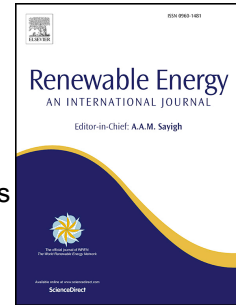


# Journal Pre-proof

PV temperature and performance prediction in free-standing, BIPV and BAPV incorporating the effect of temperature and inclination on the heat transfer coefficients and the impact of wind, efficiency and ageing

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## CRedit author statement

**S. Kaplanis:** Conceptualization, Methodology, Investigation, Resources, Writing- Original draft preparation, Writing- Reviewing and Editing. **E. Kaplani:** Methodology, Software, Validation, Formal Analysis, Investigation, Data curation, Writing- Original draft preparation, Writing- Reviewing and Editing. **J. Kaldellis:** Investigation, Validation, Resources, Writing- Reviewing and Editing.

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1 **PV temperature and performance prediction in free-standing, BIPV and BAPV**  
 2 **incorporating the effect of temperature and inclination on the heat transfer**  
 3 **coefficients and the impact of wind, efficiency and ageing**

4  
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12  
 13 **Abstract**

14 A novel compact model is developed to predict the PV temperature  $T_{pv}$ , coefficient  $f$  which relates  $T_{pv}$   
 15 with the in-plane solar irradiance  $I_T$ , and power output  $P_m$ . The  $T_{pv}$ ,  $I_T$ , ambient temperature  $T_a$ , and wind  
 16 velocity  $v_w$  on a sun-tracking pc-Si PV and c-Si BIPV were monitored.  $f$  depends explicitly on  $v_w$ , PV  
 17 efficiency, heat losses coefficient, and implicitly on  $T_{pv}$ ,  $I_T$ ,  $T_a$ , loosely on the module inclination at low  
 18  $v_w$ , while this effect weakens at high  $v_w$ .  $T_{pv}$  prediction is provided by means of 5 functions, which cater  
 19 for the deviation of the environmental conditions from the Standard Operating Conditions, the operating  
 20 efficiency, the natural ageing, PV geometry and cell technology. The  $T_{pv}$  prediction for the sun-tracking  
 21 system has relative error 2.6% for PV operating temperatures around the NOCT, and may overestimate  
 22 by up to 1.4°C. Similarly, the relative error for the BIPV system is -2.1% for PV temperatures around  
 23 the NOCT, with underestimation up to 1.6°C. The model predicted  $P_m$  with relative error 1.9% for PV  
 24 operating near its nominal value. The model is compared to 3 well-known models and also applied to  
 25 other BIPV/BAPV configurations in various countries proving its wide applicability, high accuracy and  
 26 universality.

27  
 28 **Keywords:** PV temperature prediction, PV power prediction, BIPV, temperature and wind effect,  
 29 inclination effect, PV ageing

30

Nomenclature			
BAPV	Building Adapted PV	$T_{sky}$	sky temperature (K)
BIPV	Building Integrated PV	$U_f$	heat losses coef. due to convection and IR radiation at the front side of the PV module ( $W/m^2K$ ), equal to $h_{c,f}+h_{r,f}$

$F_{pv-sky,f}$ $F_{pv-gr,f}$	View factor of the front PV surface to sky and to ground, respectively	$U_b$	heat losses coef. due to convection and IR radiation at the back side of the PV module ( $W/m^2K$ ), equal to $h_{c,b}+h_{r,b}$
$F_{pv-sky,b}$ $F_{pv-gr,b}$	View factor of the back PV surface to sky and to ground, respectively	$U_{pv}$	The overall heat losses coefficient from a PV ( $W/m^2K$ ), equal to $U_f+U_b$
Gr	Grashof number	$U_{pv,SOC}$	$U_{pv}$ at SOC ( $W/m^2K$ )
$I_T$	Global solar radiation intensity on the PV plane ( $W/m^2$ )	$f$	Coefficient relating PV module temperature with ambient temperature and solar irradiance on the PV plane
$I_{T,SOC}$	Global solar radiation intensity at SOC conditions, $800 W/m^2$	$h_{c,f}$	heat convection coefficient from PV glass to air ( $W/m^2K$ )
$I_{T,ref}$	Reference solar irradiance equal to $10^3 W/m^2$	$h_{r,f}$	Radiative heat coefficient from the front PV side ( $W/m^2K$ )
L	length of the PV module in the direction of the air flow along its front or back side, or as otherwise stated in the text (m)	$h_{c,b}$	heat convection coefficient from PV back surface to air ( $W/m^2K$ )
NOCT	Nominal Operating Cell Temperature	$h_{r,b}$	Radiative heat coefficient from the PV back side to environment ( $W/m^2K$ )
Nu	Nusselt number of the air flow either in the front or back side of the PV module, to be stated	$k_i$	thermal conductivity of material i ( $W/mK$ )
$P_m$	maximum power output at operating conditions (W)	$r_{ageing}$	percentage of overall degradation due to ageing
$P_{m,STC}$	maximum rated power at STC (W)	$v_w$	wind velocity (m/s)
$P'_{m,STC}$	maximum power at STC for the year of operation (W)	$\Delta T$	Temperature difference between PV and air bulk temperature, $T_{pv}-T_o$
$P_{m,sys}$	final power output of the PV system	$\Delta T_{c-b}$	Temperature difference between cell and back, $T_c-T_b$
Pr	Prandtl number	$\beta$	PV module inclination angle with reference to horizontal
$\dot{Q}$	the normalized to $m^2$ heat rate ( $W/m^2$ )	$\delta T_{pv}$	Difference between the PV temperature and its temperature at SOC, $T_{pv}-T_{SOC}$
Ra	Rayleigh number, $Ra = Gr Pr$	$\delta x$	Layer thickness (m)
SF	Scaling factor multiplied with $f$ to adapt the model to BIPV/BAPV configurations	$\epsilon_{losses}$	percentage of power conditioning losses at system level
SOC	Standard Operating Conditions	$\epsilon_{sky}, \epsilon_{pv}, \epsilon_b,$ $\epsilon_{gr}$	emissivity coefficients for the sky, the PV glass, the back surface and ground respectively
STC	Standard Test Conditions	$\eta_{pv}$	PV module nominal efficiency
$T_{pv}, T_c$ $T_f, T_b$	PV module temperature, PV semiconductor temperature, PV front side and PV back side temperatures, respectively	$\eta_{pv,SOC}$	module efficiency at SOC in the year of operation for the module used in the development of the model
$T_{pl}$	the indoor plank/plaster temperature	$\eta_{pv,STC}$	module efficiency at STC in the year of operation
$T_a$	ambient temperature ( $^{\circ}C$ or $K$ as specified)	$\eta_{pv,n}$	efficiency at SOC for the module to be tested
$T_{bl}$	film temperature of the air boundary layer at the PV module side front or back (K)	$\theta$	PV module inclination with respect to vertical
$T_{gr}$	ground surface temperature (K)	$\nu$	kinematic viscosity of the air ( $m^2/s$ )

31

32

33 **1. Introduction**

34 The development of temperature profiles,  $T_{pv}$ , in PV modules operating in field conditions was studied  
35 since many years due to its importance on the PV performance studies. Various formulas have been

36 proposed as outlined in [1] as it concerns the  $T_{pv}$  and the power output,  $P_m$ , prediction. The  $T_{pv}$  profiles  
 37 are well understood by building a simulation model based on the Energy Balance Equation (EBE), for  
 38 transient and/or steady state conditions, taking into account the power and heat generated within a PV  
 39 module operating under a global solar radiation intensity on it,  $I_T$ , at ambient temperature,  $T_a$ , and wind  
 40 speed,  $v_w$  [2-9]. A large number of research articles deal with the prediction of the PV module or PV  
 41 cell temperature  $T_{pv}$  and  $T_c$ , respectively, for cell types such as mc-Si, pc-Si, a-Si, CIS, CdTe [10]  
 42 providing comparisons with other models and with measured values and investigating the effect of  
 43 various external factors, as discussed below. In [11-14], the differences between  $T_{pv}$ ,  $T_c$ ,  $T_b$  and  $T_f$  which  
 44 stand for the module temperature, the cell semiconductor layer temperature, and the module's back and  
 45 front side temperatures, are argued.  $T_b$  is measured in the experiments and is usually referred as  $T_{pv}$  or  
 46  $T_m$ . Finally,  $T_c$  is estimated [11]:

$$47 \quad T_c = T_b + \frac{I_T}{I_{ref}} \cdot \Delta T_{c-b} \quad \text{where } \Delta T_{c-b} = T_c - T_b \quad (1)$$

48  $I_T$  is the intensity of the global solar radiation on the module and  $I_{ref}=10^3 \text{ W/m}^2$ . The value of  $\Delta T_{c-b}$  is  
 49 taken equal to 2-3°C according to [11,12], while in [6] the  $\Delta T$  difference was defined instead as  $(T_f - T_b)$   
 50 equal to 3°C. Based on the heat flow continuity from the semi-conductor to the PV back side the  
 51 following formulas may be used to determine  $T_c$  from  $T_b$  which is the temperature usually measured.

$$52 \quad T_c = T_b + \dot{Q} \cdot \Sigma(\delta x_i/k_i) = T_b \left( 1 + \frac{\Sigma(\delta x_i/k_i)}{U_b^{-1}} \right) - T_a \frac{\Sigma(\delta x_i/k_i)}{U_b^{-1}} \quad (2)$$

53  $\dot{Q}(\text{W/m}^2)$  may be assumed to a good first approximation as half of the heat rate generated in the  
 54 semiconductor, in glass-glass or glass-temlar module technology, estimated equal to  $0.5(1-\eta_{pv})/I_T$   
 55 neglecting a small fraction of reflected radiation. This assumption is valid because the heat conduction  
 56 resistance from the cell to the front and to the back side and the corresponding convection and radiative  
 57 heat coefficients do not differ so much to each other.  $\Sigma(\delta x_i/k_i)$  is the total resistance per  $\text{m}^2$  due to heat  
 58 conduction in the layers from the semiconductor to the back surface and  $U_b$  is the heat losses coefficient  
 59 (convection and radiated heat) from the PV back surface. For insulated PV back surfaces or low  $U_b$  both  
 60 expressions in eq.(2) result to  $T_c=T_b$ . Giving appropriate values to the physical quantities [5,6], in eq.(2)  
 61 results to  $\Delta T_{c-b}=T_c - T_b = 2^\circ\text{C}$  for  $I_T=10^3\text{W/m}^2$ . This value depends also on the material of the cell layers,  
 62 i.e. their conductivity coefficients and their thickness and also on the wind speed which strongly affects  
 63  $U_b$ . The assumption  $T_c=T_b=T_f$  in [15] is a gross approximation which has to be argued on the basis of  
 64 the difference between predicted and measured  $T_b$  which for most models is higher than the expected  
 65 intra-cell temperature difference,  $\Delta T_{c-b}=2-3^\circ\text{C}$ .

66 In the group of physics-based models for the  $T_c$  prediction a set of equations is formulated including  
 67 the EBE at steady and/or transient conditions coupled with equations on heat propagation from the  
 68 semiconductor layer to the front and back surfaces and then to the environment [3,7,16,17]. It is

69 important to take into account the radiated heat exchanged between PV surface and sky/ground. Such a  
 70 set of equations which take into account all the environmental conditions constitute a complete  
 71 simulation model [2,3,8,9,18-20]. However, the heat convection and the radiated heat coefficients used  
 72 do not cover sufficiently the whole range of the environmental conditions. More elaborated analysis  
 73 was outlined in [3,17,21,22] and provided better  $T_c$  predictions. In a second group, the so called grey  
 74 models, electric and heat transfer parameters associated to the operating PV module are introduced into  
 75 an EBE and a regression analysis of recorded data from monitored quantities is applied for the  
 76 development of semi-empirical models for  $T_c$  prediction. Those (semi)-empirical  $T_{pv}$  prediction models  
 77 are categorized as explicit and implicit ones. Implicit models are the ones which provide  $T_{pv}$  through  
 78 variables which depend on the  $T_{pv}$  itself as it is the PV efficiency,  $\eta_{pv}$  and the heat losses coefficients,  
 79  $U_f$ ,  $U_b$  or  $U_{pv} = U_f + U_b$ . The latter coefficients are  $T_{pv}$  dependent mainly due to the radiative heat  
 80 coefficients,  $h_{r,f}$  and  $h_{r,b}$  for the front and back PV side and strongly dependent on  $v_w$  through the heat  
 81 convection coefficients,  $h_{c,f}$  and  $h_{c,b}$ , for the front and back PV module sides, respectively.  $h_{c,f}$  and  $h_{c,b}$ ,  
 82 are loosely dependent on  $T_{pv}$  and  $T_a$ . The most common  $T_c$  or  $T_{pv}$  prediction empirical formulas of  
 83 explicit and implicit structure which appear in PV performance model comparisons [1,12,19-24] take  
 84 the forms summarized in Table 1.

85 Table 1: PV temperature prediction explicit and implicit empirical models

Model	Reference	Equation
$T_c = T_a + \frac{I_T}{I_{T,NOCT}} (T_{NOCT} - T_{a,NOCT}) F(U_{pv}) F' \left( \frac{\eta_{pv}}{T_a} \right)$	[13,14,25,26]	(3)
$T_c = T_a + \frac{I_T}{I_{T,NOCT}} (T_{NOCT} - T_{a,NOCT}) \frac{U_{pv,NOCT}}{U_{pv}} \cdot \left( 1 - \frac{\eta_{pv}}{(\tau\alpha)} \right)$	[1,13]	(4)
$T_c = T_a + f I_T$	[27-29]	(5)
$T_c = T_a + a I_T (1 + \beta T_\alpha) F(v_w) F'(\eta_{pv})$	[30]	(6)
$T_c = T_a + \frac{I_T}{(U_o + U_1 v_w)}$	[31,32]	(7)
$T_c = T_a + I_T \exp(a + b v_w)$	[11, 33]	(8)

86  
 87 In the above models, the thermal radiation exchanges between the PV module and the environment  
 88 were not adequately considered despite the considerable temperature difference between the PV module  
 89 and the sky-ground environment. The assumption argued in [13], by which a  $T_c$  prediction model, eq.(3),  
 90 might be converted from implicit to explicit, by dropping the factors  $F(U_{pv})$  and  $F'(\eta_{pv}/(\tau\alpha))$  as not  
 91 essentially affected by  $T_c$  and  $v_w$ , is not always valid because  $v_w$  and  $T_c$  may take values which  
 92 substantially affect the  $T_c$  result. Deviations between the predicted  $T_c$  values by various models and  
 93 measured ones are shown in [23,24,34]. It was concluded that the NOCT model outlined in [35] deviates  
 94 more as it does not take into account the  $v_w$  effect, while the rest of the aforementioned models consider

95 a linear dependence of  $h_{c,f}$  and  $h_{c,b}$  on  $v_w$ . However, these do not fit well in the whole range of  $v_w$  values  
 96 as discussed in [17], where the PV module geometry and the wind speed and direction have to be taken  
 97 into account in order to predict the parameter  $f$  introduced in eq.(5), which is known as the Ross  
 98 coefficient and relates  $T_c$  with  $T_a$  and  $I_T$  [27].

