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| Chapter Title        | Developments in the Photonic Theory of Fluorescence  |                                 |  |  |
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| Abstract             | Conventional fluorescence commonly arises when excited molecules relax to their ground electronic state, and most of the surplus energy dissipates in the form of photon emission. The consolidation and full development of theory based on this concept has paved the way for the discovery of several mechanistic variants that can come into play with the involvement of laser input – most notably the phenomenon of multiphoton-induced fluorescence. |                                 |  |  |

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**Keywords** Multiphoton process • Anisotropy • Nonlinear optics • Optical vortex • 19 All-optical switch 20

### 10.1 Introduction

Fluorescence is a form of luminescence whose measurement is widely employed in 22 optical devices, microscopy imaging, biology and medical research. The basic 23 theory describing fluorescence emission from individual molecules is extremely 24 well-established. It centres upon the release of a photon from an excited molecule 25 as it relaxes in a transition that is spin-allowed, and usually electric dipole-allowed, 26 to a lower electronic state; this follows an initial electronic excitation, and usually 27 some intervening vibrational relaxation. Delving more deeply into this model, the 28 underlying quantum theory offers us additional physical insights into single- and 29 multi-photon fluorescence. It also enables the prediction of several other novel, 30 fluorescence-related processes, potentially leading to the production of useful 31

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devices and applications across the sciences. This chapter offers a look at the latestdevelopments in the photonic theory of fluorescence.

The structure of this work is as follows. Section 10.2 first affords a brief outline 34 of the fundamental formalism utilized throughout this chapter, working up from 35 quantum amplitudes to expressions for measurable rates of fluorescence, cast in 36 terms that can duly elicit photonic attributes of the processes they describe. This 37 section provides a basis for understanding the connection and common ground 38 between the most familiar form of fluorescence, and the newer processes. It also 39 includes a summary of the way in which the theory can be developed as a two-state 40 model, whenever optical response is dominated by just the excited and ground 41 electronic levels. Successive sections address recent research on specific applica-42 tions. Section 10.3 discusses advances in the theory of multiphoton fluorescence, 43 casting expressions for the output signals in terms of the associated electric 44 polarization and molecular transition moment properties. Results established by 45 means of an isotropic orientational average determine the fluorescence response of 46 a fully disordered molecular environment – a complete system, or micro-domains 47 within a complete system - also revealing one means by which multiphoton 48 imaging can be further developed to monitor and quantify variations in chromo-49 phore orientation. Section 10.4 explores the development of 'laser-controlled 50 fluorescence', a process whereby the rate of fluorescent emission is modified by 51 an off-resonant probe beam of sufficient intensity. Associated changes in fluores-52 cence behaviour afford new, chemically-specific information and a potential for 53 novel technological applications through all-optical switching. Finally, in 54 Sect. 10.5, theoretical developments in the field of multi-emitter fluorescence are 55 described. Following a focus on the quantum mechanisms that operate between a 56 pair of electromagnetically coupled nanoantenna emitters, attention is then given to 57 designer systems based on an arrangement of molecular nanoemitters – which can 58 59 be used as a basis for the generation of optical vortex radiation. Simple illustrations of the topics to be discussed in Sects. 10.3, 10.4 and 10.5 are shown in Fig. 10.1 The 60 chapter concludes in Sect. 10.6 with a Discussion. 61

## 62 10.2 Photonic Theory of Fluorescence

In any molecular system that exhibits fluorescence, the primary stage – associated 63 with the absorption of input radiation – is the electronic excitation of individual 64 65 chromophores. Typically, ultrafast intramolecular vibrational redistribution produces a degree of immediate relaxation that results in a partial degradation of the 66 acquired energy, with subsequent fluorescence occurring from the lowest level of 67 the electronic excited state. For present purposes, assuming the validity of a Born-68 Oppenheimer separation of wavefunctions, we can focus on the character of these 69 electronic transitions, since it is these that primarily determine the energetics and 70 71 selection rules. The corresponding vibrational energies are generally small compared to the difference in electronic energy states: their impact on the fluorescence 72

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Fig. 10.1 Variations on molecular fluorescence: (a) Multiphoton fluorescence; (b) Lasercontrolled fluorescence; (c) Fluorescence from coupled nanoemitters

transition, although important, principally features in the linewidth, determined by 73 Franck-Condon factors. 74

With these considerations, the following representation of theory can now be 75 built on the basis of parameters delivered by a quantum framework for both the 76 radiation and the matter. Specifically, these will essentially be the quantum ampli-77 tudes (strictly 'matrix elements',  $M_{FI}$ , as they are in principle derivable for any 78 specified pair of states) for the initial excitation and for the fluorescent decay, duly 79 representing the input and output photons as quanta of the radiation field. Assuming 80 that the energy associated with the strength of coupling between matter and 81 radiation is far less than any molecular bond energy, such matrix elements which 82 characterize the transition between initial and final system states,  $|I\rangle$  and  $|F\rangle$  83 respectively, are typically derived through time-ordered perturbation theory 84 [1]. Such a perturbation is formally cast as an infinite, converging series, *i.e.*:

$$M_{FI}(\xi) = \sum_{n=1}^{\infty} \langle F | H_{\text{int}}(\xi) (T_0 H_{\text{int}}(\xi))^{n-1} | I \rangle, \qquad (10.1)$$

where  $\xi$  represents a molecule or chromophore label,  $T_0 = (E_I - H_0)^{-1}$  (in which  $E_I$  86 is the energy of the initial state and  $H_0$  is the Hamiltonian for an unperturbed 87 system), and  $H_{int}(\xi)$  is the interaction Hamiltonian whose operation defines the 88 system perturbation. The development of Eq. (10.1) usually involves implementa-89 tion of the completeness relation  $\sum_R |R\rangle \langle R| = 1$  etc., so that the expression 90 becomes; 91

$$M_{FI} = \langle F|H_{\rm int}|I\rangle + \sum_{R} \frac{\langle F|H_{\rm int}|R\rangle \langle R|H_{\rm int}|I\rangle}{(E_I - E_R)} + \sum_{R,S} \frac{\langle F|H_{\rm int}|S\rangle \langle S|H_{\rm int}|R\rangle \langle R|H_{\rm int}|I\rangle}{(E_I - E_R)(E_I - E_S)} + \sum_{R,S,T} \frac{\langle F|H_{\rm int}|T\rangle \langle T|H_{\rm int}|S\rangle \langle S|H_{\rm int}|R\rangle \langle R|H_{\rm int}|I\rangle}{(E_I - E_R)(E_I - E_S)(E_I - E_T)} + \dots ,$$

$$(10.2)$$

where the intermediate system states are given by  $|R\rangle$ ,  $|S\rangle$ ,  $|T\rangle$ ... and  $E_N$  is the 92 energy of the state denoted by its subscript; the leading non-zero term for a process 93



94 involving *n* photons is generally the *n*th term. The interaction Hamiltonian is 95 explicitly expressed in the following form, featuring  $\mu_i(\xi)$  as a component of the 96 electric dipole operator:

$$H_{\rm int}(\xi) = -\varepsilon_0^{-1} \mu_i(\xi) \cdot d_i^{\perp}(\mathbf{R}_{\xi}), \qquad (10.3)$$

97 using the convention of summation over repeated Cartesian subscripts. Here, the 98 contributions of magnetic and higher-order transition moments are legitimately 99 ignored; the contribution from both are typically insignificant under conditions in 100 which the molecular dimensions are significantly smaller than the optical wave-101 length. The transverse electric displacement field operator  $d_i^{\perp}(\mathbf{R}_{\xi})$  at position  $\mathbf{R}_{\xi}$ 102 acts upon the radiation system states within the arbitrary quantization volume *V* as:

$$d_{i}^{\perp}(\mathbf{R}_{\xi}) = \sum_{\mathbf{p},\eta} \left(\frac{\hbar c \, p \varepsilon_{0}}{2V}\right)^{\frac{1}{2}} i \Big[ e_{i}^{(\eta)}(\mathbf{p}) a^{(\eta)}(\mathbf{p}) \exp(i \, \mathbf{p}.\mathbf{R}_{\xi}) - \overline{e}_{i}^{(\eta)}(\mathbf{p}) a^{\dagger(\eta)}(\mathbf{p}) \exp(-i \, \mathbf{p}.\mathbf{R}_{\xi}) \Big],$$
(10.4)

where  $e_i^{(\eta)}$  is the unit electric polarization vector, with an overbar denoting its 103 complex conjugate. The electric field operator is linear in both a and  $a^{\dagger}$ , which are 104 the optical mode annihilation and creation operators, respectively, for a mode (**p**, 105  $\eta$ ); hence each operation of  $d_i^{\perp}(\mathbf{R}_{\xi})$  is responsible for either the creation or 106 annihilation of a single photon. The parameter n in Eq. (10.1) defines the order of 107 the matrix element with respect to  $H_{int}(\xi)$ , therefore effectively being determined by 108 the number of matter-radiation interactions that occur within a given optical 109 process. In order to exact results amenable to practical verification, it is common 110 practice to report results in a form based on a measurable experimental observable. 111 Throughout this review a commonly deployed methodology is utilized through 112 application of Fermi's Golden Rule: 113

$$\Gamma = \frac{2\pi\rho_F}{\hbar} \left\langle \left| M_{FI} \right|^2 \right\rangle. \tag{10.5}$$

In this expression,  $\Gamma$  is the rate observable, proportional to the modulus square of 114 the relevant matrix element, while on the right-hand side  $\rho_F$  represents a density of 115 final system states defined as the number of molecular levels per unit energy 116 associated with  $|F\rangle$ ; the angular brackets here denote an orientational average to 117 be effected for a system of randomly oriented molecules, as in the liquid phase 118 [2]. Moreover, when the initial excitation is the rate-determining step (as is usually 119 the case), then an effective rate can be cast in terms of an average for the product of 120 matrix element quadratic terms for the excitation and fluorescent emission. 121

