1 Off-pump versus On-pump coronary artery bypass grafting. Insights from the Arterial

- 2 **Revascularization Trial.**
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- 15 Word count: 3099
- 16 **Funding:** none
- 17 **Conflict of interest:** none declared.
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28 Abbreviation list

- 29 AKI: acute kidney injury
- 30 ART: Arterial Revascularization Trial
- 31 BMI: body mass index
- 32 BITA: bilateral internal thoracic artery
- 33 CK-MB: creatine kinase MB
- 34 CVA: cerebrovascular accident
- 35 COPD: chronic obstructive pulmonary disease
- 36 LMD: left main disease
- 37 LVEF: left ventricular ejection fraction
- 38 MACCE: major cardiac and cerebrovascular events
- 39 MI: myocardial infarction
- 40 ONCAB: on-pump coronary artery bypass
- 41 OPCAB: off-pump coronary artery bypass
- 42 SITA: single internal thoracic artery
- 43 PCI: percutaneous coronary intervention
- 44 PSM: Propensity score matching
- 45 RBC: red blood cell
- 46 PVD: peripheral vascular disease
- 47 SMD: standardized mean difference
- 48 SVG: saphenous vein graft

- **Central message:** Off-pump and on-pump coronary artery bypass grafting are comparable in
- 50 terms of 5-year rate of death and major cardiac and cerebrovascular events.

51 Perspective statement: Some studies have reported increased adverse event rates with off-52 pump when compared to on-pump coronary artery bypass. The present post-hoc analysis of the 53 ART trial found no significant difference between off-pump and on-pump coronary surgery in 54 the rate of death and major cardiac and cerebrovascular events.

55 Abstract

56 Background: The long-term effects of (OPCAB) continue to be controversial as some studies 57 have reported increased adverse event rates with OPCAB when compared to on-pump coronary 58 artery bypass (ONCAB). The Arterial Revascularization Trial (ART) compared survival after 59 bilateral versus single internal thoracic artery grafting. The choice of OPCAB versus ONCAB 60 was based on surgeon's discretion. We performed a post-hoc analysis of the ART to compare 61 5-year outcomes with two strategies.

Methods: Among 3102 patients enrolled in the ART, we selected 1260 patients who underwent OPCAB versus 1700 patients who underwent ONCAB with cardioplegic arrest for the preent comparison. Primary outcomes were 5-year mortality and incidence of major cardiac and cerebrovascular events (MACCE) including cardiovascular death, myocardial infarction, cerebrovascular accident and revascularization after index procedure. Propensity score matching selected 1260 pairs for final comparison. Stratified Cox models were used for treatment effect estimate.

69 **Results:** Hospital mortality was comparable between OPCAB and ONCAB groups (12[1.0%] 70 vs 15[1.2%]; P=0.7). Conversion rate to on pump during OPCAB was 29/1260 (2.3%). When 71 compared to OPCAB not converted, OPCAB converted to on-pump presented a remarkably 72 higher hospital mortality (10.3% vs 0.7%; P<0.001). At 5 years, mortality rate was 110(8.9%) vs. 102(8.3%) in the OPCAB and ONCAB groups respectively with no significant difference 73 74 (hazard ratio, HR 1.14; 95% confidence interval, CI 0.86-1.52; P=0.35). Incidence of MACCE 75 was 175(14.3) vs. 169 (13.8) in the in the OPCAB and ONCAB groups respectively with no 76 significant difference (HR 1.05 [0.84-1.31; P=0.65).

77 Conclusions: The present post-hoc ART analysis support the hypothesis that both OPCAB and
78 ONCAB are equally effective and safe.

Despite the potential advantages of avoiding cardiopulmonary bypass, the postulated benefits of off-pump coronary artery bypass (OPCAB) in terms of perioperative mortality and morbidity including stroke were not realized in the majority of studies comparing the two strategies [1]. Furthermore, the long-term effects of OPCAB continue to be controversial. The increased technical complexity of OPCAB can result in less complete revascularization and reduced graft patency rates with some studies reporting increased adverse event rates with OPCAB when compared to on-pump coronary artery bypass (ONCAB) [2-5].

86 Two large randomized controlled trials (RCT) comparing OPCAB vs ONCABG have recently 87 reported conflicting findings. The CABG Off or On Pump Revascularization Study 88 (CORONARY) [6] has recently shown comparable 5 years results between the two techniques. 89 However, CORONARY enrolled only higher risk patients and this aspect may limit the 90 generalizability of the study findings. On the other hand, the Department of Veterans Affairs 91 "Randomized On/Off Bypass" (ROOBY) Trial [7] has reported increased 5 years mortality 92 with OPCAB. However, the ROOBY trial was criticized for the fact that the conversion rate to 93 cardiopulmonary bypass was unacceptably high at 12 % and this brought some skepticism on 94 the level of "off pump" experience of the surgeons involved in the study.

95 Consequently, the question whether OPCAB increases the risk of adverse events over the 96 longer term when compared to ONCAB continues. The Arterial Revascularization Trial (ART) 97 is designed to compare 10-year survival after bilateral internal thoracic artery (BITA) versus 98 single left internal thoracic artery (SITA) grafting and an interim report at 5 years has not 99 shown any clear difference between the two groups [8]. In the ART, the choice of OPCAB 100 versus ONCAB was based on surgeon's discretion. We sought to get further insights into the 101 comparison between the two strategies by performing a post-hoc analysis of the ART.

