**1** Foodborne bacterial pathogens: genome-based approaches for enduring and

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- emerging threats in a complex and changing world
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# 15 <u>Abstract</u>

16 Foodborne illnesses pose a substantial health and economic burden, presenting challenges in prevention due to the diverse microbial hazards that can enter and spread within food 17 18 systems. Various factors, including natural, political and commercial drivers, influence food production and distribution. The risks of foodborne illness will continue to evolve in step 19 20 with these drivers and changes to food systems. For example, climate impacts on water 21 availability during agriculture, changes in food sustainability targets, and evolving customer 22 preferences can all have an impact on the ecology of foodborne pathogens and the agrifood niches that can carry microorganisms. Whole genome and metagenome sequencing, 23 combined with microbial surveillance schemes and insights from the food system, can 24 provide authorities and businesses with transformative information to address risks and 25 26 implement new food safety interventions across the food chain. In this Review, we describe 27 how genome-based approaches have advanced our understanding of the evolution and 28 spread of enduring bacterial foodborne hazards, as well as their role in identifying emerging 29 foodborne hazards. Furthermore, foodborne hazards exist in complex microbial 30 communities across the entire food chain, and consideration of these co-existing organisms 31 is essential to understanding the entire ecology supporting pathogen persistence and

- 32 transmission in an evolving food system.
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# 36 Introduction

- 37 The world is changing at an unprecedented pace, with the current decade being defined by
- 38 our realisations of the near- and long-term impacts of a changing climate, set amidst the
- 39 societal and economic influences of the COVID-19 pandemic. The impacts to our food may
- 40 be just as widespread, with an increasingly globalised food supply reaching 9 billion
- 41 consumers that ultimately relies on the success of localised production activities.
- 42 Food systems comprise widespread but connected activities to produce, distribute, store,
- 43 and sell foods, with food businesses and regulators seeking economic outcomes through

- 44 strong enterprise, health outcomes through safe and nutritious diets, and environmental
- 45 outcomes through improved land use<sup>1,2</sup>. These food system activities and outcomes are
- 46 impacted by similarly diverse drivers for example, the availability of natural resources,
- 47 changes in consumer preference for different food types, and the flux of supply chains and
- 48 food pricing<sup>3</sup>. Bacteria are present throughout these systems.

There is a connection between how bacteria transmit through our natural world and the 49 50 complex agrifood structures used to produce foods. In this Review, we describe how an 51 understanding of microbial ecology can be used to inform and prevent food safety risks. 52 Foodborne pathogens such as Salmonella enterica and Campylobacter spp. are hazards synonymous with food safety, with food safety itself being an integral part of food security, 53 because 'if it's not safe, it's not food'<sup>4</sup>. As such, the Food and Agriculture Organization (FAO) 54 notes that food safety activities result in a multitude of benefits. These benefits extend 55 56 beyond merely preventing illness, but also encompass the reduction of food waste and the adaptation of systems to support resilient and healthy ways of producing foods<sup>5</sup>. Within the 57 58 UK, both food safety and food security feature as key strategies to promote resilience to the

59 impacts of climate change<sup>6</sup>.

60 Considering the connection between ecological and socioeconomic drivers within food

- 61 systems, we take the view that there are multiple consequences for food safety that may
- 62 stem from new patterns of microbial transmission within agrifood settings (Figure 1). For
- example, climate change can alter the prevalence, abundance and distribution of bacterial
- 64 species in aquatic and marine systems, and may lead to widespread impacts to human,
- 65 plant and animal health<sup>7,8</sup>. The detection of foodborne pathogens has significantly evolved;
- the development and increasing affordability of whole genome and metagenome
- 67 sequencing now make it feasible to apply these techniques within programmes conducting
- 68 microbial surveillance, outbreak detection, and regulatory testing, allowing the observation 69 of new patterns. Genomics and metagenomics are tools that have been identified to cut
- across different sectors including in health, agriculture and the environment, in both high
- 71 and low resource settings offering enhanced insights into how to manage foodborne
- 72 diseases<sup>9</sup>.
- 73 In this Review, we put these contemporary tools into context in relation to both enduring 74 and emerging foodborne bacterial hazards. We base our definition of emerging hazards on 75 those "that have newly appeared in a population or have existed but are rapidly increasing in incidence or geographic range"<sup>10</sup>; some foodborne or potential foodborne pathogens 76 77 fitting this definition include Shiga toxin-producing Escherichia coli O104:H4 and Salmonella 78 enterica subsp. enterica serovar Typhimurium sequence type 313 (ST313), which emerged due to changes in food production practices and amplification through the food chain, as 79 80 well as pathogen evolution<sup>11-14</sup>. We also extend this definition to include hazards which likely have long existed but have only recently been identified due to advances in 81 sequencing and other technologies, examples being Candidatus Campylobacter infans<sup>15</sup> 82 83 (associated with breastfeeding) and Arcobacter spp. <sup>16</sup> (Figure 2). Enduring hazards are 84 those that have persisted for decades and, from a scientific standpoint, have often been 85 observed and classified at taxonomic levels such as genus and species, as well as subspecies classifications such as serotype and sequence type. However, the level of observable 86 87 resolution within subspecies classifications is being re-written by whole genome sequencing

- 88 (WGS), and as will be explored in this Review, as are the definitions of enduring hazards that
- 89 may now be viewed as emerging hazards based upon the revelation of specific genetic traits
- 90 that explain the expansion of subspecies in agrifood niches. Although this definition is
- 91 dichotomised, the true nature of foodborne hazards is a continuum, and when viewed
- 92 under the lens of genomics, what is classified as emerging can shift to enduring, or *vice*
- 93 *versa*. The US Centers for Disease Control and Prevention uses WGS to define bacterial
- 94 strains causing disease as 'Reoccurring' (strains that repeatedly but periodically cause acute
- outbreaks), 'Emerging' (previously novel or rare strains that are increasingly causing illness)
   or 'Persisting' (strains that consistently cause disease over time)<sup>17</sup>. In this Review, we will
- 97 cover how advances in genomics and metagenomics have revolutionised how we identify
- 98 bacterial foodborne pathogens, track their evolution and spread, and how our
- 99 understanding of what hazards are present and how to control them can change.

