ARS COMBINATORIA

VOLUME TWENTY-TWO

DECEMBER, 1986

WATERLOO, CANADA

Closure Properties of the Special Linear Groups

Jennifer D. Key and Johannes Siemons*

1. Introduction.

A permutation group G on a finite set Ω has a natural action on the set $\Omega^{\{k\}}$ of all k-element subsets of Ω . The k-closure of G is the largest subgroup $G^{\{k\}}$ of $Sym(\Omega)$ that has the same orbits as G on $\Omega^{\{k\}}$, and G is said to be k-closed if $G = G^{\{k\}}$. Cameron, Neumann and Saxl show in [2] that any primitive group $G \geq Alt(n)$ of sufficiently large degree n is $\lfloor \frac{n}{2} \rfloor$ -closed. The general question of k-closure was studied earlier by Inglis [6], Siemons [9] and Siemons and Wagner [10]. In [7] and [8] the present authors examined the k-closure of collineation groups of projective and affine geometries over finite fields. In this paper we obtain minimal values of k for k-closure of the special linear groups (see Theorem 3.5).

We remark that for geometric dimension at least two of our results on k-closure are independent of the classification theorem for finite simple groups. For the line, the lack of geometry has forced us to rely on classification. Wherever the classification theorem is assumed, we will note it by C.T.

The organization of this paper is as follows: in Section 2 we give terminology and definitions, together with statements of earlier results required for our theorems; Section 3 proves the main theorem (Theorem 3.5) by a sequence of lemmas and theorems, together with computations, most of which involved the use of the Cayley package of J. Cannon [3] on the Birmingham University computer.

2. Notation and Assumed Results.

The terminology and notation used is the same as that in [7], and is mostly that of Dembowski [4] and Wielandt [11].

If Ω is a set and $|\Omega| = n$ then the symmetric and alternating groups on Ω are denoted by $Sym(\Omega)$ and $Alt(\Omega)$ respectively, or S_n and

^{*} The authors acknowledge financial support of the SERC.

 A_n . If $G \leq Sym(\Omega)$, and $\Delta \subset \Omega$, then $G_{\{\Delta\}}$ denotes the set stabilizer of Δ (the global stabilizer), and $G_{(\Delta)}$ denotes the pointwise stabilizer. We will refer to $G_{\{\Delta\}}/G_{(\Delta)}$ as the restriction of G to Δ , written G^{Δ} . For $k \leq n$, G acts in a natural way on the set $\Omega^{\{k\}}$ of all k-element subsets of Ω . The k-closure $G^{\{k\}}$ of G is the largest subgroup of $Sym(\Omega)$ having the same orbits on $\Omega^{\{k\}}$ as G. G is k-closed if $G = G^{\{k\}}$. When speaking of k-closure we will always assume that $k \leq [\frac{n}{2}]$. Members of $\Omega^{\{k\}}$ will be called k-sets. For $\Delta \subset \Omega$, the set of all images of Δ under G is denoted by Δ^G .

Definition 2.1. Let $G \leq Sym(\Omega)$. A subset Δ of Ω is called a base set for G if $G < H \leq Sym(\Omega)$ implies that $\Delta^G \neq \Delta^H$.

Clearly if G has a base set of size k then G is k-closed, but the converse is not generally true.

Let $V_{d+1}(q)$ denote the vector space of dimension d+1 over the Galois field GF(q). Then the projective geometry of dimension d over GF(q) is denoted by PG(d,q). Its full automorphism group is $P\Gamma L(d+1,q)$ for $d \geq 2$, by the fundamental theorem of projective geometry. The affine geometry of dimension d over GF(q) (formed by deleting a hyperplane H and all its points from PG(d,q)) is denoted by AG(d,q). It has full automorphism group $A\Gamma L(d,q)$ for $d \geq 2$, by the fundamental theorem of affine geometry. We will always consider the permutation action of $P\Gamma L(d+1,q)$ on points of PG(d,q), and that of $A\Gamma L(d,q)$ on points of AG(d,q). Clearly $A\Gamma L(d,q) \cong (P\Gamma L(d+1,q))_{\{H\}}$.