102 Materials and Methods

103 The present study is a post-hoc retrospective analysis of 5 year outcomes of the ART trial. This 104 research adheres to the principles set forth in the Declaration of Helsinki 105 (http://www.wma.net/en/30publications/10policies/b3/index.html). In the ART, the choice of 106 OPCAB versus ONCAB was based on surgeon's discretion. OPCAB versus ONCAB strategy 107 adopted was available for all patients enrolled. Among patients enrolled in the ART (n=3102) 108 from 2004 to 2007, we excluded those who did not undergo surgery (n=23). In two cases, there 109 was no information regarding the use of cardiopulmonary bypass. We also excluded patients 110 who received on-pump beating heart surgery (n=19) and 95 patients who received cross clamp 111 fibrillation. The present analysis compared 1260 patients who underwent OPCAB versus 1700 112 patients who underwent ONCAB with cardioplegic arrest. OPCAB cases requiring 113 intraoperative conversion to on-pump were included in the OPCAB group in the primary 114 analysis (Figure 1). A total of 156 surgeons were involved. For 134 patients (60 OPCAB, 74 115 ONCAB) no information on participating surgeon was available. The total number of 116 procedures performed by each surgeon and the choice between OPCAB vs. ONCAB presented 117 a large variation with a large proportion of surgeons performing only few procedures 118 (Supplementary Table 1). No information was available on individual surgeon practice pattern 119 and OPCAB experience before they took part to the trial (i.e. number of OPCAB vs ONCAB 120 procedure performed per year).

121 Trial design

The ART has been approved by the institutional review board of all participating centres, and informed consent was obtained from each participant. The protocol for the ART has been published [9]. Briefly, the ART is a 2-arm, randomized multi centre trial conducted in 28 hospitals in 7 countries, with patients being randomized equally to SITA or bilateral internal thoracic artery (BITA) grafts. Eligible patients were those with multivessel coronary artery disease undergoing coronary artery bypass grafting including urgent patients. Only emergency patients (refractory myocardial ischemia/cardiogenic shock) and those requiring single graftsor redo surgery were excluded.

130 Follow-up

Questionnaires were sent to study participants by post at 12 months and then every year after surgery. No clinic visits were planned apart from the routine clinical 6-week post-operative visit. Participants were sent stamped addressed envelopes to improve the return rates of postal questionnaires. Study coordinators contacted participants by telephone to alert them to the questionnaire's arrival and to ask them about medications, adverse events and health services resource use. Mean follow-up time for the present analysis was 4.9±1.0 years. Follow-up at 5 years was completed for 2833/2960 (96%) patients.

138 **Study outcomes**

The two strategies were compared in terms of hospital outcomes and 5 years mortality and incidence of major cardiac and cerebrovascular events (MACCE) which included cardiovascular death, non-fatal myocardial infarction (MI), non-fatal cerebrovascular accident (CVA) and repeat revascularization. Treatment effect on individual MACCE components was also investigated. Adverse events were adjudicated blind to surgical procedure by a member of the Clinical Event Review Committee.

145 **Outcomes definitions**

Death was classified into cardiovascular and non-cardiovascular, where possible, using autopsy reports and death certificates. Congestive heart failure, arrhythmia or myocardial infarction, pulmonary embolus and dissection were considered cardiovascular causes of death. MI was diagnosed when two of the following three criteria were present: 1. Unequivocal ECG changes; 2. Elevation of cardiac enzyme(s) above twice the upper limit of normal or diagnostic troponin rises; 3. Chest pain typical for acute MI which lasted more than 20 minutes. CVA was defined as new neurological deficit evidenced by clinical signs of paresis, plegia or new cognitive dysfunction including any mental status alteration lasting more than 24 hours and/or evidence on CT or MRI scan of recent brain infarct (less than 6 months). Repeat revascularization was defined as coronary bypass surgery or percutaneous coronary intervention (PCI) performed after trial procedure. Acute kidney injury (AKI) defined as a 0.3 mg/dl (\geq 26.5 mmol/l) creatinine increase from baseline within 48 hours of surgery.

158 Statistical analysis

159 Multiple imputation (m=3) was used to address missing data. Rubin's method [10] was used 160 to combine results from each of the imputed data sets (Amelia R package). Due to lack of 161 randomization with regards to receiving OPCAB, a propensity score (PS) was generated for 162 each patient from a multivariable logistic regression model based on 23 pre-treatment 163 covariates as independent variables with OPCAB versus ONCAB as a binary dependent 164 variable [10]. Pairs of patients were derived using greedy 1:1 matching with a calliper of width of 0.2 standard deviation of the logit of the PS (nonrandom R package). The quality of the 165 166 match was assessed by comparing selected pre-treatment variables in propensity score-167 matched patients using the standardized mean difference (SMD), with an absolute standardized 168 difference of greater than 10% taken to represent meaningful covariate imbalance. [11]. 169 McNemar's test and paired t-test was used to assess the statistical significance of the risk 170 difference for hospital outcomes [12]. Cox regression models stratified on the matched pairs 171 [12] were used to estimate the treatment effect on 5 years outcomes respectively. This approach 172 accounts for the within-pair homogeneity by allowing the baseline hazard function to vary 173 across matched sets. Risk competing framework was used to estimate the treatment effect on 174 MACCE individual components. The Schoenfeld residuals test was used to test the 175 independence between residuals and time and hence to test the proportional hazards assumption in Cox models (survival R package). All p-values <0.05 were considered to indicate statistical
significance.

178 Due to the large number of participating surgeons and the marked variability of total number 179 of procedures and OPCAB procedures performed individually, performing surgeons could not 180 be included into PS model. To account for the potential influence of individual surgeon's 181 OPCAB experience, we classified each patient according to quartiles of total number of 182 OPCAB procedures performed in the trial by the relative surgeon (0 [on-pump only], 1-5 [small 183 OPCAB volume], 6-60 [moderate OPCAB volume], >60 [high OPCAB volume]) and 184 outcomes in the matched sample were reported accordingly for descriptive purpose. Finally 185 baseline characteristics and outcomes between OPCAB cases converted to on pump vs. not 186 converted were also reported. All statistical analysis was performed using R Statistical 187 Software (version 3.2.3; R Foundation for Statistical Computing, Vienna, Austria).

188 **Results**

189 **Patient's characteristics and operative data.**

190 OPCAB group was more likely to have higher creatinine and to receive BITA graft and was 191 less likely to have treated hypertension, history of smoking and to receive saphenous vein graft. 192 Total number of grafts per patients was comparable in the OPCAB and ONCAB groups 193 (3.20±0.97 vs. 3.19±0.76; P=0.7). However, in the OPCAB group, the right coronary artery 194 was less likely to be revascularized (62.1% vs 73.4%; P<0.001) whilst diagonal branches were 195 more likely to be grafted in the OPCAB group (35.7% vs 29.2%; P<0.001). The two groups 196 did not differ for rate of left anterior descending artery (98.1% vs 98.7%; P=0.24) and 197 circumflex artery grafting (91.8% vs 92.6%; P=0.45).