# 100 Bacterial foodborne human pathogens

- 101 Foodborne illness remains a significant threat to human health and wealth despite advances
- in awareness, food hygiene and sanitation. In 2010, the World Health Organization (WHO)
- estimated that almost 1 in 10 people suffered foodborne illness, with 420,000 dying as a
- 104 result<sup>18</sup>. This was not evenly distributed across geographical regions or demographics, with
- Asia, Africa (Figure 3a) and children bearing the heaviest burden. The WHO estimate
- includes cases caused by 31 foodborne hazards, of which 12 were bacterial. However,
- 107 bacterial agents have an enormous impact, causing five, six and seven of the top 10
- 108 foodborne illnesses, foodborne deaths, and foodborne disability-adjusted life years,
- 109 respectively<sup>19</sup>; therefore, this Review focuses on foodborne bacterial hazards.
- 110

# 111 Enduring hazards

- 112 There is good consensus between food and health agencies on the bacterial genera and 113 species considered as key foodborne hazards, with the importance of many being
- recognised for decades (Figure 3b). Bacterial foodborne pathogens generally cause disease
- 115 in one of two ways once ingested. First, through colonisation and subsequent penetration
- 116 through the gastrointestinal mucus, causing damage to the epithelial cells and subsequent
- 117 diarrhoea. Examples of key pathogens causing disease in this manner are *Salmonella*
- 118 *enterica, Campylobacter jejuni,* and *Listeria monocytogenes,* and in some cases, disease
- 119 progresses to invasive illness or systemic syndromes (for example, bloodstream infections
- and sepsis). Second, foodborne pathogens can cause disease through the production of
- 121 toxins, as seen in cases such as *Clostridium perfringens*, Shiga toxin-producing *Escherichia*
- 122 coli O157, Bacillus cereus, and Clostridium botulinum. The biology, epidemiology and
- 123 pathogenesis of these and other foodborne bacterial hazards have been reviewed
- 124 extensively elsewhere<sup>20,21</sup>. With the increasingly large numbers of whole genome sequences
- of bacterial pathogens being produced in research and coordinated surveillance
- programmes, a more nuanced picture is emerging on the population structures and
- 127 underlying genetic determinants associated with their transmission in their associated
- 128 niches.
- 129

#### 130 Emerging hazards

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132 Although bacterial foodborne pathogens like *Salmonella enterica*. and *C. jejuni* have been

recognised for decades, the threat they pose to human health is compounded by the

134 complex systemic risks associated with the production and global distribution of current and

135 future foods, influenced by continually changing natural and political environments (Figure

- 136 1). These factors not only mean that foodborne hazards may evolve and change, but they
- also provide opportunities for the emergence and spread of new or previously unrecognisedhazards that cause disease.
- 139

### 140 Whole genome sequencing — not all foodborne pathogens are created equal

141 Historically, surveillance systems for foodborne pathogens relied on techniques such as serotyping or pulsed-field gel electrophoresis, which enabled differentiation of pathogens 142 beyond genus and species levels and facilitated the linking of isolates to common sources in 143 cases where these subspecies characterisations correlated with epidemiological findings<sup>22,23</sup>. 144 145 These techniques often lack high discriminatory power; the advent of higher resolution WGS data has enhanced our ability to define epidemiologically-related clusters of isolates while 146 also understanding the specific mechanisms and diverse ability of individual bacterial strains 147 to cause disease within species or genus<sup>24</sup>. Bacterial evolution, such as the acquisition of 148 mutations or new genetic elements, can result in increased pathogenicity, virulence, or host 149 150 range, and subsequent emergence of a new pathogen. For example, successful waves of 151 different subtypes of S. Typhimurium, which were originally distinguished by phenotypic methods including serotyping and phage typing including definitive type (DT) 204c and 152 DT104<sup>25</sup>, have dominated the cases of human disease caused by this organism. The use of 153 154 WGS has provided insight into the genetic mechanisms facilitating the success of the various 155 subtypes, including the current pandemic subtype monophasic S. Typhimurium ST34. In this case, the acquisition of antimicrobial resistance (AMR) genes, a novel prophage and a 156 157 genomic island encoding metal tolerance genes likely facilitated the transition of this organism from an emerging hazard, with pigs being the most likely reservoir, to an enduring 158 159 hazard. Monophasic S. Typhimurium ST34 is now one of the most common Salmonella subtypes globally, found across multiple host species and countries<sup>26</sup>. Similarly, in the 160 Brazilian poultry industry where antimicrobial selection pressure is high, two distinct 161 serovars of Salmonella (Salmonella enterica subsp. enterica serovar Minnesota and 162 163 Salmonella enterica subsp. enterica serovar Heidelberg) became dominant through 164 acquisition of AMR plasmids carrying genes conferring resistance to β-lactams, tetracyclines and sulphonamides<sup>27</sup>. Common genomic features including loss-of-function mutations and 165 gain of pathogenicity islands have also been identified in Salmonella serovars adapted to 166 specific hosts<sup>12,28</sup>, which offers potential for predicting how future pathogens may emerge. 167 168 Other examples are acquisition of a gene encoding Shiga toxin by an enteroaggregative E. 169 170 *coli* strain, leading to the largest outbreak of Shiga toxin-producing *E. coli* ever recorded<sup>11</sup>,

and *L. monocytogenes,* a highly heterogeneous organism for which the use of WGS has