99 A third group of methodologies applies ANN for the  $T_c$  prediction resulting in eq.(9), [22,36,37].  $T_c$  is  
 100 predicted as a function of  $T_a$ ,  $I_T$ ,  $v_w$ , wind direction and humidity. In the ANN approach it is necessary  
 101 to train the model to the site environmental conditions, the specific mounting scheme, the structural  
 102 details, geometry and the type of PV cell, in order to obtain suitable parameters for the  $T_c$  prediction,  
 103 independent, if possible, of the site and the technology type, as claimed in [36].

$$104 \quad T_{pv} = 0.943T_a + 0.028I_T - 1.528v_w + 4.3 \quad (9)$$

105 In [24], a  $T_c$  prediction formula different to eq.(9) has been derived through ANN for the floating PV  
 106 modules. This justifies the argument on the specific training requirements for the ANN methodologies  
 107 applied for the  $T_c$  prediction and that a general purpose  $T_c$  prediction model is absolutely required.

108 An investigation of the  $v_w$  effect on  $T_{pv}$ , (practically equal to  $T_b$  and  $T_c$ ),  $\eta_{pv}$  and  $P_m$  was outlined in  
 109 [34,38-41] where the models [13,14,32,33] and the NOCT were applied in mc-Si, pc-Si, a-Si and CdTe  
 110 modules. The factors  $U_o$  and  $U_1$  used in [32] were adopted from [31]. The NOCT model provided higher  
 111 values as it does not take into account the  $v_w$  effect in the module cooling, as mentioned above. The  
 112 other models exhibited coefficient of determination,  $R^2$ , between predicted and measured  $T_c$  from 0.85  
 113 to 0.96. However, the implicit nature expressed by  $T_{pv}(I_T, T_a, \eta_{pv}(T_{pv}, I_T, v_w), U_{pv}(T_{pv}, I_T, v_w))$ , the module  
 114 inclination, and the wind direction have not been considered adequately enough. Indeed,  $v_w$ , and  $I_T$ , have  
 115 a 2<sup>nd</sup> order effect on  $T_{pv}$  through their effect mainly on  $\eta_{pv}$  and  $U_{pv}$  and this has to be accounted for. In  
 116 [42], the role of  $I_T$ ,  $v_w$ ,  $T_a$  and the solar spectrum in the PV performance, as well as their impact on  $T_{pv}$   
 117 in c-Si and CdTe cells have been studied taking into account the coefficients  $U_o$  and  $U_1$  [31,32]. A  
 118 simpler  $T_{pv}$  implicit formula was developed [14] based on the EBE taking into consideration  $T_{pv}$   
 119 dependent PV coefficients and not the NOCT as it was done in [11,25]. In the above referenced models,  
 120 the PV heat losses coefficient  $U_{pv}(v_w)$  was assumed to be linearly dependent on  $v_w$  which does not hold  
 121 for natural heat air flow as it was analytically presented in [17,21].

122 Additionally, Building Integrated PV (BIPV) in roofs or facades attracted a lot of research interest,  
 123 since they behave as distributed clean energy sources towards zero energy buildings, presented in  
 124 review papers [43-45]. Similarly, Building Adapted PV (BAPV) design configurations have been  
 125 studied for roofs and sunshades [46-48]. Fig. 1 shows BIPV and BAPV designs on roofs and facades,  
 126 the former representing fully integrated PV solutions into the building structure and the latter building  
 127 adapted solutions with a naturally ventilated air gap between the PV modules and the building elements.  
 128 The  $T_{pv}$  prediction is one of the main objectives in the design of BIPV and BAPV because it affects

129 significantly the PV performance. Also, BIPV/T configurations have been investigated [49-51]. Several  
 130 simulation models have been developed for the various BIPV, BAPV, BIPV/T configurations per case,  
 131 and comparisons of predicted  $T_{pv}$  values with experimental data gave very good results [50-52].  
 132 However, an extended validation process applying those simulation models to any other environment  
 133 and BIPV design has been limited mainly due to the complexity of the simulation models and their use  
 134 of parameters specific to the particular BIPV design studied. In most of the BIPV, BAPV, BIPV/T  
 135 works published [15,46, 53-54] the experimental results and the models developed have been compared  
 136 to widely accepted and applicable simple formulas and software such as [11,13,53,55]. While the  
 137 benefit of a general formula for  $T_{pv}$  prediction is obvious, there is a great necessity for increasing the  
 138 accuracy of  $T_{pv}$  prediction taking into account important parameters, which are missing from simple  
 139 widely accepted models.

140



141

142

(a)

(b)



143

144

(c)

(d)

145 Fig. 1. (a) BIPV at the South facing façade and rooftop of ZICER building at the University of East  
 146 Anglia, UK, (b) an interior view of the BIPV façade and rooftop of ZICER building shown in (a). The  
 147 BIPV façade and rooftop consist of glass/glass pc-Si and sc-Si PV modules respectively, (c) BAPV on  
 148 the SW facing façade with 10cm wide air gap between the c-Si PV modules and the building wall at  
 149 the Czech Technical University in Prague, (d) BAPV mounted on the rooftop with a small air gap  
 150 between the PV modules and the roof tiles at a residential building in Norwich, UK.



151

152 This research study aims to fill in this gap and develop a rigorous, innovative, flexible and compact  
153 model and integrate the effect of the  $v_w$  on  $T_{pv}$  and  $U_{pv}$ , the effect of  $T_{pv}$  on  $\eta_{pv}$  and  $U_{pv}$ . Also, the effect  
154 of  $I_T$  on  $\eta_{pv}$  and the effect of the PV inclination  $\beta$  on the  $U_{pv}$ . The latter is essential especially in the  
155 study of BIPV facades. All these important effects have not been previously considered in a generalized  
156 compact model for  $T_{pv}$  and  $P_m$  prediction. An additional parameter of importance is the PV mounting  
157 design, either for free standing, or BIPV, BIPV/T and BAPV as it significantly affects  $T_{pv}$  and the air  
158 flow past one or both PV sides. The model developed in this study integrates all the above and takes  
159 into account the module efficiency and its natural ageing which are responsible for deviations observed  
160 between predicted and measured  $T_{pv}$ .

161 In Section 2, the PV configurations used in this study for the model development and validation are  
162 described along with the experimental details, while in Section 3, heat transfer issues of the PV  
163 configurations are discussed along with a short analysis to derive the formulas for the coefficients of  
164 heat convection and radiated heat from the PV modules to the environment and the estimation of their  
165 rates of change with respect to temperature  $T$  and inclination  $\beta$ . In Section 4, a detailed analysis for the  
166 development of the  $T_{pv}$  prediction model is presented. The mathematical expressions which take into  
167 account the above mentioned conditions are provided. In Section 5, results of the proposed model for  
168 free-standing and BIPV are presented and discussed while the model itself is validated by comparing  
169 the predicted  $T_{pv}$  and  $P_m$  values with experimental ones and with results from other models [11,31,36].

170

## 171 2. PV configurations and experimental procedure

172 Two main PV configurations were used in this study, a free-standing and a building integrated PV  
173 operating in the RES Lab, University of Peloponnese in Patra, Greece. The first one is a double axis  
174 sun-tracking PV system  $480W_p$  shown in Fig.2(a-b) together with an identical fixed -angle PV array  
175 South facing and inclined at  $\beta \approx \varphi = 38^\circ$  which was additionally used for model development. The fixed  
176 and sun-tracking PV systems consist of 4 pc-Si Energy Solutions modules, each  $120W_p$  with dimensions  
177  $1.490m \times 0.674m$ , and 9 years of operation. The parameters monitored for a period of 2 years include  
178 the  $T_{pv}$  measured at the back side of the modules with Cu-Const thermocouples, the solar irradiance on  
179 the PV plane  $I_T$  measured with Kipp & Zonen CM11 pyranometers mounted on the plane of the PV  
180 modules, the ambient temperature  $T_a$  measured via means of a MP101A sensor, the wind velocity  $v_w$   
181 and wind direction monitored using a R.M. Young 05103 anemometer 4m above the PV system. The  
182 wind speed was converted to the level of the modules by using the Justus and Michail formula [56].  
183 The sun-tracking PV system was monitored via an in-house developed system including an electronic  
184 load and capturing the I-V characteristic of the PV generator during 4 cycles at the beginning of every

185 hour. The peak power  $P_m$  was then extracted from the I-V characteristic. The PV related parameters  
186 were monitored for a period of 4 min at the beginning of every hour with sampling rate 500ms and were  
187 combined with the corresponding recordings of the environmental parameters monitored in 1 min  
188 intervals with the synchronized meteo-station in the laboratory. The recordings were logged via means  
189 of 2 synchronized Campbell Scientific CR1000 data loggers, and 4 min averages were calculated. The  
190 data were previously screened for clear sky days for a more reliable representation of the  $f$  coefficient  
191 as the generalized model developed is steady-state. The range of conditions recorded are:  $T_a$  from 3.8  
192 to 37°C,  $I_T$  from 94 to 1104 W/m<sup>2</sup>,  $v_w$  from 0 to 8.5m/s. The wide range of inclination and orientation  
193 angle achieved by the sun-tracking system throughout the days and year allowed the robust validation  
194 of the model.

195 For the sun-tracking PV system with 9 years of operation in the field, data from the 8<sup>th</sup> year of operation  
196 were used for the model development for which a 9% degradation and a 0.11 efficiency at STC were  
197 considered, while data from the 9<sup>th</sup> year of operation were used for the model validation considering a  
198 10% PV degradation experimentally determined.

199 The BIPV configuration is 110W<sub>p</sub> consisting of 2 c-Si SIEMENS SM55 modules integrated in the  
200 roof of an experimental test cell shown in Fig.2(c-e) alongside with a building integrated solar  
201 collector on the roof and the façade of the test cell. The BIPV test cell with dimensions (W, L, H)  
202 2.8m x 2.8m x 1.75-2.5m has inclination 15° and orientation 10°SW. The dimensions of each module  
203 are: 1.293m x 0.329m. The parameters monitored in the BIPV include  $T_{pv}$  measured at the back of the  
204 modules using Cu-Const thermocouples, the irradiance at horizontal and the diffuse irradiance  
205 measured with Kipp & Zonen CM11 pyranometers and were used to convert to the irradiance on the  
206 inclined PV plane. Meteorological parameters  $T_a$  and  $v_w$ , were measured with the meteo-station and  
207 the  $v_w$  converted to 3m height with the aforementioned formula. The two data-loggers of the systems  
208 were synchronised and data were recorded in 1 min intervals. The BIPV data captured for the duration  
209 of 32 days across the months April, May, June include varying conditions during clear sky, partly  
210 clouded and cloudy days. The range of the conditions recorded in the 1 min intervals are:  $T_a$  from 6.6  
211 to 37°C,  $I_T$  from 0 to 1132 W/m<sup>2</sup>,  $v_w$  from 0 to 8.2m/s. The BIPV unit has 14 years of operation in the  
212 field and 13% degradation is experimentally determined. Their STC efficiency at present status is  
213  $\eta_{pv}=0.113$  compared to their nominal value 0.129.

214



(a)

(b)



(c)

(d)

(e)

Fig.2. (a) fixed-angle and sun-tracking PV array, (b) back side of sun-tracking PV array, (c) BIPV with the PV modules integrated in the roof, (d) the back side of the PV modules in the BIPV test cell with wooden case removed to reveal the back of the modules (e) the end of the wooden case forms an air orifice profile for the heated air to be exhausted through the solar chimney or self-circulated in the room.

The design of this BIPV test cell is based on a concept similar to a naturally ventilated BIPV/T [57].  $T_{pv}$  profiles have been studied in similar designs [47-55,58-61], with the modules directly mounted on the roof tiles or at a small distance above them, off-roof. Heat from the PV front side may be extracted by free, mixed or forced air convection in contrast to the wind protected BIPV back side as outlined in [43,45,62-63]. Wooden planks are placed 18cm below the PV backside (these have been removed in Fig.2(d) to show the back of the PV modules) and form the ceiling of the BIPV, which operates as a PV/T with the heat extracted by natural convection of the warm air self-pumped due to temperature difference with the indoor temperature through an orifice pattern (Fig.2(e)). In warm days, the air is self-pumped out of the BIPV through a solar chimney. Similar designs have been studied with regard to  $T_{pv}$  profiles and thermal performance of building in [64-67]. Both sides of the modules experience radiated heat exchange with sky, ground and indoor walls estimated using the view factors,  $F_{i-j}$  and the  $h_{r,t}$  and  $h_{r,b}$  coefficients.

The estimation of the  $h_c$  and  $h_r$  done in [17,65,68-73] is not required in this model. Their effect is integrated into a  $f$  function which incorporates deviations of actual field conditions from the average environmental conditions:  $I_T=800 \text{ W/m}^2$ ,  $T_a=20^\circ\text{C}$ ,  $v_w<1\text{m/s}$  (SOC) and for  $\beta=\varphi=38^\circ$ . Corrections to the

240  $f$  or  $T_{pv}$  prediction are introduced using the rates of change of the above coefficients with respect to the  
 241 deviations of  $I_T$ ,  $T_{pv}$ ,  $\eta_{pv}$ ,  $T_a$ ,  $v_w$  from their SOC values and of  $\beta$  from  $\beta_{ref}=38^\circ$ . The rates of change of  $h_{c,f}$ ,  
 242  $h_{c,b}$ ,  $h_{r,f}$ ,  $h_{r,b}$  vs  $T_{pv}$  and  $\beta$ , are discussed in Section 3 and integrated in the model in Section 4. These rates  
 243 of change contribute essentially to the accurate prediction of the  $T_{pv}$  profiles.

