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2	5 year retrospective follow-up of new cases of Charcot neuroarthropathy – a									
3	single centre experience									
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5	Short Title:									
6	Outcomes after 5 years of follow up of newly diagnosed Charcot									
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48 <u>Abstract</u>

49 Background:

- 50 Few data describe the natural history of Charcot Neuroarthropathy treated with a
- 51 total contact plaster cast (TCC).

52 Methods:

- A 5 year retrospective analysis of 50 patients presenting with an acute CN,
- 54 Assessing time to clinical resolution into appropriate footwear and assessing if
- 55 initial immobilisation device influenced resolution time.

56 Results:

- 57 During the study period 42 patients (84%) of patients went into remission, 2 died
- 58 during their treatment, 4 had major amputations, in 2 patients treatment was
- ongoing. 36 patients were treated with combination offloading devices, 6 were
- treated with one modality only. Median time to resolution for patients initially
- treated with a TCC was not significantly shorter than for those treated with a
- removable below knee boot. 34.9% required re-casting due to clinical
- 63 deterioration in the removable device.

64 Conclusions:

- 65 More precise measures of resolution of CN are needed to assess the impact of
- 66 initial treatment modality on time to resolution.

67 <u>Keywords</u>

- 68 Charcot neuroarthropathy
- 69 Diabetes
- 70 Clinical resolution
- 71 Total contact plaster cast
- 72 Below knee removable walking boot
- 73

75 Introduction

76	Diabetes mellitus (DM) is a common condition affecting 382 million people
77	globally, a number predicted to rise to 592 million by 2035 [1]. Diabetic foot
78	disease is a common problem globally, and has major consequences for patients
79	and society in general [2]. Between 2010 and 2011 the estimated cost of
80	diabetes related ulceration and amputation to England was £639-661 million [3].
81	This data also showed that regular contact with a specialist diabetic foot
82	multidisciplinary team decreased the costs to the NHS [3].
83	
84	Charcot neuroarthropathy (CN) is an uncommon complication of diabetes.
85	Population based studies have estimated a prevalence of CN of 0.1-0.5% in
86	people with diabetes, rising to 13% in high risk patients [4]. Patients may present
87	to any one of several different specialities such as orthopaedics, rheumatology or
88	even accident and emergency departments. The diagnosis is frequently missed,
89	and there is often a delay in starting treatment [5]. Once diagnosed, the
90	treatment is immobilisation using a total contact plaster cast (TCC) or, if this is
91	not available, a removable below knee walking boot [6]. It has been estimated
92	that the average cost of managing a CN in community and outpatient setting is
93	\pounds 2,710 per foot. The total cost for treating CN in the UK is over \pounds 6.5 million per
94	annum [3].

95

Whilst uncommon, CN can be a potentially devastating end-stage complication of
diabetes mellitus. If there is a delay in treatment, CN is often associated with

progressive foot deformity and resultant ulceration and infection. For patients
with an uncomplicated CN, the risk of amputation is <2% [7]. However, the
presence of an ulcer increases the risk of amputation between 12-13 times [7].

The pathogenesis of CN is presently poorly understood [6]. However, the longstanding theory regarding the pathophysiology of the disease - the neurotrophic theory originally described by Charcot, still has a role [8]. In addition, more recent work suggests that the inflammatory cascade plays an important role in developing the condition and may be a therapeutic target in the future [9].

108

109 A recent, large systematic review suggested that the current gold standard management of acute CN consists of immediate referral to a multidisciplinary 110 111 foot-care team followed by immobilisation of the foot in a TCC [10]. These 112 recommendations are consistent with the guidelines from the UK National Institute for Clinical and Health Care Excellence [11]. However, we acknowledge 113 114 that there are variations in what people accept as the gold standard – with some centres using alternative methods of immobilisation such as the instant total 115 contact cast or removable devices. A large prospective randomised trial is 116 117 needed to address this. 118

There are few data describing the natural history of CN, particularly when treated
with the TCC. A recent multicentre observational study of patients with acute CN

found that median time to resolution was 9 months in patients treated initially with
a non-removable offloading device, compared to 12 months in those treated
initially with a removable offloading device [12]. The same authors also reported
a major amputation rate of 3.1% (n=9).

