

Climate Patterns of Spin-Orbit Resonant Exoplanets Around Low-Mass Stars

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14 ABSTRACT

15 M dwarfs are the most promising candidates for finding habitable worlds through atmospheric char-
16 acterization. Planets in the habitable zone of low-mass stars experience intense tidal forcings and often
17 become tidally locked. Despite the majority of research being centered on the climate dynamics of syn-
18 chronously rotating planets in this scenario, synchronous rotation is not an inevitable outcome of tidal
19 locking. Several different circumstances can result in an asynchronous rotation, and in some instances
20 can lead to spin-orbit resonances (SORs). We explore the climates of two different spin-orbit resonant
21 scenarios with a coupled atmosphere-ocean general circulation climate model. Given the crucial role
22 played by the oceans in shaping planetary climate, we adopt two different ocean tidal forcing param-
23 eterizations for each SOR scenario. Each of these cases are simulated with both a dynamic ocean and
24 a thermodynamic ocean. Our findings reveal striking differences between the analyzed resonant case
25 and the commonly studied synchronous rotation case. Periodic climate patterns are observed, with
26 climatic features such as clouds and rainfall exhibiting a 60° longitudinal shift relative to the substellar
27 point. The evolution of quantities such as thermal emission and reflected light during a stellar period
28 is noteworthy from the observational point of view, showing appreciable differences compared to the
29 synchronous rotation scenario.

30 *Keywords:* Exoplanets (498) — Spin-orbit resonances(2296) — Ocean tides (1152) — Habitable planets
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33 (1244) — Atmospheric circulation (112) — Atmospheric dynamics (2300)

34 1. INTRODUCTION

35 Planets orbiting M- and K- dwarf stars represent the
36 best candidates for detailed characterizations of poten-
37 tially habitable terrestrial exoplanetary atmospheres us-
38 ing next-generation observatories. Planets orbiting cool
39 stars offer multiple observational benefits, stemming

40 both from the high occurrence rate of these stars and
41 their orbiting planets (Dressing & Charbonneau 2013,
42 2015; Cloutier & Menou 2020; Ment & Charbonneau
43 2023) as well as from inherent observational advantages
44 (Scalo et al. 2007; Charbonneau & Deming 2007; Shields
45 et al. 2016). The interest in these systems has catalyzed
46 the development of novel observational techniques and
47 steered mission concepts designed for the forthcoming
48 decade (Kasper et al. 2021; Quanz et al. 2022a; Harada
49 et al. 2024; Zúñiga-Fernández et al. 2024; Angerhausen

et al. 2024). It is therefore imperative to prioritize the integration and application of climate modeling studies to effectively support the observational community in the characterization of potentially habitable exoplanets.

Climate modeling studies of potentially habitable exoplanets have predominantly employed general circulation models (GCMs) with simplified ocean representations, such as slab oceans (Kopparapu et al. 2016, 2017; Boutle et al. 2017; Hammond & Komacek 2024). Thanks to their computational efficiency, these approaches have provided valuable insights into planetary habitability, atmospheric processes and surface characteristics through parameter sweeps (Kopparapu et al. 2017; Kilic et al. 2017; Nowajewski et al. 2018; Komacek & Abbot 2019). However, these studies inherently limit the accuracy of climate and habitability assessments, as they do not account for the complex interactions and feedbacks associated with fully dynamic oceans. The crucial role of ocean circulation, and therefore ocean heat transport, in stabilizing Earth’s climate by mitigating geographic, seasonal, and diurnal temperature extremes is well studied (Trenberth & Caron 2001; Winton 2003; Herweijer et al. 2005). Studies conducted over the last fifteen years substantiate that ocean dynamics constitute a significant contribution to climate studies across a variety of planetary contexts, encompassing Earth’s paleoclimates (Yang et al. 2012a,b; Kienert et al. 2012; Ragon et al. 2024), climate simulations of planets orbiting in the early Solar System (Way & Del Genio 2020; Schmidt et al. 2022), and exoclimates (Hu & Yang 2014; Cullum et al. 2014; Kilic et al. 2018; Olson et al. 2020). In general, although the assumption of a simplified ocean is certainly computationally convenient, the potential underestimation/misrepresentation of certain processes and feedbacks can lead to a failure to capture key climatic features, hence why fully coupled AOGCMs represent the most physically comprehensive tools for fully characterizing terrestrial climate (Yang et al. 2013; Hu & Yang 2014; Ferreira et al. 2014; Kilic et al. 2018; Yang et al. 2019; Di Paolo et al. 2025).

The interest in characterizing habitable planets around cool stars is long-standing, but poses several challenges from both the observational and the theoretical point of view. The prospect of building accurate climate models is hindered by the fact that many characteristics of planets in this configuration are ultimately unknown, such as their spin state. Due to the low luminosity of cool dwarfs, the circumstellar habitable zone in such systems is located relatively close to the host star (Kasting et al. 1993; Kopparapu et al. 2013). Consequently, potentially habitable planets orbiting in these systems experience strong tidal interactions, which can

alter the planet’s rotational angular momentum through a process known as tidal locking (Murray & Dermott 1999). While tidal locking and its possible outcomes have been studied since the 1960s in the context of Solar System planets and satellites (MacDonald 1964; Goldreich 1965; Goldreich & Peale 1966a,b; Goldreich 1966), tidal studies for exoplanets are challenging due to a combination of observational limitations - such as sparse constraints on the orbital architecture of the system and the presence of companions - and uncertainties in planetary properties - such as atmospheric structure, internal and rheological variables, tidal deformation and dissipation parameters.

Possible outcomes of such tidal evolution in this scenario seem to be manifold, ranging from spin-orbit resonances (SORs) to librations of different amplitude and even chaotic behaviour (Dobrovolskis 2007; Leconte et al. 2015; Vinson & Hansen 2017; Barnes 2017; Renaud et al. 2021; Chen et al. 2023; Valente et al. 2024; Yuan et al. 2025). The most commonly studied scenario is a special case of tidal locking: the 1:1 SOR, or synchronous rotation, where the periods for the planet to complete one rotation on its spin axis and one orbit around the host star are identical, giving a permanent dayside and nightside. Atmospheric heat transport can prevent nightside volatile trapping for reasonable values of surface pressure (Joshi et al. 1997), while ocean heat transport can extend an “eyeball” state, with a pool of open water centered on the substellar point (Pierrehumbert 2011), into a “lobster” (Hu & Yang 2014). Several studies have analyzed different aspects of climates in this scenario, focusing mainly on distinct regimes of atmospheric circulation depending on the planetary rotation period, atmospheric composition, surface pressure, incident stellar flux, surface gravity, planetary radius, and cloud particle size (e.g., Kopparapu et al. (2017); Haqq-Misra et al. (2018); Komacek & Abbot (2019)).

Only a few studies have focused on higher order resonances, among which the 3:2 SOR is the lowest order non-synchronous resonance and the most likely to occur for low values of orbital eccentricity (Correia & Laskar 2009). In this case, the planet rotates three times on its spin axis for every two orbits it completes around its host star, meaning that the substellar point shifts by 180° in longitude for every completed orbit (see Figure 1).

Climate simulations of GJ 667Cc for an orbit with eccentricity 0.4 and several SORs, assuming a slab ocean and thermodynamic sea ice, found two distinct patterns of the mean state climate: an “eyeball” exoplanet with a substellar warm region (1:1, 2:1 SORs) and a “waterbelt” exoplanet with zonally uniform temperature

decreasing with latitude (3:2, 5:2 SORs) (Wang et al. 2014). Thermal phase curves can be used to distinguish between these two climate patterns, with the former presenting significantly stronger variations than the latter during an orbital period. However, Dobrovolskis (2015) later proved that they used incorrect spatial insolation functions for the 3:2 and 5:2 resonances, and that for an eccentric orbit these SORs would result in a “double-eyeball” pattern rather a “waterbelt” exoplanet.

The climate of Proxima b has been the subject of several investigations that compare the 1:1 and 3:2 scenarios in the case of circular and/or eccentric orbits with either a static ocean (Turbet et al. 2016; Boutle et al. 2017) or a shallow dynamic ocean (Del Genio et al. 2019). The results are generally similar, with the 3:2 SOR climates exhibiting large meridional temperature gradients, with an equatorial “waterbelt” configuration that can transition to a “double-eyeball” configuration with increasing eccentricity. These results are highly sensitive to the choice of parameters such as the insolation and the chosen albedo, which ultimately determine the presence of open-water regions as opposed to a “slushball” planet. When a dynamic ocean is considered, the peak ocean surface temperature occurs slightly downstream with respect to the substellar point, due to modest eastward ocean heat transport at this location (Del Genio et al. 2019). Moreover, the atmospheric circulation in the null eccentricity case is similar to that of a moderately slowly rotating Earth, with Hadley cells extending to the poles, westerly jets in the midlatitudes, easterly trade winds at low latitudes and a very weak westerly equatorial surface ocean current (Del Genio et al. 2019). More recently, Braam et al. (2025) used a 3D coupled climate-chemistry model to study Proxima b for circular 1:1 and eccentric 3:2 SORs. Synthetic mid-infrared spectra showed that the severe zonal asymmetries of the 1:1 SOR case lead to spectral fluctuations as a function of orbital phase, which are dampened in the 3:2 SOR case because of the more homogeneous atmosphere.

More general results, not tied to a specific exoplanet, include a study of the effect of sea ice drift on snowball transitions at the outer edge of the habitable zone, performed with a fully coupled atmosphere-ocean-sea ice model for a sample of nine exoplanets (Yang et al. 2020). By conducting an array of sensitivity tests for a range of parameters, they found that sea-ice drift is efficient in shrinking the open ocean and leading the system to a snowball state. Another more generalized study employed a 3D GCM with a shallow dynamic ocean and incorporated tidal heating to model SOR exoplanets at the inner edge of the habitable zone, showing that in-

ternal heating drives a rapid transition from temperate to moist greenhouse states, with only minor effects on upper atmospheric water mixing ratios (Colose et al. 2021). To date, 3:2 SOR climate studies have primarily focused on the mean climate state, and, to our knowledge, no AOGCM investigation of climate pattern variability over a stellar period has yet been conducted.

In this study, we investigate the climate of ocean worlds in 3:2 SORs orbiting cool host stars with the FORTE2.0 AOGCM (Blaker et al. 2021). Differently from previously published studies, we use a custom continental “Ridgeworld” configuration (see Methods). Rather than concentrating on a single, specific exoplanet, our goal is to conduct a broadly applicable study, providing insights into potentially common features of exoclimates in this scenario. Accordingly, we investigate two different rotation and orbital periods, and consider two different ocean tidal scenarios, since both of these phenomena have significant effects on planetary climate (Cullum et al. 2014; Di Paolo et al. 2025). We present an in-depth analysis of several climate features in this scenarios, both for the ocean and the atmosphere. We finally present simulated phase curves of planetary thermal emission, which reveal a potential discriminant to determine whether a planet is characterized by either a 1:1 or a 3:2 SOR state.