244

### 245 **3. The heat convection and radiated heat coefficients and their rate of change with respect to PV** 246 **temperature and inclination**

#### 247 **3.1 The natural heat convection coefficients $h_{c,f}$ and $h_{c,b}$ for the front and back PV sides**

248 Nu expressions valid for the entire range of the Ra number,  $Ra=Gr \cdot Pr$  are critically discussed in [17]  
 249 for the estimation of  $h_{c,f}$  and  $h_{c,b}$  for any heat transfer mode. The transition to turbulent is determined  
 250 by the critical Grashof number,  $Gr_c$ . This phenomenon depends on  $\beta$  or  $\theta$  which is the angle between  
 251 the vertical and the module.  $\beta+\theta=90^\circ$ .

252 The transition for facing down heated planes occurs at  $Gr_c=3 \times 10^{11}$  for  $\theta=75^\circ$ ,  $2 \cdot 10^{10}$  for  $60^\circ$ ,  $10^9$  for  
 253  $45^\circ$ ,  $7 \cdot 10^7$  for  $30^\circ$  and  $4 \cdot 10^6$  for  $15^\circ$  [74]. The  $Gr_c$  for the facing upward heated plate is lower:  $5 \cdot 10^9$  for  
 254  $\theta=15^\circ$ ,  $2 \cdot 10^9$  for  $30^\circ$ ,  $10^8$  for  $60^\circ$  and  $10^6$  for  $75^\circ$  [70].

#### 255 **3.2 The transition from laminar free convection to turbulent in the PV front and back sides**

256 The transition to turbulent at various  $\beta$  needs to be examined especially for BIPV and BAPV. Let  $T_{pv}$   
 257  $=60^\circ$ ,  $T_a=20^\circ\text{C}$ , and the SM55 module length,  $L=1.33\text{m}$ . Also,  $Gr = g \cdot \cos(\theta) \cdot \beta' \cdot (\Delta T) \cdot x^3 / \nu^2$ . For  $\theta=75^\circ$   
 258 or  $\beta=15^\circ$ , and boundary layer temperature,  $T_{bl} = (60^\circ+20^\circ\text{C})/2=40^\circ\text{C}$ ,  $\beta'=1/(273+40)$  and for  $\Delta T = T_{pv}-$   
 259  $T_a=60^\circ-20^\circ\text{C}$ , the value of  $g \cdot \cos(\theta) \cdot \beta' \cdot \Delta T / \nu^2$  is calculated equal to  $11,150 \cdot 10^4$ . The  $Gr_c$  criterion for the  
 260 back side gives transition to turbulent at  $x=6.3\text{m} > L$ . Hence, the air flow in the BIPV back side is  
 261 laminar. In the free standing PV at low wind, for  $\theta=60^\circ$  and  $45^\circ$ , i.e.  $\beta=30^\circ$  and  $45^\circ$  respectively, and  
 262 according to the  $Gr_c$  criterion the transition to turbulent is calculated at  $x=2.07\text{m}$  and  $x=0.68\text{m}$ ,  
 263 respectively. The latter is smaller than  $L$  and that implies transition to turbulent at  $\beta=45^\circ$ . Therefore,  
 264  $h_{c,b}$  takes higher values than in the smaller  $\beta$ . Similar analysis must be followed for the front side using  
 265 the proper  $Gr_c$ . This is the source of the high dispersion of  $T_{pv}$  measured values in low  $v_w$  as shown in  
 266 [17].

#### 267 **3.3 The $h_{c,f}$ and $h_{c,b}$ rate of change vs inclination, $\beta$ , and temperature, T**

##### 268 **3.3a The rate of change of $h_{c,b}$ vs $\beta$ , $\partial h_{c,b} / \partial \beta$**

269 At natural convection,  $\partial h_{c,b} / \partial \beta$  was estimated using eq.(10) [73]:

$$270 \quad Nu = 0.48 \left( \frac{1+\cos\theta}{2} \right) Gr^{1/4} \quad \text{or equivalently} \quad h_{c,b} = 0.48 \left( \frac{k}{L} \right) \left( \frac{1+\cos\theta}{2} \right) Gr^{1/4} \quad (10)$$

271 For  $\theta=75^\circ$  or  $\beta=15^\circ$ ,  $L=1.33\text{m}$ , and air conductivity at boundary layer temperature  $40^\circ$ ,  $k=28\text{mW/mK}$ ,  
 272 eq.(10) gives  $\partial h_{c,b}/\partial\theta = -0.94\text{W/m}^2\text{K/rad}$ . For a change  $\delta\theta=10^\circ$ , that is,  $0.1744\text{rad}$ ,  $\delta h_{c,b}$  is calculated  
 273 equal to  $-0.16\text{W/m}^2\text{K}$  which is just the value obtained in [69]. This rate increases slowly with  $Gr$ . As  
 274 calculated,  $\partial_{c,b}/\partial\theta$  changes linearly from  $(-3/\pi)\text{W/m}^2\text{K/rad}$ , at  $\Delta T=T_{pv}-T_o=10^\circ\text{C}$ , to  $-4/\pi$  at  $\Delta T=30^\circ\text{C}$ ,  
 275 and  $-5/\pi$ , at  $\Delta T=50^\circ\text{C}$ . The negative sign signifies that  $h_{c,b}$  decreases as  $\theta$  increases, i.e.  $\beta$  decreases.

276 The corresponding values for  $\partial h_{c,f}/\partial\theta$  are: 0, for  $60^\circ<\theta<90^\circ$ ; that is,  $h_{c,f}$  is constant in that range. For  
 277  $30^\circ<\theta<60^\circ$ , or  $30^\circ<\beta<60^\circ$ ,  $\partial h_{c,f}/\partial\theta = +0.2\text{W/m}^2\text{K/rad}$ , while for  $0^\circ<\theta<30^\circ$  or  $60^\circ<\beta<90^\circ$ ,  $\partial h_{c,f}/\partial\theta$   
 278  $=+0.5\text{W/m}^2\text{K/rad}$  for  $\Delta T=10^\circ\text{C}$ . In average, its value is  $+0.8\text{W/m}^2\text{K/rad}$  for  $\Delta T=30^\circ\text{C}$ , and  
 279  $+1.0\text{W/m}^2\text{K/rad}$  for  $\Delta T=50^\circ\text{C}$ . The positive sign signifies that  $h_{c,f}$  increases as  $\theta$  increases.

### 280 3.3b The $h_{c,b}$ and $h_{c,f}$ rate of change vs T

281  $\partial h_{c,f}/\partial T$ , is estimated around  $0.050\text{W/m}^2\text{K}$  per K for all inclinations, while the average values of  
 282  $\partial h_{c,b}/\partial T$  are:  $0.055$ ,  $0.053$  and  $0.050\text{W/m}^2\text{K}$  per K for inclinations  $\beta=30^\circ, 60^\circ, 90^\circ$ , respectively.

### 283 3.4 The thermal radiation exchange rates between both PV sides with their environment

284 To handle the net thermal radiation exchanged between the PV front and back side with sky and ground  
 285 using a similar expression to the heat convection it is necessary to linearize it. This process introduces  
 286 the coefficients,  $h_{r,f}$  and  $h_{r,b}$ . In the  $h_{r,f}$  and  $h_{r,b}$  expressions the following parameters appear: the sky  
 287 temperature  $T_{sky}=0.0552(T_a)^{1.5}$ , the Stefan-Boltzmann constant  $\sigma$  equal to  $5.67 \cdot 10^{-8}\text{W/m}^2\text{K}$  and the view  
 288 factors,  $F_{pv-sky}$  and  $F_{pv-gr}$ , which correspond to the fraction of the radiated heat from the PV surface which  
 289 reaches the sky, the ground surface or the wall(s) according to the PV-ceiling design and geometry and  
 290 are determined by eqs.(11a,b) provided that the other surface is much larger than  $A_{pv}$ . If this is not the  
 291 case, more elaborated expressions are developed [70].

$$292 \quad F_{pv-sky,f} = \frac{(1+\cos(\beta))}{2}, \quad F_{pv-sky,b} = \frac{(1+\cos(\pi-\beta))}{2} \quad (11a)$$

$$293 \quad F_{pv-gr,f} = \frac{(1-\cos(\beta))}{2}, \quad F_{pv-gr,b} = \frac{(1-\cos(\pi-\beta))}{2} \quad (11b)$$

294 The  $h_{r,f(pv-sky)}$  and  $h_{r,f(pv-gr)}$  are given in [70,73]. For  $A_{pv}/A_{sky}$  practically zero and for low  $\beta$ ,  $F_{pv-sky} \gg F_{pv-gr}$   
 295 and then, a simplified formula is provided

$$296 \quad h_{r,f(pv-sky)} = F_{pv-sky,f} \varepsilon_{pv} \sigma (T_f^2 + T_{sky}^2) (T_f + T_{sky}) \quad (12a)$$

297 Similarly, for  $A_{pv}/A_{gr}$  practically zero, and high inclination,  $\beta$ ,  $F_{pv-gr} \gg F_{pv-sky}$  and then

$$298 \quad h_{r,f(pv-gr)} = F_{pv-gr,f} \varepsilon_{pv} \sigma (T_f^2 + T_{gr}^2) (T_f + T_{gr}) \quad (12b)$$

299 For  $A_{pv}$  equal to the surface close and opposite to it, as it is the case of the BIPV,  $F_{pv-plank}=1$  and the  
 300 coefficient  $h_{r,b}$  for the radiated heat exchanged between PV back side and its back cover is given by,

$$301 \quad h_{r,b(pv-int)} = \frac{\sigma(T_b^2 + T_{pl}^2)(T_b + T_{pl})}{\left(\frac{1}{\varepsilon_{pv}} + \frac{1}{\varepsilon_{pl}} - 1\right)} \quad (13)$$

302  $\varepsilon_{pl}$  is the emissivity coefficient of the plank opposite the PV back side inside the BIPV and  $T_{pl}$  its  
 303 temperature, in K. The radiative heat exchange rates differ due to the different sky and ground  
 304 temperatures,  $T_{sky}$  and  $T_{gr}$ , the view factors of the front and back PV sides which depend on the module  
 305 inclination  $\beta$ , eqs.(11a),(11b), the geometry of the BIPV configuration and the emissivity coefficients  
 306 for Tedlar and glass cover. Those were measured  $\varepsilon_b=0.91$  and  $\varepsilon_g=0.85$  respectively, by using the Surface  
 307 Optics Corp. ET10 emissometer. The sky, ground and indoor walls/plaster emissivity coefficients were  
 308 taken equal to  $\varepsilon_{sky}=0.91$ ,  $\varepsilon_{gr}=0.94$ ,  $\varepsilon_{pl}=0.92$  [5,18,75,76].

309 Based on the analysis so far,  $h_{c,b}$  in the BIPV was estimated around 2-3W/m<sup>2</sup>K compared to 4-6W/m<sup>2</sup>K  
 310 for the  $h_{c,f}$  at low  $v_w$  for the open air BIPV, PV fixed and sun-tracking configurations.  $h_{r,f}$  and  $h_{r,b}$  using  
 311 the above equations were estimated 5±0.5W/m<sup>2</sup>K for the above PV configurations. The rates of change  
 312 of  $h_{r,f}$  and  $h_{r,b}$  vs  $\beta$  and T are given below.

### 313 3.5 $h_{r,f}$ and $h_{r,b}$ dependence on $\beta$ and T

314  $h_{r,f}$  increases vs  $\beta$  or decreases vs  $\theta$ . At horizontal, the view factor  $F_{pv-sky}$  is 1 and the net thermal  
 315 radiation exchanged is higher as  $T_{sky}$  is much lower than  $T_{gr}=T_a$ . For  $\beta>45^\circ$  the thermal radiation  
 316 exchanged has a strong component between PV front side and ground, while for  $\beta<45^\circ$  the thermal  
 317 radiation exchanged between PV and ground is lower than 15% due to the low  $F_{pv-gr}$  where  $F_{pv-gr}=(1-$   
 318  $\cos(\beta))/2$ , while the  $F_{pv-sky}=(1+\cos(\beta))/2$ .  $h_{r,b}$  is considered the same way.

### 319 3.6 The $h_{r,f}$ and $h_{r,b}$ rates of change vs T

320 The estimated average rate is equal to  $\partial h_{r,f}/\partial T = \partial h_{r,b}/\partial T = 0.02W/m^2K$  per K for deviation of the  $T_{pv}$   
 321 from a reference temperature,  $\delta T_{pv} = T_{pv} - T_{pv,ref}$ . Note  $T_{pv,ref}$  is defined as the  $T_{pv}$  at SOC.

### 322 3.7 The rate of change $\partial h_{r,f}/\partial \beta$

323 It is negligible for  $60^\circ < \theta < 90^\circ$  or  $0^\circ < \beta < 30^\circ$ . For  $30^\circ < \beta < 60^\circ$ ,  $\partial h_{r,f}/\partial \beta$  was estimated around +0.12W/m<sup>2</sup>K  
 324 per rad. For  $60^\circ < \beta < 90^\circ$  its value is +0.22W/m<sup>2</sup>K per rad. The sign changes when  $\partial h_{r,f}/\partial \theta$  is used.

### 325 3.8 The rate of change $\partial h_{r,b}/\partial \beta$

326 In the PV fixed and the sun-tracking system the rate of change is negligible for  $0^\circ < \beta < 30^\circ$  while, for  
 327  $30^\circ < \beta < 60^\circ$  it was estimated equal to -0.12W/m<sup>2</sup>K/rad and for  $60^\circ < \beta < 90^\circ$  it was estimated equal to -  
 328 0.20W/m<sup>2</sup>K/rad. Its sign is opposite to the one of  $\partial h_{r,f}/\partial \beta$ .

