1	Smart fertilizers as a strategy for sustainable agriculture		
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- 24 Abstract
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26 In the coming decades there will be increasing pressure on global food systems, and 27 agriculture will have the challenge to provide food security for a growing world population 28 without impacting environmental security. Accordingly, it will be necessary to use modern 29 technologies in agroecosystems in order to supply sufficient food and decrease the negative 30 impacts on the environment induced by chemical fertilization and by inadequate disposal or reuse of agricultural wastes. A combination of biotechnology and nanotechnology has the 31 32 potential to revolutionize agricultural systems and provide solutions for current and future 33 problems. These include the development and use of smart fertilizers with controlled 34 nutrient release, together with bio-formulations based on bacteria or enzymes. This study 35 was designed to provide a critical review of information related to current food security 36 issues and the role of smart fertilizer development in future food production. We 37 concentrate on advances in the development of controlled release biofertilizers and the use 38 of harvesting residues as coating and carrier materials.

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40 **Keywords:** biofertilizer; bio-formulations; carrier materials; harvesting residues; food

- 41 security; nanofertilizers; slow/controlled release; wheat straw.
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81 **1. Introduction**

82 Agricultural land systems (cropland, managed grassland, permanent crops including 83 agro-forestry and bio-energy crops) cover about 40–50% of the Earth's land surface (Smith 84 et al. 2007), on which humanity needs to secure food production. The global population is 85 expected to increase from 7.2 billion to 9.6 billion by 2050 (UN, 2013), which will increase 86 food demand and fodder requirements for feedstock. In 2015, the UN adopted 17 87 sustainable development goals, aiming to eradicate hunger and extreme poverty by 2030, 88 while at the same time preserving the environment and global climate. This implies sustainable intensification on existing agricultural land through innovation and 89 90 collaboration between multiple sectors (Chabbi et al., 2017). One option to achieve greater 91 crop production could be the improvement of plant fertilization strategies.

92 Nitrogen (N) and phosphorus (P) are essential nutrients for plant growth and 93 consequently the application of these nutrients as chemical fertilizers has been growing 94 since the green revolution in the 1960s and determines crop productivity (Haygarth et al. 95 2013; Mora et al., 2007; Stewart et al., 2005). Continued fertilizer inputs are essential to 96 sustain and increase food production. However, there are problems associated with mineral 97 fertilizer use because of relatively low nutrient uptake by crops in productive systems 98 (Trenkel, 1997). High fertilization rates lead to N and P losses with negative impacts on atmospheric greenhouse gas (GHG) concentrations and water quality (Havgarth et al. 99 100 2013). There is an urgent need to improve nutrient use efficiency in agricultural systems, 101 and to manage biogeochemical cycles in a sustainable way (Rumpel et al., 2015a). This includes the development and application of modern biotechnological tools, such as plant 102 103 growth promoting rhizobacteria (PGPR) and diazotrophic N₂-fixing bacteria as alternatives

104 to conventional fertilization.

105 Many different agricultural commodities are produced worldwide and will be 106 needed to assure a diverse, healthy nutritious diet. However, global food security will 107 continue to depend most heavily on stable foods, which are the three cereal crops rice 108 (Oryza spp), wheat (Triticum spp) and maize (Zea mays spp). Global cereal production has 109 to increase with the objective to satisfy the growing global demand (He et al., 2014). 110 Higher production will in turn increase the amounts of harvesting residues (e.g. straw, 111 stubble) that can be used as biomass feedstock or for animal feeding (Jiang et al., 2012; 112 Habets et al., 2013). Unfortunately, one of the most important practices globally is the 113 removal of these residues by *in-situ* burning with significant environmental, economic and human health impacts (Gupta et al., 2016; Singh et al., 2010). Harvesting residues should 114 115 be considered as a resource that can be utilized as organic raw material, which could be 116 used to improve soil quality and productivity. One way to take advantage of these residues is their use as composting agents (Medina et al., 2017; Roca-Pérez et al., 2009). Pyrolysis 117 118 of harvesting residues to produce biochar has also been suggested as a win-win practice 119 leading not only to energy generation but also to a soil conditioner with the potential to 120 increase plant growth and soil carbon (C) sequestration (Abiven et al., 2014). Another 121 strategy may be the transformation of harvesting residues into raw materials for fertilizer 122 production.

123 The aim of this review is to present innovations related to smart fertilizer 124 technology as a response to food security scenarios under growing global population and 125 the environmental impacts of current agricultural systems. Smart fertilizers may be a 126 solution to enhance food production and environmental quality. In the sense of a circular 127 economy, we suggest that these smart fertilizers may be based on the innovative use of 128 harvesting residues.

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130 2. Food security: Agricultural management and its environmental 131 footprints

132 **2.1 World food demand associated with a growing global population**

133 Three cereals (rice, wheat and maize) account for 58% of the annual crop area and provide about 50% of food calories (Fischer et al., 2009). Rice and wheat are essential 134 135 suppliers of energy for the population of developing countries, and maize makes up over 136 60% of commercial animal feeds (Fischer et al., 2009). Based on projected global 137 population growth, an annual increase of the world cereal production of 0.9% reaching 138 3,009 billion tones (3,009,000 Tg) will be required to meet the demand (He et al., 2014). Average global cereal yields will need to increase from 3.32 t/ha to 4.30 t/ha, with wheat 139 140 vields rising from 2.8 t/ha to 3.8 t/ha (He et al., 2014). Agricultural land needs either to be 141 expanded or used more efficiently in order to increase the global food production by 60-142 110% to meet the rising demand of the global population in 2050 (Ray et al., 2013). 143 Expansion of agricultural land may not be a good option, as non-agricultural land area is 144 needed for other purposes, for example to provide habitat for endangered species (Balmford 145 et al., 2005). Thus sustainable intensification leading to production increase on existing 146 land area seems to be the best option (Godfray et al., 2010).

147 Cereal yields were increased during the green revolution due to genetic 148 improvement and intensive utilization of mineral fertilizers (Pingali, 2012). However, 149 yields have not increased further since the 1990s (Brisson et al., 2010; Grassini et al., 2013; 150 Ray et al., 2012). Amongst the factors responsible for yield stagnation is climate change, principally increases of temperature and prolongation of summer droughts. Depletion of soil reserves due to soil organic matter (OM) losses and the prolonged and intensive use of agrochemicals may also have contributed (Baishya, 2015; Lal, 2004; Lipper et al., 2014).