125

126 To further our understanding of the natural history of acute CN treated with TCC,

127 we undertook a retrospective single centre study. Our aim was to look at time

- taken to achieve clinical resolution and to see if the initial device used to
- immobilise the foot influenced time to resolution. A secondary outcome was to
- see how many people relapsed when they came out of the TCC. A further

secondary outcome was to see if the location of the Charcot influenced time toclinical resolution.

133

135 <u>Methods</u>

We performed a retrospective analysis of patients presenting to a single centre
tertiary foot clinic with a diagnosis of acute CN between October 2007 and
October 2012. Patients were searched our electronic database using the
keyword "Charcot".

140

Patients were included in the study if they had either type 1 or type 2 DM. The 141 acute CN must have developed within the study period, and the patients must 142 143 have been managed as an acute CN. Patients were excluded if an acute CN was deemed unlikely from the history and clinical examination, or if imaging studies 144 145 were negative or another diagnosis was found to be causative or more likely. A 146 strong clinical suspicion of acute CN with negative imaging studies would not exclude a patient from the study. Patients were also excluded if they had a 147 148 chronic CN.

149

Data collection was achieved by the examination of electronic hospital records and hand-written clinic notes. Baseline demographics for study subjects were recorded, as were details of the acute CN. We looked at the site of CN, method of treatment, time in treatment method, and time to resolution. Resolution was determined by the point of transition from treatment to either own or hospital supplied footwear. Data was also collected on complications such as amputation and mortality. Patients were followed-up until the end of the study period.

For baseline demographics such as HbA1c and retinopathy, the most recent
value recorded within a one year timeframe either side of the diagnosis was
used. This timeframe was set as often tests were last or next performed at the
patients' diabetes annual review.

Site of CN was categorised into one of the following: forefoot; mid-foot; hind-foot

and ankle; or mixed. A pre-defined classification criteria was not used as not all

165 patients were diagnosed radiologically, leaving uncertainty around the exact

166 location of the CN when it involved the hindfoot or ankle.

167

168 Data were analysed using SAS statistical software, version 9.3 (Marlow,

169 Buckinghamshire, UK).

170

172 <u>Results</u>

173 50 patients were included. All patients had foot pulses palpable, and were insensate to 10g Semmes-Weinstein monofilament testing at the time of 174 175 diagnosis of CN. However, 2 people died during the course of the study. Figure 1 176 shows the numbers at each stage of the patient inclusion / exclusion criteria. All 177 were diagnosed and managed at the same centre within the time period of the 178 study. The specialist foot clinic was run by 2 of the authors (CG and KD) as part of the multidisciplinary foot clinic, which included 2 orthopaedic surgeons 179 180 specialising in foot and ankle surgery. 181 182 The mean age (±SD) at CN diagnosis was 62.5±11.7 years. 34 (68%) were male. 183 11 (22%) had T1DM. The median duration of diabetes (IQR) was 32.0 years (19.8, 38.0) for those with T1DM, and 15.0 years (4.5, 20.0) for those with T2DM. 184 Mean HbA1C (+SD) was 65±20mmol/mol (8.1%), (T1DM 70±19mmol/mol 185 186 [8.6±3.9%]); T2DM 64±20mmol/mol [8.0±4.0%]). 187 188 At diagnosis of acute CN 12 patients had chronic kidney disease (CKD) stage 0 or 1, 21 patients (42%) had CKD stage 2 and 17 patients (34%) had CKD stage 189

- 190 3-4. 9 patients had no evidence of retinopathy, 27 had a grading of R1
- 191 (background), with 10 of these having R1, M1 (background retinopathy and
- 192 macular involvement), 3 had R2 (pre-proliferative disease) one of these had
- 193 macular disease (R2 M1), 8 had R3 (proliferative retinopathy), 3 with macular

disease (R3 M1). 1 patient was recorded as having retinopathy with no gradegiven. Retinopathy data was unavailable for 2 patients.

196

197 Of the 50 patients, only 15 were able to recall an episode of trauma to the

affected foot within the preceding 12 months. During the study period, 4 patients

had major amputations and 3 had minor amputations or debridement to theaffected foot.