Equation (10.2) is often modified by the introduction of a simplifying assumption, to describe the optical response of a model system with just two electronic states (although, when applied to some other kinds of optical interaction, this common simplification can produce significantly misleading predictions) [3]. In



the context of fluorescence, it is in most cases entirely defensible to consider only 126 the ground and lowest excited states, *i.e.* employ the two-state model [4–18], since 127 Kasha's rule states that fluorescence only occurs in appreciable yield from the 128 lowest electronic excited state – although it cannot be presumed that the state from 129 which the fluorescence decay occurs is necessarily the same as the state initially 130 populated by photoexcitation. Upon application of such a two-state strategy, the 131 quantum completeness identity becomes; 132

$$1 = \sum_{R} |R\rangle \langle R| \equiv \sum_{\rho, r} |\rho_{\rm rad}\rangle |r_{\rm mol}\rangle \langle r_{\rm mol}| \langle \rho_{\rm rad}| = \mathbf{1}_{\rm rad} \times (|0\rangle \langle 0| + |\alpha\rangle \langle \alpha|).$$
(10.6)

where the system state is decomposed into radiation and molecular states, the latter 133 involving only a ground state  $|0\rangle$  and a first excited state  $|\alpha\rangle$ . Limiting any intermediate molecular states to just  $|0\rangle$  and  $|\alpha\rangle$  restricts the number of transition 135 sequences from the excited to ground molecular states. In complex interactions, 136 each sequence generates a combined sequence of transition electric dipole 137 moments, such as  $\mu^{0\alpha}$  and  $\mu^{\alpha 0}$ , in combination with the static dipole moments of 138 the ground and excited energy levels,  $\mu^{00}$  and  $\mu^{\alpha\alpha}$  respectively. It can be legiti- 139 mately assumed that the former transition electric moments are real (as is always 140 possible, given a suitable choice of basis set for the molecular wavefunctions) and 141 therefore equal, by virtue of the Hermiticity of the dipole operator. Detailed 142 analysis reveals that the dependence on static moments emerges only in terms of 143 their vector difference. With the benefit of an algorithmic method, the following 144 prescription,  $\mu^{\alpha\alpha} \rightarrow \mu^{\alpha\alpha} - \mu^{00} = \mathbf{d}$ ;  $\mu^{00} \rightarrow 0$  can be adopted [19], whose general 145 validity has been proven to rest on a canonical transformation of the quantum 146 interaction operator [20]. Applying this protocol requires application of an associ- 147 ated rule: any transitional mechanism that connects the initial and final system 148 states (here, for the emission process) through a ground state static dipole is 149 discarded. 150

### **10.3 Multiphoton Fluorescence**

In laser-based studies of fluorescence, it is well-known that polarization features of 152 the emission convey rich information on structural details of the sample, particu-153 larly in condensed phase molecular media. For example, detailed information can 154 be secured on the degree of chromophore orientational order through polarization-155 resolved measurements [21, 22]. Numerous studies have focused on confined, 156 highly ordered materials where the chromophores are held in crystalline structures 157 [23–25], or else samples such as cell membranes, molecular films or fibers, where 158 they are less rigidly bound to a physical matrix [26, 27]. In such instances, the 159 rotational freedom of the targeted species is commonly restricted, enforcing a 160 degree of orientational order relative to the external structure. Whereas 161 polarization-derived information is often restricted to two spatial dimensions, the 162

163 determination of three-dimensional orientation can also be explored [28]. Further 164 investigations have extended the scope of such studies into the single-molecule 165 regime, to elucidate information that is obscured in ensemble studies [29–31].

This section assesses the output signal resulting from *multiphoton* induced 166 fluorescence, the application of which is highly prevalent in modern research 167 owing primarily to the technique's unparalleled ability to deliver high-resolution, 168 three dimensional imaging of heterogeneous samples. In general terms, the capture 169 of high quality images aids the investigation of chemically specific information, 170 since fluorescence intensity distributions allow the determination of the relative 171 location, concentration and structure of specific molecular species in situ [32-172 34]. However, the attendant advantages offered by multiphoton methods include 173 further features that have as yet received surprisingly little attention. In this respect 174 it shall be shown that multiphoton imaging has a potential for further development 175 as a diagnostic tool, to selectively discriminate micro-domains within a sample that 176 exhibit a degree of orientational correlation. Any such technique could then equally 177 monitor dynamical changes in this localized order, perhaps resulting from a chem-178 ical interaction, or acting in response to an externally applied stimulus. 179

The theory that follows duly provides a means of interrogating the extent of 180 correlation between the transition moments associated with the process of fluores-181 cence, namely those responsible for photon absorption and emission. Specific 182 attention is given to the extent to which fluorescence retains a directionality of 183 polarization from the initial excitation. To approach such issues involved in 184 185 multiphoton processes, it is appropriate to begin with a representation of the optical process in its entirety, subsuming both the multi-photon absorption of laser input 186 and the emission of fluorescent radiation. The output optical signal,  $I_{\rm flu}^{(n)}(\phi)$ , is thus 187 introduced as a function of the experimentally controllable angle between the 188 polarization vector of the incident light and the resolved polarization of the emis-189 sion,  $\phi$ : 190

$$I_{\rm flu}^{(n)}(\phi) = K^{(n)} \sum_{\xi} \left\langle \left| M_{\nu 0}^{(n)}(\xi) \right|^2 |M_{0\alpha}(\xi)|^2 \right\rangle.$$
(10.7)

The signal separates matrix elements for nth order multiphoton absorption and 191 single – photon emission,  $M_{\nu 0}^{(n)}(\xi)$  and  $M_{0\alpha}(\xi)$  respectively. The possibility for 192 excited state processes such as internal conversion, hindered rotation, rotational 193 diffusion, intramolecular energy transfer etc. are accommodated through the adop-194 tion of labels 0 and  $\nu$  to denote the molecular ground and initially excited energy 195 levels, and  $\alpha$  for the level from which emission occurs. The fluorescence signal in 196 Eq. (10.7) is thus portrayed in terms of the physically separable efficiencies of the 197 absorption and emission processes; the constant of proportionality  $K^{(n)}$  is itself 198 dependent on experimental parameters including the *n*th power of the mean irradi-199 ance delivered by the input laser beam, and also the corresponding degree of *n*th 200 order coherence [35]. Angular brackets once again denote implementation of an 201 orientation average, providing for the likely case in which the transition moments 202



associated with multiphoton absorption and single photon emission are randomly 203 oriented relative to the propagation vector of the input. If rotational diffusion during 204 the excited state lifetime is significant, then the orientational average itself decouples into separate averages for the excitation and decay processes. To determine the 206 results for one-, two- and three-photon induced fluorescence, the form of all 207 associated matrix elements is required. Each is derived by standard methods; the 208 underlying principles are introduced in a detailed description of single-photon 209 induced fluorescence that directly follows. 210

### 10.3.1 One-Photon Induced Fluorescence

As indicated above, the theory for the process of single-photon induced fluores- 212 cence is characterized by the development of two distinct matter-radiation interac- 213 tions: the first describes the optical excitation of a chromophore by single-photon 214 absorption; the second entails molecular relaxation and photon emission that 215 returns the chromophore to its ground electronic state. Addressing first the former 216 process, the matrix element for single photon absorption is derived by substitution 217 of Eq. (10.3) into (10.1) where n = 1: 218

$$M_{\nu 0}^{(1)}(\xi) = -i \left(\frac{q\hbar cp}{2\varepsilon_0 V}\right)^{\frac{1}{2}} e_i^{(\eta)} \mu_i^{\nu 0} \exp(i\mathbf{p} \cdot \mathbf{R}_{\xi}).$$
(10.8)

The level of intensity of the input mode is such it conveys q photons within a 219 quantization volume V that is assumed to enclose the absorbing chromophore. By 220 comparison, the matrix element for the process of photon emission, which engages 221 electronic decay of the excited chromophore and the creation of a single photon into 222 the vacuum radiation field, is expressed as: 223

$$M_{0\alpha}(\xi) = i \left(\frac{\hbar c p'}{2\varepsilon_0 V}\right)^{\frac{1}{2}} \overline{e_i}^{(\eta')} \mu_i^{0\alpha} \exp\left(-i \mathbf{p}' \cdot \mathbf{R}_{\xi}\right).$$
(10.9)

Here, prime labels have been utilized to distinguish the wave-vector and polariza- 224 tion of the output fluorescence from corresponding properties of the input beam. By 225 substituting the matrix elements for both absorption and emission into Eq. (10.7), a 226 complete expression for the signal output emerges: 227

$$I_{\rm flu}^{(1)}(\phi) = \sum_{\xi} K^{(1)} \langle S_{ij} \overline{S}_{kl} T_{ij} \overline{T}_{kl} \rangle, \qquad (10.10)$$

where the square modulus of Eqs. (10.8) and (10.9) have been employed, and the 228 products of scalar parameters within the parentheses of each matrix element are 229 incorporated into the proportionality constant  $K^{(1)}$ . For ease of notation, the 230

orientation-dependent products of the unit electric polarization vectors, and those of 231 the molecular transition moments, are each incorporated into second rank tensors 232 where specifically  $S_{ij}$  and  $\overline{S}_{ij}$  denote  $e_i^{(\eta)} \overline{e}_j^{(\eta')}$  and  $\overline{e}_i^{(\eta)} e_j^{(\eta')}$ . Likewise, the 233 molecular transition moment products described by  $T_{ij}$  and  $\overline{T}_{ij}$  correspond to 234  $\mu_i^{\nu 0} \mu_i^{0 \alpha}$  and  $\overline{\mu}_i^{\nu 0} \overline{\mu}_i^{0 \alpha}$ . Here, and in all subsequent applications of this notation, the 235 last index in the electric polarization and molecular transition tensors relates to the 236 photon emission. Equation (10.10) thus expresses a result that embraces the angular 237 disposition of the chromophore transition moments with respect to the input and 238 output polarization vectors. In a rigidly oriented system, forgoing the orientational 239 average, the result would thus exhibit a dependence on  $\cos^2 \eta \cos^2 \theta$ , where  $\eta$  is the 240 angle between the absorption moment and the input polarization, and  $\theta$  is that 241 between the emission moment and the fluorescence polarization. 242

### 243 10.3.2 Multiphoton Induced Fluorescence

Having derived the matrix element for one-photon emission, the fluorescence signal 244 for multiphoton processes now requires expressions that account for the concerted 245 absorption of two or more photons. First addressing the specific case of two-photon 246 absorption, the associated matrix element entails a progression through an inter-247 mediate system state in which one photon is annihilated and the chromophore, 248 249 lacking a resonant level to match the photon energy, is accordingly in a transient superposition of virtual molecular states. Any such energy non-conserving state can 250 be sustained only as long as is allowed by the time-energy uncertainty principle – 251 necessary summation is made over all possible intermediate states, as required by 252 quantum principles. The full matrix element is thus developed by substitution of 253 Eqs. (10.3) and (10.4) into Eq. (10.1) where n = 2 such that: 254

$$M_{\nu 0}^{(2)}(\xi) = \left(\frac{q_2^{1/2}\hbar c p}{2\epsilon_0 V}\right) e_i^{(\eta)} e_j^{(\eta)} \alpha_{(ij)}^{\nu 0}.$$
 (10.11)

