1 2	Stunting in infancy is associated with atypical activation of working memory and attention networks
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#### 51 Summary

52 Stunting is associated with poor long-term cognitive, academic, and economic outcomes, yet 53 the mechanisms through which stunting impacts cognition in early development remain 54 unknown. In a first-ever neuroimaging study conducted in infants from rural India, we 55 demonstrate that stunting impacts a critical, early-developing cognitive system - visual working memory (VWM). Stunted infants showed poor VWM performance and were easily 56 57 distractible. Poor performance was associated with reduced engagement of the left anterior intraparietal sulcus (laIPS), a region involved in VWM maintenance, and greater suppression 58 59 in the right temporo-parietal junction, a region involved in attentional shifting. When assessed 60 one year later, stunted infants had lower problem-solving scores, while normal height infants 61 with greater laIPS activation showed higher problem-solving scores. Finally, short-for-age infants with poor physical growth indices but good VWM performance showed more positive 62 outcomes suggesting that intervention efforts should focus on improving working memory and 63 64 reducing distractibility in infancy.

#### 65 Introduction

Stunting or linear growth faltering often begins in utero and continues to unfold within 66 67 the first 1000 days of a child's life. It is caused by a combination of factors such as poor 68 nutrition, inadequate maternal health, exposure to infectious diseases and unhygienic 69 environments, caregiver neglect, and lack of stimulation. Stunting impacts approximately 150 million children under the age of five worldwide (UNICEF/WHO/World Bank Group Joint 70 71 Child Malnutrition Estimates 2021 Edition). In developing countries, stunting is associated with late enrolment in school and reduced educational attainment<sup>1,2</sup>. These deleterious effects 72 typically continue into adulthood leading to a 20% reduction in adult income<sup>3</sup>, 1.4% loss in 73 74 economic productivity<sup>4</sup>, and a total economic cost of \$176.8 million per birth cohort<sup>5</sup>. Thus, 75 stunting has an adverse impact at the individual, household, and community levels, eventually 76 perpetuating an inter-generational cycle of poverty and undernutrition.

77 Persistent stunting that begins early in life has a particularly strong impact on cognitive 78 outcomes in later childhood. In a meta-analytic study conducted across 29 low-to-middle-79 income countries, a one-unit increase in height-adjusted z-scores (HAZ) for children under 2 80 years of age was associated with a 0.22 standard deviation increase in cognition between 5 and 11 years of age<sup>6</sup>. Given this early impact, it is critical to understand how stunting affects 81 82 neurocognitive mechanisms in the first year of life. Using portable neuroimaging techniques very early in development may be a powerful approach to address this issue. To date, only one 83 84 neuroimaging study has investigated the impact of stunting on brain function in infancy<sup>7</sup>. This 85 examined growth measures and brain functional connectivity study using electroencephalography in two groups of urban Bangladeshi children: a younger cohort aged 6 86 87 months and an older cohort aged 36 months. In the older cohort, there was an association 88 between lower HAZ scores, greater brain functional connectivity in the theta and beta 89 frequency bands, and a reduction in children's IQ scores at 48 months of age. Notably, the 90 study did not find a link between HAZ scores and brain function in the younger 6-month-old 91 cohort, nor did the study clarify how stunting might impact cognitive functions in the first year 92 of life.

93 What cognitive systems are likely to be impacted by stunting in early development? 94 One potential target is visual working memory (VWM), a critical cognitive system that 95 emerges within months after birth, develops rapidly across early childhood and is susceptible 96 to early risk factors<sup>8</sup>. Early in development, rich explorative play can enhance VWM and 97 attentional networks leading to visual familiarity with objects and better retention of object-98 label associations which is important for word-learning and vocabulary development<sup>9</sup>. VWM 99 processing is also predictive of individual differences in global fluid intelligence<sup>10</sup> and academic outcomes<sup>11</sup>. For instance, WM processing has been linked to vocabulary scores<sup>12</sup>, 100 non-symbolic scores<sup>12</sup>, comprehension<sup>13</sup>, and mathematics abilities<sup>14</sup> in primary school and 101 102 later school years. Given these predictive associations between VWM processing and later 103 academic achievements and the insidious impact of stunting on cognitive and academic 104 outcomes, it is important to examine VWM processing in infants at risk of stunted 105 development.

How might VWM be assessed in infancy? One option is to use a preferential looking 106 task to measure looking behaviour and VWM function<sup>15–17</sup>. In this task, infants are presented 107 108 with two flashing side-by-side displays of colored squares (Fig. 1a and 1b). On the 109 'unchanging' side, the colors of the squares remain the same after each flash, while on the 'changing' side, there is a change in the color of one square after each flash. If infants can 110 maintain the colors on the unchanging display in VWM during the delay, they should lose 111 112 interest in this display, releasing fixation to visually explore the 'changing' display. Here, they 113 should detect the change and sustain looking to this display, leading to a strong *change* 114 preference (CP) score – a high proportion of looking to the changing side.

Previous work has shown that CP scores vary with the number of presented items (VWM load) <sup>15,18,19</sup> and there is a developmental improvement in VWM capacity with age. Ross-Sheehy et al. (2003) showed that 6.5-month-old infants demonstrate greater-than-chance CP scores with a VWM load of one item, while older infants of 10 and 13 months of age showed greater-than-chance CP scores for VWM loads of two and three items<sup>15</sup>. Similarly, both 6-month-old and 8-month-old infants show a preference for one complex object, but only 8-month-old infants display a preference for two complex objects<sup>18</sup>.

122 Critically, recent neuroimaging work has shown that infants engaging with the preferential looking VWM task modulate a fronto-temporo-parietal VWM network typically 123 124 activated in adults. This work used functional near-infrared spectroscopy (fNIRS) to measure brain function in urban US infants<sup>16</sup>. Results revealed that visual exploratory measures were 125 126 associated with activation of the dorsolateral prefrontal cortex (DLPFC). Further, infants showed task-dependent modulation of the anterior intraparietal sulcus (aIPS) and the 127 temporoparietal junction (TPJ)<sup>20,21</sup>. In adult studies, aIPS, a part of the dorsal attention network, 128 is associated with maintaining working memory representations<sup>22,23</sup>, while TPJ, a part of the 129 130 ventral attention network, is typically suppressed in working memory tasks reflecting 131 suppression of attentional shifts away from task goals<sup>20</sup>.

In the current study, we used the same VWM task and fNIRS neuroimaging methods 132 to conduct the first-ever community-based study investigating the impact of stunting on 133 134 neurocognitive function in infants in rural India. We situated the study in a low-resource setting 135 in Uttar Pradesh; a recent initiative investigating child growth failures under the National Nutrition Mission reported that 97.3% of the state's districts fell within the high tertile for 136 137 stunting<sup>24</sup>. Our motivation for choosing this location was further justified by findings in a 138 sample of children in Shivgarh, Uttar Pradesh: children from lower socioeconomic status families showed poorer VWM performance and distractor suppression in inferior frontal gyrus 139 (IFG), a region in the VWM network<sup>17</sup>. Thus, in the current study, we recruited 6- and 9-month-140 old infants from high and low socioeconomic families and followed them for two years. We 141 included both ages to assess whether this cohort would demonstrate shifts in behaviour between 142 the ages of 6 and 9 months in line with previous work<sup>18,19</sup>. Alternatively, studying these two 143 144 age groups might reveal delays in behaviour and/or brain function relative to urban US infants. 145 Portable eye-tracking and video recordings were used to examine how infants visually explored 146 the VWM task. fNIRS was used to measure brain function in the infants as they engaged with 147 the task (Fig. 1c). Growth measures were collected at multiple time-points across the two years 148 to determine stunting status and growth rate. Finally, the Ages and Stages Questionnaire (ASQ) 149 was administered in the second year to examine the impact of stunting and VWM function on 150 later cognitive outcomes.