154 It is therefore highly uncertain if current crop improvement and management 155 practices will be able to achieve food security in the future. Moreover, the future demand 156 for agricultural products will be further affected by other factors such as the decline in rural 157 workforce and the requirements of the biofuel market and climate change, which could 158 have a huge impact on food productivity (FAO, 2009). Moreover, the negative 159 environmental impacts of the green revolution due to massive fertilizer use call for the 160 adaptation of more sustainable technologies (Pingali, 2012).

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162 **2.2 Availability of chemical fertilizer to support food production**

163 The food production is strongly dependent on N and P, which are essential and irreplaceable nutrients for plant growth and to maintain life in the world. For example, long 164 165 term data obtained between 1960-2010 estimated that around 48% of crop N was 166 contributed by inorganic fertilizers, considering maize, rice, and wheat production systems 167 (Ladha et al., 2016). Nevertheless, N and P present a significant difference in terms of their 168 availability. In this sense, the supply of N is currently unlimited due to the production of 169 urea by the Haber Bosch process, (Dawson and Hilton, 2011), which industrially produces around 100 TgNyr⁻¹ (Ladha et al., 2016). On the other hand, phosphate rock reserves are 170 171 finite and there is a critical concern about the availability and cost of phosphate rock in the 172 future (Cordell et al., 2009; Dawson and Hilton, 2011; IFDC, 2010; USGS, 2016). In this sense, Elser and Bennett (2011) stated: "More important than the amount of P in the ground 173 is how much it will cost to get it out". Figure 1 shows the principal reserves of rock 174

175 phosphate, most of which are located in Morocco.

176 Despite the fact that P is a finite resource, continuous inputs are needed to maintain the productivity of agroecosystems under current scenarios (Ostertag et al., 2016; Valkama 177 178 et al., 2016). A recent meta- analysis carried out by Valkama et al. (2016) showed that yield 179 response to P fertilization varied considerably in grassland systems and initial soil tests for 180 P do not always predict this behavior. They described that the major sources of variation in 181 yield responses to annually applied P were soil type specific. Under this context, only about 40% of the variation in yield could be attributed to fertilizer P applications (Valkama et al., 182 183 2016). In many situations P-fertilizer inputs, especially in tropical areas are rapidly fixed by 184 the soil matrix and not available for plant uptake. Therefore, the emerging global challenge 185 of the issues associated with P supply is to improve the overall P-use efficiency of plants 186 (Cordell and White, 2011).

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188 2.3 Conventional fertilization practices: their environmental consequences

The type of soils and its management has a strong influence on the conventional fertilizer use efficiency. For example, it has been reported that Cambisols, which are one of the major soil types under agricultural use with an estimated surface of about 1.5 billion ha under cropland (FAO, 2001), are deficient in nutrients such as P (Dabin, 1980).

Moreover, in some Cambisols from central Africa, the continuous application of N and P fertilizers in addition with the unbalanced and suboptimal fertilization (e.g., by the exclusive application of N and P containing fertilizers such as urea and di-amonium phosphate) for long periods of time, has led to soil nutrient depletion, especially when the entire crop biomass is removed from land (Tesfay and Gebresamuel, 2016). Moreover, nowadays, often fertile soils are lost for agricultural production through urbanization. 199 Agriculture, as a result, is conferred to marginal land with low OM and nutrient content 200 (Ngo et al., 2014). On such soils, the application of mineral fertilizers can cause accelerated 201 acidification and further nutrient and OM losses (Lal, 2006; Marschner et al., 2002). Other 202 soil types such as Andisols, which represent 0.84% (around 110 to 124 million ha) of 203 global soil area (FAO, 2001), are characterized by high OM content (1–25% w/w) and high 204 capacity to immobilize P. In this sense, their clay fraction makes up 35–60% of the soil, 205 and is dominated by allophane (Besoain and Sepúlveda, 1985; Escudey et al., 2001). 206 Agricultural management to obtain productive systems and the allophanic nature of these 207 soils leads to acidification as a result of the use of urea and other ammonia (NH₃) fertilizers 208 (Mora et al., 2007, 2005). For example, about 50% of the Chilean Andisols are acidic with 209 pH values between 4.5 and 5.5 (Jorquera et al., 2014; Mora et al., 2006, 2002, 1999). Soil 210 acidity is one soil property contributing to P-fixation (Mora et al., 2004), decreasing its 211 availability for plant nutrition. More than 50% of P incorporated in these soils is fixed as organic P (Borie and Rubio, 2003) and may contribute to the residual fraction (Velásquez et 212 al., 2016). Thus, huge amounts of conventional P-fertilizer need to be applied annually to 213 maintain available P levels in soil-plant systems. 214

215 N- and P-fertilizer application at levels exceeding plant requirements due to low acquisition 216 efficiency leads to significant environmental consequences in many parts of the world due 217 to N losses, such as: nitrate (NO_3^{-}) and phosphate (PO_3^{-}) leaching, NH_3 volatilization, and 218 nitrous oxide (N₂O) emission (Muñoz et al., 2010; Núñez et al., 2010; Saggar et al., 2013; Vistoso et al., 2012). Transport of P and N from agricultural soils to surface waters has 219 220 been linked to eutrophication of freshwater and estuaries (Entry and Sojka, 2007; Liu et al., 221 2013; Riley et al., 2001; Smith and Schindler, 2009). These negative environmental 222 consequences associated with fertilizer inputs further emphasize the need of technological 223 approaches to improve nutrient management in modern agriculture. In addition, current 224 agricultural activities contribute up to 20% to the annual atmospheric emissions of GHG, 225 such as methane (CH₄) and carbon dioxide (CO₂) (Lemke et al., 2007). Even higher 226 contribution was noted for N_2O (about 60%) (Smith et al., 2007), which is a potent GHG 227 and catalyst for stratospheric ozone depletion (Yang et al., 2014), with more than 300 times 228 the global warming potential than CO₂ (Crutzen, 1981; Kennedy et al., 2004). Its emission 229 is closely related to mineral fertilizer input. In some countries, GHG emissions from 230 conventional agriculture have increased by 33% between 1984 and 2003 (González et al., 231 2009).

