1 2	A novel physics-informed framework for reconstruction of structural defects
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12	Abstract: Ultrasonic guided wave technology has played a significant role in
13	the field of non-destructive testing as it employs acoustic waves that have
14	advantages of high propagation efficiency and low energy consumption during
15	the inspect process. However, theoretical solutions to guided wave scattering
16	problems using assumptions such as Born approximation, have led to the poor
17	quality of the reconstructed results. Moreover, scattering signals collected from
18	industry sectors are often noised and nonstationary. To address these issues,
19	a novel physics-informed framework (PIF) for quantitative reconstruction of
20	defects using the integration of data-driven method with the guided wave
21	scattering analysis has been proposed in this paper. Based on the geometrical
22	information of defects and initial results obtained by PI-based analysis of
23	defect reconstructions, a deep learning neural network model is built to reveal
24	the physical relationship between defects and the noisy detection signals. This
25	data-driven learning model is then applied to quantitatively assess and
26	characterize defect profiles in structures, improve the accuracy of the
27	analytical model and eliminate the impact of noise pollution in the process of
28	inspection. To demonstrate advantages of the developed PIF for complex
29	defect reconstructions with the capability of denoising, numerical examples
30	including basic defect profiles, a stepped defect, a mixed-type defect have
31	been examined. Results show that PIF has greater accuracy for reconstruction
32	of defects in structures as compared with the analytical method and provides a
33	valuable insight into the development of artificial intelligence-assisted
34	inspection systems with high accuracy and efficiency in the fields of structural
35	integrity and condition monitoring.
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37	Key words: Physics-informed, Deep learning, Reconstruction of defect,
38	Denoising

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### 43 **1. Introduction**

44 In non-destructive testing of elastic waveguide structures such as rods, plates, 45 shells and beams, ultrasonic guided wave detection has the advantages of 46 convenient excitation, long propagation distance, high sensitivity to defects 47 and low energy consumption<sup>[1-4]</sup>. Especially for non-destructive testing in significant areas such as railway transportation, oil pipelines, aircraft airframe 48 49 and wings<sup>[5]</sup>, the high efficiency and high precision of ultrasonic guided wave 50 detection are more important. Therefore, using guided waves for defect 51detection and reconstruction has been investigated by many researchers. As 52 early as the beginning of this century, Rose<sup>[1]</sup> clarified that ultrasonic guided 53 waves can be used to detect pores, weak cohesion and delamination, and 54 have considerable reliability. Eremin *et al.*<sup>[6]</sup> studied the Lamb wave properties and its changes during the cyclic loading of CFRP sandwich panels with 55 56 aluminium honeycomb core. Based on Lamb wave analysis, the fatigue failure 57 and tensile-compressive failure of two specimens were identified. Puthillath et 58 al.<sup>[7]</sup> developed a detection method of ultrasonic guided wave linear scanning, 59 also known as G-scan, which can detect the bonding damage of the patch during the repair of the aircraft shell, such as adhesive and cohesive 60 61 weaknesses similar to that found in adhesively bonded joints. Wang et al.<sup>[8]</sup> 62 used the Born approximation to replace the total field near the defect with the 63 incident field and then derived the mathematical relationship between the reflection coefficient located in the far field and the defect shape function in the 64 65 form of Fourier transform pairs for the thinning defect reconstruction in the two-dimensional plate. Sikdar<sup>[9]</sup> used probabilistic damage detection algorithm 66 67 to identify the location and size of the disband and high-density core region in 68 a honeycomb composite sandwich structure(HCSS) utilizing ultrasonic guided 69 waves and surface-bonded piezoelectric wafer transducers (PWTs). Da et 70 al.<sup>[10]</sup> proposed a novel reference model-based method, called QDFT, for the 71quantitative reconstruction of pipeline defects using ultrasonic guided 72 SH-waves in 2018. Based on the boundary integral equation, the Fourier 73 transform pair of reflection coefficients in the wavenumber domain and the 74 defect shape function in the spatial domain was analytically obtained using 75 Born approximation to reconstruct the defect profiles.

76 Although many researchers have made valuable exploration and 77 remarkable progress on the applications of guided waves for non-destructive 78 testing to identify their values, it is difficult to realize high accurate and efficient 79 defect reconstruction using the guided wave scattering theory due to the 80 coupling of various modes in the guided wave scattering field. Moreover, the existing defect detection and reconstruction technologies need to cooperate 81 82 with the signal processing system, the actual measurement is inevitably 83 affected by environmental noise, which will lead to the inaccuracy of defect reconstruction. Therefore, it is time to revisit the artificial intelligent technology
 for reconstruction of defects with high levels of robustness and reliability.