We focused on three central questions. First, we inquired whether stunting was associated with poorer VWM performance in the first year of life. Second, we asked how stunting impacted brain function - do stunted infants differentially engage the fronto-parietal VWM network compared to normal height infants? Finally, we investigated whether VWM performance and brain function in the first year were related to cognitive outcomes a year later, and how these outcomes were modulated by stunting.

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## 158 **Results**

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What is the impact of stunting on VWM behaviour in infancy? We asked whether stunting was associated with poorer VWM performance in the first year of life. To address this question, we analyzed CP scores using a linear model with age (6 and 9 months), load (1, 2, or 3 coloured items on each display), HAZ score (height-for-age z-score), and total looking time (TLT) as predictors. We included TLT in the model because it was not correlated with CP and captured 165 a significant proportion of variance in the CP scores. Furthermore, CP scores were calculated over a critical time window (see Methods) while TLT was calculated across the full duration 166 167 of the trial. All interactions among predictor variables were included. There were significant 168 main effects of load (F(1,639) = 6.14, p = 0.013), TLT (F(1,639) = 6.86, p = 0.009), and HAZ (F(1,639) = 5.62, p = 0.018) on CP scores (see Fig. 1d). As in previous studies conducted with 169 western samples, CP scores declined as the load increased. Further, infants with higher CP 170 171 scores explored the displays for longer durations. Critically, a decrease in HAZ scores was 172 associated with lower CP scores, reflecting poorer VWM performance in infancy.

173 There were also significant two-way interactions between HAZ and load (F(1,639) =174 5.42, p = 0.02), load and TLT (F(1,639) = 6.30, p = 0.012), and HAZ and TLT (F(1,639) = 6.30175 5.95, p = 0.015); these interactions were subsumed by a significant three-way interaction between HAZ, load, and TLT (F(1,639) = 5.43, p = 0.02). Follow-up tests revealed a robust 176 177 interaction between HAZ and TLT at the low load (F(1,211) = 6.68, p = 0.01) with no 178 significant interactions at the medium and high loads (all p > 0.05). At the low load, increasing 179 HAZ scores were associated with increasing CP scores in infants with longer looking durations 180 (see Supplementary Fig. 1a). By contrast, infants who failed to sustain longer looking durations 181 had difficulty detecting the changing side with CP scores near chance levels (i.e., 0.50). Finally, 182 there was a significant two-way interaction between age and HAZ (F(1,639) = 4.24, p = 0.04; see Supplementary Fig. 1b). This effect appeared to be more strongly driven by 6-month-olds 183 184 compared to 9-month-olds. Specifically, 6-month-olds showed a strong linear relationship 185 between CP scores and HAZ scores, with normal height infants showing higher CP scores. By contrast, 9-month-old infants did not demonstrate a linear relationship between CP scores and 186 187 HAZ scores. They did better in the task overall with some infants showing CP scores greater 188 than chance levels.

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190 Is the canonical VWM brain network engaged in infants from rural India? Our next goal 191 was to examine infants' brain function as they engaged in the VWM task. Co-registered beta 192 maps from individual-level general linear modelling (see methods and materials) were entered 193 into a group-level linear mixed effects model with load (1, 2, 3), chromophore (HbO and HbR), 194 CP scores, age, and HAZ scores as predictors. The model also included a random intercept for 195 each participant to account for individual level variance. We focused on significant main 196 effects and interactions that included chromophore, as HbO and HbR are typically anti-197 correlated. Group-level clusters with significant effects following familywise correction (see 198 methods and materials) are shown in Table 1. We discuss each set of effects below.

199 Infants engaged a canonical fronto-temporo-parietal VWM network while visually 200 exploring the displays in the preferential looking VWM task. Critically, we replicated a finding 201 from our prior study in the same population: there was a significant interaction between CP 202 score and chromophore in the right IFG (rIFG). As shown in Figure 2, infants with weak or 203 supressed activation in rIFG (i.e., lower HbO concentration/higher HbR concentration) showed higher CP scores. In previous work, greater IFG activation has been associated with poorer 204 distractor suppression<sup>17,25</sup>. Thus, our findings suggest that infants with better VWM scores 205 were able to suppress looks to the unchanging side via rIFG suppression. On the other hand, 206 infants who activated rIFG showed poor distractor suppression, more frequent looks to the 207 208 unchanging side, and lower CP scores.

One main effect and multiple interaction effects were observed in different clusters of the left aIPS (laIPS; see Table 1), a part of the dorsal attention network engaged during VWM maintenance. We discuss the developmental and performance-related effects observed in laIPS first; stunting-related effects are discussed below. A main effect of chromophore was observed in the anterior portion of the laIPS, with the canonical pattern of increasing HbO and decreasing HbR concentrations (see left panel in Figure 3a and blue cluster on brain image). An interaction between age and chromophore was also observed in an adjoining laIPS cluster (see right panels in Figure 3a and green cluster on brain image). Specifically, 6-month-old infants showed greater laIPS activation compared to 9-month-old infants. Considered together with the main effect, the interaction between age and chromophore suggests refinement of laIPS activation with development.

220 This pattern was qualified by the significant interaction between age, CP score, and 221 chromophore in the inferior portion of the laIPS shown in Figure 3b (see red cluster). Here, 9-222 month-old infants who performed poorly in the VWM task showed engagement of laIPS, while 223 higher-performing 9-month-olds did not. Low-performing 6-month-old infants, by contrast, 224 showed suppression in this laIPS cluster. Thus, the overall pattern shown in Figure 3 suggests 225 that laIPS activation becomes more refined with age and enhanced performance in the task. 226 There is also evidence that the youngest, low-performing infants showed a different pattern – 227 suppression – in an inferior portion of the laIPS.

Before turning to the stunting-related effects, we note there was a significant interaction between load, CP score, and chromophore in the right frontal eye fields (rFEF; see Table 1). Activation in this region is associated with preparation and control of eye-movements and gaze<sup>26,27</sup>. We generally found suppression of rFEF for both higher- and lower-performing infants (see Supplementary Figure 2); however, this effect was inconsistent as a function of load. It is possible that the lack of a clear pattern with increasing load reflects ongoing functional refinement in this region relative to infants' improving visual exploratory abilities.

What is the impact of stunting on brain function? The findings above confirm that infants from rural India engaged a canonical VWM brain network and replicated prior findings from this population. Next, we asked how stunting impacted brain function in infancy. We found that stunting impacted activation in three regions (see Table 1): (1) laIPS, a key region in the dorsal attention network, (2) rTPJ, a key region of the ventral attention network, and (3) IDLPFC, an area involved in top-down control of processing in posterior regions of the brain.

A significant three-way interaction between HAZ, load, and chromophore was observed in a superior laIPS cluster (see lavender cluster in Figure 4a). Here, normal height infants engaged laIPS in a load-dependent manner consistent with infants from an urban US sample with a decrease in activation at higher loads<sup>28</sup>. By contrast, stunted infants did not modulate laIPS activation with increasing load, although they showed some evidence of greater suppression of laIPS at the low load (i.e., negative HbO and positive HbR).

Activation in laIPS was also related to behavioural performance and stunting through a 248 249 four-way interaction between HAZ, age, CP score, and chromophore in a more inferior laIPS 250 cluster (see white cluster in Figure 4b). Both 6- and 9-month-old normal height infants showed 251 robust activation in this laIPS cluster, with lower activation for higher-performing infants. This 252 is consistent with results from Figure 3 suggesting a refinement in laIPS activation with age 253 and enhanced task performance. By contrast, stunted infants showed much weaker activation 254 in this cluster. The one exception was 6-month-old stunted infants who performed better in the 255 VWM task; these infants showed modest laIPS activation.