2. METHODS

2.1. Model description

FORTE 2.0 (Blaker et al. 2021) is a fast and flexible coupled AOGCM of intermediate-resolution. The ocean general circulation model (Modular Ocean Model – Array Processor, or MOMA) (Webb 1996) is coupled once per model day to the atmospheric general circulation model (Intermediate Global Circulation Model version 4, or IGCM4) (Joshi et al. 2015).

In the MOMA setup adopted here, horizontal resolution is $2^\circ \times 2^\circ$, with 15 vertical z -layer levels. Level thickness increases progressively with depth, from 30 m at the surface to 836.10 m at depth. Overall, the ocean extends to a depth of approximately 5500 m.

The IGCM4 setup is characterized by a T42 spectral resolution, a grid spacing of $\sim 2.8^\circ$, and a troposphere-only atmosphere, extending to about 25 km in altitude, subdivided into 20σ levels.

The convection scheme and stratiform precipitation in the IGCM4 are mostly based on Forster et al. (2000), with a few additional parameterisations and adjustments to better match observations of Earth (Joshi et al. 2015).

2.2. System architecture

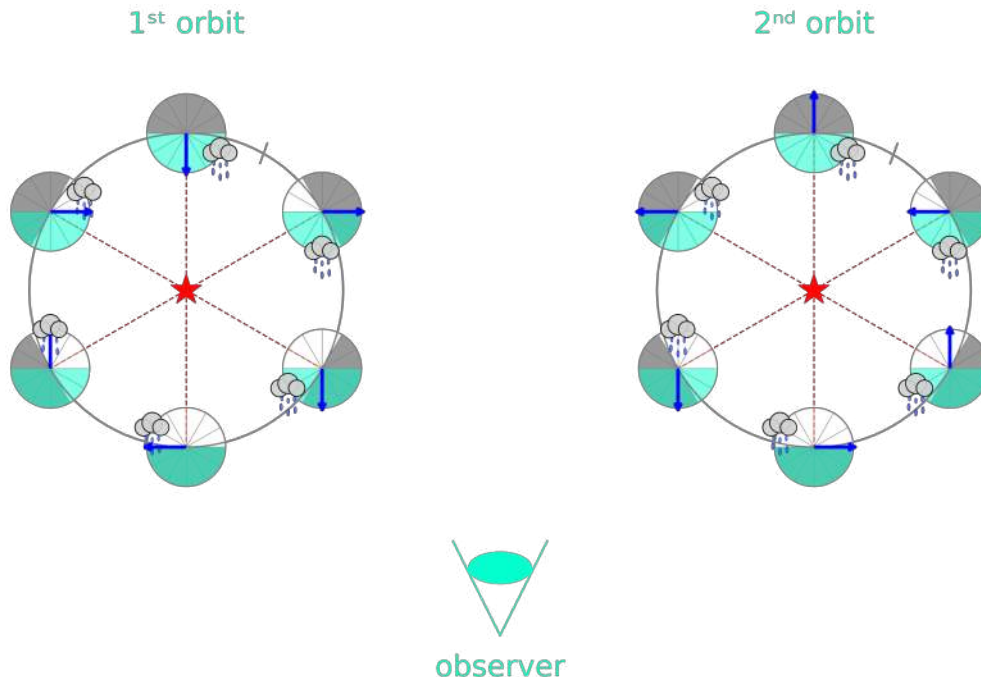


Figure 1: Observing configuration, assuming both an anticlockwise orbit and an anticlockwise spin rotation. **The planetary orbit is edge-on, with the observer in the plane of the paper.** White/gray hemispheres represent the day/night sides at each point of the orbit. **The turquoise hemispheres represent the observable portion of the planet within the observer’s field of view: regions theoretically observable in reflected light correspond to the portions where the turquoise hemisphere and the white hemisphere overlap, whereas regions theoretically observable in emitted light encompass the entirety of the turquoise hemispheres.** The blue arrow is a reference meridian. The substellar point, positioned in correspondence of the red dashed line on the planetary surface, shifts 180° in longitude for every completed orbit, realigning with its initial location after two full orbits (or one stellar period).

256 We choose two representative M stars in the range
 257 of masses of the spectral class, $M_\star \approx (0.08 - 0.6) M_\odot$,
 258 where M_\odot is the solar mass (see Table 1). We assume
 259 an Earthlike stellar radiation forcing at the top of the
 260 atmosphere, with $S_\odot = 1365 \text{ Wm}^{-2}$. For simplicity,
 261 the planetary orbit is modeled to be circular, with null
 262 orbital inclination, thus edge-on with respect to the hy-
 263 pothetical observer, and the planetary axial tilt is zero.

264 The choice of a null eccentricity is motivated by a
 265 number of reasons. While equilibrium tide theory states
 266 that a non-zero orbital eccentricity is required for a
 267 planet not to be captured into 1:1 SOR, but rather
 268 into higher order resonances, theoretical studies are usu-
 269 ally limited to considering tidal interactions between a
 270 planet and its host star, neglecting the possibility of ad-
 271 ditional forcings due to planetary companions or satel-
 272 lites, or even disturbances linked to impacts, and gener-
 273 ally have a restricted treatment of rheology and plane-
 274 tary triaxiality.

275 Planetary systems around M dwarfs are often multiple
 276 and tightly dynamically packed (Fang & Margot 2013;
 277 Ballard & Johnson 2016). Thus, planetary spin states

278 might be significantly influenced by gravitational inter-
 279 actions between neighbouring planets. Provided a com-
 280 panion is present in the system, asynchronous SORs are
 281 possible even when the eccentricity is nearly zero (Cor-
 282 reia & Delisle 2019). For instance, Vinson & Hansen
 283 (2017) demonstrated that interactions between Earth-
 284 sized planets near a mean motion resonance are strong
 285 enough to lead to high amplitude librations of the spin
 286 state, or even complete circulation, instead of 1:1 SORs.

287 Moreover, a number of transit timing variations stud-
 288 ies estimate small eccentricities ranging from 0.01 to 0.1
 289 for the majority of low-mass planetary systems (Wu &
 290 Lithwick 2013; Van Eylen & Albrecht 2015; Hadden &
 291 Lithwick 2017). Multiple investigations of the climate
 292 of Proxima b in a 3:2 SOR state assumed a null orbital
 293 eccentricity, justifying this choice on the fact that an ec-
 294 centricity of about ~ 0.06 would be sufficient to capture
 295 the planet into a 3:2 SOR (Ribas et al. 2016).

296 In order to determine the orbital radius in each case,
 297 and therefore the orbital period, we express the instel-
 298 lation received by the modeled exoplanet S_\star in terms of
 299 L_\star , the luminosity of the host star in solar units (L_\odot),

and $r_{p,\star}$, the planetary orbital radius in au units. Adopting a traditional parametrization of the luminosity-mass relationship (Harwit 1998) yields:

$$S_\star = \frac{L_\star}{r_{p,\star}^2} = \frac{M_\star^{3.5}}{r_{p,\star}^2} . \quad (1)$$

We require the instellation received by the modelled exoplanet S_\star to be equal to the insolation that Earth receives, determining the distance between the exoplanet and its host star:

$$r_{p,\star} = \sqrt{\frac{M_\star^{3.5}}{S_\star}} \approx (0.05 - 0.13) \sqrt{\frac{M_\odot^{3.5}}{S_\odot}} , \quad (2)$$

$$\approx (0.05 - 0.13) \text{ au} ,$$

where we substituted the chosen values of the M star mass (see Table 1). Kepler’s third law yields the planetary orbital period $T_{orbital}$ in both cases, and by using the values for the host star mass and the planetary orbital radius we obtain:

$$T_{orbital} = \sqrt{\frac{4\pi^2 r_{p,\star}^3}{GM_\star}} \approx (0.025 - 0.083) \sqrt{\frac{4\pi^2 r_{\oplus,\odot}^3}{GM_\odot}} ,$$

$$\approx (9 - 30) \text{ days} , \quad (3)$$

with the subscript \oplus denoting quantities referring to Earth (e.g., $r_{\oplus,\odot}$ for the average orbital radius). We note that, throughout this work, the unit of measure “day” refers to an Earth day.

Since we are modeling 3:2 SOR asynchronously rotating planets, this means that the length of an exoplanet day $T_{rotation}$ can be determined from its orbital period:

$$T_{rotation} = 2/3 T_{orbital} = (6 - 20) \text{ days} . \quad (4)$$

The stellar day, equivalent of the solar day on Earth, can be computed according to:

$$T_{stellar} = \left(\frac{1}{T_{rotation}} - \frac{1}{T_{orbital}} \right)^{-1} = (18 - 60) \text{ days} , \quad (5)$$

and corresponds to the interval of time between two successive transits of the star across the same reference meridian on the planet.

A simple rendition of this configuration is presented in Figure 1. The reference meridian (blue arrow) realigns with the host star after completing two full orbits, or equivalently after completing three full rotations with respect to the planetary spin axis. Spin-orbit resonance states affect the orbital spatial distribution of incident

	M_\star (M_\odot)	$a_{p,\star}$ (au)	$T_{orbital}$ (day)	$T_{rotation}$ (day)	$T_{stellar}$ (day)
Case 1	0.18	0.05	9	6	18
Case 2	0.31	0.13	30	20	60

Table 1: SORs scenarios (the unit of measure “day” is an Earth day).

stellar flux: given that we chose to adopt a null eccentricity all longitudes receive the same amount of stellar flux during a stellar period, unlike the 1:1 SOR and the 3:2 eccentric cases (Dobrovolskis 2015).

The simulated climates reach a quasi steady state after 2500 years. The analysis focuses on the last 100 stellar periods after a 2500 year run.

2.3. Planet configuration

We consider an Earth-sized ocean planet, covered by a single, global-scale ocean basin of uniform depth (~ 5500 m). We adopt a “Ridgeworld” configuration (e.g. Smith et al. (2006); Ferreira et al. (2010); Yang et al. (2019); van de Velde et al. (2021)): a single, 2° wide, meridional ridge 145m below the surface connects two polar islands that extend to 86°N/S . This continental configuration, more general than assuming a specific land mask, importantly allows the formation of ocean gyres, prevents the formation of unrealistically large zonal oceanic jets that occur in “Aquaplanet”, while at the same time supporting a large-scale overturning circulation and heat redistribution. The atmospheric composition is Earth-like, characterized by pre-industrial atmospheric concentrations of CO_2 .

Asynchronously rotating potentially habitable planets reside in close orbit round their low-mass host stars, experiencing strong tidal interactions. Therefore, they are subjected to large travelling tides. These tidal effects can be parameterized with an enhanced oceanic vertical diffusivity κ (Di Paolo et al. 2025). We choose to analyze SOR scenarios in two different tidal regimes: a low vertical diffusivity regime with $\kappa = 1.5 \times 10^{-4} \text{m}^2 \text{s}^{-1}$, similar to the one estimated for Earth $\kappa_\oplus = 1 \times 10^{-4} \text{m}^2 \text{s}^{-1}$ (Munk & Wunsch 1998), and a high vertical diffusivity regime with $\kappa = 150 \times 10^{-4} \text{m}^2 \text{s}^{-1}$, that corresponds to a maximized total and oceanic heat transport (Di Paolo et al. 2025).