Here, the quantization volume initially contains the chromophore and two photons of the incident radiation; the factor of  $q_2^{1/2} \equiv [q(q-1)]^{1/2}$  correspondingly arises from the successive operations of the photon annihilation operator. The above expression exploits the symmetry of the electric polarisation terms  $e_i^{(\eta)}e_j^{(\eta)}$  with respect to exchange of the indices *i* and *j*. The second rank molecular response tensor  $\alpha_{(i)}^{\nu_0}$  is duly defined as:

$$\alpha_{(ij)}^{\nu 0} = -\frac{1}{2} \sum_{r} \left( E_{r0} - \hbar c \, p \right)^{-1} \left( \mu_i^{\nu r} \mu_j^{r0} + \mu_j^{\nu r} \mu_i^{r0} \right) \,, \tag{10.12}$$



where  $E_{rs} = E_r - E_s$  is an energy difference between molecular states. The two 262 dipole product contributions in the above expression relate to each of the possible 263 time-orderings in which the two, indistinguishable input photons can be annihi-264 lated; the factor of  $\frac{1}{2}$  is introduced to preclude over-counting, and bracketed 265 subscripts denote symmetry with respect to interchange of the enclosed indices. 266 In cases where the electronic level accessed by two-photon absorption equates to 267 that from which subsequent radiative decay occurs, i.e.  $\nu = \alpha$ , it is prudent to allow 268 the two-level approximation for the two-photon absorption generates of such a system then 270 reduces to a form that features both static and transition dipoles, the former 271 expressed as a shift in dipole moment that accompanies the transition: 272

$$\alpha_{(ij)}^{\alpha 0(TLA)} = -\frac{1}{2} (E_{\alpha 0} - \hbar c p)^{-1} \Big( d_i \mu_j^{\alpha 0} + d_j \mu_i^{\alpha 0} \Big).$$
(10.13)

By combining Eqs. (10.9) and (10.11), the following expression represents the 273 output signal resulting from two-photon induced fluorescence: 274

$$I_{\rm flu}^{(2)}(\phi) = \sum_{\xi} K^{(2)} \langle S_{(ij)k} \overline{S}_{(lm)n} T_{(ij)k} \overline{T}_{(lm)n} \rangle, \qquad (10.14)$$

Here, the electric vector and molecular transition moment products are expressed as 275 third rank tensors such that  $S_{(ij)k}$  and  $\overline{S}_{(ij)k}$  correspond to  $e_i{}^{(\eta)}e_j{}^{(\eta)}\overline{e}_k{}^{(\eta')}$  and 276  $\overline{e}_i{}^{(\eta)}\overline{e}_j{}^{(\eta)}e_k{}^{(\eta')}$ , whilst  $T_{(ij)k}$  and  $\overline{T}_{(ij)k}$  signify  $\alpha_{(ij)}^{\nu_0}\mu_k^{0\alpha}$  and  $\overline{\alpha}_{(ij)}^{\nu_0}\overline{\mu}_k^{0\alpha}$  respectively. In 277 this case, for an oriented sample, the dependence on emission angle is again  $\cos^2\theta$ . 278 However the dependence on input polarization is considerably more intricate, being 279 determined by a weighted combination of  $\cos^2$  functions for each angle between the 280 input polarization vector and one of a number of transition moments, i.e.  $\mu^{\nu r}$ ,  $\mu^{r0}$ , 281 for each level *r*.

For *three-photon* induced fluorescence, the transition between the ground and 283 excited state of the chromophore, which requires the concerted absorption of an 284 additional photon, progresses through two distinct virtual intermediate states. 285 Substitution of Eqs. (10.3) and (10.4) into (10.1) where n = 3 yields the following 286 matrix element cast in terms of a third rank molecular response tensor  $\beta_{(iik)}^{\nu 0}$ : 287

$$M_{\nu 0}^{(3)}(\xi) = -q_3^{1/2} i \left(\frac{\hbar c p}{2\epsilon_0 V}\right)^{\frac{3}{2}} e_i^{(\eta)} e_j^{(\eta)} e_k^{(\eta)} \beta_{(ijk)}^{\nu 0}.$$
 (10.15)

As with the case of second rank tensor used to describe two-photon absorption,  $\beta_{(ijk)}^{\ell 0}$  288 features a sum of dipole product contributions that account for all possible time- 289 orderings of the identical input photons. Including a factor of  $\frac{1}{6}$ , again to offset over- 290 counting, this third-rank molecular response tensor is defined thus: 291

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$$\beta_{(ijk)}^{\nu 0} = \frac{1}{6} \sum_{r,s} \left[ (E_{r0} - \hbar c \, p) (E_{s0} - 2\hbar c \, p) \right]^{-1} \\ \left( \mu_i^{\nu s} \mu_j^{sr} \mu_k^{r0} + \mu_i^{\nu s} \mu_k^{sr} \mu_j^{r0} + \mu_j^{\nu s} \mu_k^{sr} \mu_k^{r0} + \mu_j^{\nu s} \mu_k^{sr} \mu_i^{r0} + \mu_k^{\nu s} \mu_j^{sr} \mu_j^{r0} + \mu_k^{\nu s} \mu_j^{sr} \mu_i^{r0} \right).$$
(10.16)

As with two-photon absorption, it is again expedient to re-express this general three-photon tensor in a more specific two-level form:

$$\beta_{(ijk)}^{a0} = \frac{1}{3} (E_{a0} - \hbar c p)^{-1} \Big[ (E_{a0} - 2\hbar c p)^{-1} \Big( \mu_i^{a0} d_j d_k + \mu_j^{a0} d_k d_j + \mu_k^{a0} d_i d_k \Big) \\ - (2\hbar c p)^{-1} \Big( \mu_i^{a0} \mu_j^{0a} \mu_k^{a0} + \mu_i^{a0} \mu_k^{0a} \mu_j^{a0} + \mu_j^{a0} \mu_i^{0a} \mu_k^{a0} \Big) \Big].$$
(10.17)

294 The fluorescence signal due to three-photon excitation can now be represented as:

$$I_{\rm flu}^{(3)}(\phi) = \sum_{\xi} K^{(3)} \langle S_{(ijk)l} \overline{S}_{(mno)\,p} T_{(ijk)l} \overline{T}_{(mno)\,p} \rangle, \qquad (10.18)$$

The electric polarization and molecular transition moments are described in terms of fourth rank tensors, where  $S_{(ijk)l}$  and  $\overline{S}_{(ijk)l}$  respectively represent  $e_i^{(\eta)}e_j^{(\eta)}e_k^{(\eta)}$  $\overline{e}_l^{(\eta')}$  and  $\overline{e}_i^{(\eta)}\overline{e}_j^{(\eta)}\overline{e}_k^{(\eta)}e_l^{(\eta')}$ , whilst  $T_{(ijk)l}$  and  $\overline{T}_{(ijk)l}$  correspond to  $\beta_{(ijk)}^{\nu 0}\mu_l^{0\alpha}$  and  $\overline{\beta}_{(ijk)}^{\mu 0}\overline{\mu}_l^{0\alpha}$ , the final index of each again being associated with the one-photon emission. The orientation relative to the input polarization again depends on a multitude of angles, corresponding in this case to the orientations of the transition moments  $\mu^{\nu s}$ ,  $\mu^{sr}$ ,  $\mu^{r0}$ , summed over states *r* and *s*.

## 302 10.3.3 Freely Tumbling Molecules

303 The general results presented so far for the fluorescence output in one-, two- and three-photon induced systems are applicable to systems in which the responsible 304 chromophores have arbitrary orientations with respect to experimentally deter-305 mined input and detection configurations. As such, the derived expressions are 306 already directly applicable to all ordered samples in which individual chromo-307 308 phores are held in a fixed orientation. To address disordered systems it is expedient to secure corresponding results for an opposite extreme - systems of completely 309 random dipole orientation, which represents a set of freely tumbling molecules. To 310 this end, the above results can be subjected to an established, integration free, 311 orientational averaging protocol utilizing isotropic tensors [37–39]. 312

Beginning with the signal for *one-photon* induced fluorescence, Eq. (10.10) exhibits a sum over four separate Cartesian indices. By first uncoupling the molecular and radiation components of the system – achieved by assigning the former to a



molecule-fixed frame of reference and the latter to a laboratory-fixed equivalent – 316 and then performing a fourth-rank average, tensor contractions are effected. All of 317 the ensuing results are then expressible in terms of scalar products between input 318 and output polarization components. In the commonly utilized deployment of 319 plane-polarized input laser light, the polarization vectors are real and the scalar 320 product of any two is concisely summarized by: 321

$$\mathbf{e}^{(-)(\eta)} \cdot \mathbf{e}^{(-)(\eta')} = \delta_{\eta\eta'} + \left(1 - \delta_{\eta\eta'}\right) \cos\phi , \qquad (10.19)$$

where  $\phi$  is the angle between the input and output polarization vectors. The final 322 result for the orientationally averaged fluorescence output emerges in terms of  $\phi$  as; 323

$$I_{\rm flu}^{(1)}(\phi) = \frac{K^{(1)}}{30} \left[ \left( T_{\lambda\lambda} \overline{T}_{\mu\mu} + T_{\lambda\mu} \overline{T}_{\mu\lambda} \right) \left( 3\cos^2\phi - 1 \right) - \left( 2T_{\lambda\mu} \overline{T}_{\lambda\mu} \right) \left( \cos^2\phi - 2 \right) \right], \tag{10.20}$$

involving three molecular invariants,  $T_{\lambda\lambda}\overline{T}_{\mu\mu}$ ,  $T_{\lambda\mu}\overline{T}_{\mu\lambda}$  and  $T_{\lambda\mu}\overline{T}_{\lambda\mu}$  – whose form and 324 means of characterization, using linear and circular polarizations, were first iden-325 tified in pioneering work by McClain [40, 41]. For this case of one-photon induced 326 fluorescence, it is further possible to express the molecular tensors in Eq. (10.20) 327 relative to the magnitude of the molecular transition moments  $\mu^{\nu 0}$  and  $\mu^{0\alpha}$ , and the 328 angle between them,  $\beta$ , such that:

$$I_{\rm flu}^{(1)}([\phi],[\beta]) = \frac{K^{(1)} |\mathbf{\mu}^{\nu 0}|^2 |\mathbf{\mu}^{0\alpha}|^2}{30} \left[ (3\cos^2\phi - 1) (2\cos^2\beta) - 2(\cos^2\phi - 2) \right],$$
(10.21)

where the identities  $T_{\lambda\lambda}\overline{T}_{\mu\mu} = T_{\lambda\mu}\overline{T}_{\mu\lambda} = |\mathbf{\mu}^{\nu0}|^2 |\mathbf{\mu}^{0\alpha}|^2 \cos^2\beta$ , and  $T_{\lambda\mu}\overline{T}_{\lambda\mu} = |\mathbf{\mu}^{\nu0}|^2$  330  $|\mathbf{\mu}^{0\alpha}|^2$  apply. Resolving Eq. (10.21) for fluorescence components parallel or per- 331 pendicular to the input polarization leads to the familiar degree of *fluorescence* 332 *anisotropy* for a randomly oriented sample [21, 22]. 333

To resolve the corresponding anisotropy equations for two- and three-photon 334 induced fluorescence invokes identical methods based on sixth- and eighth-rank 335 averages [42], respectively. From a detailed analysis of the results, it emerges that 336 the equations determining the multiphoton fluorescence response prove expressible 337 in a relatively simple, generic form: 338

$$I_{\rm flu}^{(n)}(\phi) = K^{(n)} \Big[ \Lambda^{(n)} \big( 3\cos^2\phi - 1 \big) - \Upsilon^{(n)} \big( n\cos^2\phi - (n+1) \big) \Big], \qquad (10.22)$$

with both  $\Lambda^{(n)}$  and  $\Upsilon^{(n)}$  each representable as a sum of distinct molecular invariants. 339 While the detailed form of such invariants is reported elsewhere [43], it should be 340 noted that an inherent summation in each over accessible virtual intermediate states 341

precludes the possibility of further simplifying the above result by attempting to factorize out the absorption and emission transition moments, as was achieved for Eq. (10.21), without introducing further assumptions that would compromise the generality of the outcome.