If $\{e_1,e_2,...,e_r\}$ is a set of r linearly independent vectors in $V_d(q)$ and if $E_i = \langle e_i \rangle$, i = 1,2,...,r, then the set of points $\{E_1,E_2,...,E_r\}$ is called an r-simplex in PG(d-1,q). If r = d we will refer to a d-simplex in PG(d-1,q) as a simplex. If $\{e_1,e_2,...,e_d\}$ is the standard basis for $V_d(q)$ then the corresponding simplex will be called the unit simplex, with unit point $E = \langle e \rangle$ where $e = \sum_{i=1}^{d} e_i$, and unit hyperplane $\langle e^t \rangle$, in terms of homogeneous co-ordinates.

We will need the following results, which are easily deduced from the reference given in each case.

Result 2.1. (Key and Siemons, Theorems A and B [7]).

(I): In the action on the points of PG(d-1,q), $d \geq 3$, PGL(d,q) is 4-closed if $q \notin \{4,8,9,16\}$; PGL(3,4) is 10-closed but not k-closed for $k \leq 9$; PGL(d,4) is 8-closed but not k-closed for $k \leq 6$, when $d \geq 4$; PGL(d,8) and PGL(d,9) are 6-closed but not 5-closed for $d \geq 3$; PGL(d,16) is 6-closed for $d \geq 3$.

(II): (C.T.) In the action on the points of PG(1,q), $q \ge 7$, $P\Gamma L(2,q)$ is 4-closed if and only if $q \notin \{8,32\}$; $P\Gamma L(2,32)$ is 5-closed; PGL(2,q) is 4-closed if and only if $q \notin \{8,9,16\}$; PGL(2,16) is 6-closed but not 5-closed.

(III): In the action on the points of AG(d,q), $d \geq 2$, AGL(d,q) is 3-closed if $q \notin \{2,4,8,9,16\}$; AGL(d,2) is 4-closed but not 3-closed for $d \geq 3$; AGL(d,4) is 6-closed but not 5-closed for $d \geq 2$; AGL(d,q) for $q \in \{8,9,16\}$ is 4-closed but not 3-closed for $d \geq 2$.

(IV): (C.T.) In the action on the points of AG(1,q), $q \ge 7$, $A\Gamma L(1,q)$ is 3-closed if and only if $q \notin \{8,9,16,32\}$; $A\Gamma L(1,8)$ is not 4-closed; $A\Gamma L(1,q)$ is 4-closed for $q \in \{9,16,32\}$; AGL(1,q) is 3-closed if and only if $q \notin \{8,9,16\}$; AGL(1,16) is 4-closed; AGL(1,8) and AGL(1,9) are not 4-closed.

Result 2.2. (Siemons [9], Theorem 5.1, p. 399). Let $G \leq Sym(\Omega)$ where $|\Omega| = n$. Let n^* satisfy $\frac{n-1}{2} \leq n^* \leq \frac{n}{2}$. Then if $1 \leq k \leq n^*$ $G \leq G^{\{n^*\}} \leq \cdots \leq G^{\{k\}} \leq G^{\{k-1\}} \leq \cdots \leq G^{\{1\}} \leq Sym(\Omega)$.

Further, if $n^* \ge \ell \ge k$ then

- (i) if G is k-closed then G is ℓ -closed;
- (ii) if $H \leq Sym(\Omega)$ and G and H have distinct orbits on k-sets, then G and H have distinct orbits on ℓ -sets;
- (iii) if $G \le H$ then $G^{\{k\}} \le H^{\{k\}}$.

Result 2.3. (Key and Siemons [8]).

A. Let PGL(d,q) act on the points of PG(d-1,q) for $d \geq 2$, $q \geq 3$. If $H \leq PGL(d,q)$ then H is k-closed according to the entries in the table below:

d=2:

$$\begin{array}{c|cccc} q & 13 \le q \le 17 & \ge 19 \\ \hline k & 6 & 5 \end{array}$$
 (C.T.)

d = 3:

$$\begin{array}{c|cccc} q & 4 & 5 & \geq 7 \\ \hline k & 10 & 7 & 6 \end{array}$$

d = 4:

$$\begin{array}{c|ccccc} q & 3 & 4 & \geq 5 \\ \hline k & 8 & 8 & 7 \end{array}$$