201

40 patients (80%) had a difference in foot temperature of >2°C at presentation,

with the affected foot being warmer. There was no data available for 4 (8%)

204 patients. 6 patients (12%) had foot temperature difference of <2°C at

presentation, however all of these were diagnosed and managed as acute CN on

206 clinical grounds, with 4 of the 6 having an acute CN confirmed radiologically. In

total, 30 patients (60%) had a diagnosis of acute CN confirmed radiologically, by

208 X-ray, MRI or both. The others were treated on clinical grounds because they

209 had presented with a hot, swollen, and deformed insensate foot but in whom

210 repeated imaging showed no abnormality. All patients were followed up

211 radiologically.

212

А

213 Charcot site

During the study, 42 patients went into remission, with foot temperatures <2°C for

greater than 6 weeks (3 consecutive visits to the foot clinic) and stable

radiographic imaging. Of these 11.9% were in the forefoot, 64.3% in the mid-

foot, 19.1% in the hind-foot or ankle, with 4.8% in multiple sites. Median times to resolution for CN depending on location were not significant (p=0.3814), and are shown in Table 1.

220

А

221 Offloading device (Figures 2a and 2b)

36 of the 42 patients who went into remission (85.7%) were treated with both 222 TCC and removable offloading device. The removable offloading device was 223 used to wean the patients out of the TCC and into footwear. 25 (59.5%) were 224 225 initially treated with a TCC, whilst the remaining 17 (40.5%) started in a 226 removable offloading device. 6 patients were treated with one modality only -1227 patient was treated with TCC only, and the other 5 were treated with a removable 228 offloading device only. For these 42 patients, median time to resolution was 51.5 weeks (IQR 37-68). Of this, a median of 26 weeks (IQR 12-39) was spent in 229 230 TCC, with 18 weeks (IQR 13-31) being spent in a removable offloading device. 231 232 Median time to resolution for the 26 patients initially treated with a TCC was 48 233 weeks (95% CI: 42.4, 64.4) compared to the median time of 53 weeks (95% CI: 42.5, 64.4) for the 22 patients initially treated with removable offloading device (p 234 = 0.7681, Appendix 1). 235

236

43 patients out of the initial 50 patients in the study used a TCC at some stage
during their treatment. Having achieved clinical remission using our standard
definition, they transferred from a TCC into a removable device. However, 15 of

240 these 43 (34.9%) relapsed and required re-casting due to clinical deterioration of 241 the acute CN. The median time to resolution for these 15 patients was 68 weeks 242 (95% CI: 53, 89) compared to the 32 patients who had no re-casting, who had a 243 median time to resolution of 42.5 weeks (95% CI: 35, 48) (P<0.0001 log rank 244 test). More work needs to be done to try and identify those who are at greatest risk of clinical deterioration or when the correct time to take the cast off. We used 245 the standard clinical indicators of 3 consecutive clinical appointments at least 2 246 weeks apart with a temperature difference of less than 2 degrees Celsius with 247 248 stable radiological appearances to diagnose resolution of the CN [6].

249

13 out of the initial 50 patients had an ulcer on the same foot as the acute CN at
the start of treatment. Of these, 1 patient underwent below knee amputation
whilst 12 went successfully into remission without further complication. In 3
patients the CN was diagnosed when they presented with avulsion fractures to
the foot.

255

Whilst patients were in a cast, very few developed any complications as a result. All of these were minor - the most common being a rub. However, no patients changed their treatment as a consequence of these. In addition, outr clinic protocols mean that patients have their cast changed weekly or every other week, but in addition, they have 'open access to the specialist foot clinic if they feel they have a problem with the cast.

262

- 263 Time to healing was not associated with the presence of chronic kidney disease,
- retinopathy, HbA1c or duration of diabetes (see Appendix 1).