86 Artificial intelligence(AI) has been rapidly developed and widely applied for solving many problems<sup>[11,12]</sup> with an impressive performance. In the field of 87 defect detection, Munir et al<sup>[13]</sup> applied convolutional neural network for noisy 88 89 ultrasonic signatures to improve classification performance of weldment defects and applicability. Xiaocen et al<sup>[14]</sup> proposed a rapid guided wave 90 imaging method based on convolutional neural network (CNN) to quantitatively 91 92 evaluate the corrosion damage. Also, artificial neural network was used for the 93 efficient extraction and selection of features in the context of a decision support system<sup>[15]</sup>. Zhuang *et al*<sup>[16]</sup> proposed a novel deep morphological 94 95 convolutional network (DMCNet) for feature learning of gearbox vibration 96 signals for fault diagnosis. Virkkunen et al<sup>[17]</sup> developed a modern, deep 97 convolutional network to detect flaws represented by phased-array ultrasonic 98 data and they made extensive use of data augmentation to enhance the learning from initially limited raw data. Besides, Latête et al<sup>[18]</sup> used Faster 99 R-CNN to identify, locate and size flat bottom holes (FBM) and side-drilled 100 101 holes (SDH) in an immersed test specimen using a single plane wave 102 insonification. Recently, a kernel-based machine learning model has been 103 achieve automatic flaws detection, proposed to localization and 104 characterization<sup>[19]</sup> and a dynamic radius support vector data description (DR-SVDD) has been proposed by Zhao et al.<sup>[20]</sup> for fault detection of aircraft 105 engines. In the area of computer tomography(CT), Jin et al.[21] combined the 106 107 deep convolutional neural network with the filtered back projection algorithm 108 (FBP), which is the classical analytical models in image reconstruction. First, 109 FBP was applied to process the sub-sampled sinogram for obtaining a 110 preliminary reconstructed image, and then the reconstructed image as the 111input data was used to train the convolutional neural network for the output of a 112 high-quality reconstructed image. In order to solve the problem of multiple 113 scattering in image reconstruction, Sun et al.[22] divided the scattering inversion 114 process into two steps: first, a theoretical model was employed to design a 115 back propagation algorithm that was used to transform the data in the 116 measurement domain into the image domain. Then, a deep convolutional 117neural network with U-net structure was generated as a scattering decoder to 118 complete the reconstruction task using image domain data. The study found 119 that the deep learning-based image reconstruction method has higher 120 computational efficiency and reconstruction quality than other methods when dealing with multiple scattering problems. Boublil et al.[23] studied the 121 122 combination of FBP algorithm and PWLS iterative algorithm with convolutional 123 neural network to reconstruct images. It was concluded that the local fusion

124 between these two algorithms can improve the balance between the resolution 125 and the variance in the image reconstruction process, so it can improve the 126 quality of the CT image. At the same time, two different types of image 127 reconstruction methods (In the field of image reconstruction, FBP is a typical 128 algorithm for directly negating forward operators, and PWLS is a typical 129 iterative negation algorithm) in this study illustrated the universality of the local 130 fusion of these algorithms. If the reconstruction algorithm changes, followed by modifying the subsequent neural network structure and then retraining it, the 131purpose of improving the quality of the reconstructed image can still be 132133 achieved. In<sup>[24]</sup>, extensive research work using deep learning algorithms for 134 scattering inversion was given, and it was concluded that in the field of image scattering inversion, due to the lack of sample data, the mainstream method of 135136 deep learning algorithms for scattering inversion was to combine the traditional 137 reconstruction algorithm with the deep learning algorithm. Usually, traditional 138 theoretical methods are used for pre-reconstruction, and then the 139 reconstruction results as input data are collected to train the machine learning 140 model for prediction of high-quality reconstruction results.

141 Considering the application of deep learning algorithms, especially the 142 convolutional neural network algorithm in the field of image reconstruction, a 143 reconstruction physics-informed framework (PIF) quantitative defect 144 combining the existing theoretical model of guided wave defect reconstruction with deep learning algorithm is proposed in this paper. Using the results 145 146 obtained by the PI-based analysis of defect reconstructions as training data, 147feature representations of defect profiles are extracted by an effective deep 148 learning neural network, which is created using augmented datasets for its 149 computational efficiency and robustness. To demonstrate the ability of the 150 developed PIF for defect reconstructions in terms of the accuracy and 151denoising capability, numerical examples have been examined to evaluate the 152overall performance of the intelligent model by comparison of the published 153results.

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#### **2. PI-based analysis of defect reconstruction**



159The process of incident waves travelling through the thinning structures can be described in Fig.1. First, the ultrasonic guided SH-wave is excited on the right 160 161 side of the plate, and the reflection coefficient can be calculated from the 162 reflected wave signal. Following this, the inverse Fourier transform of the 163 reflection coefficient is then applied to analytically obtain the shape function of the defect for defect reconstruction<sup>[8]</sup>. The brief introduction of interactions 164 occurred when waves propagate in cracked frame structures steps can be 165166 depicted as follows:

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Assuming that the incident guided SH-wave in this problem has a single  $n^{th}$ mode, propagating from right to left and being reflected back by the thinning part, and the reflected wave with the same mode as the incident wave mode is observed in the far field. Starting from the wave equation in the plate and the corresponding boundary conditions<sup>[8]</sup>, the displacement field in the plate has been determined by Eq (1):