PSM selected 1260 matched pairs for final comparison (C statistic=0.71; Supplementary
Figure 1). No residual imbalance was observed between matched groups (all SMD<10%)

(Table 1 and Supplementary Figure 2). After matching number of grafts in the OPCAB and
ONCAB groups was comparable (3.20±0.97 vs. 3.17±0.87; P=0.35)

202 Hospital outcomes

203 Hospital outcomes comparisons before and after matching are reported in Table 2. In hospital 204 mortality was low and comparable between OPCAB and ONCAB groups (1.0% vs 1.2% 205 P=0.70). OPCAB was associated with a lower creatine kinase MB (CK-MB) peak at 24hrs 206 postoperatively and a relative lower incidence of MI. However, the rate of intra-aortic balloon 207 pump requirement was comparable between the two groups. OPCAB was associated with a 208 lower rate of red blood cell (RCB) transfusion and a trend towards a lower incidence of sternal 209 wound complication. OPCAB did not reduce the incidence of postoperative CVA, AKI and 210 renal replacement therapy.

211 **5-year outcomes**

5-year outcomes comparisons before and after matching are reported in Table 3. 5-year mortality (Figure 2) and MACCE rates were comparable in the two groups. In terms of individual MACCE components, OPCAB was associated with a marginally non-significant 1.1% absolute risk reduction in MI. CV death, CVA and Repeat revascularization rates were comparable between the two groups (Figure 3).

217 Impact of intraoperative conversion to on-pump on outcomes

Intraoperative conversion to on-pump occurred for 29 out of 1260 OPCAB (2.3%) procedures.
Notably, distribution of risk factors between the OPCAB converted to on-pump group and
OPCABG not converted group was similar (Supplementary Table 2). When compared to
OPCAB not converted, OPCAB converted to on-pump presented a remarkably higher hospital
mortality (10.3% vs 0.7%; P<0.001) and increased rate of hospital complications despite</p>
similar distribution of baseline risk factors. The trend towards poorer outcomes among OPCAB

cases converted to on-pump persisted at 5 years (Supplementary Table 3, SupplementaryFigure 3).

226 Surgeon OPCAB volume and outcomes

A total of 95 surgeons performed on-pump only (951 patients); 33 surgeons performed between
1 and 5 OPCAB procedures (531 patients; 62 OPCAB; 469 ONCAB); 25 surgeons performed
between 6 and 60 OPCAB procedures (in total 779 patients; 530 OPCAB; 249 ONCAB);
finally, 3 surgeons performed over 60 OPCAB procedures (in total 699 patients; 668 OPCAB;
31 ONCAB)
Baseline characteristics and outcomes in the matched OPCAB and ONCAB groups stratified

per surgeon OPCAB volume are reported in Supplementary Table 4 and Supplementary Table
5 and Supplementary Figure 4. OPCAB cases performed by "sporadic" OPCAB surgeons (15 OPCAB procedures) presented a high rate of conversion (12.9%), a lower number of grafts
performed (2.60±0.88) and a higher rate of operative mortality (4.8%) compared to other
OPCAB subgroups despite risk factors distribution was similar.

When OPCAB performed by 3 high volume OPCAB surgeons (>60) was compared to ONCAB
by 95 "on-pump only" surgeons performing on-pump only we found similar 5-year overall
mortality and MACCE rates.

Among 28 ONCAB cases performed by 3 high OPCAB volume surgeons (>60), we observed a high hospital mortality rate (7.1%). However, this subgroup presented a higher prevalence of important risk factors including LVEF <30% and increased creatinine compared to other ONCAB subgroups suggesting that these 3 surgeons selectively used on-pump for high risk cases.

246 **Discussion**

The main finding of the present post-hoc analysis of the ART showed that when compared toONCAB, OPCAB was associated with comparable number of grafts performed, a reduced

operative morbidity and comparable 5-year mortality and incidence of MACCE. Conversion
rate to on-pump was relatively low (2.3%) but was associated with a remarkable increase in
hospital mortality and morbidity and poorer 5-year outcomes.

In the ART, over 50% of OPCAB procedures (668/1260) were performed by 3 surgeons only among 156 participating surgeons while 95 surgeons performed on-pump only. OPCAB performed by 3 high volume OPCAB surgeons was associated to hospital and 5-year mortality comparable to those observed after ONCAB performed by 95 "on-pump only" surgeons.

We found that OPCAB performed by "sporadic" OPCAB surgeons (between 1 and 5 OPCAB procedure) was associated with a marked increase in conversion rate (12.9%), a lower number of graft performed and increased hospital mortality (4.8%).

There is continued debate as to whether OPCAB may affect long-term outcomes due to a lower number of graft performed and subsequent effect of incomplete revascularization. Takagi et al.
[2] pooled 5 randomized controlled trials and 17 adjusted observational studies that had reported long-term (≥5-year) all-cause mortality. In observational studies (102,820 patients) but not in randomized trials (1486 patients), OPCAB was associated with increased late mortality.

Criticisms for observational studies comparing OPCAB and ONCAB include a possible bias toward including higher-risk patients in the OPCAB group [13]. Furthermore, incomplete revascularization in retrospective studies may be a surrogate marker for higher burden of comorbidities and per se might not be particularly relevant on patients' outcome [14].

The CORONARY trial [6] is a large trial (n=4502 patients) designed to compare the two strategies. The final 5-year results showed similar outcomes with OPCAB and ONCAB. The difference between OPCAB and ONCAB in terms of number of grafts (3.0 vs. 3.2) and incidence of incomplete revascularization (11.8% vs. 10.0%) was only marginal. In the CORONARY, each procedure was performed by a surgeon who had expertise in the specific
type of surgery (completion of more than 100 cases of the specific technique either off-pump
or on-pump). A limitation of the CORONARY is that only patients at higher risk were enrolled
and this aspect might limit the generalizability of the study findings.