256 The next finding is shown in Figure 5: suppression in rTPJ was related to behavioural performance through a three-way interaction between HAZ, CP score, and chromophore. In 257 258 normal height infants, greater rTPJ suppression was associated with better CP scores. This 259 finding is consistent with previous adult studies showing rTPJ suppression (along with laIPS activation) during VWM processing to prevent shifts in attention away from task goals<sup>20</sup>. In 260 261 contrast, in stunted infants, greater rTPJ suppression was associated with poorer CP scores 262 suggesting that stunted children tended to maintain attention to the unchanging side resulting 263 in rTPJ suppression and poor CP scores.

264 Finally, a three-way interaction between HAZ, age, and chromophore was observed in 265 1DLPFC (see Figure 6). This interaction was driven by increased 1DLPFC activation in normal height 6-month-olds and stunted 9-month-olds. Evidence suggests that the DLPFC is often 266 267 engaged in working memory tasks to support processing in the parietal cortex early in development and during demanding working memory tasks<sup>29</sup>. Our findings suggest, therefore, 268 that normal height 6-month-olds and stunted 9-month-olds recruited this frontal area in support 269 270 of their VWM performance. We note that this is the one brain region that showed robust 271 activation in stunted 9-month-old infants, some of whom successfully detected the changing 272 side. Thus, the delayed recruitment of this frontal region may be adaptive for these infants.

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274 Does stunting impact longer-term cognitive outcomes? As a final question, we investigated 275 whether stunting, behavioural performance, and associated brain function in the first year of 276 life were linked to cognitive outcomes one year later. In an initial linear model, we asked 277 whether higher cognitive outcome scores in year 2 (problem-solving score from the ASQ; see 278 methods and materials) were associated with CP in year 1 and physical growth measures. For 279 the physical growth measures, we included HAZ (the intercept from our growth model; see 280 materials and methods) and a linear growth term (HAZ-L), which captured change in HAZ 281 over time, as predictors.

282 Results revealed a significant interaction between HAZ and HAZ-L in predicting 283 problem-solving scores in year 2 (F(1,164) = 4.30, p = 0.04). This effect was subsumed by a 284 significant three-way interaction between CP, HAZ, and HAZ-L (F(1,164) = 4.29, p = 0.04). As shown in Fig. 7a, normal height infants with higher linear growth had better outcomes in 285 286 year 2. By contrast, normal height infants with lower linear growth fared poorer, except for 287 infants with higher CP scores in year 1. These infants with higher CP scores showed good problem-solving scores in year 2. This finding suggests that VWM abilities in infancy might 288 289 be protective against cognitive deficits associated with poor linear growth. In general, stunted 290 infants showed poorer problem-solving scores in year 2.

Next, we asked whether the load-dependent patterns of activation observed in laIPS 291 292 (see Figure 4a) predicted cognitive outcomes one year later. We ran separate models for HbO 293 and HbR concentrations. Even though normal height infants elicited greatest activation at the 294 low load, we did not observe any associations with the problem-solving score from this 295 condition. However, we found a significant main effect of HbR concentration in laIPS at the 296 medium load (t(167) = -1.95, p = 0.05): greater laIPS activation (i.e., negative HbR 297 concentration) was associated with better problem-solving scores in year 2. As shown in Fig. 298 7b, there was also a significant interaction between HbR concentration in laIPS and HAZ 299 scores (t(167) = -2.46, p = 0.015). In normal height infants, greater laIPS activation (i.e., 300 negative HbR concentration) was associated with better problem-solving scores in year 2. 301 Follow-up tests showed no robust associations between brain activation and problem-solving 302 scores for stunted infants (p > .05).

# 303304 Discussion

305 Stunting in the first 1000 days is associated with poor cognitive, academic, and economic outcomes in later life, yet the neurocognitive mechanisms underlying these 306 307 relationships early in development are unknown. We hypothesized that stunting in infancy might impact early emerging cognitive systems such as VWM, a critical system that is 308 309 predictive of individual differences in cognitive function and academic outcomes. Toward this 310 end, we examined how stunting impacts VWM performance and brain function in the first year 311 in a large sample of infants from rural India; we also examined cognitive outcomes one year 312 later.

313 Consistent with findings from western settings, behavioural performance in the VWM task declined with increasing load<sup>15,28</sup>. Further, infants in this study engaged key hubs in the 314 attention and VWM networks<sup>30</sup> such as rIFG, laIPS, and rTPJ as a function of VWM load and 315 task-related performance. We also replicated a critical finding from our prior work in this 316 population: infants who sustained longer looking to the changing side (greater CP scores) 317 showed suppression in rIFG<sup>17</sup>. The inferior frontal junction is purported to act as a switching 318 319 hub between the dorsal and ventral attention networks, with suppression implying less frequent 320 switching between top-down goal-driven attention and bottom-up re-orienting of attention to salient/distracting stimuli<sup>31</sup>. We suggest that infants who sustained longer looking towards the 321 322 changing side and showed better VWM performance, infrequently re-oriented attention to the 323 unchanging side via IFG suppression. Increased frontal activation has also been linked to 324 processing and storage of irrelevant distractor information in visual and spatial working memory tasks in children<sup>32</sup>. Thus, in the current study, greater rIFG activation in infants with 325 326 poorer CP scores might also reflect processing of information from the distracting, unchanging 327 side.

328 Previous studies with children and adults have shown that active working memory maintenance is associated with *bilateral* posterior parietal activation<sup>20,21,23,33</sup>. Further, parietal 329 lateralization has been linked to the type of working memory used, with verbal working 330 memory activating left parietal cortex and some studies showing greater involvement of right 331 parietal cortex in visuo-spatial working memory<sup>34</sup>. In the current study, *only left* aIPS was 332 333 engaged across multiple effects of age, load, chromophore, CP score and HAZ in infants 334 suggesting a key role for this brain region in VWM processing. This is consistent with evidence 335 from an urban US sample which revealed robust relationships between performance in the 336 preferential looking VWM task and activation in the left hemisphere in infancy $^{28}$ . 337 Environmental/contextual differences might explain the emergence of lateralized activation in 338 the first year of life. For example, bilingual language, compared to monolingual language 339 exposure in infants has been associated with bilateral recruitment of the frontal cortex during a non-linguistic attentional orienting task<sup>35</sup>. 340

We also replicated another key effect from our prior study of urban US infants: normal 341 342 height infants showed a load-dependent modulation in the laIPS with high activation at a low 343 load and lower activation at higher loads. This pattern is consistent with similar effects in 3-344 and 4-year-old children<sup>36</sup> as well as aging adults<sup>37</sup>. Interestingly, this pattern contrasts with findings from adults; increasing VWM load is associated with increasing aIPS activation until 345 a capacity limit is met; this is followed by an asymptote in brain activation<sup>33,38,39</sup>. Collectively, 346 347 these findings suggest that at high loads, infants, children, and aging adults fail to maintain a 348 near-capacity number of items (and an asymptotic level of brain activity). How, then, were 349 some infants able to show above-chance levels of responding at the medium and high loads? 350 On some of these trials, infants start visual exploration on the changing side. Detection of 351 novelty in this case does not require robust working memory ability as there is a change after 352 each flash. Thus, it is possible that some infants show above-chance responding because they 353 started on the changing side, detected novelty, and this novelty sustains looking to this 354 display<sup>40</sup>.

We observed a refinement of laIPS activation with age and enhanced VWM performance. Specifically, 6-month-olds and low-performing 9-month-olds showed a greater extent of laIPS engagement. Moreover, in normal height children (compared to stunted infants), both age groups showed greater laIPS activation in lower-performing infants. These findings are novel and suggest a refinement of laIPS activation between 6 and 9 months in infants from rural India consistent with behavioural evidence of a change in VWM capacity between these ages in urban infants<sup>28</sup>. The extent of spatial refinement of laIPS activation underscores the precision of the image reconstruction techniques we used (for a comparison toa channel-based fNIRS approach, see Supplementary materials).

