can protect against myocardial infarction-induced fibrosis.[11-14]

121

122 The function of Dkk3 in other tissues suggests it could be an important mediator
123 of chondrocyte homeostasis and maintenance of cartilage integrity. Several
124 studies using animal models of OA have reported increased Dkk3 in diseased
125 cartilage.[15-17] However Dkk3 expression has not been well characterized in
126 human OA tissue nor has its role in chondrocyte biology been explored. Our aim
127 was to assess whether Dkk3 shows aberrant expression in human OA and to
128 establish whether it can regulate chondrocyte behaviour and OA-associated
129 cartilage degradation *in vitro*.

130

131 **MATERIALS AND METHODS**

132

133 **Primary tissue**

134 Primary human OA cartilage and synovium were obtained from age-matched
135 individuals undergoing hip replacement for OA and control cartilage and
136 synovium obtained upon hip replacement for neck-of-femur fracture (NOF);
137 cartilage OA n=13, NOF n=12, OA synovium n=8; NOF synovium n=11.

138 Anteromedial OA specimens were obtained from patients undergoing
139 unicompartmental knee replacement for OA. Primary human chondrocytes
140 (HAC) were obtained from macroscopically normal regions of the tibial plateau
141 of OA patients undergoing total knee replacement and collagenase digested
142 following standard protocols. Explants of cartilage were used for proteoglycan
143 and collagen release assays (DMMB and hydroxyproline respectively). Synovial
144 fluid was collected from individuals undergoing total knee replacement (TKR,
145 n=3), unicompartmental knee replacement (UKR, n=3), arthroscopy for cartilage
146 lesions (n=5), matrix-assisted chondrocyte implantation (MACI, n=7) or control
147 patients (n=3) with no cartilage lesion but meniscal tears.

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149 Ethical approval (09/H0606/11 and 2005ORTHO7L) was granted by
150 Oxfordshire Research Ethics Committee and East Norfolk and Waveney Research
151 Governance Committee. Informed consent was obtained from all patients

152

153 **Cell culture**

154

155 SW1353 chondrosarcoma cells (ATCC) and primary HAC were cultured in DMEM
156 + 10% (v/v) FCS. ATDC5 cells were cultured in DMEM/F12 (Lonza, UK)
157 containing 5% (v/v) FCS, 2mM glutamine, 10ug/ml apotransferrin (Sigma) and
158 30nM sodium selenite. Confluent ATDC5 cells were stimulated to undergo
159 chondrogenesis by addition of 10ug/ml insulin (Sigma). Human MSCs (Lonza)
160 were expanded in Mesenchymal Stem Cell Growth Medium (Lonza)
161 supplemented with 5ng/ml fibroblast growth factor-2 (R&D Systems) before
162 high density transwell culture as described.[18, 19] Micromass cultures were
163 established as described [20] before treatment with 100ng/ml Wnt3a for 4 days.

164

165 **Cartilage explant assays**

166 Bovine nasal septum and human articular cartilage were dissected and 2mm
167 cartilage discs explanted and equilibrated for 24 hours before treatment with
168 IL1 β (0.5ng/ml), OSM (5ng/ml) plus Dkk3 (50, 125 and 250ng/ml). Treatments
169 were refreshed every 2-3 days and collected for GAG and collagen release assays.
170 Remaining cartilage was harvested at 14 days for papain digestion and DMMB
171 and hydroxyproline assays.[21] Control and IL1/OSM-treated explants were
172 collected throughout the time course for RNA extraction (Trizol, Invitrogen, UK),
173 subsequent cDNA synthesis (Superscript, Invitrogen UK) according to
174 manufacturer's instructions prior to RT-qPCR. Three intra-experimental
175 replicates were carried out for each treatment condition.

176

177 **Hip avulsion assay**

178 The hip joint from 5-6 week old C57BL/6J mice was dislocated at the femur and
179 the femoral cap avulsed using forceps as previously described.[22] Hip joint
180 cartilage was cultured for 1-48 hours in serum-free medium before RNA
181 extraction using Trizol (Invitrogen, UK). cDNA synthesis using Superscript
182 (Invitrogen, UK) was performed prior to RT-qPCR.

183

184 **Immunohistochemistry**

185 Specimens were fixed in 10% (v/v) formalin for 12 hours before decalcification
186 in 5M HNO₃, paraffin embedding and cutting into 5 μ M sections. Following
187 deparaffinisation and antigen retrieval with 0.2% (v/v) Triton-X 100, sections
188 were blocked and incubated at 4°C overnight in primary antibody (DKK3, R&D
189 Systems, Abingdon, UK) before visualisation using Vectastain ABC (Vector
190 laboratories) with Diaminobenzidine (DAB) and Haematoxylin QS (Vector
191 laboratories).

192

193 **ELISA**

194 Dkk3 level in synovial fluid was measured using Dkk3 ELISA (R&D Systems, UK)
195 according to manufacturer's instructions.

196

197 **Cytokine treatments**

198 Cells were serum starved overnight and treated with recombinant IL1 β (5ng/ml)
199 and/or OSM(10ng/ml) for 24 hours or pre-treated for 1 hour with recombinant
200 Dkk3 (250ng/ml unless otherwise stated) or carrier alone (R&D Systems) before
201 addition of recombinant Wnt3a (100ng/ml,10 hours), activin (20ng/ml, 6 hours)
202 or TGF β 1 (4ng/ml, 6 hours) (R&D Systems). Three intra-experimental replicates
203 were carried out per cytokine treatment.

204

205 Following cytokine treatment cDNA was synthesized using MMLV from DNase-
206 treated cell lysates harvested in Cells-to-cDNA lysis buffer (Ambion) according to
207 manufacturer's instructions.

208

209

210 **RT-qPCR**

211 Expression of genes was measured by RT-qPCR on a ViiA7 (Applied Biosystems).
212 Relative quantification is expressed as $2^{-\Delta C_t}$, where ΔC_t is $C_t(\text{gene of interest}) -$
213 $C_t(18S \text{ rRNA})$. Samples which gave a C_t reading of $18S + 1.5C_t$ greater or less than
214 the median for 18S were excluded from further analyses.

215

216 **Luciferase assays**

217 SW1353 chondrosarcoma cells were used for plasmid transfections using
218 Lipofectamine 2000 with the Smad-responsive reporter (CAGA)₁₂-luc, Wnt-
219 responsive 8xTCF/LEF binding site (TOPFlash) and mutant TCF/LEF site control
220 FOPFlash and β -galactosidase transfection control plasmid.[23, 24] Cells were
221 treated with Wnt3a (100ng/ml) for 10 hours or TGF β (4ng/ml) or activin
222 (20ng/ml) for 3 hours with and without 1 hour Dkk3 pre-incubation before
223 measurement of luciferase activity using the Luciferase and Beta-Glo assay
224 systems (Promega).