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$$\tilde{u}^{\text{inc}} = A_n^{\text{inc}} f_n(\beta_n x_2) e^{+i\xi_n x_1} , \quad \tilde{u}^{\text{ref}} = A_n^{\text{ref}} f_n(\beta_n x_2) e^{-i\xi_n x_1}$$
174  

$$\beta_n = n\pi/2b, \ \xi_n = \sqrt{\frac{\omega^2}{V_s^2} - \beta_n^2}$$
(1)

where  $\tilde{u}^{\text{inc}}$  and  $\tilde{u}^{\text{ref}}$  depict the displacement fields of incident and reflected waves, respectively, *n* represents the *n*th guided SH-wave mode ( $n = 0, 1, 2, \cdots$ ),  $A_n^{\text{inc}}$  and  $A_n^{\text{ref}}$  are the amplitude coefficients and  $f_n(x)$  is defined as:

179 
$$f_n(x) = \begin{cases} \cos x & \text{for } n = 0,2,4\\ \sin x & \text{for } n = 1,3,5 \end{cases}$$
(2)

180 Subsequently, the reflection coefficient is defined as the ratio of the two 181 coefficients:

182 
$$C^{\text{ref}} = A_n^{\text{ref}} / A_n^{\text{inc}}$$
(3)

Applying the reciprocal theorem of dynamics<sup>[25]</sup> and the Green's function  $\widetilde{U}(x, X)$  in the plate, the scattered displacement field is analytically derived using the boundary integral equation:

186 
$$\tilde{u}^{\text{sca}}(\boldsymbol{x}) = \int_{\mathcal{S}} [\tilde{u}^{\text{tot}}(\boldsymbol{X})\mu \frac{\partial \tilde{U}(\boldsymbol{X},\boldsymbol{x})}{\partial n(\boldsymbol{X})} - \mu \frac{\partial \tilde{u}^{\text{tot}}(\boldsymbol{X})}{\partial n(\boldsymbol{X})} \widetilde{U}(\boldsymbol{X},\boldsymbol{x})] ds(\boldsymbol{X})$$
(4)

187 where  $\tilde{u}^{\text{sca}}$  and  $\tilde{u}^{\text{tot}}$  represent the scattered and total displacement fields, 188 respectively. As the defect boundary is free,  $\partial \tilde{u}^{tot} / \partial n = 0$  can be easily 189 derived. For a weak scattering defect, the Born approximation can be applied 190 to replace the total wave displacement field  $\tilde{u}^{\text{tot}}(X)$  in Eq.(4) with the incident 191 wave field  $\tilde{u}^{\text{inc}}(X)$ . One has

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$$\tilde{u}^{\text{sca}}(\boldsymbol{x}) \approx \int_{S} \tilde{u}^{\text{inc}}(\boldsymbol{X}) \, \mu \, \frac{\partial \tilde{u}(\boldsymbol{X},\boldsymbol{x})}{\partial n(\boldsymbol{X})} \, ds(\boldsymbol{X}) \tag{5}$$

193 Using the Gauss theorem, the surface integral of the defect is converted into194 the integral over the volume of the defect:

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$$\tilde{u}^{\text{sca}}(\boldsymbol{x}) \approx \int_{V} \left[ -k^{2} \tilde{u}^{\text{inc}}(\boldsymbol{X}) \mu \tilde{U}(\boldsymbol{X}, \boldsymbol{x}) + \mu \frac{\partial \tilde{U}(\boldsymbol{X}, \boldsymbol{x})}{\partial X_{i}} \frac{\partial \tilde{u}^{\text{inc}}(\boldsymbol{X})}{\partial X_{i}} \right] dV(\boldsymbol{X})$$
(6)

where the Green's function  $\tilde{U}(x, X)$  represents the anti-plane displacement at the field point  $x = (x_1, x_2)$  due to a harmonic point force exerted at the source point  $X = (X_1, X_2)$  in an intact plate. The Green's function  $\tilde{U}(x, X)$  satisfies the equation of motion:

$$\nabla^2 \widetilde{U}(\mathbf{x}, \mathbf{X}) + k^2 \widetilde{U}(\mathbf{x}, \mathbf{X}) = -\delta(\mathbf{x} - \mathbf{X})/\mu$$

201 And the traction free boundary condition can be written as:

$$\widetilde{T}(\mathbf{x}, \mathbf{X}) = \mu \frac{\partial}{\partial n(\mathbf{x})} \widetilde{U}(\mathbf{x}, \mathbf{X}) = 0 \text{ on } x_2 = \pm b$$
(8)

where  $k = \omega/V_s$  is the shear wave number and  $\partial/\partial n$  indicates the normal derivative. The solution to Eq.(7), that is the Green's function  $\tilde{U}(x, X)$ , can be expressed as:

$$\widetilde{U}(\boldsymbol{x},\boldsymbol{X}) = \widetilde{U}^{\mathrm{inc}}(\boldsymbol{x},\boldsymbol{X}) + \widetilde{U}^{\mathrm{ref}}(\boldsymbol{x},\boldsymbol{X})$$

$$207 \qquad = \frac{1}{4\pi\mu} \int_{-\infty}^{\infty} \frac{e^{-R|x_2 - X_2|}}{R} e^{-i\xi(x_1 - X_1)} d\xi + \frac{1}{4\pi\mu} \int_{-\infty}^{\infty} (A^+ e^{-Rx_2} + A^- e^{+Rx_2}) e^{-i\xi(x_1 - X_1)} d\xi(9)$$

where  $\tilde{U}^{\text{inc}}(x, X)$  is the fundamental solution;  $\tilde{U}^{\text{ref}}(x, X)$  means the additional term.  $R = \sqrt{\xi^2 - k^2} (|\xi| \ge k)$  or  $i\sqrt{k^2 - \xi^2} (|\xi| \le k)$ .