232 One way to mitigate these GHG emissions could be the sequestration of C and N in soils in 233 form of OM (Lal, 2003). Soils contain about three times more C than the above-ground 234 vegetation, and approximately 75% of the terrestrial C pool and play a key role in the global C cycle (Calabi-Floody et al., 2015, 2011; Paustian et al., 2016; Le Quéré et al., 235 236 2015; Rumpel et al., 2015b; Schlesinger, 1986). The importance of the sink function of 237 agricultural soils for GHG depends biophysical on the processes, 238 incorporation/decomposition of organic residues, fertilizer application and environmental 239 factors (Muñoz et al., 2010). In this sense, if mismanaged with intensive tillage and 240 fertilization soil may lose OM and become a source of CO₂. Agricultural wastes such as 241 dairy slurry or manure (Muñoz et al., 2010; Rochette et al., 2008; Saggar et al., 2009), and 242 biomass after harvesting (Garay et al., 2009; Taladriz and Schwember, 2012) may also be a source of GHG emissions. Improvement of organic residue recycling in agriculture may be 243 244 a solution in view of sustainable intensification of agricultural practices and could 245 contribute to increase soil C storage, thereby improving soil quality and to some extent 246 mitigating atmospheric GHG concentrations (Chabbi et al., 2017).

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248 **2.4 Management of crop waste after harvesting**

Worldwide, the annual production of agricultural residues amounts to 3.7 Pg dry 249 250 matter (Bentsen et al., 2014). Straw, roots, shaft and other tissues of corn, wheat and rice as 251 the main crop residues account for about 40.6%, 24.2% and 15.7% respectively (Medina et 252 al., 2015). Burning of large amounts of crop residues produced worldwide in open fields 253 leads to soil fertilization in form of ash input. Residue burning accounts for 27 % (1900 Tg dry matter) of global biomass burned annually (Crutzen et al., 2016). It is a source of 254 255 atmospheric pollution with significant impacts on atmospheric chemistry, global climate 256 and with great threat to human health (Brühl et al., 2015; Pongpiachan et al., 2015; 257 Udeigwe et al., 2015). For example, burning wheat residues generates huge amounts of 258 particulate material less than $< 2.5 \ \mu m$ (PM_{2.5}), GHG (i.e., CH₄, N₂O, CO₂), volatile 259 organic carbon (VOC), NH₃, sulfur dioxide (SO₂) and other pollutants (Crutzen et al., 2016; Sun et al., 2016; Zhang et al., 2015, Koppmann et al., 2005, Li et al., 2008). 260

China is one of the main crop residues producers with around 18% of the total global 261 262 production (He et al., 2014; Kung et al., 2015; Zhang et al., 2016, 2015). Studies conducted 263 by Sun et al. (2016) investigated CO_2 emissions in China from 1996 to 2013, including the 264 contribution from combustion of maize, wheat and rice residues. They calculated that these 265 sources accounted for 22.5% of total emissions. In the USA, over 1.2 million ha of cropland is burnt annually. This has been estimated to produce 6.1 Tg of CO₂, 8.9 Gg of 266 267 CH₄, 232.4 Gg of CO, 10.6 Gg of NO₂, 4.4 Gg of SO₂, as well as 28.5 Gg of particulate matter of less than 10 µm in diameter (PM₁₀), and 20.9 Gg of PM_{2.5} (Udeigwe et al., 2015). 268 269 Although open straw burning occurs primarily in rural areas, it also has a serious impact on urban air quality under the effect of air circulation (Chen et al., 2015). 270

Taladriz and Schwember (2012) reported that in Chile stubble burning is the most common
management practice with 80 to 90% of wheat stubble being burned. It has been estimated
that straw burning generates nutrient losses by volatilization of 98-100% for N, 20-40% for
P and potassium, and 70-90% for sulfur (Heard et al., 2006), affecting their potential
incorporation into the soil. The associated cost is US\$125 per ha, and considering post-burn
runoff the cost is US\$225 per ha.

While the main effect of straw burning is on the atmospheric chemistry and air quality, this practice has additionally negative consequences on soils affecting the soil OM quantity and quality, the activity and colonization of topsoil by microorganisms, biological diversity and nutrient dynamics among other negative environmental implications (Borie et al., 2006, 281 2010).

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283 **2.5 Potential economic benefits to reusing harvesting residues**

284 Cereal straw, one of the most important harvesting residue globally, is 285 biodegradable and a natural source of cellulose, hemicellulose and lignin, which in turn 286 may be used for paper, biofuel and biogas production (Bhatnagar and Sillanpää, 2010; 287 Hansen et al., 2014; Huang et al., 2007; Liu et al., 2013; Ma et al., 2011; Risberg et al., 288 2013; Talebnia et al., 2010; Xie et al., 2011), livestock bedding, animal feed (Dunford and 289 Edwards, 2010) and for direct incorporation into the soil for nutrient recycling (Aulakh and 290 Rennie, 1987; Garay et al., 2009; Li et al., 2011; Misselbrook et al., 2012; Taladriz and 291 Schwember, 2012; Tan et al., 2007). The straw incorporated into the soil is an important 292 energy and C source, which can positively influence the biological, chemical and physical 293 properties, improving soil quality and productivity (Mulumba and Lal, 2008; Wei et al., 294 2015). The incorporation of crop straw residues may increase N mineralization and

295 available N, as well as organic C and total N stocks in soil (Cassman et al., 1996; Wei et al., 296 2015; Zhang et al., 2015). Moreover, increased availability of some nutrients such as P and K has been also reported for soils in semiarid areas (Wei et al., 2015). Nevertheless, it has 297 298 been demonstrated that the excessive incorporation of fresh residues into soils may have 299 adverse effects on the soil environment and crop yields (Jiang et al., 2012), because many 300 soil functions require a mature and stable OM (Medina et al., 2015; Plaza and Senesi, 2009). Some adverse effects of straw incorporation include (i) an increase of the 301 302 mineralization rate of native soil organic C, (ii) the induction of anaerobic conditions by 303 mineralization of large amounts of non-stabilized organic C, (iii) the associated extended 304 O₂-consumption, (iv) the alteration of soil pH (Senesi and Plaza, 2007), (v) the stimulation 305 of NH₃ and GHG emissions and (vi) the biotic and abiotic immobilization of N (Luo et al., 1999; Said-Pullicino et al. 2014; Yan et al., 2005; Zhang et al. 2015). In this sense, 306 307 considering its high polymerization degree due to cellulose and lignin content and high C/N ratio, low decomposition may occur when wheat straw is incorporated into soil (Li et al., 308 309 2011; Taladriz and Schwember, 2012) and lead to N deficiency due to high microbial 310 demand (i.e. immobilization). Therefore, pretreatment through aerobic or anaerobic degradation is necessary to initiate wheat straw decomposition, improving its physiological, 311 312 biochemical and morphological characteristics, increasing its nutrient content and reducing 313 its C/N ratio (Pan and Sen, 2013).