The present study also revealed – for the first time – how stunting impacts looking behaviour. We found that stunted infants showed a poorer ability to detect and stay fixated on the changing side. The linear association between stunting status and CP scores was stronger in 6-month-old infants compared to 9-month-old infants suggesting that stunting-related impact on VWM processing might be mitigated with age. However, our brain imaging results imply otherwise. Stunting modulated activation in laIPS, rTPJ, and IDLPFC – key regions in the canonical fronto-temporo-parietal VWM network.

371 Unlike normal height infants, stunted infants more consistently showed weak activation 372 in the laIPS – only high-performing 6-month-olds showed robust engagement of this brain 373 region. In the absence of active laIPS engagement, how did some stunted 9-month-olds achieve 374 above-chance performance? Our findings suggest that these infants recruited the IDLPFC, a 375 region involved in the top-down modulation of processing in posterior parietal cortex. Thus, 376 while 9-month-old normal height infants engaged laIPS to achieve sustained looking to the 377 changing side, stunted 9-month-old infants might have engaged the frontal cortex to 378 compensate for weak activation in laIPS. Notably, this pattern of dependence on frontal cortex activation was also observed in younger normal height infants. Taken together, our findings 379 suggest that stunting status might be associated with impairments or delays in the functional 380 381 activation of the VWM network.

382 Stunting status also selectively modulated rTPJ function. rTPJ is thought to act as a 383 'circuit-breaker' with greater activation associated with bottom-up reorienting of attention away from ongoing task-related processes and towards salient and/or irrelevant stimuli<sup>20,21,41,42</sup>. 384 385 In VWM processing in adults, increasing VWM load is associated with increased laIPS activation and rTPJ suppression<sup>20</sup>. In agreement with this evidence, we found that normal 386 387 height infants who showed better VWM performance and less distractibility engaged laIPS to 388 successfully maintain representations of the items and supressed rTPJ to prevent frequent re-389 orientation of attention. On the other hand, in stunted infants, rTPJ suppression was associated 390 with poor CP scores suggesting some of these infants might have gotten 'stuck' on the 391 unchanging side.

392 As a final question, we asked whether these neurocognitive patterns impacted cognitive 393 outcomes one year later. Our findings suggest that VWM function might act as a protective 394 factor against deficits in more complex cognitive functions in later years, consistent with 395 evidence showing that VWM function in infancy is a reliable predictor of cognitive outcomes 396 11 years later<sup>43</sup>. Concretely, at-risk infants with low height-for-age scores in infancy and lower 397 linear growth from the first to the second year of life showed better problem-solving scores in 398 year 2 if they had good VWM performance in year 1. We then asked whether laIPS activation 399 in infancy was linked to better cognitive outcomes in year 2. Interestingly, normal height 400 infants with greater laIPS activation in year 1 demonstrated higher cognitive scores in year 2.

401 It is important to contextualize these findings given that multiple factors may promote healthy VWM processing in the first year of life (see <sup>44</sup> for a review of biophysiological 402 pathways impacted by poverty). Normal height infants might be reared in higher-resource 403 404 homes with access to more cognitive materials and activities compared to stunted infants. 405 Moreover, exposure to less stressful environments may promote opportunities for rich quantity 406 and quality of explorative play leading to typical, healthy development of attention and VWM networks. Prior work from our group showed that parent-reported frequency of stressful life 407 408 events was predictive of left parietal cortex engagement during a VWM task in low-performing pre-school children in Scotland<sup>33</sup>. Stunted children might also be exposed to living conditions 409 with poor sanitisation, poor hygiene, pathogen exposure, and environmental contaminants 410 411 leading to chronic infections and malnutrition. Resulting general malaise or sickness could lead

412 to diminished opportunities for explorative play and social learning impacting cognitive 413 development in the first year of life. All these conditions could also lead to anatomical 414 differences in brain structure and connectivity as well as reduced cortical activity, eventually 415 affecting VWM and attention processing pathways.

Our findings are consistent with studies suggesting that stunted children show longer-416 term working memory deficits. For example, Walker et al. (2005) showed that stunting in the 417 418 first 2 years of life was associated with poor performance in visual and spatial working memory function in 17- to 18-year-old adolescents in Jamaica<sup>2</sup>. Similarly, Kar et al. (2008) found that 419 420 malnourished children across the age-groups of 5-7 years and 8-10 years in India showed 421 poorer performance on a working memory task compared to adequately nourished children in 422 these age-groups<sup>45</sup>. The current study makes a unique contribution to this body of work by 423 using neuroimaging tools to study the most at-risk infants globally, demonstrating that such tools can be successfully deployed to investigate and identify neurocognitive mechanisms in 424 rural settings<sup>46,47</sup>. We show that stunting impacts looking behaviour and is associated with 425 modulation of neural activity in key hubs of the VWM and attention brain networks and, 426 427 further, that these neurocognitive patterns are associated with later cognitive outcomes. Our 428 findings also paint a picture of hope in that better VWM function in infancy may confer some 429 neurocognitive protection, at least for short-for-age infants. Given that prior work suggests visual cognition can be enhanced via caregiver-based interventions<sup>48</sup>, this could provide an 430 avenue for future efforts to boost VWM function in infancy before stunting-related cognitive 431 432 deficits take hold.



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Figure 1. (a) A trial of length 10 s of the preferential looking VWM task. During the 10s trial, alternating blank displays for 250ms are followed by 'on' displays 435 of colored squared for 500ms (i.e., 250ms, 500ms, 250ms, 500ms...for 10s). (b) Exemplar of infant engaging with the task and wearing the fNIRS cap as the

436 child sits on the mother's lap. (c) Top left image shows probe geometry covering the frontal, temporal and parietal cortices. Middle and right panels show

437 sagittal and axial slice of photo migration results. Bottom panel shows superior (top) to inferior (bottom) axial slices of photon migration results. Hotter colors

438 indicate stronger signal sensitivity. (d) Main effects from the analysis of change preference scores showing modulation over memory load (box plot in top panel 439 shows median and SD [values shown] with lower and upper hinges corresponding to the first and third quartiles), total looking time (scatter plot in middle

440 panel), and height-for-age z-scores (HAZ, scatter plot in lower panel). Colors in lower panel reflect typical cut-off scores used to identify stunted individuals

441 (z-scores < -2) with grey showing stunted infants.

Effect	Paging of interact	Size	Centre of mass coordinates		
Effect	Region of interest	(mm <sup>3</sup> )	x	у	z
CP x Chromophore	Right inferior frontal gyrus (rIFG)	424	46.3	-154.2	142.2
Chromophore	Left anterior intraparietal sulcus (laIPS)	626	144.7	-103.8	181.6
Age x Chromophore	Left anterior intraparietal sulcus (laIPS)	400	138.1	-97	186.3
Age x CP x Chromophore	Left anterior intraparietal sulcus (IaIPS)	354	155.5	-87.5	169.9
HAZ x Load x Chromophore	Left anterior intraparietal sulcus (laIPS)	562	139.1	-91.3	182.7
HAZ x Age x CP x Chromophore	Left anterior intraparietal sulcus (laIPS)	328	143.2	-103.5	181
HAZ x CP x Chromophore	Right temporo-parietal junction (rTPJ)	541	44	-80.7	152.1
HAZ x Age x Chromophore	Left dorsolateral prefrontal cortex (IDLPFC)	593	127.6	-162.7	171.5
Load x CP x Chromophore	Right frontal eye fields (rFEF)	603	74.6	-146.6	183.5

442 443

444 Table 1. Significant clusters of brain function engaged during the preferential looking task obtained

445 from linear mixed-effects modelling. Note that significance was determined using familywise 446 correction with voxel-wise p = 0.01, voxel threshold = 278 and alpha = 0.05.