277 In contrary, in the ROOBY trial [7], which enrolled 2203 patients, OPCAB has been recently 278 reported to be associated with increased 5-year mortality (15.2% in the OPCAB group versus 279 11.9% in the ONCAB group, relative risk, 1.28; 95% CI, 1.03 to 1.58; P=0.02). and MACCE 280 rates (31.0% in the OPCAB group versus 27.1% in the ONCAB group (relative risk, 1.14; 95%) 281 CI, 1.00 to 1.30; P=0.046). This trial has also demonstrated that the patency rate of the off-282 pump arm was lower than that of the on-pump arm on 12-month angiography [15]. Such 283 findings can be partially explained on the basis that the 53 participating surgeons enrolled on 284 average only eight patients per year during the study period and had unacceptably high 285 conversion rates to on-pump surgery (12%) and incomplete revascularization (18%). 286 Moreover, in 60% of the cases, a resident was the primary surgeon again raising concerns about the relative inexperience translating into poor graft patency. 287

The present post-hoc analysis supports the equipoise between OPCAB and ONCAB in term of safety and efficacy. We found a trend towards a lower incidence of MI in the OPCAB group mainly related to early phase. It is well recognized that OPCAB is associated with a lower release of myocardial enzymes [16] but the clinical relevance of this observation remains unclear. Moreover, the definition of perioperative MI following myocardial revascularization remains controversial as well as its clinical implication [17].

In the ART, over 50% of OPCAB procedures were performed by 3 high volume OPCAB surgeons only and this can partially explain the present findings. When OPCAB was performed by "sporadic" off-pump surgeons, this was associated with a lower number of grafts, higher conversion rate and higher hospital mortality. This observation supports the central role ofsurgeon expertise in determining short and long-term results after off-pump.

The unique technical challenges of OPCAB fuel the perception that adoption of this myocardial revascularization strategy may lead to poorer outcomes during each surgeon's "learning curve" [18]. However, learning curve in off-pump CABG can be safely negotiated with appropriate patient selection, individualized grafting strategy, peer-to-peer training of the entire team, and graded clinical experience. Centers with established off-pump training programs have consistently shown that OPCAB can be safely and successfully taught to trainees without jeopardizing outcomes [19].

In the current era, increasing number of patients with high-risk profile is being referred for surgical myocardial revascularization and off-pump represents an attractive strategy to reduce operative morbidity especially in this subgroup as recently supported by a large meta-analysis of RCTs [20]. Therefore, OPCAB should remain in the armamentarium of cardiac surgeons (video 1). However, these superior outcomes in high-risk patients can only be achieved if offpump is offered to high- and low-risk patients alike and this further emphasizes the need for recognition of off-pump as a subspecialty with structured training program.

313 The present analysis has intrinsic limitations. The main limitation is the retrospective analysis. 314 The propensity technique can adjust only for measurable and included variables, and we cannot 315 exclude a selection bias based on a nonmeasurable "eye-balling" including the quality of the 316 targets. We had no information on specific surgeon off-pump expertise and we used total 317 number of off-pump procedures performed in the ART as surrogate of off-pump expertise. 318 However, the validity of this approach was further limited by the large variability of number 319 of procedures performed per surgeon with a large proportion of surgeons performing less than 320 5 procedures (67 over 156 surgeons). Moreover, we had no information on reasons for 321 preferring off-pump over on-pump and vice-versa across surgeon subgroups. Therefore, 322 subgroup analysis based on surgeon OPCAB volume should be considered only as descriptive 323 and hypothesis generating. Despite the present analysis did not show a significant difference 324 in terms of mortality between the two strategies, there is a marginal trend towards an excess of cardiovascular deaths in the OPCAB group (4.1% vs. 3.1%) and it can be argued that the 325 326 present analysis is underpowered to demonstrate a significant difference between the two 327 groups. However, this difference is irrelevant when all-cause mortality is considered (8.9% vs 328 8.3%). All-cause death is the most robust and unbiased index in cardiovascular research 329 because no adjudication is required, thus avoiding inaccurate or biased documentation and 330 inconsistency in endpoint definition.

In conclusion, the present post-hoc ART analysis, found no significant difference at 5 years between the OPCAB and ONCAB in the rate of death, nonfatal stroke, nonfatal myocardial infarction or in the rate of subsequent revascularization procedures. Our results indicate that both procedures are equally effective and safe at least over the medium term.

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	OPCAB	ONCAB	SMD	ONCAB	SMD
		unmatched	Pre-	matched	Post
			PSM		PSM
n	1260	1700		1260	
Age (years) mean (sd)	64 (9)	64 (9)	1	64 (9)	1
Female n(%)	180 (14.3)	240 (14.1)	0	180 (14.3)	0
BMI mean (sd)	28.10 (4.10)	28.28 (3.87)	5	28.13 (3.87)	1
SBP (mmHg) mean (sd)	133 (19)	131 (17)	9	132.30 (18)	2
DBP (mmHg) mean (sd)	75 (11)	75 (11)	5	75.24	-1
_				(11.32)	
Creatinine (mmol/l) mean (sd)	100 (23)	94 (21)	26	97.75	9
				(21.58)	
Treated Hypertension n(%)	943 (75)	1360 (80.0)	-12	950 (75.4)	-1
Treated Hyperlipaemia n(%)	1176 (93.3)	1601 (94.2)	-4	1178 (93.5)	-1
Diabetes n(%)			-6		1
No	980 (77.8)	1286 (75.6)		983 (78.0)	
Insulin dependent	70 (5.6)	93 (5.5)		67 (5.3)	
Non-insulin dependent	210 (16.7)	321 (18.9)		210 (16.7)	
Smoking n(%)			-14		-9
Current	180 (14.3)	242 (14.2)		149 (11.8)	
Ex-smoker	664 (52.7)	999 (58.8)		723 (57.4)	
Never	416 (33.0)	459 (27.0)		388 (30.8)	
COPD n(%)	29 (2.3)	43 (2.5)	-2	28 (2.2)	1
Asthma n(%)	63 (5.0)	65 (3.8)	6	59 (4.7)	2
PVD n(%)	90 (7.1)	120 (7.1)	0	81 (6.4)	3
TIA n(%)	40 (3.2)	60 (3.5)	-2	40 (3.2)	0
CVA n(%)	40 (3.2)	46 (2.7)	3	38 (3.0)	1
MI n(%)	510 (40.5)	726 (42.7)	- 5	513 (40.7)	-1
PCI n(%)	208 (16.5)	270 (15.9)	2	214 (17.0)	-1
Preop AF n(%)	19 (1.5)	24 (1.4)	1	16 (1.3)	2
preop LVEF (%)			5		2
≥50% (good)	950 (75.4)	1289 (75.8)		939 (74.5)	
31-49% (moderate)	268 (21.3)	389 (22.9)		303 (24.0)	
≤30% (poor)	42 (3.3)	22 (1.3)		18 (1.4)	
LMD n(%)	282 (22.4)	356 (20.9)	4	277 (22.0)	1
RA n(%)	240 (19.0)	381 (22.4)	-8	252 (20.0)	-2
SVG n(%)	936 (74.3)	1344 (79.1)	-11	956 (75.9)	-4
BITA n(%)	598 (47.5)	691 (40.6)	14	575 (45.6)	4