Wheat straw is one of the best-known fibers and despite its low reutilization, its industrial potential is increasing. Recent studies suggested that structural modification of wheat straw by grinding can influence its degradation, porosity and surface area (Silva et al., 2012; Wang et al., 2016). Moreover, different chemical and biological treatments have been investigated to modify some properties of these materials for smart fertilizer design (Panthapulakkal and Sain, 2015). Therefore, wheat straw is potentially valuable after a series of chemical modifications, such as esterification, etherification and copolymerization, and shows a broad potential for applications in superabsorbent material production (Liu et al., 2013; Ma et al., 2011; Xie et al., 2012). In recent years, researchers have focused on superabsorbent and slow-release fertilizers, as summarized below.

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325 3. New technologies to ensure food security and environmental health for 326 the expanding world population

In order to enhance nutrient use efficiency, new types of smart fertilizers with controlled nutrient release are needed. The development of such fertilizers could be based on the use of microorganisms (biofertilizers) and/or nanomaterials (nanofertilizers).

In this context, nanotechnology is a promising, rapidly evolving field of 330 331 interdisciplinary research that has potential to revolutionize food systems (Sastry et al., 332 2011). Nanotechnology involves the design, synthesis and use of materials at nanoscale 333 level, ranging from 1 to 100 nm (EPA, 2007). At this scale, the physical, chemical and 334 biological properties of materials differ fundamentally from the properties of individual 335 atoms, molecules or bulk matter (Cao, 2004; Mansoori, 2005). The ability to manipulate 336 matter at the nanoscale can lead to improved understanding of biological, physical and 337 chemical processes and to the creation of improved materials, structures, devices and 338 systems that can be used in agroecosystems (Sastry et al., 2011; Qian and Hinostroza, 339 2004).

The application of nanotechnology to agriculture and food industries is growing rapidly as shown by increasing numbers of publications and patents (Fig. 2). Nanotechnology has a number of potential benefits ranging from improved food quality and safety to reduced agricultural inputs and improved processing and nutrition (Veronica et al., 2015). The development of smart fertilizers based on nanotechnology is a recent phenomenon (Manjunatha et al., 2016), with an emphasis on controlled release and/or carrier/delivery systems to synchronize nutrient availability with the plant demands, thus reducing losses to the environment (Fig. 3) (Bley et al., 2017; Chinnamuthu and Boopathi, 2009; DeRosa et al., 2010).

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350 **3.1 Slow / controlled-release fertilizers**

351 According to Trenkel (1997) slow or controlled-release fertilizers are those 352 containing a plant nutrient in a form, which either a) delays its availability for plant uptake 353 and use after application, or b) is available to the plant significantly longer than a reference 354 'rapidly available nutrient fertilizer' such as ammonium NO_3^- or urea, ammonium phosphate or potassium chloride (AAPFCO, 1995). There is no official differentiation 355 356 between slow-release and controlled-release fertilizers. However, the microbially-357 decomposed N products, such as urea-formaldehydes, are commonly referred to as slow-358 release fertilizers, and coated or encapsulated products as controlled-release fertilizers 359 (Trenkel, 1997). Delayed availability of nutrients or consistent supply for extended time 360 periods can be achieved through a number of mechanisms. These include semi-permeable coatings for controlled solubility of the fertilizer in water, protein materials, occlusion, 361 362 chemicals, slow hydrolysis of water soluble compounds of lower molecular weights and 363 some other unknown means (Naz and Sulaiman, 2016). Other options include utilization of 364 semi-permeable materials and sensors of chemical or biological origin within the fertilizer 365 (Fig. 4a). These are advanced materials, whose physical or chemical properties can change

366 in response to an external stimulus such as temperature, pH, and electric or magnetic fields 367 (Foster, 2013; Mastronardi et al., 2015; Roy and Gupta, 2003).

Many coating materials can be used to slow nutrient release, including natural 368 369 materials such as clays and nanoclays (e.g., allophane), non-degradable (polysulfone) and 370 biodegradable polymers (e.g., alginate beads) (Table 1). Several studies have shown that 371 urea based coatings can have variable efficiencies depending on the material used (Du et 372 el., 2006; Naz and Sulaiman, 2016; Shaviy, 2005). In addition, they can be highly expensive in some cases, pollutants, or toxic (i.e., polymer coated urea) and mostly difficult 373 374 to degrade with potential environmental impacts (accumulation) as the major concern. As a 375 consequence, formulation with environmentally safe and biodegradable coating materials 376 seems to be necessary (Ni et al., 2009). In this sense, natural polymeric carbohydrates 377 appear as an alternative to non-biodegradable materials acting as permeable or 378 impermeable membranes with tiny pores in slow release fertilizers (e.g., urea) (Butzen, 379 2013). These highly degradable materials have also received attention because of their low cost and low environmental damage due to biodegradability and low accumulation in the 380 381 environment (Naz and Sulaiman, 2016). However, these polymers may need some 382 modifications to be included into the coating design because of their hydrophilic properties 383 and their weak coating barrier, for example in the case of starch.

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3.2 Bioformulation fertilizer: plant growth promoting and nutrient use efficiency 385

386 One group of microorganisms beneficial for plant growth are PGPR, a heterogeneous group of bacteria that can be found in the rhizosphere, at root surfaces and in 387 association with roots (Ahmad et al., 2008). These bacteria have several functions, 388 including production and regulation of phytohormones, release of nutrients to plants (e.g., 389

P-, N-fixation, siderophores, among others), and control of phytopathogens (production of
antibiotics and siderophores) (Egamberdieva and Adesemoye, 2016; Martínez-Viveros et
al., 2010; Zahid et al., 2015).