These generic results represent tools that can be applied in the analysis of 346 polarization-determined features in two- and three-photon fluorescence from sam-347 ples of considerable molecular complexity. By determining how either type of 348 multiphoton-induced fluorescence signal responds to the orientation of a polarizer, 349 it is in principle possible to distinguish and quantify any departure from local 350 orientational order or disorder within a bulk sample. Key to this discrimination is 351 the difference in angular disposition of the fluorescence polarization. In samples 352 whose chromophores are rigidly oriented, the fluorescence signal from an ensemble 353 with common orientation takes the form of a  $\cos^2$  distribution with respect to the 354 angle  $\theta$  between the emission moment and the resolved polarization. On rotation of 355 the polarizer through 180° there will be an angle at which the signal is extinguished 356 - both for single- and multi-photon induced fluorescence. However, as will be 357 shown, the behaviour from a randomly oriented sample is in general distinctively 358 different. 359

To proceed, it is helpful to cast the general result that determines the multiphoton fluorescence signal, in the following form:

$$I_{\rm flu}^{(n)}(\phi) = K^{'(n)} \left[ n + 1 - y + (3y - n)\cos^2\phi \right], \qquad (10.23)$$

where  $K'^{(n)} = K^{(n)}\Upsilon^{(n)}$ ,  $y = \Lambda^{(n)}/\Upsilon^{(n)}$ . The latter parameter is a scalar that charac-362 terizes the relative values of the molecular invariant groupings in Eq. (10.22). 363 Although the precise value of y will depend on the component values of the 364 365 transition tensors, it can be shown that it is positive and limited to an upper bound of (n+1). Figure 10.1 exhibits the functional form of the fluorescence 366 single-photon polarization, for induced fluorescence, over the 367 range  $(0 \le \phi \le \pi/2)$  – results of identical form but different scale have also been 368 recorded for the multiphoton processes. Each graph shows the behavior for differ-369 ent values of y; the "magic angle condition" represented as the point at which the 370 curves for all different values of y intersect. The curve for 3y/n = 0.1, for example, 371  $\Lambda^{(n)} \ll \Upsilon^{(n)}$ , characterized by strongly represents an extreme condition, 372 depolarized emission. On the other hand the case 3y/n = 1.0 is of special interest 373 because the fluorescence proves to be independent of the resolving polarization, 374 thus representing a condition under which the fluorescence produced through the 375 concerted absorption of any number of photons becomes completely unpolarized. 376 Results for 3y/n = 3.0 are perhaps the most interesting, being indicative of the 377 statistically most likely outcome. This condition arises when, within the general 378 result, all featured molecular invariants are of approximately equal value. It is 379 remarkable that this condition leads in every case to  $\Lambda^{(n)}/\Upsilon^{(n)} = y = n$ . Here, there 380 is a strong retention of polarization, the corresponding emission anisotropies r381

 $_{382} = (I_{\parallel} - I_{\perp})/(I_{\parallel} + 2I_{\perp})$  proving to conform to the simple formula

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r = 2n/(2n + 3) and yielding the following specific values: (i) n = 1; r = 2/5 = 0.4, 383 the familiar one-photon result; [21] also (ii) for two-photon excitation, n = 2; r = 4/ 384 7 = 0.57; (iii) for the three-photon case n = 3; r = 6/9 = 0.67. These limiting results 385 are in precise agreement with the values that arise specifically when all transition 386 moments are considered parallel, a special case originally considered and reported 387 by Lakowicz et al. [44] 388

The correlation serves to verify a limiting case of the present, more general 389 results – but it is also notable that the conditions under which such behavior arises 390 are not only associated with parallel transition moments. The same observations 391 will result, for example, if all of the molecular transition tensor elements have 392 similar values. In conclusion, the considered cases all satisfy the condition that the 393 ratio of maximum and minimum fluorescence intensities  $I_{\min}^{(n)}/I_{\max}^{(n)}$  lies in the interval 394 [0, 1/(2n+1)]. It is worth recalling that rotation of the resolving polarizer can 395 entirely extinguish the fluorescence from an orientationally perfectly ordered sam-396 ple or domain. This suggests that in a general case the measured value of  $I_{\min}^{(n)}/I_{\max}^{(n)}$ 397 registered against the scale [0, 1/(2n+1)] should represent a robust, easily deter-398 mined single-value indicator of the degree of disorder in fluorescence produced by 399 *n*-photon excitation. 400

### 10.4 Laser-Controlled Fluorescence

Using the same quantum formalism, a completely novel development in relation to 402 fluorescence is now to be discussed. Its background is the well-known fact that the 403 throughput of a laser beam into a photo-activated system may produce stimulated 404 emission when the laser frequency matches the fluorescence energy -a phenome-405 non that has found analytical applications, for example, in the recently Nobel Prize 406 winning technique of stimulated emission depletion spectroscopy [45–51]. How- 407 ever, outside of stimulated emission, it has further emerged that a moderately 408 intense, off-resonant laser beam may significantly alter the rate and intensity of 409 fluorescence [52–55]. Under these circumstances, the probe laser essentially con- 410 fers optical nonlinearity onto the fluorescent emission – and consequently, each 411 excited-state lifetime is appreciably modified. One may draw analogies with the 412 well-known enhancement of optical emission through its coupling with a plasmonic 413 surface [56–65]. However, this novel development modifies spontaneous fluores- 414 cent emission through direct interaction with the oscillating electric field of 415 throughput radiation, without the presence of any surface. With initial estimates 416 suggesting that conventional fluorescence lifetimes could be reduced by 10 % or 417 more [52], for input beam intensities in the  $10^{15}$  W m<sup>-2</sup> range, such a prospect is 418 readily amenable to measurement with modulation-based instrumentation - and it 419 also affords a new means of exerting control over the fluorescence process. 420

421 In the following summary of theory, the effects of laser - controlled emission on fluorescence anisotropy are determined for a system of randomly oriented chromo-422 phores. It is also shown that a two-level formulation of theory leads to relatively 423 tractable expressions with a broad validity extending from quantum dots [11, 12, 424 14] to fluorescent proteins [13, 15-18] - indeed any material whose emission 425 spectrum is dominated by one excited electronic state. A limiting case is then 426 considered in which fluorescence arises solely through activation by the off – 427 resonant input. First, outside of these two – level considerations, the mechanism 428 of laser-controlled fluorescence is to be more fully described. 429

### 430 10.4.1 The Mechanism

We first return to the well-established tenet that the theory of single-photon 431 emission from any individual chromophore, since it involves a single matter-432 radiation interaction, is cast in terms of first - order time-dependent perturbation 433 theory. In cases where no other light is present - as is the case in normal experi-434 ments, once the radiation responsible for initial electronic excitation has traversed 435 the system – then higher order (odd-rank) perturbation terms are insignificant, and 436 only denote self-energy corrections. However, higher-order interactions of much 437 greater significance can occur on the application of an off-resonant probe laser, *i.e.* 438 a beam whose wavelength lies within a transparent region of the chromophore. 439 Although no net absorption or stimulated emission of the beam then occurs, elastic 440 forward-scattering events are present – namely, photons are annihilated and created 441 into the same radiation mode (the latter photon emerging as if unchanged from the 442 former). Through nonlinear coupling, such events may directly engage with the 443 fluorescent emission in a mechanism comprising *three* concerted matter-radiation 444 interactions (Fig. 10.2), *i.e.* a process that has to be described using third-order 445 perturbation theory. In passing, it is noteworthy that the off-resonant probe beam 446 produces similar effects in connection with resonance energy transfer [66–68] 447 (Fig. 10.3). 448

The intensity of fluorescence  $I'(\Omega')$  (or power per unit solid angle) is determined by multiplying the Fermi Rule of Eq. (10.5) by the energy of a fluorescent photon,  $\hbar\omega' \equiv \hbar ck'$  [69, 70], and it signifies the single-molecule fluorescence signal that follows relaxation from the relevant excited state. By including laser-controlled fluorescence, the net intensity is found from  $I'(\Omega') d\Omega' = 2\pi\rho ck' |M_{flu}^{(1)} + M_{flu}^{(3)}|^2$ , where  $M_{flu}^{(1)}$  and  $M_{flu}^{(3)}$  are the quantum amplitudes for first – and third – order fluorescent processes, respectively, and the density of radiation states is  $\rho = (k'^2 V/8\pi^3\hbar c) d\Omega'$ . As determined elsewhere [53], the following general result is derived from this Fermi-related expression;





**Fig. 10.2** Angular disposition of polarization in fluorescence produced by single-photon absorption (n = 1): *blue* (*dotted*) curve 3y/n = 0.1; *red* (*dashed*) curve 3y/n = 1; *black* (*solid*) curve 3y/n = 3



Fig. 10.3 Energy level representation for: (a) spontaneous and (b) nonlinear coupling mechanisms for fluorescence. Electronic states (and their vibrational manifolds) are signified by boxes, where  $E_0$  and  $E_\alpha$  are correspondingly the ground and excited molecular states. Wavy lines indicate photon propagation and the vertical arrow is a decay transition due to the emission. The emitted fluorescence has energy defined by  $\hbar\omega'$ , while photons of the off-resonant laser beam are of energy  $\hbar\omega$ . The yellow dot symbolizes a single matter-radiation interaction and the white dot represents two such interactions (i.e. elastic forward-scattering)

$$I'(\mathbf{\Omega}') = \left(\frac{ck^{'4}}{8\pi^2\epsilon_0}\right) \left[e_i'e_j'\mu_i^{0\alpha}\overline{\mu}_j^{0\alpha} + (I/c\epsilon_0)e_ie_je_k'e_l\chi_{ijk}^{0\alpha}(\omega'; -\omega, \omega)\overline{\mu}_l^{0\alpha} + (I^2/4c^2\epsilon_0^2)e_ie_je_k'e_le_me_n'\chi_{ijk}^{0\alpha}(\omega'; -\omega, \omega)\overline{\chi}_{lmn}^{0\alpha}(\omega'; -\omega, \omega)\right],$$
(10.24)

where *I* is the irradiance of the laser probe, and **e** now represents the polarization 458 vector of the probe, off-resonant photons with energy  $\hbar ck$ . The first term corre-459 sponds to spontaneous emission, intrinsic to the system and independent of the 460 probe laser beam, while the last term signifies a coupling of the elastically forward – 461 scattered probe beam with the fluorescence emission. The middle term, linear in *I*, 462