265

267 <u>Discussion</u>

268

269	This study has shown that 50 patients presented to our tertiary specialist foot
270	clinic with a new diagnosis of Charcot neuroarthropathy during a 5 year period.
271	When treated, the median time to resolution and transfer to appropriate footwear
272	was 1 year (52.25 weeks, IQR 25, 81). Our study also showed a 34.9%
273	deterioration rate after coming out of TCC, and found that re-plastering was
274	found to be associated with a significantly increased time to resolution (p<0.0001,
275	log rank test). This implies that despite clinical resolution of the acute phase of
276	the Charcot process (a temperature difference of <2°C for 3 consecutive visits,
277	each at least 2 weeks apart)[6] and a 'step down' into the removable below knee
278	walking boot, those patients were taken out of the TCC too early. Another
279	possible explanation for this is that the patients were more mobile than they had
280	been advised to be, thus causing a reactivation of the Charcot process. However,
281	our data is consistent with previous work that showed relapse rates vary,
282	between 12% and 33% [13,14,15,16].
283	
284	Our data further show that the longer the TCC remained on, the greater the time
285	to resolution, but also a lower chance of subsequent deterioration. This is in
286	contrast to the work by Christensen et al who showed that the use of a

removable offloading device as the sole treatment method of acute CN led to

average treatment duration of approximately 5 months [16]. This is significantly

less than the present study, or other authors who used TCC as a part of theirmanagement strategy [12,15].

291

292 The current data take into account that our service covers a large, predominantly 293 rural, geographical area and when patients are first diagnosed they have often 294 driven to the clinic. Whilst we would prefer to offer them the gold standard 295 treatment of the TCC at the time of diagnosis, we are aware of the significant negative impact this decision would have on their lives and so many opt to use 296 297 the below knee removable walking boot for a few days until they arrange 298 transport back to our clinic to go into a TCC. We analysed whether this initial 299 treatment modality had an impact on overall time to resolution. It is likely that the 300 non-statistically significant shorter time to resolution in those patients initially treated with a TCC is a reflection of the relatively small sample size. 301

302

303 Whilst there is general consensus that immobilisation of the foot is necessary to 304 prevent progression in the acute Charcot foot, there is generally poor quality 305 evidence to differentiate between a TCC and a removable below knee walking 306 boot [10]. The results of the current study are in contrast to those reported by the 307 CDUK group who found that median time to resolution varies greatly between 308 those initially treated in a non-removable device, e.g. a TCC compared to removable offloading device (9 months and 12 months respectively) [12]. That 309 310 study, however, used data from many centres across the UK and there was no 311 standardisation on set point or definition of 'resolution'. This could have impacted

312 the duration of treatment. The authors also acknowledged that their work "may 313 have been influenced by selection bias" despite their efforts to include all patients diagnosed with acute CN from each centre [12]. This made it difficult to draw 314 315 conclusions on true treatment times because it was unknown which patients 316 were and were not included. However, worldwide there is a significant variation in 317 the median period of immobilisation; in the UK observational work has reported durations of 9-12 months [12], whilst data from the USA and other European 318 319 centres reported periods of immobilisation for only 4-6 months [17,18,19]. We 320 acknowledge that some of this variation may be due to differences in the 321 offloading devices and techniques. For example, some areas may use double-322 shelled orthosis adapted to the patient but removable and patellar tendon-323 adapted, or in the US where the use of 'knee scooters' may be more prevalent. To address some of this variation in care, a national casting course has been 324 325 developed in the UK [20]. Our results also agree with previous data presented in 326 abstract form only from another large centre in the UK who found the median duration of treatment for their patients to be 11 months [15]. 327 328

As others have reported, our patients had several diabetes related comorbidities, including chronic kidney disease and retinopathy [21,22], suggesting that the development of CN and other microvascular disease may share a common pathway.

333

4 patients underwent below knee amputation (BKA) within the duration of the 334 335 study. Of these, 1 patient had a neuropathic ulcer and the others had hindfoot 336 Charcot's with significant deformity at presentation. Of these, 2 declined to be put 337 into a cast and deteriorated to a stage where their foot and ankle became 338 unstable. All of the patients declined any reconstruction and their feet became 339 unsalvageable. The final patient deteriorated despite being in a TCC for 34 weeks and developed significant ulceration and infection requiring amputation. 340 Our study has shown an 8% amputation rate for patients with acute CN. Sohn et 341 342 al suggested that the presence of an ulcer increased the likelihood of amputation 343 12 fold [7]. Our amputation rate was higher than found by several recent studies, 344 with the UK wide CDUK group reporting a 3.1% major amputation rate, and the 345 2% reported by Sohn et al, but much lower than the rate reported by Gazis et al of 23.4% [12,7,23]. However, there remain concerns about the validity of their 346 data because of the previously mentioned concerns – that the CDUK study had a 347 348 degree of selection bias [12], and the data from Sohn et al also included data 349 from several centres, and they too noted they were unable to obtain data on 350 amputation rates from some centres, so their figures are likely to be an 351 underestimate [7].