- identified different evolutionary lineages. These evolutionary lineages have been divided
- into clonal complexes which are associated with different sources and levels of virulence<sup>29</sup>.
- The generation of increasingly larger numbers of genome sequences allows the application of genomic epidemiology concepts of source attribution and outbreak response<sup>24</sup>, topics
- 176 that will be explored later in this Review.
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Threats to our food system and human health from bacterial pathogens arise at various 178 points across the food chain, from post-primary production to the point of consumption. 179 These threats often manifest in conditions that are very different from the original 180 181 reservoirs, such as animal gastrointestinal tracts, farm soils or crops<sup>30</sup>. Therefore, key for a 182 successful foodborne pathogen is the ability to survive these diverse conditions, and the use 183 of 'omics technologies is enabling our understanding of the underlying genetic mechanisms. For example, Cronobacter sakazakii is a neonatal bacterial pathogen known to persist in 184 185 low-moisture matrices, such as powdered infant formula. RNA sequencing identified the expression of many genes that were significantly up- or down-regulated as a response to 186 survive desiccation<sup>31</sup>. Phenotypic plasticity has also been observed in major foodborne 187 pathogens, such as *C. jejuni*, where fluoroquinolone resistance has been associated with 188 enhanced survival in the chicken host even in the absence of antimicrobial pressure<sup>32</sup>. Other 189 190 mechanisms to support survival through the food chain (reviewed elsewhere<sup>33</sup>) can function at the individual bacterium level, such as sporulation<sup>34</sup> and metabolic or transcriptomic 191 changes<sup>35</sup>, or at the community or population level, such as single- or multi-species biofilm 192 formation<sup>36,37</sup>. 193

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# 195 Contemporary drivers shaping the microbial landscape

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197 The emergence of foodborne bacterial hazards is driven by many factors, which not only 198 include bacterial evolution itself, but also ecological and socioeconomic drivers such as 199 consumer preference, economy and trade, climate change and technology innovation 200 (Figure 1). Market demands shaped by factors such as shifts in consumer preference is 201 changing the way food is produced in many high-income countries (HICs), leading to new routes for foodborne hazards to enter the food chain. For example, the global demand for 202 sustainable protein and policies to reduce greenhouse gas emissions have led to the 203 development of cell-based foods that derive animal agricultural products such as meat 204 directly from cell cultures; along the production stages, multiple entry points for pathogens 205 have been identified through elicitation of expert opinion<sup>38,39</sup>, such as contamination of raw 206 materials and added reagents with bacteria and other microorganisms that can contaminate 207 208 cells. However, there remains a lack of empirical data. Although little research has been 209 conducted yet on the potential foodborne hazards associated with these new foods, a recent study demonstrated that growth of Listeria spp. and Salmonella enterica was greater 210 in plant-based milks than in cow milk<sup>40</sup>. A contrasting trend towards increasing consumption 211 of animal source foods is expected in low- and middle-income countries (LMICs) with 212 economic development, which may result in greater numbers of foodborne illness if 213 investment in food safety management does not keep pace<sup>41,42</sup>. The increasingly globalised 214

nature of food chains can spread and amplify foodborne hazards, which is explored in moredetail later in this Review.

217

218 Climate change has more extensive impacts than merely the impetus for the development of new food types, affecting the dispersal and persistence of bacterial foodborne 219 hazards<sup>43,44</sup>. In 2022, it was estimated that over half of human pathogenic diseases are 220 exacerbated by climate change, with Vibrio spp. as the bacterial foodborne pathogen with 221 222 the strongest evidence of aggravation by climate hazards<sup>45</sup>. Projections estimate that 223 warming coastal waters will increase the numbers and distribution of vibriosis cases, not 224 only through the extended geographical range of the bacteria but also through increased recreational use of coastal waters<sup>46-48</sup>. 225

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227 Technology innovation in the generation of genome-based data has greatly contributed to our recognition of emerging foodborne hazards. While the increasing cost effectiveness of 228 229 large scale WGS has revolutionised our ability to understand the biology and characteristics 230 of enduring bacterial pathogens, the majority of foodborne illness is of unknown cause. In 231 the UK, foodborne illness is responsible for an estimated 2.4 million cases, costing in excess 232 of £9bn annually; crucially, £6bn of this cannot be attributed to specific agents and so interventions aimed at reduction are limited by knowledge<sup>49</sup>. The development and use of 233 untargeted, culture-free approaches has been used to investigate both known, and hitherto 234 235 unknown, bacterial causes of foodborne illness. Whole metagenome sequencing of faecal samples led to the identification of a new candidate species of *Campylobacter*, Candidatus 236 Campylobacter infans, which has been found in breastfed infants in sub-Saharan Africa and 237 South Asia<sup>15</sup>. The use of culture-free methods has also highlighted the widespread presence 238 of Arcobacter spp. including Arcobacter cryaerophilus and Arcobacter butzleri in 239 wastewater<sup>50</sup>, suggesting previously underappreciated reservoirs of these emerging 240 241 pathogens (Figure 2). Culture-independent diagnostic tests (CIDTs) provide an agnostic and more rapid approach to identifying potential causative agents of foodborne illness, and 242 243 facilitate the identification of bacteria which cannot be cultured<sup>51</sup>. However, barriers to its widespread implementation in food safety applications still exist. The proportion of the food 244 metagenome comprised of pathogens is very low<sup>52</sup>, and so may not be detected, depending 245 246 on the amount of DNA from food itself within samples and depth of sequencing. The lack of an isolate presents a barrier to the implementation of genome-based evaluations of 247 outbreaks and transmission at multiple scales<sup>53,54</sup>, explored in the next section. Further 248 challenges arise when attempting to classify bacteria present beyond the species level, and 249 250 to identify the presence of multiple lineages of the same bacterium within a sample; 251 metagenome-assembled genomes (MAGs) can facilitate such identification, particularly with 252 long-read metagenome sequencing, but do not completely solve this problem. As such, 'strain-resolved metagenomics' is an active area of research<sup>55,56</sup>. 253 254

In terms of food products, all foods will carry bacteria unless specifically sterilised; another
 advantage of culture-free approaches is that an understanding of the total microbiota — the
 entire microbial content present — can be obtained. These bacteria may be pathogens, may
 cause food spoilage or support the persistence of pathogens, or may act as reservoirs of