393 Phosphobacteria, phytate-mineralizing bacteria, and phosphate-solubilizing bacteria 394 have been commonly isolated from soil and proposed as inoculants for agricultural 395 improvement (Jorquera et al., 2008). For example, a large diversity of microbes in 396 Andisoils under pastures and cereal crops are capable of mineralizing phytate (Jorquera et al., 2008; Martínez-Viveros et al., 2010; Menezes-Blackburn et al., 2014). They may be 397 398 used to develop bacterial or enzyme systems as biofertilizers to overcome the limitations of 399 conventional fertilizers in acidic soils, as well as for developing added value products from 400 agricultural wastes. To this end, Calabi-Floody et al. (2012) studied the effect of enzymenanoclay complexes on P availability of composted cattle dung and showed that it 401 402 increased the inorganic P content. Moreover, Menezes-Blackburn et al. (2014) suggest that 403 inoculation of cattle manure with enzyme-nanoclay complexes enhances the organic P 404 cycling and P nutrition of plants grown in P deficient soils.

405 Low N acquisition by plants is a limiting factor in agricultural ecosystems and there 406 is interest in using N_2 -fixing bacteria as an alternative to conventional fertilization. 407 Diazotrophic bacteria are capable of converting atmospheric dinitrogen (N_2) into NH₃, which can be used by plants (Ilsam et al., 2009). Among them, a number of free-living soil 408 bacteria are considered to be PGPR, because of their competitive advantage in C-rich and 409 410 N-poor environments (Kennedy et al., 2004). Free-living N₂-fixing bacteria have been 411 considered as an alternative to conventional N-fertilizer for promoting plant growth, and 412 several research studies reported significant increases in grain and shoot biomass yield from plants inoculated with free-living diazotrophic bacteria (Andrade et al., 2013; Barua et al., 413

414 2012; Kennedy et al., 2004; Park et al., 2005). Moreover, Vadakattu and Paterson (2006) 415 reported that under intensive wheat rotation at Avon, South Australia, free-living N₂-fixing 416 bacteria contributed 20 kg N per ha per year, which represented 30-50% of total crop 417 requirements. This response was attributed to a combination of factors including 418 enhancement of root development, production of growth regulators, and N fixation 419 (Naiman et al., 2009). However, it is well known that bacteria directly inoculated in the soil system could be adversely affected by competition with native microorganisms, 420 421 unfavorable physicochemical conditions and fluctuating pH and temperature (Bréant et al., 422 2002).

Encapsulating microorganisms in carrier materials (bioformulation) is designed to protect them during storage and from adverse environmental condition (pH, temperature, etc) (Fig. 4b), thus ensuring a gradual and prolonged release (Bashan, 1986; Kim et al., 2012). A wide range of microorganisms have been investigated and a framework for selecting suitable organisms for specific purposes has been developed (Table 2).

Materials suitable for immobilization and preservation of bacteria include alginate gels, synthetic gels (Sol-Gel), polyacrylamide, agar and agarose, polyurethane, vermiculite and polysaccharides (Bashan, 1998; Liu et al., 2008). In addition, composite materials based on biodegradable polymer-clay or nanoclays are being studied, including nanocomposites (Calabi-Floody et al., 2009). For example, encapsulation of free-living diazotrophic bacteria has been considered as one of the possible alternatives for inorganic N-fertilizer for promoting plant growth and crop yield (Ivanova et al., 2005).

435

436 **4. Smart fertilizer formulations**

437 4.1 Nanofertilizers

Nanofertilizers, as smart fertilizers are designed to increase nutrient use efficiency and consequently reduce adverse effects on the environment compared to application of conventional mineral fertilizers (Manjunatha et al., 2016; Sharpley et al., 1992; Wurth, 2007). According to Mastronardi et al. (2015) there are three main types of nanofertilizers: nanoscale fertilizer (synthesized nanoparticles), nanoscale additives (bulk products with nanoscale additives), and nanoscale coating or host materials (product coated with nanopolymer or loaded with nanoparticles) (Table 3).

Slow-release nanofertilizers and nanocomposites are suitable alternatives to soluble fertilizers. Nutrients are released at a slower rate during crop growth, thereby reducing loss. Slow release of nutrients in the environments could be achieved by using zeolites (natural clays), which acts as a reservoir for nutrients that are released slowly (Manjunatha et al., 2016). The mineral nutrients required for plant nutrition can be encapsulated inside nanomaterials such as nanotubes or nanoporous materials, coated with a thin protective polymer film, or nanoscale particles (DeRosa et al., 2010; Manjunatha et al., 2016).

452 Depending on the application, it is possible to use synthetic or natural nanoparticles 453 obtained from various sources, including plants, soils and microorganisms (Table 3) 454 (Calabi-Floody et al., 2011, 2009; Panpatte et al., 2016; Tarafdar et al., 2012). Nanoclays, 455 which naturally occur in soils, have been considered important tools in modern agriculture due to their physicochemical properties (Sekhon, 2014). Nanoclays can be used to stabilize 456 457 enzymes and thereby increase their catalytic activity for different biotechnological purposes (Calabi-Floody et al., 2009; Kim et al., 2006; Moelans et al., 2005; Wang, 2006). For 458 459 example, Menezes-Blackburn et al. (2011) studied the effect of synthetic allophane, synthetic iron-coated allophanes and natural montmorillonite as solid supports of phytases 460

461 and observed that immobilization patterns at different pH values were strongly dependent 462 on both enzyme and support characteristics. In addition, they concluded that 463 montmorillonite appeared as a good immobilizing support only for the Escherichia coli 464 phytase, while it was an inhibitor for *Aspergillus niger* phytase activity. Calabi-Floody et 465 al. (2012) evaluated and implemented the use of natural clays and nanoclays (from 466 montmorillonite and allophanic clays) as support materials for acid phosphatase (AP) in nanoclay-cattle dung-AP complexes. They found a clear stabilization of AP by these 467 468 materials through encapsulation. The authors reported an increase of both specific activity 469 (up to ~ 48%) and V_{max} (up to 38%) of the enzyme. They also observed that AP 470 immobilized on allophanic nanoclays enhanced the release of inorganic P from cattle dung 471 compared with free AP. Positive growth responses were found for P nanoparticles applied 472 to basil (Ocimun basilicum) under salt stress (Alipour, 2016) and for synthetic apatite 473 nanoparticles (solid P nanofertilizer) applied to soybean (*Glycine max*) (Liu and Lal, 2014). 474 Nanocomposites are hybrid materials consisting of a continuous (polymer) phase or matrix and a dispersed (nanofiller) phase. The dispersion of a small amount (< 10%) of 475 476 nanomaterial in the polymer matrix can lead to marked improvement in physical and 477 mechanical properties (strengths, pH tolerance, storage stability, heat distortion, break 478 elongation) compared with a single polymer matrix (Calabi-Floody et al., 2009). Currently 479 research is focused to develop nanocomposites to supply essential nutrients through smart delivery system (Manjunatha et al., 2016), synchronizing the release of them with the crop 480 481 uptake, so preventing undesirable nutrient losses to soil (e.g., leaching and volatilization) 482 (Bley et al., 2017; DeRosa et al., 2010). Coating and cementing of nano and 483 subnanocomposites can also be used to regulate the release of nutrients from the fertilizer 484 capsule (Liu et al., 2006). Therefore, the future development should focus on materials