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signifies a quantum interference of these two concurrent processes. In general, it is assumed that the leading term in Eq. (10.24) is non – zero and the second one is the leading correction – although a circumstance can be arise in which solely the third term exists, *i.e.* when the first and second terms are null (this is discussed in Sect. 10.4.3). Continuing, the sum-over-states form of the third-order nonlinear optical 'transition hyperpolarizability' tensor  $\chi_{ijk}^{0\alpha}(\omega'; -\omega, \omega)$ , explicitly exhibiting the frequency dispersion, is as follows;

$$\chi_{ijk}^{0\alpha}(\omega';-\omega,\omega) = \sum_{r} \sum_{s\neq\alpha} \left( \frac{\mu_{k}^{0s} \mu_{j}^{sr} \mu_{i}^{r\alpha}}{\widetilde{E}_{s\alpha}(\widetilde{E}_{r\alpha}-\hbar\omega)} + \frac{\mu_{k}^{0s} \mu_{i}^{sr} \mu_{j}^{r\alpha}}{\widetilde{E}_{s\alpha}(\widetilde{E}_{r\alpha}+\hbar\omega)} \right) + \sum_{r} \sum_{s} \left( \frac{\mu_{j}^{0s} \mu_{k}^{sr} \mu_{i}^{r\alpha}}{\left(\widetilde{E}_{s\alpha}-\hbar\omega+\hbar\omega'\right)\left(\widetilde{E}_{r\alpha}-\hbar\omega\right)} + \frac{\mu_{i}^{0s} \mu_{k}^{sr} \mu_{j}^{r\alpha}}{\left(\widetilde{E}_{s\alpha}+\hbar\omega+\hbar\omega'\right)\left(\widetilde{E}_{r\alpha}+\hbar\omega\right)} \right) + \sum_{r\neq0} \sum_{s} \left( \frac{\mu_{j}^{0s} \mu_{i}^{sr} \mu_{k}^{r\alpha}}{\left(\widetilde{E}_{s\alpha}-\hbar\omega+\hbar\omega'\right)\left(\widetilde{E}_{r\alpha}+\hbar\omega'\right)} + \frac{\mu_{i}^{0s} \mu_{j}^{sr} \mu_{k}^{r\alpha}}{\left(\widetilde{E}_{s\alpha}+\hbar\omega+\hbar\omega'\right)\left(\widetilde{E}_{r\alpha}+\hbar\omega'\right)} \right).$$
(10.25)

The tildes serve as a reminder to add to the excited state energies, in the case of near-resonance conditions, imaginary terms to accommodate line-shape and damping. With reference to later comments, it is worth noting here that there is no assumption of Kleinman symmetry [71] at this stage – this being a simplifying device, commonly made for calculational expediency that would impose complete index symmetry for such a tensor.

Considering the dependence of the fluorescence signal on the optical frequency 476 of the probe, it is evident that the denominators within the transition hyperpolar-477 izability tensor of Eq. (10.25) have an important role in determining any degree of 478 enhancement or suppression of the fluorescence emission. These factors are depen-479 dent on the relative spacing of the chromophore energy levels relative to the 480 magnitude of the probe photon energy. It is convenient to assume that the probe 481 light is delivered in the form of a tunable beam with optical frequency  $\omega < \omega'$ , a 482 condition that specifically precludes single-photon excitation of ground-state mol-483 ecules. It will also be assumed that the chosen range of probe frequencies cannot 484 485 produce multiphoton excitation.

The main challenge in evaluating the nonlinear response characterized by the transition tensors within Eq. (10.24) now lies with implementing the required sum over intermediate states. As mentioned earlier, it is fully justifiable to consider only states in which the majority of the optical transitions occur, *i.e.* to employ a two-state model. Limiting the intermediate states of Eq. (10.25) to just  $|0\rangle$  and  $|\alpha\rangle$ restricts the transition sequences from excited to ground states that progress through *r* and *s*. Applying the prescription described in Sect. 10.2 to the six terms of



Eq. (10.25) produces a two-level hyperpolarizability tensor that is generally 493 expressible as a sum of twelve separate contributions. Further simplification ensues 494 because a number of these terms, for which r = 0 and/or  $s = \alpha$ , are precluded by the 495 conditions of perturbation theory, namely the exclusion of virtual states that equate 496 to the initial or final state. The two-state form of  $\chi_{ijk}^{0\alpha}(\omega'; -\omega, \omega)$  thus re-emerges as: 497 [55]

$$\chi_{ijk}^{0\alpha}\left(\omega'; -\omega, \omega\right) = \frac{2}{\hbar^2} \frac{\mu_j^{0\alpha} \mu_k^{0\alpha} \mu_i^{0\alpha}}{\left(\omega^2 - \omega'^2\right)} + \frac{\mu_j^{0\alpha} d_i d_k}{\hbar^2 \omega \omega'} - \frac{\mu_i^{0\alpha} d_j d_k}{\hbar^2 \omega \omega'} .$$
(10.26)

It may be observed that the second and third terms on the right in Eq. (10.26) exhibit 499 an antisymmetry with respect to interchange of the indices *i* and *j*. However, in the 500 physical observable delivered by Eq. (10.24), this tensor is index-contracted with a 501 *i*,*j*-symmetric product of polarization vectors. Consequently, since only the *i*,*j*- 502 symmetric part of Eq. (10.26) can contribute to the fluorescence signal, it is 503 expedient to replace  $\chi_{ijk}^{0\alpha}(\omega'; -\omega, \omega)$ , without further approximation, by an indexsymmetrised form,  $\chi_{(ij)k}^{0\alpha}(\omega'; -\omega, \omega)$  that is defined as follows: 505

$$\chi^{0\alpha}_{(ij)k}\left(\omega';-\omega,\omega\right) \equiv \frac{1}{2} \left(\chi^{0\alpha}_{ijk}\left(\omega';-\omega,\omega\right) + \chi^{0\alpha}_{jik}\left(\omega';-\omega,\omega\right)\right) = \frac{2}{\hbar^2} \frac{\mu^{0\alpha}_i \mu^{0\alpha}_j \mu^{0\alpha}_k}{\left(\omega^2 - \omega'^2\right)}.$$
(10.27)

It is notable that the expression on the right is, in fact, fully index-symmetric, 506 therefore the two-level model delivers a result that is consistent with the adoption of 507 Kleinman symmetry – even though the latter condition (a simplification that is often 508 effected in other realms of nonlinear optics) has not been artificially imposed. 509 Furthermore, there is a significant physical consequence; it emerges that the 510 mechanism for the laser-controlled emission depends only on the transition dipole, 511 and not on the static moments. 512

# 10.4.2 Effects of the Probe Beam on the Fluorescence513Anisotropy514

As discussed earlier, a great deal of information that is highly relevant to speciation 515 and structure determination can be derived from fluorescence anisotropy. Specifically, the anisotropy parameters normally signify the degree to which fluorescence 517 retains a directionality of polarization from the initial excitation – see for example 518 chapter 7 of the classic text by Valeur [22]. The associated experimental measurements can also inform on excited state photophysical processes such as internal 520 conversion, hindered rotation, rotational diffusion, intramolecular energy transfer 521 tec. Each of these processes represents one means by which the fluorescent emission can exhibit properties quite different from the preceding absorption – quite apart from the Stokes shift in wavelength that is normally apparent. The former processes all provide situations in which the emission dipole moment need not be parallel to the absorption moment. To accommodate such features in the present theory, the initial absorption must again be incorporated into our analysis. Since the probe beam is only delivered to the system after the initial excitation, we have:

$$\left\langle I'\left(\Omega'\right)\right\rangle \sim \left\langle \left|M_{abs}^{(1)}\right|^2 \left|M_{flu}^{(1)} + M_{flu}^{(3)}\right|^2 \right\rangle,$$
 (10.28)

an expression established under identical conditions, regarding the separation of 529 excitation and emission processes, as was employed in the derivation of the 530 fluorescent signal presented earlier as Eq. (10.7). The anisotropy is now determined 531 from the general expression  $r' = \left( \left\langle I'_{\parallel} \right\rangle - \left\langle I'_{\perp} \right\rangle \right) / \left( \left\langle I'_{\parallel} \right\rangle + 2 \left\langle I'_{\perp} \right\rangle \right)$ , where  $\left\langle I'_{\parallel} \right\rangle$  and 532  $\langle I_{\perp}^{'} 
angle$  are the components of fluorescence intensity polarized parallel and perpen-533 dicular, respectively, to the electric polarization vector of the initial excitation beam 534 - the initial absorption is proportional to  $\mathbf{e}_0 \cdot \mathbf{\mu}^{\nu 0}$ , where  $\mathbf{e}_0$  represents the input 535 polarization vector aligned by definition to the z – direction. 536

For initial purposes it is assumed, as will often be the case, that the third contribution to the fluorescence signal in Eq. (10.24) is negligibly small. Duly considering the first two terms, the rotationally averaged fluorescence output from a two-level molecular system is determined and can be expressed as follows, explictilly cast in terms of the three distinct angles between each pair of polarization vectors, for the incident, off-resonant probe and emitted light:  $\theta = \cos^{-1}(\mathbf{e}_0 \cdot \mathbf{e})$ ,  $\varphi = \cos^{-1}(\mathbf{e} \cdot \mathbf{e}')$  and  $\phi = \cos^{-1}(\mathbf{e}_0 \cdot \mathbf{e}')$ :

$$\langle I'(\Omega') \rangle = K^{(1)} [T_{ii}\overline{T}_{jj}(3\cos^2\phi - 1) + T_{ij}\overline{T}_{ij}(-\cos^2\phi + 2) \\ + \frac{I}{7c\epsilon_0} (T_{i(ijj)}\overline{T}_{kk}(6\cos\theta\cos\varphi\cos\phi - 2\cos^2\theta - 2\cos^2\varphi + 5\cos^2\phi - 1) \\ + T_{i(ijk)}\overline{T}_{jk}(6\cos\theta\cos\varphi\cos\phi + 5\cos^2\theta - 2\cos^2\varphi - 2\cos^2\phi - 1) \\ + T_{i(jjk)}\overline{T}_{ik}(-4\cos\theta\cos\varphi\cos\phi - \cos^2\theta + 6\cos^2\varphi - \cos^2\phi + 3))].$$

$$(10.29)$$

In this expression, the first two terms signifying the expected response have a form identical to Eq. (10.20) under the condition that  $T_{\lambda\lambda}\overline{T}_{\mu\mu} = T_{\lambda\mu}\overline{T}_{\mu\lambda}$ , which is always true under the standard assumption that the inherent electric dipole moments are real.