- AMR genes; previous work has shown the concentration of AMR genes on different retail 259
- foods to be between 10<sup>4</sup>–10<sup>10</sup> AMR genes per gram of food<sup>52</sup>. The majority of our 260
- knowledge of foodborne pathogens is based on investigation of pure cultures of single 261
- 262 strains within the laboratory, but in the food chain pathogens exist within complex microbial
- communities. These interactions are often critical to the success of foodborne pathogens; 263
- for example, previous studies have shown how C. jejuni benefits from passive protection of 264
- existing Pseudomonas aeruginosa biofilms on abiotic surfaces<sup>36</sup> and that multi-species 265
- biofilms impart biocide tolerance to *L. monocytogenes*<sup>57</sup>. 266
- 267

The novel insights into bacterial foodborne hazards through technological innovation also 268 269 extends to the analysis of the vast amount of data generated by genome-based approaches. 270 Leveraging the recent advances in artificial intelligence (AI), big data and machine learning, 271 novel genetic factors associated with successful foodborne pathogens have been identified. 272 Such approaches have been used to identify specific subsets of cattle-associated Shiga-toxin 273 producing E. coli O157 strains that are more likely to cause human disease, even within previously defined pathogenic lineages<sup>58</sup>, and to identify new genes potentially associated 274 275 with *E. coli* pathogenicity<sup>59,60</sup>. Other applications of machine learning for food safety include 276 the analysis of public search records as a proxy for on-site inspection of restaurants<sup>61</sup>.

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#### 279 Transmission pathways and propagation of pathogens along the food chain 280

The prevention and control of both enduring and emerging foodborne bacterial hazards 281 282 requires an understanding of the factors influencing the propagation of pathogens along the food chain. This extends across multiple scales, both spatial and temporal, encompassing 283 284 the transmission of pathogens through increasingly interconnected global supply chains, the 285 movement of people and animals across international borders, and localised outbreaks or sporadic cases arising from contaminated food products. Many foodborne pathogens, both 286 287 enduring and emerging, are amplified in animal reservoirs (maintenance hosts) before 288 contaminating the food supply chain. Hence, the need to adopt a 'One Health' approach<sup>30</sup> in the surveillance of bacterial foodborne hazards that considers sampling across time and 289 290 space in different environments (Figure 4) to identify robustly the pathways that move 291 pathogens from animal reservoirs to the point of ingestion, infection and disease in human 292 hosts (Figure 5).

293

294 Genome-based approaches provide greater resolution for the investigation of transmission 295 at multiple scales, from global to local, and through complex food supply chains and 296 networks. Examples of the application of these approaches are described below. These 297 include determining historical and contemporary patterns of transmission on a global scale, 298 outbreak investigation at local and international scales, and source attribution and source 299 tracking along the food chain.

300

301 Global-scale transmission

- 302 Increased global trade in food products is a major driver of improved food security, notably
- 303 for countries with rapid population growth and natural resource constraints. However,
- 304 historical trade in livestock has spread foodborne pathogens between continents and trade
- increases the potential for contaminated food to be moved further and more rapidly
- 306 between countries. Genome-based tools provide evidence of the role of historical trade in
- 307 live cattle in the international transmission of Shiga toxin-producing *E. coli*<sup>62</sup>, and insight into
- 308the role of transatlantic livestock trade followed by the growth of cattle farming and
- industrialisation of food production, in the spread of *L. monocytogenes*<sup>63</sup>. Recent advances
- in machine learning, combined with genome sequencing, have enabled rapid global source
- tracking of *Salmonella enterica*, identifying the geographical origin of cases arising from
- 312 contaminated food products in the global supply chain<sup>64</sup>.

# 313 Outbreak investigation

- Cases of foodborne illness are often categorised as either outbreak-associated or sporadic,
- 315 where the latter are not considered to be part of a temporal and/or spatial cluster of cases
- associated with a common source. By aiding hypothesis-generation and serving as high-
- 317 quality evidence in public health investigations, high resolution genome-based methods
- have helped to reduce the size and duration of outbreaks in the United States compared to
- lower resolution molecular tools<sup>65</sup> (Figure 6). This is achieved by comparison of isolates from
   clinical cases with isolates from food or food production environments; isolates from food
- sources that cluster together with human isolates, as a result of differing by a small number
- of single nucleotide polymorphisms (SNPs) or alleles, are more likely to have a recent
- 323 common ancestor and be implicated as the source of the outbreak (for example, the large
- 324 scale outbreak of listeriosis in South Africa<sup>66</sup>). Genome sequencing of presumptive sporadic
- 325 cases has also identified previously undetected clusters of cases that are more likely to
- 326 share a common source, providing evidence of covert outbreaks<sup>67,68</sup>.
- 327

328 Determining membership of a cluster of cases with a putative common origin can be difficult. A study<sup>69</sup> indicates that three metrics can provide evidence for a common origin of 329 sequenced isolates, thereby indicating their association with of an outbreak and links to 330 food sources. These metrics are the number of SNPs, the location of isolates relative to 331 332 others in the tree topology (for instance, monophyly provides greater support than 333 paraphyly and polyphyly) and bootstrap support for the branch containing the isolates in question. The number of SNPs or shared alleles that are consistent with a recent common 334 ancestor depends on the organism and period of sampling. A study<sup>29</sup> used the distribution 335 336 of core genome multilocus sequence typing (cgMLST) allele differences between isolates 337 from known outbreak sets and all cases of listeriosis caused by L. monocytogenes to propose a cutoff of <7 allele differences for membership of an outbreak, whereas a recent modelling 338 study identified a wider range of epidemiologically relevant cutoffs not only within L. 339 monocytogenes but also a range of SNP or cgMLST allele cutoffs within other common 340 bacterial foodborne hazards<sup>70</sup>. 341 342

Technology for high throughput sequencing has advanced rapidly, but spatial resolution and speed is important to pinpoint the origin of an outbreak to a specific locale<sup>71</sup>. This