allowing for nutrient release from nanofertilizers triggered by an environmental condition
or simply at specific time (Gruère, 2012). In this context, nanodevices or additives (e.g.,
nanotubes, aptamers, double hydroxide-nanocomposites, urease enzymes, nanosize
titanium dioxide, nanosilica particles) can be associated to nanofertilizers to synchronize
the fertilizer release with plant demand (DeRosa et al., 2010; Foster, 2013).

490

491 **4.2 Other smart fertilizers formulations**

492 4.2.1 Polymers: Polymers are widely used in agriculture especially for fertilizer 493 development. Smart polymeric materials have been applied to smart delivery systems of a 494 wide variety of agrochemicals (Puoci et al., 2008). A broad range of synthetic materials, 495 such as petroleum based polymers have been used to encapsulate water-soluble fertilizers. 496 Polysulfone, polyacrylonitrile, polyvinyl chloride, polyurethane and polystyrene are the 497 main materials currently used for coating (Ibrahim and Jibril, 2005; Lü et al., 2016; Tao et 498 al., 2011). Jarosiewicz and Tomaszewska (2003) compared the use of the synthetic 499 polymers polysulfone and polyacrylonitrile and the biodegradable cellulose acetate for the 500 development of slow release fertilizers. They observed that physical properties of the 501 coatings can influence the release rate of macronutrients (N, P, and K), which are present in 502 the core of the coated fertilizers. They found that synthetic non degradable materials had a slower release rate than cellulose acetate based ones. Tao et al. (2011) studied the use of a 503 triple polymer fertilizer to encapsulate and enhance the mechanical properties of urea. They 504 505 suggested that polyethylene in a first layer, poly (acrylic acid-co-acrylamide) as 506 superabsorbent in a second layer, and poly (butyl methacrylate) in the third layer improve the controlled release of urea. They also observed that the incorporation of this triple 507 508 polymer fertilizer into soil improved its water holding capacity, which in turn enhanced

nutrient uptake and crop yield. Liu et al. (2008) replaced sulfur with dicyclopentadiene to improve coating properties, moisture resistance, abrasion resistance and mechanical strength of slow release fertilizers. They found that the mechanical strength of the coating was directly related to the dicyclopentadiene content, which ameliorated nutrient release efficiency.

514

515 4.2.2 Biodegradable polymers: These materials have increasingly been used as substitutes 516 of others polymers in agriculture. Devassine et al. (2002) divided them in two main groups 517 according to their water vapor permeability, namely degradable synthetic polymers with a small permeability coefficient ($K < 3000 \text{ cm}^2 \text{ s}^{-1} \text{ Pa}^{-1}$) (biopols, polylactic acids and 518 polycaprolactone), and modified polysaccharides with a higher permeability coefficient 519 $(K>4000 \text{ cm}^2 \text{ s}^{-1} \text{ Pa}^{-1})$ (alginates, starchs, agar). Several studies have reported on the 520 521 utilization of these degradable polymers for a wide range of nutrients. For example, Perez 522 and Francois (2016) prepared macrospheres with chitosan and chitosan-starch blends using 523 sodium tripolyphosphate aqueous solution as the crosslinking agent. They observed that 524 these materials could be used as slow release N and K fertilizers. Zhang et al. (2016) have 525 reported the development of polymer-coated N-fertilizer using bio-based polyurethane 526 derived from liquefied locust sawdust as coating material. They found that this fertilizer 527 was more efficient at supplying N to maize than conventional urea.

Biodegradable polymers have also been used in bioformulations, acting as microbial carriers. These carriers protect microbial inoculants from various stresses and prolong shelf life (Ardakani et al., 2010; Egamberdieva and Adesemoye, 2016; Kumar et al., 2007). For example, calcium alginate gel may protect microbial cells with a concomitant increase in shelf life (Wu et al., 2011). Sodium alginates are widely used for bioformulations (i.e., bacterial fertilizers) and with pesticides (Liang et al., 2007; Liu et al., 2008; Singh et al.,
2009). Despite the low cost and the environmental friendly properties of biodegradable
polymers, in many cases the properties of these materials need to be blended with synthetic
materials to improve their performance (Puoci et al., 2008)

537

538 **4.3 Use of harvesting residues for smart fertilizer formulations**

539 4.3.1 Lignocellulosic straw as carrier and coating material: Low-cost materials such as 540 wheat straw are abundantly available resources in current agricultural systems (Jiang et al., 541 2012). These harvesting residues contain lignin, hemicelluloses, and cellulose (Hubbe et al., 542 2010). Cellulose fibrils and lignin impart mechanical strength properties (Panthapulakkal 543 and Sain, 2015). Wheat straw contains surface carboxyl, hydroxyl, ether, amino and 544 phosphate, which enhance its reactivity and physicochemical properties, useful in the 545 preparation of adsorbent materials for the treatment of wastewater (Wang et al., 2016) and 546 slow release fertilizers (Liu et al., 2013; Xie et al., 2011). Moreover, some researchers have found that wheat straw can be used as reinforcements and/or fillers for nonstructural and 547 548 structural composites, (Panthapulakkal and Sain, 2015).