Simulating exoplanetary climate using both a dynamic and a thermodynamic ocean provides critical insight into the role of oceanic processes in regulating planetary climates. For the former, we employ the default setting of MOMA, which is inclusive of both diffusive and advective processes at play in determining the state of the

ocean, while for the latter we adapt MOMA to exclude advection.

The albedos of snow and ice decrease progressively at longer wavelengths, especially beyond $1 \mu\text{m}$, due to their optical properties. Low-mass stars emit a substantial portion of their radiation in this longer-wavelength range. The resulting albedos of snow and ice on planets orbiting M-stars are significantly lower than those observed on Earth (Joshi & Haberle 2012). In order to take this into account, we use 0.4 as the maximum value for the ice and snow albedo, consistent with a mean value of calculated albedos for different mixtures of ice and snow (Shields et al. 2013).

3. RESULTS

3.1. Ocean

The thermal inertia of the ocean causes the location of the warmest water in the upper layers to be shifted away from the substellar point (Figure 2). At any fixed location, there is a temporal lag between the star’s transit overhead and the peak in sea surface temperature. Differently from the synchronous rotation scenario, where the hot spot is fixed on the planetary surface with respect to the substellar point, in this scenario the hot spot travels across the planetary surface following the passage of the star overhead.

The ocean state is tightly linked to the value of κ . As oceanic diffusion becomes more important, the thermocline deepens. Figure 2 shows how, for both rotational states, the signal travels to greater depths in the cases of enhanced κ with respect to their counterparts. This is evident in Figure 3, which shows the temperature profiles in the equatorial band (between latitudes of 5°S and 5°N) at the longitude 120° , with the spread in the upper ocean representing the variability of temperature due to the transient signal. Both the dynamic and the thermodynamic ocean models simulate less stratified profiles in the cases of high κ . In general, the thermodynamic ocean runs exhibit warmer temperatures than the dynamic ocean runs at this location, as when ocean dynamics are active cold water is advected at depth from high latitudes. This has a less evident effect on near surface temperatures, given that the stellar forcing dominates, resulting in generally similar temperatures for both types of ocean model. Only the $T_{\text{orbital}} = 30$ days, $\kappa = 1.5 \kappa_{\oplus}$ scenario presents marginally warmer temperatures near the surface in the case of the dynamic ocean. This is caused by downwelling occurring at the equator which warms the upper ocean layers.

In the case of the dynamic ocean, the ocean response is a combination of a downward propagating signal and dynamical waves that depend on boundary conditions.

Figure 4 shows the density anomaly at a depth of about 500 m in the case of the dynamic ocean. The plots on the right are obtained by compositing over one hundred stellar periods in each case. In the high κ cases, the effect of vertical diffusion clearly dominates, resulting in an evident downward propagating signal that is linked to, but lags, the location of the substellar point in time. Taking as a reference the longitude 120° , the position of less/more dense water roughly corresponds to the position of the warmer/colder water depicted in Figure 2 at the same depth.

In the faster rotation case, the temperature anomalies propagate at depth more quickly than in the slower rotation case, which is indicated by the inclination of the black contours in Figure 2. This explains why the density anomalies depicted in Figure 4 seem to be in opposite phases in the two high diffusion cases with different rotation period.

In the low κ cases the downward propagating signal is weaker and shallower, and dynamical features can dominate. In the $T_{\text{orbital}} = 9$ days scenario, the very weak intermittent wave-like pattern depicted in Figure 4 appears only after compositing over one hundred stellar periods, but is absent when averaging over shorter intervals of time, and does not appear to be phase-locked with the forcing. Conversely, the bigger anomalies associated with stronger forcing in the $T_{\text{orbital}} = 30$ days scenario are westward propagating equatorial Rossby waves and eastward propagating Kelvin waves. These waves originate at the barrier, positioned at longitude 240° , and are triggered by alternating convergence and divergence of zonal winds: converging winds force downwelling of lighter water, while diverging winds force upwelling of denser water. The patterns of winds are described in more detail in the next section (Atmosphere). We further investigate this case by spreading the composited stellar period, obtained by compositing over 100 stellar periods, into 10 stellar periods obtained by compositing over 10 stellar periods (plot on the left of Figure 4). The Rossby waves, spanning the planet with a velocity of about 2 ms^{-1} , are still clearly visible. Multiple and frequent Kelvin waves with a velocity of about 6 ms^{-1} - about three times the Rossby wave speed - are possibly triggered by stochastic forcings such as random weather events. Phase-locked Kelvin waves are more evident east of the barrier when averaging over longer periods of time.

3.2. Atmosphere

Figure 5a clearly shows that at all times, the surface temperature peaks with a longitudinal shift of about $\sim 60^\circ$ eastward with respect to the location of the sub-

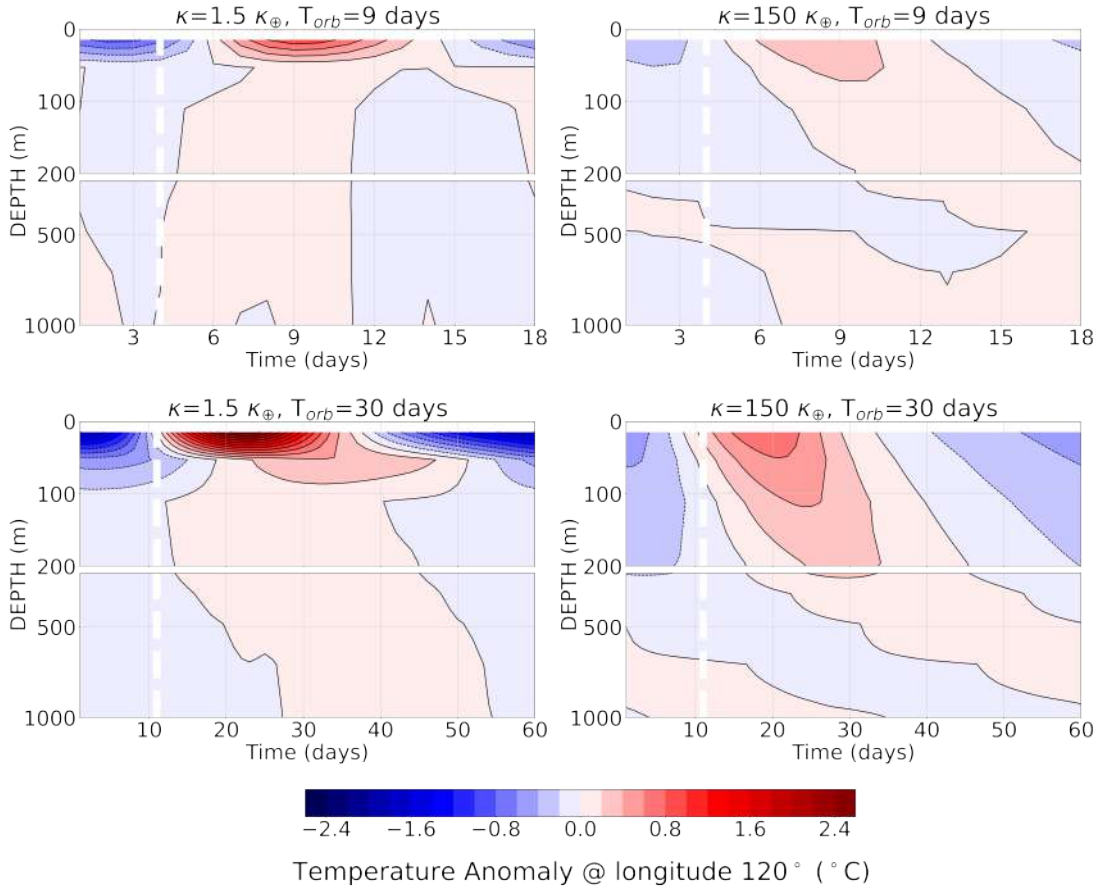


Figure 2: Cross section of the upper dynamic ocean layers, at longitude 120° and between latitudes of 5°S and 5°N , showing temperature anomaly. White dashed lines represent the location of the substellar point. Composite quantities have been averaged over the last 100 stellar periods.

479 stellar point on the planetary surface, consistent with
 480 Figure 2. The most prominent features are observed in
 481 the case $T_{orbital} = 30$ days with $\kappa = 1.5 \kappa_\oplus$, with a
 482 higher surface temperature range. In general, scenarios
 483 characterized by the same value of the vertical diffusion
 484 coefficient will present a stronger signal for the slow rotation
 485 scenario (Figure 2 and Figure 5a). In these scenarios,
 486 the stellar forcing travels slowly across the surface,
 487 the host star illuminates the same spot on the planet for
 488 longer periods of time, and the effect of stellar forcing
 489 dominates. Scenarios characterized by the same rotation
 490 period will present a stronger signal for the low
 491 diffusion scenario, as warm water at the surface is not
 492 rapidly diffused downwards, and more heat is retained
 493 in the upper ocean.

494 In all scenarios, the effect of surface heating domi-
 495 nates, with moisture building up in the lower atmo-
 496 sphere and condensing into clouds, triggering rainfall.
 497 Rainfall peaks with a $\sim 60^\circ$ longitudinal shift with re-
 498 spect to the substellar point (see Figure 5b), coincident
 499 with the highest surface temperature. The rainfall is
 500 significantly more intense in the case $T_{orbital} = 30$ days

501 with $\kappa = 1.5 \kappa_\oplus$, associated with the stronger temper-
 502 ature forcing, reaching a maximum of $\sim 30 \text{ mm day}^{-1}$
 503 over the equatorial band. Rainfall exhibits significant
 504 variability over each stellar period. At any fixed loca-
 505 tion, while the passage of the star overhead is followed
 506 by a clear maximum in the signal averaged in time, the
 507 non-composited signal is noisy. An example of this is
 508 shown in Figure 6, for the longitude $\sim 120^\circ \pm 10^\circ$ in the
 509 equatorial band. As expected, the signal to noise ratio
 510 increases for slower rotations, where a general similar be-
 511 haviour is maintained even for the non-averaged signal.
 512 The case $T_{orbital} = 30$ days with $\kappa = 1.5 \kappa_\oplus$ presents the
 513 most consistent peak in rainfall for each stellar period,
 514 with an average maximum value of $\sim 22 \text{ mm day}^{-1}$ at
 515 this specific location, as expected from the biggest tem-
 516 perature contrast observed in this scenario. The above
 517 shift in surface temperature, and consequently in rain-
 518 fall, is generally observed with both the dynamic and
 519 the thermodynamic ocean models.