### 329 3.9 The inclination effect at natural and air forced flow.

330 The  $h_{c,f}$  and  $h_{c,b}$  depend on  $\beta$  and  $T$  and strongly on  $v_w$ . Their values increase faster compared to  $h_{r,f}$  and  
 331  $h_{r,b}$  which are loosely  $\beta$  and  $T$  dependent. For moderate to high wind speed  $h_{c,f}$  gets higher than  $h_{r,f}$  and  
 332 hence its  $\beta$  dependence gets much weaker [77]. The issues highlighted in this Section explain the pattern  
 333 of scattered values in the  $f$  profile for low  $v_w$  shown in [17]. Using the above mentioned rates of change  
 334 and the theoretical analysis in [55-66,68-69], the sum of  $h_{c,f}$ ,  $h_{c,b}$ ,  $h_{r,f}$  and  $h_{r,b}$  which equals  $U_{pv}$  is  
 335 calculated.

336

### 337 4. Theoretical elaboration of the proposed model to predict $T_{pv}$ , $f$ and $P_m$

338 The model proposed is based on 3 key issues:

339 1. The determination of an implicit function  $f$  shown below:

$$340 \quad T_{pv} = T_a + f(v_w, \eta_{pv}(T_a, T_{pv}, I_T, v_w), U_{pv}(T_{pv}, I_T, v_w, \beta))I_T \quad (14)$$

341 2. The development of an empirical expression  $f(v_w)$  to reflect the contribution of  $v_w$  on  $f$ . That was  
 342 done through a regression analysis of the PV sun-tracking monitored data  $I_T$ ,  $T_a$ ,  $T_{pv}$  and  $v_w$

343 3. The development of a set of mathematical expressions for the accurate determination of  $f$  taking into  
 344 consideration the PV module age, the PV module efficiency, the field conditions  $v_w$ ,  $T_a$ ,  $I_T$ , the (BI)PV  
 345 configuration and the deviations of  $\eta_{pv}(T_a, T_{pv}, I_T, v_w)$  and  $U_{pv}(T_{pv}, I_T, v_w, \beta)$  from their corresponding  
 346 values at SOC.

347 The combination of the EBE for a PV module at steady state [7] with eq.(14) gives,

$$348 \quad f = \frac{1-\eta_{pv}}{U_f+U_b} - \frac{U_f}{(U_f+U_b)I_T} \Delta T_{f-b} \quad \text{where } \Delta T_{f-b} = T_f - T_b \quad (15)$$

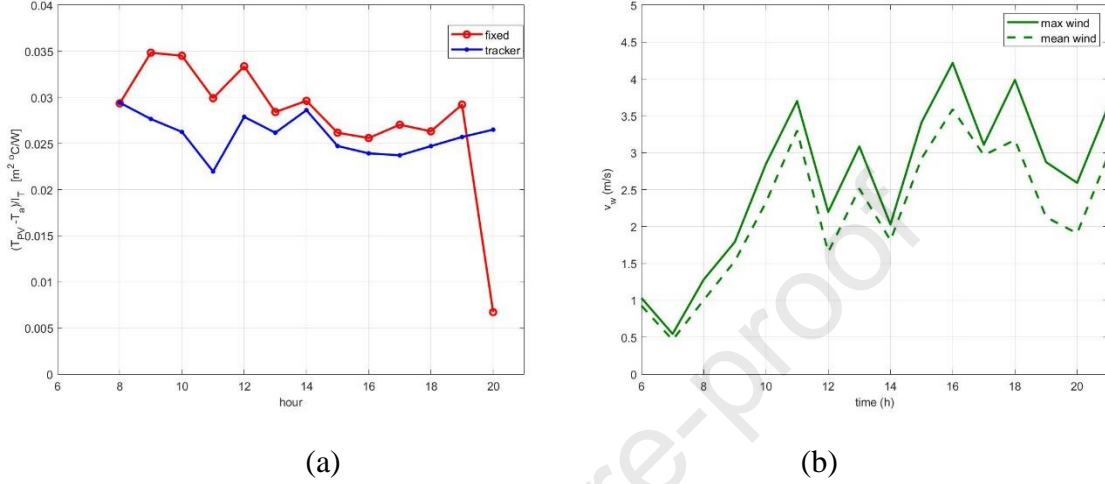
349 The first term in eq.(15) is considered as a zero approach to  $f$ ,  $f_o$ , where:

$$350 \quad f_o = \frac{1-\eta_{pv}}{U_f+U_b} \quad \text{and hence,} \quad (16)$$

$$351 \quad f = f_o - \frac{U_f}{(U_f+U_b)I_T} \Delta T_{f-b} \quad (17)$$

352  $\Delta T_{f-b}$  was experimentally measured between 0 and  $-3^\circ\text{C}$ . The value depends on the PV mounting mode,  
 353 the cell material, construction and the  $v_w$  strength and direction.  $U_f$  and  $U_b$  may be estimated through  
 354 iterations [21]. However, this would not lead to a compact  $T_{pv}$  prediction tool as sought for here. At  
 355 low  $v_w$  or in wind protected areas such as the BIPV back side with the modules integrated within the  
 356 roof,  $U_f$  and  $U_b$  according to Section 3 take values within  $[10-13]\text{W/m}^2\text{K}$  and  $[7-10]\text{W/m}^2\text{K}$ ,

357 respectively. Hence,  $U_f+U_b=[17-23]W/m^2K$ . Using these values for this BIPV mode, eq.(17) gives  $f$   
 358 in the range of  $[0.035, 0.050]m^2K/W$ . Similarly, the same range of  $f$  values holds for the PV fixed and  
 359 sun-tracking at low  $v_w$ , whereas as  $v_w$  increases,  $>3m/s$ ,  $U_f$  and  $U_b$  increase to about  $20 \pm 2W/m^2K$   
 360 each, and thus  $f$  reduces to within  $[0.020 - 0.025]m^2K/W$ , as shown in Fig.3. The  $v_w$  effect on  $f$  is  
 361 strong, while the effect of the correction term in eqs.(17,18) due to  $T_{pv}$  may reach up to  $[7.5\% -10\%]$ .



362

363

364 Fig.3. The profiles of (a)  $f$  and (b)  $v_w$  during a day in July for the sun-tracking and fixed PV systems.

365 The effect of  $v_w$  on  $f$  is obvious and ranges from  $0.038 m^2K/W$  when  $v_w$  is low to  $0.022-0.025 m^2K/W$   
 366 for higher  $v_w$ .

367

368 To build the new model,  $f$  may be expressed instead by eq.(18) as a product of a function of  $v_w$  the  
 369 dominant part and a weaker function of  $\eta_{pv}$  and  $(U_f + U_b)=U_{pv}$ , both dependent on  $T_{pv}$ ,  $I_T$ ,  $v_w$  and  $\beta$ :

$$370 \quad f = f(v_w)f(\eta_{pv}(T_a, T_{pv}, I_T, v_w), U_{pv}(T_{pv}, I_T, v_w, \beta)) \quad (18)$$

371 A Taylor series expansion of the  $f$  function at  $v=v_w$ , and estimation of its partial derivatives for  $\eta_{pv}$  and  
 372  $U_{pv}$  around the SOC values provide a general expression for  $f$  in the form of eq.(19):

$$373 \quad f = f(v_w) \left( 1 - \frac{\delta\eta_{pv}}{1-\eta_{pv,SOC}} \right) \left( 1 - \frac{\delta U_{pv}}{U_{pv,SOC}} \right) \quad (19)$$

374 Therefore, the compact formula to predict  $f$  for natural flow or  $v_w < 1.5m/s$  is:

$$375 \quad f = f(v_w) \left( 1 - \frac{\left( \frac{\partial\eta_{pv}}{\partial T_{pv}} \right) \delta T_{pv} + \left( \frac{\partial\eta_{pv}}{\partial I_T} \right) \delta I_T}{(1-\eta_{pv,SOC})} \right) \left( 1 - \frac{\left( \frac{\partial U_f}{\partial T_{pv}} \right) \delta T_{pv} + \left( \frac{\partial U_b}{\partial T_{pv}} \right) \delta T_{pv} + \left( \frac{\partial U_f}{\partial \beta} \right) \delta \beta + \left( \frac{\partial U_b}{\partial \beta} \right) \delta \beta}{U_{pv,SOC}} \right) \quad (20)$$

376 Regression analysis was applied on the 1<sup>st</sup> year recorded  $T_b$ ,  $v_w$ ,  $I_T$ ,  $T_a$  data from the sun-tracking PV.

377 A rational function, eq.(21), was fitted because it complies with the weak  $v_w$  dependence of  $\eta_{pv}$  in the



378 nominator in eq.(19) and the strong dependence of  $U_{pv}$  on  $v_w$  in the denominator. The parameters in  
 379 eq.(21) correspond to the SOC values of  $T_a$ ,  $I_T$ , used as a reference for the  $f$  corrections due to  
 380 environmental fluctuations. In this analysis, it holds:  $\delta T_a = T_a - 20^\circ\text{C}$ ,  $\delta I_T = I_T - 800\text{W/m}^2$ , and  $\delta\beta = \beta - 38^\circ$ .

$$381 \quad f(v_w) = \frac{a + bv_w}{1 + cv_w + dv_w^2} \quad (21)$$

382 where  $a = 0.0375$ ,  $b = 0.0081$ ,  $c = 0.2653$ ,  $d = 0.0492$

383 The analysis outlined in the Appendix is used to determine the quantities and parameters in eq.(20). For  
 384 this, eq.(21) and the expressions (A.1)-(A.6) in the Appendix are used to consider the effect of  
 385  $\delta\eta_{pv}(T_{pv}, I_T)$  and  $\delta U_{pv}(T_{pv}, \beta)$ . When heat transfer from the module to the environment is due to air forced  
 386 flow the factor  $\delta U_{pv}/U_{pv, SOC}$  gets negligible because of the weak dependence of  $U_{pv}$  on  $T_{pv}$  and  $\beta$   
 387 compared to natural heat flow. Then, eq.(20) is reduced to eq.(22) which is the compact formula to  
 388 predict  **$f$  for forced convection** or  $v_w \geq 1.5\text{m/s}$ :

$$389 \quad f = f(v_w) \left( 1 - \frac{\left( \frac{\partial\eta_{pv}}{\partial T_{pv}} \right) \delta T_{pv} + \left( \frac{\partial\eta_{pv}}{\partial I_T} \right) \delta I_T}{1 - \eta_{pv, SOC}} \right) \quad (22)$$

390 Accurate  $f$  values are estimated from eqs.(20,22) for natural or forced flow, respectively, by setting  
 391 initially  $(\partial\eta_{pv}/\partial I_T)\delta I_T = 0$  and neglecting the effect of  $\delta U_{pv}$  in eq.(20). This approximate  $f$  value is then  
 392 substituted into eq.(A.5) to estimate  $(\partial\eta_{pv}/\partial I_T)\delta I_T$ . Then  $f$  is re-estimated from eq.(20) or  
 393 eq.(22). Eqs.(A.1)-(A.6) provide the expressions to estimate the parameters required in eq.(22). Under  
 394 air forced flow where the terms  $\partial U_f/\partial T_{pv}$ ,  $\partial U_b/\partial T_{pv}$ ,  $\partial U_f/\partial \beta$ ,  $\partial U_b/\partial \beta$  are negligible.

395 For natural air flow  $f$  is obtained by eq.(20). In this case, the  $U_{pv}$  at SOC is denoted as  $U_{pv, SOC}$ .  $\eta_{pv, SOC}$  is  
 396 determined from eq.(A.1) while eq.(21) for  $v_w = 0$  m/s gives  $f(v_w = 0) = 0.0347$ .  $U_{pv, SOC}$  is then estimated  
 397 from eq.(23).

$$398 \quad U_{pv, SOC} = \frac{(1 - \eta_{pv, SOC})}{f(v_w = 0)} \quad (23)$$

399 The average rates of change for  $U_{pv}$  with respect to  $T_{pv}$  and  $\beta$  in eq.(20) are provided by eqs.(A.6a)-  
 400 (A.6d). Note, for a PV module with  $\delta\beta = 10^\circ$  and  $\delta T_{pv} = T_{pv} - T_{pv, SOC} = 10^\circ\text{C}$ , with reference to ( $\beta = \varphi$ , and  
 401  $T_{pv, SOC} = 20^\circ\text{C} + f(v_w = 0) \cdot 800\text{W/m}^2$ ), and for a  $U_{pv, SOC}$  value at the average environmental conditions,  
 402  $U_{pv, SOC} = 23.9\text{Wm}^2\text{K}$ , determined from eq.(23), the contribution of  $\delta U_{pv}$  due to  $\delta\beta$  and  $\delta T_{pv}$  variations is  
 403 estimated +5-6%, while, the corresponding of  $\delta\eta_{pv}$  is -12%.

404 Additional correction terms are introduced in the  $f$  and  $T_{pv}$  prediction, to cater for the PV cell type, and  
 405 the age of the module under testing. The  $f(v_w)$ , eq.(21), was derived for a pc-Si with nominal  $\eta_{pv} = 0.121$ .  
 406 Its efficiency at STC after 8 years of operation was estimated equal to  $\eta_{pv, STC} = 0.11$  taking into account  
 407 9% degradation overall. Eq. (A.1) is used to estimate the efficiency at SOC,  $\eta_{pv, SOC}$ . To generalize the

408 formula for any PV module tested of a given efficiency at SOC denoted as  $\eta_{pv,n}$  an additional correction  
 409 factor is introduced in eqs.(20,22) which has the form,  $(1 - \delta\eta_{tec}/(1-\eta_{pv,SOC}))$ .  $\delta\eta_{tec} = \eta_{pv,n} - \eta_{pv,SOC}$ , where  
 410  $\eta_{pv,SOC}=0.095$  and  $\eta_{pv,n}$  is the efficiency of the new type of module at SOC. A third correction term is  
 411 introduced for the natural degradation of the module and provides the decrease  $\delta\eta_{ag}$  due to aging with  
 412 reference to the age, 8 years, of the pc-Si module used to develop the model.

$$413 \quad \delta\eta_{ag} = -\eta_{pv,SOC} \cdot (0.8\% \cdot N - 9\%) \quad (24)$$

414 where  $N$  are the years in operation of the PV module to be studied.