Substituting Eq.(9) into Eq.(8), the undetermined amplitudes  $A^+$  and  $A^-$  can be solved. Thus,  $\tilde{U}(x, X)$  can be rewritten as:

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$$\widetilde{U}(\mathbf{x}, \mathbf{X}) = \frac{1}{4\pi\mu} \int_{-\infty}^{\infty} \left[ \frac{e^{-R|x_2 - X_2|}}{R} + \frac{e^{-2Rb}}{2R(1 + e^{-2Rb})} (e^{-RX_2} - e^{+RX_2}) (e^{-Rx_2} - e^{+Rx_2}) + \frac{e^{-Rx_2}}{R} \right]$$

213 
$$\frac{e^{-2Rb}}{2R(1-e^{-2Rb})}(e^{-RX_2}+e^{+RX_2})(e^{-Rx_2}+e^{+Rx_2})\Big]e^{-i\xi(x_1-X_1)}d\xi$$
(10)

For 
$$|x_1| \gg |X_1|$$
, the far-field expression for the Green's function is given as

215 
$$\widetilde{U}(\mathbf{x}, \mathbf{X}) \cong \widetilde{U}^{\text{far}}(\mathbf{x}, \mathbf{X}) = \frac{i}{4b\mu\xi_0} e^{-i\xi_0 |x_1 - X_1|} - \sum_j \frac{i}{2b\mu\xi_j} f_j(\beta_j x_2) f_j(\beta_j X_2) e^{-i\xi_j |x_1 - X_1|}$$

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217 where the functions  $f_n(x)$  is defined in Eq.(2).

Based on the far field approximation<sup>[8]</sup>, the Green's function  $\widetilde{U}(x, X)$  in a

traction-free plate waveguide for SH-wave can be expressed as:

220 
$$\widetilde{U}(\boldsymbol{X},\boldsymbol{x}) \approx \widetilde{U}^{\text{far}}(\boldsymbol{X},\boldsymbol{x}) = -\frac{i}{2b\mu\xi_n}\cos(\beta_n x_2)\cos(\beta_n X_2)e^{-i\xi_n(x_1-X_1)}$$
(12)

Substituting Eq.(1) and (12) into Eq.(6), the displacement field of the reflected wave can be formulated as follows:

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$$\tilde{u}^{\text{ref}}(\boldsymbol{x}) = \frac{i}{2b} A_n^{\text{inc}} \int_V \frac{\xi_n^2 + k^2 \cos(2\beta_n X_2)}{\xi_n} e^{2i\xi_n X_1} dV(\boldsymbol{X}) \times \cos(\beta_n X_2) e^{-i\xi_n X_1}$$
(13)

(11)

(7)

Comparing Eq.(1) with Eq.(13), it is noted that the integral term in Eq.(13) corresponds to the reflection coefficients, and the volume integral represents the multiple integrals. Thus, one obtain:

227 
$$C^{\text{ref}} = \frac{A_n^{\text{ref}}}{A_n^{\text{inc}}} = \frac{i}{2b} \frac{\xi_n^2 + k^2}{\xi_n} \int_{-\infty}^{+\infty} d(X_1) e^{2i\xi_n X_1} dX_1$$
(14)

where  $C^{\text{ref}}$  is the reflection coefficients and  $d(X_1)$  describes the defect profile.

In Eq.(14), it can be observed that  $C^{\text{ref}}$  and  $d(X_1)$  form a Fourier transform pair. Applying the inverse Fourier transform on Eq.(14), the defect profile  $d(X_1)$  is determined by Eq.(15)

$$d(X_1) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{-2ib\xi_n}{\xi_n^2 + k^2} C^{\text{ref}} e^{-2i\xi_n X_1} d(2\xi_n)$$
(15)

As  $d(X_1)$  is described in the spatial domain and  $C^{ref}$  in the wavenumber 234 domain, the defect reconstruction method aforementioned is called the 235 236 wavenumber spatial transformation(WNST)<sup>[8]</sup>. To derive Eq.(15), there are 237 some assumptions applied including the thinning defect as a weak scattering 238 source  $(d \ll b)$ , Born approximation to replace the total field near the defect 239 with the incident field, and the use of the far field approximation for calculating 240 the Green function of the bounded plate. These approximations can help 241 simplify the physics-informed formulations for defect reconstruction in an 242 efficient way, while it is inevitable to introduce model errors and reduce the 243 accuracy of reconstruction results.

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## **3. A novel physics-informed framework**

In order to improve the accuracy of the physics-informed modelling and eliminate the impact of noise pollution in the process of defect inspection and reconstruction, the fusion of a data-driven convolutional neural network (CNN) with the physics-informed analysis by the wavenumber spatial transformation method, called the WNSTConvNet framework, has been proposed in this paper for defect reconstruction.