225

226 **siRNA**

227 Cells (HAC and SW1353) were transfected with 2.5nM of siRNA against Dkk3
228 (Qiagen) or Allstars non-targeting negative control (Qiagen) using Dharmafect
229 (Thermoscientific, UK) according to manufacturers instructions. Cells were
230 transfected 48 hours prior to cytokine treatment.

231

232 **Statistical analysis**

233 Analyses were carried out using Graphpad Prism 6.0. Students t-test was used to
234 test differences between two samples whilst ANOVA with either Dunnett's or
235 Tukey post-test was used for multiple samples. Normality was tested using the
236 Shapiro-Wilk test. $p < 0.05$ was considered statistically significant. $* \leq 0.05$,
237 $** \leq 0.01$, $*** \leq 0.001$. Graphs show mean \pm 95% confidence intervals of biological
238 (patient or cell) replicates.

239

240 **RESULTS**

241

242 **Dkk3 expression is upregulated in OA tissue**

243

244 Expression of *DKK3* mRNA was increased >10-fold ($p < 0.0001$) in OA cartilage
245 compared to NOF control (Figure 1A). Analysis of synovium from OA patients

246 and NOF controls showed a 3.2-fold ($p=0.0235$) increase in *DKK3* mRNA in
247 diseased tissue. *DKK3* mRNA expression (Figure 1B) was 2.1-fold ($p=0.019$)
248 higher in damaged cartilage from patients with anteromedial OA (AMG). Our
249 previous work shows reduced *MMP* and *FRZB* mRNA expression in damaged
250 compared to undamaged cartilage.[25] Immunohistochemistry in AMG patients
251 also showed significant *Dkk3* staining in the superficial zone of damaged but not
252 undamaged cartilage (Figure 1C). *Dkk3* protein (Figure 1D) in synovial fluid was
253 2.1-fold higher ($p=0.0002$) in patients undergoing total knee replacement for OA
254 compared to control individuals, those with cartilage lesions (4.33-fold,
255 $p<0.0001$) or patients undergoing unicompartmental knee replacement (2.83-
256 fold, $p=0.0016$). Matrix-induced autologous chondrocyte implantation (MACI) is
257 performed 4-6 weeks following initial assessment of cartilage lesions by
258 arthroscopy. *Dkk3* levels at the time of MACI were significantly higher than at
259 arthroscopy (i.e. lesion) (2.3-fold, $p=0.0029$).

260

261 ***DKK3* expression is downregulated following cartilage injury and during** 262 **chondrogenesis**

263

264 The OA phenotype includes reinitiation of development [26], thus establishing
265 *Dkk3* regulation in chondrogenesis is important. ATDC5 differentiation is an
266 established model of chondrogenesis. Following chondrogenic differentiation,
267 microarray analysis showed *Dkk3* expression decreased relative to non-induced
268 control cultures (Figure 2A). Expression of chondrogenic markers *Col2a1* and
269 *Agc1* (data not shown) were increased across these time points.[23] Human
270 MSCs in high density transwell cultures also showed a significant 1.3-21-fold
271 reduction ($p<0.01$) in *DKK3* expression throughout chondrogenic differentiation
272 into cartilage discs (Figure 2B), with increases in *COL2A1* and *ACAN* across the
273 time course[18].

274

275 Joint injury is associated with secondary OA therefore *Dkk3* regulation during
276 injury or in response to inflammatory mediators of injury was investigated. *Dkk3*
277 expression in murine cartilage was decreased 1.8-fold ($p=0.0005$) immediately
278 (1 hour) following hip avulsion injury and remained low (3.54-fold reduction,
279 $p<0.0001$) 48 hours after injury (Figure 2C). Treatment of HAC for 72 hours with
280 IL1 β or the combination IL1 β /OSM reduced *DKK3* expression (2.4-fold, $p=0.0086$
281 and 5.25-fold, $P=0.0009$) (Figure 2D), this was partially inhibited by inhibition of
282 p38 MAPK activity (Figure 2E). IL1 β /OSM treatment of HAC induced *MMP13* and
283 *MMP1* expression (Figure 2F), this was inhibited by *Dkk3* (1.9-fold, $p<0.0001$
284 and 3.9-fold, $p<0.0001$), suggesting *Dkk3* inhibits IL1/OSM-induced cartilage
285 degradation via modulation of MMP levels.

286

287

288 ***Dkk3* prevents cartilage degradation *in vitro***

289

290 OA is characterized by loss of proteoglycan and collagen from cartilage ECM.
291 Bovine nasal cartilage (BNC) explants were treated with IL1 β /OSM +/-
292 recombinant *Dkk3*. Cytokine-induced collagen loss (Figure 3A) at day 14 was
293 dose-dependently inhibited by addition of 50, 125 or 250ng/ml *Dkk3* (2.0-, 3.6-
294 and 5.6-fold reduction $p<0.001$) IL1 β /OSM-induced proteoglycan loss from BNC

295 explants was also dose-dependently inhibited by 250ng/ml Dkk3 (1.1-fold,
296 $p=0.0049$, Figure 3B). Human explants cannot be induced to release collagen
297 however they showed (Figure 3C) significant dose-dependent inhibition of
298 cytokine-induced proteoglycan loss in the presence of 125ng/ml and 250ng/ml
299 Dkk3, (1.6- and 1.5-fold, $p=0.003$ and $p=0.0008$, respectively). *DKK3* expression
300 was decreased 1 day after IL1/OSM treatment of BNC explants before increased
301 expression from day 3 onwards (Figure 3D). No toxicity was detected (LDH
302 assay) during 14 days treatment with Dkk3 (data not shown).

303

304 **Dkk3 inhibits Wnt signaling**

305

306 Dkk3 is a non-canonical member of the Dkk family of Wnt antagonists with
307 tissue-dependent effects on Wnt signaling activity. To determine whether Dkk3
308 did regulate Wnt signaling in cartilage we treated HAC with Dkk3 and Wnt3a.
309 The Wnt3a-induced increase of the Wnt target gene *AXIN2* (Figure 4A) was
310 decreased in HAC by co-incubation with Wnt3a and 125, 250 or 500ng/ml Dkk3
311 (1.6-, 2.2- and 2.5-fold, $p=0.0050$, <0.0001 , <0.0001 respectively) compared to
312 Wnt3a alone. Furthermore the activity of the Wnt-responsive TOPFlash reporter
313 was reduced by the addition of Dkk3 (1.7-fold, $p=0.0010$) (Figure 4B) compared
314 to Wnt3a alone. Knockdown of Dkk3 in HAC increased Wnt3a-induced *AXIN2*
315 expression compared to a non-targeting siRNA control (Figure 4C). Micromass
316 cultures of HAC show significant reduction in proteoglycan production following
317 Wnt3a treatment for 4 days (Figure 4D). Proteoglycan levels were restored by
318 addition of Dkk3 demonstrating inhibition of Wnt3a-mediated effects on
319 proteoglycan synthesis.