The higher order contributions in the above Eq. (10.29) represent the lead corrections produced by the probe laser. The expression continues the established shorthand notation that represents transition moment products in terms of secondand fourth-rank molecular tensors, within each of which the first index is associated with the initial molecular excitation. In deriving specific results for independent



polarization components, further simplification can be achieved by writing each of 553 the above molecular tensors explicitly in terms of components of the two transition 554 dipole moments, the photo-selected  $\mu^{\nu 0}$  and the emission  $\mu^{0\alpha}$ . Following the 555 introduction of  $\beta$  as the angle between these two dipoles, the fluorescence is readily 556 resolved for polarizations  $\mathbf{e}'$  in the *z* – and *x*-directions, respectively. The results are 557 given as follows for  $\phi = 0$ ,  $\varphi = \pi/2$ ,  $\theta = \pi/2$ ; 558

$$\left\langle I_{\parallel}^{'}(\Omega^{'})\right\rangle = K^{(1)} |\boldsymbol{\mu}^{0\alpha}|^{2} |\boldsymbol{\mu}^{\nu 0}|^{2} \left[ 2\cos^{2}\beta + 1 + \frac{I |\boldsymbol{\mu}^{0\alpha}|^{2} (\cos^{2}\beta + 2)}{7\epsilon_{0}\hbar^{2}c(\omega^{2} - {\omega^{'}}^{2})} \right], \quad (10.30)$$

and for  $\phi = \pi/2$ ,  $\varphi = 0$ ,  $\theta = \pi/2$ :

$$\left\langle I'_{\perp} \left( \Omega' \right) \right\rangle = K^{(1)} \left| \boldsymbol{\mu}^{0\alpha} \right|^2 \left| \boldsymbol{\mu}^{\nu 0} \right|^2 \left[ 2 - \cos^2 \beta + \frac{3I \left| \boldsymbol{\mu}^{0\alpha} \right|^2 (3 - 2\cos^2 \beta)}{7\varepsilon_0 \hbar^2 c \left( \omega^2 - {\omega'}^2 \right)} \right].$$
(10.31)

Hence, upon substitution of Eqs. (10.30) and (10.31) into the general anisotropy 560 expression, it is found that: 561

$$r' = \frac{3\cos^2\beta - 1 + KI |\mathbf{\mu}^{0\alpha}|^2 (\cos^2\beta - 1)}{5 + KI |\mathbf{\mu}^{0\alpha}|^2 (20 - 11\cos^2\beta)/7} , \qquad (10.32)$$

where  $K = \left(\varepsilon_0 \hbar^2 c \left(\omega^2 - \omega'^2\right)\right)^{-1}$ . In the limiting case I = 0 the well – known sequence  $r' = (1/5)(3\cos^2\beta - 1)$  for conventional fluorescence is recovered. Sequence and the seque

## 10.4.3 Configuration for higher order effects

Up until now, the nonlinear contribution to the fluorescence output (the  $I^2$  quadratic 568 term) has not been considered in detail. Nevertheless, there are circumstances in 569 which such a term alone provides the fluorescence response, *i.e.* when the first and 570 second terms of Eq. (10.24) are null. Addressing such a case requires progression 571 beyond the two-level approximation, so that higher energy levels are accommo-572 dated. Consider, for instance, a system where (following optical excitation) the 573 electronic population is efficiently transferred to a state  $|\alpha\rangle$  that would normally 574 decay non-radiatively, if transitions from  $|\alpha\rangle$  to  $|0\rangle$  are weak or entirely precluded – 575 as, for example, through inherent geometric or symmetry constraints. In such a 576 situation, terms that feature the transition dipole  $\mu^{0\alpha}$  in Eq. (10.24) do not 577

559



contribute: radiative emission only occurs in a response to the off-resonant through-put beam, in a three-photon allowed transition.

Such a configuration may provide the basis for an all-optical molecular switch, 580 whose operation would be as follows: (i) a molecule is indirectly excited to a 'dark' 581 state (*i.e.* one whose direct dipolar excitation from the ground state is forbidden); 582 (ii) precluded by the one-photon dipole selection rules, fluorescence from the 'dark' 583 state occurs on application of the probe beam only; (iii) this activation of the 584 emission occurs for molecular transitions that are three – photon allowed, but 585 single-photon forbidden – examples are afforded by excited states of  $A_2$  symmetry, 586 in molecules of  $C_{2v}$  or  $C_{3v}$  symmetry, or states of  $A_u$  symmetry in  $D_{2h}$  species. In 587 summary, switching action occurs since the throughput and absence of the input 588 laser results in activation and deactivation of the fluorescence, respectively. Prac-589 tically, it will be necessary for the radiation to be delivered in a pulse whose 590 duration and delay, both with respect to the initial excitation, are sufficiently 591 short that it can engage with the system before there is significant non – radiative 592 dissipation of the excited state. For fluorescence output of this type, an eighth-rank 593 rotational average will lead to the following expression: 594

$$\langle I'(\Omega') \rangle = \left(\frac{I^2}{84c^2\varepsilon_0{}^2}\right) K^{(1)} \left[3T_{i(ijj)}\overline{T}_{k(kll)}(3\cos^2\theta\cos^2\varphi - \cos^2\theta - \cos^2\varphi + \cos^2\phi) + 6T_{i(ijk)}\overline{T}_{j(kll)}(6\cos\theta\cos\varphi\cos\phi - \cos^2\theta - 2\cos^2\varphi - 2\cos^2\phi + 1) + 3T_{i(ijk)}\overline{T}_{l(jkl)}(\cos^2\theta\cos^2\varphi - 4\cos\theta\cos\varphi\cos\phi + 5\cos^2\theta + \cos^2\varphi + 4\cos^2\phi - 3) + 3T_{i(jjk)}\overline{T}_{i(kll)}(-\cos^2\theta\cos^2\varphi - 4\cos\theta\cos\varphi\cos\phi + \cos^2\theta + \cos^2\theta + 5\cos^2\varphi + \cos^2\phi - 1) + T_{i(jkl)}\overline{T}_{i(jkl)}(-\cos^2\theta\cos^2\varphi + 4\cos\theta\cos\varphi\cos\phi - 5\cos^2\theta + \cos^2\varphi - 4\cos^2\phi + 7)].$$

(10.33)

Here, the **T** tensors accommodate summation over products of transition dipole moments that specifically exclude  $\mu^{0\alpha}$ , since decay transitions are symmetryforbidden under one – photon selection rules. However, for simplicity, we retain the assumption of Kleinman index symmetry in the embedded  $\chi$  tensor (corresponding to the last three indices in each **T**).

For completeness, one may determine an entirely general result for the lasermodified fluorescence anisotropy. Such an expression accommodates all the terms of Eq. (10.24), including the higher-order contributions that are usually negligible (outside of the mentioned model), and is given by:

$$r' = \frac{3\cos^2\beta - 1 + KI |\boldsymbol{\mu}^{0\alpha}|^2 (\cos^2\beta - 1) + (K^2 I^2 |\boldsymbol{\mu}^{0\alpha}|^4 / 21) (15\cos^2\beta - 17)}{5 + (KI |\boldsymbol{\mu}^{0\alpha}|^2 / 7) (20 - 11\cos^2\beta) + (K^2 I^2 |\boldsymbol{\mu}^{0\alpha}|^4 / 21) (43 - 30\cos^2\beta)}.$$
(10.34)



In cases where the absorption and emission transition moments are parallel or antiparallel, we may then secure the simpler result: 606

$$r' = \frac{42 - 2K^2 I^2 |\boldsymbol{\mu}^{0\alpha}|^4}{105 + 27KI |\boldsymbol{\mu}^{0\alpha}|^2 + 13K^2 I^2 |\boldsymbol{\mu}^{0\alpha}|^4}.$$
 (10.35)

With increasing intensity of the probe beam, the first departures from the laser-free 607 result, r' = 0.4, can be anticipated in the linear-response regime. In fact, it is evident 608 from Taylor series expansions of both Eqs. (10.34) and (10.35) that a plot of the 609 measured anisotropy against *I* will exhibit a monotonic reduction taking the form 610  $r' \approx \frac{1}{5}(3\cos^2\beta - 1)(1 - \eta I)$ , whose constant of proportionality  $\eta$  can be interpreted 611 in terms of the transition moments. Beyond the proposed model to optically switch 612 'on' and 'off' fluorescent emission using an off-resonance probe beam, the capacity 613 to engage with and to optically control fluorescence also offers significant new 614 grounds for the interrogation of fluorescent materials.

### 10.5 Multi-emitter Fluorescence

Until this point, fluorescence from an isolated single-molecule source has been 617 considered. Whilst there are some imaging applications of fluorescence that resolve 618 the emission of individual emitters, most fluorescence studies detect signals created 619 through the uncorrelated emission from numerous fluorophores. Of course, optical 620 processes can be appreciably modified by the presence of neighboring material – for 621 example secondary co-doped chromophores – if they are in close proximity [72– 622 75]. It is also known that plasmonic interactions of molecules coupled with a 623 metallic nanoantenna may alter their rate of fluorescent emission [76–83]. However, 624 in most fluorescence studies the net response from a system of emitters can be 625 assumed to be representative of the mean signal from each component.

There are, nonetheless, conditions where such implicit reliance on the ergodic 627 theorem fails at the quantum level, namely when two or more active fluorophores 628 are cooperatively involved in each individual photon emission. To account for the 629 effects of neighboring *molecules*, the focus of this section is the correlated fluores- 630 cence from molecular sources that experience mutual interactions. In contrast to the 631 fluorescence phenomena considered in the previous sections, it is most appropriate 632 for the emitters to now be considered immobile and locally correlated in position, as 633 for example would be the case for fluorophores that are surface-adsorbed – or 634 indeed surface-functionalized. Clearly, results will be dependent on the displace- 635 ment of the detector from the emitter pair, the relative dipolar orientation of the 636 nanoemitters and detector. 638

It transpires that novel electrodynamic mechanisms can operate between such 639 electromagnetically coupled sources of emission. To begin, theory is developed for 640

fluorescent emission from a pair of nanoemitters, and the effect of a phase difference between the excited state wavefunctions of the pair is considered. This possibility, as will emerge, proves to be of substantial importance when the number of nanoemitters is greater than two. More specialized systems, involving three or more nanoemitters, then afford a basis for generating optical vortex radiation, *i.e.* a form of 'twisted' light that involves an azimuthal progression of phase around a singularity.