520 The surface temperature in the equatorial band is
 521 higher in the thermodynamic ocean scenario for all cases
 522 except the $T_{orbital} = 30$ days with $\kappa = 1.5$ case, which

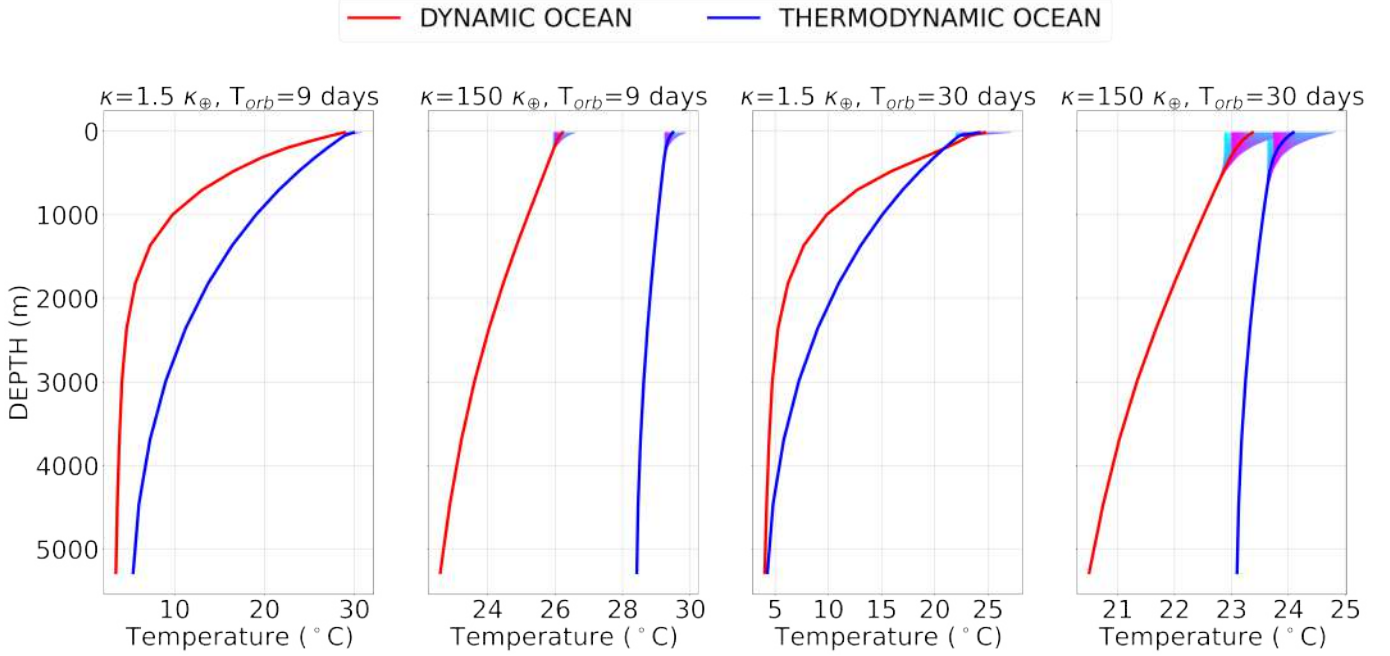


Figure 3: Temperature profiles of dynamic vs thermodynamic ocean at longitude 120° and between latitudes of 5°S and 5°N . For each profile, a spread of daily averaged temperatures is plotted, showcasing the variability of the temperature in the upper ocean layers with the shifting position of the substellar point.

523 exhibits slightly warmer temperatures in the dynamic
 524 ocean scenario, associated with more abundant rainfall.
 525 The peak in total rainfall is generally sharper in the case
 526 of the thermodynamic ocean than in that of the dynamic
 527 ocean. In particular, the higher diffusion scenarios show
 528 a bigger relative increase in the maximum value of total
 529 rainfall, in accordance with a bigger relative increase
 530 in surface temperature (see Figure 3). In general, the
 531 signal still exhibits significant variability with time even
 532 in the thermodynamic ocean cases. The distribution of
 533 clouds, not shown here, does not perfectly correspond to
 534 the rainfall pattern. This is due to a delay between the
 535 formation of clouds and highest precipitation. At any
 536 instant, significant shallow convection is present west-
 537 ward of the substellar point.

538 Figure 7a depicts the convergence/divergence of equa-
 539 torial zonal winds through the alternating bands of east-
 540 erly and westerly winds. As mentioned in the previous
 541 section, equatorial zonal winds at 850 hPa generally con-
 542 verge towards the hot spot on the planetary surface, and
 543 diverge from the diametrically opposite point. This pat-
 544 tern is evident for the slow rotation cases, while is less
 545 demarcated in the faster rotation cases, as a consequence
 546 of the weaker surface temperature gradients associated
 547 with the position of the substellar point.

548 The interactions of the wind patterns with the bar-
 549 rier triggers oceanic Rossby (Kelvin) waves that prop-
 550 agate westward (eastward) from the barrier at depth

551 (Figure 4). Observing the case $T_{orbital} = 30$ days with
 552 $\kappa = 1.5 \kappa_\oplus$ at the location of the barrier (longitude
 553 240°), the convergence of eastward and westward zonal
 554 winds at the beginning of the stellar period (Figure 7a)
 555 results in oceanic downwelling. The warmer lighter wa-
 556 ter in the upper layers of the ocean is forced down-
 557 wards into deeper layers ocean, resulting in the nega-
 558 tive anomaly depicted in Figure 4. At the same loca-
 559 tion, about halfway through the stellar period, the di-
 560 vergence of westward and eastward zonal winds (Figure
 561 7a) results in oceanic upwelling. Cold dense water re-
 562 siding at depth is forced upward, leading to a positive
 563 anomaly. The anomalies then propagate westward as
 564 Rossby waves and eastward as Kelvin waves.

565 Figure 7b depicts the zonal wind at 850 hPa at the
 566 fixed location of 120° as a function of latitude. As
 567 expected, the wind at the equator exhibits alternating
 568 eastward and westward directions depending on the time
 569 during the stellar period. The pattern is more latitu-
 570 dinally extended in the slow rotation scenarios, while
 571 it is constrained to lower latitudes in the fast rota-
 572 tion cases owing to weaker divergence associated with
 573 a smaller heating anomaly. For the same rotation rate,
 574 $\kappa = 150 \kappa_\oplus$ cases exhibit weaker high-latitude wester-
 575 lies than $\kappa = 1.5 \kappa_\oplus$ cases, due to reduced meridional
 576 temperature gradients. This behaviour can be described
 577 as a moving Walker circulation that follows the shifting
 578 position of the peak surface temperature. Similarly, in

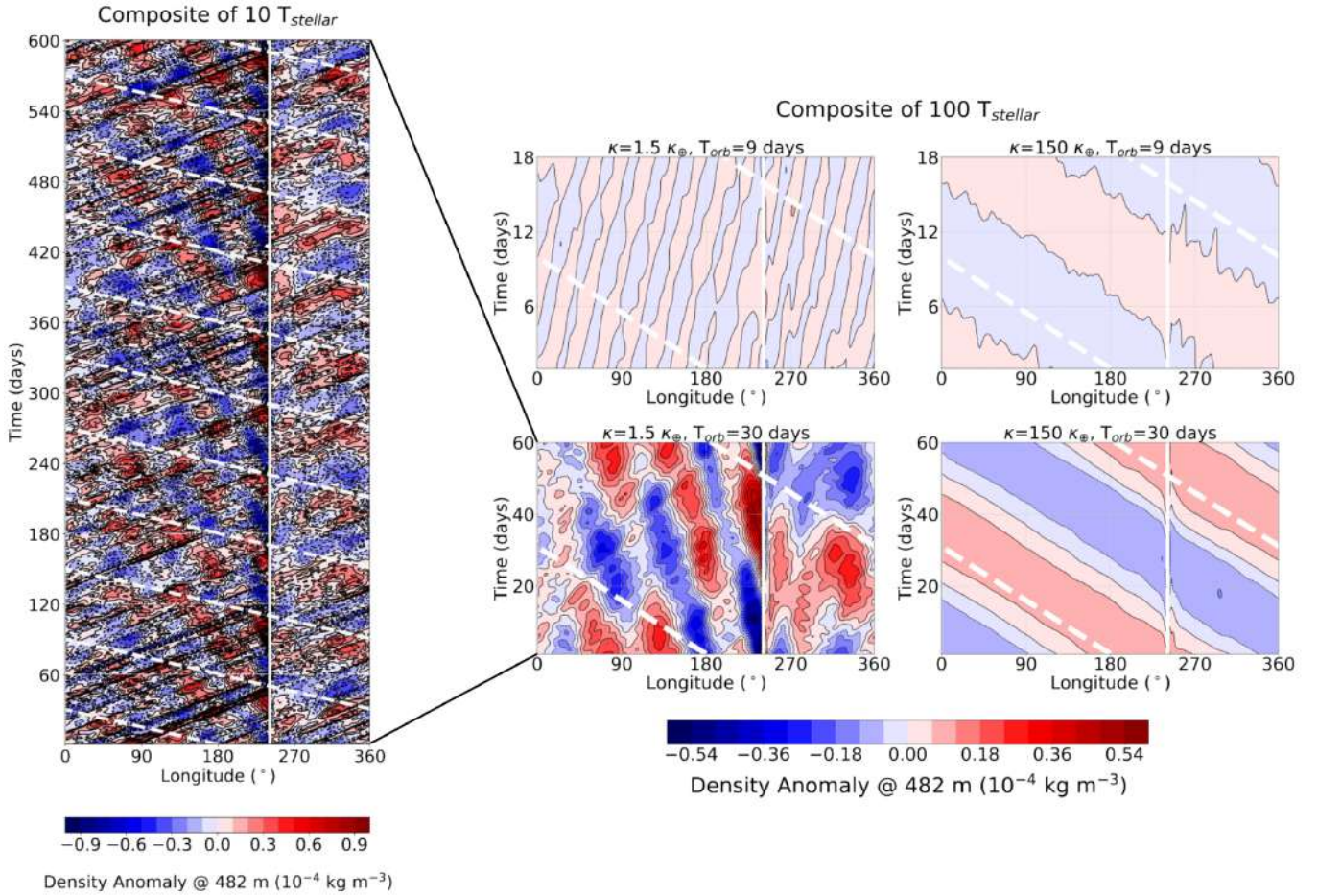


Figure 4: Hovmöller diagram showing density anomaly at 482 m of depth, between latitudes of 5°S and 5°N . White dashed lines represent the location of the substellar point. Composite quantities at the equator have been averaged either over the last 100 stellar periods either into one composited stellar period (plots on the right) or into ten composited stellar periods (plot on the left).

579 the case of slowly rotating 1:1 SOR exoplanets, atmo-
 580 spheric circulation models predict a global overturning
 581 circulation centered on the substellar point; air rises at
 582 the substellar point in the permanent day hemisphere,
 583 travels towards the colder night hemisphere in the up-
 584 per atmosphere, and sinks at a location that depends
 585 on the strength of the circulation (Hammond & Lewis
 586 2021). Equatorial zonal winds at 250 hPa (not shown)
 587 have a superrotating westerly wind jet in all scenarios.
 588 In general, the results of the thermodynamic ocean sim-
 589 ulations show a similar behaviour. However, asymme-
 590 tries with respect to the equator and/or with respect to
 591 the position of the substellar point depicted in Figure 7
 592 are introduced by dynamical features that are obviously
 593 absent in the case of the thermodynamic ocean.