 $d \geq 5$, d odd:

$$\begin{array}{c|cccc} q & 3 \leq q < d & d = q \in \{5,7\} & d \leq q \\ \hline k & 2d & 2d & d+3 \end{array}$$

 $d \geq 6$, d even:

$$\begin{array}{c|cccc} q & 3 \leq q < d & d \leq q \\ \hline k & 2d-1 & d+3 \end{array}$$

B. Let AGL(d,q) act on the points of AG(d,q) for $d \ge 1$, $q \ge 3$. If $H \le AGL(d,q)$ then H is k-closed according to the entries in the table below:

d = 1:

d=2:

d = 3:

$$\begin{array}{c|ccccc} q & 3 & 4 & \geq 5 \\ \hline k & 8 & 8 & 7 \end{array}$$

d = 4, d even:

 $d \geq 5$, d odd:

$$\begin{array}{c|cccc} q & q < d & q = d \in \{5,7\} & q \ge d \\ \hline k & 2d+1 & 2d+1 & d+4 \end{array}$$

(These results are deduced from Results 2.1 and 2.2 above and Theorems in [8], in particular Theorems 3.1, 4.1 and 5.1, which show the existence of regular sets for $P\Gamma L(d,q)$ and $A\Gamma L(d,q)$ for $q \geq 3$.)

3. k-Closure.

Our results on the k-closure of the special linear groups are collected together in Theorem 3.5, which is proved by the lemmas and theorems below, together with computations for the exceptional values of q.

Lemma 3.1. Let Δ be a simplex in PG(d-1,q), $d \geq 2$. Then $(PSL(d,q))^{\Delta} = Sym(\Delta)$.

Proof. We can suppose that Δ is the unit simplex. Then the matrix

$$A = \begin{bmatrix} 0 & 1 & 0 \\ a & 0 & \\ \hline 0 & I_{d-2} \end{bmatrix}$$

with $a=\pm 1$ suitably chosen belongs to PSL(d,q) and induces a transposition on Δ . \Box

Definition 3.1. Let Δ be a simplex in PG(d-1,q) and E the unit point for Δ . Define $\Lambda_d = \Delta \cup \{E\}$.

Lemma 3.2. Suppose that -1 is a dth root in GF(q), $d \geq 2$. Then $(PSL(d,q))^{\Lambda_d} = Sym(\Lambda_d)$ where Λ_d is defined as above.

Proof. We take Δ to be the unit simplex. Then the matrix

induces a (d+1)-cycle on the points of Λ_d and has determinant $(-1)^d$ (-1); thus it belongs to PSL(d,q). In the proof of Lemma 3.1 entry a of A may be chosen a=1. Thus A fixes Λ_d and the result follows. \square

Definition 3.2. If $G < K \leq Sym(\Omega)$ and $\Lambda \subseteq \Omega$, then Λ is a K-base set for G if $G < H \leq K$ implies that $\Lambda^G \subset \Lambda^H$.

Evidently from our Definition 2.1 in Section 2, if $K = Sym(\Omega)$ then a K-base set is a base set.

Lemma 3.3. In the action on the points of PG(d-1,q), $d \geq 2$, any group H with $PSL(d,q) \leq H < PGL(d,q)$ has the same orbits on d-sets as PGL(d,q). If -1 is a dth root in GF(q) then Λ_d is a (PGL(d,q))-base set for H, where Λ_d is as in Definition 3.1 above.

Proof. Let ϕ be a d-set in PG(d-1,q). If ϕ is contained in a hyperplane then the ϕ -images under PSL(d,q) are the same as the ϕ -images under PGL(d,q). Otherwise ϕ is a simplex and as PSL(d,q) permutes simplices transitively, any group H with $PSL(d,q) \leq H \leq PGL(d,q)$ has the same orbits on d-sets. Suppose (-1) is a dth root in GF(q). As $(PGL(d,q))_{(\Lambda_d)} = 1$ and $(PSL(d,q))^{\Lambda_d} = Sym(\Lambda_d)$ by Lemma 3.2, it follows that $H_{\{\Lambda_d\}} = PGL(d,q)_{\{\Lambda_d\}}$ and hence that Λ_d is a PGL(d,q)-base set for H. \square

Theorem 3.1. Let H be a group with $PSL(d,q) \leq H < PGL(d,q)$ in the natural action on the points of PG(d-1,q). Suppose that $d \geq 3$, (-1) is a dth root in GF(q) and that $q \notin \{4,8,9,16\}$. Then H is (d+1)-closed but not d-closed.