405 OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary artery bypass; SMD:
406 standardized mean difference; PSM: propensity score matching; SBP: systolic blood pressure;
407 DPB: diastolic blood pressure; COPD: chronic obstructive pulmonary disease; PVD:
408 peripheral vascular disease; TIA: transient ischemic attack; CVA: cerebrovascular accident;
409 MI: myocardial infarction; PCI: percutaneous coronary intervention; AF: atrial fibrillation;
410 LVEF: left ventricular ejection fraction; LMD: left main disease; RA: radial artery BITA:
411 Bilateral internal thoracic arteries, SVG: saphenous vein graft

	OPCAB	ONCAB	P-value*	ONCAB	P-value [‡]
		unmatched	Pre-PSM	matched	Post-
					PSM
n	1260	1700		1260	
Death n(%)	12 (1.0)	18 (1.1)	0.92	15 (1.2)	0.70
CVA n(%)	20 (1.6)	19 (1.1)	0.34	13 (1.0)	0.29
Periop MI n(%)	10 (0.8)	40 (2.4)	0.002	32 (2.5)	0.001
CK-MB 24h (U/L) mean (sd)	34 (179)	80 (125)	0.007	83 (139)	0.02
IABP insertion n(%)	58 (4.6)	59 (3.5)	0.14	46 (3.7)	0.27
Repeat Revascularization n(%)	8 (0.6)	7 (0.4)	0.56	7 (0.6)	1
POAF n(%)	279 (22.1)	451 (26.5)	0.007	333 (26.4)	0.01
Renal replacement therapy n(%)	72 (5.7)	79 (4.6)	0.22	64 (5.1)	0.54
AKI n(%)	225 (17.9)	290 (17.1)	0.61	221 (17.5)	0.88
Sternal wound complication n(%)	35 (2.8)	67 (3.9)	0.11	52 (4.1)	0.08
Reexploration for bleeding n(%)	40 (3.2)	62 (3.6)	0.55	51 (4.0)	0.29
RBC transfusion n(%)	165 (13.1)	280 (16.5)	0.01	207 (16.4)	0.02

413 **Table 2.** Hospital outcomes of patients undergoing OPCAB vs ONCAB in the ART

414 OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary artery bypass; PSM:

415 propensity score matching; MI: myocardial infarction; CK-MB creatine kinase-MB; IABP:

416 intra-aortic balloon pump; POAF: postoperative atrial fibrillation; AKI: acute kidney injury;
417 RBC: red blood cell

418 *Chi test or t-test

419 *+* Mcnemar test or paired t-test

420

422 **Table 3.** 5-year outcomes of patients undergoing OPCAB vs ONCAB in the ART (expressed

	OPCAB	ONCAB	ONCAB	HR(95%CI)	P-value
		unmatched	Matched	Post-PSM*	Post-
					PSM*
n	1260	1700	1260		
Mortality n(%)	110(8.9)	134(8.0)	102(8.3)	1.14[0.86-1.52]	0.35
MACCE n(%)	175(14.3)	217(13.1)	169 (13.8)	1.05 [0.84-1.31]	0.65
CV death n(%)	51(4.1)	47(2.8)	39(3.1)	1.39[0.90-2.13]	0.13
MI n(%)	37(3.0)	61(3.6)	51(4.1)	0.66[0.43-1.02]	0.06
CVA n(%)	41(3.3)	42(2.5)	32(2.6)	1.32[0.83-2.11]	0.24
Revascularization n(%)	90(7.5)	108(6.4)	84(6.8)	1.09[0.80-1.49]	0.58

423 in number of events and cumulative incidence)

424 OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary artery bypass; PSM:

425 propensity score matching; MACCE: major cardiac and cerebrovascular event; CV:

426 cardiovascular; MI: myocardial infarction; CVA: cerebrovascular accident

427 *Cox model stratified for matched pairs

	OPCAB	OPCAB not	P-value
	converted to	converted	
	on-pump		
Ν	29	1231	
In hospital outcomes*			
Death n(%)	3 (10.3)	9 (0.7)	< 0.001
CVA n(%)	1 (3.4)	19 (1.5)	0.95
MI n(%)	0 (0.0)	10 (0.8)	1
CKMB at 24h (U/L) mean (sd)	182 (102)	31 (179)	0.15
IABP insertion n(%)	10 (34.5)	48 (3.9)	< 0.001
Repeat Revascularization n(%)	1 (3.4)	7 (0.6)	0.46
POAF n(%)	15 (51.7)	264 (21.4)	< 0.001
Renal replacement therapy n(%)	4 (13.8)	68 (5.5)	0.14
AKI n(%)	16 (55.2)	209 (17.0)	< 0.001
Sternal wound complication n(%)	1 (3.4)	34 (2.8)	1
Re-exploration for bleeding n(%)	3 (10.3)	37 (3.0)	0.09
RBC transfusion n(%)	17 (58.6)	148 (12.0)	< 0.001
5-year outcomes ‡			
Mortality n(%)	4(13.8)	106(8.8)	0.3
MACCE n(%)	8(27.9)	167(14.0)	0.02
CV death n(%)	3(10)	48(4.0)	0.08
MI n(%)	2(6.9)	35(2.9)	0.18
CVA n(%)	1(3.4)	40(3.3)	0.92
Repeat Revascularization	4(13.8)	86(7.1)	0.12