352

353 The mortality reported in the present study is in line with previous work.

Armstrong et al reported no deaths among 55 patients during a 92.6 week mean

follow-up [19], with Fabrin et al reporting a 1.7% mortality among 115 patients

during a 4 year follow up [14]. In contrast, Jeffcoate et al. showed a mortality of

44.7% amongst 47 patients with a mean of 3.7 year follow-up and a major
amputation rate of 1.7% [23]. A more recent study showed a lower mortality of
18.6% amongst 70 patients with CN after a median follow-up of 2.1 years,
However, this was not statistically significantly different from the mortality rate
amongst 66 matched control patients (p = 0.094) [24].

362

There are few robust data describing the influence of anatomical location and rates of healing. However, our data are in contrast to previous work from a smaller cohort, that suggested that the duration of immobilisation may be

influenced in part by the anatomical location of the CN [17].

367

368 The strength of the current paper is that it is data from a single site with complete

follow up on all of the patients. All of the patients were managed in the same way

370 by the well-established diabetic foot MDT. Our team are able to offer our

371 preferred initial treatment modality for Charcot, the TCC, in the diabetic foot clinic

at the time of initial diagnosis, without delay.

373

It has recently been suggested that the findings on MRI should be adopted as the criterion standard for establishing disease activity and diagnosing remission [25]. This is because MRI has the greatest potential to monitor the effect of treatment since it shows bone marrow oedema. However, the use of serial MRI as a tool to monitor for signs of disease remission was not used in our centre because it was not routinely recommended and remains a tool to be kept in reserve as

suggested in a recent systematic review [10]. There is emerging data to suggestthat this should change, and MRI should be used more frequently [26].

382

Limitations include that our population is exclusively White Caucasian, and thus

the generalizability may be limited when considering other populations.

385 Furthermore, only 60% had a confirmed radiological diagnosis of a Charcot foot –

with all of the others being radiologically normal, but with all of the other clinical

387 features of a Charcot foot. The recommendations are to treat on clinical ground

and not wait for radiological confirmation [10]. In addition, we feel that we have

an excellent primary care network that refers to the specialist foot clinic early,

thus preventing the development of bony deformity.

391

We were unable to determine compliance with minimal weight bearing and the use of removable offloading devices when they were issued. Previous work has shown that compliance levels are low when devices are removable [27]. Future work may be able to use newer technologies to assess this.

396

We are a tertiary referral centre, and over 15% of our work comes from other centres that are unable to apply a TCC. Patients are referred to us if there are no early signs of clinical resolution, usually in removable device. This is likely to lead to a longer time to resolution. Furthermore, there may have been a delay in the time between healing and the time for the patients to be provided with hospital footwear. During this time it was usual for patients to remain in the removable

device, thus artificially lengthening their time to resolution. However, this decision
to classify resolution until footwear was available was deliberate, and in line with
previous work [12], because for many patients this is the time that they are able
to return to their former levels of activity, and thus more accurately reflects the
personal impact of the disease on the patient.

408

In summary, this work has shown that initial treatment with a TCC improves

times to resolution for patients with acute CN. As a result of this work that all

411 patients referred to us with a suspected CN are advised on the telephone when

the appointment is being made, not to drive to their clinic appointment so that if a

413 cast needs to be applied, there is no delay.

414

415 However, a significant proportion of patients required re-immobilisation despite

using recognised markers of resolution. This study highlights the need to develop

417 more precise measures to help manage acute CN, and assess the impact of

418 initial treatment modality on time to resolution.