549 Xie et al. (2011) noticed the potential use of wheat straw for the development of 550 slow release N and boron fertilizers with water-retention properties. The authors prepared 551 and used the straw as skeletal material in copolymerization with other monomers to form a superabsorbent material. They introduced inorganic fertilizers (urea and borax) in order to 552 553 develop an organo-mineral fertilizer within a core/shell structure. They found that the final product contained 23.3% N and 0.65% boron with potential slow release characteristics. 554 555 Wang et al. (2016) designed a multifunctional strategy for the development of a slow 556 release compound fertilizer, which was prepared by recovery of NH_4^+ and $P_2O_4^-$ from aqueous solutions onto an amphoteric straw cellulose adsorbent. The maximum NH_4^+ adsorption capacity of the material was 68.4 mg g⁻¹, whereas the adsorption capacity for $P_2O_4^-$ was 38.6 mg g⁻¹ at pH 7 and 5, respectively.

560 On the other hand, cellulose obtained from agricultural residues has been also used 561 in bioformulations as carrier for bacterial inoculants with broad-spectrum antifungal activity and suppression of fungal pathogens (Egamberdieva and Adesemove, 2016; Negi et 562 563 al., 2005). Albareda et al. (2008) studied the survival of different PGPR strains on various 564 carrier and liquid formulations and found that compost and perlite were very effective. 565 However, lignocellulose and compost are subject to rapid decomposition once incorporated 566 into soil. In order to further improve their properties as slow release fertilizers, they could 567 be combined with clay minerals or biochar to reduce their decomposition (Barthod et al., 568 2016; Ngo et al., 2016).

569

4.3.2 Biochar as carrier and coating material: Harvesting residues, such as straw may also 570 be used as feedstock for energy producing pyrolysis systems with biochar generation. 571 572 Considering its physicochemical properties, carbonaceous materials like pyrogenic carbon (biochar) have been widely used as soil ameliorant with several applications in both 573 574 laboratory and field studies (Glaser, 2015; Wiedner et al., 2015). Biochar is obtained 575 through pyrolysis of agricultural or other lignocellulosic biomass at temperatures ranging from 350 - 700°C (Glaser et al., 2002; Lee et al., 2013; Lehmann and Joseph, 2009). 576 577 Biochar was found to increase the C sequestration potential of soil through its high stability 578 and the reduction of native soil OM mineralization (Naisse et al., 2015; Ventura et al., 579 2015) and to be an excellent microbial habitat (Lehmann et al., 2011). González et al. (2015) studied the influence of different polymers and biochar produced from oat hull as 580

581 support material on the release and leaching of N from urea under greenhouse conditions. 582 They found that urea formulated together with biochar slowed down the release of this fertilizer. Nevertheless, biochar did not act as a nutrient release retarder agent and may need 583 584 other polymeric materials as encapsulating agent to control N leaching from controlled 585 release fertilizers. Cai et al. (2016) found that biochar produced from corncob, banana stalk 586 and pomelo peel displayed an excellent retention ability in holding NH_4^+ associated to the 587 presence of carboxyl and keto groups when the material was prepared at 200 °C, suggesting that this material could be used as a slow release carrier for N. Zhao et al. (2016) observed 588 that the combination of P fertilizers (triple superphosphate and bone meal) pre-mixed with 589 590 sawdust and switch grass biomass prior to biochar production was a good strategy for the 591 production of an effective slow release P fertilizer.

Recent studies have also investigated the use of biochar and charcoals as carriers in combined formulations with beneficial microorganisms. Biochar was a useful carrier for the bacterial population of *Enterobacter cloacae* (Hale et al., 2015) and *Azospirillum lipoferum* (AZ 204) (Saranya et al., 2011).

596 The use of biochar as carrier for smart fertilizers could be highly beneficial, as it combines nutritional benefits for plants with improvement of many other soil functions due 597 598 to the addition of biochar itself. In particular, biochar addition to soils has positive effects on water holding capacity as well as C sequestration. However, biochar properties vary 599 600 widely depending on feedstock and production conditions (Wiedner et al., 2013). Thus, the 601 use of these kinds of formulations presents new challenges related to the optimal 602 combination of carrier materials and inoculants. Considering the varying properties of 603 carrier materials here reviewed and the variety of potential utilization for smart fertilizers 604 designs, more research is needed for their development.

605

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5. Conclusions and future directions

607 In order to meet sustainable development goals, agricultural production needs to be 608 increased and the pollution and GHG emissions related to farming activity need to be 609 decreased. We suggest that advances in the application of biotechnology and 610 nanotechnology have the potential to facilitate improved nutrient management and use 611 efficiency in agroecosystems. Smart fertilizers based on slow/controlled release and/or 612 carrier delivery systems have been shown to improve crop yields, soil productivity and 613 lower nutrient loss compared with conventional fertilizers. Several materials such as clavs. 614 nanoclays, non-degradable and degradable polymers, and agricultural wastes are suitable 615 for the development of smart fertilizers by acting as carrier matrices for nutrients and 616 bacterial inoculants. Future research should continue to explore and evaluate the 617 composition, manufacture, and agronomic and environmental performance of various smart fertilizers, especially those that utilise organic waste materials. We suggest that 618 619 lignocellulosic organic waste, such as straw after chemical, physical or thermal 620 transformations may be an excellent carrier or coating material for fertilizer formulations. 621 Such organic wastes occurring as harvesting residues in agricultural systems should be used 62.2 in the sense of a circular economy to create innovative fertilizers from natural materials, 623 which are urgently needed to ensure sustainable intensification of agricultural systems.

624

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Materials	Application	References
	N retention	Ding et al. (2010)
	Support material in polymer biodegradable formulations of controlled release fertilizer	González et al. (2015)
	Sustained-release fertilizer	Cai et al. (2016)
Brown coal, charcoal and biochar	Slow release N fertilizer	Rose et al. (2016)
	Biochar-fertilizer composite	Joseph et al. (2013)
	Inoculum carrier on PGPR bioformulations	Hale et al. (2015)
Perlite, vermiculite and	In superabsorbent composites of controlled released fertilizers	Wu and Liu (2008), Zhang et al. (2006)
bentonite, attapulgite	Carrier in bioformulations of bacterial inoculants	Daza et al. (2000); Khavazi et al. (2007); Ardakani et al (2010) Sangeetha (2012) Thompson (1980)
	N controlled fertilizer	Araújo et al. (2017)
Peat	Microbial carrier in bioformulations	Albareda et al (2008)
	Double coated control release fertilizer	Wang et al. (2016)
Alginate beads, calcium alginate gel	Microencapsulated bacterial fertilizer/Encapsulation of microorganisms in Alginate-clay complexes	Trivedi et al. (2005); Wu et al. (2011) Fravel et al. (1985); Campos et al. (2014)
	<i>Coating materials of controlled release fertilizers</i>	
	Non environmental friendly polymers (Polyurethane, Polyacrylic acid etc)	Tao et al. (2011); Golden et al. (2011); Ibrahim and Jibril (2005) Jarosiewicz and Tomaszewska (2003); 59 Donida and Rocha (2002)