252The physical process of using ultrasonic waves to detect defects can be 253described as follows: In the process of propagation of sound waves along the 254 medium, scattering will occur when encountering defects and this results in the 255transmission wave field and reflection wave field. Using the defect information 256 from the transmitted and reflected signals, defect detection or reconstruction 257 can be achieved. Therefore, guided wave defect reconstruction can be 258 attributed to a scattering problem. For a scattering problem, it can be simply 259 expressed by the following equation:

$$y = Tx + \xi \tag{16}$$

261 where x represents the scattering source that is assumed a thinning defect in 262 this study, y represents the scattering field signal, T is an operator and its properties depend on the specific scattering problem, and  $\xi$  is the error. The 263 264 task of inverse scattering problems is to calculate x based on y. The 265 traditional methods to solve this class of problems are divided into two 266 categories: The first group aims to directly construct the inverse problem 267 model, such as the wavenumber spatial transformation method (WNST) aforementioned. The corresponding mathematical formulation can be given as 268 269 follows:

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$$x = \hat{T}^{-1}y \tag{17}$$

where  $\hat{T}^{-1}$  is the theoretical reconstruction operator. The advantage of this 271272 method lies in that for the reconstruction of defects in simple structures, the 273 calculation of the inverse scattering can be performed in a short time, while the 274 disadvantage of this method could be difficult to obtain accurate results due to 275 the ill-posed inverse problems. In particular, when the scattering problem 276 becomes complex, it will be extremely difficult to develop the reconstructed 277 model, therefore the reconstruction accuracy and reliability will be affected. 278 The second class of methods for solving the inverse scattering problem is called the iterative-based method, such as the QDFT<sup>[10]</sup> and the mathematical 279 280 formulation can be expressed as:

281 
$$O\{y\} = \arg\min_{x} f(T\{x\}, y)$$
 (18)

282 Where the function f is used to characterize the error between  $T{x}$  and y. 283 The iterative-based method has the ability to obtain accurate results and its 284 disadvantage is that the efficiency of defect reconstruction is low due to a lot of 285 computational time required by the iterative process.

In this paper, the approach based on machine learning is proposed to solve the inverse scattering problem. The inverse problem model, which is constructed through the training session, can be created in a mathematical form as follows:

290 
$$L = \arg\min_{\theta} \sum_{n=1}^{N} M(x_n, H_{\theta}\{y_n\}) + r(\theta)$$
(19)

where  $x_n$  is the exact defect;  $y_n$  denotes the reflection coefficients; the symbol *M* is the loss function for characterizing the difference between samples  $x_n$  and  $H_{\theta}\{y_n\}$ ;  $H_{\theta}$  is the neural network built for solving the inverse problem,  $\theta$  is the parameter in the neural network and is iteratively updated during the entire training process; *N* represents the total number of pairs in training samples; *r* is a regularization term, which prevents over-fitting and also limits the value of parameter  $\theta$  to reduce the complexity of the trained network model  $H_{\theta}$ . After training is completed, the network can achieve high reconstruction accuracy with a high level of efficiency.

In order to make full use of the existing defect reconstruction theory, the integration of the theoretical model (WNST) with machine learning methods is proposed in a manner of local fusion to efficiently and accurately solve defect reconstruction problem using the ultrasonic guided waves. The mechanism of this novel WNSTConvNet framework can be mathematically described as:

305 
$$L = \arg\min_{a} \sum_{n=1}^{N} P(x_n, L_{\theta}\{\hat{T}^{-1}y_n\}) + g(\theta)$$
(20)

where the training sample pair is  $(x_n, \hat{T}^{-1}y_n)$ , in which  $x_n$  is the exact defect 306 and  $\hat{T}^{-1}y_n$  represents the defect constructed by the physics-informed 307 308 construction model; The mean square error (MSE) is selected to evaluate the 309 performance function P during the training session;  $L_{\theta}$  is the WNSTConvNet 310 framework, but its argument is the pre-reconstruction;  $L_2$  regularization function is adopted to determine the regularization term  $g(\theta)$  to reduce the 311 complexity of the model and prevent overfitting. In this study, the initial results 312 313 obtained by the physics-informed model are treated as training data for the 314 generation of the machine learning model to improve the accuracy of defect 315 reconstruction. The developed framework architecture and training process 316 designed in this paper are shown in Fig.2.



Fig.2 Schematic illustration of the reconstruction pipeline and the WNSTConvNet convolutional architecture. First, a set of reflection coefficients  $y_n$  have been calculated in the scattering process for the given different exact defects  $x_n$ . Then, the input of the reflection coefficients  $y_n$  for the theoretical model WNST has been to obtain the pre-reconstruction defects. Next, the pairs of  $\{(x_n, \hat{T}^{-1}y_n)\}_{n=1}^N$  have been used to train the WNSTConvNet network  $L_{\theta}$  with the performance function P and the regularization method g (L<sub>2</sub> regularization indeed).

323 Once the training is completed, the deep-learning network  $L_{\theta}$  has the ability to efficiently predict the 324 high-quality reconstruction of defects for an unknown defect signal  $\hat{T}^{-1}y$ .