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429 OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary artery bypass; PSM:

430 propensity score matching; MI: myocardial infarction; IABP: intra-aortic balloon pump;

431 POAF: postoperative atrial fibrillation; AKI: acute kidney injury; RBC: red blood cell; 432 MACCE: major cardiac and cerebrovascular event; CV: cardiovascular; MI: myocardial

433 infarction; CVA: cerebrovascular accident

434 * Chi test or t-test

435 *‡* Cox regression model

Table 5. Outcomes among OPCAB and matched ONCAB patients stratified for surgeon trial 436

437 **OPCAB** volume

		Matched	ONCAB				OPCAB	
surgeon trial OPCAB	0	1-5	6-60	>60	0	1-5	6-60	>60
volume quartiles								
N of surgeons	95	33	25	3	95	33	25	3
N of patients	688	340	204	28	-	62	530	668
Hospital death n(%)	8 (1.2)	4 (1.2)	1 (0.5)	2 (7.1)	-	3 (4.8)	3 (0.6)	6 (0.9)
Conversion rate n(%)	-	-	-	-	-	8(12.9)	14(2.6%)	7 (1.0%)
N grafts mean(sd)	3.14	3.12	3.31	3.43	-	2.60	3.20	3.26
	(0.77)	(0.78)	(0.72)	(0.57)		(0.88)	(0.85)	(0.87)
5-y Mortality n(%)	65(9.6)	22(6.7)	10(4.9)	5(17.9)	-	5(8.2)	40 (7.8)	65(9.9)
5-y MACCE n(%)	94(14.0)	44(13.4)	24(11.9)	7(25.7)	-	9(14.9)	52(10.2)	114(17.5)
5-y CV death n(%)	23(3.4)	8(2.4)	4(2.0)	28(14.3)	-	3(4.8)	15(2.9)	33(5.0)
5-y MI n(%)	23(3.4)	19(5.6)	8(3.9)	1(3.6)	-	3(4.8)	9(1.7)	25(3.8)
5-y CVA n(%)	16(2.4)	8(2.4)	6(3.0)	2(7.1)	-	2(3.3)	16(3.1)	23(3.5)
5-y Revascularization	52(7.7)	16(4.9)	14(6.9)	28(7.1)	-	3(5.0)	23(4.5)	64(9.7)
n(%)								

OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary artery bypass; PSM: 438

propensity score matching; MACCE: major cardiac and cerebrovascular event; CV: 439 440 cardiovascular; MI: myocardial infarction; CVA: cerebrovascular accident

Supplementary Table 1. OPCAB, ONCAB and total number of cases performed according

443 to individual surgeon

Surgeon#	ONCAB	OPCAB	TOT
(unknown)	74	60	134
1	4	0	4
2	0	1	1
3	0	2	2
4	0	2	2
5	1	5	6
6	1	0	1
7	26	0	26
8	18	0	18
9	1	10	11
10	0	1	1
11	1	0	1
12	16	0	16
13	1	0	1
14	1	1	2
15	1	0	1
16	4	0	4
17	2	0	2
18	4	0	4
19	2	0	2
20	37	0	37
21	0	9	9
22	8	2	10
23	25	15	40
24	28	1	29
25	2	1	3
26	1	0	1
27	10	0	10
28	1	0	1
29	0	38	38
30	21	0	21
31	28	0	28
32	20	0	20
33	51	0	51
34	9	0	9
35	13	0	13
36	0	15	15
37	9	0	9
38	17	0	17
39	14	7	21
40	10	0	10

41	63	17	80
42	1	0	1
43	5	0	5
44	1	47	48
45	18	1	19
46	2	0	2
47	3	0	3
48	5	16	21
49	2	0	2
50	26	0	26
51	0	6	6
52	4	0	4
53	1	0	1
54	0	6	6
55	20	1	21
56	22	0	22
57	4	43	47
58	29	0	29
59	37	0	37
60	5	0	5
61	0	10	10
62	0	6	6
63	53	4	57
64	1	0	1
65	15	0	15
66	1	0	1
67	5	0	5
68	6	9	15
69	3	0	3
70	0	1	1
71	6	0	6
72	11	0	11
73	1	0	1
74	17	1	18
75	25	0	25
76	0	3	3
77	32	0	32
78	1	0	1
79	2	47	49
80	1	0	1
81	26	0	26
82	6	0	6
83	1	1	2
84	0	1	1
85	0	48	48

86	1	77	78
87	34	0	34
88	37	0	37
89	9	2	11
90	1	0	1
91	8	0	8
92	3	0	3
93	71	2	73
94	7	2	9
95	1	0	1
96	13	0	13
97	2	0	2
98	1	34	35
99	0	2	2
100	1	0	1
100	40	0	40
101	1	0	1
102	21	0	21
103	27	8	35
101	2	2	4
105	31	2	33
100	4	0	4
107	6	12	18
109	2	0	2
110	11	20	31
111	47	0	47
112	0	3	3
113	3	0	3
114	1	0	1
115	1	10	11
116	1	0	1
117	65	1	66
118	1	0	1
119	2	0	2
120	18	5	23
121	1	0	1
122	26	5	31
123	1	0	1
124	1	8	9
125	6	0	6
126	1	0	1
127	1	1	2
128	6	0	6
129	36	0	36
130	5	1	6