320

321 **Dkk3 regulates TGF β signaling**

322 TGF β signaling responsiveness is reduced in ageing and OA. Expression of the
323 TGF β -responsive gene, *TIMP3*, [27] was dose-dependently enhanced in HAC
324 treated with TGF β plus 250 and 500ng/ml Dkk3 compared to TGF β alone (2.1-
325 and 2.2-fold, $p<0.001$) (Figure 5A). TGF β -responsive *PAI1* (Supplementary
326 Figure 2A) and *ADAM12* (data not shown) were also enhanced whilst *MMP13*
327 expression was decreased by TGF β in combination with 250ng/ml Dkk3 (Figure
328 5C) compared to TGF β alone (2.6-fold, $p<0.001$). 250ng/ml Dkk3 also increased
329 activity of the TGF β -responsive (CAGA)₁₂-luciferase reporter in SW1353 cells
330 relative to TGF β alone (2.8-fold, $p<0.0001$) (Figure 5B). No effect of Dkk3 alone
331 was seen on *TIMP3*, *PAI1* or *ADAM12* gene expression or CAGA-luc induction. The
332 extent of TGF β induction of *TIMP3* (Figure 5D), *PAI1* (Supplementary Figure 1B)
333 and *ADAM12* (data not shown) expression and CAGA-luc (Figure 5E) activity was
334 decreased by Dkk3 knock down. Knockdown of Dkk3 partially repressed the
335 TGF β -induced decrease of *MMP13* in primary HAC (Figure 5F). p38 MAPK-
336 mediated stabilization of Smad4 has been described in *Xenopus laevis*, [28],
337 therefore we inhibited p38 MAPK. The induction of TGF β -induced *TIMP3* (Figure
338 5G) and *PAI1* (Supplementary Figure 2B) expression by Dkk3 was abrogated
339 following p38 inhibition in HAC (Figure 5G).

340

341 Activin is a member of the TGF β superfamily that also signals via Smad2/3. To
342 assess whether Dkk3 impacted other Smad2/3-related signaling pathways, HAC
343 and SW1353 were treated with activin +/- Dkk3. Activin induced *TIMP3*

344 expression and (CAGA)₁₂-luc activity whilst co-incubation with Dkk3 caused a
345 dose-dependent reduction in both of these outputs (Figure 6A and 6B).
346 Knockdown of Dkk3 enhanced activin-induced *TIMP3* expression and CAGA-luc
347 activity suggesting endogenous Dkk3 may act to reduce cellular activin-induced
348 responses (Figure 6C and 6D). There was no repression of HAC *TIMP3*
349 expression when p38 MAPK activity was inhibited (Figure 6E). Activin-induced
350 *PAI1* expression followed the same trends as *TIMP3* (Supplementary Figure 3A-
351 C).

352

353 **DISCUSSION**

354 Altered expression of cytokines and consequent disruption of cell signaling is
355 associated with OA pathogenesis. Dkk3 is a non-canonical member of the Dkk
356 family of Wnt antagonists that has not been explored in cartilage biology despite
357 numerous studies noting its increased expression in models of OA. In this study
358 we demonstrate that Dkk3 is upregulated in adult human OA cartilage and
359 synovial tissue but is decreased during chondrogenesis. Dkk3 protects against *in*
360 *vitro* cartilage degradation and its expression is regulated by both injury and
361 inflammatory cytokines. Wnt and activin signaling are both inhibited by Dkk3
362 whilst TGF β signaling is enhanced. The upregulation of Dkk3 in OA may be a
363 protective mechanism to limit cartilage damage and to regulate aberrant cell
364 signaling associated with disease.

365

366 OA is a complex disease affecting multiple joint tissues, with a unique
367 combination of factors likely to regulate pathogenesis within each tissue and
368 across different joint locations. We show that Dkk3 is upregulated in both hip
369 and knee OA and in both synovial tissue and cartilage from diseased joints. Dkk3
370 upregulation is also reported in OA subchondral bone from patients undergoing
371 TKR.[29] This suggests Dkk3 is relevant to whole joint biology in two common
372 sites of disease. The increased Dkk3 in synovial fluid of patients with
373 tricompartmental OA may implicate Dkk3 as a biomarker distinguishing end-
374 stage disease. Further studies of Dkk3 as a circulating biomarker are warranted.

375

376 Dysregulation of Wnt and TGF β family members has been strongly implicated in
377 experimental and human OA.[5, 6] An imbalance in Wnt signalling leads to OA
378 development in murine models, and Wnt antagonists *DKK1* and *FRZB* have been
379 reported as downregulated in human OA.[30-32] Wnts and activin are also
380 released following cartilage injury.[33, 34] TGF β signaling and responsiveness
381 decreases with age and OA development whilst increased activin has been
382 detected in OA tissues.[34, 35] Dkk3 has both agonistic and antagonistic effects
383 on the Wnt pathway dependent on tissue of expression and thus investigation of
384 its impact on Wnt signaling in cartilage was investigated in our study.[7-9].

385 Opposing regulatory roles of Dkk3 on TGF β signaling in *Xenopus* and prostate
386 cancer[13, 28] have been reported but its function in musculoskeletal tissue has
387 not been studied

388

389 In adult HAC we have shown that Dkk3 antagonized Wnt signaling and protected
390 against Wnt-induced proteoglycan reduction. Dkk3 enhanced TGF β signaling in
391 chondrocytes and interestingly was necessary for TGF β -induced reduction of
392 *MMP13* expression. Dkk3 may mediate protective effects on cartilage partially

393 through upregulation of TGF β signaling and inhibition of Wnt signaling.
394 Surprisingly, Dkk3 inhibited activin signaling in cartilage despite both activin
395 and TGF β commonly signaling through Smad2/3. Inhibition of p38 MAPK
396 signaling abrogated the effects of Dkk3 on both TGF β and activin signaling which
397 shows Dkk3 action here is p38 MAPK dependent. A previous study demonstrated
398 Dkk3-dependent Smad4-stabilization by p38 MAPK and this requires further
399 investigation in chondrocytes.[36] Our data may indicate that Dkk3 effects on
400 TGF β require p38 MAPK for stabilization of Smad4. The effect of Dkk3 on activin
401 signaling is also p38 MAPK dependent but may operate through a pathway that
402 does not use Smad 4. The mechanism by which differential regulation of activin
403 and TGF β can occur is currently unknown and beyond the scope of this study.
404