594 In slow rotation cases, the meridional circulation in
 595 the hemisphere centered on the hot spot on the plan-
 596 etary surface consists of a single Hadley cell extending
 597 from the equator to the poles (Haqq-Misra et al. 2018),

598 whereas in fast rotation cases the Hadley circulation is
 599 restricted to low latitudes.

600 3.3. Observables

601 Mapping terrestrial exoplanets involves constraining
 602 temporal and spatial properties in order to gain further
 603 insight into the planetary characteristics, therefore al-
 604 lowing us to develop an understanding of their climate
 605 dynamics. Possible photometric signals are the plane-
 606 tary top-of-atmosphere outgoing longwave radiation, or
 607 thermal emission, and the top-of-atmosphere outgoing
 608 shortwave radiation, or reflected light. Thermal orbital
 609 phase curves are a simple method to infer information
 610 regarding the planetary temperature and heat trans-
 611 port efficiency from the disk-integrated emitted thermal
 612 flux. On the other hand, reflected light orbital phase
 613 curves provide crucial insight into surface composition
 614 and cloud coverage. Together, photometric phase curves
 615 are crucial for understanding exoplanetary climate dy-
 616 namics and potential habitability.

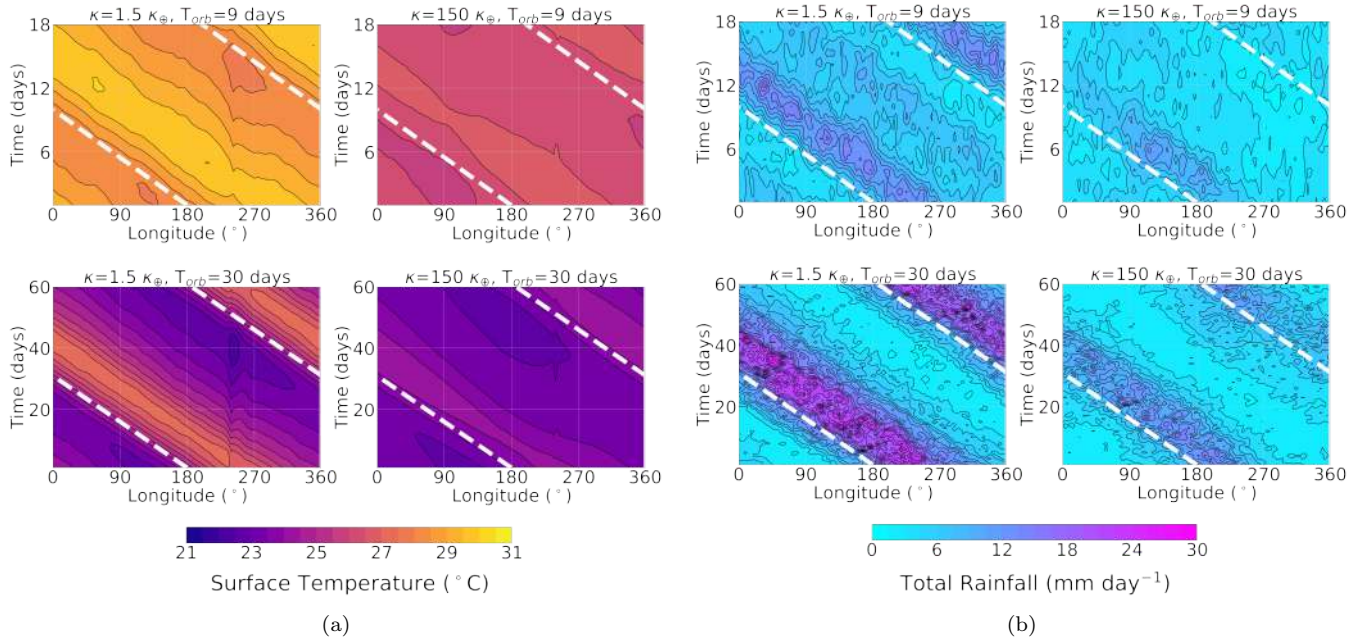


Figure 5: Hovmöller diagram showing composite quantities averaged over the equatorial band ($5^{\circ}\text{S} - 5^{\circ}\text{N}$) and over the last 100 stellar periods. White dashed lines represent the location of the substellar point. (a) Surface temperature, (b) total rainfall.

617 The planet top-of-atmosphere outgoing radiation is
 618 split into longwave ($> 4 \mu\text{m}$) and shortwave (**UV-**
 619 **Vis-NIR, between $0.12 - 0.4 \mu\text{m}$**) in the atmospheric
 620 model output. We assume an edge on orientation, so
 621 that orbital phases of ± 0.5 correspond to secondary
 622 eclipses, where only the day hemisphere of the planet
 623 is visible to the observer, and orbital phases of $\pm 0, 1$
 624 are transit events, where only the night hemisphere of the
 625 planet is visible to the observer. In order to focus on the
 626 climatic features of each case, we exclude the contribu-
 627 tion of the star to the total observed flux in each orbital
 628 configuration, effectively separating the planetary sig-
 629 nal. The light curves depicted in this section in Figure
 630 9 simulate daily observations for a total of 1800 days,
 631 corresponding to 100 stellar period for the faster rota-
 632 tion cases, and 30 stellar periods for the slow rotation
 633 scenario. Each plot show the daily visible hemisphere
 634 integrated flux, the 10th and 90th percentile and the
 635 composited signal.

636 Previous studies of 3:2 SORs circular orbits scenar-
 637 ios adopted an “Aquaplanet” setup with no meridional
 638 barriers, which is completely zonally uniform. Observ-
 639 ing different areas of the planet would not imply any
 640 longitude-specific feature: excluding transient events,
 641 the potential observables would not exhibit any variabil-
 642 ity between consecutive orbits. In our modelling config-
 643 uration, it is crucial to consider the full orbital phase
 644 curves over two consecutive orbits, or equivalently over

645 one full stellar period. This is because in two consecutive
 646 orbits, the same orbital configuration is associated with
 647 different portions of the planet in the field of view of the
 648 observer. The configuration depicted in Figure 1 shows
 649 how the position of a reference meridian (blue arrow)
 650 with respect to the observer changes in time: when con-
 651 sidering equivalent orbital phases for consecutive orbits,
 652 the observed signal originates from different portions of
 653 the planet. The white transparent bands in Figures 8
 654 highlight the planetary hemisphere that is visible to the
 655 observer at all times during a stellar period.

656 The top-of-atmosphere shortwave radiation repre-
 657 sented in Figure 8 exhibits a slight asymmetry with re-
 658 spect to the substellar point (white dashed line) in all
 659 cases: the signal peaks eastward from the position of the
 660 substellar point, as we would expect from the previous
 661 considerations regarding the rainfall longitudinal shift.
 662 The reasons for the small amount of asymmetry because
 663 the amount of reflected light is highly dependent on the
 664 cloud amount. As mentioned in the previous section (see
 665 [Atmosphere](#)), the significant asymmetry in the rainfall
 666 signal with respect to the substellar point does not nec-
 667 essarily correspond to an equally evident asymmetry in
 668 the cloud coverage. The second reason stems from sys-
 669 tem geometry: incoming stellar flux scales with the co-
 670 sine of the zenith angle, so the amount of potentially re-
 671 flected light decreases moving longitudinally away from
 672 the substellar point. Although the precipitation peak is

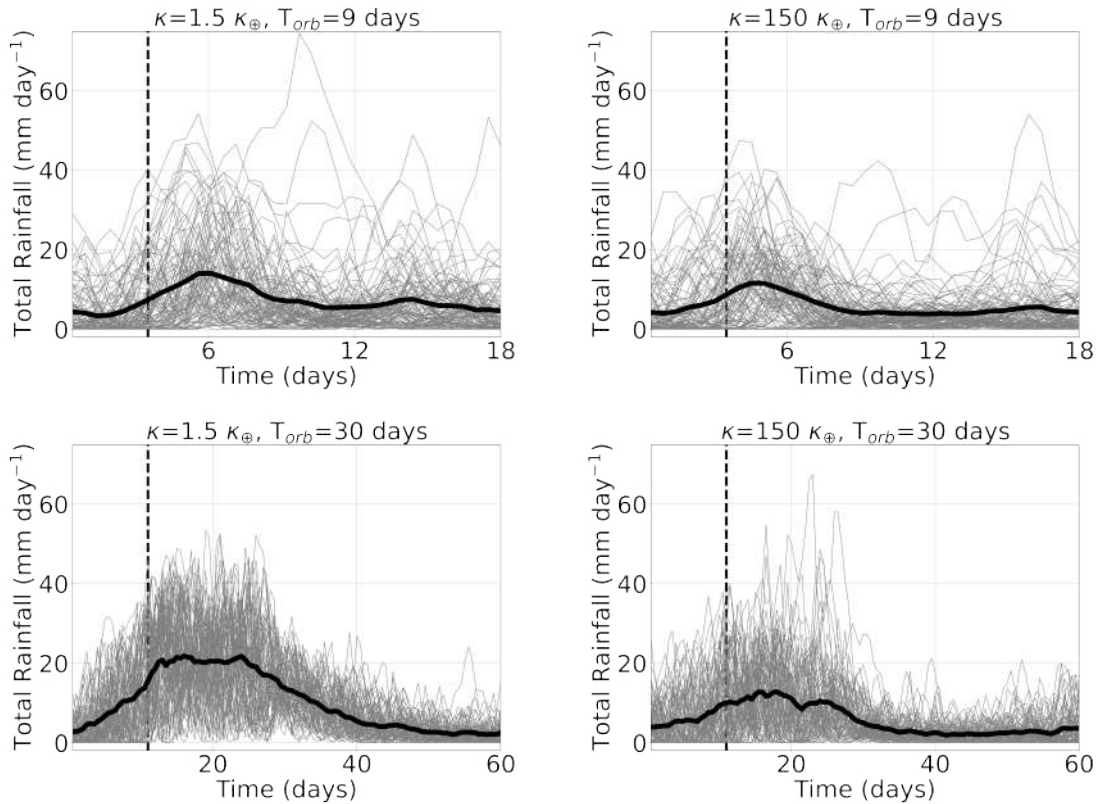


Figure 6: Variability of total rainfall at longitude 120° and averaged between latitudes of 5°S and 5°N . For each case, the thick black solid line represent the composited average over the last 100 stellar periods, while light gray solid lines represent rainfall during each of these stellar periods. Black dashed lines represent the instant that corresponds to the passage of the star overhead at this location.

673 evident, its $\sim 60^\circ$ offset implies a reduced reflected flux.
 674 Consequently, while Figure 8a shows slight asymmetry,
 675 the disc-integrated signal in Figure 9 remains largely
 676 symmetric about transits/secondary eclipses midpoints,
 677 with only minor deviations in slow rotation cases.