- 345 underlines the importance of surveillance, facilitated by global collaboration and data
- 346 sharing among researchers, public health agencies and industry, including shared data
- 347 repositories of genome sequences and accompanying metadata<sup>72</sup>. Examples include:
- National Center for Biotechnology Information<sup>73</sup>; GenomeTrakr Network<sup>74</sup>; Global Microbial
   Identifier<sup>75</sup>; and PubMLST<sup>76</sup>.
- 350
- 351 Rapid sharing of genomic and associated data helps to resolve local and international
- 352 outbreaks of enduring pathogens, and aid the characterisation, detection and control of
- 353 emerging pathogens<sup>11</sup> (for example, aggregate datasets from multiple programmes,
- informing interventions such as novel vaccine designs<sup>77</sup>).
- 355

The ability to apply the techniques described above depends on the availability of large 356 357 repositories of bacterial whole genome sequences with high quality associated epidemiological metadata, such as date of isolation, location, and host species. Considerable 358 359 global efforts by publicly-funded and commercial laboratories and public health and food safety authorities have helped to develop the strategic and operational requirements to 360 support widespread implementation and routine use of genomics as a tool for food safety 361 362 purposes, including sharing of metadata and the standardisation of terminology, bioinformatic analytics, databases and data access (reviewed in refs.<sup>24,51,78,79</sup>). The 363 decreasing cost of sequencing has allowed the implementation of WGS-based surveillance 364 of bacterial foodborne hazards in many high resource settings<sup>23,80,81</sup>. However, given the 365 global nature of travel, food supply chains and bacterial foodborne hazards, the lack of 366 substantial similar data from low resource settings is a barrier to applying these methods in 367 such settings or even understanding the major food safety concerns there<sup>82,83</sup>. Furthermore, 368 the identification of emerging hazards will be even more difficult if they arise in a region 369 370 with no or limited (sequencing) surveillance capacity. The main barrier to implementation of 371 WGS for food safety in low resource settings identified in a recent Review was lack of governmental dedication of resources for WGS implementation<sup>83</sup>. Additional issues 372 373 identified were a lack of bioinformatics expertise, computing resources and insufficient resources and infrastructures<sup>82,83</sup>, although fundamentally, countries without an established 374 surveillance system are unlikely to see the benefit of adding WGS capacity<sup>83</sup>. 375 376

377 The development of more accessible tools for analysing and interpreting genomic data has 378 improved the accessibility, visualisation and presentation of public and private genome sequencing and related metadata. Examples of such platforms include interactive web-379 based tools such as Nextstrain<sup>84</sup>, Microreact<sup>85</sup> and Pathogenwatch<sup>86</sup>. These are dynamic and 380 scalable, and provide a variety of phylogenetic, genomic and epidemiological tools. The 381 developers of Nextstrain and Microreact provide open-source software for end-users to 382 design bespoke applications. As more genomics data with associated metadata and 383 improved bioinformatics and statistical tools become available, the utility of these 384 385 applications for decision makers will increase. This was evident during the COVID-19 pandemic, where pathogen-specific genomic tools were rapidly developed, providing easy 386 to interpret, interactive websites that were designed to aid public health decision making<sup>87</sup>, 387 and which have also been used for foodborne bacterial pathogens<sup>88-90</sup>. 388

Source attribution and source tracking: determining reservoirs, pathways and risk factors for
 foodborne illness

The availability of higher resolution genomic data has resulted in development of new 392 393 model-based approaches for unravelling the complex chain of events that lead to foodborne 394 illness, from animal reservoirs to the host factors that lead to ingestion, infection and disease (Figure 5). Determining the 'source' of contamination of food with foodborne 395 396 pathogens helps the implementation of appropriate responses to reduce the burden of 397 foodborne illness. This includes understanding the relative importance of different animal 398 reservoirs and infection pathways, identifying the source of contamination in food processing and determining host-associated risk factors. 399 400

- Reservoirs and pathways. Determining the relative contribution of different animal 401 402 reservoirs to the overall burden of disease caused by foodborne pathogens helps the 403 implementation of effective control measures and can lead to measurable improvements in 404 food safety and public health<sup>91</sup>. However, there are often multiple animal reservoirs and transmission pathways involved in cases of illness associated with enduring pathogens such 405 406 as C. jejuni and Salmonella enterica. Animal reservoirs include wildlife<sup>92</sup> as well as foodproducing animals, and faeces from the same reservoir can contaminate multiple pathways, 407 for example leading to both food and waterborne infections (Figure 5). Many techniques 408 have been devised for determining the relative contribution of different animal reservoirs 409 and pathways, including expert opinion, exposure modelling and, more recently, molecular 410 and genomic methods<sup>93,94</sup>. 411
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Advances in modelling have enabled DNA sequence data from isolates from putative

414 sources to be combined with sequence data from clinical cases to determine the relative

415 contribution of, for example, chickens, cattle and sheep, to the burden of

416 campylobacteriosis and salmonellosis<sup>95-97</sup>. Earlier applications of these models used

- 417 multilocus sequence typing, typically involving loci in seven genes that were amplified and
- sequenced using Sanger sequencing<sup>98</sup>. Reservoir attribution models have recently been
- 419 extended to include covariates, highlighting the differences in attribution in urban and rural
- 420 areas, as well as the changes occurring before and after interventions in the food chain<sup>99</sup>.