447



448 449

Figure 2. Brain image shows interaction between CP score and chromophore in right inferior frontal

450 gyrus (rIFG; see red cluster in brain inset). Right panel shows mean ± SE HbO (solid circle) and HbR 451 (dashed triangles) concentration across the hemodynamic time window (0-20s) for infants with CP 452 scores less then chance (<=0.5) and CP scores greater than chance.



453 454 Figure 3. (a) Brain image shows location of main effect of chromophore (blue), interaction between age 455 and chromophore (green), and overlap (white) in laIPS. Average time-series plots show HbO 456 concentration in dark blue and HbR concentration in light blue for main effect of chromophore (left 457 panel). Average time-series plots show HbO concentration in dark green and HbR concentration in light 458 green for interaction between age and chromophore (right panel). We show data across the total 459 hemodynamic time window (0-20s) with 0 = trial onset and 10s = trial offset. (b) Brain image shows 460 location of interaction between age, CP score, and chromophore (red) close to the main effect of 461 chromophore (blue). Average time-series plots showing HbO concentration in red and HbR 462 concentration in light red for interaction between age, CP score, and chromophore (right panel). We 463 show data for 6-month-old and 9-month-old infants with CP scores less then chance (<=0.5) and CP 464 scores greater than chance. In time series plots, the mean is depicted using circle data points in grey; 465 mean smoothed data using a loess function is shown in solid (HbR) line. Confidence intervals are 466 underlaid and shown in grey. 467



468

469 Figure 4. (a) Brain image shows location of interaction between HAZ, load and chromophore 470 (lavender), interaction between age and chromophore (green cluster from Figure 3a) and overlap (white) 471 in a superior cluster in laIPS. Right panel shows mean ± SE HbO (solid circles) and HbR (dashed 472 triangles) concentration across the hemodynamic time window (0-20s) for normal height (yellow) and 473 stunted (grey) infants at the low, medium, and high loads. (b) Brain image shows location of interaction 474 between HAZ, age, CP score, and chromophore (white represents overlap between this interaction and 475 main effect of chromophore) and main effect of chromophore (blue cluster from Figure 3a) in a superior 476 cluster in laIPS. Note that the cluster for the interaction between HAZ, age, CP score and chromophore 477 was subsumed by the overlap (shown in white). Bottom panel shows mean  $\pm$  SE HbO (solid circles) 478 and HbR (dashed triangles) concentration across the hemodynamic time window (0-20s) for normal 479 height (yellow) and stunted (grey) 6-month-old and 9-month-old infants with CP scores less then chance 480  $(\leq=0.5)$  and CP scores greater than chance.



482 Figure 5. Brain image shows location of interaction between HAZ, CP score, and chromophore in rTPJ. Right panel shows mean ± SE HbO (solid circles) and HbR (dashed triangles) concentration across the hemodynamic time window (0-20s) for normal height (yellow) and stunted (grey) infants with CP scores less then chance ( $\leq 0.5$ ) and CP scores greater than chance.



488 Figure 6. Brain image shows location of interaction between HAZ, age, and chromophore in IDLPFC. Right panel shows mean ± SE HbO (solid circles) and HbR (dashed triangles) concentration across the hemodynamic time window (0-20s) for 6-month-old and 9-month-old normal height (yellow) and stunted (grey) infants.



495 496 Figure 7. (a) Impact of HAZ and CP scores on problem-solving outcomes one year later. Infants with higher 497 linear growth from year 1 to year 2 based on a median split are shown in green circles; infants with lower 498 linear growth are shown in purple +. Left panel shows scatter plot with infants with higher CP scores in 499 year 1 based on a median split, while right panel shows scatter plot with data from infants with lower CP 500 scores in year 1. Vertical line shows typical stunting cut-off value (HAZ < -2). (b) Association between 501 HAZ and laIPS HbR concentration from medium load in year 1 on problem-solving scores one year later. 502 Normal height infants (HAZ  $\geq$  -2) are shown in yellow circles; stunted infants (HAZ  $\leq$  -2) are shown in 503 grey asterisks. Inset brain image shows location of the laIPS cluster (from Figure 4a).

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- 505

#### 506 Methods

507 **Participants.** Families with infants aged 6 months  $\pm$  15 days or 9 months  $\pm$  15 days from the villages in and around Shivgarh in the district of Raebarelli, Uttar Pradesh, India were contacted 508 509 by researchers from the Community Empowerment Lab (CEL). Infants born to parents screened 510 with colour vision deficits (due to the nature of the VWM task) or any congenital problems, or 511 gestational age < 26 weeks at birth were excluded from the study. Infants were enrolled across 512 four waves of data collection separated by 3 months from May 2017 to February 2018 (year 1). 513 Approximately 30 6-month-olds and 30 9-month-olds were enrolled in each wave. Infants were 514 also followed up for another year from 2018 to 2019 (year 2).

515 Across both years, each family participated in laboratory visits, home visits, and MRI 516 visits. During the laboratory visit, physical growth measurements, behavioural and brain imaging 517 (fNIRS) data, and cognitive assessment data were collected. During the home-visit, physical 518 growth measurements were taken. During the MRI visit, physical growth measurements and 519 anatomical scans were collected. If it was not possible to collect all the data in a single visit, the 520 family was invited for multiple visits scheduled in close succession. The timeline of these visits 521 were as follows: (1) a laboratory visit in 6 months (year 1) and 18 months (year 2) for the 6-monthold cohort, and at 9 months (year 1) and 21 months (year 2) for the 9-month-old cohort, (2) a home 522 523 visit every three months thereafter in year 1 and year 2 (e.g., at 9, 12, 15, 21, 24 and 27 months for 524 the 6-month-old cohort and at 12, 15, 18, 24, 27 and 30 months for the 9-month-old cohort), and 525 (3) an MRI visit in year 1 (e.g., at 6 months for the 6-month-old cohort and 9 months for the 9-526 month-old cohort). Note that the assessments reported here were a subset of the full research 527 protocol for the project. The full list of assessments can be found in the Supplementary materials.

528 277 families met the inclusion criteria and gave due consent. From this sample, 37 children did not complete the first in-take assessment (19 6-month-olds and 17 9-month-olds). The 529 530 remaining 240 families were enrolled into the study. Data from 17 infants were excluded from all 531 analyses due to problems with the behavioural and neuroimaging data collection and processing 532 (not enough behavioural data in 9 infants, technical problems with the neuroimaging system for 7 533 infants, and neuroimaging data lost due to motion artifacts from 1 infant). We included infants in 534 the analyses if they had usable fNIRS for at least one load of the VWM task. Data from 223 infants 535 were included in the final analyses (see Supplementary Table 1 for demographic details on this 536 sample). The study was approved by the Community Empowerment Lab Institutional Ethics 537 Committee (Ref. No: CEL/2018005) in compliance with ethical regulations and standards. Some 538 families also provided consent to use their images for knowledge and research purposes.

#### 539 Materials and Procedure

540 General procedures for the laboratory visit. Families were transported in groups from their 541 homes to the CEL Facility in Shivgarh. They were first escorted to the waiting room of the facility. 542 Some groups of families were also provided a tour of the facility and a demonstration of the 543 procedures to make them feel more comfortable and allow them to ask questions. Next, the families 544 were escorted back to the waiting room where informed consent was sought. Participants' 545 caregivers provided written informed consent; where caregivers were illiterate, a witness gave 546 signed consent accompanied by a thumb impression of the caregiver in place of a signature. After 547 consent was obtained, physical measurements of the infant were taken. The infant's head 548 circumference was also measured to prepare an appropriately sized cap for fNIRS data collection.