415 The holistic  $f$  prediction formula which considers field conditions, cell type, and age takes the form

$$416 \quad f = f(v_w) \left(1 - \frac{\delta\eta_{pv}}{(1-\eta_{pv,SOC})}\right) \left(1 - \frac{\delta U_{pv}}{U_{pv,SOC}}\right) \left(1 - \frac{\delta\eta_{ag}}{(1-\eta_{pv,SOC})}\right) \left(1 - \frac{\delta\eta_{tec}}{(1-\eta_{pv,SOC})}\right) \quad (25)$$

417 The  $f(v_w)$  expression is the same for any PV cell technology as it echoes the effect of  $v_w$  on  $U_f$  and  $U_b$   
 418 whose values for any planar PV cell technology are practically the same.

#### 419 **Scaling factor SF for BIPV configurations**

420 The proposed expressions for  $f$  and  $T_{pv}$  prediction hold for both free-standing PV and BIPV  
 421 configurations, however for the latter case, a scale factor, SF, is required to adapt this model for the  
 422 BIPV where  $v_w$  has a negligible effect on its PV back side. Note that this factor is not required for BAPV  
 423 configurations. For the BIPV case  $f$  in eqs.(20,22) is multiplied with SF, which takes into account the  
 424  $U_b$  decrease in the BIPV compared to the open air PV.  $U_f$  for the free environment and  $U_b$  for both free  
 425 and indoor conditions were estimated in the beginning of Section 4. SF is derived by substituting into  
 426 eq.(17) the  $U_f$  and  $U_b$  values once for indoor conditions and then for free. Their ratio gave **SF=1.35 for**  
 427 **forced flow** with  $v_w \geq 1.5\text{m/s}$  and **SF=1.18 for natural flow** or  $v_w < 1.5\text{m/s}$ .

428  $P_m$  may then be predicted at any hour  $h$  from the predicted  $T_{pv}$  and the  $I_T$  through eq.(26a):

$$429 \quad P_m = P'_{m,STC} \cdot [1 + \gamma(T_{PV} - 25^\circ C) + \delta \cdot \ln(I_T/1000)] \cdot (I_T/1000) \quad (26a)$$

$$430 \quad P'_{m,STC} = P_{m,STC} (1 - r_{ageing}) \quad (26b)$$

$$431 \quad P_{m,sys} = P_m (1 - \varepsilon_{losses}) \quad (26c)$$

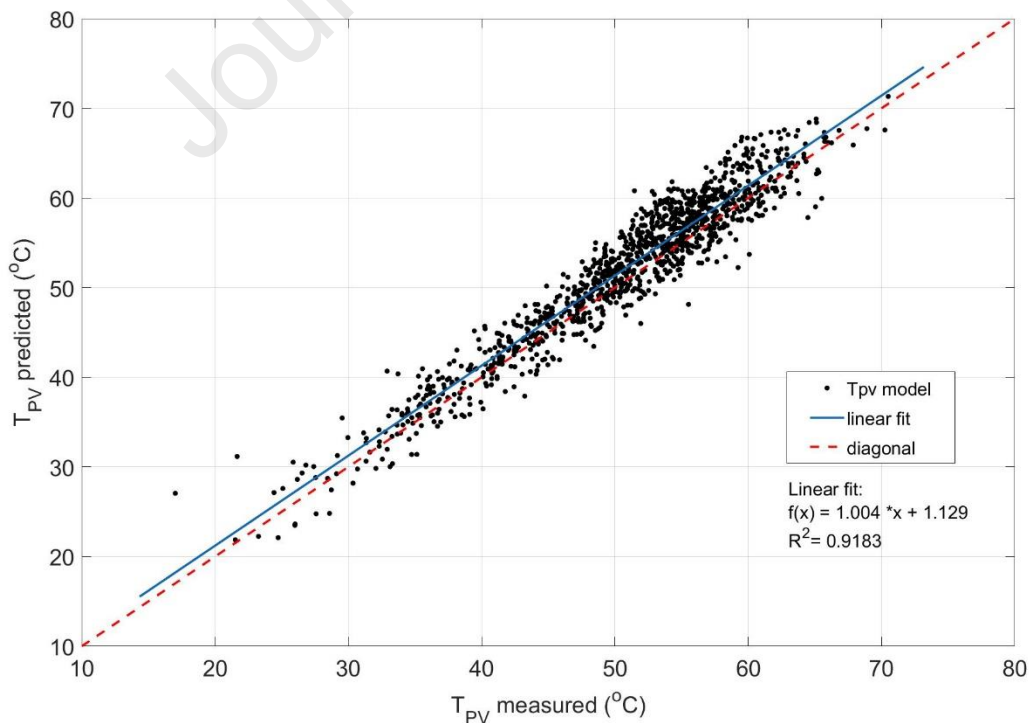
432 where  $\gamma$  is the temperature coefficient for  $P_m$  with value in the region  $[-0.4, -0.5] \text{ \%}/^\circ\text{C}$  and  $\delta$  the solar  
 433 irradiance coefficient with value 0.085 for sc-Si and 0.11 for pc-Si modules [79].  $P'_{m,STC}$  the PV peak  
 434 power at STC at the current state of the system considering PV degradation due to ageing. The overall  
 435 percentage due to ageing is denoted here as  $r_{ageing}$ .  $P_m$  represents the array output at operating conditions  
 436 and  $P_{m,sys}$  the final power output of the system with  $\varepsilon_{losses}$  the percentage of additional power  
 437 conditioning losses at system level.

## 438 5. Results and Analysis

439 The theoretical analysis in Section 4 shows that the factors  $\beta$ ,  $v_w$ ,  $T_{pv}$  and  $I_T$  affect explicitly and/or  
 440 implicitly  $f$ ,  $T_{pv}$  and  $P_m$ . The proposed model succeeded to integrate the overall effect of those factors  
 441 into one formula, eqs.(14,20,22,25) and to addresses the impact of those parameters through correction  
 442 terms. The predicted by this model  $T_{pv}$  for free-standing PV and BIPV modes is compared with the  
 443 measured values and also, with those predicted by other known models as shown in the following  
 444 sections.

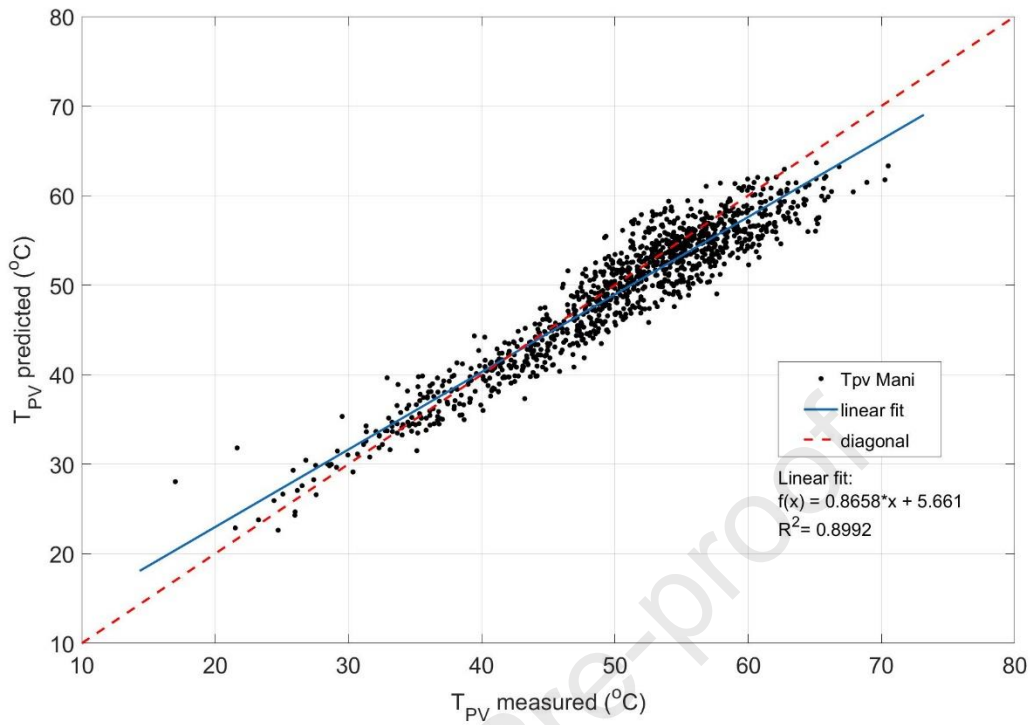
### 445 5.1 $T_{pv}$ prediction results for the PV sun-tracking configuration and model validation

446 For the model validation,  $f$  and  $T_{pv}$  for the sun-tracking PV system, operating at a wide range of  $I_T$ ,  $v_w$ ,  $T_a$   
 447 and  $\beta$ , were predicted using eqs.(20-25). The predicted  $T_{pv}$  values were compared with measured ones,  
 448 using the 2<sup>nd</sup> year monitoring data from the sun-tracking system and are presented in Fig.4. The  
 449 proposed model exhibits an excellent prediction capacity with slope equal to 1.004 with  $R^2=0.9183$ .  
 450 Extensive comparison was carried out comparing measured  $T_{pv}$  with predicted values by 3 other models  
 451 proposed in [11,31,36]. For the model in [36] the predicted vs measured  $T_{pv}$  has slope 0.8658 with  
 452  $R^2=0.8992$ , see Fig.5. For the model in [11], the slope is 0.871 with  $R^2=0.8851$ , Fig.6, and for the model  
 453 in [31] the slope is 0.8396 with  $R^2=0.8572$ , see Fig.7. The linear fit in Figs.5-7 discloses that the 3  
 454 models underestimate  $T_{pv}$  at high values, which occur at high  $I_T$  and low  $v_w$ . On the other hand, the  
 455 proposed model shows an excellent behavior with the linear fit nearly matching the diagonal across the  
 456 entire spectrum of  $I_T$  and  $v_w$ .



457  
 458 Fig.4. Validation of the proposed model. Predicted  $T_{pv}$  vs measured values using the 2<sup>nd</sup> year  
 459 monitored data from the sun-tracking PV system.

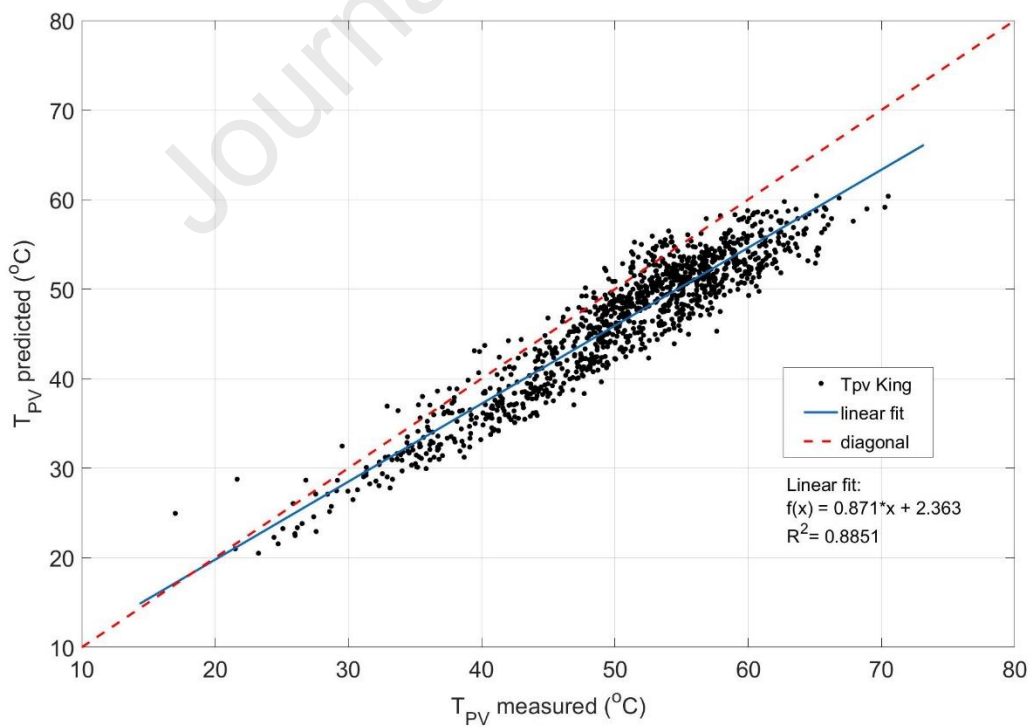
460



461

462 Fig.5. Predicted  $T_{PV}$  applying Mani's model [36] vs measured values using the 2<sup>nd</sup> year monitored data  
 463 from the sun-tracking PV system.

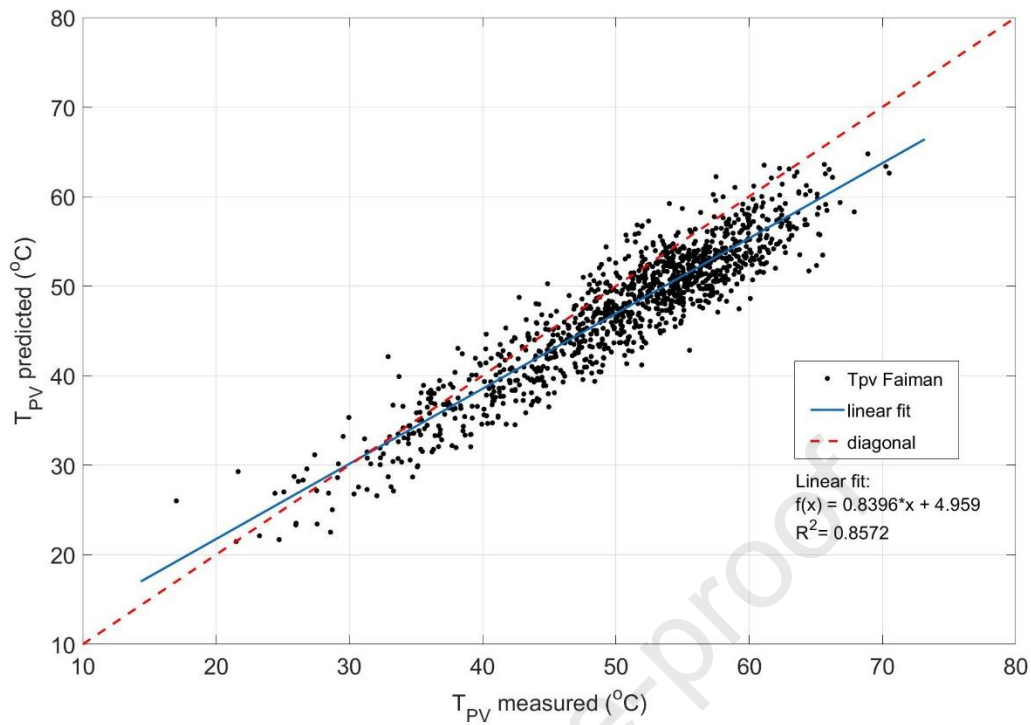
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465

466 Fig.6. Predicted  $T_{PV}$  applying King's model [11] vs measured values using the 2<sup>nd</sup> year monitored data  
 467 from the sun-tracking PV system.