### 648 10.5.1 Emission from a Nanoemitter Pair

Consider a system in which two molecular nanoemitters A and B in close proximity 649 are so placed that significant electromagnetic coupling occurs between them. There 650 are three distinct types of fluorescence that can occur, in which this coupling can 651 manifest features that differ from the fluorescence of either individual component: 652 (a) single – photon emission from an individual excited molecule whose states are 653 influenced by electromagnetic coupling with its neighbor; (b) single – photon 654 excitonic emission from the pair, in which a single initial electronic excitation is 655 delocalized across both molecules; (c) correlated two-photon emission from the 656 pair, in which both emitters are initially electronically excited [84]. To fulfil the 657 initial conditions for the latter, third case is experimentally more demanding, and 658 the phenomenon also presents less novelty; accordingly, we here focus on the first 659 two scenarios. 660

First, for case (*a*) we consider the effects of fluorescent emission of a photon of energy  $\hbar \omega = \hbar ck$ , from a molecule labelled *A* under the influence of coupling with a neighbor *B*. To correctly account for features in the near-field, where some of the most distinctive features can be expected to arise, it will be helpful to begin by considering the full system to comprise three elements, one of which is a light detector, *D* (Later, explicit reference to such a device is removed for simplicity.) The matrix element for this system is represented by:

$$M^{A} = M^{DA} + M^{DAB} + M^{DBA} + M^{BDA}.$$
 (10.36)

Here, the excitation is localized on molecule *A* prior to emission, so that the leadingterm represents direct coupling (photon propagation) between *A* and the detector,independent of the second emitter. This case is more concisely expressed as:

$$M^{DA} = \mu_i^{\nu 0}(D) V_{ij}(k, \mathbf{R}_{DA}) \mu_j^{0\alpha}(A), \qquad (10.37)$$

where  $\mathbf{R}_{DA}$  is the displacement between *D* and *A* and  $V_{ij}$  represents a second-rank intermolecular coupling tensor, acting between the electronically excited emitter and ground state detector in this instance, which is written as:

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$$V_{ij}(k, \mathbf{R}_{DA}) = \frac{\exp(ikR)}{4\pi\epsilon_0 R^3} \left[ (1 - ikR) \left( \delta_{ij} - 3\hat{R}_i \hat{R}_j \right) - k^2 R^2 \left( \delta_{ij} - \hat{R}_i \hat{R}_j \right) \right].$$
(10.38)

Written in this form, the matrix element accommodates both near- and far-field 674 limits as asymptotes  $kR_{DA} \ll 1$  and  $kR_{DA} \gg 1$ , respectively. The remaining higher- 675 order (*B*-dependent) terms in Eq. (10.36) correspond to different combinations of 676 the interacting nanoemitters and the detector, and relate to the following matrix 677 element contributions: 678

$$M^{DAB} = \mu_i^{\nu 0}(D) V_{ij}(k, \mathbf{R}_{DA}) \alpha_{jk}^{0\alpha}(A, -k; 0) V_{kl}(0, \mathbf{R}_{AB}) \mu_l^{00}(B),$$
  

$$M^{DBA} = \mu_i^{\nu 0}(D) V_{ij}(k, \mathbf{R}_{DB}) \alpha_{(jk)}^{00}(B, -k; k) V_{kl}(k, \mathbf{R}_{AB}) \mu_l^{0\alpha}(A),$$
  

$$M^{BDA} = \mu_i^{00}(B) V_{ij}(0, \mathbf{R}_{DB}) \alpha_{jk}^{\nu 0}(D, k; 0) V_{kl}(k, \mathbf{R}_{DA}) \mu_l^{0\alpha}(A),$$
(10.39)

which feature both the index-symmetric molecular polarizability  $\alpha_{(jk)}^{00}$  and  $_{679}$  non-index symmetric forms of the two-photon tensor defined by Eq. (10.12). In 680 principle, all four terms of Eqs. (10.37) and (10.39) contribute to  $M^A$ , as each 681 connects the same initial and final system states. Since it is the modulus square of 682  $M^A$  that relates to the observable rate of fluorescence (using Fermi's rule), the result 683 will clearly include cross-terms signifying quantum interference between the var-684 ious contributions. The lead term for the emission rate is the modulus square of 685 Eq. (10.37), and the interferences between  $M^{DA}$  and each of the three higher-order 686 amplitudes ( $M^{DAB}$ ,  $M^{DBA}$  or  $M^{BDA}$ ) will represent significant corrections. The exact 687 nature of the leading correction term is primarily determined by considering the 688 relative positions of the three components, although selection rules and molecular 689 properties also need to be considered since, for example, the appearance of the 690 static electric dipole  $\mu^{00}$  in terms  $M^{DAB}$  and  $M^{BDA}$  means that *B* cannot be non – 691 polar if they are to contribute.

In case (b), significant additional effects may arise as result of delocalization of a 693 single initial excitation across the pair, when both nanoemitters are identical. Such 694 circumstances involve the formation of an exciton, and the corresponding quantum 695 state (which follows the initial excitation) is described by a superposition of two 696 localized-excitation states – in either a symmetric  $|i^+\rangle$  or an antisymmetric  $|i^-\rangle$  697 combination, *i.e.*:

$$\left|i^{\pm}\right\rangle = 2^{-\frac{V_2}{2}} |D_0\rangle (|A_{\alpha}\rangle |B_0\rangle \pm |A_0\rangle |B_{\alpha}\rangle) \tag{10.40}$$

where the subscripts label the excited or unexcited state of each participant chromophore. The nanoemitter pair may undergo fluorescent emission from either of the two excitonic states, and the latter corresponds to the following matrix elements; 701

$$M_{\pm} = 2^{-\frac{1}{2}} \left( M^A \pm M^B \right) \tag{10.41}$$

in which the superscripts designate the effective position of the localized excitation, and the difference in signs is equivalent to introducing a  $\pi$ -phase difference between the two emitters. In situations where molecular coupling is small, the result may be reduced to  $|M^A|^2 + |M^B|^2$  which corresponds to independent (non-interfering) emitters. Otherwise, the fluorescence signals will relate to symmetric,  $|M_+|^2$ , or anti-symmetric,  $|M_-|^2$ , excitonic emission.

Cast in terms of the electric field created from the strongly coupled emitter pair, 709 achieved by excluding  $\mu_i^{\nu 0}(D)$  from the relevant matrix elements (*i.e.* either the 710 symmetric or anti-symmetric case), the distribution in optical phase is found from 711 the argument of the electric field – more detail is given later. Figure 10.4 show plots 712 of the fluorescence emitted from a pair of coupled molecules in symmetric and anti-713 714 symmetric configurations, with the colors representing the optical phase distribution. For the purposes of the graphs, the transition dipole moments of A and B are 715 perpendicular to the exhibited plane. On comparing the two types of pairwise 716 excitonic emission, it is apparent that a nodal plane (corresponding to no fluores-717 cence signal) appears for antisymmetric situations when the detector is equidistant 718 719 from both A and B, which is visibly distinct from symmetric emission. In any case, such contour maps reveal striking departures from the known character of single-720 center emission [85]. 721

### 722 10.5.2 Multi-emitter Systems: Generators of Vortex Light

Given a larger number of identical nanoemitters it is possible, by satisfying certain 723 phase and symmetry constraints, to produce fluorescence whose phase distribution 724 twists around an axis of phase singularity; this is the phenomenon known as an 725 'optical vortex' or 'twisted' beam. Vortex beams, whose existence was first 726 entertained in a series of works [86–89], are characterized by a helical wavefront, 727 based on the azimuthal progression of phase around a singular axis. The production 728 of such beams, which is now experimentally routine, has proven that they convey 729 orbital angular momentum (OAM) - an attribute that is separate from the more 730 familiar spin angular momentum associated with circular polarizations [90]. Ongo-731 ing advances have allowed the quantum nature of such beams to be fully elicited 732 733 [91]. The structure is primarily dependent on the topological charge, *l* (signifying an OAM of  $l\hbar$  per photon) an integer that can be either positive or negative – denoting 734 left- or right-handed gyration, respectively, of the light. A beam with a topological 735 charge *l* has a field distribution in the form of *l* intertwined helices, each completing 736 a turn of  $2\pi$  radians about the axis over a span of l wavelengths [92–94]. Whereas 737 738 the production of vortex light usually involves imparting OAM onto a laser beam with a more common mode structure; [95-102] it has only recently emerged that 739





Fig. 10.4 Plots of the fluorescence measured at the detector, with colors representation of the optical phase distribution. Brightness and color hue relate to the modulus and complex argument of the fields emitted by symmetric (*left*) and antisymmetric (*right*) excitons

such light can indeed be directly produced in the fluorescent decay of a set of 740 coupled nanoemitters, as illustrated in Fig. 10.5 [103–105]. 741

For the sought effect to occur, the electromagnetically coupled nanoemitters 742 once again have to sustain an exciton, delocalized across the array. The relative 743 orientations of the molecular dipole moments are crucially important for producing 744 vortex light. It transpires that the array must belong to one of the Schoenflies point 745 groups  $C_n$ ,  $C_{nh}$ ,  $S_n$ , T,  $T_h$ , where n is the number of nanoemitters; the example shown 746 in Fig. 10.5 has  $C_7$  symmetry. Table 10.1 provides the possible integer values of 747 OAM for vortex light emitted from a nanoarray belonging to one of the  $C_n$  and  $C_{nh}$  748 point groups [105].