549 Next, the parent and the infant were escorted to the fNIRS assessment room. The room was 550 colored in a neutral grey to prevent distraction of the infant. The mother was seated on a chair and 551 the infant was placed on the mother's lap approximately 100 cm away from the TV screen (see 552 Fig. 1b). A cartoon was played on a TV screen to engage the infant. When the infant looked comfortable, two researchers placed an appropriately sized fNIRS cap on the head and fastened 553 554 the chinstrap to hold it in place. After adjusting fNIRS signals (e.g., clearing hair from under 555 individual fNIRS optodes), one researcher proceeded to use a Polhemus sensor to collect 556 coordinates of the scalp landmarks and source and detector positions, while a second researcher 557 placed a calibration sticker on the infant's forehead and set up the eye-tracker to record eye-558 movements from the infant. A 5-point calibration sequence was played on the monitor to ensure 559 correct eye-tracking at the top, bottom, left, right and central parts of the screen.

After this, the VWM task was presented and video recordings, eye-tracking and fNIRS data collection ensued. If the infant showed signs of distress, cartoon clips were played in-between trials. Breaks were provided if the infant needed to be fed, fell asleep, or could not be calmed even after the use of the cartoon clips. The family was escorted back to the waiting room after the completion of the assessment or if the infant and/or mother needed a break from the assessment. In year 2, the mother and infant were escorted to another room to administer the ASQ assessment. At the end of each laboratory session, families received a small gift for participating in the study.

568 Physical growth measurements. Physical growth measurements were taken during laboratory 569 visits, home visits, and MRI visits unless two or more visits were close in time, in which case, a 570 single measurement was used for that time point. Measurements of head circumference, mid-upper 571 arm circumference, calf circumference, infant's weight, and infant's length were taken by two 572 members of the research team who were trained through a standardized workshop. Head 573 circumference, mid-upper arm circumference, and calf circumference were measured twice using 574 a SECA measurement tape. Measurements were repeated if there were any discrepancies of > 7575 mm between two measurements. An infantometer was used for measuring the child's length from 576 head to heel with 1 mm precision. A digital SECA weighing scale was used to measure the baby's 577 weight with 10 grams precision.

578

**VWM task.** Infants were presented with a preferential looking VWM task<sup>15</sup> during the laboratory 579 580 visit. A PC running Experiment Builder (SR Research) was used to present the task on a 42-inch 581 LCD TV screen. Infants sat on their parents' lap approximately 100 cm away from the screen. 582 Each stimulus display area was 29.5cm in width and 21cm in height, with a 21cm gap between the 583 display on the left and right (each colored square was approximately 5cm x 5cm). The displays 584 had a solid grey background. The colors of the squares presented on each display were selected 585 from a set of nine colors: green (RGB: 0, 153, 0), brown (128, 64, 32), black (0, 0, 0), violet (128, 586 0, 128), cyan (128, 255, 255), yellow (255, 255, 0), blue (0, 0, 255), white (255, 255, 255), and red 587 (255, 0, 0). On a display, the colors of the squares differed from each other, but colors could be 588 repeated between the displays (i.e., the same color could appear on both displays). The positions 589 of the squares on each display were randomly selected from a 3-by-3 grid of possible positions. 590 Eye-movement data was recorded using an Eyelink 1000 Plus eye-tracker (SR research) operating 591 in binocular mode with a sampling rate of 500 Hz. Additionally, one camera recorded a view of 592 the infants' face, and another camera recorded the TV display. These video recordings were used 593 to extract looking data when eye-tracking information was not available (due to technical 594 problems, reflectance, poor lighting, loss of calibration).

595 Each trial started with a dynamic attention cue. Once the eye-tracker / experimenter 596 detected that the infant was looking at the attention-getter, the task proceeded to the VWM 597 displays. Each trial consisted of side-by-side displays of colored squares that appeared for 500 ms 598 and disappeared for 250 ms for a trial duration of 10 seconds. Each trial was followed by a minimal 599 inter-trial interval of 5s, however, this period was typically longer as the trial was not initiated until 600 the infant looked at the display following the dynamic attention cue. On the 'unchanging' side, the 601 colors of the squares remained the same across each flash, while on the 'changing' side, one square 602 changed its color across each flash. Visual working memory load was manipulated by varying the 603 number of squares on each side across trials (1, 2, or 3 squares on each side). The aim was to 604 present each infant with 36 total trials in six blocks of 6 trials, although where the infant and parent 605 were willing to continue, additional blocks were sometimes collected. Each block contained 2 606 trials for each load, one with the changing side on the left, one with the changing side on the right. 607 Order of trials was randomized in each block. Where necessary, participants could take a break 608 between blocks.

609

610 Functional near-infrared spectroscopy (fNIRS) data acquisition. fNIRS data was collected from infants as they engaged with the VWM task during the laboratory visit. A TechEn CW7 611 system (12 sources and 24 detectors) with wavelengths of 830 nm and 690 nm and sampling rate 612 613 of 25 Hz was used. Fiber optic cables were used to carry light from the TechEn system to a cap 614 with a customized probe geometry of 36 channels overlying the frontal, parietal, and temporal cortices (see Fig. 1c). A laptop connected to the fNIRS system recorded and displayed data as it 615 616 was being collected. This laptop was also connected to the Experiment Builder computer to 617 synchronize fNIRS data with the start of each trial of the task. A Polhemus Patriot Motion Sensor 618 was used to digitize scalp landmarks and positions of sources and detectors on the cap.

619

620 **MRI data acquisition**. Anatomical data were collected on a Philips Achieva 3T MRI scanner 621 equipped with 12-channel head RF array in an MRI Facility in Lucknow, India. The protocol used 622 volumetric T<sub>1</sub>-weighted SPGR acquisition. All imaging was performed during natural sleep<sup>49</sup>. 623 Acquisition parameters were as follows: For T<sub>1</sub> SPGR: Field of View (FoV) = 19 x 19cm; slice 624 thickness (ST) = 1.2 mm; acquisition matrix = 194 x 194; flip angle = 9°; echo time (TE) = 3.72 625 ms; repetition time (TR) = 9.5 ms; and receiver bandwidth (BW) = 270 Hz/voxel.

626

627 Ages-and-Stage Questionnaire III (ASQ) assessment. The ASQ was administered during 628 laboratory visits in year 2 when the infants were 18 months (for the 6-month-old cohort) or 21 629 months (for the 9-month-old cohort). The appropriate ASQ questionnaire for each infant was 630 selected using the online ASQ calculator (https://agesandstages.com/free-resources/asq-631 calculator/). While ASQ is designed as a screening questionnaire to be completed by parents, we 632 adapted its administration to improve the reliability of the data. Specifically, a trained assessor 633 administered the ASQ in collaboration with the parent. In cases where questions from the ASQ 634 materials kit asked about behaviors that could be elicited in the laboratory (e.g., 'When you ask 635 your child to, does he go into another room to find a familiar toy or object?'), these tasks were 636 completed 'live', ensuring that the child was given ample time to perform each task. In the event 637 the child was unable to perform the task, or the question was not amenable to live assessment, the mother's verbal report on the question was taken as the response. The ASQ yields five subscales 638 639 of development: communication, gross motor, fine motor, problem-solving, and personal-social. 640 Each subscale contained 6 questions, making up a total of 30 questions on the form. For this study,

641 we focused on the problem-solving scale as it was most directly related to VWM function, and we 642 were interested in investigating later cognitive outcomes.