468

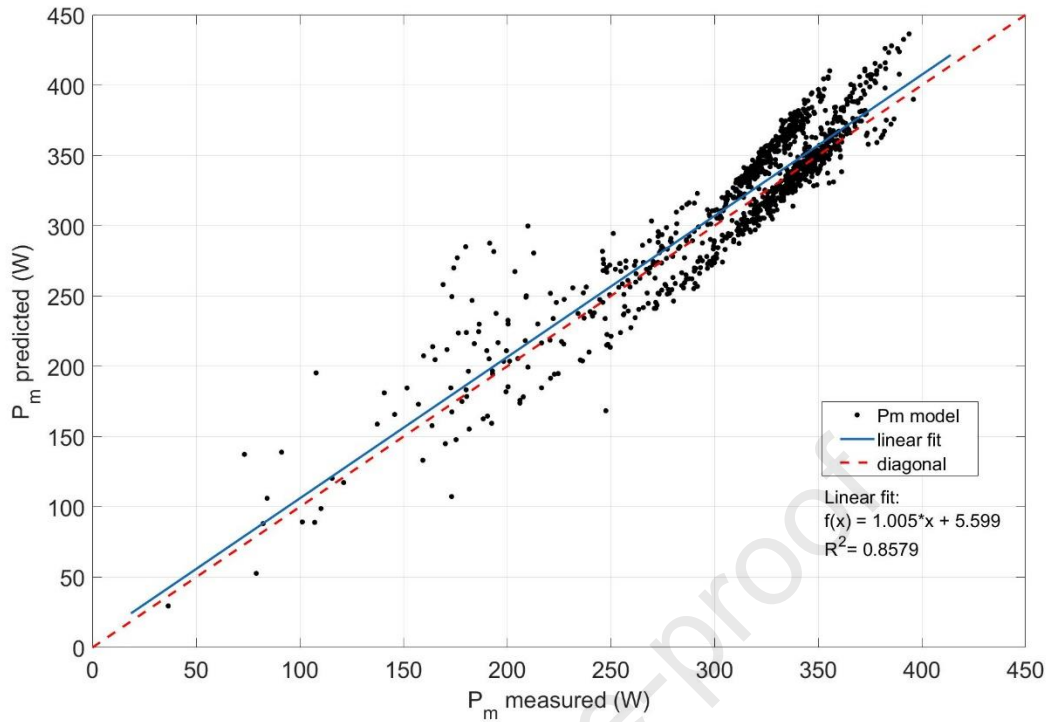


469

470 Fig.7. Predicted  $T_{pv}$  applying Faiman's model [31] vs measured values using the 2<sup>nd</sup> year monitored  
 471 data from the sun-tracking PV system.

472

473  $P_m$  was predicted by eqs.(26a-26c) using the  $T_{pv}$  predicted by this model, and compared with the  
 474 experimentally determined  $P_m$  values from the sun-tracking PV system using the monitoring data of  
 475 the 2<sup>nd</sup> year. For the  $P_m$  prediction the PV module power degradation was determined 10% for the 9<sup>th</sup>  
 476 year of operation, while 5% power conditioning losses were considered for the PV system final power  
 477 output. The predicted vs measured  $P_m$  is displayed in Fig.8, showing excellent results with slope 1.005  
 478 and  $R^2=0.8579$ . This performance is superior to the predictions by the other 3 models and similar to  
 479 the predictions by the dynamic electro-thermal PV temperature simulation model, as shown in [21].



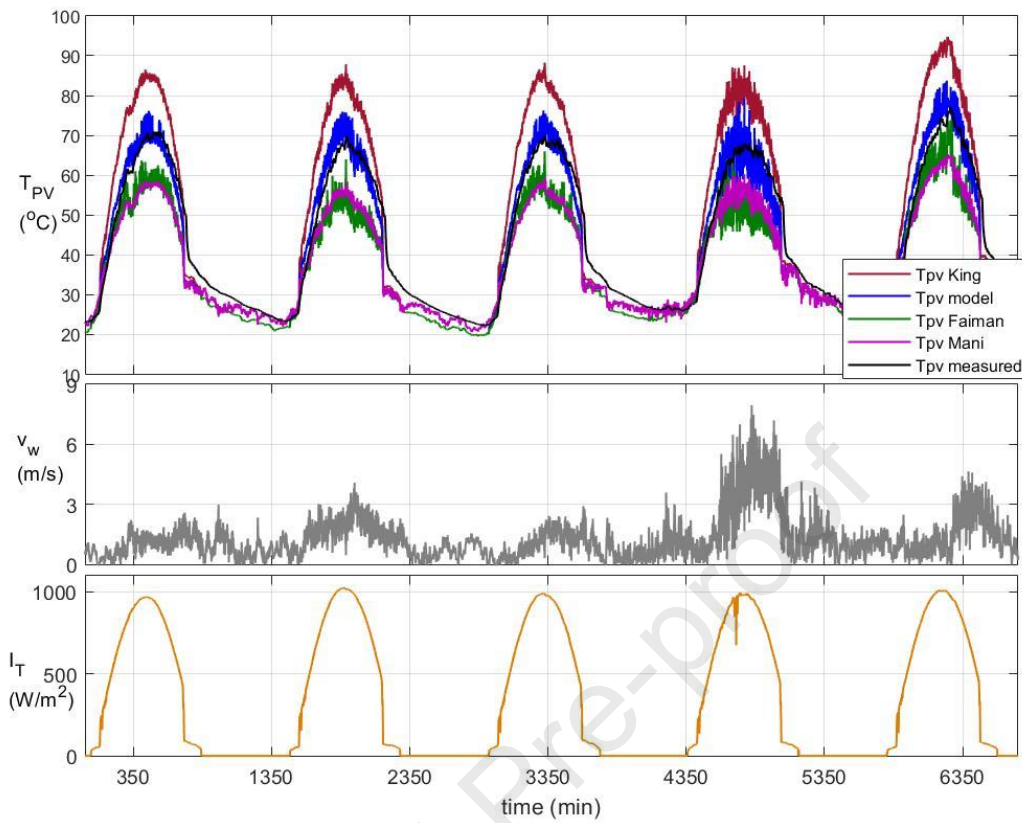
480

481 Fig.8.  $P_m$  predicted by this model vs experimentally determined values using the 2<sup>nd</sup> year monitored  
 482 data from the PV sun-tracking PV system.

483

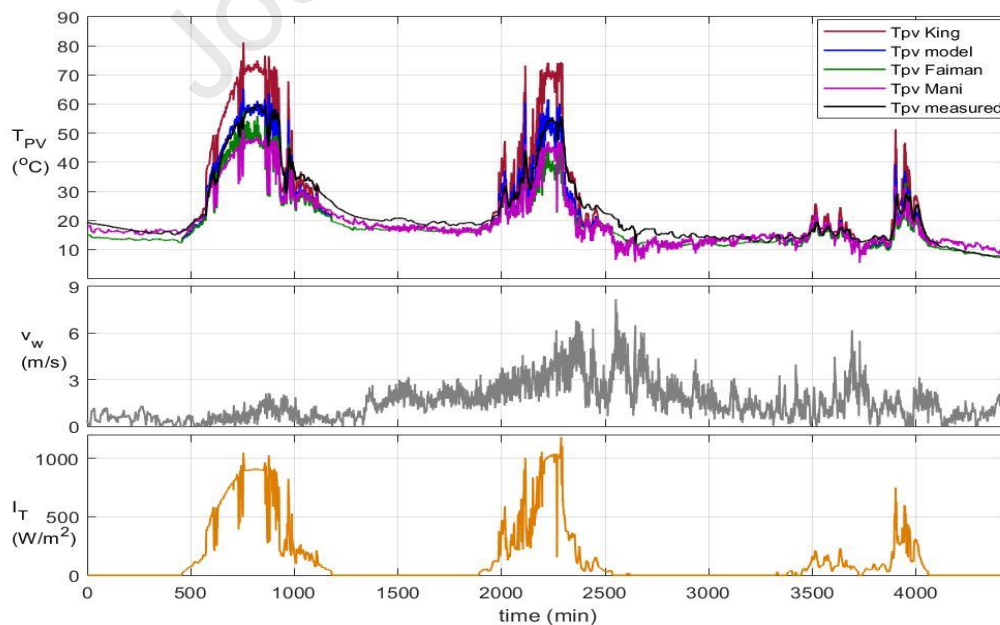
#### 484 5.2. $T_{pv}$ prediction results for the BIPV configuration and model validation

485  $T_{pv}$  for the BIPV of Fig.2(c) was predicted with this model using eqs.(20) for  $v_w < 1.5$  m/s and eq.(22)  
 486 for  $v_w \geq 1.5$  m/s multiplying the predicted  $f$  values with SF=1.18 and 1.35 respectively, according to the  
 487 analysis outlined in Section 4. Fig.9 shows the predicted  $T_{pv}$  profiles by the proposed model and by  
 488 the 3 other models vs the measured  $T_{pv}$  profiles at the PV back surface of the BIPV system during 5  
 489 clear-sky consecutive days in June, with 1 min time interval. The  $v_w$  and  $I_T$  profiles are plotted in the  
 490 bottom subplots, with wind speed ranging from 0 to 7.9 m/s. Fig.10 shows the same comparison for 3  
 491 days in April with partly cloudy sky and wind speed ranging from 0 to 8.2 m/s. The  $T_{pv}$  predicted by  
 492 this model lies very close to the measured  $T_{pv}$  profile data, and exhibits superior performance for both  
 493 clear sky and partly cloudy days and across the large range of wind speeds 0-8.2 m/s, when compared  
 494 to the other well-known models [11,31,36] which exhibit large deviations from the measured values.  
 495 The effect of  $v_w$  on the predicted and measured profiles is obvious and conforms with the analysis in  
 496 Section 4.



497

498 Fig.9. Predicted  $T_{pv}$  profiles by this model and by the 3 other models in [11,31,36] vs measured ones  
 499 at the BIPV back surface during 5 consecutive days in June with 1 min time interval.  $I_T$  and  $v_w$  are  
 500 plotted in the bottom subplots.



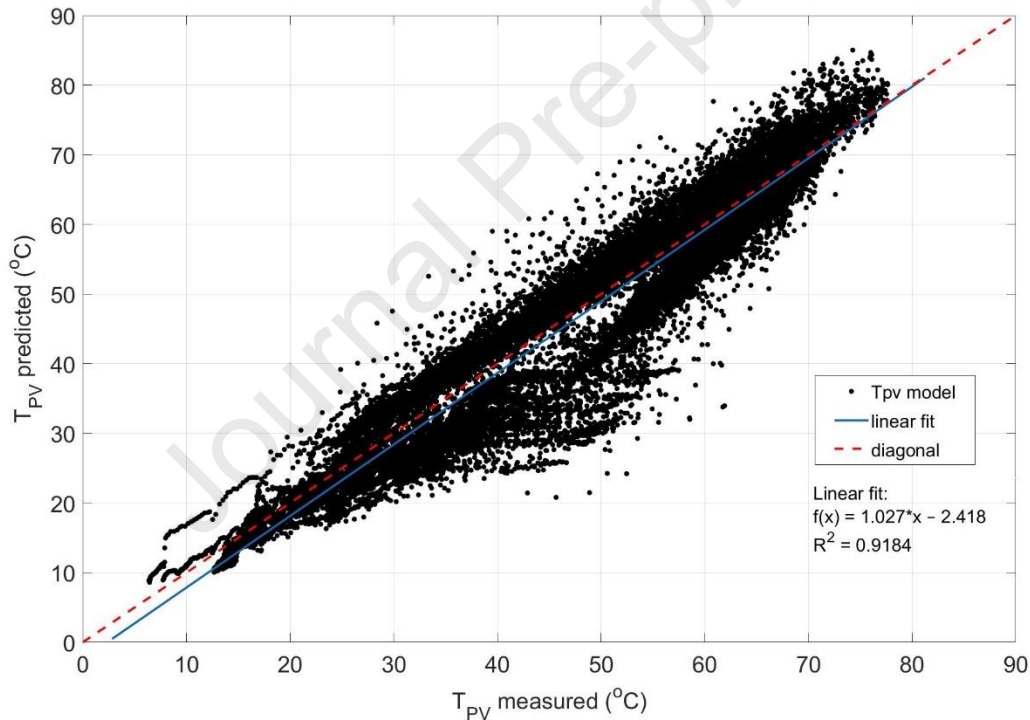
501

502 Fig.10. Same as in Fig.9 for 3 consecutive days in April.

503

504 The predictive capacity of this model for the BIPV case is shown in Fig.11, where the predicted vs  
 505 measured  $T_{pv}$  for the period April-May-June is displayed. The linear fit has slope 1.027 with  
 506  $R^2=0.9184$ , very close to the diagonal, exhibiting excellent model performance. For comparison the  
 507 predicted  $T_{pv}$  by the models in [36,11,31] vs the measured BIPV data for the same period are shown  
 508 in Figs.12-14 respectively. The slope of the fitted line show that the models [31,36] underestimate  $T_{pv}$   
 509 while the model [11] overestimates it. This comparison highlights the high predictive capacity of the  
 510 proposed model which is attributed to this novel approach integrating most of the environmental  
 511 parameters which affect  $T_{pv}$  and  $\eta_{pv}$ , and the latter's deviation from the SOC values, the rates of change  
 512 of  $U_{pv}$  vs  $T_{pv}$  and  $\beta$ , the PV mounting through SF, the module technology through the  $\eta_{pv}$  and the  
 513 operational condition of the module through the number of years of operation and degradation rate.