The advent of WGS has resulted in the availability of considerably more, higher resolution genomic data for source attribution modelling, including cgMLST and k-mer analyses. The

- application of machine learning tools for reservoir attribution using WGS data has the
- 424 potential to provide more accurate and precise estimates of source attribution<sup>100,101</sup>. Other
- 425 approaches include the use of network analysis<sup>102</sup> and genome-wide identification of host
- 426 associated SNPs<sup>103</sup>. Non-culture based metagenomic approaches have also been used for
- 427 reservoir attribution, with analysis conducted using machine learning tools<sup>104</sup>. However,
- 428 many technical challenges remain in utilizing WGS data for source attribution modelling<sup>105</sup>.
- 429

430 <u>Processing plant tracking and food source attribution.</u> The application of WGS was described

431 for identifying the ingredient that was the source of *L. monocytogenes* in ice cream and for

- 432 determining whether or not a strain of *Salmonella* was 'resident' in a processing plant<sup>69</sup>.
- 433 Machine learning and *Listeria* spp. genomic data have been used to identify food sources<sup>106</sup>
- 434 and to distinguish environmental (for example, soil) from food factory isolates<sup>107</sup>. The latter
- 435 provides insight into barriers that would limit but not prevent transmission between these436 two niches.
- Recent food industry initiatives have explored the use of metagenomic approaches for
  monitoring hygiene and source tracking in food chains, processing plants and food
  production facilities. The approach aims to characterise the 'normal' microbiome of food
  ingredients and final products to provide a framework for identifying anomalies that may be
  associated with contamination and potential food safety risks<sup>108</sup>. Further applications of
  metagenomics in food safety, including food and environmental sampling, have been
  reviewed in ref.<sup>51</sup>. The Review also describes a number of risks and benefits associated with
- the application of metagenomics for food safety, and the barriers to application, such as
- standardisation of methods, cost, and lack of representative datasets and bioinformaticsexpertise.
- 447 <u>Host-associated risk factors.</u> Reservoir attribution models have been combined with a
- traditional case-control study design to identify risk factors for human cases arising from
- different pathways. These studies provide both reservoir attribution and risk factor
- 450 analyses, and include epidemiological studies of campylobacteriosis using 7-gene MLST<sup>109</sup>
- 451 and genome sequencing<sup>110</sup>

- 452 Food safety interventions informed by genomics
- 453

Genomics is now widely used by many government agencies to gather the detailed evidence 454 455 needed to support surveillance activities and take informed action in the multiple transmission pathways affecting food safety. Likewise, food businesses are now widely 456 considering the application of ever-maturing genomics technologies to improve their 457 individualised circumstances and processes to produce food<sup>24,111,112</sup>. Both government and 458 food business have the same motivation — the safety of foods — with overlapping 459 460 perspectives on how to reduce the risk of exposure to consumers. Government agencies 461 such as those responsible for public health and food safety commonly take the view of the general population, whereas food businesses such as those producing or serving finished 462 foods take the view of their customers. Both views are connected because the opportunity 463 464 to understand and act on risks in localised production settings informs, and in turn, is

informed by, global food systems and the wider population of food consumers (Figure 4).

The consideration or adoption of new technological advances is a core component to the 466 467 food safety culture within food businesses, as they seek to identify, understand, and then control foodborne risks<sup>113</sup>. Moreover, in many countries it is a regulatory requirement that 468 food businesses establish a food safety management plan founded on the principles of 469 Hazard Analysis and Critical Control Point (HACCP) that include the establishment of 470 471 monitoring procedures and a process for corrective action. HACCP sampling plans generally involve laboratory testing for microorganisms such as Salmonella spp., L. monocytogenes, 472 *Campylobacter* spp. and Shiga toxin-producing *E. coli*<sup>114</sup> where detection of these pathogens 473 can necessitate further investigation and action on root causes<sup>115</sup>. Therefore, within a 474 producer's own food safety culture and best practices, the HACCP principles and resulting 475 476 management plan are the most rigorous measures that food producers use to ensure food 477 safety risks can be identified and prevented, representing localised change. Given that 478 genomics is now becoming feasible to deploy in these settings, similar to their use in public 479 health programmes where the depth of knowledge surpasses that of current routine 480 microbiology surveillance testing, it is likely that any new genomic testing system will likely be applied within the context of HACCP. 481

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483 Food businesses that consider incorporating genomics into their testing programmes demonstrate an innovative management approach, which reflects a forward-thinking vision 484 with a willingness to think differently about the nature of microbial risks and how they can 485 486 be controlled with new behaviours and actions. To develop a genomics capacity that is 487 suited for application in food businesses — such that the methodology can sample across diverse food and environmental matrices<sup>116</sup> while being customisable to the individualised 488 circumstances on how a business produces food — it will be important that there is mutual 489 490 engagement between food businesses and institutes with expertise in genomics to develop 491 most use-case scenarios. As a future perspective and informed by stakeholder engagements 492 with the food industry (partly through the UK Food Safety Research Network), we propose 493 illustrative examples of questions that food businesses may now ask of their processes and the possible actions they may then take (Box 1). The depth of knowledge gained from 494

- 495 genomics offers those responsible for the supply into food chains improved compliance,
- 496 consumer assurance, and market and brand protection, through the gained confidence in
   497 the safety of products going to market<sup>52,114,115</sup>.
- 498

#### 499 Conclusions and perspectives

Bacterial foodborne pathogens remain a significant threat to human health and economies 500 501 across the world. The enhanced resolution information provided by WGS and metagenomics 502 has advanced our understanding of these hazards, and demonstrated that enduring and 503 emerging foodborne hazards represent a continuum, with bacterial hazards shifting along 504 this continuum. Furthermore, the complex biological, socioeconomic and ecological drivers 505 shaping the evolution and transmission of bacterial pathogens, and the wider microbial communities in which they exist, emphasise the need for surveillance systems that are 506 507 sensitive enough to identify both enduring and emerging hazards. Key to these surveillance 508 systems is not only sensitivity, but also timeliness, so that pre-emptive action to prevent 509 foodborne illness can be taken rather than using the information to respond to incidents 510 once they have occurred. Currently, such surveillance systems exist predominantly in high 511 resource settings; despite the decreasing cost of technologies, there is still an inconsistency across the international landscape and a disparity in resource availability between high and 512 low resource settings. Although there have been very few economic evaluations of the 513 514 application of WGS for foodborne pathogen surveillance<sup>117</sup>, the net benefit attributed to the introduction of WGS in some high resource settings is estimated to be substantial<sup>65,118</sup>. In a 515 516 food supply and trading ecosystem that is now global, these technologies also need to be 517 enabled in low resource settings to obtain a true understanding of the nature and risks of 518 foodborne hazards globally. This requires collaboration between high and low resource 519 settings, underpinned by data sharing and common standards for the generation and interpretation of genome-based approaches to food safety, involving researchers, public 520 521 health agencies and industry across the various One Health compartments. 522