325

326 Since the input samplings are one-dimensional signals, a one-dimensional 327 deep learning network is constructed. The training process in Fig.2 could be 328 described as follows: First, the pre-reconstructed defects  $\hat{T}^{-1}y_n$  are obtained by WNST and utilized as the network inputs. Then, the mean square errors 329 330 (MSE) between the exact defects  $x_n$  and the predicted profiles by the intelligent network are calculated to update the network parameter  $\theta$  until the 331 332 average MSE value of the entire sample set converges. Once the training 333 session is completed, the deep-learning network has the ability to efficiently 334 predict the high-quality reconstructed defect for a given defect signal  $\hat{T}^{-1}v_n$ . In this developed network, ReLU<sup>[26]</sup> activation function is used for each 335 convolutional layer. In order to address the problem of gradient disappearance 336 337 encountered during the training session, the batch normalization is performed before the activation<sup>[27]</sup> to improve the training efficiency. To prevent overfitting, 338 339 a dropout layer<sup>[28]</sup> is added at the end of the network to discard some training 340 parameters and improve its robustness. At the same time,  $L_2$  regularization terms are applied to limit the training parameters and improve the 341 342 generalization performance of the developed network for defect reconstruction. 343 Based on the fusion of physics-informed calculations and predictions by 344 deep-learning intelligent network, the developed WNSTConvNet framework 345 which has been implemented in Python using the TensorFlow library<sup>[29]</sup> 346 demonstrates the outperformance over its rivals for defect reconstructions 347 throughout the complex examples in the following section.

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# 349 **4. Experimental validation**

In this paper, two sets of sample data are generated to train the intelligent
 network in the WNSTConvNet framework for defect reconstruction with high
 levels of accuracy and robustness.

## 353 **4.1. Data preparation**

354 A mixed defect dataset that contains 1200 defect profiles including randomly isosceles triangular defects, rectangular defects and stepped defects, is 355 356 created. Each type of predefined defect shapes comprises two groups of data: one is the input sample  $\hat{T}^{-1}y_n$ , and the other is the referenced real defect  $x_n$ . 357 Each sample is represented as a vector with the dimension of  $100 \times 1$ , and 358 359 the items in the vector depicts the depth information of the defect. For the input 360 data of the WNSTConvNet framework, it is obtained by analytical calculations as follows: The reflection coefficients of the 0<sup>th</sup> SH-wave mode corresponding 361 to the exact defect are obtained by the modified boundary element 362 363 method<sup>[30]</sup>(MBEM) for all the examples in this paper. In practice, 364 multi-dimensional Fourier Transforms and the frequency-wavenumber filtering

can be applied for the incident wave removal and mode separation<sup>[31-32]</sup>. 365 366 Following that, the shape function  $d(X_1)$  of the defect is constructed by the 367 wavenumber spatial transformation (Eqs.14-15), which deals with the input 368 data required. Among 1200 sets of sampling data, the dataset split ratio (0.9) has been applied. That is to say, 900 samples are used for network training, 369 370 210 samples are used for the verification purpose during the training process 371 and 90 samples are used for performing the unbiased evaluation of a final 372 model once the training session is completed.

373 To further improve the performance of network and reconstruct more 374 complex defect. the augmented dataset is generated. First, the 375 pre-reconstruction isosceles triangular and rectangular defects with random 376 sizes and shapes have been created using the wavenumber spatial 377 transformation method formulated by Eqs. (14-15). Then, the augmented data 378 has been generated by randomly shifting the signals in the horizontal direction. 379 Summarily, there are 2800 sets of sampling data including 800 original inputs 380 and 2000 augmented data for the network training, verification and testing.

### 381

### 382 **4.2. Experimental results**

Once the network training is completed, the reconstruction of defects with simple defective geometries, the stepped geometries and a mixed type of profiles will be conducted. In order to quantify the difference between the reconstructed defect and the real defect, the signal-to-noise ratio(SNR)<sup>[33]</sup> used as loss function to measure the reconstruction quality is proposed as follows:

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$$SNR(\boldsymbol{x}, \widehat{\boldsymbol{x}}) \triangleq \max_{a \in R} \left\{ 10 \log_{10}(\frac{\|\boldsymbol{x}\|_{l_2}^2}{\|\boldsymbol{x} - a\widehat{\boldsymbol{x}}\|_{l_2}^2}) \right\}$$
(21)

389 where x is the real defect, and  $\hat{x}$  is the predicted reconstruction of defect. A 390 higher SNR value corresponds to a better reconstruction. Note that the vector 391 x or  $\hat{x}$  used to characterize the defect shape in this study is actually the 392 spatial distribution of the defect shape in the entire detection range, including 393 the defect region and the defect-free region. The purpose of this proposed 394 measure criteria lies in not only the investigation of the influence of the noise 395 and error on the reconstructed defect quality in the defective area, but also the 396 impact on the reconstruction result in the non-defective area.