514

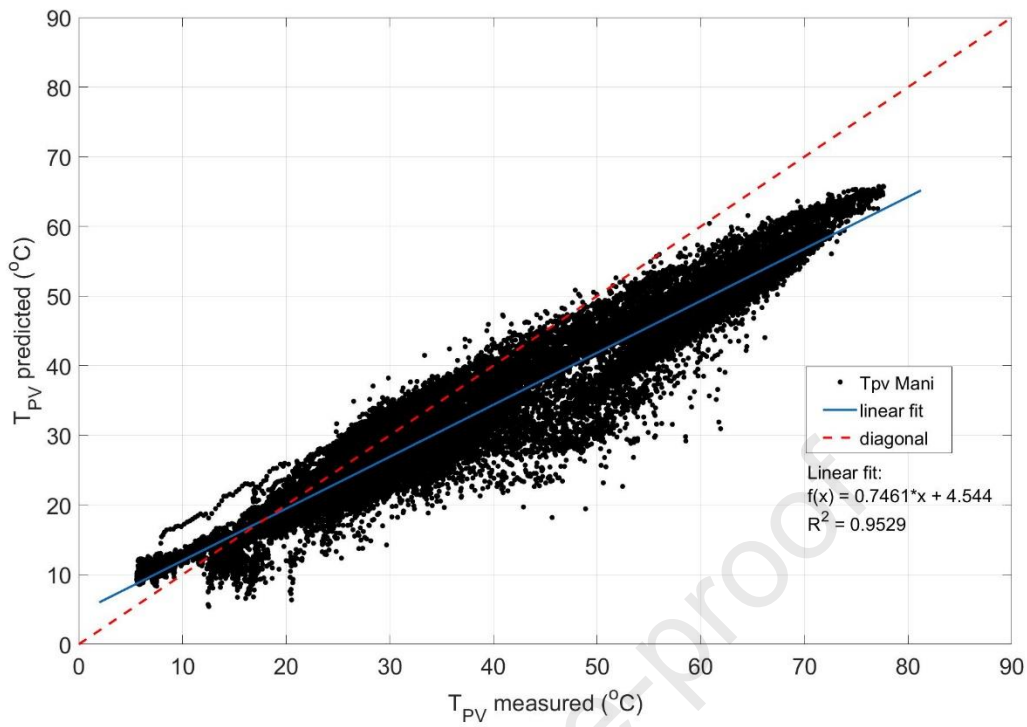


515

516 Fig.11. Predicted  $T_{pv}$  by this model vs measured values from the BIPV system monitored across the  
 517 period April-May-June, with 1 min interval.

518

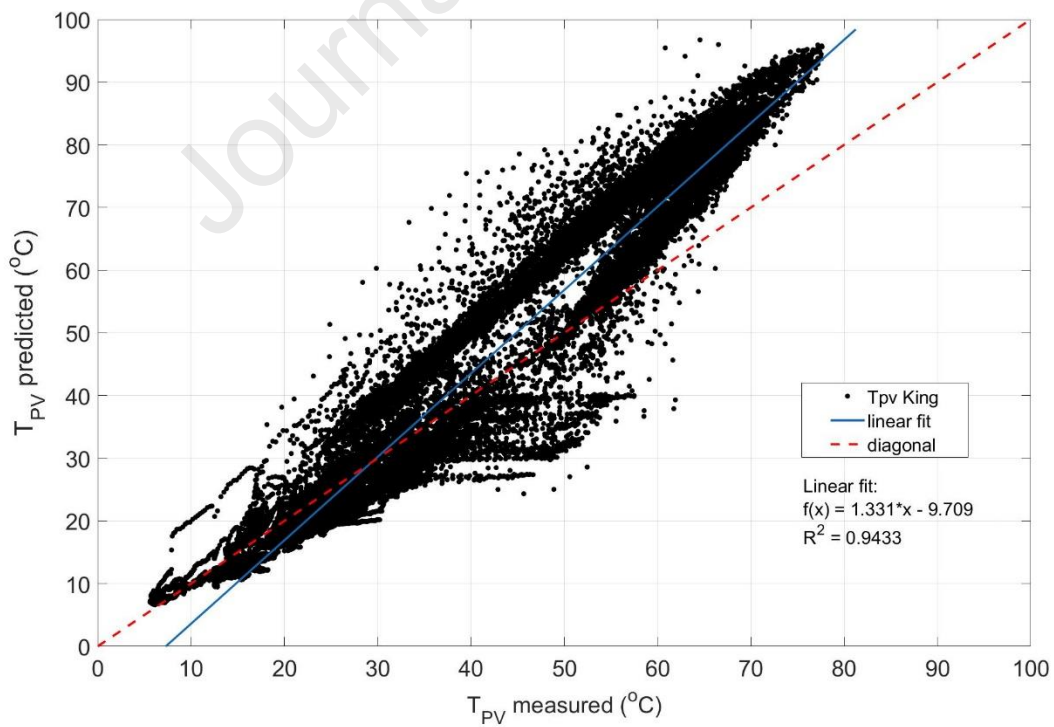




519

520 Fig.12. Predicted  $T_{pv}$  applying Mani's model [36] vs measured values from the BIPV system  
 521 monitored across the period April-May-June, with 1 min interval.

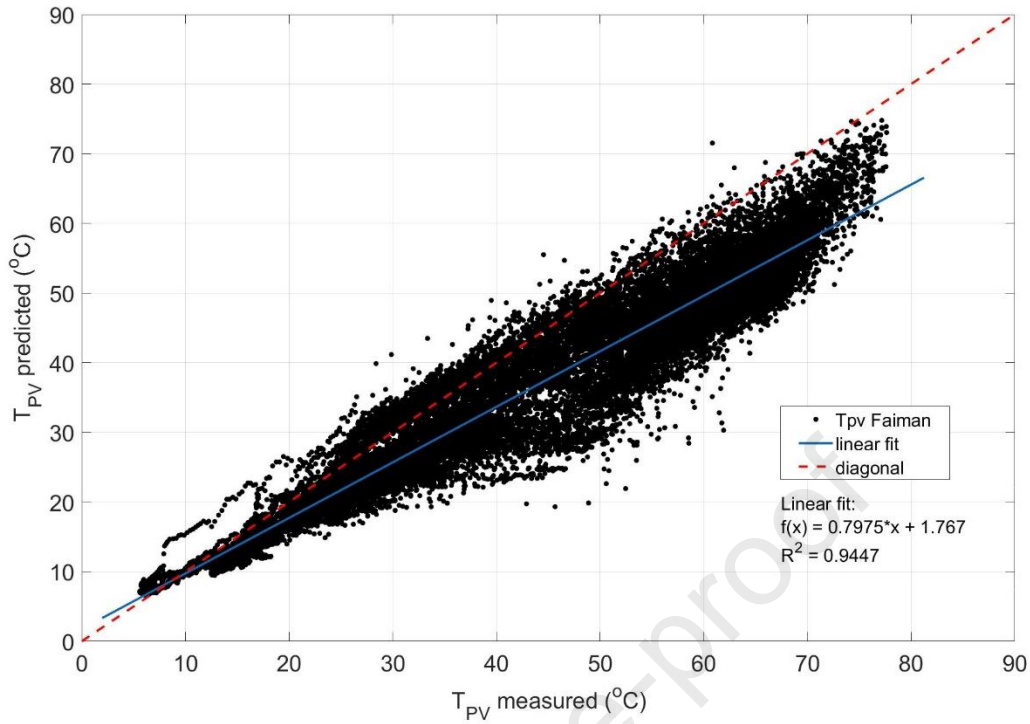
522



523

524 Fig.13. Predicted  $T_{pv}$  applying King's model [11] vs measured values from the BIPV system  
 525 monitored across the period April-May-June, with 1 min interval.

526



527

528 Fig.14. Predicted  $T_{pv}$  applying Faiman's model [31] vs measured values from the BIPV system  
 529 monitored across the period April-May-June, with 1 min interval.

530

531

### 532 5.3 Proposed model applied to other BIPV configurations

533

534 The model's predictive performance is further evaluated against experimental data reported in other  
 535 studies for different BIPV types, mounting configurations and conditions as shown in Table 2. The  
 536 BIPV in NREL [59] includes two mounting configurations, one with the PV modules directly mounted  
 537 on the roof and the other with counter-battens mount, which allows air flow at the back of the modules.  
 538 The model of eq.(25) is evaluated with the experimental data of the above study for both mounting  
 539 configurations. The scaling factor  $SF=1.35$  (forced flow) is applied for the BIPV with direct-mount  
 540 while no scaling factor ( $SF=1$ ) is applied for the counter-battens mount because it allows air to flow  
 541 free at the back of the module. The predicted values are within  $\pm 1^\circ\text{C}$  from the measured values for both  
 542 mounting configurations and both set of conditions as shown in Table 2. Since the conditions reported  
 543 in [59] were for  $v_w > 2\text{m/s}$ , then according to the analysis in Section 4, the 3<sup>rd</sup> term  $(1 - \delta U_{pv}/U_{pv,SOC})$   
 544 in eq.(25) is dropped as this term accounts only for natural flow ( $v_w < 1.5\text{m/s}$ ). The performance of the  
 545 model for natural convection which now includes this term is shown in comparison to the measured  
 546 data for BIPV in the façade and roof of [46,54] for the conditions of no wind. The  $T_{pv}$  predictions by  
 547 eq.(25) are within  $\pm 1.5^\circ\text{C}$  (Table 2). In this case the scaling factor applied is  $SF=1.18$  corresponding to  
 548 natural flow and  $v_w < 1.5\text{m/s}$ . In the cases of fully integrated PV with small airgap and direct mount the

549 SF is applied, whereas for partly integrated PV the SF is not applied according to Section 4. For the  
 550 conditions of higher wind speed in the aforementioned mounting configurations the  $T_{pv}$  predictions by  
 551 eq.(25) are within  $\pm 3^\circ\text{C}$  from the measured values. On the other hand, studies [46,54] include their own  
 552 predictions using the SNL [11] and NOCT models and refining the Ross coefficient [27], which exhibit  
 553 deviations from measured values as large as  $\pm 11^\circ\text{C}$ . All these illustrate the high accuracy and wide  
 554 applicability of the model proposed which shows excellent performance compared against the  
 555 experimental data from BIPVs in different locations, mounting configurations, PV technology and age  
 556 of operation, similar scale [46,54] to the BIPV of the current study or larger scale [59] and across a  
 557 variety of weather conditions. The applicability of the SF is illustrated for BIPV/BAPV of different  
 558 mounting configurations in Table 2.

559

560 Table 2:  $T_{pv}$  model performance with experimental data of other studies for various BIPV configurations.

Reference Study	BIPV type	Prediction Approach	Conditions	Measured $T_{pv}$ in the reference study ( $^\circ\text{C}$ )	Predicted $T_{pv}$ in the reference study ( $^\circ\text{C}$ )	Predicted $T_{pv}$ by eq.(25) ( $^\circ\text{C}$ )
Muller et al. [59]	pc-Si modules mounted on the roof, slope $15^\circ$ , in direct-mount and counter-battens mount	Measured data, average values	$T_a=28.6^\circ\text{C}$ $I_T=996.8\text{ W/m}^2$ $v_w=2.49\text{ m/s}$	67.4 (direct-mount)	N/A	68.4 (with SF=1.35)
				59.0 (counter-battens)		58.1 (SF=1)
			$T_a=27.5^\circ\text{C}$ $I_T=577.7\text{ W/m}^2$ $v_w=2.07\text{ m/s}$	48.9 (direct-mount)		50.0 (with SF=1.35)
				44.0 (counter-battens)		44.2 (SF=1)
Toledo et al. [54]	c-Si modules integrated on the South Façade	Refining empirical coefficient of Ross [27] and SNL model [11]	@ 12:00 $T_a=38.4^\circ\text{C}$ $I_T=540\text{ W/m}^2$  $v_w$ not reported ( $v_w=0$ )	60.5	54.1 (Ross)  58.8 (SNL)	60.7

D'Orazio et al. [46]	c-Si modules mounted on roof, slope 17°, fully integrated with small air gap, direct mount and partly integrated PV (large air gap)	Predicted through NOCT and SNL models	@12:30 $T_a=34\text{ }^\circ\text{C}$ $I_T=945\text{ W/m}^2$ no wind, $v_w=0$	76 (fully integrated with small air gap)	65 (NOCT) 80 (SNL)	74.6 (SF=1.18)
			@13:30 $T_a=27^\circ\text{C}$ $I_T=940\text{ W/m}^2$ $v_w=4.15\text{m/s}$	59.5 (direct-mount)	57 (NOCT) 69 (SNL)	56.6 (SF=1.35)
			@13:30 $T_a=27^\circ\text{C}$ $I_T=940\text{ W/m}^2$ $v_w=4.15\text{m/s}$	47 (partly-integrated)	57 (NOCT) 54 (SNL)	48.9 (SF=1)

561

562

## 563 6. Discussion

564 The proposed model (eq.(25)) is a function of environmental conditions  $T_a$ ,  $I_T$ ,  $v_w$  and interrelated  
565 module parameters  $\eta_{pv}$ ,  $T_{pv}$ , mounting related parameters  $\beta$ ,  $U_{pv}$  and the operational condition of the  
566 module determined by its natural degradation based on the number of years of operation. It is expressed  
567 as a compact formula making it robust in predicting PV temperature both for free-standing PV and  
568 BAPV with large air gap behind the PV modules, as well as BIPV designs for roofs or facades, or  
569 BIPV/T when multiplied appropriately with SF depending on a natural or forced flow. SF is applied for  
570 the BIPV types with insulated back or small airgap behind the module considering full PV integration  
571 into the building structure. The SF is not applied for cases of BAPV where the PV modules are adapted  
572 or partly integrated into the building forming a large air gap behind the modules allowing the circulation  
573 of external air.