As routine microbial testing supports the assessment of hazards within food systems and 523 524 serves as a signal for further action when hazards are detected, there is a need to 525 understand the more complicated scale of information produced by genome-based testing 526 and then to translate those findings into interventions. For example, identification of 527 specific lineages or genes associated with pathogen success or persistence through the use 528 of WGS and other 'omics technologies can suggest targets to design future intervention strategies. However, the use of metagenomics may identify the presence of pathogens but 529 it cannot determine whether these organisms are viable or if their identification is an 530 artefact<sup>52</sup>. This may have ethical, commercial and legal implications which need to be 531 resolved. Monitoring microbial communities along the food chain may serve as an early 532 warning system for food safety issues or may help identify candidates for biocontrol agents, 533 but requires a step change in thinking about food safety: "...a modified approach to hazard 534 analysis and risk assessment for food safety may be required; for instance, shifting from risk 535 assessments of individual pathogens to assessments of the entire microbial community and 536 food chain", as stated in ref.<sup>51</sup>. To exploit the full value of genomic and metagenomic data, it 537 538 is important to remember that these data should not be considered in isolation. Instead, 539 they need to be integrated with epidemiological and other information across all spatial and 540 temporal scales, from local (for example, movement of people and equipment within a food

- 541 processing facility) to global (for example, long-term climate change patterns or
- 542 international trade practices), in order to effect change.
- 543
- 544 The true burden of foodborne illness is difficult to estimate due to lack of surveillance, as 545 well as underreporting and challenges in definitively identifying causes of foodborne
- 546 illness<sup>119</sup>. Food safety is a key component of food security, and in a complex and changing
- 547 world shaped by dynamic ecological and socioeconomic drivers, improved implementation
- of genome-based technologies offers an opportunity to strengthen the prevention,
- 549 detection and response to foodborne bacterial hazards throughout the entire food chain.
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### 900 Author contributions

901 The authors contributed equally to all aspects of the article.

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### 903 Competing interests

904 International Patent Application No. PCT/GB2023/050906 entitled "Determination and

quantification of the microbial communities and antimicrobial resistance genes on food" in
 the name of Quadram Institute Bioscience has been filed (priority date 05/04/2022) and is

907 currently in the international phase; A.E.M. is an inventor. This relates to the aspect of the

908 Review where it is mentioned that the potential detection of pathogens through

909 metagenomics can be affected by the amount of contaminating host DNA and sequencing

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# Figure 1. Ecological and socioeconomic drivers that may shape the microbial landscape of food and food production systems.

926 The risk of human exposure to foodborne pathogens and spoilage microorganisms can be amplified due to varied drivers that act across environmental, agricultural, agrifood and consumer niches. 927 928 These drivers impact and facilitate the emergence and transmission of newly evolved and existing 929 microorganisms within these niches. Drivers include changes to global and local ecologies, 930 innovation in food production technologies, pressures imposed by evolving supply chains and 931 agrifood economies, and the impacts of dietary and consumer choices on food availability, preparation and consumption. Terminology and drivers adapted from refs.<sup>120-123</sup> and examples are 932 933 cited in the main Review; additionally, examples of potential food risks arising from consumption of 934 foods not considered ready-to-eat include frozen vegetables (with a risk of Listeria monocytogenes)<sup>124</sup> and flour (with a risk of Shiga-toxin producing Escherichia coli)<sup>125</sup>. 935 936

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#### Figure 2. Relationship between pathogen populations and the dynamics of foodborne illness. Schematics illustrating putative relationships between pathogen populations (blue) and the dynamics of foodborne illness (red). Emergence may be associated with increased exposure and/or improved detection. Examples of pathogens are indicated in each panel. a) The pathogen population emerged historically and had been amplified as a result of host-species crossover and changes in host dynamics (for example, host population increases and changes in farming practices). The pathogen caused historical human cases of foodborne illness, but these were undetected until improvements in diagnostics led to the apparent emergence. Improved detection resulted in reduced transmission to humans, and potentially reduced transmission in animals. b) Pathogen evolution and changes in host dynamics (for example, movement of farm animals associated with global trade and changes in production practices) resulted in increased exposure to the pathogen via the food chain, which may have coincided with improved detection. Subsequent interventions have reduced human exposure and lowered amplification in animals. c) A pathogen evolved to acquire increased virulence and was amplified following contamination of a food production system. Identification of the source results in interventions that lead to a rapid decline in human cases and the pathogen population. Relative pathogen populations can be inferred from effective population sizes estimated using ancestral state reconstruction methods<sup>126 62 127</sup>. Foodborne illness dynamics can be derived from reported human cases<sup>62</sup>.



#### 982 Figure 3. International impacts and prioritisation of bacterial foodborne illness.

983 a) World map showing median rates of foodborne Disability Adjusted Life Years (DALYs) per 100,000 984 population, caused by bacterial pathogens considered by the World Health Organization Foodborne 985 Disease Burden Epidemiology Reference Group: Campylobacter spp., enteropathogenic Escherichia 986 coli, enterotoxigenic E. coli, Shiga toxin-producing E. coli (STEC), non-typhoidal Salmonella enterica, Shigella spp., Vibrio cholerae, Brucella spp., Listeria monocytogenes, Mycobacterium bovis, 987 Salmonella Paratyphi A, Salmonella Typhi. Data represent the estimated total DALYs from these 988 pathogens in 2010; data obtained from Table 8 in ref.<sup>18</sup>. b) Enduring bacterial foodborne hazards 989 990 that are widely recognised by public health and food agencies, including the World Health 991 Organization (WHO)<sup>18</sup>, UK Food Standards Agency (FSA)<sup>49</sup>, US Centers for Disease Control (CDC)<sup>128</sup> and the European Food Safety Authority (EFSA) and European Centre for Disease Prevention and 992 993 Control (ECDC)<sup>129</sup>. For the CDC and WHO lists of bacterial foodborne hazards, non-Shiga toxin-994 producing Escherichia coli (non-STEC) listed were re-categorised as 'Pathogenic E. coli' to match the 995 nomenclature of the European Food Safety Authority (EFSA) and the European Centre for Disease 996 Prevention and Control (ECDC) list. \*, indicates when the agency recognises the microorganism to 997 the genus level.