678 The top-of-atmosphere longwave radiation repre-
 679 sented in Figure 8b clearly shows that the minimum
 680 thermal emission to space is co-located with the maxi-
 681 mum rainfall, as the latter is associated with deep con-
 682 vective cloud having cold cloud tops. As expected,
 683 the equatorial band signal is more prominent for the
 684 $T_{\text{orbital}} = 30$ days with $\kappa = 1.5 \kappa_\oplus$ case, that exhibits
 685 the strongest rainfall signal. The thermal emission ap-
 686 pears to be generally more muted and less spatially con-
 687 strained for the $T_{\text{orbital}} = 9$ days cases that exhibit the
 688 noisiest rainfall signal. In these cases, the hemisphere-
 689 integrated top-of-atmosphere outgoing longwave radi-
 690 ation depicted in the phase curves shows no strong corre-
 691 lation with different orbital phases, as shown by the top
 692 panels of Figure 9a. This is the result of a more zon-
 693 ally uniform thermal emission from the planet, yielding
 694 a more homogeneous signal. In the cases of slower ro-
 695 tation, the disc-integrated top-of-atmosphere outgoing

696 longwave radiation depicted in the light curves show evi-
 697 dent asymmetries with respect to the transits/secondary
 698 eclipses midpoints. A sinusoidal curve is clearly iden-
 699 tifiable, with evident peaks occurring before the transit
 700 phase, during which the entirety of the dark hemisphere
 701 is facing the observer. Both emitted light curves shown
 702 in the bottom panels of Figure 9a are negatively shifted
 703 as a result of the longitudinal asymmetry introduced by
 704 the rainfall signal. This negative shift appears to be
 705 smaller for the high diffusion case with respect to the
 706 low diffusion case, as a consequence of the weaker asym-
 707 metry in outgoing longwave radiation at the top of the
 708 atmosphere in this scenario due to a weaker rainfall sig-
 709 nal (as mentioned in [Atmosphere](#)).

710 Figure 9 highlights the reflected and emitted light vari-
 711 ability, by specifically showcasing the 10th and 90th per-
 712 centiles of the distribution of data from each stellar pe-
 713 riod, as well as the composite average. As expected,
 714 cases of fast rotation present bigger uncertainties, with a
 715 significant spread for the thermal emission phase curve.
 716 Between the two cases characterized by slow rotation,
 717 the low diffusion case is noticeably less noisy, as a con-

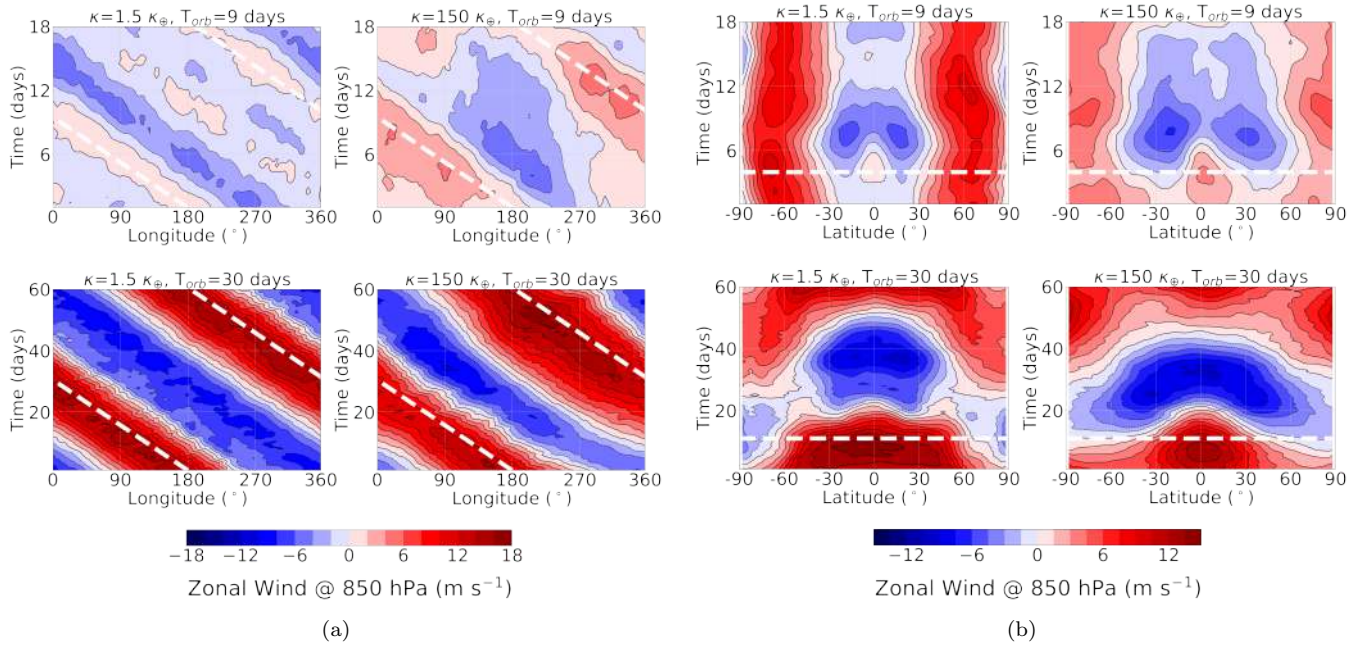


Figure 7: Hovmöller diagram showing composite quantities averaged over the last 100 stellar periods. White dashed lines represent the location of the substellar point. (a) Zonal wind at 850 hPa, averaged over the equatorial band ($5^{\circ}\text{S} - 5^{\circ}\text{N}$), as a function of longitude. (b) Zonal wind at 850 hPa, at longitude 120° , as a function of latitude.

718 sequence of the previously mentioned stronger rainfall
719 signal.

720 The results relative to the thermodynamic ocean simu-
721 lations, depicted in Figure 9b, show comparable results
722 regarding the reflected light curve. The emitted light
723 curves present asymmetry with respect to the midpoint
724 of the transit, with a comparable shift than their coun-
725 terparts in Figure 9a. The similarity of these feature
726 indicates that the general climate response is not espe-
727 cially affected by ocean dynamics, and that the surface
728 temperature offset - and therefore rainfall and thermal
729 emission offsets - are a direct consequence of the thermal
730 inertia of the ocean and not a result of horizontal ocean
731 heat transport.

732 Ocean dynamics plays a role in determining the ampli-
733 tude of the thermal emission phase curve. In the slow
734 rotation case, the thermodynamic ocean emission phase
735 curve has a slightly larger amplitude with respect to its
736 dynamic counterpart. Compared to the dynamic ocean,
737 the thermodynamic scenario, in which circulation is ab-
738 sent, presents higher temperatures at the equator, but
739 significantly lower temperature at mid- and high- lati-
740 tudes. This results in lower rainfall and generally more
741 abundant thermal emission. This is especially evident
742 for the higher diffusion case, in which meridional surface
743 temperature gradients are starker.

744 An additional interesting feature of the emitted light
745 curves depicted in the bottom panels of Figure 9a is

746 the unequal magnitude of successive maxima and min-
747 ima, as most evidently shown for the case $\kappa=150 \kappa_{\oplus}$,
748 $P_{orbital} = 30$ days. This is a direct consequence of how
749 ocean dynamics interact with the barrier, as this feature
750 is non existent in the thermodynamic counterpart.

751 More specifically, taking as an example the consecu-
752 tive maxima of the dynamical case $\kappa=150 \kappa_{\oplus}$, $P_{orbital} =$
753 30 days, it is evident that the first peak is marginally
754 smaller than the second. Given our observational con-
755 figuration set up, the orbital phase associated with the
756 first peak corresponds to observing the hemisphere east
757 of the barrier, while the second peak corresponds to ob-
758 serving the hemisphere west of the barrier, with the bar-
759 rier having just crossed the eastern terminator. The
760 visible hemisphere associated with the first peak ex-
761 hibits warmer temperatures, a more prominent rainfall
762 and consequently a smaller amount of thermal emission.
763 This is due to the fact that the barrier allows the for-
764 mation of gyres, that transport heat from the equator
765 to the mid latitudes, analogous to what happens with
766 western boundary currents on Earth (Atkinson 2010).

767 The time-dependency of the curve amplitude is more
768 evident in the high diffusion case, because the enhanced
769 ocean circulation results in higher mid- and high- lati-
770 tude surface temperatures compared to the low diffusion
771 scenario, exacerbating the zonal asymmetry introduced
772 by the barrier.

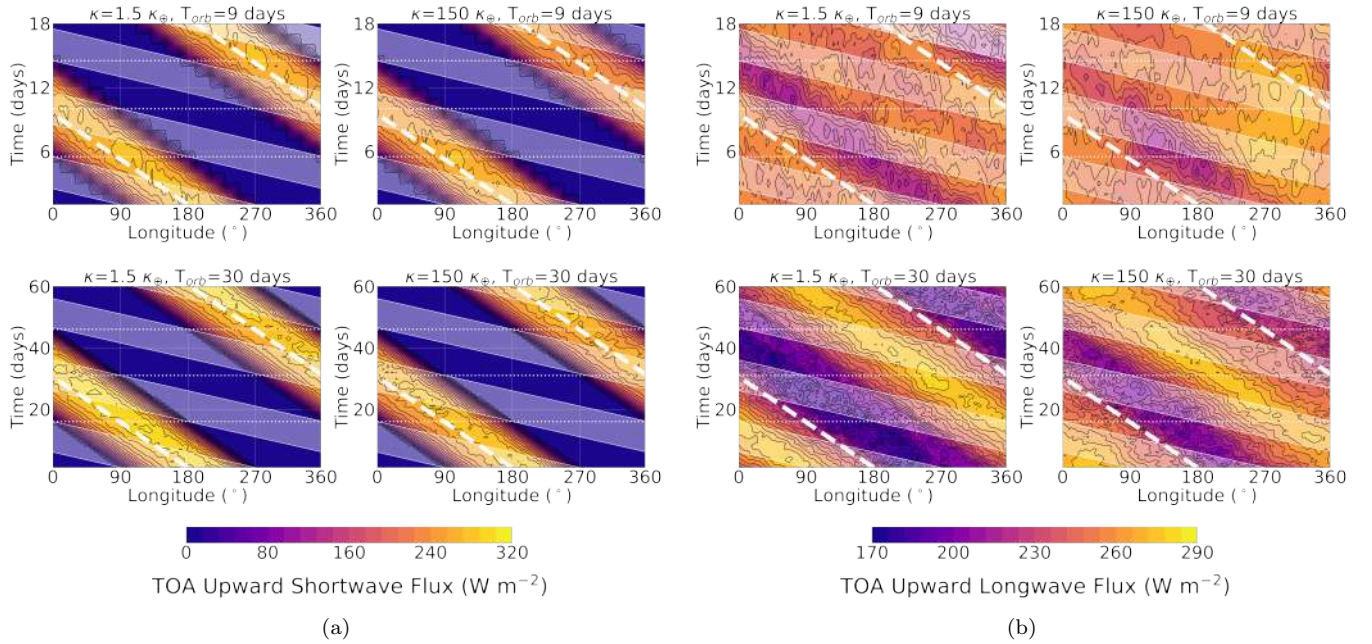


Figure 8: Hovmöller diagram showing composite quantities averaged over the equatorial band ($5^{\circ}\text{S} - 5^{\circ}\text{N}$) and over the last 100 stellar periods. **White dashed lines represent the location of the substellar point, thin dotted lines correspond to the main phases of transits and secondary eclipses, starting with the first secondary eclipse in all cases. Transparent white stripes indicate the regions of the planet that fall within the observer’s field of view, as determined by the system geometry, and correspond to the turquoise hemispheres schematically illustrated in Figure 1. (a) Outgoing shortwave radiation at the top of the atmosphere. The portion of the planet observable in reflected light lies within the transparent white bands on the dayside of the planet, where the TOA upward shortwave flux is non zero. (b) Outgoing longwave radiation at the top of the atmosphere, with the longitudes observable in thermal emission falling within the transparent white bands.**

773 For both the reflected and emitted light, the ther-
 774 modynamic ocean simulations present a slightly smaller
 775 spread of the 80th percentile compared to the dynamic
 776 ocean simulations, consistent with the muted rainfall
 777 variability observed in these scenarios, as mentioned in
 778 the [Atmosphere](#) section.