405 Injury to the joint commonly leads to OA development. To model cartilage injury
406 *ex vivo* the murine hip was avulsed and Dkk3 levels found to be decreased within
407 1 hour. Decreased Dkk3 protein was also shown in pilot data from an *ex vivo*
408 porcine explant model [37] following cutting injury (data not shown). Treatment
409 with IL1 β /OSM also led to a reduction in Dkk3 expression that was partially p38
410 MAPK dependent. In contrast, previous reports on murine OA[15-17] and our
411 data in human tissue shows an increase in Dkk3 expression in established
412 disease. Dkk3 may be regulated in a temporal manner during disease
413 pathogenesis. This is supported by our BNC data that shows an initial decrease in
414 *DKK3* expression followed by an increase as cartilage degradation occurs. It is
415 also of note that synovial fluid Dkk3 levels were lower at the time of arthroscopy
416 than 4-6 weeks later when MACI was performed. This may indicate that injury to
417 the joint capsule leads to significant Dkk3 release from other joint tissues that
418 overcomes any decrease due to cartilage injury. The sources of Dkk3 in the joint
419 require further investigation. Any initial injury response leading to decreased
420 Dkk3 may have been completed at MACI and Dkk3 levels are consequently
421 increased in the ensuing repair attempt.
422

423 Paralleling the potential roles of the Wnt and TGF β pathways in OA pathogenesis,
424 chondrogenesis and articular cartilage development require TGF β signaling as
425 well as regulation of Wnt signaling.[5, 38] Given the reversion of OA
426 chondrocytes to a developmental-like phenotype [39] our data showing
427 decreased Dkk3 during chondrogenesis, shows a potential role for Dkk3 in
428 chondrogenesis, and also suggests that the immediate downregulation of Dkk3 in
429 injury may be an early repair response.
430

431 Strikingly, Dkk3 protected against IL1 β /OSM-stimulated cartilage degradation.
432 The increase in Dkk3 in OA may be a protective mechanism to minimize cartilage
433 degradation and the OA-associated shift in chondrocyte phenotype. This is
434 supported by the reduction in cartilage-degrading *MMP13* expression by Dkk3 in
435 the presence of IL1 β /OSM. Microarray analysis of HAC treated with siRNA
436 against Dkk3 did not reveal pathways of Dkk3 action on unstimulated cells (data
437 not shown), thus future analysis will use cytokine-stimulated. However siRNA
438 treatment did increase *MMP13* expression in TGF β -treated cells suggesting that
439 Dkk3 may limit cartilage damage partially through reduction of both IL1 β /OSM
440 and TGF β -effects on *MMP13*.
441

442 Overall Dkk3 upregulation in disease may be a defence mechanism to counteract
443 disease-related dysregulation of cell signaling pathways; inhibiting inflammatory
444 cytokine effects on cartilage degradation and enhancing TGF β signaling whilst
445 maintaining regulation of Wnt signaling in an attempt to counteract disease-
446 associated changes in these pathways. Supplementation with Dkk3 at an early
447 stage of disease or post-injury may therefore be therapeutically beneficial.

448

449 Further investigation of Dkk3 in murine models of OA is necessary to ascertain
450 its contribution to cartilage homeostasis and disease pathogenesis. Although the
451 Dkk3 null mouse [40] does not have an overt musculoskeletal phenotype our
452 preliminary analysis suggests increased knee OA in 3- and 6- month old animals,
453 we are currently investigating injury-models of OA. Dkk3 gene therapy is in
454 clinical trial for prostate cancer with promising results,[41] but further
455 preclinical evaluation is necessary alongside more detailed investigation of the
456 role of Dkk3 in other tissues of the healthy and OA joint.

457

458 In summary we have demonstrated that Dkk3 is upregulated in human OA and
459 reduces cartilage degradation. These findings may have clinical implications as
460 treatment with Dkk3 may prevent cartilage degeneration in OA and early
461 intervention with Dkk3-based therapy may slow OA progression.

462

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466 assistance with unpublished pilot data on the porcine-injury model.

467

468 **CONTRIBUTORS**

469 SJBS and IMC designed the study. SJBS, RKD, TES, MJB, KC and LL carried out data
470 acquisition. AJC and AP provided patient samples and assisted with data
471 interpretation. SJBS and IMC carried out data analysis and interpretation. All
472 authors helped prepared the manuscript and approved the manuscript for
473 submission.

474

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478

479 **COMPETING INTERESTS**

480 The authors have no competing interests to declare.

481

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636 **FIGURE LEGENDS**

637

638 **Figure 1. Dkk3 levels are altered in OA and during chondrogenesis**

639

640 (A) *DKK3* expression is elevated in OA cartilage and synovium from patients
641 undergoing total hip arthroplasty. OA cartilage = COA, n=13 NOF control
642 cartilage (CN, n=11) OA synovium (SOA, n=8) and NOF control synovium (SN,
643 n=11). *DKK3* gene (B) and protein (C) levels were elevated in damaged compared
644 to undamaged cartilage from individuals with AMG (n=5), IHC scale bar = 20 μ M.
645 (D) Dkk3 protein measured by ELISA of synovial fluid was increased in
646 individuals undergoing TKR for OA, n=3. Levels were also measured in
647 individuals with no cartilage lesions (control, n=3), undergoing arthroplasty for
648 cartilage lesions (lesion, n=5), matrix-induced autologous chondrocyte
649 implantation (MACI, n=7) following arthroscopy, or uni- compartmental (UKR,
650 n=3) knee replacement for AMG. (A, B) analysed by t-test, (D) by ANOVA with
651 Tukey post-test, three technical replicates per patient with the mean of these
652 used in statistical analysis and represented as a dot (biological replicate) on each
653 graph..

654

655 **Figure 2. Dkk3 is regulated by inflammatory cytokines and injury and**
656 **during chondrogenesis**

657 (A) qRT-PCR of RNA extracted from murine hip cartilage following *ex vivo*
658 avulsion showed a reduction in *DKK3* expression (n=8 mice). (B) 24 hour
659 treatment with IL1 β and IL1 β /OSM reduced *DKK3* expression in primary
660 monolayer HAC (n=4 patients, 4 technical replicates per condition), this was
661 partially inhibited by 10 μ M of the p38 MAPK inhibitor SB202190 (SB) (n=4
662 patients, 4 technical replicates per condition) (C). (D) IL1/OSM-induced *MMP13*
663 and *MMP1* expression was inhibited by Dkk3 (n=4 patients, 4 technical replicates
664 per condition). *DKK3* expression was reduced during chondrogenesis of ATDC5
665 cells (microarray) and human MSCs (RT-qPCR, n=2-3 biological replicates)(E &
666 F). (A-D) and (F) ANOVA with Dunnett's post-test. All statistical analysis carried
667 out on biological replicates.