446 Supplementary Table 2. Baseline characteristics in patients undergoing OPCAB converted

447 vs non-converted to on-pump

	OPCAB not converted	OPCAB converted	P*
		to	
		on-pump	
n	1231	29	
Age (years) mean (sd)	63.59 (9.06)	64.40 (9.77)	0.634
Female n(%)	179 (14.5)	1 (3.4)	0.16
BMI mean (sd)	28.10 (4.11)	28.15 (3.99)	0.95
SBP (mmHg) mean (sd)	132.75 (19.11)	130.66 (17.94)	0.56
DBP (mmHg) mean (sd)	75.40 (11.07)	72.48 (11.85)	0.16
Creatinine (mmol/l) mean (sd)	99.68 (22.19)	107.76 (41.54)	0.06
Treated Hypertension n(%)	919 (74.7)	24 (82.8)	0.44
Treated Hyperlipaemia n(%)	1147 (93.2)	29 (100.0)	0.28
Diabetes n(%)			0.78
No	959 (77.9)	21 (72.4)	
Insulin dependent	68 (5.5)	2 (6.9)	
Non-insulin dependent	204 (16.6)	6 (20.7)	
Smoking n(%)			0.02
Current	173 (14.1)	7 (24.1)	
Ex-smoker	645 (52.4)	19 (65.5)	
Never	413 (33.5)	3 (10.3)	
COPD n(%)	29 (2.4)	0(0.0)	0.83
Asthma n(%)	58 (4.7)	5 (17.2)	0.009
PVD n(%)	87 (7.1)	3 (10.3)	0.76
TIA n(%)	40 (3.2)	0 (0.0)	0.65
CVA n(%)	40 (3.2)	0 (0.0)	0.65
MI n(%)	493 (40.0)	17 (58.6)	0.07
PCI n(%)	199 (16.2)	9 (31.0)	0.06
Preop AF n(%)	18 (1.5)	1 (3.4)	0.92
preop LVEF (%)			0.37
≥50% (good)	927 (75.3)	23 (79.3)	
31-49% (moderate)	264 (21.4)	4 (13.8)	
≤30% (poor)	40 (3.2)	2 (6.9)	
LMD n(%)	276 (22.4)	6 (20.7)	1
RA n(%)	231 (18.8)	9 (31.0)	0.15
SVG n(%)	916 (74.4)	20 (69.0)	0.65
BITA n(%)	582 (47.3)	16 (55.2)	0.51

OPCAB: off-pump coronary artery bypass; SBP: systolic blood pressure; DPB: diastolic blood 448 pressure; COPD: chronic obstructive pulmonary disease; PVD: peripheral vascular disease; 449 450 TIA: transient ischemic attack; CVA: cerebrovascular accident; MI: myocardial infarction; PCI: percutaneous coronary intervention; AF: atrial fibrillation; LVEF: left ventricular ejection 451

fraction; LMD: left main disease; RA: radial artery BITA: Bilateral internal thoracic arteries, 452

453 SVG: saphenous vein graft

454 *Chi test or t-test

Supplementary Table 3. Baseline characteristics of OPCAB and matched ONCAB patients

457 stratified for surgeon trial OPCAB volume

		Matched	ONCAB			С	PCAB	
surgeon trial	0	1-5	6-60	>60	0	1-5	6-60	>60
OPCAB volume								
quartiles								
N of surgeons	95	33	25	3	95	33	25	3
N of patients	688	340	204	28	-	62	530	668
Age (years) mean	64(9)	62(9)	6(9)	66(9)	-	64(8)	62(8)	65(9)
(sd)	. ,		~ /					~ /
Female n(%)	93	55	28	4	-	7	55	118
	(13.5)	(16.2)	(13.7)	(14.3)		(11.3)	(10.4)	(17.7)
BMI mean (sd)	28(4)	28(4)	28(4)	29(4)	-	27(4)	28 (4)	28(4)
	- ()	- ()	- ()				- ()	- ()
SBP (mmHg) mean	133	132	133	134	-	132	131	134
(sd)	(17)	(17)	(21)	(15)		(17)	(19)	(20)
				< - /				
DBP (mmHg) mean	75.50	74.91	75.22	72.72	-	75.11	76.51	74.42
(sd)	(11.51)	(10.99)	(11.02)	(13.01)		(8.85)	(10.96)	(11.30)
Creatinine (mmol/l)	98	95 (20)	101	111	-	94	96 (22)	104
mean (sd)	(23)	~ /	(19)	(17)		(31)	~ /	(22)
	× ,			~ /				× /
Treated	508	272	146	24	-	53	389	501
Hypertension n(%)	(73.8)	(80.0)	(71.6)	(85.7)		(85.5)	(73.4)	(75.0)
Treated	629	323	201	25	-	59	516	601
Hyperlipaemia n(%)	(91.4)	(95.0)	(98.5)	(89.3)		(95.2)	(97.4)	(90.0)
Diabetes n(%)					-			
No	540	257	163	23	-	49	415	516
	(78.5)	(75.6)	(79.9)	(82.1)		(79.0)	(78.3)	(77.2)
Insulin dependent	28	24	13	2	-	2	25	43
1	(4.1)	(7.1)	(6.4)	(7.1)		(3.2)	(4.7)	(6.4)
Non-insulin	120	59	28	3	-	11	90	109
dependent	(17.4)	(17.4)	(13.7)	(10.7)		(17.7)	(17.0)	(16.3)
Smoking n(%)					-			
Current	78	50	18	3	-	8	85	87
	(11.3)	(14.7)	(8.8)	(10.7)		(12.9)	(16.0)	(13.0)
Ex-smoker	384	196	131	12	-	37	264	363
	(55.8)	(57.6)	(64.2)	(42.9)		(59.7)	(49.8)	(54.3)
Never	226	94	55	13	-	17	181	218
	(32.8)	(27.6)	(27.0)	(46.4)		(27.4)	(34.2)	(32.6)
COPD n(%)	14	6 (1.8)	8 (3.9)	0 (0.0)	-	1	11	17
	(2.0)					(1.6)	(2.1)	(2.5)
Asthma n(%)	35	11	11	2	-	2	24	37
	(5.1)	(3.2)	(5.4)	(7.1)		(3.2)	(4.5)	(5.5)
PVD n(%)	45	20	14	2	-	2	29	59
	(6.5)	(5.9)	(6.9)	(7.1)		(3.2)	(5.5)	(8.8)