574 In the previous section, the model was shown to have higher predictive capacity than the widely used  
575 and universally accepted formulas [11, 31, 36] and NOCT model along with refined Ross coefficient  
576 [27,54] and it is shown to have excellent performance with different BIPV types, mounting  
577 configurations, PV technologies and at different locations. The model has not incorporated the effect of  
578 humidity and water impact which are critical parameters in floating PV systems and therefore is more  
579 appropriate for low to medium humidity conditions in in-land PV systems. Exogenous parameters such

580 as shading from nearby buildings/structures may affect the predictive performance in a similar way to  
 581 other  $T_{PV}$  models. When the solar irradiance is measured locally on the BIPV and the shading impacts  
 582 on the entire PV array then its effect is reflected directly in the value of solar irradiance which appears  
 583 reduced and the model predicts  $T_{pv}$  and  $P_m$  at the new irradiance level. However, localised shading  
 584 effects, when the module is only partly shaded, would be a challenging issue and is not incorporated  
 585 within the generalised model –this is outside the scope of the present study.

586 The effect of PV ageing is taken into account through the term  $(1 - \delta\eta_{ag}/(1 - \eta_{pv,SOC}))$  in eq.(25)  
 587 considering natural degradation of the modules and provides the difference in the efficiency  $\delta\eta_{ag} =$   
 588  $-\eta_{pv,SOC} \cdot (0.8\% \cdot N - 9\%)$  due to aging of the module under study with reference to the degradation  
 589 (9%) of the pc-Si modules used to develop the model. In brand new PV installations this term will be  
 590 used with  $N=1$  leading to an increase in  $\delta\eta_{ag}$  compared to the reference module used in the study. The  
 591 higher the degradation the higher the value of the term  $(1 - \delta\eta_{ag}/(1 - \eta_{pv,SOC}))$  and the higher the  
 592 resulting value of  $f$  in eq.(25) which illustrates the increase in the predicted  $T_{pv}$  due to ageing. Some PV  
 593 manufacturers nowadays guarantee a smaller degradation rate than 0.8% per year and so the term above  
 594 could be used with a smaller rate if that is available for the PV installation to be applied. The above  
 595 term accounts for natural degradation of PV modules and the effect of more severe localized degradation  
 596 phenomena on  $T_{pv}$  is not included here but can be largely accounted for if the term  $0.8\%N$  in the above  
 597 is replaced by the measured degradation of the PV modules to be studied after characterization tests are  
 598 performed.

599 An analysis of the predicted ( $T_{pv}$ ) vs measured ( $T_m$ ) values shows that the relative error of the prediction  
 600 for the free-standing system, based on the results presented in Fig. 4, is:  $(T_{PV} - T_m)/T_m = 0.004 +$   
 601  $1.129/T_m$ . This, for PV operating temperatures around the NOCT and specifically  $T_m=50^\circ\text{C}$  gives a  
 602 relative error 2.6% and for temperatures in the higher range  $T_m=70^\circ\text{C}$  the relative error reduces to 2%,  
 603 while for temperatures in the lower range,  $T_m=30^\circ\text{C}$  the relative error is 4.2% causing an overestimation  
 604 of temperature by just 1.3°C. For the BIPV the relative error of the prediction, as obtained from the  
 605 results of Fig.11, is:  $(T_{PV} - T_m)/T_m = 0.027 - 2.418/T_m$ . This, for  $T_m=50^\circ\text{C}$  gives a relative error -  
 606 2.1% and for higher temperature  $T_m=70^\circ\text{C}$  the relative error reduces to just -0.8%. Lower PV  
 607 temperature  $T_m=30^\circ\text{C}$  gives a relative error -5.4% which translates to an underestimation of PV  
 608 temperature by up to 1.6°C. The model can predict  $T_{pv}$  with a small relative error throughout the entire  
 609 temperature range. The relative error of the prediction by the other models is shown for comparison in  
 610 Table 3, where it is evident that other models exhibit much larger relative error especially in the high  
 611 end of the temperature range reaching up to -9.5% causing an underestimation of PV temperature by  
 612 6.6°C in the free-standing PV system and up to 19.2% causing overestimation by 13.4°C or -18.9%  
 613 underestimation by 13.2°C in the BIPV system.

614

615 Table 3: Relative error in the prediction of  $T_{pv}$  for low, mid, high PV temperatures in comparison to  
 616 other models

Relative error %	Free-standing PV system			BIPV system		
	$T_{pv}=30^{\circ}\text{C}$	$T_{pv}=50^{\circ}\text{C}$	$T_{pv}=70^{\circ}\text{C}$	$T_{pv}=30^{\circ}\text{C}$	$T_{pv}=50^{\circ}\text{C}$	$T_{pv}=70^{\circ}\text{C}$
model						
proposed model	4.2	2.6	2.0	-5.4	-2.1	-0.8
King [11]	-5.0	-8.2	-9.5	0.7	13.7	19.2
Mani [36]	5.4	-2.1	-5.3	-10.2	-16.3	-18.9
Faiman [31]	0.5	-6.1	-9.0	-14.4	-16.7	-17.7

617

618 An analysis of the predicted ( $P_{m,pred}$ ) vs measured ( $P_m$ ) power output shows that the relative error of the  
 619 prediction for the free-standing system, based on the results presented in Fig.8, is:  
 620  $(P_{m,pred} - P_m)/P_m = 0.005 + 5.599/P_m$ . This, for PV operating with high power output  $P_m=400\text{W}$   
 621 near its nominal value, as it would be during a clear sky day around solar noon, gives a relative error  
 622 1.9%, while at low power output  $P_m=150\text{W}$  during morning/evening hours gives a relative error 4.2%.  
 623 The slightly higher relative error at low power output may be partly attributed to the slightly higher  
 624 relative error of  $T_{pv}$  prediction at low PV temperatures, while other factors that may contribute are other  
 625 environmental parameters such as the solar spectrum, angle of incidence of solar irradiance which may  
 626 have a stronger effect on  $T_{pv}$  and  $P_m$  prediction during morning and evening hours. The relative error of  
 627 the  $P_m$  prediction is small across the entire power output range.

628

## 629 7. Conclusions

630 A novel and universally applicable physics based semi-empirical model was developed and validated  
 631 to predict the PV temperature,  $T_{pv}$ , and power output  $P_m$ . It is based on a general purpose implicit  
 632 expression formulated to predict the coefficient  $f$  which relates  $T_{pv}$  with  $I_T$ ,  $v_w$ ,  $T_a$ ,  $U_{pv}$ , and the inclination  
 633  $\beta$ . The model is a product of 5 functions and may be applied to any flat PV module of any type, age, at  
 634 any environmental conditions. Regression analysis of the 1<sup>st</sup> year's monitored data,  $T_{pv}$ ,  $T_a$ ,  $I_T$  and  $v_w$   
 635 from a PV sun-tracker with pc-Si modules gave the function  $f(v_w)$  which is the main factor affecting  $f$ ,  
 636  $T_{pv}$  and  $P_m$ . The second function accounts for the change  $\delta\eta_{pv}$  in the efficiency, and the third for the  
 637 change  $\delta U_{pv}$  in the heat losses due to the effect of the environmental conditions,  $(T_a, I_T, v_w)$ , and of the  
 638 inclination  $\beta$ . The fourth function caters for the PV module natural ageing effect, and the fifth function  
 639 for the PV module technology to account for the difference in the module efficiency between the module

640 under study and the reference module used for the model development. This is a unique model expressed  
641 in compact form, which has integrated:

642 1.The effect of the environmental factors on the module parameters,  $T_{pv}$  and  $P_m$ , using an effective  
643 approach of perturbation from their SOC values

644 2.The BIPV,BAPV, BIPV/T mounting design characteristics, using a scaling factor, SF, and

645 3.The PV module efficiency and operational status as well as the aging effect both compared to the  
646 reference PV module used in the model development.

647 The predicted by this model  $T_{pv}$  values were compared for validation purposes with the 2<sup>nd</sup> year  
648 experimental  $T_{pv}$  values from a sun-tracking PV system and also with the predictions by 3 well-known  
649 models. The relative error in the  $T_{pv}$  prediction is small across the entire temperature range. Specifically,  
650 for the sun-tracking system the relative error is 2.6% for PV operating temperatures around the NOCT,  
651 with a slight increase at lower PV temperatures leading to an overestimation by only 1.3°C. The other  
652 models exhibit much larger relative error especially in the high end of the temperature range with an  
653 underestimation of PV temperature of up to 6.6°C

654 This model is also applicable to BIPV configurations introducing a scaling factor SF, which was  
655 estimated for this case. A very good agreement was confirmed between predicted by this model and  
656 measured  $T_{pv}$  in the BIPV case with a very small relative error across the entire temperature range. The  
657 relative error is -2.1% for PV temperatures around the NOCT, which increases slightly at lower PV  
658 temperatures leading to an underestimation of PV temperature by up to 1.6 °C. Other models exhibit  
659 much larger relative error especially in the high end of the temperature range with an overestimation of  
660 PV temperature by 13.4°C or underestimation by 13.2°C. Additionally, this model was used to predict  
661  $T_{pv}$  for various BIPV and BAPV configurations operating in USA, Spain and Italy. The predicted  $T_{pv}$   
662 was very close to the experimental values, with a performance much higher than other widely used  
663 formulas showing the universality of the model.

664 Finally, the PV power output for the sun-tracking PV array was also predicted based on the  $T_{pv}$  model  
665 and compared to experimentally determined  $P_m$  values giving a relative error 1.9% for PV operating  
666 with power output near its nominal value as it would be during a clear sky day around solar noon and a  
667 relative error 4.2% at low power output of around 1/3 of its nominal value. All these confirm the wide  
668 applicability of this model and its high accuracy in the prediction of  $f$ ,  $T_{pv}$  and  $P_m$  which was shown to  
669 be superior than other widely used models.

670

671

672 **References**

673

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861

862

863 **APPENDIX**

- 864 1.  $\eta_{pv,SOC}$  is the efficiency  $\eta_{pv}$  at SOC with  $T_a=20^\circ C$ ,  $I_T=800W/m^2$ , considering  $v_w<1$  m/s. According to  
 865 [78]:

$$866 \quad \eta_{pv,SOC} = \eta_{pv,STC} \left( 1 + \gamma(T_{pv,SOC} - 25^\circ C) + \delta \cdot \ln \left( \frac{800}{10^3} \right) \right) \quad (A.1)$$

- 867 Parameters  $\gamma=\partial\eta_{pv}/\partial T_{pv}$  and  $\delta$  depend on the PV cell technology. The values for c-Si are  $-0.005K^{-1}$   
 868 and 0.11, respectively.  $\eta_{pv,STC}$  is the efficiency at STC in the present status of the module.

- 869 2.  $T_{pv,SOC}$  in (A.1) is estimated by:

$$870 \quad T_{pv,SOC} = 20^\circ C + f(v_w = 0)800W/m^2, \text{ the higher order terms in eq.(20) are dropped} \quad (A.2)$$

$$871 \quad 3. \quad \delta T_{pv} = T_{pv} - T_{pv,SOC} = T_a + f(v_w)I_T - T_{pv,SOC} \quad (A.3)$$

- 872 4. The infinitesimal change  $\delta\eta_{pv}$  may be estimated by:

$$873 \quad \delta\eta_{pv} = \left( \frac{\partial\eta_{pv}}{\partial T_{pv}} \right) \delta T_{pv} + \left( \frac{\partial\eta_{pv}}{\partial I_T} \right) \delta I_T \quad (A.4)$$

874 the first term was given above and the second one is estimated as below.

- 875 5.  $(\partial\eta_{pv}/\partial I_T) \delta I_T$  is determined by the following expression using eq.(A.1) and eq.(14)

$$876 \quad \left( \frac{\partial\eta_{pv}}{\partial I_T} \right) \delta I_T = \eta_{pv,SOC} \left( \frac{1}{I_T} \delta + \gamma f + \gamma \frac{dT_a}{dI} \right) (I_T - I_{T,SOC}) \quad (A.5)$$

877  $dT_a/dI$  depends on the local climate and season and may be taken equal to  $1-2^\circ C/100W/m^2$ . Eq.(A.5)  
 878 implies positive and negative contribution during the day and depends on the  $I_T$ ,  $f$  and  $dT_a/dI_T$ . The  
 879 contribution of  $\partial\eta_{pv}/\partial I_T$  to the  $f$  prediction is negligible for  $I_T$  around  $I_{T,SOC}= 800W/m^2$ .

880 4. The following expressions provide the average values of the rates of change for  $U_{pv}$  with respect to  
 881  $T_{pv}$  and  $\beta$  for natural air flow when the dependence of  $f$  on  $T_{pv}$  and  $\beta$  is essential, eq.(20). At forced  
 882 flow conditions the terms below take negligible values and the  $f$  is predicted by eq.(22).

$$883 \quad \left(\frac{\partial U_f}{\partial T}\right) \delta T_{pv} = \frac{\partial(h_{c,f}+h_{r,f})}{\partial T} \delta T_{pv} = 0.065 \cdot \delta T_{pv} \quad (\text{A.6a})$$

$$884 \quad \left(\frac{\partial U_b}{\partial T}\right) \delta T_{pv} = \frac{\partial(h_{c,b}+h_{r,b})}{\partial T} \delta T_{pv} = 0.062 \cdot \delta T_{pv} \quad (\text{A.6b})$$

$$885 \quad \left(\frac{\partial U_f}{\partial \beta}\right) \delta \beta = \frac{\partial(h_{c,f}+h_{r,f})}{\partial \beta} \delta \beta = -0.0074 \cdot \delta \beta \quad (\text{A.6c})$$

$$886 \quad \left(\frac{\partial U_b}{\partial \beta}\right) \delta \beta = \frac{\partial(h_{c,b}+h_{r,b})}{\partial \beta} \delta \beta = \mathbf{0.0195 \cdot \delta \beta}$$

$$887 \quad (\text{A.6d})$$

888 where  $\delta \beta = \beta - \varphi$

889

**Declaration of interests**

- The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.
- The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Journal Pre-proof