668

669

670 **Figure 3. Dkk3 inhibits *ex vivo* cartilage degradation.**

671

672 (A) Dkk3 reduced IL1/OSM-induced collagen degradation (hydroxyproline
673 release) from bovine nasal cartilage (BNC) explants (n=4 biological replicates, 3
674 technical replicates per condition). (B) BNC (n=4) and (C) human knee (n=4)
675 cartilage explants showed a reduction in proteoglycan degradation (GAG release,
676 DMMB assay) in the presence of Dkk3 compared to IL1/OSM treatment alone, 3
677 technical replicates per condition. *DKK3* expression was significantly reduced in
678 BNC (n=3) at day 1 of IL1/OSM treatment and increased from day 5 onwards.
679 (A), (B) and (C) ANOVA with Dunnett's post-test relative to IL1/OSM alone (D) t-
680 test relative to untreated timepoint control. I/O = IL1/OSM. All statistical
681 analysis carried out on biological replicates (each biological replicate the mean of
682 technical replicates for that sample).

683

684

685 **Figure 4. Dkk3 inhibits Wnt signaling in chondrocytes.**
686 (A) HAC (n=4 patients, 3 technical replicates per condition) were treated with
687 Wnt3a with 0-500ng/ml Dkk3 and *AXIN2* expression was reduced in the
688 presence of Dkk3. (B) SW1353 cells were transfected with the TOPFlash
689 reporter plasmid and FOPFlash control. Luminescence was assessed following
690 treatment with Wnt3a, Dkk3 or the combination of Wnt3a and Dkk3. Dkk3
691 reduced Wnt3a-induced luciferase activity (n=8). (C) Dkk3 inhibited the Wnt3a-
692 induced reduction in proteoglycan production of HAC grown in micromass
693 culture (n=4) as measured by alcian blue staining, mean±SD. (D) Primary HAC
694 (n=4) were treated with siRNA against Dkk3 or negative control siRNA. In the
695 absence of Dkk3 there was a relative increase in Wnt3a-induced *AXIN2*
696 expression. ANOVA with Dunnett's post-test, (A,B, D) significance shown for
697 comparisons of Wnt3a to Wnt3a + Dkk3, (C) significance shown for comparisons
698 of Wnt3a_siRNAcontrol to Wnt3siRNADkk3. n represents biological replicates
699 (the mean of 3 technical replicates per condition for luciferase assays and 4
700 technical replicates per condition for gene expression assays). All statistical
701 analysis carried out on biological replicates.

702
703 **Figure 5. Dkk3 enhances TGFβ signaling response.**

704 (A) HAC (n=4) treated with TGFβ showed increased *TIMP3* expression in the
705 presence Dkk3 compared to TGFβ alone. (B) TGFβ-responsive (CAGA)₁₂-
706 luciferase activity in SW1353 cells (n=8) was also enhanced by Dkk3 compared
707 to TGFβ alone. TGFβ-induced *TIMP3* expression (C, n=4) and (CAGA)₁₂-luciferase
708 activity (D, n=8) was reduced following knockdown of Dkk3. (E) Inhibition of
709 HAC p38 MAPK activity by treatment with 10μM SB202190 (SB) abolished the
710 Dkk3-induced enhancement of *TIMP3* expression following TGFβ treatment
711 (n=3). (F) Dkk3 treatment decreased *MMP13* expression in HAC compared to
712 TGFβ treatment alone (n=4) and siRNA against Dkk3 partially inhibited the
713 TGFβ-induced reduction in *MMP13* expression in HAC (n=4) (G). (A-F) ANOVA
714 with Dunnett's post-test, significance shown for comparison between TGFβ alone
715 and TGFβ + Dkk3 (A-C) and for TGFβ + siControl to TGFβ+ siDkk3 (D-F). (G)
716 ANOVA plus Tukey post-test, significance shown for comparison of TGFβ + Dkk3
717 to TGFβ alone for with and without SB202190. n represents biological replicates
718 (the mean of 3 technical replicates per condition for luciferase assays and 4
719 technical replicates per condition for gene expression assays). All statistical
720 analysis carried out on biological replicates.

721

722

723 **Figure 6. Dkk3 inhibits activin signaling response**

724 (A) HAC (n=4) treated with activin showed increased *TIMP3* expression in the
725 presence Dkk3 compared to Activin alone. (B) (CAGA)₁₂-luciferase activity in
726 SW1353 cells (n=8) was also reduced in the presence of Dkk3 compared to
727 activin alone. Activin-induced *TIMP3* expression (C, n=4) and (CAGA)₁₂-luciferase
728 activity (D, n=4) was increased following knockdown of Dkk3. (E) Inhibition of
729 HAC p38 MAPK activity by treatment with 10μM SB202190 (SB) abolished the
730 Dkk3 (250ng/ml)-induced reduction in *TIMP3* expression following Activin
731 treatment (n=4). (A-D) ANOVA with Dunnett's post-test, significance shown for
732 comparison between Activin and Activin + Dkk3 (A, B) and between
733 Activin_siControl and Activin_siDkk3 (C,D). (E) ANOVA with Tukey post-test,

734 significance shown for comparison between Activin alone and Activin + Dkk3 in
735 the absence and presence of SB202190. n represents biological replicates (the
736 mean of 3 technical replicates per condition for luciferase assays and 4 technical
737 replicates per condition for gene expression assays). All statistical analysis
738 carried out on biological replicates.