#### 643 Methods of Analysis

644 Physical growth measurements analyses. A height-for-age z-score was calculated by dividing 645 the difference between each infant's height and the age-specific height obtained from WHO 646 growth standards by the age-specific standard deviation. Participants contributed between 1 and 647 11 observations for their height-for-age z-score across the study. On average, participants 648 contributed 6.54 observations (SD = 1.92 observations), 83 days apart from each other (SD = 24.5649 days). To obtain individual estimates of physical growth, a linear mixed effects model was run, 650 taking height-for-age z-scores as the dependent variable, and participant age in days as an independent variable. As the trend was not perfectly linear, a quadratic transformation of age in 651 652 days was also added to the model. Both age and age squared were orthogonal, that is, they were 653 independently scaled and centered to avoid autocorrelation. The models had a random effect 654 structure such that the intercept, the linear age term, and the quadratic age term were nested by 655 participant. The random effect coefficients of the model were then extracted for each participant, 656 such that each participant had an intercept term (HAZ), a linear age term (HAZ-L), and a quadratic 657 term. As age in days was scaled and centered, the intercept term, used in most analyses, represents 658 an area under the curve, rather than an initial estimate. HAZ scores were available for all 223 659 infants.

660 Measurements were also taken for weight adjusted z-score (WAZ), weight for length, arm 661 circumference, and head circumference as well as the participants' body mass index (BMI). All of 662 these, excluding BMI, were modelled in an identical fashion to the HAZ scores to extract 663 individual coefficients. While all of these measurements share a certain amount of variance, HAZ 664 was selected as the variable of interest as it had the highest correlation with socioeconomic status 665 (t(238) = 5.912, p < 0.001, Pearson correlation = 0.358).

666

**VWM task analyses.** On average, infants completed 21.8 trials (SD = 10.2). SR research Data 667 668 Viewer was used to export frame-by-frame eye-tracking data. The areas of interest around the two 669 objects on the screen was modified such that the eye-tracking data would match video-coded data 670 where the primary categories were 'left', 'right' and 'away'. Manually-coded data based on video 671 recordings was used to replace trials where no eye-tracking information was available. Datavyu 672 (https://datavyu.org/) was used to manually code these video recordings capturing the TV screen 673 and the infant's face. A neutral observer coded the infant's eye-movements into 'left', 'right and 674 'away' looks for each frame for each trial. We computed reliability using Cohen's Kappa, a 675 statistic that looks at percent agreement across categories normed by the base rate of each category. 676 Kappa values from 0.6-0.8 indicate substantial reliability. Scores greater than 0.8 indicate almost 677 perfect agreement. Overall, we coded 15% of the data to check reliabilities. Reliabilities were very 678 good with a mean Kappa of 0.73 for the 6-month-old cohort and a mean Kappa of 0.83 for the 9-679 month-old cohort. Once coded, data were then exported in a format compatible with the eye-680 tracking data. The manually coded trials made up 31.9% of the total number of trials.

681 Data pre-processing was carried out using the R package *eyetrackingR*<sup>50</sup>. Two key 682 measures were obtained from the data – total looking time (TLT) and change preference (CP). 683 TLT for each trial was calculated as the sum of time spent looking at both displays. CP was 684 calculated as the time spent looking at the changing side divided by the total looking to both 685 displays during a critical time window of between 1500 ms and 6500 ms for each trial (out of the 686 10000 ms trial window). The first 1500 ms comprised the first two flickers (2 \* [250ms off and 687 500 ms on period]) was excluded to allow infants to explore the displays and, potentially, detect 688 the changing and unchanging sides. The final 3500 ms was also excluded because the number of 689 eve-tracking samples diminished as attention waned. Trials during which 75% of the data were 690 coded as not looking at the displays were excluded from further analyses. Following these 691 processing steps, out of the 223 children included in the analyses, 214 children contributed CP 692 scores for load 1, 209 children contributed CP scores for load 2, and 214 children contributed CP 693 scores for load 3.

694 A linear model with the CP score as the dependent variable and the age (6 or 9 months), 695 load (1, 2, or 3), HAZ score, and TLT (in milliseconds) as independent variables was used to model 696 the behavioural data. All interactions between independent variables were included. Participant 697 SES status (measured using the Kuppuswamy scale<sup>51</sup>) and other related variables (e.g., nutrition 698 information) were added in individually to assess whether there was an improvement to the model 699 fit; however, these additional predictors were discarded as they either were colinear with HAZ or 700 did not contribute substantially to model fit. An attempt was made to allow for the individual level 701 variance by adding a random intercept for each participant, but this resulted in a singular fit, 702 indicating the random effect was estimated at approximately zero.

703 fNIRS data pre-processing. For the fNIRS analyes, 221 children had fNIRS data for load 1 (out 704 of the 223 infants), 220 children had fNIRS data for load 2, and 221 children had fNIRS data for 705 load 3. fNIRS data were pre-processed using *EasyNIRS* in HOMER2<sup>52</sup>. Raw data was pruned using 706 the *enPruneChannels* function (dRange = 1e+04 to 1e+07, SNRthresh = 1, SDrange = 0-45). An 707 average of 29% of the channels across runs and infants were pruned/lost/rejected. Next, the 708 hmrIntensity20D function was used to convert data to optical density units. Motion artifacts were 709 identified and corrected using targeted principal components analysis through the hmrMotionCorrectPCArecurse function (tMotion = 1.0, tMask = 1.0, StdevThresh = 50 and 710 711 AmpThresh = 0.5, nSV = 0.97, maxIter=5). The corrected data were examined for uncorrected 712 motion artifacts using the hmrMotionArtifactByChannel function (tMotion = 1.0, tMask = 1.0, 713 StdevThresh = 50 and AmpThresh = 0.5). If these artifacts fell within -1 to 18 s of a trial, the 714 associated trial onset trigger was removed from further data processing using the enStimRejection 715 function. These criteria were set based on prior work examining motion processing algorithms in a development dataset<sup>52</sup>. An average of 6% of the trials across runs and infants were lost due to 716 motion artifacts. These data were then band-pass filtered using the *hmrBandpassFilt* function with 717 718 high-pass and low-pass cut-off frequencies of 0.016 and 0.5 Hz, respectively. The processed data 719 were further analysed using Image Reconstruction techniques described below.

720 Note that these motion correction parameters were based on data from a VWM study with 3.5- to 4.5-year-old children. More recent analyses of infant fNIRS data<sup>53,54</sup> have recommended 721 similar motion correction parameters with one notable difference: these studies suggest using 722 723 StdevThresh = 15. This difference is not surprising as setting this parameter is time consuming and subjective<sup>54</sup>. To examine how this would impact our findings, we re-ran all analyses with 724 725 StdevThresh = 15. Although findings from this re-analysis were consistent with our main results 726 (see Supplementary materials), the lower value yielded very high data loss (mean data loss with StdevThresh of 50 = 6.19%; mean data loss with StdevThresh of 15 = 37.22%), particularly for 727 728 stunted infants (mean data loss with StdevThresh of 15 = 41.2%). This is a very high percentage 729 of data loss, raising questions about whether findings obtained from using this conservative

threshold is representative of individual and/or group-level estimates of brain function in at-risk infants. Given this, we discuss findings from using StdevThresh = 50 in the final analyses.

732 Creating head models for fNIRS analyses. To create a head model for each infant, we used the 733 anatomical MRI scan if it was available. Out of the 223 children included in the analyses, 734 anatomical T1-weighted images were available for 72 6-month-old infants and 70 9-month-old 735 infants. The remaining infants did not have an anatomical scan (45 6-month-olds and 36 9-month-736 olds). If a scan was not available, we used an age-specific MRI template. A 6-month-old template 737 and a 9-month-old template were created from the available scans of 15 boys and 15 girls for each specific age, using a multistep registration procedure<sup>55</sup>. This procedure was carried out using 738 antsMultivariateTemplateConstruction2 provided by ANTS 2.1. Briefly, all the images were 739 740 linearly aligned and averaged to provide a template estimate. Then, all images were nonlinearly 741 aligned to this initial estimate. The results were averaged to provide an improved estimate. This 742 process was repeated ten times to construct the final estimate. The 6-month-old template was used 743 for the 45 6-month-olds who did not have anatomical scans and the 9-month-old template was 744 used for the 36 9-month-old infants who did not have anatomical scans.