397

### 398 **4.2.1. A mixed dataset of defects**

The convolutional neural network is initially constructed during the training session using the mixed type of defects described in Section 4.1. The reconstructed results of triangular defects, rectangular defects and stepped defects have been shown in Fig.3 and the SNR values obtained are provided in Table 1. It is noted that the WNSTConvNet framework has the ability to achieve defect reconstruction with a high level of accuracy. Especially, for rectangular and stepped defects, the SNR value reached about 28dB. The 406 average SNR value of the reconstruction results across the entire testing
407 dataset is 23.95dB, which enables the improvement of reconstruction results
408 and leads to nearly 200% higher precision than the result by WNST.



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Fig.3 Reconstruct triangular defect (a), rectangular defect (b) and stepped defect (c) using the proposed WNSTConvNet framework

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Reconstruction	Triangular	Rectangular	Stepped	Average SNR over
methods	defects	defects	Defects	the dataset
WNST	9.25	8.13	7.88	8.20
WNSTConvNet	20.29	28.40	28.03	23.95

414

## 415 **4.2.2. Augmented datasets**

416 Insufficient data is a critical issue that limits the application of machine 417 learning methods in engineering subjects. In this situation, the generation of an 418 augmented dataset by data augmentation method can fully dig out the 419 information hidden owing to the limited data. In this experiment, the 2800 sets 420 of augmented data have been used to train and verify the intelligent network. 421 During the training session, the hyperparameters of the network have been 422 finely tuned to reconstruct the asymmetric defects that are created by the 423 combination of triangular and rectangular defects to improve the network with 424 better generalization performance. The reconstruction results of two 425 asymmetric combined defects have been shown in Fig.4a and Fig.4b. In Table 426 2, the obtained SNR values have been provided as compared to results from 427 WNST. It can be observed that the network trained using triangular defects, 428 rectangular defects, and their augmented data has the ability to reconstruct 429 general asymmetric defects and the reconstruction accuracy has been 430 remarkably improved by comparison of the results from the WNST method. It 431 has been concluded that the network designed by the WNSTConvNet 432 framework has demonstrated good generalization ability throughout the 433 examples and the developed data-driven model that fuses the geometrical 434 information of defects and initial results by the physics-informed analysis of 435 defect reconstructions, has the capability to efficiently and effectively assess 436 and characterize defects with complex profiles.

437 The major bottleneck in engineering applications of deep learning is the 438 limited amounts of the effective data. In this study, the data-driven network 439 model has been trained using defects with the basis profiles to realize the 440 reconstruction of defects with complex profiles. However, it is very challenging to achieve the reconstruction with a high level of precision. In order to address 441 442 this issue, additional 28 (about 1% of the original number of samples) defects 443 with complex profiles representing the combination of triangular and 444 rectangular shapes have been added to the training set for the improvement of the network with better generalization performance. This also empowers the 445 network with the learning capability by taking the advantage of transfer 446 learning<sup>[34]</sup>. Therefore, there are 2828 sets of training data to build the effective 447 448 machine learning model for the high-precision defect reconstruction. The reconstruction results of complex defect profiles have been shown in Fig.4c 449 and the SNR value of the reconstruction result which is 22.52dB has been 450 451 given in Table 3.



Fig.4 **a**, **b** Two reconstruction samples using neural network trained with 2800 augmentation samples. **c** Reconstruction result using neural network trained with 2828 augmentation samples which including 28 combination defects samples.

Table 2 (	Comparison of SNR (dB) values of reconstruction results of the two methods
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Reconstruction methods	Sample a	Sample b	Average SNR over the dataset
WNST	8.79	8.87	7.54
WNSTConvNet	21.62	16.65	17.03

<sup>458</sup> 

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456 457

459 Table 3 SNR(dB) values of reconstruction results of neural network trained with additional 28 combination

460	defects samples		
_	Reconstruction	Sample c	Average SNR over
_	methods	Sample c	the dataset
_	WNST	7.64	7.54
	WNSTConvNet	22.52	21.33

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462

### 464 **4.2.3. Reconstruction of noisy defects**

465 In order to ensure the robustness of this data-driven defect reconstruction model, the network trained by the augmented datasets, which include 2800 466 defect samples, is constructed to detect defects using signals in Gaussian 467 white noise. First, Gaussian white noise with a signal-to-noise ratio of 15dB 468 469 has been added to the input signals of WNSTConvNet, and then the trained 470 deep-learning network has been examined for the denoising capability and 471 defect reconstruction ability. In Fig.5a, defects with the noisy fringe and the predicted results by WNST and the developed deep-learning network have 472 been provided. Table 4 shows the average SNR values (7.13dB and 13.86dB) 473 474 of reconstructed results over the entire testing data by WNST and the 475 WNSTConvNet framework, respectively. It is noted that the accuracy has been improved by nearly 100%, which demonstrates that the WNSTConvNet 476 framework has great self-learning denoising capability. In order to further 477 478 improve the denoising capability of the WNSTConvNet framework, a dataset of 479 2800 augmented signals containing 15dB of Gaussian white noise has been 480 labelled as the training data to generate a more powerful, intelligent network. It can be observed that the denoising capability of the updated WNSTConvNet 481 482 framework has been much improved as the reconstructed defect by the 483 WNSTConvNet framework is in good agreement with the real defect and 484 outperforms the result by WNST shown in Fig.5b and the accuracy of defect 485 reconstruction can reach 17.66dB provided in Table 5.