Proof. By Lemma 3.3 H is not d-closed. By Result 2.2 the (d+1)-closure of H is contained in the (d+1)-closure of PGL(d-1,q). As $d+1 \geq 4$ and $q \notin \{4,8,9,16\}$ Result 2.1 applies and the result follows from Lemma 3.3. \square

We remark that Λ_d is a base set for H only if q is a prime.

We now consider the affine special linear groups acting on AG(d-1,q), $d \geq 2$. For a simplex Δ in PG(d-1,q) let S be the unit hyperplane. Then the points of AG(d-1,q) are the points of PG(d-1,q) not in S so that Δ is a subset of AG(d-1,q). The analogue of Lemma 3.3 is as follows:

Lemma 3.4. In the action on the points of AG(d-1,q), $d \geq 2$, any group H with $ASL(d-1,q) \leq H < AGL(d-1,q)$ has the same orbits on (d-1)-sets as AGL(d-1,q). If -1 is a dth root in GF(q) then Δ is a (AGL(d-1,q))-base set for H.

Proof. The first part of the lemma is standard. If K = AGL(d-1,q), then $K_{(\Delta)} = 1$ as K also fixes the unit hyperplane. As in the projective case one shows that $H_{\{\Delta\}} = Sym(\Delta)$. Thus $K_{\{\Delta\}} = H_{\{\Delta\}}$ and Δ is a K-base set for H. \square

Theorem 3.2. Let H be a group with $ASL(d-1,q) \leq H < AGL(d-1,q)$ in the natural action on the points of AG(d-1,q). Suppose that $d \geq 3$, (-1) is a dth root in GF(q) and that $q \notin \{4,8,9,16\}$. Then H is d-closed but not (d-1)-closed.

Proof. As before this follows from Lemma 3.4 above, Result 2.2 and Result 2.1. □

As in the projective case, Δ is a base set for H only if q is a prime.

Now we consider the natural action of PSL(2,q) and ASL(1,q) on the projective and affine line. The case of even characteristic is dealt with in Result 2.1.

Lemma 3.5. If q is odd and $q \ge 7$ and $q \ne 11$ then PSL(2,q) and PGL(2,q) have different orbits on 4-sets.

Proof. If $q \equiv 1 \pmod{4}$ then PSL(2,q) has 2 orbits on 3-sets. The result now follows from Result 2.2. Now suppose that $q \equiv 3 \pmod{4}$. In PGL(2,q) the sets $\{\infty,0,1,a\}$ and $\{\infty,0,1,a^{-1}\}$ $\{a \neq \infty,0,1\}$ belong to the same orbit. A case by case analysis shows that these sets are not in the same PSL(2,q)-orbit if a is chosen such that a is a non-square, a-1 is a square in GF(q) and $a \neq -1,2,1/2$. \square

Theorem 3.3 (C.T.). Let G = PSL(2,q) act on the projective line in the natural way, q odd.

- (i): G is 3-closed if and only if $q \equiv 1 \pmod{4}$ and q is a prime, $q \geq 5$.
- (ii): If $q \geq 7$, $q \notin \{9,11\}$ then G is 4-closed.

Proof. (i) Let G^* be the 3-closure of G. If $q \equiv 3 \pmod{4}$ then PSL(2,q) is 3 homogeneous, thus $G^* = Sym(q+1)$.

If $q \equiv 1 \pmod{4}$, G^* has 2-orbits on 3-sets and it follows easily from Result 2.5 of [7] that $G^* = PSL(2,q) < \sigma >$ where σ generates the automorphism group of GF(q). Thus $G = G^*$ if and only if q is a prime.

(ii) Let G^* be the 4-closure of G. By Result 2.2 G^* is contained in the 4-closure of PGL(2,q). If $q \neq 9,11$ Result 2.1 and Lemma 3.5 above imply the result. \square

The case of affine one-dimensional special linear groups can be treated in an analogous way.