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#### 1000 Figure 4. One Health approach to ecological frameworks and epidemiology in food safety

1001 A One Health approach to microbiological safety of food requires an ecological framework that 1002 embraces the interactions of consumers, animals and produce in the environment in which they 1003 interact. The resolution of sampling frame and sample size calculations — the number of samples 1004 taken at population level, the number of samples taken per subject, the number of isolates per 1005 sample phenotyped and the number of genomes sequenced — are necessary considerations for 1006 surveillance design, outbreak investigation and quantification of risk. Notwithstanding the advanced 1007 technologies now available, association and causality must still be considered within classical 1008 epidemiological parameters of spatial relatedness and temporal directionality; contemporaneous, 1009 and co-located data remain the cornerstones of causal inference and plausible source attribution. 1010 1011



#### Transmission and amplification of pathogens along the food chain

Genomic tools for identifying sources and determinants of foodborne illness along the food production chain

#### 1012

#### 1013 Figure 5. Tracing bacterial hazards in the food chain.

1014 Many bacterial hazards that enter the food chain are amplified and maintained in animal reservoirs, 1015 including domestic farmed animals and wildlife. Illustrated are the critical steps along the food chain 1016 that propagate foodborne pathogens from the animal reservoir to the point of human ingestion, 1017 infection and disease. For many enduring pathogens, such as Campylobacter jejuni and Salmonella 1018 enterica, there may be multiple animal reservoirs that can contaminate food and other transmission 1019 pathways (for example, water and direct faecal contact as indicated in boxes with dashed lines). 1020 Molecular tools, including whole genome sequencing and metagenomics, combined with 1021 evolutionary models, are used to determine critical events along the food chain (blue boxes). This 1022 includes reservoir attribution models, food processing facility source tracking and source attributed 1023 case-control studies (red boxes). Although these methods are focused on understanding the 1024 epidemiology and control of enduring pathogens, often defined at the species-level, genomics tools are highly valuable for the investigation of outbreaks of emerging pathogens<sup>11,66</sup>. If the emerging 1025 pathogen persists and becomes a sustained, multijurisdictional epidemic or pandemic, large-scale 1026 1027 sequencing will facilitate more comprehensive food-chain and epidemiological investigations. Derived from concepts explored in ref.<sup>130</sup>. 1028 1029

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# Figure 6. The impact of high-resolution whole genome sequencing on the detection and resolutionof foodborne outbreaks.

1034 Higher resolution WGS allows more outbreaks to be identified and resolved more rapidly, with fewer overall cases. Pathogen population sizes are shown in blue, and the number of cases of foodborne 1035 1036 illness are in red. With low-resolution typing, a single large outbreak is detected (back panel), which 1037 may correspond to a seasonal increase in cases or a prolonged, multi-year outbreak. This type of 1038 low-resolution typing tends to group epidemiologically-unlinked cases together, resulting in an 1039 inaccurate understanding of the underlying epidemiology, and less effective interventions. By 1040 contrast, high resolution genome sequence-based typing enables better assignment of cases to 1041 discrete smaller outbreaks with a common epidemiological origin (front four panels). The improved 1042 assignment enables outbreaks to be resolved more rapidly, leading to fewer cases associated with each outbreak, and a reduction in the overall pathogen population. This example is motivated by the 1043 1044 US Centers for Disease Control description of the analysis of outbreaks using Advanced Molecular Detection, comparing low resolution methods with WGS<sup>131</sup>. 1045

#### 1047 **Box 1**

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# 1048 **Questions that genomics now allows food businesses to ask:**

- Is there a predominant pathogen or strain in a facility? Is this strain spilling over to
   their food products?
- Are there other microorganisms supporting the pathogens? Do these likewise find
   their way onto their foods?
- How are the pathogens surviving disinfection measures? Are the 'supporting'
   microorganisms likewise being selected for with these measures?
- Can the possible spread of microorganisms be tracked within individual facilities (and potentially their foods)?
- Can strains of pathogens be identified that might be of a higher risk than other
   strains (for example, genetic markers to inform risk, recognising that not all strains of
   a particular pathogen are equally hazardous)?
- Can the baseline microbial composition of a food process, setting, or ingredient be
   determined using metagenomics?
- Can the impact of interventions imposed in food businesses be monitored by
   determining if there is a change in the distribution or composition of microbiota?<sup>79</sup>
   1064

1065	Actions that can now be considered with the depth of knowledge learned from genomics:	
1066	Modification of chemical disinfection plans based on the observation of susceptible	
1067	or tolerant microorganisms	
1068	Reconsideration of hygiene best practices related to how equipment and ingredients	5
1069	are handled, placed, and/or move throughout a facility based on evidence of	
1070	microorganisms residing or spreading in a facility (that is, facility-specific pathways)	
1071	<ul> <li>Redesign or use of innovative materials in food non-contact and contact surfaces</li> </ul>	
1072	that may mitigate the persistence or transmission of key microorganisms in these at	-
1073	risk pathways	
1074	Consideration of novel environmental or food biocontrol strategies (for example, the	ć
1075	application of bacteriophages, or the introduction of other microorganisms to	
1076	change the microbial community structure).	
1077	<ul> <li>Implementation of non-chemical interventions at identified critical control points</li> </ul>	
1078	(for example, ultraviolet light or sonication)	
1079	Use of metagenomic information as the guide point to recover key taxa from related	I
1080	samples for further characterisation and study	
1081	Informed and proportional action to urgent levels of risk (for example, observation	
1082	of strain with characteristics of high concern)	
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