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487 488 a

Fig.5 **a** Reconstruction result of defect from noisy signals using neural network trained with 2800 augmentation samples. **b** Reconstruction result of defect from noisy signals using neural network trained with 2800 augmentation noise-containing samples.

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Table 4 SNR(dB) values of reconstruction results of defect from noisy signals using neural network trained with
2800 augmentation samples

Reconstruction methods	Sample a	Average SNR over the dataset
WNST	7.35	7.13
WNSTConvNet	14.62	13.86

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Table 5 SNR(dB) values of reconstruction results of defect from noisy signals using neural network trained with

2800 augmentation noise-containing samples			
Reconstruction methods	Sample b	Average SNR over the dataset	
WNST	7.35	7.13	
WNSTConvNet	18.04	17.66	

496

#### 497 **5. Discussion**

498 Simulation results prove the effectiveness and robustness of the WNSTConvNet framework for defect reconstruction with remarkable denoising 499 500 capability. As compared with results by the WNST method based on the 501 guided wave scattering theory, the WNSTConvNet framework which integrates 502 the data-driven model with the physics-informed analysis has greater 503 performance in terms of efficiency and denoising capability, while the 504 reconstructed result is closer to the real defect profile. This is of great 505 significance to the area of high-precision defect detection in engineering. At 506 the same time, the great robustness of the WNSTConvNet framework can be 507 demonstrated by the effective removal of samples mixed with noise during the defect reconstruction process. Therefore, it can improve the quality of 508 509 reconstructed defects. On the other hand, removing the noise from the signals 510 representing the features of the defect-free area also benefits the identification 511 of the exact location of defects. It is noted that using the WNSTConvNet 512 framework for reconstruction of defects, it takes less than one second to achieve the defect reconstruction with a high level of accuracy. 513

514 The limitation of the defect reconstruction method based on the supervised 515 learning algorithm lies in the fact that the generated network architecture can 516 only work on information that is either provided in the initial guess or extracted 517 from the training data. For example, the neural network trained using the 518 triangular datasets has a poor capability of prediction for reconstruction of 519 rectangular defects. According to the first experimental test, one of the 520 solutions to address this problem in practical applications is to train the neural 521 network with datasets of a variety of typical geometrical information. Moreover, 522 a classification layer followed by the reconstruction layer can be elaborately 523 added in the design of network architecture so that the ensemble of different 524 types of pre-reconstruction defects predicted by the corresponding 525 convolutional neural network can be further developed in the network 526 architecture for the improvement of computational accuracy. Another 527 constraint on using neural networks to reconstruct defects is the need for a 528 large amount of training data to guarantee the reliability of the predicted results. 529 At present, the amount of relevant training data obtained from practical 530 engineering applications is inadequate and the cost of obtaining data through 531 experiments is also prohibitively expensive. Therefore, simulation results as a

source of data to train a neural network is a feasible method in practice tosolve data problems.

534 The WNSTConvNet framework proposed in this paper is the fusion of the 535 physics-informed wave scattering analysis and the data-driven approach for defect reconstruction and its working mechanism has not been constrained by 536 537 the type of theoretical model and the machine learning model. In this paper, 538 the wavenumber spatial transformation(WNST) and the convolutional neural 539 network (CNN) are selected as representative models to demonstrate the effectiveness and correctness of the proposed framework for reconstruction of 540 541 complex defects.

542

# **543 6. Conclusion**

544 This paper proposes a novel physics-informed quantitative defect 545 reconstruction framework (WNSTConvNet), which integrates the wavenumber 546 spatial transformation method (WNST) with a convolutional neural network in a 547 local fusion manner. Throughout three complex experiments by comparison of 548 the reconstruction results between WNSTConvNet and WNST, it has 549 demonstrated that the WNSTConvNet framework is more effective, accurate and robust for reconstruction of complex defects. Results by WNSTConvNet 550 551have an average reconstruction accuracy of 20dB for the three types of 552 defects, which demonstrates its good generalization performance. Especially, 553 for the reconstruction of rectangular defects and stepped defects, the accuracy 554 of reconstructions by WNSTConvNet has been improved by nearly 200% than 555 the result by WNST. Moreover, considering the signal with Gaussian noise for the combined defect profiles, the WNSTConvNet framework has great 556 557 denoising capability, which proves that the developed framework has good robustness for reconstruction of defects. Usually, the defect reconstruction 558 559 process by WNSTConvNet can be completed within 1 second. Therefore, it's a 560 high-precision and high-efficiency quantitative defect reconstruction technique as compared to the analytical methods. In future work, experimental tests will 561 be performed as an alternative to numerical simulations for the validation of 562 563 the defect reconstruction method. Currently, the proposed framework has 564 provided both useful guidelines to experimental tests throughout the numerical 565 examples and valuable insights into the development of artificial 566 intelligence-assisted inspection systems with high accuracy and efficiency in 567 the fields of structural health monitoring and product life cycle prediction.

568

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# 579 Availability of data and materials

- 580 The data that support the findings of this study are available on request from 581 the corresponding author.
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