Lemma 3.6. If $q \ge 7$ is odd, then ASL(1,q) and AGL(1,q) have distinct orbits on 3-sets.

Proof. If $q \equiv 1 \pmod{4}$ then ASL(1,q) has 2 orbits on 2-sets and by Result 2.2 ASL(1,q) and AGL(1,q) have distinct orbits on 3-sets. If $q \equiv 3 \pmod{4}$ consider $\{0,1,a\}$ and $\{0,1,a^{-1}\}$, $a \notin \{0,1\}$. These sets are in the same AGL-orbit. Case by case analysis shows that these sets are in distinct ASL-orbits if a is chosen to be a non-square in $GF(q)\setminus \{0,1,2,1/2,-1\}$, a non-empty set for $q \geq 7$. \square

Theorem 3.4. Let G = ASL(1,q) act on the affine line in the natural way, q odd.

(i): G is 2-closed if and only if $q \equiv 1 \pmod{4}$ and $q \geq 5$ is a prime.

(ii): If $9 \neq q \geq 7$ then G is 3-closed.

- **Proof.** (i) Let G^* be the 2-closure of G. If $q \equiv 3 \pmod{4}$ then G is 2-homogeneous and so $G^* = Sym(q)$. If $q \equiv 1 \pmod{4}$, then G^* has 2 orbits on 2-sets. Therefore G^* is not 2-transitive. If q is not a prime, $G < \sigma > (\sigma)$ the field automorphism of GF(q)) is contained in G^* . Therefore q is a prime and a theorem of Burnside (Theorem 11.7 in [11]) implies that G^* is contained in AGL(1,q). Thus $G^* = G$.
- (ii) Let G^* be the 3-closure of G. By Result 2.2 G^* is contained in the 3-closure of AGL(1,q). By Result 2.1 $G^* \leq AGL(1,q)$ and the result follows from Lemma 3.6 above. \square

Our theorem on the k-closure of the special linear groups can now be proved by using the lemmas and theorems above, some of the results stated in Section 2, and by direct computation. The latter involved the use of the Cayley language [3].

Theorem 3.5. Let G be PSL(d,q) or ASL(d,q) acting on the points of PG(d-1,q) or AG(d,q) respectively. Assume that $G \neq PGL(d,q)$ and $G \neq AGL(d,q)$. Then G is k-closed according to the entries given in the tables below, where ω is a primitive element for GF(q), and where an entry of the form "—" indicates that the group is not k-closed for any k.

PSL(d,q):

d = 2

$$d = 3$$
: $q \neq 16$, $k = 4$; $q = 16$, $k = 6$.

$$d = 4$$
: $q \equiv 1 \pmod{8}$, $q \ge 3$, $k = 7$; $q \equiv 1 \pmod{8}$, $q > 9$, $k = 5$; $q = 9$, $k = 6$.

$$d \geq 5$$
 (d odd): $k = d + 1$ all q.

d = 6:

 $d \geq 8$ (d even):

ASL(d,q):

d=1:

$$d = 2$$
: $q \neq 3,16$, $k = 3$; $q = 16$, $k = 4$.

$$d = 3$$
: $q \not\equiv 1 \pmod{8}$, $q \ge 5$, $k = 6$; $q = 3$, $k = 7$; $q \equiv 1 \pmod{8}$, $q > 9$, $k = 4$; $q = 9$, $k = 4$.

$$d \ge 4$$
 (d even): $k = d + 1$ all q.

 $d \geq 5 (d \text{ odd})$:

Proof. We restrict attention to $PSL(d,q) \neq PGL(d,q)$ and $ASL(d,q) \neq AGL(d,q)$ as the general linear groups have already been dealt with in Results 2.1. First we deal with the projective groups.

d=2: We use Theorem 3.3 for all $q \neq 9$ or 11. For q=9 we have 4-closure of $P\Gamma L(2,9)$ by Result 2.1. By computation we found that $P\Sigma L(2,9)$ (i.e. $PSL(2,9) < \sigma >$ where σ is the field automorphism) has distinct orbits on 4-sets from $P\Gamma L(2,9)$ and is thus 4-closed by Result 2.2. Also by

computation we found that PSL(2,9) has distinct orbits on 5-sets from $P\Sigma L(2,9)$, and thus, by Results 2.1 and 2.2, is 5-closed. For q=11 we have 4-closure for PGL(2,11) and by computation we found that PSL(2,11) has distinct orbits on 6-sets and, for the same reason as above, is thus 6-closed.