745 Next, head models were created from the anatomical scans and age-specific templates 746 using tools available in AFNI (https://afni.nimh.nih.gov). We describe this briefly below. First, 747 images were rotated using the 3dRotate such that the nose was rotated towards the y-axis of the 748 MRI scanner. The images were then resampled into a standard right-axial-superior orientation 749 using *3dResample*. If large variations in signal quality were present in the image, *3dUnifize* was 750 used to do bias field correction. The image was put into anterior commissure (AC) - posterior 751 commissure (PC) alignment. To do this, a brain mask was created, and the brain was extracted 752 from the image and aligned using the *auto tlrc* function. The rigid body transform from the 753 resulting transform was then used to reorient the image and brain mask into AC-PC alignment. 754 The image was segmented into gray matter, white matter, and CSF using 3dSeg. A corresponding 755 model representing the outer surface of the scalp was generated by estimating the optimal threshold 756 between the background air and the foreground head using 3dClipLevel. This threshold was then 757 applied to the image and holes in the resulting mask were filled using *3dinfill*. This segmentation 758 representing the head was combined with the brain segmentation to generate a label map that 759 contained four labels: gray matter, white matter, CSF, and a combination of skull and scalp. These 760 head volume images were used for fNIRS Image reconstruction analyses described below.

761

762 Generating forward models and fNIRS Image Reconstruction. Details of the methodological pipeline used for image reconstruction are presented elsewhere<sup>56</sup>. We outline the steps below. First, 763 we corrected for variations in scalp landmarks and positions of sources and detectors during 764 765 digitization (for e.g., infant movement) using a three-step method in the *digitizeR* package. This 766 method sequentially compares and aligns user-specified Euclidean distances between sources and 767 detectors for an individual probe geometry with available templates for specific cap sizes. Head volumes created from segmenting the T1-weighted anatomical scans or age-specific templates 768 were imported into Atlas Viewer GUI in HOMER2<sup>30,57</sup>. Each infant's digitized scalp landmarks and 769 770 probe geometry was projected onto each head volume. Monte Carlo simulations were run with 100 771 million photons to generate sensitivity profiles for each channel and wavelength. The head volume 772 and sensitivity profiles were converted to NIFTII images. Next, image reconstruction techniques employing NeuroDOT tools<sup>56,58</sup> were used to integrate the head volume and sensitivity profiles 773 774 with the processed fNIRS data to obtain voxel-wise relative changes in oxygenated (HbO) and de-775 oxygenated (HbR) concentrations.

A general linear model with three regressors (loads 1, 2, 3) was separately run for each chromophore and infant. We used a hemodynamic response function derived from DOT data for HbO and HbR data<sup>56</sup>. Each trial was modelled with a 10 s boxcar and variable inter-trial intervals. The inter-trial interval was a minimum of 5 s but could be typically longer as the trial was not initiated until the infant looked at the display following the dynamic attention cue. To control for the variability in the number of trials per condition and infant, we computed a weighted average of beta coefficient images per load, chromophore, and infant.

Registration to overall study template. The image-based fNIRS beta coefficient images from all 783 784 infants were aligned to the same space by using an overall study template. This overall study template was created by repeating the same process described in the multistep registration 785 786 procedure<sup>55</sup>, using each age-specific template (6-month-old, 9-month-old, 12-month-old, 15month-old, 18-month-old, 24-month-old, and 30-month-old)<sup>59</sup> - see 'Creating head models for 787 788 fNIRS analyses' section above). For the current study, we used this overall study template, instead 789 of a template generated from combining only the 6-month-old and 9-month-old templates, to 790 provide consistency across current and future investigations on this project.

**Group analyses.** For group analyses, only voxels that contained data from 70% of the infants were included. To achieve this, the beta coefficient map for each condition, chromophore, and infant was masked and summed together to create one image. Only those voxels that contained at least 70% data were extracted to create the group mask. This group mask was used in the model below.

- 795 For Group analyses, the co-registered beta coefficient maps were entered into a linear 796 mixed effects model using 3dLME in AFNI with load (1, 2, 3), chromophore (HbO and HbR) and 797 age (6-month-olds and 9-month-olds) as within-subject factors and CP and HAZ as quantitative 798 predictors. For children who did not have a CP score at a load, an average CP score was calculated 799 for that load from age-matched, gender-matched, and SES-matched infants. An average CP score 800 was used for 9 infants for load 1, 14 infants for load 2, and 9 infants for load 3. After running the 801 linear mixed effects model, *3dFWHM* was used to estimate the empirical auto-correlation function 802 in our data and fit a mixed auto-correlation function model to this function. 3dClustSum was run 803 on the group brain mask with a voxelwise p threshold of .01, alpha threshold of .05, 10,000 804 iterations, 2-sided thresholding, first nearest-neighbour clustering, and with a minimum cluster 805 size of 278 voxels. 3dClusterize was used to threshold the main effect and interaction effect 806 images. Average beta values were extracted from the thresholded clusters using 3dROIstats in 807 AFNI. Labels for significant clusters of activation were created based on regions of interest (ROIs) from VWM fMRI studies<sup>30</sup>. These ROIs in MNI space were co-registered to align with the overall 808 809 study template. To assign a label to each significant cluster, Euclidean distances were calculated 810 between the centre of mass of each ROI and each significant cluster. The ROI with the minimum 811 distance to a cluster was used as the label. The range of distances between each cluster and final 812 assigned label were 7.5 mm to 22.7 mm.
- ASQ assessment analyses. Out of 223 children included in the analyses, 172 children had scores for the ASQ assessment. The rest of the families did not complete the assessment due to illness, non-attendance, or other factors. Non-standardized scores from the ASQ were used as there are no standardized norms for children from rural India. To examine whether behavioural performance in year 1 was related to the problem-solving score in year 2, we ran a linear model with the problem-solving score as the dependent variable, and CP scores, HAZ scores, and HAZ-L as

819 predictors. We included HAZ-L because change in physical growth over time was expected to be 820 an important contributor to problem-solving score measured in year 2.

821 To examine whether brain function in year 1 was related to cognitive outcomes in year 2, 822 we ran 6 linear models – one per chromophore (HbO and HbR) and load (1, 2, 3) for the laIPS cluster from the interaction between HAZ, load and chromophore. In each model, the dependent 823 824 variable was problem-solving score, and the independent variable was laIPS activation. We also 825 included the HAZ score as a predictor since laIPS activation was associated with HAZ. Note that 826 we tested whether HAZ-L contributed significantly to these models; this was not the case, so this 827 term was excluded from the final models. All models were checked with a Q-Q plot of the residuals 828 and using the DHARMa<sup>60</sup> package v0.4.3 in R. As there was some level of dispersion in the data, 829 models were run using robust regression (using the *robustbase* package in R) and model outliers 830 were also checked using Cook's distance, indicating no problematic outliers.

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840

## 841 Author contributions.

842 JPS, SW and AK conceptualized the study. SW, SF, JPS, VAM, SD contributed to the 843 methodology and software. SW, SF, JPS, VAM, KJ and SD contributed to formal analyses. VPS 844 and MT contributed to project administration. SW, JPS and AK supervised the study. All authors 845 contributed to the writing, review and editing processes.

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## 847 **Data availability**

- 848 All final data used in statistical analyses will be publicly available on Github following publication.
- 849 All raw and processed fNIRS data will be available by agreement through the Bill & Melinda
- 850 Gates Foundation fNIRS Consortium hosted by Yale University/Haskins Laboratory.
- 851

## 852 Code availability

fNIRS analyses pipeline is publicly available under
 https://github.com/developmentaldynamicslab/MRI-NIRS\_Pipeline. All code and revisions will
 be publicly available on Github following publication.

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