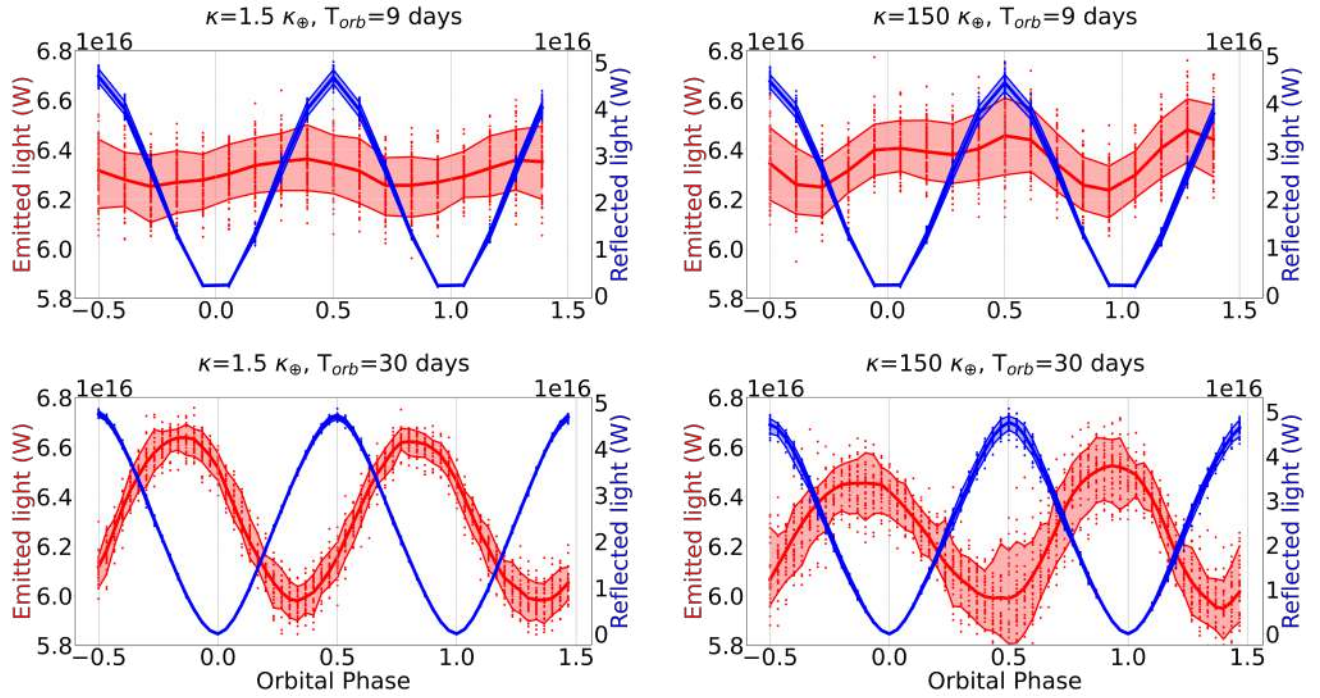
779 4. DISCUSSION AND CONCLUSIONS

780 In this paper we employ a 3D coupled atmosphere-
 781 ocean general circulation climate model to investigate
 782 climate features of water-rich terrestrial exoplanets cap-
 783 tured into 3:2 SORs in the habitable zone of low-mass
 784 stars. We explore the interplay between climate, or-
 785 bital configuration, and therefore rotation regime, and
 786 oceanic tidal regime. We address the issue of estimating
 787 potential observational characteristics of such planets by
 788 analyzing reflectance and thermal emission phase curves,
 789 in an effort to establish a criterion that would allow us
 790 to discriminate between two possible outcomes of tidal
 791 locking - 1:1 and 3:2 SORs - for potentially habitable
 792 planets orbiting low-mass stars. This work is primar-
 793 ily motivated by the lack of previous research using a

794 climate model with a dynamic ocean to focus on the
 795 evolution of climatic features during a stellar period for
 796 planets captured into higher order SORs. While the
 797 1:1 SOR scenario has been extensively studied, 3:2 SOR
 798 cases are still relatively unexplored.

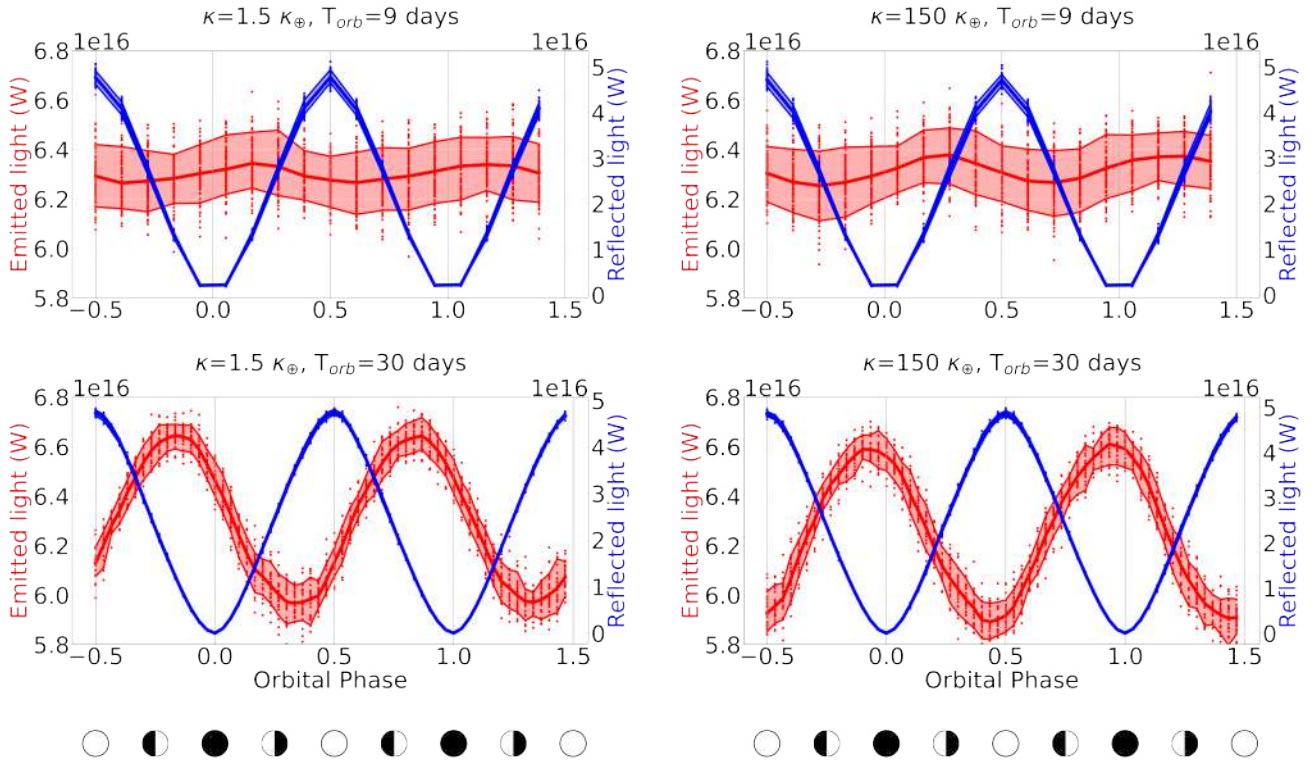
799 The aim of our study is to offer an insight on how
 800 both atmosphere and ocean dynamics shape planetary
 801 climate and affect potentially observable quantities for
 802 the duration of a stellar period in this scenario. In or-
 803 der to present a valuable tool of comparison between
 804 two possible SOR scenarios we conducted an idealized
 805 study, focusing on varying two parameters - associ-
 806 ated with rotation and tidal regimes - of the multidimensional
 807 parameter space. This choice resulted in fixing other pa-
 808 rameters, such as the stellar radiation forcing at the top
 809 of the atmosphere and the orbital eccentricity, to spe-
 810 cific values. For instance, the instellation is assumed
 811 to be Earth-like, and the orbit is presumed to be cir-
 812 cular. While exploring other values of the parameter
 813 space would be interesting, it would also be computa-
 814 tionally expensive and would introduce multiple degen-

DYNAMIC OCEAN



(a)

THERMODYNAMIC OCEAN



(b)

Figure 9: Emitted and reflected light phase curves for the dynamic ocean scenario (a) and the thermodynamic ocean scenario (b). Scatter plot: daily hemisphere integrated emitted (red) and reflected (blue) flux. Thick line plot: composited emitted (red) and reflected (blue) signal. Shaded region: 10th to 90th percentile range of the emitted (red) and reflected (blue) signal.

eracies that would render a direct comparison of different scenarios more complicated. The choice of a null orbital eccentricity, even if idealized, is particularly convenient, as non-null values would introduce the question of having to additionally establish the planet’s longitude at perihelion, introducing yet another dimension to the parameter space. Moreover, the specific choice of adopting a “Ridgeworld” configuration is motivated by the intent of representing a continental setup that would allow a more Earth-like ocean circulation compared to an “Aquaplanet” configuration, while being as general as possible at the same time.

The ocean response is a combination of downward propagating signals and dynamical waves that depend on boundary conditions. Due to the thermal inertia of the ocean, the surface temperature exhibits a 60° longitudinal shift with respect to the position of the substellar point. This results in a similar shift of the position of the maximum rainfall on the planetary surface, with a consequent asymmetry of the thermal emission of the planet, which instead of being centered on the substellar point is shifted westward. This pattern, although present in all analyzed scenarios, is more evident for the slow rotation regime, as the ocean - and consequently the atmosphere - have more time to respond to the heating caused by the slowly shifting stellar forcing. For the same rotation case, tidal scenarios characterized by weaker ocean vertical diffusion present more clearer climate patterns, as the temperature signal resulting from the stellar forcing is not efficiently mixed in the vertical but persists strongly in the upper ocean layers.