$\begin{array}{ c c c c c c c c c c c c c c c c c c c$			r							
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	TIA n(%)	18	10	11	1		-	1	19	20
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		(2.6)	(2.9)	(5.4)	(3.6)			(1.6)	(3.6)	(3.0)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	CVA n(%)	28	7 (2.1)	3 (1.5)	0		-	1	11	28
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(4.1)			(0.0)			(1.6)	(2.1)	(4.2)
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	MI n(%)	272	148	81	12		-	28	226	256
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		(39.5)	(43.5)	(39.7)	(42.9)			(45.2)	(42.6)	(38.3)
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	PCI n(%)	102	63	46	3		-	17	135	56
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(14.8)	(18.5)	(22.5)	(10.7)			(27.4)	(25.5)	(8.4)
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Preop AF n(%)	8	3	5	0		-	0	7	12
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		(1.2)	(0.9)	(2.5)	(0.0)			(0.0)	(1.3)	(1.8)
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	preop LVEF (%)						-			
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	≥50% (good)	516	253	153	17		-	52	405	493
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(75.0)	(74.4)	(75.0)	(60.7)			(83.9)	(76.4)	(73.8)
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	31-49% (moderate)	162	85	48	8		-	10	112	146
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(23.5)	(25.0)	(23.5)	(28.6)			(16.1)	(21.1)	(21.9)
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	≤30% (poor)	10	2 (0.6)	3 (1.5)	3		-	0	13	29
(21.7)(25.0)(16.7)(32.1)(9.7)(19.1)(26.2)RA n(%)13784274-990141(19.9)(24.7)(13.2)(14.3)(14.5)(17.0)(21.1)		(1.5)			(10.7)			(0.0)	(2.5)	(4.3)
RA n(%) 137 84 27 4 - 9 90 141 (19.9) (24.7) (13.2) (14.3) - (14.5) (17.0) (21.1)	LMD n(%)	149	85	34	9		-	6	101	175
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(21.7)	(25.0)	(16.7)	(32.1)			(9.7)	(19.1)	(26.2)
	RA n(%)	137	84	27	4		-	9	90	141
SVG n(%) 524 232 175 25 - 38 400 498		(19.9)	(24.7)	(13.2)	(14.3)			(14.5)	(17.0)	(21.1)
	SVG n(%)	524	232	175	25		-	38	400	498
(76.2) (68.2) (85.8) (89.3) (61.3) (75.5) (74.6)		(76.2)	(68.2)	(85.8)	(89.3)			(61.3)	(75.5)	(74.6)
	BITA n(%)	308			11	1	-	23	252	323
(44.8) (50.3) (41.7) (39.3) (37.1) (47.5) (48.4	. ,	(44.8)	(50.3)	(41.7)	(39.3)			(37.1)	(47.5)	(48.4)

OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary artery bypass; SBP:
systolic blood pressure; DPB: diastolic blood pressure; COPD: chronic obstructive pulmonary
disease; PVD: peripheral vascular disease; TIA: transient ischemic attack; CVA:
cerebrovascular accident; MI: myocardial infarction; PCI: percutaneous coronary intervention;
AF: atrial fibrillation; LVEF: left ventricular ejection fraction; LMD: left main disease; RA:
radial artery BITA: Bilateral internal thoracic arteries, SVG: saphenous vein graft

- 464 Figure Legend
- 465 Central picture: 5-year cumulative incidence for mortality in the matched OPCAB and
- 466 ONCAB groups. (OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary
- 467 artery bypass)



Mortality after matching

468

- **Figure 1.** Study flow chart for patient inclusion/exclusion (OPCAB: off-pump coronary artery
- 471 bypass; ONCAB: on-pump coronary artery bypass)



474 Figure 2. 5-year cumulative incidence for mortality and major adverse cardiac and
475 cerebrovascular events (MACCE) in the matched OPCAB and ONCAB groups. (OPCAB: off476 pump coronary artery bypass; ONCAB: on-pump coronary artery bypass)



479 Figure 3. 5-year cardiovascular(CV)-death, myocardial infarction (MI), cerebrovascular
480 accident (CVA) and revascularization cumulative incidence in the OPCAB and ONCAB
481 groups. (OPCAB: off-pump coronary artery bypass; ONCAB: on-pump coronary artery
482 bypass)



years

years





Supplementary Figure 2. Changes in standardized mean difference for baseline 487 488 characteristics between OPCAB and ONCAB before (red) and after matching (blue). (OPCAB: 489 off-pump coronary artery bypass; SBP: systolic blood pressure; DPB: diastolic blood pressure; 490 COPD: chronic obstructive pulmonary disease; PVD: peripheral vascular disease; TIA: 491 transient ischemic attack; CVA: cerebrovascular accident; MI: myocardial infarction; PCI: 492 percutaneous coronary intervention; AF: atrial fibrillation; LVEF: left ventricular ejection 493 fraction; LMD: left main disease; RA: radial artery BITA: Bilateral internal thoracic arteries, 494 SVG: saphenous vein graft)





496 Supplementary Figure 3. 5-year cumulative incidence for mortality and major adverse cardiac
497 and cerebrovascular events (MACCE) in the OPCAB group according to the incidence of
498 conversion to on-pump. (OPCAB: off-pump coronary artery bypass)



Supplementary Figure 4. 5-year cumulative incidence for mortality and major adverse cardiac
and cerebrovascular events (MACCE) in the OPCAB and ONCAB groups according to
surgeon trial OPCAB volume (0=performing on-pump only; 1-5 low OPCAB volume; 6-60:
moderate volume; >60 high volume) (OPCAB: off-pump coronary artery bypass; ONCAB: onpump coronary artery bypass)





508 Video 1. Off-pump coronary artery bypass grafting at Bristol Heart Institute