d=3: Since -1 is a third root, Theorem 3.1 applies, except when $q \in \{4,8,9,16\}$; i.e. when q=4 or 16. For PSL(3,4), using the 3-closure of $P\Gamma L(3,4)$, we proved that $P\Gamma L(3,4)$ and PSL(3,4) are both 4-closed by computing that they all have distinct orbits on 4-sets, and using the results quoted for d=2. For PSL(3,16), we have that PGL(3,16) is 6-closed (by Result 2.1) and, by Lemma 3.3, PSL(3,16) has distinct orbits from PGL(3,16) on 4-sets and hence on 6-sets by Result 2.2, and is hence also 6-closed.

d=4: Here -1 is a 4th root if and only if $q\equiv 1\pmod 8$. Thus by Theorem 3.1 if $q\neq 9$, G is 5-closed. If q=9 we use Lemma 3.3 and Result 2.1 to obtain 6-closure. If $q\equiv 1\pmod 8$ we use Result 2.3 and by computation improve on k=8 for q=3 to get 7-closure for G=PSL(4,3).

 $d \ge 5$, d odd: Here -1 is a dth root so that Theorem 3.1 applies when $q \ne 4,8,9,16$. But for these values of q (except 9), it is easy to see that Result 2.1 always gives (d+1)-closure.

d=6: If -1 is a 6th-root then Theorem 3.1 applies except when q=4, 9 or 16. For q=9 and 16, PGL(6,q) is 6-closed and hence 7-closed, and thus so is G. For q=4, PGL(6,4) is 8-closed, and by Lemma 3.3, G has distinct orbits from PGL(6,4) on 7-sets and hence on 8-sets by Result 2.2 and thus G is 8-closed by Result 2.2. If -1 is not a 6th-root then Result 2.3 must be used, and here 3 is the only value of q<6 such that -1 is not a 6th root.

 $d \geq 8$, d even: This follows from Result 2.1, Theorem 3.1 and Results 2.2. For the affine groups the reasoning is similar. \square

Corollary. Let G be PSL(d,q) or ASL(d-1,q) acting in the natural way on PG(d-1,q) or AG(d-1,q) for $d \geq 2$. Suppose that G does not contain the alternating group of the same degree and that G is not k-closed for any k. Then G = ASL(1,8), PSL(2,8) or ASL(2,3).

References.

- [1] D. Betten, Geometrische Permutationsgrouppen, Mitt. Math. Gesellschaft Hamburg 10 (1977), 317-324.
- [2] P.J. Cameron, P.M. Neumann and J. Saxl, On groups with no regular orbits on the set of subsets, Arch. Math., 43 (1984), 295-296.
- [3] J.J. Cannon, A Language for Group Theory, Cayley manual, Sydney University,

1982.

- [4] P. Dembowski, Finite Geometries, Springer, Berlin, 1968.
- [5] L.E. Dickson, Linear Groups, (Dover, New York, 1958).
- [6] N.F.J. Inglis, On orbit equivalent permutation groups, Arch. Math., 43 (1984), 297-300.
- [7] J.D. Key and J. Siemons, On the k-closure of finite linear groups, Bolletino U.M.I. (to appear).
- [8] J.D. Key and J. Siemons, Regular sets and geometric groups, Resultate der Mathematik (to appear).
- [9] J. Siemons, On partitions and permutation groups on unordered sets, Arch. Math. 38, (1982), 391-403.
- [10] J. Siemons and A. Wagner, On finite permutation groups with the same orbits on unordered sets, Arch. Math. 45 (1985), 492-500.
- [11] H. Wielandt, Finite Permutation Groups, Academic Press, New York, 1964.
- [12] H. Wielandt, Permutation Groups through Invariant Relations and Invariant Functions, Lecture Notes, Ohio State University 1969.

J.D. Key

Department of Mathematics University of Birmingham Birmingham B15 2TT United Kingdom

J. Siemons

School of Mathematics and Physics University of East Anglia Norwich NR4 7TJ United Kingdom