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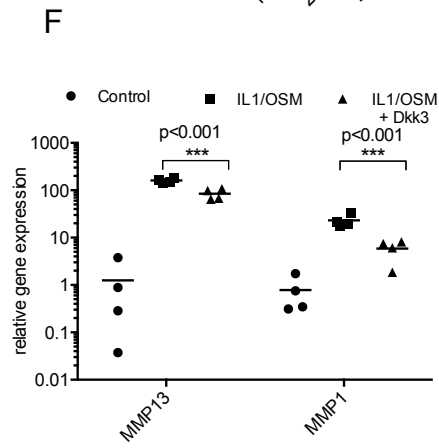
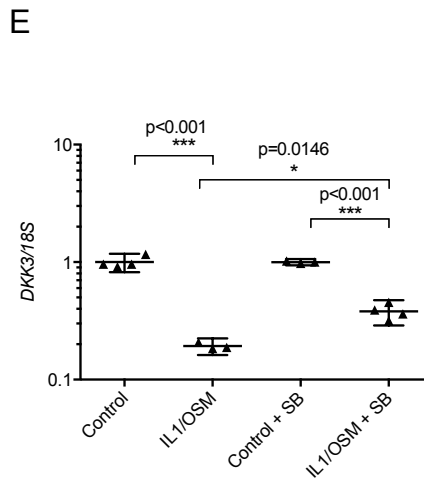
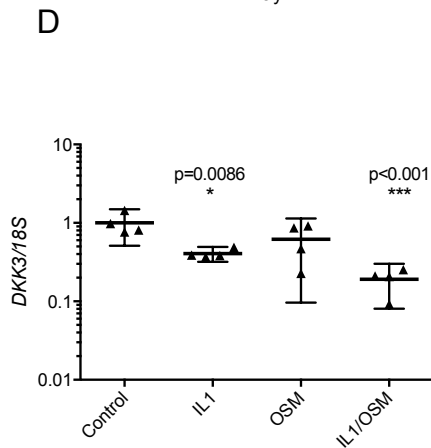
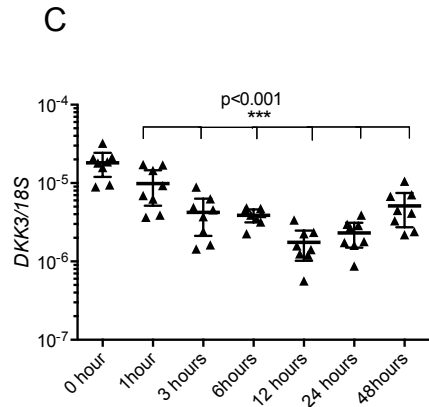
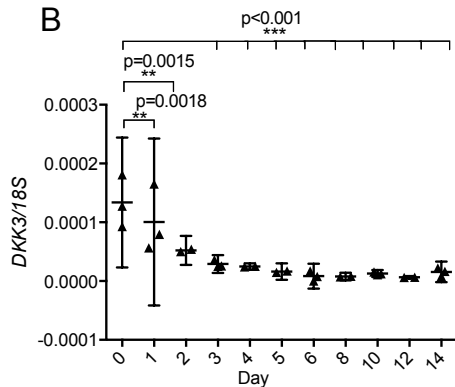
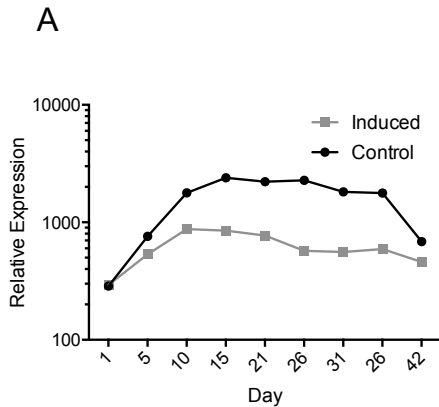
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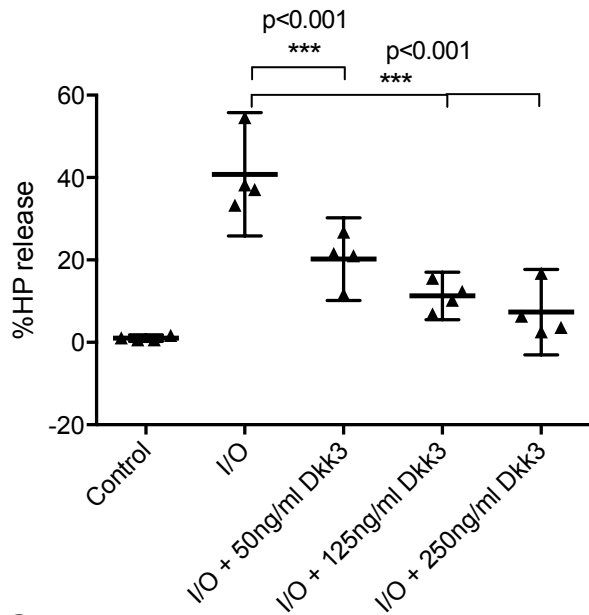
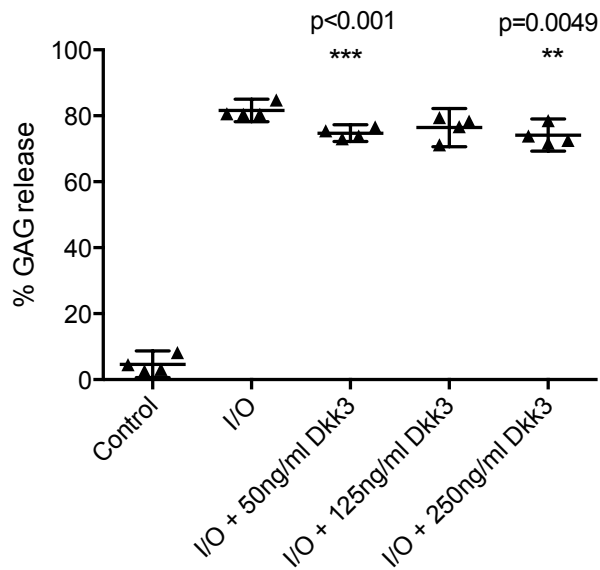
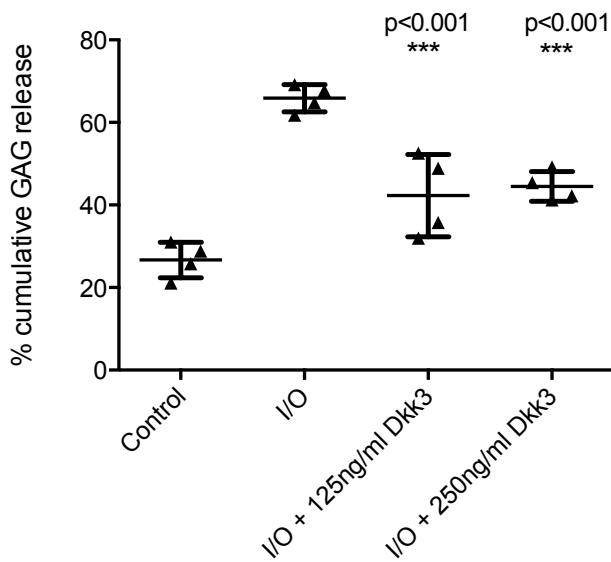
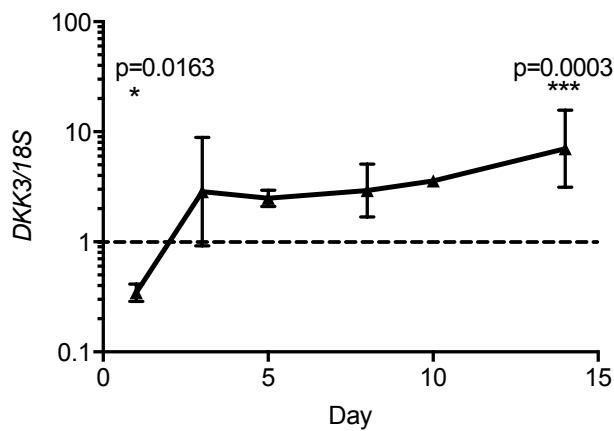