Polymeric materials		Lan et al. (2011), da Rosa and Rocha (2012) Shaviv (2005); Rose (2002)
	Environmental friendly polymers; Syntethic (Polycaprolactone; ethyl celulose) and non-modified (Starch, agar)	Devassine et al. (2002); Niu and Li (2012); Yong et al. (2005); Mathews and Narine (2010); Jintakanon et al. (2008); Costa et al. (2013); Azeem et al. (2014)
Saw dust, locust saw	Polymer-coated N fertilizer using bio-based polyurethane derived from liquefied locust sawdust	Zhang et al. (2016)
dust and wood ashes	Carrier in bioformulations	Arora et al (2014)
Chitosan and Humics,	N controlled fertilizer Slow release N from modified humics	Araújo et al. (2017) Kulikova et al. (2016)
Modified humic substances	Carrier and bioprotector in bioformulations	Silva et al. (2016); Murphy et al. (2003)
Lignin, cellulosic	Coating in controlled release fertilizer/Pyrolized lignocellulosic material in slow-release N fertilizer	Detroit et al. (1988); Mulder et al. (2011); Li et al. (2017)
materials, wheat bran, spent mushroom compost	Carrier in blue green algal biofertilizer/ carrier of inoculant	Bahl and Jauhri (1986); Jackson et al. (1991); Dhar et al. (2007)

- 1400 Table 2 Quality criteria of carriers for the development of smart fertilizers based on
- 1401 microbial inoculants adapted from Sahu and Brahmaprakash (2016).

Quality criteria of model carriers of Bioformulations	References
High water-holding and water-retention capacity and suitable for as many bacteria as possible/ Cost-effective	Mishra and Dahich (2010)
Free from lump-forming material/ Near sterile or easy to sterilize by autoclaving or by other methods like gamma irradiation/ Nearly neutral pH or easily adjustable and good pH buffering capacity	Keyser et al. (1993)
Available in adequate amounts/ Nontoxic in nature	Bazilah et al. (2011)
For carriers used for seed treatment, should assure the survival of the inoculants on the seed since normally seeds are not immediately sown after seed coating	Muresu et al. (2003)
For carriers that shall be used for seed coating, should have a good adhesion to seeds	Hegde and Brahmaprakash (1992)
No heat of wetting/ Easily biodegradable and nonpolluting/ Supports growth and survival of bacteria/ Amenable to nutrient supplement/ Manageable in mixing, curing, and packaging operations	Smith (1992)
Chemically and physically uniform	Bashan (1998)
The inoculant should be nontoxic, biodegradable and nonpolluting, and should minimize environmental risks such as the dispersal of cells to the atmosphere or to the ground water.	Bashan (1998)

Nutrients	Absorbent	Size	Reference
		7-10 nm	Mohanraj (2013)
		20-30 nm	Subramanian and Sharmila Rahale (2013)
		60 nm	Selva Preetha (2011)
	Zeolite	87 nm	Manikandan and Subramanian (2014)
Nitrogen (N)		200 nm	Komarneni (2010)
1 111 0 8 0 11 (1 1)		420 µm	Li et al. (2003)
		35-45 nm	Subramanian and Sharmila Rahale (2013)
	Montmorillonite	50 µm	Bortolin et al. (2013)
	Carbon nanotubes, hydrogels, organic zeolitic complexes	40-80nm	DeRosa et al. (2010); Liu et al. (2006); Leggo (2000); Foster (2013)
		25-30 nm	Subramanian and Sharmila Rahale (2013)
	Zeolite	60nm	Selva Preetha (2011)
Phosphorus (P)		2-3µm	Bansiwal et al. (2006)
	Montmorillonite, bentominete and apatite	35-40nm	Subramanian and Sharmila Rahale (2013); Liu and Lal. (2014)
Potassium (K)	Zeolite	25-30 nm	Subramanian and Sharmila Rahale (2013)
× /	Montmorillonite	35-40 nm	Subramanian and Sharmila Rahale (2013)
NPK	Nano-coating of sulfur layer chitosan	78-100 nm	Wilson et al. (2008)
Nanocomposites	Kaolinite	30-80 nm	Xu-mei et al. (2006)
		60 nm	Selva Preetha et al. (2014)
Sulfur (S)	Zeolite	70-93nm	Thirunavukkarasu (2014)
		420µm	Li and Zhang (2010)

		25–30 nm	Subramanian and Sharmila Rahale (2013)
	Zeolite	60 nm	Selva Preetha (2011)
Zinc (Zn) iron (Fe)			Hu et al. (2016)
and Borom (B)	Montmorillonite	35-40 nm	Subramanian and Sharmila Rahale (2013)
	Nano Zn and Nano ZnO	35-20 nm	Nair et al. (2010); Mahajan et al. (2011)
PGPR microorganisms	Gold nanoparticles		Shukla et al. (2015)
and biomolecules as enzymes	Nanoclays (allophane)	100 nm	Calabi-Floody et al. (2012, 2009); Menezes-Blackburn et al. (2011)

Figure captions

1407 Figure 1. Global phosphate rock reserves, data taken from US Geological Survey estimates1408 (USGS, 2016)

- Figure 2. Results from searches of the Scopus database of scientific documents (grey bars)
 and patents (grey lines) with the Title-abstract-keywords 'nanotechnology AND
 agriculture' (https://www.scopus.com, accessed March 24, 2017).
- **Figure 3.** Schematic diagram of smart fertilizer effects in the soil-plant system

- **Figure 4.** Schematic representation of smart delivery systems: a) advanced polymeric 1417 materials, degraded under external stimulus such as temperature, pH, and with water
- 1417 Indendis, degraded under external stinduds such as temperature, pri, and with wate
- 1418 permeability to achieve a slow nutrient release; b) microorganism encapsulation