In the decay transitions that accompany the relaxation of the excitonic states – 750 assumed to terminate in a totally symmetric ground electronic state – the symmetry 751 character of the initial exciton maps directly onto the vortex structure of the emitted 752 light. It is therefore necessary to determine the form of the requisite excitons. A 753 block diagonalized form of the array Hamiltonian is required, with the single 754 delocalized excitonic state as a basis. In general, the matrix form of the array 755 Hamiltonian is expressible as follows: 756

$$H_{rs} = E_u \delta_{rs} + (\mathbf{\mu}_r \cdot \mathbf{V}(\mathbf{R}_{rs}) \cdot \mathbf{\mu}_s) \left( \delta_{r-1,s(\text{mod}n)} + \delta_{r(\text{mod}n),s-1} \right)$$
(10.42)

where each element of the *n*-square matrix relates to a pair of emitters  $\{r, s\}$ . Each 757 diagonal element  $E_u = \hbar c k_u$  signifies the energy of an isolated nanoemitter in its 758 excited electronic level *u*. The off – diagonal elements, denoting pairwise interac-759 tions, involve the electrodynamic coupling  $\mathbf{V}(\mathbf{R}_{rs})$  between neighboring transition 760 dipole moments  $\boldsymbol{\mu}_r$  and  $\boldsymbol{\mu}_s$  – and all are identical, *i.e.*: 761



**Fig. 10.5** Schematic depiction for a ring of seven molecular nanoemitters, positioned such that their transition moments form a ring whose normal lies in the z-direction. Emission from the lowest energy exciton state releases a photon with topological charge l = 3 along the normal axis, with a helicoid evolvement of each wavefront component signifying a surface of constant phase. The angle  $\gamma$  designates the azimuthal position in the plane;  $\tau$  and v are angles that specify the local orientation of each emitter. Dotted lines are guides for the eye

| t1.1 <b>Table 10.1</b> Summary of pe |                                  | Symmetry group     |               |       |
|--------------------------------------|----------------------------------|--------------------|---------------|-------|
| t1.2                                 | 1.2 rmitted topological charges, | Number of emitters | $C_n, C_{nh}$ | Sn    |
| t1.3                                 | from nanoarrays with the         | 3                  | 1             | -     |
| t1.4                                 | required symmetry                | 4                  | 1             | 1     |
| t1.5                                 |                                  | 5                  | 1,2           | -     |
| t1.6                                 |                                  | 6                  | 1,2           | 1     |
| t1.7                                 |                                  | 7                  | 1,2,3         | -     |
| t1.8                                 |                                  | 8                  | 1,2,3         | 1,2,3 |
| t1.9                                 |                                  | 9                  | 1,2,3,4       | -     |
| t1.10                                |                                  | 10                 | 1,2,3,4       | 1,2   |
|                                      |                                  |                    |               |       |

$$\mathbf{V}(\mathbf{R}_{rs}) \equiv V_{r,(r+1) \mod n} \left( k_u, \mathbf{R}_{r,(r+1) \mod n} \right).$$
(10.43)

Final Here,  $\mathbf{R}_{rs}$  is the vector displacement between the relevant nanoemitters: R is defined by  $\mathbf{R}_r - \mathbf{R}_s \equiv \mathbf{R}_{rs} = R\hat{\mathbf{R}}_{rs}$ . Under the described symmetry conditions, all the non-zero off – diagonal elements of Eq. (10.43) return the same scalar value, U, for given by;

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$$U = \mathbf{\mu}_{r} \cdot \mathbf{V}(\mathbf{R}_{rs}) \cdot \mathbf{\mu}_{s}$$
  

$$\equiv \frac{e^{ik_{u}R}}{4\pi\varepsilon_{0}R^{3}} \Big[ \Big\{ 1 - ik_{u}R - (k_{u}R)^{2} \Big\} \big( \mathbf{\mu}_{1}^{0u} \cdot \mathbf{\mu}_{2}^{0u} \big) - \Big\{ 3 - 3ik_{u}R - (k_{u}R)^{2} \Big\} \Big\{ \big( \mathbf{\mu}_{1}^{0u} \cdot \hat{\mathbf{R}}_{12} \big) \big( \mathbf{\mu}_{2}^{0u} \cdot \hat{\mathbf{R}}_{12} \big) \Big\} \Big].$$
(10.44)

for any specific pair arbitrarily labelled 1 and 2. The eigenfunctions now emerge as 766 normalized superpositions of the basis states: 767

$$|\psi_{p}\rangle = \frac{1}{\sqrt{n}} \sum_{r=1}^{n} \varepsilon_{n}^{(r-1)p} |\xi^{r;u}\rangle \prod_{s \neq r} |\xi^{s;0}\rangle, p \in \{1, \dots, n\}.$$
 (10.45)

Here,  $|\xi^{r;u}\rangle$  is a state function corresponding to an emitter *r* in electronic state *u*, and 768  $\varepsilon_n = \exp(2\pi i/n)$ . In every summand of Eq. (10.45), one molecule is in the elec-769 tronically excited state *u*, while the others are in their ground states. The energy 770 eigenvalues associated with the above exciton states are generally expressible in the 771 form; 772

$$E_p = E_u + 2U\cos(2pq/n)$$
, (10.46)

with the permissible range of values for the index q as indicated in Table 10.2 which 773 also indicates, for the first few point groups  $C_n$ , the irreducible representation 774 associated with each excitonic state. 775

Due to their differences in symmetry, the various exciton eigenstates with 776 representations  $E_a$ , A (and also B, if present) will also exhibit differences in energy, 777 manifest as line splittings centered upon the frequency of an isolated emitter. For 778 example in a nanoarray with n = 3 emitters, the positioning of exciton levels leads 779 to one non-degenerate state (belonging to the totally symmetric representation A), 780 of energy  $E_{\mu} - 2U$ , and two doubly degenerate (E representation) states of energy 781  $E_{u} + U$ . Furthermore, the sign of the coupling U is readily shown to be positive for 782 all  $n \ge 3$ , producing splitting patterns as exemplified in Fig. 10.6. Attention now 783 focuses on exciton E states belonging to doubly degenerate irreducible representa-784 tions. These excitons exist in the form of pairs, one with a left-handed and the other 785 a right-handed progression of phase around the ring. These states exactly corre-786 spond with the sought distributions of phase about the symmetry axis, and are 787 separated in energy from the A form - a principle that should enable the selective 788 excitation of one symmetry type. The decay of each doubly degenerate exciton can 789 therefore release a photon with a characteristic long wavelength; in most cases, the 790 exciton pair with the lowest energy will be associated with the highest values of |q| 791 and, as it emerges, the largest topological charge for the emitted vortex. 792

Finally, to map the optical phase of the fluorescence, an expression for the 793 electric field  $\mathbf{E}_{p}$  ( $\mathbf{R}_{D}$ ) from each constituent nanoemitter is required, *i.e.*: 794

| t2.1  | Table 10.2    List of the        | n | p     | 1     | 2     | 3           | 4     | 5     | 6     | 7 |
|-------|----------------------------------|---|-------|-------|-------|-------------|-------|-------|-------|---|
| t2.2  | (irreps) of the <i>C</i> exciton | 3 | q     | 1     | -1    | 0           |       |       |       |   |
| t2.3  | states for $n = 3-7$             |   | Irrep | $E_1$ | $E_1$ | A           |       |       |       |   |
| t2.4  |                                  | 4 | q     | 1     | 2     | -1          | 0     |       |       |   |
| t2.5  |                                  |   | Irrep | $E_1$ | В     | $E_1$       | A     |       |       |   |
| t2.6  |                                  | 5 | q     | 1     | 2     | -2          | -1    | 0     |       |   |
| t2.7  |                                  |   | Irrep | $E_1$ | $E_2$ | $E_2$       | $E_1$ | A     |       |   |
| t2.8  |                                  | 6 | q     | 1     | 2     | 3           | -2    | -1    | 0     |   |
| t2.9  |                                  |   | Irrep | $E_1$ | $E_2$ | В           | $E_2$ | $E_1$ | A     |   |
| t2.10 |                                  | 7 | q     | 1     | 2     | 3           | -3    | -2    | -1    | 0 |
| t2.11 |                                  |   | Irrep | $E_1$ | $E_2$ | $E_3$       | $E_3$ | $E_2$ | $E_1$ | A |
|       |                                  |   |       |       |       |             |       |       | K     |   |
|       | $E_{}$                           |   | 46    | ~     | /     | $\setminus$ | A     |       |       |   |



**Fig. 10.6** Excitonic irreducible representations and Davydov energy level splitting of for an array of C<sub>3</sub> point group symmetry. The splitting between *A* and  $E_1$  equal to 3*U*. The three-emitter case, as indicated by the relaxation arrow, will have a preferential |q| = 1 emission

$$\mathbf{E}_{p}(\mathbf{R}_{D}) = \sum_{r}^{n} \frac{e^{ikR_{Dr}} \varepsilon_{n}^{(r-1)p}}{4\pi\varepsilon_{0}R_{Dr}^{3}} \{ \left[ \left( \hat{\mathbf{R}}_{Dr} \times \mathbf{\mu}_{r}^{0u} \right) \times \hat{\mathbf{R}}_{Dr} \right] k^{2}R_{Dr}^{2} \\
+ \left[ 3\hat{\mathbf{R}}_{Dr} \left( \hat{\mathbf{R}}_{Dr} \cdot \mathbf{\mu}_{r}^{0u} \right) - \mathbf{\mu}_{r}^{0u} \right] (1 - ikR_{Dr}) \},$$
(10.47)

where  $\mathbf{R}_D$  signifies the displacement, relative to the ring center, of a point of measurement or detection. Notably, each term in Eq. (10.47) carries the phase factor  $\varepsilon_n^{(r-1)p}$ , from the corresponding emitter component in Eq. (10.45), thus delivering the sought progression in phase around the ring. At any point in space, the most appropriate measure of the phase for the emitted radiation is the function defined by:

$$\theta_i(\mathbf{R}) = \arg\{\mathbf{E}_{p;\,i}(\mathbf{R}_D)\} , \qquad (10.48)$$

the principle argument of the complex electric field vector. Typical maps of the electromagnetic phase distributions, shown in Fig. 10.7, exhibit the variation of the phase in planes parallel to the source array, for several combinations of molecular number and exciton symmetry. The panes in this figure show rings with three and six chromophore components, the latter having two topological charges. The phase properties of the electromagnetic fields emitted by the arrays in each case map





Fig. 10.7 Cross-sectional simulations of the scalar optical field in the array plane supporting optical vortex emission: (a) three chromophores, emission with topological charge l = 1; (b) also three chromophores l = -1, here also showing (by color intensity) the field magnitude; (c) five chromophores supporting l = -2 emission

exactly to the azimuthal phase dependence of a vortex mode. Such arrays thus 807 represents systems whose fluorescence can naturally generate optical vorticity, 808 without requiring any of the transformation optics that might otherwise need to 809 be deployed [106–109]. 810

### 10.6 Discussion

This review has endeavored to show that, by developing a fully photon-based 812 representation of conventional molecular fluorescence, a variety of other closely 813 related phenomena can readily be identified in the same quantum field framework 814 of theory. The most familiar variant, multiphoton fluorescence, is sufficiently well 815 established that it has already found advanced applications in optical diagnostics in 816 biology and medicine. Laser-controlled and multi-emitter fluorescence represent 817 more recent arrivals on the scene, and in these cases experimental work has not yet 818 quite caught up with the developments in theory. Each topic holds promise for a 819 range of new kinds of application. For example the capacity to modify fluorescence 820 with an auxiliary beam opens new ground for excited state lifetime manipulation, 821 all-optical switching devices – and potentially even an all-optical transistor 822 [54]. Equally, with advances in nanofabrication paving the way for the batch 823 production of tailored nanoemitter arrays, the potential of materials that can directly 824 generate optical vortex light is also becoming enticing, particularly in view of the 825 associated capacity to convey significantly more information per photon 826 [110, 111]. In other areas, too, the science is still advancing apace. For example, 827 building on the well-known theory of circularly polarized luminescence [112], 828 there is now fresh interest in establishing signatures of chirality in the fluorescence 829 produced by chiral molecules [113]. It will be fascinating to see where the next 830 advance will arise. 831



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