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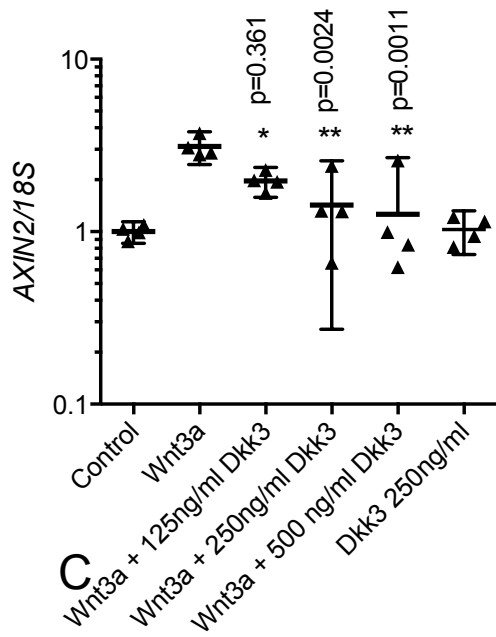
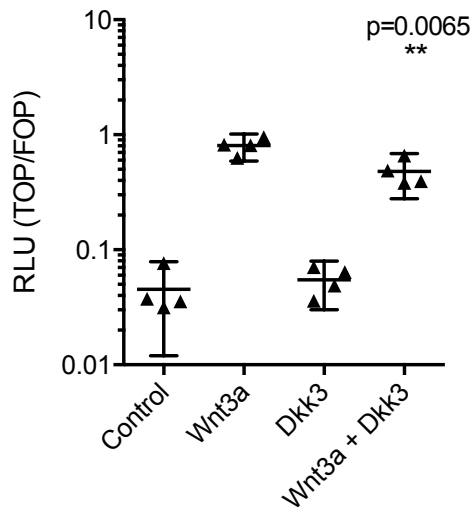
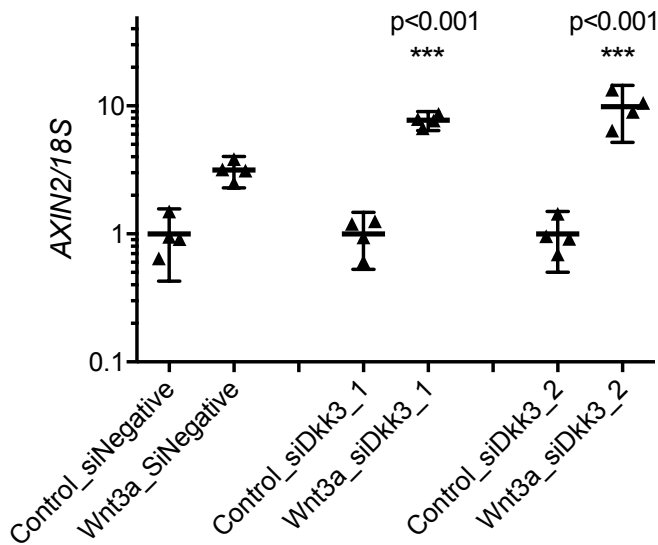
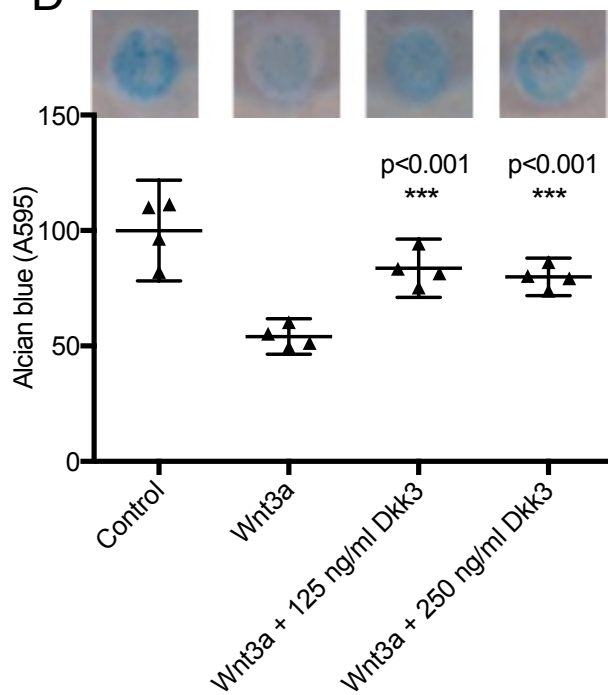
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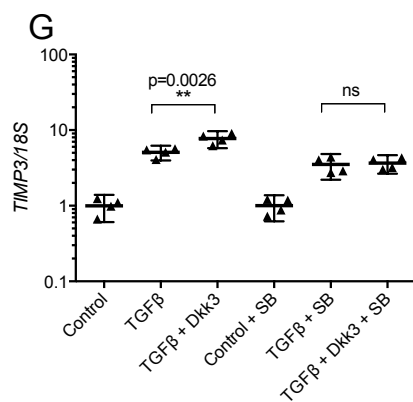
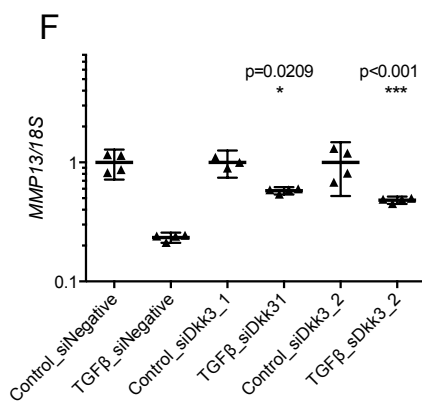
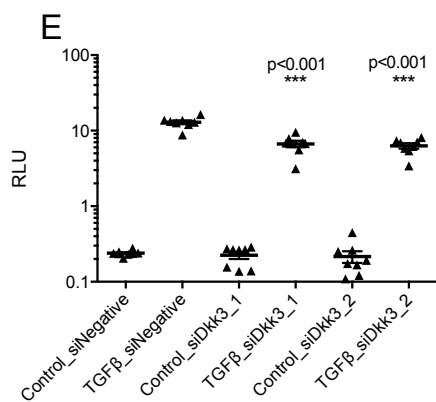
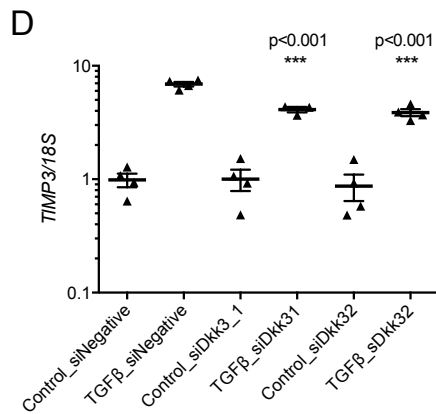
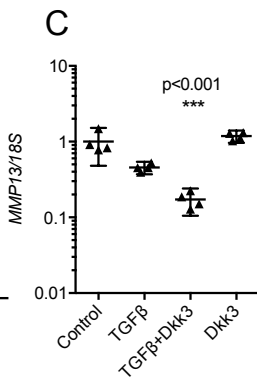
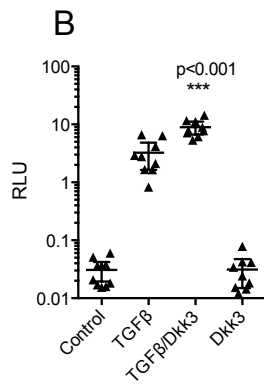
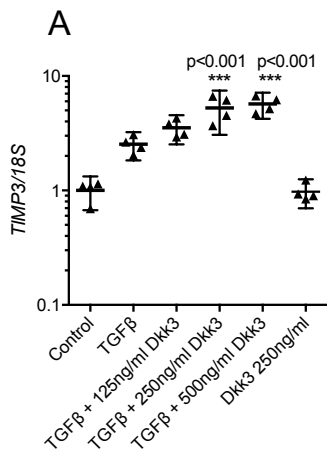