In order to fully characterize exoplanetary properties, a combination of thermal emission and reflected light observations would be necessary (Cowan et al. 2012). We simulate phase curves for both emitted and reflected light for all analyzed scenarios, assuming the orbit to be edge-on with respect to a potential observer. Reflected light phase curves do not present any substantial asymmetry with respect to the midpoint of the transit, in any of the analyzed scenarios. Therefore, there is no discernible difference between a 1:1 and a 3:2 scenario, as observed reflected light would peak at full phase (during secondary eclipses) and drop to minima at transits, with no noticeable offset or asymmetry in both cases.

Thermal emission phase curves present more interesting features. The top-of-atmosphere outgoing thermal radiation is a result of the interplay between surface temperature, cloud formation and atmospheric dynamics. In the case of a 1:1 SOR dry exoplanet, with no thick atmosphere, the planet would be characterized by stark thermal contrast between its permanent day and night sides. This would result in a sharp thermal emis-

sion peak near secondary eclipse and minimal thermal emission near transit (Koll & Abbot 2015). In the case of a simulated 1:1 SOR exoplanet with a coupled slab ocean, the amplitude of the phase curve would be reduced, as the temperature contrast between the day and the night side would be less stark with respect to the dry case. While the maximum surface temperature coincides with the substellar point, the day hemisphere is shrouded in thick symmetric clouds, which would cause reduced observed thermal emission at the top of the atmosphere. This results in peaks corresponding to transits and troughs corresponding to secondary eclipses (for instance, broadband thermal emission phase curves calculated for temperate aquaplanets shown in Wolf et al. (2019)). These simplified examples of phase curves, shown in the top panels of Figure 10, assume no significant horizontal heat transport - simulated in coarse GCMs with no meridional barriers by unrealistically strong equatorial jets - and no appreciable cloud advection due to strong atmospheric circulation. These would result in a longitudinal shift of the planetary outgoing longwave emission. Moreover, we assume a temperate mean state of the climate, comparable to the one simulated in our cases, and associated with a planet orbiting in the middle range of the habitable zone.

We compare our results, obtained for a 3:2 SOR scenario by employing a coupled atmosphere-ocean general circulation model equipped with a full-depth dynamic ocean, to 1:1 SOR idealized results in Figure 10. We find that both a fast and a slow rotation regime result in phase curves characterized by significantly different features compared with the synchronous rotation scenario, meaning that discerning between the two SORs might be possible from thermal emission observations. In the case of a fast rotation regime, the more homogeneous atmosphere results in a smoother phase curve, with little evidence of peak and troughs. In the case of a slow rotation regime, the longitudinal shift of outgoing longwave radiation as a consequence of the shifted position of the peak in rainfall results in an offset of the thermal emission phase curve. With respect to the 1:1 SOR slab ocean scenario, the phase curve in this case presents a negative shift, with an evident asymmetry with respect to the mid point of the transit. Moreover, the impact of ocean dynamics in combination with a “Ridgeworld” continental configuration results in an orbital phase-dependent amplitude of the curve. The importance of ocean circulation in shaping planetary climates, and therefore potential observables, is evident: this appreciable effect is triggered by a submerged continental barrier, and would undoubtedly be even more pronounced for actual continents, that could introduce

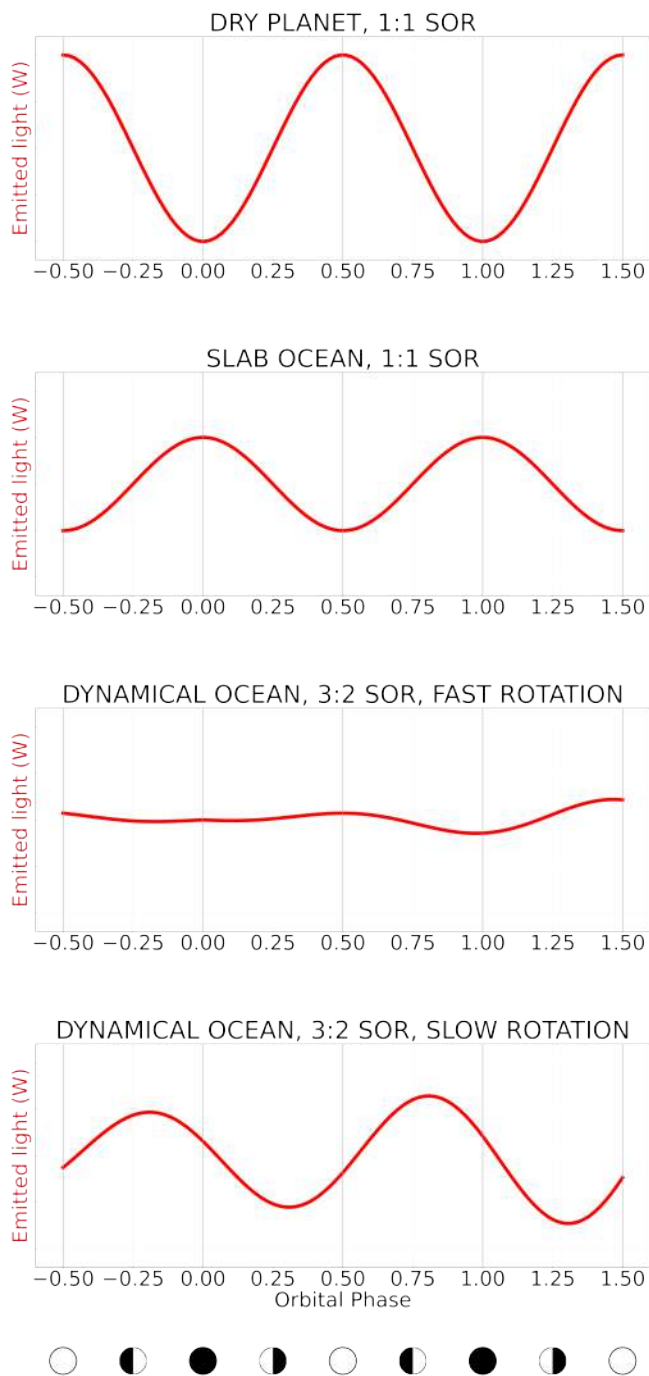


Figure 10: Thermal emission phase curve schematics for idealised 1:1 SOR - based on previous works, for instance (Koll & Abbot 2015; Wolf et al. 2019) - and our 3:2 SOR scenarios.

919 an additional longitudinal asymmetry linked to different
920 surface types.

921 Climate simulations of similar scenarios differing exclu-
922 sively for the initial position of the barrier with re-
923 spect to the substellar point would present the same lon-
924 gitudinal shift in maximum surface temperature, peak

925 rainfall and minimum outgoing longwave radiation. The
926 initial position of the barrier with respect to the observer
927 would influence the shape of the thermal emission phase
928 curve (for instance, the amplitude of consecutive peaks
929 and troughs), but not its offset. This is a consequence
930 of different portions of the planetary surface being in
931 the field of view of the observer. As mentioned in the
932 **Observables** section, the longitude specific feature of the
933 barrier introduces asymmetries in the planetary thermal
934 emission associated with the same orbital configuration
935 during consecutive orbits.

936 We note that, although several climate studies regard-
937 ing 1:1 SOR exoplanets simulate the position of the sur-
938 face temperature maximum to roughly correspond to the
939 substellar point location, numerous papers have demon-
940 strated how a hot spot offset is highly sensitive to several
941 different factors. Efficient atmospheric/oceanic horizon-
942 tal heat transport and robust equatorial jet streams can
943 cause an eastward shift of the hot spot with respect to
944 the substellar point (for instance, Hammond & Lewis
945 (2021)). Ocean dynamics influence surface temperatures
946 and atmospheric patterns, and can result in lobster-like
947 spatial pattern instead of eyeball configurations (for in-
948 stance, (Del Genio et al. 2019)). Various other param-
949 eters play an important role in determining climate pat-
950 terns in this scenario, such as stellar flux, atmospheric
951 composition, planetary rotation rate, surface gravity,
952 planetary radius, and cloud particle size (for instance,
953 Komacek & Abbot (2019)). **An additional factor to
954 consider is the host star spectrum, as the in-
955 teraction of incident stellar radiation with at-
956 mospheric gases and aerosols, and subsequently
957 with the ocean, can potentially affect key climate
958 features and thereby impact both reflected light
959 and thermal phase curves.** The extreme sensi-
960 tivity not only to the choice of parameter values, but
961 also to physical parameterizations and model architec-
962 ture complexity and resolution, warrant a vast range of
963 further study of planetary climate states. This issue
964 has prompted the development of a model intercompar-
965 ison study specifically for synchronous planets orbiting
966 low-mass stars Haqq-Misra et al. (2024), in an ongo-
967 ing effort to systematically evaluate model performance
968 and achieve a consistent framework that would allow a
969 realistic interpretation of planetary environments from
970 future observational data.

971 The feasibility of relying on this kind of study to in-
972 fer information from observational data is still currently
973 under development and is subject to continuous and fur-
974 ther refinement. Theoretically, variations in reflectiv-
975 ity and outgoing longwave radiation may be leveraged
976 to distinguish between different orbital configurations.




977 However, for the case of temperate Earth-sized plan-
 978 ets orbiting low-mass stars, this will require the capa-
 979 bilities of the next generation of observatories including
 980 the Large Interferometer For Exoplanets (LIFE) (Quanz
 981 et al. 2022b) and the Planetary Camera and Spectro-
 982 graph (PCS) for the Extremely Large Telescope (ELT)
 983 (Kasper et al. 2021). We estimate the photometric flux
 984 ratio between our simulated planets and an M host star
 985 to be $\sim 10^{-8}$, which is above the threshold contrast
 986 that the PCS can achieve for hypothetical ex-Earths
 987 orbiting nearby M-stars, assuming an adequate angular
 988 separation.

989 The next generation of ground- and space-based obser-
 990 vatories have the potential to directly image nearby ter-
 991 restrial exoplanets residing in the habitable zone of their
 992 host star through both thermal emission and reflected
 993 light, enabling their characterization. This will allow the
 994 atmospheric characterization of a statistically relevant
 995 sample of potentially habitable world candidates, which
 996 will require the implementation of realistic climate mod-
 997 els in order to correctly interpret observational data. In
 998 this context, modeling studies such as the one presented
 999 in this paper will represent a useful tool develop a theo-
 1000 retical understanding of what future observations might
 1001 be able to constrain.

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AUTHOR CONTRIBUTIONS STATEMENT

1014
 1015 M.D.P., D.S. and M.J. conceived the study. M.D.P.
 1016 conducted the experiments. All authors contributed to
 1017 the analysis. All authors reviewed the manuscript.

CODE AND DATA AVAILABILITY

1018
 1019 The FORTE2.0 Blaker et al. (2021) code, compi-
 1020 lation instructions and example run scripts, together
 1021 with all necessary ancillary files, are accessible at
 1022 doi.org/10.5281/zenodo.4108373.

1023 The data that support the findings of this study are
 1024 accessible at doi.org/10.5281/zenodo.17885048.

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