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531	A picture of a below knee removable walking boot

532 Table 1

Time to Improvement By Site Location	N	N Missing	Mean	SD	Min	Max	Median	IQ Range
Active Charcot - forefoot	5	0	47.2	22.6	14	68	50	(37,67)
Active Charcot – midfoot	27	2	56.2	30.3	16	159	53	(40,68)
Active Charcot – Ankle/hindfoot	8	4	51.8	23.1	12	79	53	(36.5,72)
Mixed	2	0	53.0	39.6	25	81	53	(25,81)

Appendix 1. Summary of univariate results

Results for continuous variables

Variable	Initial Device	N	Mean	SD	N Missing	Minimum	Maximum	Median	95% CI	IQ Range(25 th ,75 th)	Р
Time to Improvement	Removable	17	53.5	23.1	0	14	98	53.0	(42.5,64.4)	(37.0,68.0)	0.7681
	тсс	25	54.6	31.1	6	12	159	48.0	(42.4,66.8)	(38.0,67.0)	
DM Duration	Removable	15	21.5	17.0	2	0	49	19.0	(12.9,30.1)	(6.0,35.0)	0.4777
	тсс	27	16.4	10.0	4	1	40	18.0	(12.7,20.2)	(8.0,23.0)	
Age	Removable	17	65.1	11.3	0	39	79	67.0	(59.8,70.5)	(57.0,73.0)	0.2028
	тсс	31	60.5	12.0	0	43	82	61.0	(56.3,64.8)	(49.0,70.0)	
HbA1c	Removable	17	63.2	17.6	0	37	101	61.0	(54.8,71.5)	(53.0,67.0)	0.4444
	тсс	31	67.8	21.0	0	42	115	61.0	(60.4,75.2)	(52.0,85.0)	
Initial Device Duration	Removable	17	11.8	13.0	0	1	41	7.0	(5.6,17.9)	(1.0,14.0)	0.0014
	тсс	31	27.8	19.2	0	2	82	26.0	(21.0,34.5)	(15.0,35.0)	
Second Device Duration	Removable	17	15.9	15.8	0	0	55	8.0	(8.4,23.5)	(2.0,27.0)	0.7295
	тсс	31	14.3	15.2	0	0	74	13.0	(8.9,19.6)	(3.0,18.0)	
Time in TCC	Removable	16	23.5	20.1	1	0	57	21.5	(13.7,33.3)	(3.0,32.5)	0.2402
	тсс	29	33	26.0	2	2	106	26.0	(23.6,42.5)	(15.0,47.0)	
Time in Removable Device	Removable	17	30.4	17.4	0	8	79	28.0	(22.1,38.7)	(18.0,39.0)	0.0058
	тсс	29	18.3	16.0	2	0	74	16.0	(12.5,24.2)	(7.0,20.0)	
Number of Device changes	Removable	17	4.1	2.6	0	1	9	3.0	(2.8,5.3)	(3.0,5.0)	0.0579
	ТСС	31	2.9	2.2	0	1	12	2.0	(2.1,3.7)	(2.0,4.0)	

Summary of univariate results

Variable	Value	Ν	Number	Total	тсс	тсс	Removable	Removable	P-value
			Missing		(N)	(%)	Device (N)	Device (%)	(Chi-square)
DM Type	Туре 1	48	0	11	4	23.5	7	22.6	0.9404
	Туре 2			37	13	76.5	24	77.4	
Charcot Site	Active Charcot – Forefoot	48	0	5	3	17.6	2	6.5	0.3814
	Active Charcot – Midfoot			29	11	64.7	18	58.1	
	Active Charcot – Ankle/hindfoot			12	3	17.6	9	29.0	
	Mixed			0	0	0.0	2	6.5	
Re-plastered	No	47	1	32	22	73.3	10	58.8	0.3052
	Yes			15	8	26.7	7	41.2	
Precipitating Trauma	No	47	1	33	21	70.0	12	70.6	0.9662
	Yes			14	9	30.0	5	29.4	
Recent Foot Surgery	No	47	1	41	26	83.9	15	93.8	0.3362
	Yes			6	5	16.1	1	6.3	
Retinopathy	No	48	0	9	4	12.9	5	29.4	0.1611
	Yes			39	27	87.1	12	70.6	
Maculopathy	No	48	0	33	20	64.5	13	76.5	0.3928
	Yes			15	11	35.5	4	23.5	
Gender	Male	48	0	32	21	67.7	11	64.7	0.8310
	Female			16	10	32.3	6	35.3	
CKD	Stage 0 and 1	48	0	11	7	22.6	4	23.5	0.6312
	Stage 2			21	15	48.4	6	35.3	
	Stage 3 and 4			16	9	29.0	7	41.2	