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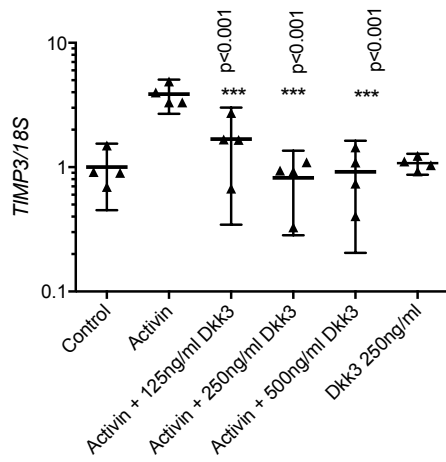
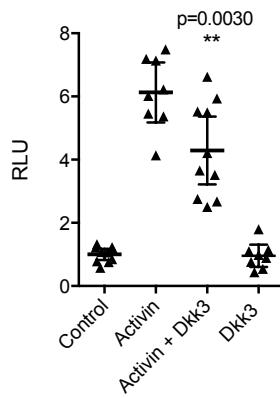
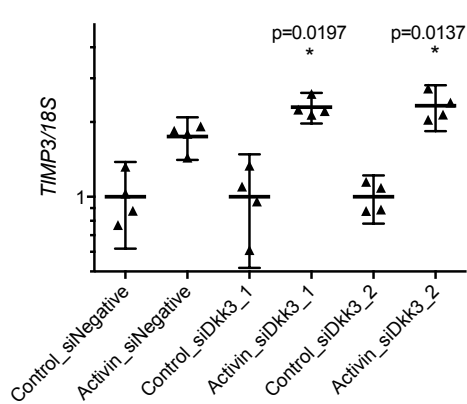
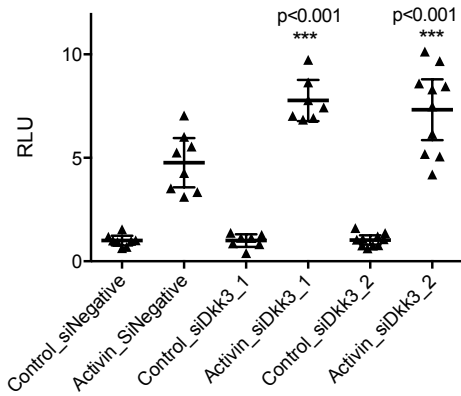
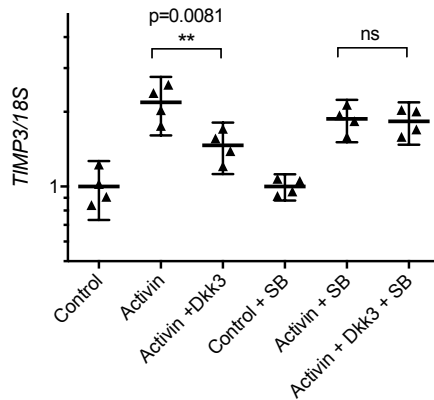
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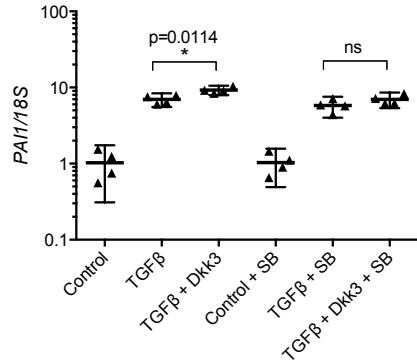
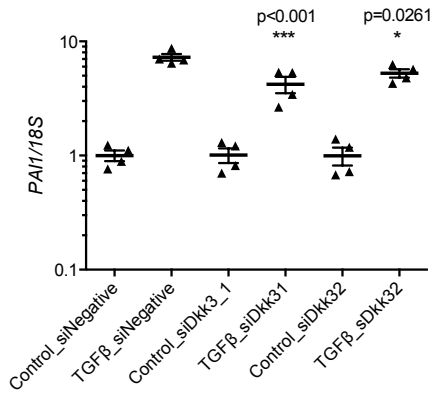
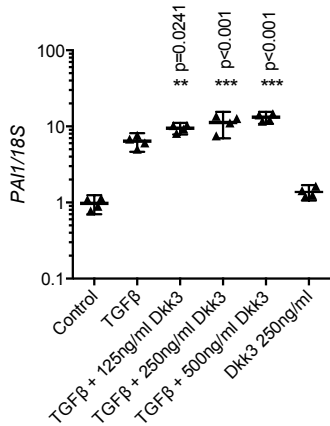


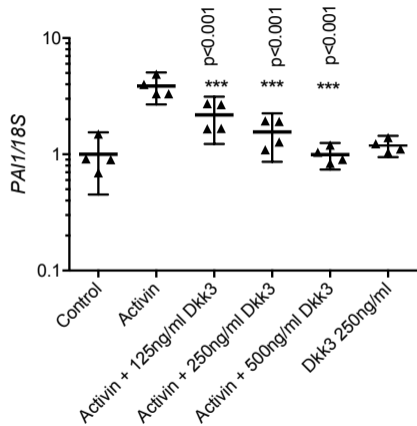
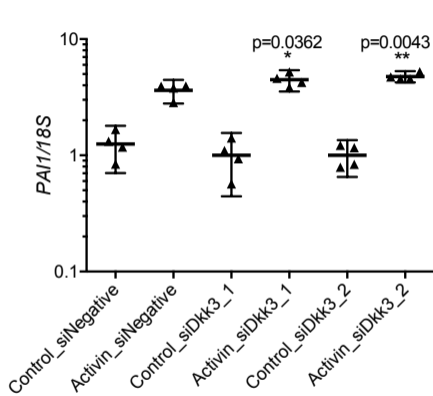
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